

<sup>1</sup> **Radio beacon and radar assessment and forecasting of  
<sup>2</sup> equatorial *F* region ionospheric stability**

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**3 Abstract.** Ionospheric conditions on two adjacent nights in March, 2019, were  
4 observed at the Jicamarca Radio Observatory using a combination of incoher-  
5 ent scatter, coherent scatter, and HF radio modes. The HF data came from a net-  
6 work of beacons consisting of three transmitters and six receivers operating at  
7 two frequencies and deployed regionally. The HF beacons employ pseudoran-  
8 dom noise (PRN) coding and can be used to measure group delay (pseudorange)  
9 and Doppler shift, the time derivative of optical path length. A method for in-  
10 ferring volumetric estimates of electron density regionally from the HF data is  
11 described. The radar and HF data are interpreted in light of a direct numerical  
12 simulation (DNS) of the ionospheric interchange instability to elucidate why con-  
13 vective plumes and equatorial spread *F* (ESF) conditions occurred on one night  
14 but not the other. The numerical simulation accurately predicted whether con-  
15 vective plumes would develop on a given night, utilizing initial conditions and  
16 forcings derived from the incoherent scatter data. The HF data were consistent  
17 with the incoherent scatter observations and remained intelligible throughout the  
18 ESF event. Crests in the bottomside electron density associated with convective  
19 plumes at higher altitudes could be seen propagating through the region in the  
20 HF data. It should be possible to incorporate HF data in assimilative simulations  
21 of interchange instabilities in order to predict where and when individual con-  
22 vective plumes emerge.

## Introduction

23 That the equatorial ionosphere is unstable and prone to generating broadband plasma density  
24 irregularities after sunset has been known since the earliest days of radio science [Booker and  
25 Wells, 1938]. Plasma interchange instabilities are believed to be mainly responsible for the  
26 irregularities. Interchange instabilities are driven by the free energy in the steep bottomside  
27 vertical plasma density gradient in the postsunset  $F$  region. The critical agents of instability are  
28 currents driven by the background zonal electric field, gravity, and thermospheric winds. The  
29 demand that the total current be solenoidal in the plasma causes the ionosphere to polarize and  
30 deform. Background plasma inhomogeneity is thereby mixed and transported to smaller scales  
31 where it can be dissipated by diffusion. The rate at which this happens depends on the strength  
32 of the currents and the steepness of the initial gradients. Theoretical reviews have been given  
33 by Zargham and Seyler [1989]; Retterer [2005]; Woodman [2009] among others.

34 The instability is associated with equatorial spread  $F$  (ESF), the characteristic spreading of  
35 ionogram traces that led to the discovery of the phenomenon. Other effects of instability in-  
36 clude radio scintillations which can degrade the performance of radio communication, nava-  
37 gation, tracking, and imaging systems. Instability is not a direct result of geomagnetic activity and  
38 occurs during intervals of low and high solar flux, although the effects are most noticeable dur-  
39 ing high solar-flux periods. Instability in the equatorial ionosphere is an important and frequent  
40 facet of space weather [Makela *et al.*, 2006; Kelley *et al.*, 2011].

41 Analysis and computation has been used to elucidate and reproduce most of the important  
42 observed characteristics of the instability including the gross morphology of the irregular wave-  
43 forms, the range of altitudes they occupy, their climatology, and their overall rates of devel-  
44 opment [Retterer, 2010; Huba *et al.*, 2011; Yokoyama *et al.*, 2014]. Reliable forecasting re-

45 mains elusive, however, as day-to-day variability in the equatorial ionosphere is considerable  
46 and incompletely understood [Fejer and Scherliess, 1995; Mendillo *et al.*, 2001; Tsunoda, 2005;  
47 Pedatella *et al.*, 2012; Chau *et al.*, 2012]. Where this paper discusses forecasting, it refers to pre-  
48 dicting whether ionospheric irregularities occur given a specification of the initial ionospheric  
49 conditions and background forcing. Predicting the forcing is a global as well as a regional  
50 problem and is beyond the scope of this work.

51 This paper combines multiple data sources and modeling strategies in an attempt to expand  
52 our forecast capability. One is incoherent scatter. The incoherent scatter radar (ISR) technique  
53 remains the most incisive and unambiguous means of measuring ionospheric state parameters  
54 from the ground. ISR measurements of plasma densities and drifts from the Jicamarca Radio  
55 Observatory will be used to characterize the equatorial ionosphere before and during the emer-  
56 gence of irregularities associated with ESF. The data will be used both to initialize and force  
57 a direct numerical simulation (DNS) of the equatorial ionosphere capable of producing plasma  
58 density irregularities characteristic of ESF. Jicamarca also observes coherent scatter which gives  
59 a detailed, vivid picture of the emergent irregularities. The Jicamarca measurements are com-  
60 plemented by measurements from a regional network of HF beacon transmitters and receivers.  
61 The beacons contribute contextual information and allow a more complete specification of the  
62 initial conditions for the simulations. A specification of the electron number density in a volume  
63 surrounding the beacon network can be inferred from the beacon data using statistical inverse  
64 methods. Congruity between the overall set of observations, models, and simulations consti-  
65 tutes evidence that the phenomenon is well characterized and understood and that forecasting is  
66 possible.

## Radar observations

67 We concentrate on two sets of measurements made during an experimental campaign in  
 68 March, 2019. Plasma density irregularities associated with ESF were not observed on March  
 69 22nd but were on March 23rd. Jicamarca observations for March 22nd and 23rd are summarized  
 70 in Figs. 1 and 2, respectively.

71 During the campaign, the Jicamarca main antenna was subdivided into parts used for differ-  
 72 ent observing modes. One mode utilized the north and south quarters of the array for a Faraday  
 73 double-pulse experiment like the one described by *Pingree* [1990]. This mode is used to mea-  
 74 sure absolute electron number densities and electron and ion temperatures at *F*-region altitudes.  
 75 Another mode used the east and west quarters of the array to measure line-of-sight *F*-region  
 76 plasma drifts along two closely-spaced beams in the magnetic equator. From this information,  
 77 vertical and zonal plasma drift profiles can be estimated simultaneously [*Kudeki et al.*, 1999].  
 78 Note that time-division multiplexing is used for switching between the two modes which, along  
 79 with antenna subdivision, causes data quality to suffer. This is the price paid for measuring all  
 80 plasma state variables at once.

81 Finally, eight modules (64ths) of the antenna array were isolated and used to measure coherent  
 82 scatter. A single module was used for transmission, affording a broad beam for illumination.  
 83 Aperture synthesis imaging methods are used at Jicamarca to compute in-beam radar images of  
 84 the coherent scatter which are not subject to space-time ambiguity [*Hysell and Chau*, 2006].

85 In the top row of Fig. 1, the leftmost panel depicts electron number density versus altitude and  
 86 universal time (UT = LT + 5 hr). Incoherent scatter is obscured by coherent scatter at Jicamarca,  
 87 something which is indicated by missing data values in this and other panels in the figure. The  
 88 green curve in the next panel to the right shows a single electron number density profile at 1800

89 LT (2300 UT). The blue curve is a model result which will be discussed later. The next panel to  
90 the right shows zonal plasma drifts. The rightmost panel shows vertical plasma drifts. Plotter  
91 symbols in this panel represent altitude-averaged vertical drifts. A curve which has been fit to  
92 the average vertical drifts is superimposed.

93 In the bottom row of Fig. 1, the panel on the left shows zonal plasma drifts at 1800 LT (2300  
94 UT) as green plotter symbols with error bars. The blue curve is a model result which will be  
95 discussed later. The panel to the right, meanwhile shows coherent scatter for the entire event.  
96 The coherent scatter is plotted in RTDI (range time Doppler intensity) format. The brightness  
97 of the pixels here represent the signal-to-noise ratio. The hue represents Doppler shift such  
98 that red (blue) tones denote ascent (descent). The saturation represents spectral width such that  
99 saturated (pastel) tones represent narrow (broad) spectra.

100 The March 22, 2019, observations occurred during a period when the F10.7 solar flux index  
101 was close to 80, and the observations themselves are typical of conditions over Jicamarca during  
102 periods of very low solar flux. A thin bottom-type coherent scattering layer appeared at about  
103 1930 LT (0030 UT) and persisted until after 0000 LT (0500 UT). No backscatter plumes indica-  
104 tive of strong ESF conditions and large-scale interchange instability were observed, although  
105 the bottom-type layer swelled slightly and became slightly red-shifted at about 2100 LT (0200  
106 UT) as it reached its peak altitude.

107 Vertical plasma drifts were modest throughout the event and exhibited a sinusoidal variation  
108 with a period of about 105 min. in the pre-midnight sector as if there were a double pre-reversal  
109 enhancement. Zonal plasma drifts were also modest prior to local midnight and exhibited verti-  
110 cal shear in the pre-midnight sector as is typical.

111 The observations for March 23, 2019, shown in Fig. 2 differ markedly from the March  
112 22 dataset. One obvious difference is that the vertical drifts, while still modest, were larger  
113 on average in the pre-midnight sector, following an approximately sinusoidal variation with a  
114 long period in time. A thin bottom-type scattering layer appeared at 1900 LT (0000 UT) and  
115 ascended steadily. Radar plumes characteristic of strong ESF conditions appeared at about 2010  
116 LT (0110 UT). Topside plumes extending above 500 km altitude passed over the radar between  
117 about 2030–2115 LT (0130–0215 UT). Additional radar plumes, including topside plumes, were  
118 observed throughout the pre-midnight sector.

119 The topside echoes observed over Jicamarca between 2030–2115 LT (0130–0215 UT) were  
120 actually composed of three distinct, major convective plumes surrounded by minor upwellings.  
121 This can be appreciated best using in-beam radar imaging (e.g. *Hysell and Chau* [2006]). Fig. 3  
122 shows images for the times when each of the three plumes was directly above the radar. The  
123 scattering regions are narrow and suggest channels no more than about 20 km wide and often  
124 much narrower. They were structured but unbifurcated in this instance and exhibited backward  
125 “C” shapes, bending eastward at middle heights, as is typical. Previous comparisons with in situ  
126 observations indicate that the backscatter arrives from them most deeply depleted veins within  
127 broader depletions [*Hysell et al.*, 2009]. Echoes from topside plumes tend to be frequency  
128 aliased such that it is generally not possible to infer Doppler velocities from ordinary pulse-to-  
129 pulse modes unambiguously. In animated sequences of images, these three plumes exhibited  
130 rapid evolution as they pass overhead.

## HF beacon observations

131 We turn now to a description of data gathered from a network of HF beacons deployed across  
132 Peru. The network, shown in Fig. 4 employs three transmitters in Ancon, Ica, and Sicaya and

<sup>133</sup> six receivers in Barranca, Huancayo, Jicamarca, La Merced, La Oroya, and Mala. The receive  
<sup>134</sup> stations at Jicamarca and Huancayo actually employ two receivers with spatially displaced an-  
<sup>135</sup> tennas that can be used for interferometry. Hardware specifications for the transmitters and  
<sup>136</sup> receivers were given by *Hysell et al.* [2018a].

<sup>137</sup> The network operates at two HF frequencies, 2.72 MHz and 3.64 MHz. It furthermore em-  
<sup>138</sup> ploys pseudo-random noise (PRN) binary phase coding. This allows receivers to distinguish  
<sup>139</sup> signals from different transmitters and also affords code gain with a very high compression ra-  
<sup>140</sup> tio of 10,000. Most importantly, it allows for a measurement of pseudo-range or time of flight.  
<sup>141</sup> The other observable currently utilized is Doppler shift which can be used to calculate optical  
<sup>142</sup> path length within an additive constant. Other observables including amplitude, polarization,  
<sup>143</sup> and bearing (from interferometry) are available in principle but are not yet being exploited.

<sup>144</sup> Together, the six receivers and three transmitters operating at two frequencies imply 36 dis-  
<sup>145</sup> tinct paths and 72 observables which can be used to diagnose the bottomside *F*-region iono-  
<sup>146</sup> sphere. These can be combined with information from the incoherent scatter radar and other  
<sup>147</sup> instruments at and near Jicamarca including the sounders, magnetometers, and GPS receivers  
<sup>148</sup> associated with the LISN network [*Valladares and Chau*, 2012]. In practice, the electron density  
<sup>149</sup> profile measured by the Jicamarca ISR overhead is incorporated into the ionospheric retrievals  
<sup>150</sup> described below. The goal of our network is to provide a regional specification of the ionosphere  
<sup>151</sup> to complement the local specification provided by the incoherent and coherent scatter radars to  
<sup>152</sup> improve ESF diagnostics and, ultimately, forecasting.

<sup>153</sup> Representative HF data for March 22 and 23, 2019 are shown in Fig. 5 for the Jicamarca-  
<sup>154</sup> Ica paths and a frequency of 3.64 MHz. The pseudorange is found by identifying the group  
<sup>155</sup> delay of the first HF hop in range-time spectrograms. The relevant Doppler shift is the one

<sup>156</sup> corresponding to that delay bin. Optical path length is found by integrating the Doppler shift in  
<sup>157</sup> time. This implies an arbitrary offset which is only required for plotting. We set it here such  
<sup>158</sup> that the optical path length and pseudorange match at 19 LT.

<sup>159</sup> The curves for March 22 and 23, 2019, are very similar before about 19 LT. In both cases, the  
<sup>160</sup> optical path length increases faster than the pseudorange. This illustrates how recombination  
<sup>161</sup> affects the two parameters differently. After about 19 LT, the optical path lengths and pseudo-  
<sup>162</sup> ranges follow more similar but still distinct trajectories. The incoherent scatter radar indicated  
<sup>163</sup> that the ionosphere was rising more quickly on March 23 than on March 22, and that feature  
<sup>164</sup> is evident in the HF data. Sharp perturbations are also evident in the pseudorange parameters  
<sup>165</sup> later in the evening. These perturbations correspond to times when the range-Doppler spec-  
<sup>166</sup> trograms computed from the HF data become multi-valued as irregularities begin to form in  
<sup>167</sup> the bottomside *F* region. In most of the HF data, the perturbations are stronger in the March  
<sup>168</sup> 23 data than in the March 22 data. It is noteworthy, however, that the perturbations exist even  
<sup>169</sup> when ESF plumes are not observed directly over Jicamarca. It is also noteworthy that the HF  
<sup>170</sup> beacons continue to produce intelligible data even when ESF plumes are in the region. The HF  
<sup>171</sup> frequencies were evidently low enough for the rays to pass below the most disturbed regions of  
<sup>172</sup> the ionosphere. Finally, we note that neither the HF optical path length nor the pseudorange is a  
<sup>173</sup> very good proxy for vertical plasma drifts as measured with the ISR. The relationship between  
<sup>174</sup> HF characteristics and plasma state parameters was spelled out clearly by *Bennett* [1972] (see  
<sup>175</sup> also *Woodman et al.* [2006]).

## Modeling and simulation

<sup>176</sup> The observations reported above are more easily interpreted in the context of numerical mod-  
<sup>177</sup> eling and simulation. We first describe a simulation of interchange instabilities in the postsunset

<sup>178</sup> equatorial ionosphere. We then incorporate the HF beacon data in a model reconstruction of the  
<sup>179</sup> regional ionosphere. The results from both efforts inform one another and help to elucidate  
<sup>180</sup> ionospheric conditions during the March campaign.

### Direct numerical simulation

<sup>181</sup> The numerical simulation is a three-dimensional fluid code that solves the initial boundary  
<sup>182</sup> value problem for four ion species ( $O^+$ ,  $NO^+$ ,  $O_2^+$ , and  $H^+$  plus electrons) in a magnetic dipole  
<sup>183</sup> coordinate system. Ion inertia is neglected, and so the ion and electron velocities and associated  
<sup>184</sup> current density can be calculated explicitly. While diamagnetic currents are among the currents  
<sup>185</sup> calculated, they have little impact on the evolution of the plasma, and their contribution to  
<sup>186</sup> the total current density will not be plotted in the simulation diagnostic presented below. A  
<sup>187</sup> potential solver computes the electrostatic potential by enforcing the quasineutrality condition,  
<sup>188</sup> fully in three dimensions. This is an elliptic partial differential equation which is solved using a  
<sup>189</sup> preconditioned stabilized biconjugate gradient method. Robin boundary conditions are enforced  
<sup>190</sup> on all the simulation boundaries.

<sup>191</sup> Time advance is performed with a flux assignment scheme built around the total variation  
<sup>192</sup> diminishing condition (TVD) [Harten, 1983]. The specific approach is to use MUSCLs (mono-  
<sup>193</sup> tone upwind schemes for conservation laws) incorporating upwind differencing and flux lim-  
<sup>194</sup> iting so that the TVD scheme is second order (see *Van-Leer* [1974], *Trac and Pen* [2003].)  
<sup>195</sup> The approach is extended to three dimensions with the use of dimensional splitting techniques  
<sup>196</sup> [*Strang*, 1968]. Time advance is performed with a 2nd-order Runge Kutta scheme employing  
<sup>197</sup> Neumann boundary conditions on all boundaries. Overall, the size of the voxels in simulation  
<sup>198</sup> is a few km on each side, and the time step is 7.5 s.

199 The simulation is initialized using electron densities imported from the SAMI2 model [Huba  
 200 *et al.*, 2000]. SAMI2 is run under conditions matching those of the observations. Two free  
 201 parameters, the solar flux index and the scale factor applied to the Fejer-Scherliess electric field  
 202 model (**note new reference**) [Fejer and Scherliess, 1997], are tuned to maximize congruity  
 203 between the electron density profile measured by Jicamarca at the simulation start time and the  
 204 profile predicted by the model at Jicamarca's location. The latter is shown by the blue curve in  
 205 the upper panel in Fig. 2. Neutral winds to drive the simulation are imported from the Horizontal  
 206 Wind Model [Drob *et al.*, 2015]. Once again, a simple scale factor is employed to maximize  
 207 the congruity between the zonal plasma drifts measured by Jicamarca at the simulation start  
 208 time and those predicted by the simulation at Jicamarca's location. The latter is shown by the  
 209 blue curve in the lower panel of Fig. 2. In addition, initial ion composition is imported from  
 210 the IRI-2016 model [Bilitza *et al.*, 2016]. Background neutral atmospheric parameters used  
 211 to calculate transport coefficients are imported from the NRLMSISE-00 model [Picone *et al.*,  
 212 2002]. Further details regarding the simulation code architecture can be found in Hysell *et al.*  
 213 [2018b].

214 Fig. 6 shows simulation results for March 22, 2019. The simulation was initialized at 1800  
 215 LT (2300 UT). Initial conditions and subsequent forcing by the background electric field were  
 216 derived from the incoherent scatter data reviewed in Fig. 1. The left panel in Fig. 6 depicts  
 217 conditions 60 min. into the simulation run, at 1900 LT (0000 UT). The right panel depicts  
 218 conditions 160 min. into the run, at 2040 LT (0140 UT).

219 By 1900 LT, irregularities were forming at the base of the bottomside *F* region where the bot-  
 220 tomside joins the valley – at about 250 km altitude. The irregularities have scale sizes of a few  
 221 tens of km and are tilted from the vertical such that depletions extend upward and westward.

222 The irregularities are generated by vertical currents that flow in the bottomside. Such currents  
223 arise because the *F*-region dynamo has finite efficiency [Haerendel *et al.*, 1992; Haerendel and  
224 Eccles, 1992]. The currents are associated with the vertical shear in the horizontal flow that char-  
225 acterizes equatorial ionospheric dynamics in the post-sunset sector [Kudeki and Bhattacharyya,  
226 1999]. The current density that drives the growth of the irregularities is proportional to the  
227 difference between the zonal plasma drift and neutral wind speeds and is often the dominant  
228 current in the plane perpendicular to the magnetic field.

229 Bottomside irregularities driven by vertical currents cannot evolve far from the strata where  
230 strong shear flow exists [Hysell and Kudeki, 2004]. Because the background zonal electric field  
231 remained modest on March 22, 2019, and because currents driven by gravity are negligible at  
232 250-km altitude, there was no mechanism for the irregularities to excite collisional interchange  
233 instabilities that might ultimately propel irregularities toward the *F* peak and into the topside.  
234 The irregularities can persist until either the shear flow ceases or the upward background density  
235 gradient in the shear zone erodes. In the late stage of the simulation, the bottomside irregular-  
236 ities are still present but becoming less distinct. In nature, the bottom-type scattering layers  
237 associated with the irregularities persisted past midnight, but deep depletions and convective  
238 plumes associated with ESF conditions never developed.

239 The situation in Fig. 7 which represents conditions on March 23, 2019, is quite different.  
240 Initially, the two simulations evolved similarly. A thin band of irregularities formed at the base  
241 of the bottomside region under the action of vertical currents and bottomside shear flow. The  
242 irregularities evolved somewhat more rapidly than in the March 22, 2019 simulation because the  
243 thermospheric winds were scaled to be somewhat stronger in accordance with ISR observations  
244 of zonal plasma drifts and because the vertical shear in the zonal flow was ultimately larger.

245 This is consistent with coherent scatter radar observations of thin bottom-type layers appearing  
246 earlier on the 23rd than the 22nd [Hysell and Kudeki, 2004].

247 As time progressed, the background zonal electric field imposed on the simulation grew in  
248 amplitude in agreement with the incoherent scatter radar findings. By the late stages of the sim-  
249 ulation, the background plasma ascent rate was about 8 m/s. The modest but steadily growing  
250 background electric field was sufficient to drive collisional interchange instability and to expe-  
251 dite the development of the bottomside irregularities. Depletion plumes started appearing to  
252 the east of Jicamarca's location by about 1930 LT. The largest of these began escaping the top  
253 simulation boundary by about 2000 LT. New plume creation marched westward, following the  
254 earlier progression of the solar terminator. (In imposing the background electric field forcing  
255 inferred from Jicamarca observations, the equivalence of longitude and local time is assumed.)  
256 By the late stages of the simulation, plumes were beginning to form overhead. By 2050 LT (not  
257 shown), a convective plume directly over Jicamarca's location had risen to 500 km altitude in  
258 the simulation. Between the major convective plumes, minor depletions separated by a few tens  
259 of km and characteristic of bottomside layers predominate [Woodman and La Hoz, 1976].

### **Ionospheric recovery model**

260 The simulation code described above is essentially free running. Initialized with incoherent  
261 scatter data, it evolves the state equations for the plasma untethered from observations save for  
262 the background zonal electric field, which is measured, and the thermospheric winds, which are  
263 scaled to make the initial plasma drift predictions congruent with observations.

264 In principle, the HF data could govern the evolution of the plasma number density throughout  
265 the simulation by data assimilation or other methods. Here, we instead model the electron  
266 number density regionally on the basis of HF data alone for an independent assessment of

267 ionospheric evolution. We are mainly interested in evaluating data quality and consistency  
268 while also assessing crucial factors that might be absent in the direct numerical simulation. For  
269 example, the meridional winds are presently unconstrained by measurements from Jicamarca  
270 but might be adjusted on the basis of regional electron number density measurements from the  
271 regional beacon network.

272 If the electron number density were known in the region where the HF beacons are deployed,  
273 it would be possible to predict the pseudorange and Doppler shift of each of the 36 ray paths.  
274 Rays could be traced from the transmitters to the receivers using the methods of geometric  
275 optics and shooting, and the observables could be calculated using the formalism of *Jones and*  
276 *Stephenson* [1975]. Discrepancies between predictions and observations could be combined in  
277 an objective function based on the chi-squared parameter. The objective function would also  
278 incorporate discrepancies with the electron number density profiles measured by the ISR.

279 In the inverse problem, a parametrized ionosphere is constructed, and the parameters are  
280 set through the minimization of the objective function. Here, we parametrize the ionosphere  
281 by assuming a three-parameter Chapman function in the vertical, each of the three parameters  
282 being described in the horizontal by a bicubic B-splines (e.g. [De-Boor, 1978]). We use a  
283  $15 \times 15$  horizontal grid for the bicubic B-splines, implying 675 parameters in total to set. By  
284 comparison, the observables, which include the pseudorange and Doppler shifts measured for  
285 each ray path and frequency together with information about the electron number density profile  
286 measured directly over Jicamarca, number just 75. Since the problem is underdetermined and  
287 poorly conditioned, regularization is incorporated in the problem by adding the curvature of  
288 the volumetric electron number density to the objective function [Hansen, 2010]. The overall  
289 optimization problem is solved using a Levenberg Marquardt algorithm in which the Jacobian

290 matrix is calculated numerically. Additional details about the inverse method were given by

291 *Hysell et al. [2018a]*.

292 Fig 8 shows the results of ionospheric reconstructions for March 22, 2019, based on HF  
 293 beacon data for the selected local times indicated. Each panel shows isodensity contours for  
 294  $N_e = 3\text{E}11 \text{ m}^{-3}$  (green) and  $5\text{E}11 \text{ m}^{-3}$  (cyan). In the background are the coastline of Peru  
 295 together with the locations of the HF beacon stations. Superimposed are 36 rays linking the  
 296 three transmitters with the six receivers. Electron number densities are estimated at a cadence  
 297 of once per minute such that the predicted and observed pseudoranges and optical path lengths  
 298 are congruent.

299 The individual datasets like those in Fig. 5 all exhibit mainly gradual, secular changes in  
 300 pseudorange and optical path length, free of waves or perturbations which might be suggestive  
 301 of medium-scale traveling ionospheric disturbances (TIDS) or related phenomena. They are  
 302 also all similar in shape. Consequently, the reconstructions are also free of waves and steep  
 303 gradients and are nearly horizontally homogeneous.

304 Between 1800-1900 LT, the reconstructions merely suggest gradual, nearly uniform elevation  
 305 in layer height (causing the isodensity contours to move upward) together with a steepening  
 306 of the bottomside (causing the isodensity contours to move closer together). Both are conse-  
 307 quences of postsunset recombination combined with a very modest prereversal enhancement of  
 308 the background zonal electric field.

309 There were no significant meridional gradients in the electron density estimates at any time  
 310 on March 22, 2019, suggesting that meridional winds did not play a drastic role in stabilizing  
 311 the bottomside ionosphere. The only significant zonal gradients in the electron density occurred  
 312 between 2045–2125 LT. During this time, the isodensity contours became elevated first on the

313 western side of the field of view, then in the middle, and finally to the east. The crest traveled  
314 eastward until, by 2130 LT, the isodensity contours were essentially level again. The effect was  
315 as if a small crest in the bottomside propagated from west to east. We can associate the crest  
316 with the apparent peak in the bottom-type layer seen in Fig. 1 where the coherent scatter became  
317 red shifted briefly. It may have been that a small convective plume was beginning to form here.

318 The results for March 23, 2019, shown in Fig. 9 are qualitatively similar to those for March  
319 22. The first hour of the event was characterized by the ascent and steepening of the *F* layer  
320 consistent with a combination of recombination and the prereversal enhancement of the zonal  
321 electric field. There were no significant meridional gradients in electron density at any time  
322 during the event. Horizontal gradients evolved in such a way as to suggest the transit of an ion-  
323 ization crest from west to east in the interval between 2030-2115 LT. By 2130 LT, the isodensity  
324 contours were level.

325 The difference between March 22 and 23 was quantitative. The ascent of the layer was more  
326 rapid and prolonged on March 22nd, and the crest was much more distinct. Inspection of Fig. 2  
327 suggests that the crest was a broad, deep bottomside deformation beneath a system of topside  
328 convective plumes. Such deformations can be seen in the simulation results in Fig. 7. In that  
329 figure, a broad bottomside depletion was forming in the center of the simulation by 2040 LT.  
330 This is the low-altitude counterpart of convective plumes extending upward through the *F* peak  
331 into the topside.

## Assessment and summary

332 In this work, incoherent scatter, coherent scatter, and HF radar observations of the equato-  
333 rial ionosphere during ESF conditions were combined with a direct numerical simulation of the  
334 ionospheric interchange instability and an inverse model of the background ionosphere. The

335 purpose was to construct a comprehensive picture of the state of the ionosphere on consecu-  
336 tive nights when convective plumes did and did not occur and to identify differences in the  
337 background state parameters which could be causative or correlative with ESF.

338 One of the findings of the work is that the HF beacons and the method for inverting data from  
339 the network can function when bottom-type scattering layers, bottomside layers, and convective  
340 plumes are overhead. The rays at the beacon frequencies have turning points below the altitudes  
341 where excessive spreading makes it impossible to distinguish the group delay of the signals.  
342 While this result inevitably depends on the local conditions and may not be robust, it suggests  
343 that HF beacons can be used to monitor the ionosphere during and not just prior to the formation  
344 of deep depletions.

345 It is also remarkable that the HF observations for March 22 and 23, 2019, were qualita-  
346 tively similar if quantitatively different even though the coherent scatter observations for the  
347 two nights were essentially different. There were no strong meridional gradients in the electron  
348 densities, suggesting that meridional winds played little or no part in stabilizing or destabilizing  
349 the ionosphere on either night. There were no regular waves suggestive of MSTIDs in the low-  
350 level data (and so none in the final electron density retrievals). This is atypical of the beacon  
351 network data which often show periodic variations suggestive of typical MSTID periods [Hysell  
352 *et al.*, 2016, 2018a]. Crests in electron density propagating with the background zonal plasma  
353 drift were seen on both nights. The crest observed on March 23 was the bottomside foundation  
354 of a series of closely-spaced convective plumes. The much less distinct crest seen on March 22  
355 was possibly the forerunner of marginal convective instability. Numerical simulations like the  
356 one shown in Fig. 7 indicates that crests like these are parts or consequences of ionospheric  
357 interchange instabilities rather than causes.

358 Based on the aforementioned results, it should be possible to incorporate the HF data directly  
359 in assimilative simulations of plasma convective instability. The object here would be to pro-  
360 duce simulations like those in Figs. 6 and 7 only with the convection plumes forming in spatial  
361 positions actually indicated by the data. (Presently, we use the simulations to ascertain whether  
362 convective plumes form; precisely where they form is essentially random.) The combination of  
363 ISR data, which specify initial conditions and forcing, and HF data, which specify the local phe-  
364 nomenology, would represent an unprecedented degree of fidelity in space-weather nowcasting  
365 and forecasting.

366 An additional improvement to the method would be to incorporate GPS TEC measurements  
367 from the LISN network and other instruments deployed regionally. The HF data give no infor-  
368 mation about altitudes above their turning points and, certainly, no information from the topside.  
369 Incorporating GPS TEC measurements in the electron density inversion would rectify the prob-  
370 lem and contribute to more accurate assessments of the vertical electron density structure.

371 As to the question of ESF causality, the most important difference in the ionospheric mea-  
372 surements on March 22 and 23, 2019, prior to the observations of convective plumes in the  
373 latter event was the time history of the background vertical plasma drifts. ESF conditions never  
374 emerged on March 22 when the vertical drifts were consistently small. ESF conditions and  
375 convective plumes formed, albeit relatively late in the evening, on March 23 after modest post-  
376 sunset vertical drifts were sustained for about two hours. This, together with somewhat larger  
377 zonal thermospheric winds, caused March 23 to be active whereas March 22 was not.

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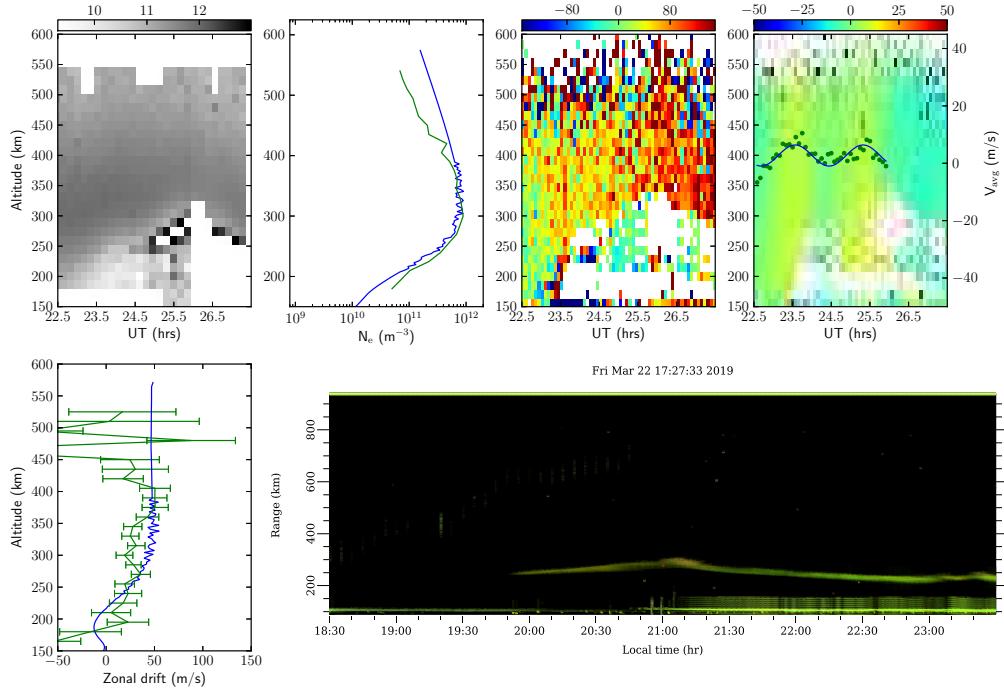
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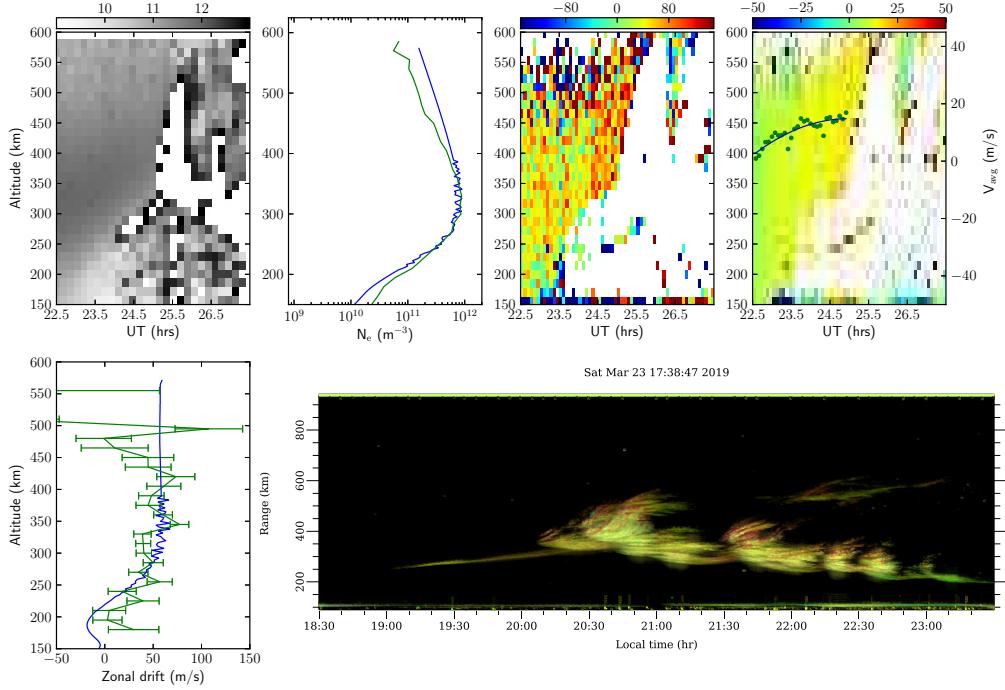
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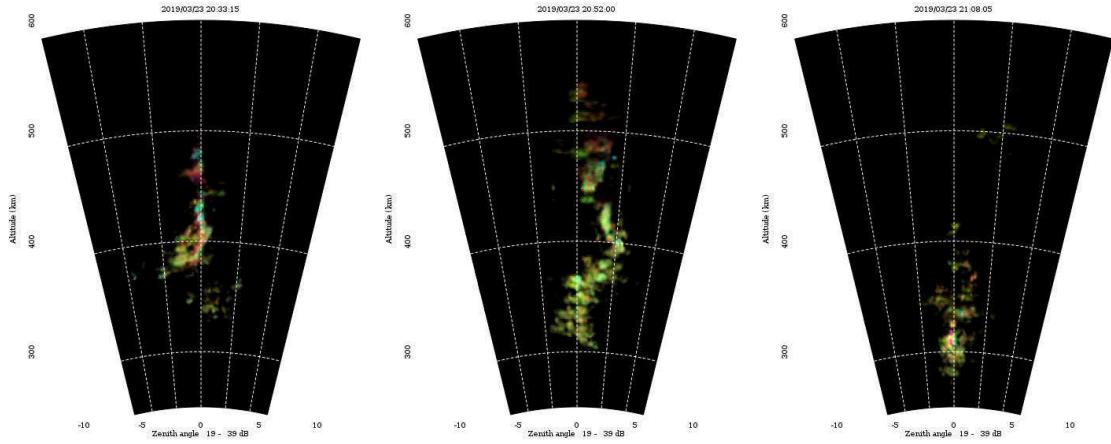
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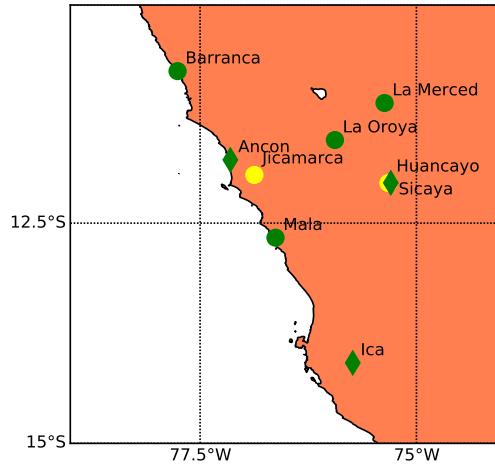
**Figure 1.** Incoherent scatter radar observations for March 22, 2019. Top row: electron number density, electron density profile at 2300 UT (1800 LT), zonal plasma drifts, and vertical plasma drifts. Bottom row: zonal plasma drift profile at 2300 UT (1800 LT), coherent scatter in range time Doppler intensity (RTDI) format. In this event, ESF plumes did not occur.



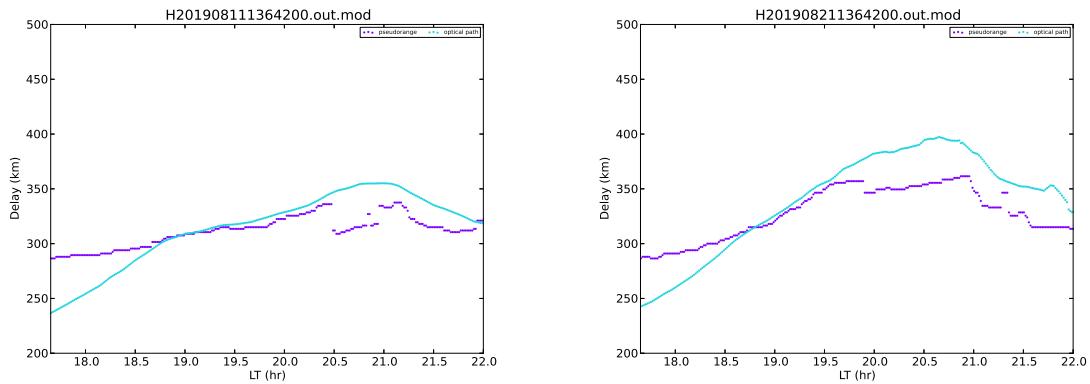
**Figure 2.** Same as Fig. 1 except for March 23, 2019. ESF plumes were seen in this event.



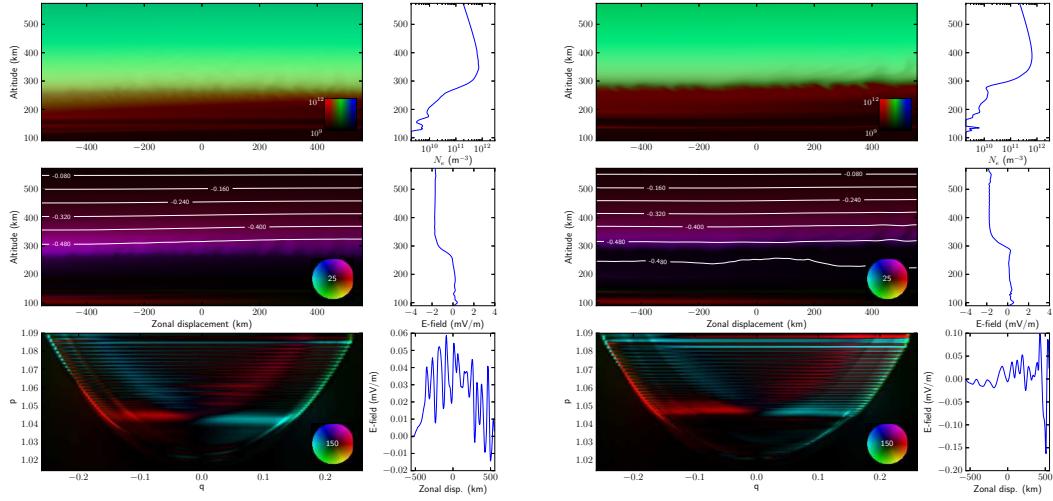
**Figure 3.** Aperture-synthesis radar images of three closely-spaced radar plumes that passed over the radar between 2030–2115 LT (0130–9215 UT).



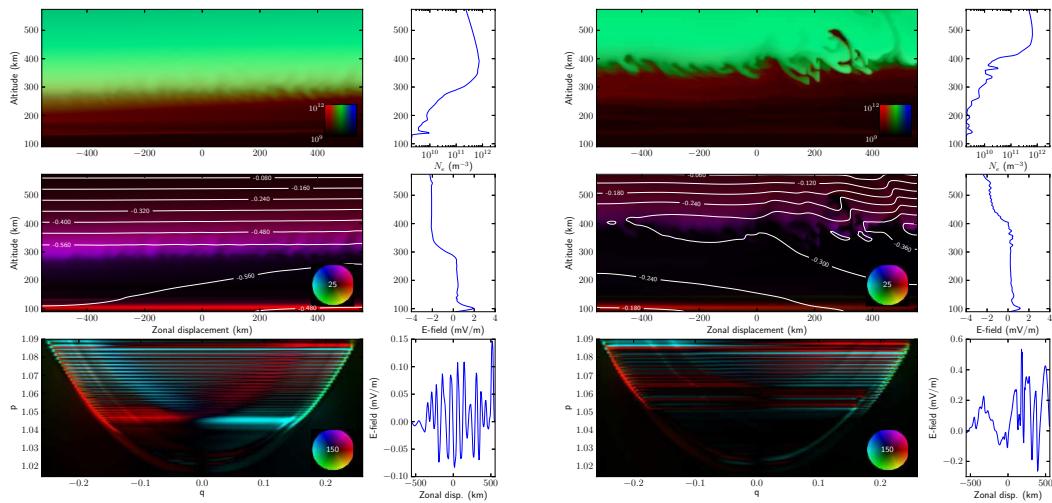
**Figure 4.** Map of HF beacon stations in Peru. Circles represent receivers and diamonds transmitters. Pairs of receivers with spaced antennas are deployed where yellow symbols are plotted.



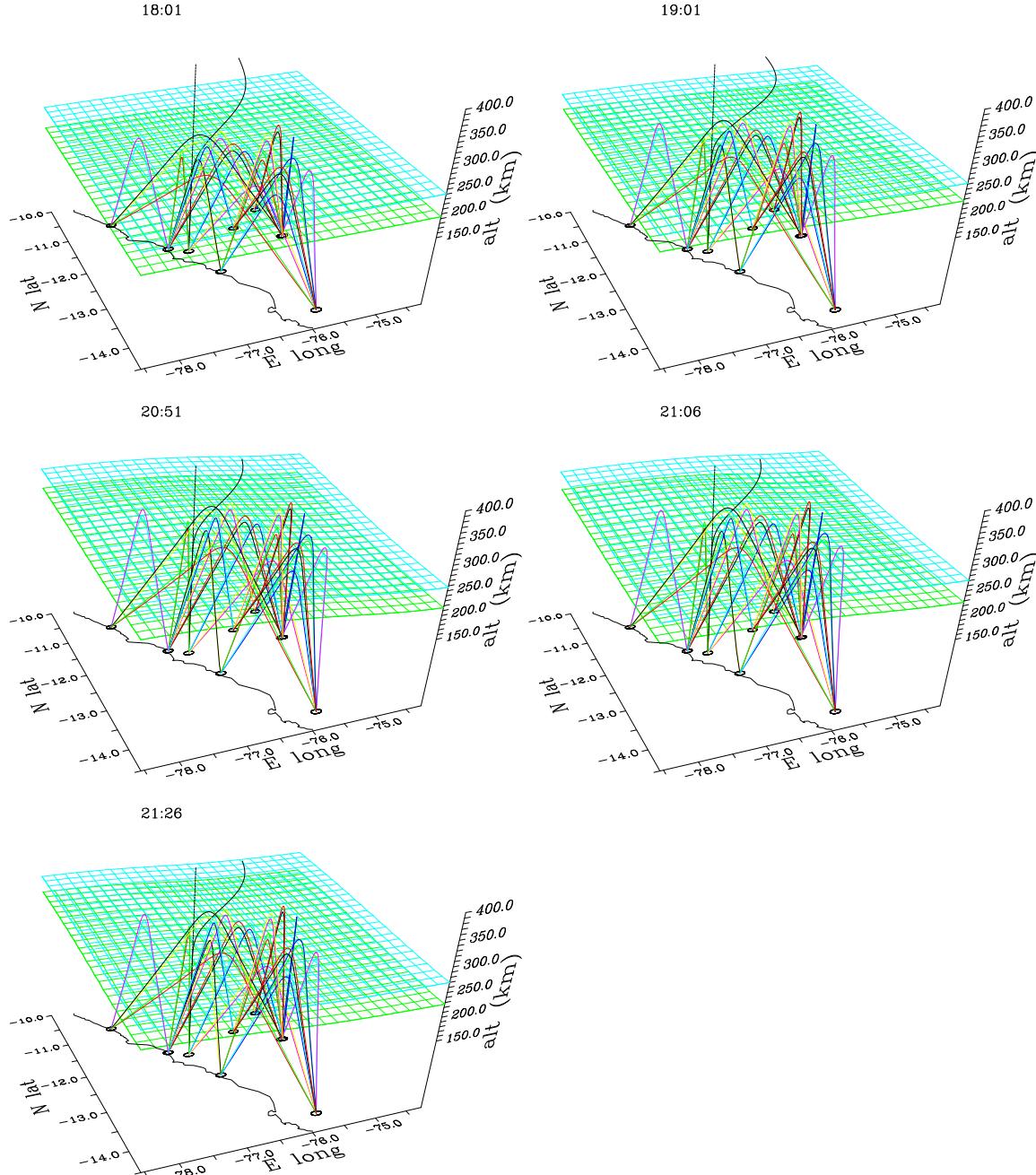
**Figure 5.** HF data for the 3.64 MHz Jicamarca-Ica paths on March 22 (left) and 23 (right), 2019. The violet curves represent pseudorange, and the cyan curves optical path length. The vertical offset of the latter is arbitrary and has been set so that the two curves overlap at 19.0 LT.



**Figure 6.** Numerical simulation of the March 22, 2019, ESF event. The simulation start time was 1800 LT (2300 UT). The left panel depicts conditions 60 min. after the start or at 1900 LT (0000 UT), and the right panel 160 min. after the start or at 2040 LT (0140 UT). The top panel in either case shows ion density, with red, green, and blue tones representing molecular ions, atomic ions, and hydrogen ions, respectively. A vertical cut of the electron density through the center of the simulation volume appears to the right. The middle panel shows equipotential lines superimposed on current density in the equatorial plane. The color wheel indicates the magnitude and direction of the current density, with full scale being 25 nA/m<sup>2</sup>. A vertical cut of the vertical electric field through the center of the simulation is shown to the right. The bottom panel shows current density in the meridional plane in magnetic coordinates ( $p, q$ ). Full scale is 150 nA/m<sup>2</sup>. A horizontal cut of the zonal electric field through the center of the simulation is shown to the right.

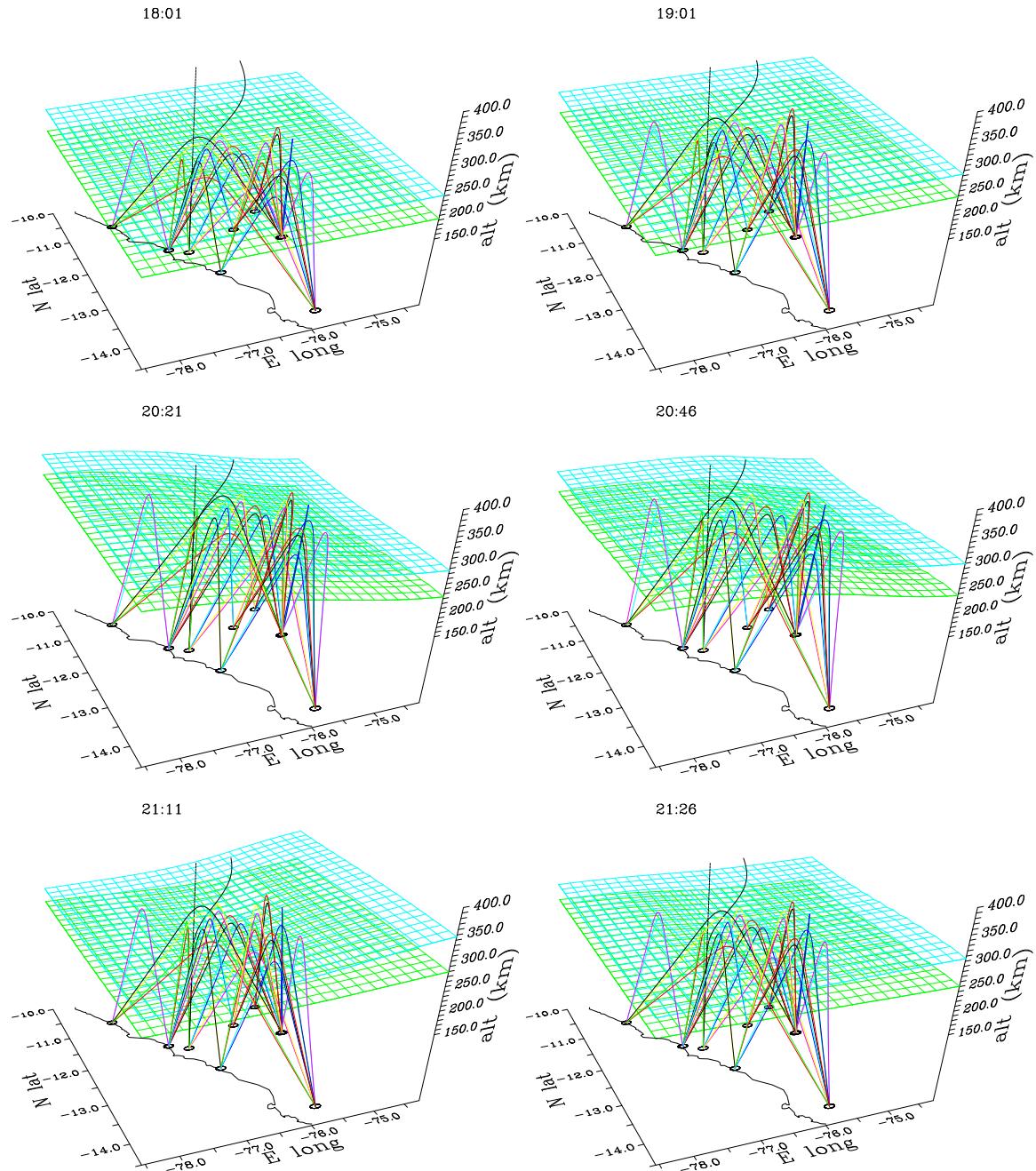


**Figure 7.** Same as Fig. 6 except for March 23, 2019.



**Figure 8.** Ionospheric reconstructions deduced from HF beacon data for March 22, 2019.

Each frame shows computed ray paths through a model ionosphere. The green and cyan meshes represent isodensity contours for  $N_e = 3\text{E}11$  and  $5\text{E}11 \text{ m}^{-3}$ , respectively. The black profile is an electron density profile at Jicamarca's location plotted on a linear scale.



**Figure 9.** Same as Fig. 8 except for March 23, 2019.