

## REVIEW

# Physics with reactor neutrinos

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## Review

## Physics with reactor neutrinos

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**Abstract**

Neutrinos produced by nuclear reactors have played a major role in advancing our knowledge of the properties of neutrinos. The first direct detection of the neutrino, confirming its existence, was performed using reactor neutrinos. More recent experiments utilizing reactor neutrinos have also found clear evidence for neutrino oscillation, providing unique input for the determination of neutrino mass and mixing. Ongoing and future reactor neutrino experiments will explore other important issues, including the neutrino mass hierarchy and the search for sterile neutrinos and other new physics beyond the standard model. In this article, we review the recent progress in physics using reactor neutrinos and the opportunities they offer for future discoveries.

**Keywords:** reactor neutrinos, neutrino oscillation, lepton flavor, neutrino mixing angles, neutrino masses

(Some figures may appear in colour only in the online journal)

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## 1. Introduction

Neutrinos are among the most fascinating and enigmatic particles in nature. The standard model in particle physics includes neutrinos as one of the fundamental point-like building blocks. Processes involving the production and interaction of neutrinos provided crucial inputs for formulating the electroweak theory, unifying the electromagnetic and weak interactions. Neutrinos also play a prominent role in cosmology. The abundant neutrinos produced soon after the big bang offer the potential to view the Universe at an epoch much earlier than that accessible from the cosmic microwave background. The direct detection of these ‘relic’ neutrinos from the big bang remains a major experimental challenge. For a long time, these neutrinos were also considered a prime candidate for dark matter. While this is no longer viable given the current upper limit on the neutrino mass, neutrinos nevertheless constitute a non-negligible fraction of the invisible mass in the Universe.

Neutrinos also play an important role in astrophysics. Detection of neutrinos emitted in a supernova explosion reveals not only the mechanisms of supernova evolution but also the properties and interactions of neutrinos in a super dense environment. Extensive efforts are also dedicated to the search for ultra-high-energy extra-galactic neutrinos. The charge-neutral neutrinos can potentially be traced back to locate the sources of ultra-high-energy cosmic rays.

Neutrino beams from accelerators have also been employed to probe the quark structures of nucleons and nuclei via deep inelastic scattering (DIS). Experiments using neutrino beams, together with those with charged lepton beams, have provided crucial tests to validate QCD as the theory for strong interactions.

Observations of neutrino mixings and the existence of three non-degenerate neutrino mass eigenstates have provided the only unambiguous evidence so far for physics beyond the standard model. The origin of such tiny neutrino mass remains a mystery and could reveal new mechanisms other than the Higgs mechanism for mass generation. Neutrinos may also be a portal for approaching the dark sector. Mixing between the standard model neutrinos with ‘sterile’ neutrinos in the dark sector could lead to observable effects.

The purpose of this article is to review recent progress in neutrino physics obtained from experiments performed near nuclear reactors. As a prolific and steady source of electron antineutrinos, nuclear reactors have been a crucial tool for understanding some fundamental properties of neutrinos. In fact, the first detection of neutrinos was from a reactor

neutrino experiment<sup>3</sup>. To illustrate the important roles of reactors for neutrino physics, we first briefly review the history of the discovery of neutrino.

In his famous letter to ‘radioactive ladies and gentlemen’, Pauli postulated [1] in 1930 the existence of a new charge-neutral weakly interacting particle emitted undetected in nuclear beta decay. This spin-1/2 particle would not only resolve the outstanding puzzle of energy non-conservation, but also explain the apparent violation of angular momentum conservation in nuclear beta decay. Soon after Pauli’s neutrino postulate, Fermi formulated [2, 3] in 1933 his celebrated theory of nuclear beta decay, taking into account Pauli’s neutrino, and successfully explained the experimental data. While Fermi’s theory provided convincing evidence for the existence of the neutrino, a direct detection of the neutrino had to wait for many years. The prospect for directly detecting the neutrino was considered by Bethe and Peierls [4], who suggested the so-called ‘inverse beta decay’ (IBD),  $\bar{\nu}_e + p \rightarrow e^+ + n$ , as a possible reaction to detect the neutrino. However, they estimated a tiny IBD cross section ( $\sim 10^{-42}$  cm<sup>2</sup>), prompting them to conclude that ‘...there is no practically possible way of observing the neutrino’. Responding to this conclusion, Pauli commented that ‘I have done something very bad by proposing a particle that cannot be detected; it is something no theorist should ever do [5]’.

The advent of nuclear reactors as a steady and intense source of electron antineutrinos ( $\bar{\nu}_e$ ) and the development of large volume liquid scintillator detectors opened the door for Fred Reines and Clyde Cowan to perform the pioneering experiments at the Hanford [6] and Savannah River [7, 8] nuclear reactors to detect neutrinos directly via the IBD reaction suggested by Bethe and Peierls. A crucial feature of the IBD reaction is the time correlation between the prompt signal from the ionization and annihilation of  $e^+$  and the delayed signal from the  $\gamma$  rays produced in the neutron capture. This distinctive pattern in time correlation allows a powerful rejection of many experimental backgrounds [9].

Upon the definitive observation of neutrinos via the IBD reaction, Reines and Cowan sent a telegram on June 14, 1956, to Pauli informing him that ‘..we have definitely detected neutrinos from fission fragments by observing inverse beta decay’. Pauli replied that ‘Everything comes to him who knows how to wait’ [5]. Indeed, it took 26 yr for Pauli’s neutrino to be detected experimentally. It would take another 30 yr before Reines received the Nobel Prize for his pioneering experiment.

In addition to discovering the neutrino via the IBD reaction, Reines, Cowan, and collaborators also reported several pioneering measurements using their large liquid scintillator detectors. They performed the first search for the neutrino magnetic moment via  $\nu - e$  elastic scattering, setting an upper limit at  $\sim 10^{-7}$  Bohr magnetons initially [10], which was later improved to  $\sim 10^{-9}$  Bohr magnetons using a larger detector [11]. A search for proton stability was also carried out,

<sup>3</sup> For convenience, we use ‘reactor neutrino’ instead of ‘reactor antineutrino’ throughout this review.

resulting in a lifetime of free protons (bound nucleons) greater than  $10^{21}$  ( $10^{22}$ ) yr. By inserting a sample of  $\text{Nd}_2\text{O}_3$  enriched in  $^{150}\text{Nd}$  inside the liquid scintillator, they searched for neutrinoless double beta decay from  $^{150}\text{Nd}$  and set a lower limit on the half-life at  $2.2 \times 10^{18}$  yr [12]. It is truly remarkable that searches for the neutrino magnetic moment, proton decay, and neutrinoless double beta decay are still among the most important topics being actively pursued, using techniques similar to those developed by Reines and Cowan. The favored reaction to detect reactor electron antineutrinos to date remains IBD, and large liquid scintillators are currently utilized or being constructed for a variety of fundamental experiments.

As recognized by Pauli when he first put forward his neutrino hypothesis, the neutrino must have a tiny mass, comparable or lighter than that of the electron [1]. Later, Fermi's theory for beta decay was found to be in excellent agreement with experimental data when a massless neutrino was assumed. Indeed, Fermi was in favor of a massless neutrino as a simple and elegant scenario, putting the neutrino in the same class of particles as the photon and the graviton [13]. A finite neutrino mass could be revealed from a precise measurement of the endpoint energy of nuclear beta decay, notably tritium beta decay. While the precision of tritium beta decay experiments continued to improve, yet no definitive evidence for a finite neutrino mass was found [14]. As one of the most abundant particles in the Universe, the exact value of the neutrino mass has implications not only on particle physics, but also on cosmology and astrophysics. The quest for determining the neutrino mass remains an active and exciting endeavor today.

Inspired by the mixing phenomenon observed in the neutral kaon system, Pontecorvo suggested the possibility of neutrino-antineutrino mixing and oscillation [15, 16]. After the muon neutrino was discovered, this idea was extended to the possible mixing and oscillation between neutrinos of different flavors (i.e. mixing between the electron neutrino and muon neutrino) [17–19]. Neutrino oscillation is a quantum mechanical phenomenon when neutrinos are produced in a state that is a superposition of eigenstates of different mass. As such, this oscillation is possible only when at least one neutrino mass eigenstate possesses a non-zero mass. The pattern of the oscillation, if found, will directly reveal the amount of mixing (in terms of mixing angle), as well as mass-squared difference (i.e.  $\Delta m_{21}^2 \equiv m_2^2 - m_1^2$ ). Thus, neutrino oscillation provided an exciting new venue to search for a tiny neutrino mass, beyond the reach of any foreseeable nuclear beta decay experiments.

Searches for the phenomenon of neutrino oscillation were pursued in earnest using a variety of man-made and natural sources of neutrinos. In the early 1980s, two reactor neutrino experiments reported possible evidence for neutrino oscillation. The experiment performed by Reines and collaborators [20] at the Savannah River reactor found an intriguing difference between the detected number of electron antineutrinos and the sum of electron and other types of antineutrinos using a deuteron (heavy water) target. The distinctions among different types of neutrino flavors were made possible through the observation of neutral-current as well as charged-current disintegration of the deuteron, a method adopted later by the

SNO solar neutrino experiment. The larger number of neutrinos observed for the neutral-current events than that for the charged-current ones suggested that some electron neutrinos had oscillated into other types of neutrinos as they traveled from the reactor to the detector.

The other tantalizing evidence [21] for neutrino oscillation was obtained by detecting IBD events at two distances, 13.6 and 18.3 m, from the core of the Bugey reactor in France. From a comparison of detected IBD events at the two distances, for which the uncertainties of the flux and energy spectrum of the neutrino source largely canceled, a smaller than expected number of detected IBD events at the larger distance was interpreted as evidence for oscillation.

Although later reactor experiments [22–25] performed in the 1980s and 1990s did not confirm the earlier results on neutrino oscillation, interest continued to grow in finding neutrino oscillation with larger and better detectors using intense reactor neutrino sources. The first observation of reactor neutrino oscillation was reported in 2002 by the KamLAND experiment [26]. Amusingly, while earlier experiments were located at relatively short distances from the reactors in order to have reasonable event rates, KamLAND was situated at an average distance of  $\sim 180$  km from the neutrino sources. At such a large distance, corresponding to a long oscillation period, the relevant neutrino mass scale is tiny, of the order of  $\Delta m^2 \sim 10^{-4}$  eV<sup>2</sup>. This long distance allows one to probe the large mixing angle (LMA) solution, one of the few possible explanations to the solar neutrino problem (see section 3.2 for more details). The KamLAND result, together with the analysis [27] of experiments reporting the observation of solar neutrino oscillation, allowed an accurate determination of the mixing angle ( $\theta_{12}$ ) governing these oscillations. The KamLAND result remains the best measurement of  $\Delta m_{21}^2$ .

Starting from the late 1980s, evidence for neutrino oscillation was reported by the large underground detectors including Kamiokande [28, 29] and Super-Kamiokande [30], which detected energetic electron and muon neutrinos ( $\sim \text{GeV}$ ) originating from the decay of mesons produced in the interaction of cosmic rays in Earth's atmosphere. These results suggested the possibility of observing oscillation for reactor neutrinos at a distance of  $\sim 1$  km. Two reactor neutrino experiments, CHOOZ [31, 32] and Palo Verde [33], were constructed specifically to look for such oscillations. However, no evidence for oscillation was found within the sensitivities of both experiments. The CHOOZ experiment set an upper limit at 0.12 (90% C.L.) for  $\sin^2 2\theta_{13}$  [32]. Together with other oscillation experiments, in particular Super-Kamiokande, these results indicated a very small value, possibly zero, for the mixing angle  $\theta_{13}$ , which dictates the amplitude of the reactor neutrino oscillation at this distance scale.

As one of the fundamental parameters describing the properties of neutrinos,  $\theta_{13}$  is also highly relevant for the phenomenon of CP-violation in the neutrino sector. The importance of the as yet unknown mixing angle  $\theta_{13}$  led to a worldwide effort to measure it in high-precision experiments. Around 2006, three reactor neutrino experiments, Daya Bay, Double Chooz, and RENO, were proposed to probe  $\theta_{13}$ . All three experiments have already collected unprecedentedly large

numbers of neutrino events. Evidence for non-zero values of  $\theta_{13}$ , deduced from the observation of neutrino oscillation at a 1–2 km distance, has emerged from all three experiments [34–36]. Despite being the smallest among the three neutrino mixing angles in the standard three-neutrino paradigm,  $\theta_{13}$  is nevertheless the most precisely determined to date.

Discovery of a non-zero  $\theta_{13}$  mixing angle is an important milestone in neutrino physics. The precise measurement of  $\theta_{13}$  not only provides a crucial input for model-building in neutrino physics, but also inspires new reactor neutrino experiments to explore other important issues in neutrino physics, such as determining the neutrino mass hierarchy [37] and searching for sterile neutrinos [38]. It is remarkable that all ongoing and planned reactor neutrino experiments adopt essentially the same techniques pioneered by Reines and Cowan and their coworkers over 60 yr ago.

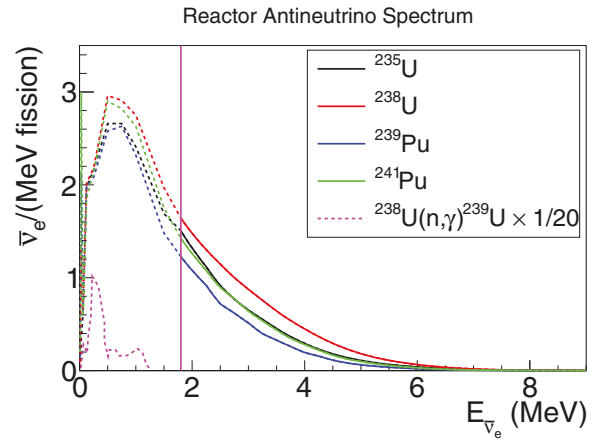
The focus of this review is on the three ongoing reactor neutrino experiments, Daya Bay, Double Chooz, and RENO. These experiments share many common features, and we will in some cases discuss one of these experiments as a specific example. Previous review articles on reactor neutrino physics are also available [39–42]. The organization of this review article is as follows. Section 2 describes the salient characteristics of the antineutrinos produced in nuclear reactors as well as the experimental techniques for detecting them. The subject of reactor neutrino oscillation is discussed in section 3. The discussion regarding the reactor antineutrino anomaly and the search for a light sterile neutrino is presented in section 4. Some additional physics topics accessible in reactor neutrino experiments are described in section 5, followed by conclusions in section 6.

## 2. Production and detection of reactor neutrinos

To date, five main natural and man-made neutrino sources have played crucial roles in advancing our knowledge of neutrino properties. They are: (i) reactor electron antineutrinos ( $\bar{\nu}_e$ ) produced through fission processes; (ii) accelerator neutrinos ( $\nu_\mu$ ,  $\nu_e$ ,  $\bar{\nu}_\mu$ , and  $\bar{\nu}_e$ ) resulting from decays of mesons created by proton beams bombarding a production target; (iii) solar neutrinos ( $\nu_e$ ) generated via fusion processes in the sun; (iv) supernova neutrinos (all flavors) produced during supernova explosions; and (v) atmospheric neutrinos ( $\nu_\mu$ ,  $\nu_e$ ,  $\bar{\nu}_\mu$ , and  $\bar{\nu}_e$ ) created through decays of mesons produced by the interaction of high-energy cosmic rays with Earth's atmosphere. Beside these, geoneutrinos produced from radionuclide inside the Earth and extra-galactic ultra-high energy neutrinos have also been detected.

Compared to atmospheric and accelerator neutrinos, reactor neutrinos have the advantage of being a source of pure flavor ( $\bar{\nu}_e$  with energy up to  $\sim 10$  MeV)<sup>4</sup>. In addition, the primary reactor neutrino detection channel, IBD, is well understood theoretically and allows an accurate measurement of the neutrino energy, unlike high-energy neutrino–nucleus interactions. Compared to rates for solar and supernova neutrinos, the

<sup>4</sup> At very low energy ( $\sim 0.1$  MeV), a small component of  $\nu_e$  is generated from neutron activation of shielding materials [43].



**Figure 1.** The  $\bar{\nu}_e$  energy spectra for  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Pu}$  fissions. Above the inverse beta decay (IBD) threshold (marked by the vertical line), spectra from [46, 47] are shown. Below the IBD threshold, spectra are plotted based on table II of [48]. Fine structures at the end points of various decay branches cannot be seen, given the coarse binning. In addition, we show the antineutrino spectrum produced by neutron capture on  $^{238}\text{U}$  (taken from [49]), which is normalized properly relative to the  $^{238}\text{U}$  fission and scaled down by a factor of 20 for the display.

event detection rate of reactor neutrinos can be much larger, as detectors can be placed at distances close to the source. In this section, we review the production and detection of reactor neutrinos.

### 2.1. Production of reactor neutrinos

Energy is generated in a reactor core through neutron-induced nuclear fission. This process is maintained by neutrons emitted in fission. For example, the average number of emitted neutrons is about 2.44 per  $^{235}\text{U}$  fission [44], among which, on average, only one neutron will induce a new fission reaction for a controlled reactor operation.

While the fission of  $^{235}\text{U}$  is the dominating process in a research reactor using highly enriched uranium (HEU) fuel ( $>20\%$   $^{235}\text{U}$  concentration), more fissile isotopes are involved in a commercial power reactor using low enriched uranium (LEU) fuel (3%–5%  $^{235}\text{U}$  concentration). Inside the core of a commercial power reactor, a portion of the neutrons are captured by  $^{238}\text{U}$  because of its much higher concentration, producing new fissile isotopes:  $^{239}\text{Pu}$  and  $^{241}\text{Pu}$ . Fissions of  $^{235}\text{U}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Pu}$  are induced by thermal neutrons ( $\sim 0.025$  eV kinetic energy). In contrast, fission of  $^{238}\text{U}$  can be induced only by fast neutrons ( $\sim 1$  MeV kinetic energy). The average number of emitted neutrons are 2.88 [44], 2.95 [44], and 2.82 [45] per  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$ , and  $^{238}\text{U}$  fission, respectively.

The reactor neutrinos are mainly produced through the beta-decays of the neutron-rich fission daughters of these four isotopes, in which a bound neutron is converted into a proton while producing an electron and an electron antineutrino. Besides the fission processes, another important source of  $\bar{\nu}_e$  originates from neutron capture on  $^{238}\text{U}$ :  $^{238}\text{U}(n, \gamma)^{239}\text{U}$ . The beta decay of  $^{239}\text{U}$  ( $Q$ -value of 1.26 MeV and half-life of 23.5 min) and the subsequent beta decay of  $^{239}\text{Np}$  ( $Q$ -value



of 0.72 MeV and half-life of 2.3 d) produce a sizable amount of  $\bar{\nu}_e$  at low energies. An average of  $\sim 6$   $\bar{\nu}_e$  were produced per fission, leading to  $\sim 2 \times 10^{20}$   $\bar{\nu}_e$  emitted every second isotropically for each GW of thermal power.

The expected  $\bar{\nu}_e$  energy spectra are shown in figure 1. The magnitude of  $\bar{\nu}_e$  spectra for  $^{238}\text{U}$  ( $^{241}\text{Pu}$ ) are larger than that of  $^{235}\text{U}$  ( $^{239}\text{Pu}$ ), because more neutron-rich fissile isotopes lead to more beta-unstable neutron-rich fission daughters. In addition, the  $\bar{\nu}_e$  energy spectrum is considerably harder for the fast-neutron-induced  $^{238}\text{U}$  fission chain than the other three thermal-neutron induced fission chains.

For commercial power reactors burning LEU, typical average values of fission fractions during operation are around 58%, 29%, 8%, and 5% for  $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{238}\text{U}$ , and  $^{241}\text{Pu}$ , respectively. Roughly 30% of the antineutrinos (two out of the average six antineutrinos produced per fission) have energies above 1.8 MeV, which is the energy threshold of the IBD process. In particular, the low-energy  $\bar{\nu}_e$  produced by neutron capture on  $^{238}\text{U}$  is irrelevant for detection through IBD. In the following, we describe two principal approaches for calculating the antineutrino flux and energy spectrum. More details can be found in a recent review [50].

In the first approach, the flux and spectrum can be predicted by the cumulative fission yields  $Y_n(t)$  at time  $t$  for fission product of nucleus  $n$  having a mass number  $A$  and an atomic number  $Z$ , branching ratios  $b_{n,i}$  of  $\beta$ -decay branch  $i$  with endpoints  $E_0^{n,i}$ , and the energy spectrum of each of  $\beta$  decays  $P(E_{\bar{\nu}}, E_0^{n,i})$ :

$$\frac{dN}{dE_{\bar{\nu}}} = \sum_n Y_n(t) \cdot \left( \sum_i b_{n,i} \cdot P(E_{\bar{\nu}}, E_0^{n,i}) \right). \quad (1)$$

This method was recently used in [47] and included about 10k beta decay branches, following the early work in [51–55]. Despite being straightforward, several challenges in this method lead to large uncertainties in predicting the flux and spectrum. First, the fission yields,  $\beta$ -decay branching ratios, and the endpoint energies are sometimes not well known, especially for short-lived fragments having large beta-decay  $Q$  values. Second, the precise calculation of the individual spectrum shape  $P(E_{\bar{\nu}}, E_0^{n,i})$  requires a good model of the Coulomb distortions (including radiative corrections, the nuclear finite-size effects, and weak magnetism) in the case of an allowed decay type having zero orbital angular momentum transfer. Finally, many of the decay channels are of the forbidden types having non-zero orbital angular momentum transfer. For example, about 25% of decays are the first forbidden type involving parity change, in which the individual spectrum shape  $P(E_{\bar{\nu}}, E_0^{n,i})$  is poorly known. Generally, a 10%–20% relative uncertainty on the antineutrino spectra is obtained using this method.

Another method uses experimentally measured electron spectra associated with the fission of the four isotopes to deduce the antineutrino spectra. The electron energy spectra for the thermal neutron fission of  $^{235}\text{U}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Pu}$  have been measured at Institut Laue–Langevin (ILL) [56–58]. The electron spectrum associated with the fast neutron fission of  $^{238}\text{U}$  has been measured in Munich [59]. Since the electron

**Table 1.** Summary of various  $\bar{\nu}_e$  detection methods. CC (NC) stands for the charged-current (neutral-current) interaction. The cross section is integrated over the entire reactor neutrino energy spectrum.  $N$  stands for the number of neutrons in the target nucleus. For these estimations, fission fractions are assumed to be 58%, 29%, 8%, and 5% for  $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{238}\text{U}$ , and  $^{241}\text{Pu}$ , respectively.

Channel	Interaction Type	Cross section ( $10^{-44} \text{ cm}^2/\text{fission}$ )	Threshold (MeV)
$\bar{\nu}_e + p \rightarrow e^+ + n$	CC	$\sim 63$	1.8
$\bar{\nu}_e + d \rightarrow n + n + e^+$	CC	$\sim 1.1$	4.0
$\bar{\nu}_e + d \rightarrow n + p + \bar{\nu}_e$	NC	$\sim 3.1$	2.2
$\bar{\nu}_e + e^- \rightarrow \bar{\nu}_e + e^-$	CC/NC	$\sim 0.4$	0
$\bar{\nu}_e + A \rightarrow \bar{\nu}_e + A$	NC	$\sim 9.2 \times N^2$	0

and the  $\bar{\nu}_e$  share the total energy of each  $\beta$ -decay branch, ignoring the negligible nuclear recoil energy, the  $\bar{\nu}_e$  spectrum can be deduced from the measured electron spectrum.

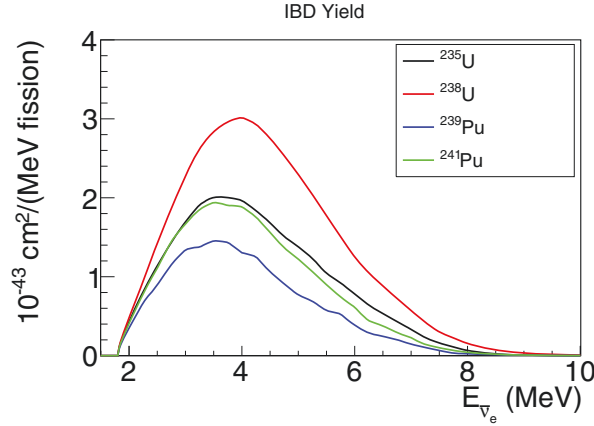
The procedure involved fitting the electron spectrum to a set of  $\sim 30$  virtual branches having equally spaced endpoint energies, assuming all decays are of the allowed type. For each virtual branch, the charge of parent nucleus  $Z$  is taken from a fit to the average  $Z$  of real branches as a function of the endpoint energy. The conversion to the  $\bar{\nu}_e$  spectrum is then performed in each of these virtual branches using their fitted branching ratios. This conversion method was used in [47, 56–58, 60].

In addition to the experimental uncertainties associated with the electron spectrum, corrections to the individual  $\beta$ -decay branch resulting from radiative correction, weak magnetism, and finite nuclear size also introduce uncertainties. With these contributions, the model uncertainty in the flux is estimated to be  $\sim 2\%$  [46, 47]. However, the uncertainties resulting from spectrum shape and magnitude of the numerous first forbidden  $\beta$  decays can be substantial [61]. When the first forbidden decays are included, the estimated uncertainty increases to  $\sim 5\%$  [61]. Besides these model uncertainties, the total experimental uncertainty of the  $\bar{\nu}_e$  spectrum further includes the contribution from the thermal power of the reactor, its time-dependent fuel composition (i.e. fission fractions), and fission energies associated with  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Pu}$ .

## 2.2. Detection of reactor neutrinos

In addition to the aforementioned IBD process, several methods can potentially be used to detect reactor neutrinos. The first method is the charged-current (CC) ( $\bar{\nu}_e + d \rightarrow n + n + e^+$ ) and neutral-current (NC) deuteron break-up ( $\bar{\nu}_e + d \rightarrow n + p + \bar{\nu}_e$ ) using heavy water as a target. These processes were used to compare the NC and CC cross sections [20, 62]. Similar processes involving  $\nu_e$  were also used in the SNO experiment in detecting the flavor transformation of solar neutrinos [63].

The second method is the antineutrino-electron elastic scattering,  $\bar{\nu}_e + e^- \rightarrow \bar{\nu}_e + e^-$ , which combines the amplitudes of the charged-current (exchange of  $W$  boson) and the



**Figure 2.** Inverse beta decay yields from the convolution of the IBD cross section and the antineutrino spectra for  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Pu}$ .

neutral-current (exchange of  $Z$  boson). The signature of this process would be a single electron in the final state. This process has been used to measure the weak mixing angle  $\theta_W$  and to constrain anomalous neutrino electromagnetic properties [49, 64–67]. Neutrino-electron scattering is also one of the primary approaches to detect solar neutrinos [63, 68, 69].

The third method is the coherent antineutrino-nucleus interaction, in which the signature is a tiny energy deposition by the recoil nuclei. Although coherent elastic neutrino-nucleus scattering was observed recently for the first time [70] using neutrinos produced in the decay of stopped pions, the observation for this process for less-energetic reactor neutrinos has not been achieved. Table 1 summarizes some essential information for these detection channels.

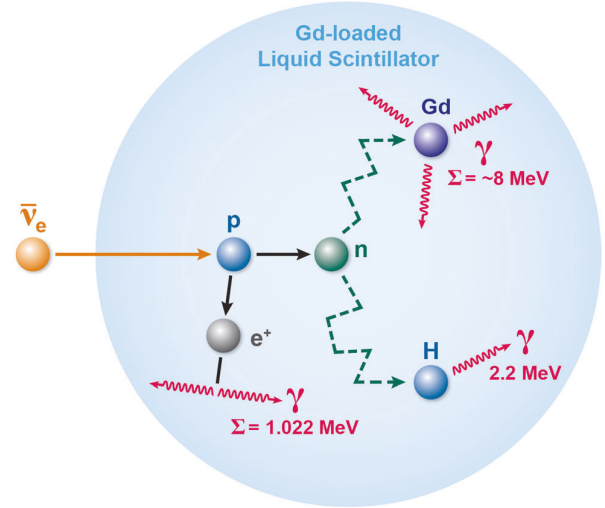
So far, the primary method to detect the reactor  $\bar{\nu}_e$  is the IBD reaction:  $\bar{\nu}_e + p \rightarrow e^+ + n$ . The energy threshold of this process is about 1.8 MeV, and the cross section is accurately known [71, 72]. At the zeroth order in  $1/M$ , with  $M$  being the nucleon mass, the cross section can be written as:

$$\sigma^{(0)} = \frac{G_F^2 \cos^2 \theta_C}{\pi} (1 + \Delta_{\text{inner}}^R) \cdot (f^2 + 3g^2) \cdot E_e^{(0)} \cdot p_e^{(0)}, \quad (2)$$

with  $G_F$  being the Fermi coupling constant and  $\theta_C$  being the Cabibbo angle. The vector and axial vector coupling constants are  $f = 1$  and  $g = 1.27$ , respectively.  $\Delta_{\text{inner}}^R$  represents the energy independent inner radiative corrections.  $E_e$  and  $p_e$  are the energy and momentum of the final-state positron having  $E_e^{(0)} = E_\nu - (M_n - M_p)$  after ignoring the recoil neutron kinetic energy. The IBD cross section can be linked to the neutron lifetime  $\tau_n = 880.2 \pm 1.0$  s [14] as:

$$\begin{aligned} \sigma^{(0)} &= \frac{2\pi^2/m_e^2}{f^R \tau_n} E_e^{(0)} \times p_e^{(0)} \\ &\approx 9.52 \times \left( \frac{E_e^{(0)} \cdot p_e^{(0)}}{\text{MeV}^2} \right) \times 10^{-44} \text{ cm}^2, \end{aligned} \quad (3)$$

with  $m_e$  being the mass of the electron and  $f^R = 1.7152$ , representing the neutron decay phase space factor that includes the Coulomb, weak magnetism, recoil, and outer radiative corrections. The above formula represents the zeroth order in  $1/M$ ,



**Figure 3.** Principle of the IBD detection in a Gd-loaded liquid scintillator. The electron antineutrino interacts with a free proton. The ionization and annihilation of the final-state positron form the prompt signal. The capture of the recoil neutron on Gd (or H) gives the delayed signal.

and we should note that the corrections of the first order in  $1/M$  are still important at reactor energies.

The various forms of extension to all orders in  $1/M$ , as well as the convenient numerical form of radiative corrections of order  $\alpha/\pi$  can be found in [71, 72]. Figure 2 shows the IBD yield obtained from the convolution of the IBD cross section and the antineutrino energy spectra. While peak positions for the thermal neutron fission ( $^{235}\text{U}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Pu}$ ) occur at an energy around 3.5 MeV, the peak position for fast-neutron fission ( $^{238}\text{U}$ ) is at a slightly higher energy, around 4 MeV. The IBD yield is also larger for the latter.

As shown in figure 3, an IBD event is indicated by a pair of coincident signals consisting of (i) a prompt signal induced by positron ionization and annihilation inside the detector; and (ii) a delayed signal produced by the neutron captured on a proton or a nucleus (such as Gd). Because of time correlation, IBD can be clearly distinguished from radioactive backgrounds, which usually contain no delayed signal.

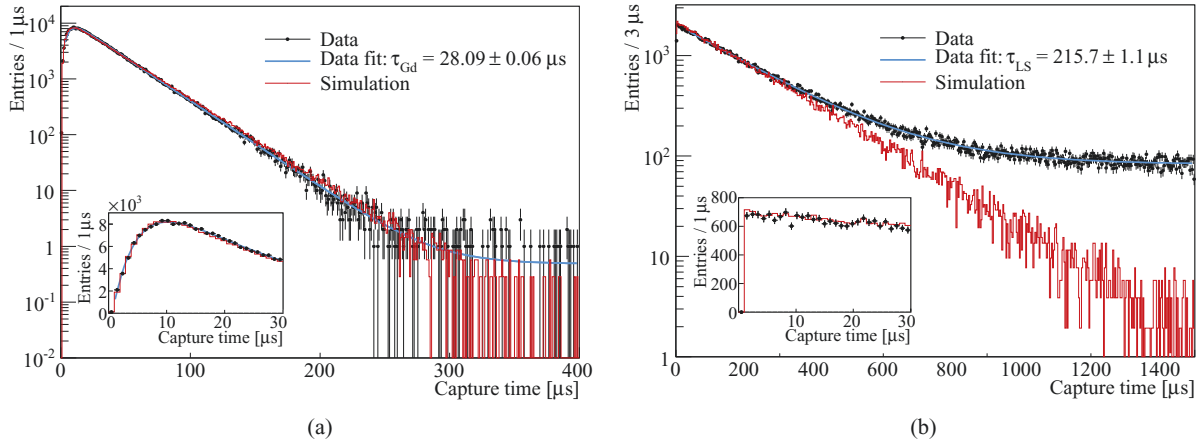
The energy of the prompt signal is related to the neutrino energy via  $E_\nu \approx E_{\text{prompt}} + 0.78 \text{ MeV} + T_n$ , with  $T_n$  being the kinetic energy of the recoil neutron. Since  $T_n$ , of the order of tens of keV, is much smaller than that of  $\bar{\nu}_e$ , the neutrino energy can be accurately determined by the prompt energy, which is a very attractive feature for measuring neutrino oscillation.

Table 2 summarizes various nuclei used in past experiments to capture recoil neutrons from the IBD reaction. For example, for a neutron captured on a proton, the delayed signal comes from a single 2.2 MeV  $\gamma$  ray. In comparison, for a neutron captured on Gd, the delayed signal consists of a few  $\gamma$  rays having the total energy of  $\sim 8$  MeV. For a pure liquid scintillator, the average time between the prompt and delayed signals is  $\sim 210 \mu\text{s}$ . This is reduced to  $\sim 30 \mu\text{s}$  for a 0.1% Gd-doped liquid scintillator because of the additional contribution of neutron capture on Gd, which has a much higher cross section than that of hydrogen. The slow rise in the initial nGd capture rate, shown in the inset of figure 4(A), reflects

**Table 2.** Various nuclei used in experiments to capture recoil neutrons from the IBD reaction. The detection channels and their cross sections [45] for thermal neutron capture are listed.  $^{157}\text{Gd}$  has the highest thermal-neutron capture cross section of any stable nuclide.

Target nucleus	Process	Cross section (barn) for thermal neutron
H	$n + p \rightarrow d + \gamma$ (2.2 MeV)	$\sim 0.33$
$^3\text{He}$	$n + ^3\text{He} \rightarrow p + ^3\text{H} + 0.764 \text{ MeV}$	$\sim 5300$
$^6\text{Li}$	$n + ^6\text{Li} \rightarrow \alpha + ^3\text{H} + 4.6 \text{ MeV}$	$\sim 950$
$^{10}\text{B}$	$n + ^{10}\text{B} \rightarrow \alpha + ^7\text{Li} + 6.2 \text{ MeV}$	$\sim 3860$
$^{108}\text{Cd}$	$n + ^{108}\text{Cd} \rightarrow ^{109m}\text{Cd} \rightarrow ^{109}\text{Cd} + \gamma$ (0.059 MeV)	$\sim 1000^a$
Gd	$n + ^{155}\text{Gd} \rightarrow ^{156}\text{Gd} + \gamma_s$ (8.5 MeV)	$\sim 61\,000$
	$n + ^{157}\text{Gd} \rightarrow ^{158}\text{Gd} + \gamma_s$ (7.9 MeV)	$\sim 256\,000$

<sup>a</sup> The cross section corresponds to the metastable resonance state around 0.3 keV neutron kinematic energy.

**Figure 4.** The time difference between prompt and delayed signals for a neutron captured on Gd (A) and hydrogen (B). Reprinted figure with permission from [73]. Copyright (2016) by the American Physical Society. The data histograms contain backgrounds leading to non-exponential distributions visible at large capture times.

the time it takes to thermalize neutrons from the IBD reaction. The nGd capture cross section is much larger for thermal neutrons than higher-energy neutrons. In contrast, the nH capture probability is essentially independent of neutron's kinetic energy. Hence, no such initial slow rise in the nH capture rate is observed (inset of figure 4(B)).

Besides the advantages of good background rejection and excellent reconstruction of the neutrino energy, the IBD process allows organic (liquid) scintillators and water to be used as detector media. These materials can be easily prepared in large volumes at low cost, which is ideal for experiments studying neutrino properties. In addition, these features also allow IBD to be used for non-intrusive surveillance of nuclear reactors by providing an independent and accurate measurement of reactor power away from the reactor core. In addition, a precision measurement of the rate and energy spectrum may provide a measurement of isotopic composition in the reactor core, providing a safeguard application (i.e. to detect diversion of civilian nuclear reactors into weapon's programs). For more details, see [74–77], among others.

### 2.3. Detector technology in reactor neutrino experiments

In this section, we briefly review the detector technology used in reactor neutrino experiments. A recent review containing additional information can be found in [78].

The scintillator technology is widely used in reactor neutrino experiments. Given its advantage in mass production, uniformity, doping capability, and relatively low cost, liquid scintillator (LS) is often selected as the medium for large-scale reactor neutrino experiments. For example, the Daya Bay, Double Chooz, and RENO experiments all utilized Gd-doped LS as the medium to detect IBD events. As discussed earlier, the coincidence between the prompt signal and the  $\sim 8 \text{ MeV}$  nGd-capture delayed signal provides a powerful means for identifying IBD events and rejecting accidental backgrounds. Another example is the  $^6\text{Li}$ -doped LS, used in very-short-baseline experiments, such as Bugey-3 and PROSPECT experiments. The alpha and triton produced in the n $^6\text{Li}$  capture (see table 2) generate relatively slow scintillation light, allowing an effective reduction of the fast signals from  $\gamma$ -ray backgrounds via pulse-shape discrimination (PSD).

In addition to the time correlation, the spatial correlation between the prompt and delayed signals for IBD events can also be utilized for accidental background rejection. A good spatial resolution can be obtained using a segmented detector configuration. The capability to reject background with finely segmented detector is particularly important for detectors without much overburden (e.g. Palo Verde) and/or situated close to the reactor core (e.g. very-short-baseline experiments described in section 4.2). As a result of the inactive materials separating the segments, its energy resolution is typically



worse than that of a homogeneous detector with a similar scintillation light yield and photo-cathode coverage.

Spherical, cylindrical, and rectangular shape are typical choices of detector geometry. The spherical geometry has the largest volume-to-surface ratio. Since the light detectors are typically placed on the inner surface, this choice is the most cost-effective for large detectors (such as KamLAND and JUNO). Having the maximal symmetry, the spherical geometry also has the advantage in energy reconstruction.

Compared to a spherical-geometry detector, a cylindrical-geometry detector is much easier to construct. This is particularly important for the recent  $\theta_{13}$  reactor experiments: Daya Bay, Double Chooz, and RENO, which utilized multiple functional-identical detectors at the same and/or different sites to limit the detector-related systematics. Besides the choice of the cylindrical geometry, the recent reactor  $\theta_{13}$  experiments also adopt a 3-zone detector design with the inner, middle, and outer layers being Gd-loaded LS, pure LS, and mineral oil, respectively. The inner Gd-loaded LS region is the main target region, where IBD events with neutron captured on Gd are identified. The middle LS region is commonly referred to as the gamma catcher, which measures  $\gamma$  rays escaping from the target region. The choice of two layers instead of one significantly reduced the uncertainty on the fiducial volume. The outer region serves as a buffer to suppress radioactive backgrounds from PMTs and the stainless-steel container. In comparison, the KamLAND detector contains two layers: the target LS region and the mineral oil layer. The rectangular detector shape is a typical choice for segmented detectors in very-short-baseline reactor experiments.

While the overburden is crucial for reducing cosmogenic backgrounds, additional passive and active shields are needed to further suppress radioactive backgrounds from environment. For example, the KamLAND, Daya Bay, RENO detectors are installed inside water pools, which also function as active Cerenkov detectors. The shieldings for very-short-baseline reactor experiments are typically more complicated in order to significantly reduce the surface neutron flux from cosmic rays and reactors. For example, PROSPECT experiment installed multiple layers of shielding including water, polyethylene, borated-polyethylene, and lead.

Despite being the best known neutrino source with the longest history, there is still much to learn about the production and detection of reactor neutrinos, which can be crucial for future experiments. In section 4, we will discuss measurements of the reactor neutrino flux and discrepancies with theoretical predictions, and how recent and future measurements of the reactor neutrino energy spectrum and the time evolution of the neutrino flux can shed light on these discrepancies. In section 5, we will describe how additional reactor neutrino detection methods beyond IBD can enable searches for new physics beyond the standard model.

### 3. Neutrino oscillation using nuclear reactors

We discuss in this section the recent progress of reactor experiments in advancing our knowledge of neutrino oscillation.

Following an overview of the theoretical framework for neutrino oscillation, a highlight of the KamLAND experiment, which was the first experiment to observe reactor neutrino oscillation, is presented. The recent global effort to search for a non-zero neutrino mixing angle  $\theta_{13}$ , carried out by three large reactor neutrino experiments, is then described in some detail. We conclude this section with a discussion of the prospects for future reactor experiments to explore other aspects of neutrino oscillation.

#### 3.1. Theoretical framework for neutrino oscillations

Neutrino oscillation is a quantum mechanical phenomenon analogous to  $K^0 - \bar{K}^0$  oscillation in the hadron sector. This phenomenon is only possible when neutrino masses are non-degenerate and when the flavor and mass eigenstates are not identical, leading to the flavor-mixing for each neutrino mass eigenstate. A recent review on the neutrino oscillation can be found in [79].

The standard model of particle physics posits three active neutrino flavors,  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$  that participate in the weak interaction. These active neutrinos are all left-handed in chirality and nearly all negative in helicity [80], where their spin direction is antiparallel to their momentum direction<sup>5</sup>. The number of (light) active neutrinos, determined from the measurement of the invisible width of the Z-boson at LEP to be  $N_\nu^{\text{LEP}} = 2.984 \pm 0.008$  [81], is consistent with recent measurement of the effective number of (nearly) massless neutrino flavors  $N_\nu^{\text{CMB}} = 3.13 \pm 0.31$  [82] from the power spectrum of the cosmic microwave background (CMB). For a long time, the masses of neutrinos were believed to be zero, as no right-handed neutrino has ever been detected in experiments. However, in the past two decades, results from several neutrino experiments can be described as neutrino oscillation involving non-zero neutrino mass and mixing among the three neutrino flavors. The neutrino mixing is analogous to the quark mixing via the Cabibbo–Kobayashi–Maskawa (CKM) matrix [83, 84].

Although a definitive description of massive neutrinos beyond the standard model has not yet been elucidated, the existing data firmly establishes that the three neutrino flavors are superpositions of at least three light-mass states  $\nu_1$ ,  $\nu_2$ ,  $\nu_3$  having different masses,  $m_1$ ,  $m_2$ ,  $m_3$ :

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}. \quad (4)$$

The unitary  $3 \times 3$  mixing matrix,  $U$ , called the Pontecorvo–Maki–Nakagawa–Sakata (PMNS) matrix [15, 17, 18], is parameterized by three Euler angles,  $\theta_{12}$ ,  $\theta_{13}$ , and  $\theta_{23}$ , plus one or three phases (depending on whether neutrinos are Dirac or Majorana types), potentially leading to CP violation. The mixing matrix  $U$  is conventionally expressed as the following product of matrices:

<sup>5</sup> In the massless or high-energy limit, the chirality is equivalent to the helicity.

$$U = R_{23}(c_{23}, s_{23}, 0) \cdot R_{13}(c_{13}, s_{13}, \delta_{\text{CP}}) \cdot R_{12}(c_{12}, s_{12}, 0) \cdot R_M \quad (5)$$

with  $R_{ij}$  being  $3 \times 3$  rotation matrices, e.g.

$$R_{13} = \begin{pmatrix} c_{13} & 0 & s_{13} \cdot e^{-i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13} \cdot e^{i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix}, \quad (6)$$

and  $R_M$  being a diagonal matrix:

$$R_M = \begin{pmatrix} e^{i\alpha} & 0 & 0 \\ 0 & e^{i\beta} & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (7)$$

Here  $c_{ij} = \cos \theta_{ij}$ ,  $s_{ij} = \sin \theta_{ij}$ . The Dirac phase is  $\delta_{\text{CP}}$ . Majorana phases are denoted by  $\alpha$  and  $\beta$ . Therefore, a total of seven or nine additional parameters are required in the minimally extended standard model to accommodate massive Dirac or Majorana neutrinos, respectively.

The phenomenon of neutrino flavor oscillation arises because neutrinos are produced and detected in their flavor eigenstates but propagate as a mixture of mass eigenstates. For example, in vacuum, the neutrino mass eigenstates having energy  $E$  would propagate as:

$$\begin{aligned} \frac{d}{dL} \begin{pmatrix} \nu_1(L) \\ \nu_2(L) \\ \nu_3(L) \end{pmatrix} &= -i \cdot V \cdot \begin{pmatrix} \nu_1(L) \\ \nu_2(L) \\ \nu_3(L) \end{pmatrix} \\ &= -i \begin{pmatrix} \frac{m_1^2}{2E} & 0 & 0 \\ 0 & \frac{m_2^2}{2E} & 0 \\ 0 & 0 & \frac{m_3^2}{2E} \end{pmatrix} \cdot \begin{pmatrix} \nu_1(L) \\ \nu_2(L) \\ \nu_3(L) \end{pmatrix}, \end{aligned} \quad (8)$$

after traveling a distance  $L$ . The above equation leads to the solution  $\nu_i(L) = e^{-i\frac{m_i^2}{2E}L} \nu_i(0)$ . Therefore, for a neutrino produced with flavor  $l$ , the probability of its transformation to flavor  $l'$  is expressed as:

$$\begin{aligned} P_{ll'} &\equiv P(\nu_l \rightarrow \nu_{l'}) = |\langle \nu_{l'}(L) | \nu_l(0) \rangle|^2 \\ &= \left| \sum_j U_{lj} U_{l'j}^* e^{-i(V_{jj}L)} \right|^2 \\ &= \sum_j |U_{lj} U_{l'j}^*|^2 + \sum_j \sum_{k \neq j} U_{lj} U_{l'j}^* U_{lk}^* U_{l'k} e^{i\frac{\Delta m_{jk}^2 L}{2E}}, \end{aligned} \quad (9)$$

with  $\Delta m_{jk}^2 = m_j^2 - m_k^2$ . From equation (9), it is obvious that the two Majorana phases are not involved in neutrino flavor oscillation. In other words, these Majorana phases cannot be determined from neutrino flavor oscillation.

When neutrinos propagate in matter, equation (9) must be modified because of the additional contribution originating from the interaction between neutrinos and matter constituents. This phenomenon is commonly referred to as the Mikheyev–Smirnov–Wolfenstein (MSW) [88–90] or matter effect. The modification in oscillation probabilities is a result of the additional contribution of charged-current interaction

**Table 3.** Neutrino oscillation parameters taken from [87]. For the atmospheric mass-squared difference ( $|\Delta m_{31}^2| \approx |\Delta m_{32}^2|$ ), the best fit results for both the normal (NH) and the inverted mass hierarchy (IH) are shown. These values are used in all the following plots, except where noted.

Parameter	Best fit value $\pm 1\sigma$	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.306^{+0.012}_{-0.012}$	(0.271, 0.345)
$\theta_{12}$ (degrees)	$33.56^{+0.77}_{-0.75}$	(31.38, 35.99)
$\Delta m_{21}^2 \times 10^{-5} \text{ eV}^2$	$7.50^{+0.19}_{-0.17}$	(7.03, 8.09)
(NH) $\sin^2 \theta_{23}$	$0.441^{+0.027}_{-0.021}$	(0.385, 0.635)
(NH) $\theta_{23}$ (degrees)	$41.6^{+1.5}_{-1.2}$	(38.4, 52.8)
(IH) $\sin^2 \theta_{23}$	$0.587^{+0.020}_{-0.024}$	(0.393, 0.640)
(IH) $\theta_{23}$ (degrees)	$50.0^{+1.1}_{-1.4}$	(38.8, 53.1)
(NH) $\sin^2 \theta_{13}$	$0.02166^{+0.00075}_{-0.00075}$	(0.01934, 0.02392)
(NH) $\theta_{13}$ (degrees)	$8.46^{+0.15}_{-0.15}$	(7.99, 8.90)
(IH) $\sin^2 \theta_{13}$	$0.02179^{+0.00076}_{-0.00076}$	(0.01953, 0.02408)
(IH) $\theta_{13}$ (degrees)	$8.49^{+0.15}_{-0.15}$	(8.03, 8.93)
(NH) $\delta_{\text{CP}}$ (degrees)	$261^{+51}_{-59}$	(0, 360)
(IH) $\delta_{\text{CP}}$ (degrees)	$277^{+40}_{-46}$	(145, 391) <sup>a</sup>
(NH) $\Delta m_{31}^2 \times 10^{-3} \text{ eV}^2$	$+2.524^{+0.039}_{-0.040}$	(+2.407, +2.643)
(IH) $\Delta m_{32}^2 \times 10^{-3} \text{ eV}^2$	$-2.514^{+0.038}_{-0.041}$	(-2.635, -2.399)

<sup>a</sup> (360, 391) degrees are essentially (0, 31) degrees.

(W-boson exchange) between electrons in matter with electron neutrinos (antineutrinos). For neutrinos of other flavors (muon and tau), interaction with electron can only proceed via neutral current (Z-boson exchange).

Taking into account the matter effect, we have

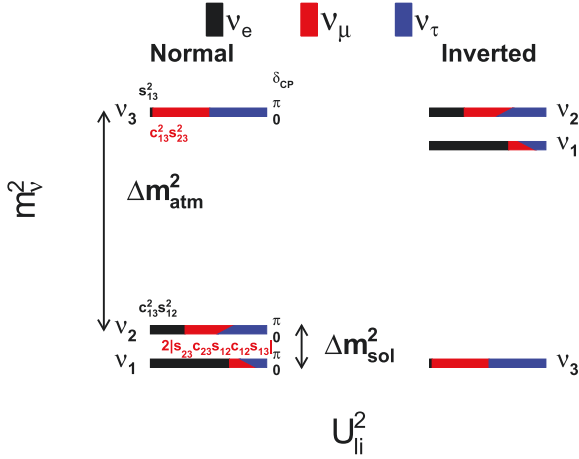
$$\frac{d}{dL} \begin{pmatrix} \nu_e(L) \\ \nu_\mu(L) \\ \nu_\tau(L) \end{pmatrix} = -i \begin{pmatrix} V_C & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \cdot \begin{pmatrix} \nu_e(L) \\ \nu_\mu(L) \\ \nu_\tau(L) \end{pmatrix}, \quad (10)$$

where  $V_C = \sqrt{2} G_F N_e$  with  $G_F$  being the Fermi constant and  $N_e$  being the electron density in matter. The sign of  $V_C$  is reversed for electron antineutrinos. The propagation matrix  $V$  in equation (8) is modified as

$$\begin{aligned} V' &= \begin{pmatrix} \frac{m_1^2}{2E} & 0 & 0 \\ 0 & \frac{m_2^2}{2E} & 0 \\ 0 & 0 & \frac{m_3^2}{2E} \end{pmatrix} + U^* \cdot \begin{pmatrix} V_C & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \cdot U \\ &= U_{\text{new}}^* \cdot D \cdot U_{\text{new}}, \end{aligned} \quad (11)$$

where  $U$  is the PMNS matrix.

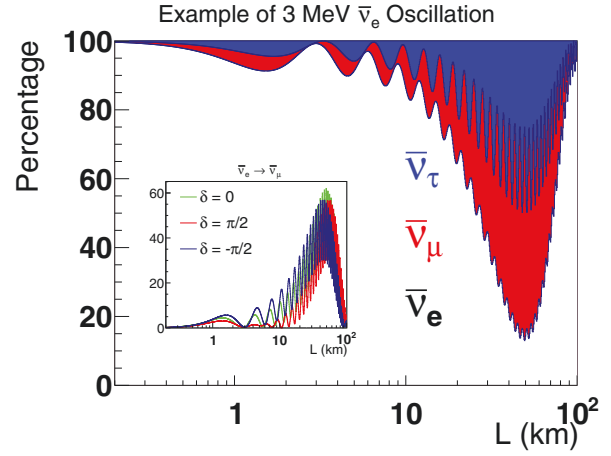
The new matrix  $V'$  can be expressed as a product of a unitarity matrix  $U_{\text{new}}$ , a diagonal matrix  $D$ , and  $U_{\text{new}}^*$ . The new energy eigenstates of neutrinos are thus  $\nu'_j = \sum_i U_{\text{new}}^{ij} \nu_i$ , and the new mixing matrix connecting the flavor eigenstates and the energy eigenstates becomes  $U' = U \cdot U_{\text{new}}^*$ . The oscillation probability in equation (9) can be obtained by substituting the mixing matrix  $U$  by  $U'$  and the mass eigenstates  $\nu_i$  by the energy eigenstates  $\nu'_i$ . For reactor neutrino experiments, this effect is generally small because of low neutrino energies



**Figure 5.** Patterns of neutrino mass and mixing for the normal (left) and inverted (right) hierarchy following [85, 86]. The best-fit values of neutrino mixing parameters in [87] are used, which results in slightly different decompositions of the mass eigenstates in terms of flavor eigenstates depending on the mass hierarchy.  $\Delta m_{\text{sol}}^2 = \Delta m_{21}^2$  and  $\Delta m_{\text{atm}}^2 = |\Delta m_{32}^2| \approx |\Delta m_{31}^2|$ . The  $l$  flavor component in the  $i$ th mass eigenstate is expressed as  $|U_{li}^2|$ . The magnitude in front of  $\cos \delta_{\text{CP}}$  is  $2|s_{23}c_{23}s_{12}c_{12}s_{13}|$ . Adapted with permission from [86]. © Copyright 2016 Annual Review of Nuclear and Particle Science®

and short baselines. For example, the changes in disappearance probabilities are below 0.006% and 7% for the Daya Bay ( $\sim 1.7$  km baseline) and KamLAND ( $\sim 180$  km baseline) experiments, respectively, when the matter effect is taken into account.

The best values for the parameters obtained from a global fit [87] to neutrino oscillation data after the Neutrino 2016 conference [92] are summarized in table 3. A comparable result has also been obtained in [93]. Incremental updates on neutrino oscillation parameters have been presented in the Neutrino 2018 conference [94]. The patterns of neutrino mass and mixing are shown in figure 5. Regarding the parameters that can be accessed through neutrino oscillation, two crucial pieces, (i) the neutrino mass hierarchy (or the ordering of neutrino masses), which is the sign of  $\Delta m_{32}^2 = m_3^2 - m_2^2$ ; and (ii) the magnitude of the Dirac charge and parity (CP) phase  $\delta_{\text{CP}}$ , are still missing. Figure 6 shows an example of a 3 MeV reactor electron antineutrino oscillation in the standard three-neutrino framework:



**Figure 6.** Example of a 3 MeV reactor electron antineutrino oscillation in the three-neutrino framework. The current best estimate of neutrino mixing parameters (tabulated in table 3) is used. The red and blue bands refer to the oscillation into  $\bar{\nu}_\mu$  and  $\bar{\nu}_\tau$  respectively, and the black curve is the  $\bar{\nu}_e$  disappearance probability in percentages. The inner panel replots the  $\bar{\nu}_\mu$  appearance probability in percentages, which is in principle sensitive to the unknown CP phase  $\delta_{\text{CP}}$ . However, the energy of the reactor neutrino is less than the  $\bar{\nu}_\mu$  charged-current interaction threshold. The corresponding CPT-invariant process  $\nu_\mu \rightarrow \nu_e$  is the primary method to measure  $\delta_{\text{CP}}$  using accelerator neutrinos.

with  $\Delta_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E}$  and  $\delta_{le} = -\arg(U_{l3}^* U_{e3} U_{l2} U_{e2}^*)$  for lepton flavor  $l$ . The fast and slow oscillation corresponds to  $|\Delta m_{32}^2| \approx |\Delta m_{31}^2|$  and  $\Delta m_{21}^2$  mass squared difference, respectively.

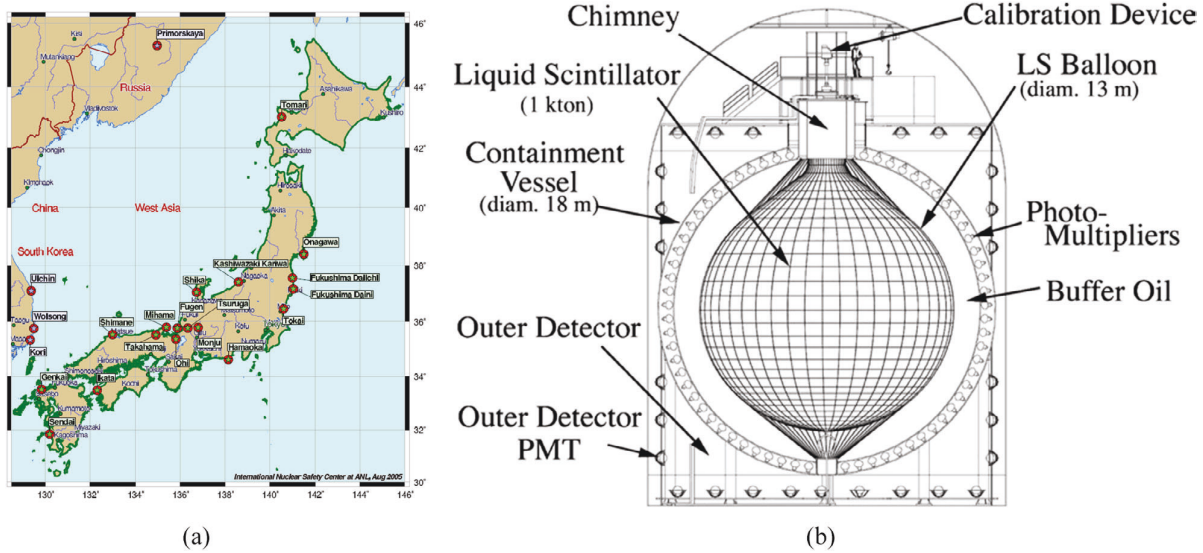
### 3.2. Observation of neutrino oscillations in the solar sector

The first hint of solar neutrino flavor transformation was Ray Davis's measurement of the solar  $\nu_e$  flux using 610 tons of liquid  $\text{C}_2\text{Cl}_4$ , through the reaction  $\nu_e + {}^{37}\text{Cl} \rightarrow e^- + {}^{37}\text{Ar}$  [95]. Compared with the prediction from the standard solar model (SSM) [96, 97], the measured  $\nu_e$  flux was only about one-third as large [98, 99]. This result was subsequently confirmed by SAGE [100, 101] and GALLEX [102, 103] using the reaction  $\nu_e + {}^{71}\text{Ga} \rightarrow e^- + {}^{71}\text{Ge}$  and by Kamiokande [104, 105] and Super-K [106, 107] experiments using  $\nu + e^- \rightarrow \nu + e^-$

$$\begin{aligned} P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} &= 1 - 4|U_{e1}^2||U_{e3}^2| \sin^2 \Delta_{31} - 4|U_{e2}^2||U_{e3}^2| \sin^2 \Delta_{32} - 4|U_{e1}^2||U_{e2}^2| \sin^2 \Delta_{21} \\ &= 1 - \sin^2 2\theta_{13} (\cos^2 \theta_{12} \sin^2 \Delta_{31} + \sin^2 \theta_{12} \sin^2 \Delta_{32}) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} \end{aligned} \quad (12)$$

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_\mu} = 4|U_{e3}^2||U_{\mu 3}^2| \sin^2 \Delta_{31} + 4|U_{e2}^2||U_{\mu 2}^2| \sin^2 \Delta_{21} + 8|U_{e3}||U_{\mu 3}|U_{e2}|U_{\mu 2}| \sin \Delta_{31} \sin \Delta_{21} \cos(\Delta_{32} - \delta_{\mu e}) \quad (13)$$

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_\tau} = 4|U_{e3}^2||U_{\tau 3}^2| \sin^2 \Delta_{31} + 4|U_{e2}^2||U_{\tau 2}^2| \sin^2 \Delta_{21} + 8|U_{e3}||U_{\tau 3}|U_{e2}|U_{\tau 2}| \sin \Delta_{31} \sin \Delta_{21} \cos(\Delta_{32} - \delta_{\tau e}), \quad (14)$$



**Figure 7.** (A) The locations of nuclear power plants in Japan, Korea, and Far East Russia from International Nuclear Safety Center at Argonne National Laboratory. The KamLAND detector is located at (36.42° N, 137.31° E) in the middle of Japan. Reproduced with permission from [91]. (B) The structure of the KamLAND detector. Reprinted figure with permission from [26], Copyright (2003) by the American Physical Society.

elastic scattering. This large discrepancy between measurements and predictions from the SSM was commonly referred to as the ‘solar neutrino puzzle’. While many considered this discrepancy as evidence for the inadequacy of SSM, others suggested neutrino oscillation as the cause.

To solve the ‘solar neutrino puzzle’, the Sudbury Neutrino Observatory (SNO) experiment was performed to measure the total flux of all neutrino flavors from the Sun using three processes: (i) the neutrino flux of all flavors from the neutral current (NC) reaction on deuterium from heavy water  $\nu_{e,\mu,\tau} + d \rightarrow \nu + p + n$ ; (ii) the  $\nu_e$  flux through the charged current (CC) reaction  $\nu_e + d \rightarrow e^- + p + p$ ; and (iii) a combination of  $\nu_e$  and  $\nu_{\mu,\tau}$  flux through the elastic scattering (ES) on electrons  $\nu + e \rightarrow \nu + e$ . The measured flux of all neutrino flavors from the NC channel was entirely consistent with the prediction of SSM [108], while the measured  $\nu_e$  flux from the CC channel clearly showed a deficit. This result was consistent with neutrino mixing and flavor transformation modified by the matter effect in the Sun.

The solar neutrino data allowed several solutions in the parameter space of the neutrino mixing angle  $\theta_{12}$  and the mass squared difference  $\Delta m_{21}^2$ . This ambiguity was the result of several factors, including the relatively large uncertainty of the solar  $\nu_e$  flux predicted by SSM, the matter effect inside the Sun, and the long distance neutrinos travel to terrestrial detectors. To resolve this ambiguity, a reactor neutrino experiment, the Kamioka Liquid-Scintillator Antineutrino Detector (KamLAND) [26], was constructed in Japan to search with high precision for the  $\sim$ MeV reactor  $\bar{\nu}_e$  oscillation at  $\sim$ 200 km. Assuming CPT invariance, KamLAND directly explored the so-called ‘large mixing angle’ (LMA) parameter region suggested by solar neutrino experiments.

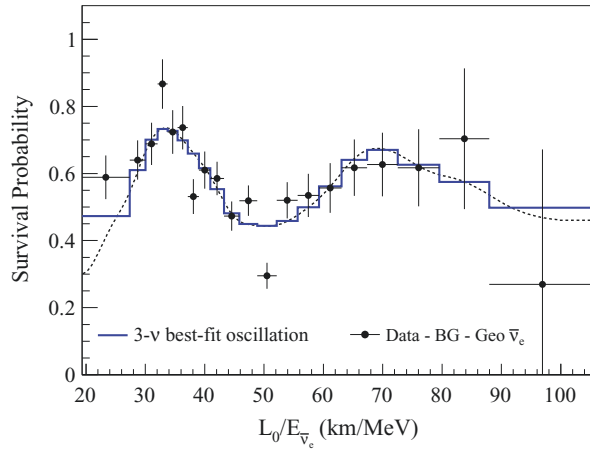
As shown in figure 7(A), the KamLAND experiment was located at the site of the former Kamiokande experiment [105]

under the summit of Mt. Ikenoyama in the Japanese Alps. A 2700 m water equivalent (m.w.e.) vertical overburden was used to suppress backgrounds associated with cosmic muons. The experimental site was surrounded by 55 Japanese nuclear reactor cores. Reactor operation information, including thermal power and fuel burn-up, was provided by all Japanese nuclear power plants, allowing KamLAND to calculate the expected instantaneous neutrino flux. The contribution to the total  $\bar{\nu}_e$  flux from Japanese research reactors and all reactors outside of Japan was about 4.5% [109]. In particular, the contribution from reactors in Korea was estimated at  $3.2\% \pm 0.3\%$  and from other countries at  $1.0\% \pm 0.5\%$ . The flux-weighted average  $\bar{\nu}_e$  baseline was about 180 km, which was well suited to explore the LMA solution.

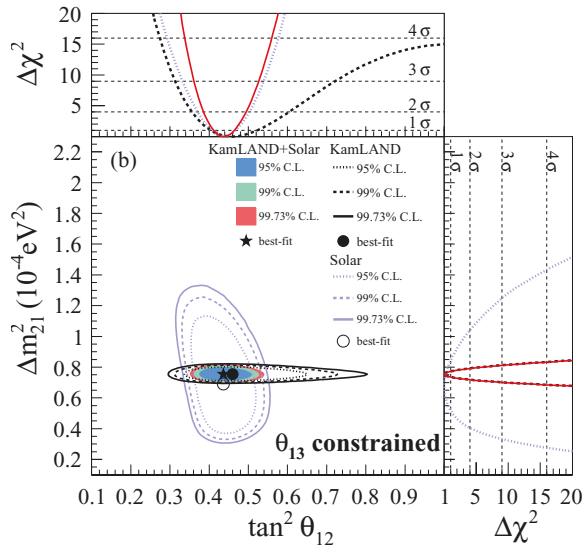
The schematic layout of the KamLAND detector is shown in figure 7(B). One kiloton of highly purified LS, 80% dodecane + 20% pseudocumene, was enclosed in a 13 m diameter balloon. The balloon was restrained by ropes inside a mineral-oil buffer that was housed in a 18 m diameter stainless steel (SS) sphere. An array of 554 20 inch and 1325 17 inch PMTs was mounted to detect light produced by the IBD interaction. The SS vessel was then placed inside a purified water pool, which also functioned as an active muon-veto Cerenkov detector. The detector response was calibrated by deployments of various radioactive sources. Resolutions of  $12 \text{ cm } (\sqrt{E \text{ (MeV)}})^{-1}$ ,  $6.5\% (\sqrt{E \text{ (MeV)}})^{-1}$ , and  $1.4\%$  were achieved for the position, energy, and the absolute energy scale uncertainty, respectively.

Given the long baselines between the detector and the reactors, KamLAND expected to observe about one reactor IBD event every day. The IBD events were selected by requiring less than 1 ms time difference and 2 m distance between the prompt and delayed signals. The latter is a 2.2 MeV  $\gamma$  ray from neutron capture on hydrogen (see table 2). To reduce





**Figure 8.** Survival probability of  $\bar{\nu}_e$  events as a function of  $L/E$  in the KamLAND detector. Reprinted figure with permission from [110], Copyright (2013) by the American Physical Society. The matter effect is included in the calculation of the survival probability. The dip position of the oscillation ( $\sim 50 \text{ km MeV}^{-1}$ ) is consistent with the second oscillation node for  $\Delta m_{21}^2$ . The size of the depletion is a measure of  $\sin^2 2\theta_{12}$ .



**Figure 9.** Allowed regions projected in the  $(\tan^2\theta_{12}, \Delta m_{21}^2)$  plane, for solar and KamLAND data from a three-flavor oscillation analysis. Reprinted figure with permission from [110], Copyright (2013) by the American Physical Society. The shaded regions are from a combined analysis of the solar and KamLAND data. The side panels show the  $\Delta\chi^2$ -profiles projected onto the  $\tan^2\theta_{12}$  and  $\Delta m_{21}^2$  axes. For this result, the value of  $\theta_{13}$  is constrained by the results from reactor experiments with  $\sim \text{km}$  baselines.

the accidental coincidence backgrounds from external radioactivities, the IBD selection was restricted to the innermost 6 m radius LS region. With the additional information of the event energy, position, and time, the accidental background was suppressed to  $\sim 5\%$  of the IBD signal. The dominant background ( $\sim 10\%$ ) was from the  $\alpha + {}^{13}\text{C} \rightarrow n + {}^{16}\text{O}$  reaction ( $\alpha - n$  background). The incident  $\alpha$  is from the decay

of  ${}^{210}\text{Po}$ , a decay product of  ${}^{222}\text{Rn}$  with a half-life of 3.8 d. A decay product of uranium,  ${}^{222}\text{Rn}$  is commonly found in air and many materials as a trace element. The prompt signal came from either a neutron scattering off a proton or  ${}^{16}\text{O}$  de-excitation, and the delayed neutron capture signal mimicked a  $\bar{\nu}_e$  IBD event. Additional backgrounds included (i) the geoneutrinos produced in the decay chains of  ${}^{232}\text{Th}$  and  ${}^{238}\text{U}$  inside the earth, which is an active research area by itself [111, 112]; (ii) cosmogenic  ${}^9\text{Li}$  or  ${}^8\text{He}$  through  $\beta$  decay accompanied by a neutron emission; (iii) fast neutrons produced from muons interacting with the nearby rocks; and (iv) atmospheric neutrinos.

The KamLAND experiment [26, 109, 113] clearly observed the oscillation of reactor neutrinos and unambiguously established LMA as the solution of the solar neutrino puzzle. The latest KamLAND result [110] is shown in figure 8 as a function of  $L/E_\nu$ , where an oscillatory pattern covering three oscillation extrema is clearly observed. Figure 9 shows  $\Delta m_{21}^2$  versus  $\tan^2\theta_{12}$  from KamLAND and solar neutrino experiments.

While the solar neutrino experiments are more sensitive to the mixing angle  $\theta_{12}$ , KamLAND measures the mass-squared difference  $\Delta m_{21}^2$  more accurately through fitting the spectral distortions. The observation of consistent mixing parameters with two distinct neutrino sources (solar versus reactor neutrinos) and two different physics mechanisms (flavor transformation with the matter effect versus flavor oscillation in vacuum) provides compelling evidence for non-zero neutrino mass and mixing.

Besides contributing to the measurement of neutrino mass and mixing parameters in the solar sector, the KamLAND data also gave an early hint of a non-zero  $\theta_{13}$  [114]. With  $\theta_{13} = 0$ , the data from KamLAND [113] favors a larger value of  $\theta_{12}$  as compared to that from the SNO solar neutrino data [115]. This small difference in  $\theta_{12}$  can be reduced for a non-zero value of  $\theta_{13}$  ( $\theta_{13} > 0$  at  $\sim 1.2\sigma$  level) [114]. In the next section, we review the discovery of a non-zero  $\theta_{13}$ .

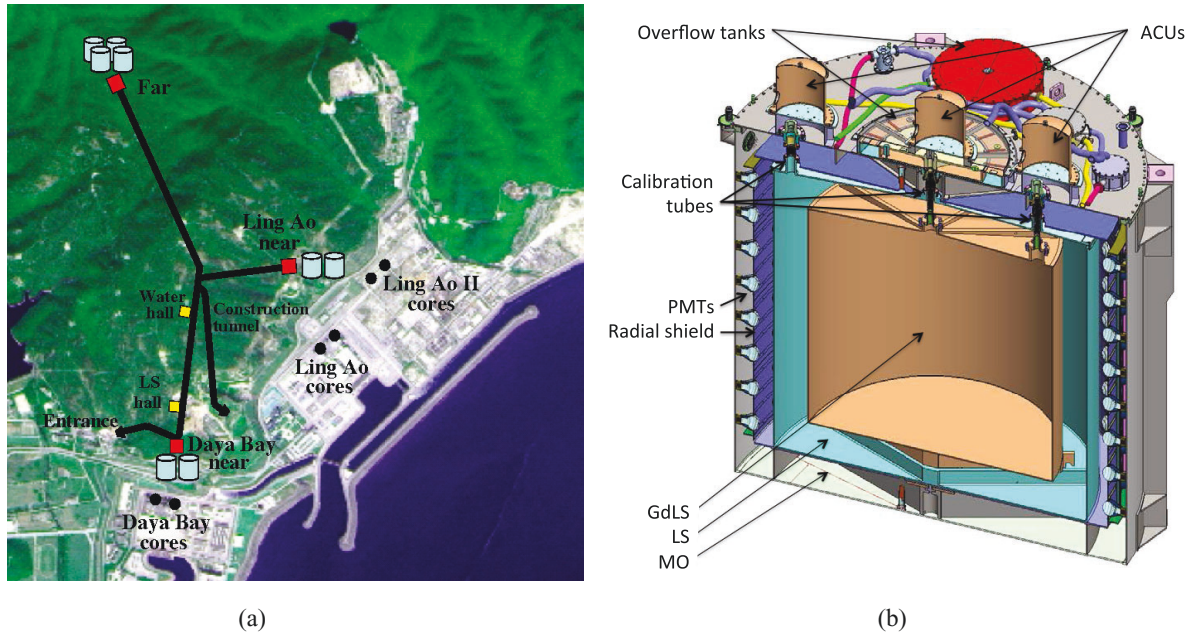
### 3.3. Discovery of a non-zero $\theta_{13}$

**3.3.1. History of searching for a non-zero  $\theta_{13}$ .** As introduced in section 3.1, three mixing angles, one phase, and two independent mass-squared differences govern the phenomenon of neutrino flavor oscillation. KamLAND and solar neutrino experiments determined  $\theta_{12} \approx 33^\circ$  and  $\Delta m_{21}^2 \approx 7.5 \times 10^{-5} \text{ eV}^2$ . Meanwhile, the results  $\theta_{23} \approx 45^\circ$  and  $|\Delta m_{32}^2| \approx 2.3 \times 10^{-3} \text{ eV}^2$  came from atmospheric neutrino experiments such as Super-K [30] and long-baseline disappearance experiments, including K2K [116], MINOS [116], T2K [117], and NO $\nu$ A [118]. In particular, the zenith-angle dependent deficit of the upward-going atmospheric muon neutrinos reported by the Super-K experiment [30] in 1998 was the first compelling evidence of neutrino flavor oscillation. Given that both the  $\theta_{23}$  and  $\theta_{12}$  angles are large, it is natural to expect that the third mixing angle  $\theta_{13}$  is also sizable.

There are at least two ways to access  $\theta_{13}$ . The first is to use reactor neutrino disappearance  $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$  (see equation (12)). For a detector located at a distance  $L$  near the

**Table 4.** Key parameters of five past and present reactor  $\theta_{13}$  experiments, including the reactor thermal power (in giga-watts), distance to reactors, target mass and material of the detectors, and overburden of the underground site (in meter-water-equivalent). PC, PXE, and LAB stands for Pseudocumene, Phenylxylylene, and linear Alkylbenzene for liquid scintillator (LS) materials, respectively.

Experiment	Power (GW <sub>th</sub> )	Baseline (m)	Target material Gd-doped LS	Mass (tons)	Overburden (m.w.e.)
CHOOZ	8.5	1050	Paraffin-based	5	300
Palo Verde	11.6	750–890	(segmented) PC-based	12	32
Double Chooz	8.5	400	PXE-based	8	120
		1050		8	300
RENO	16.8	290	LAB	16	120
		1380		16	450
Daya Bay	17.4	360	LAB	2 × 20	250
		500		2 × 20	265
		1580		4 × 20	860



**Figure 10.** (A) The layout and the map of the Daya Bay experiment and the hosting Daya Bay plant campus. (B) The structure of the Daya Bay antineutrino detector (AD). Reproduced from [40] with permission. © 2018 World Scientific Publishing Co Pte Ltd. The Daya Bay ADs were equipped with three automated calibration units (ACUs), two for the Gd-LS volume and one for the LS volume.

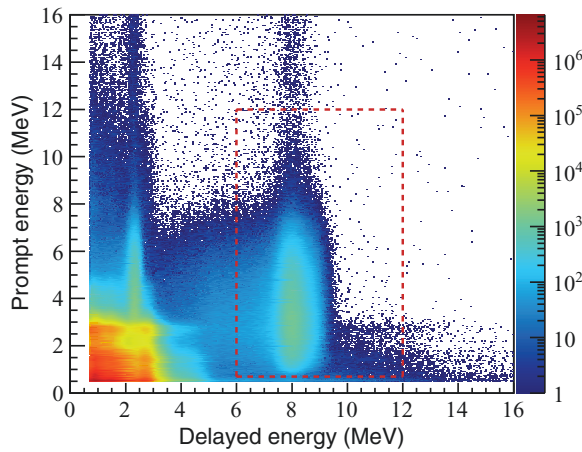
first maximum of  $\sin^2 \Delta_{31}$ , the amplitude of the oscillation gives  $\sin^2 2\theta_{13}$ . The second method is to use accelerator muon neutrinos to search for electron neutrino appearance  $P(\nu_\mu \rightarrow \nu_e) \equiv P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu)$  (see equation (12)). In this case, the amplitude of the oscillation depends not only on  $\theta_{13}$ , but also on several parameters, including  $\theta_{23}$ , the unknown CP phase  $\delta_{CP}$ , and neutrino mass hierarchy (through the matter effect in Earth). While the second method can access several important neutrino parameters, the first method provides a direct and unambiguous measurement of  $\theta_{13}$ .

Historically, the CHOOZ [31, 32] and Palo Verde [33] experiments made the first attempts to determine the value of  $\theta_{13}$  in the late 1990s to early 2000s. Both experiments utilized reactor neutrinos to search for oscillation of  $\bar{\nu}_e$  at baselines of  $\sim 1$  km using a single-detector configuration. The CHOOZ experiment was located at the CHOOZ power plant in the

Ardennes region of France. The CHOOZ detector mass was about 5 tons, and the distance to reactor cores was about 1050 m. The data-taking started in April 1997 and ended in July 1998.

The Palo Verde experiment was located at the Palo Verde Nuclear Generating Station in the Arizona desert of the United States. The Palo Verde detector mass was about 12 tons, and the distances to three reactor cores were 750 m, 890 m, and 890 m. The data-taking started in October 1998 and ended in July 2000. No oscillation were observed in either experiment, and a better upper limit of  $\sin^2 2\theta_{13} < 0.12$  was set at 90% confidence level (C.L.) by CHOOZ.

Given the measured values of  $\theta_{12}$  and  $\theta_{23}$  and the null  $\theta_{13}$  results from CHOOZ and Palo Verde, several phenomenological models of neutrino mixing patterns, such as bimaximal and tribimaximal mixing [119, 120], became popular. In these models, the neutrino mass matrix in the flavor basis,



**Figure 11.** The distribution of prompt versus delayed energy for signal pairs which satisfied the  $\bar{\nu}_e$  inverse beta decay selection criteria. Reprinted figure with permission from [126], Copyright (2017) by the American Physical Society. A few-percent contamination from accidental backgrounds (symmetric under interchange of prompt and delayed energy) and  $^9\text{Li}$  decay and fast neutron backgrounds (high prompt and  $\sim 8$  MeV delayed energy) are visible within the selected region. Inverse beta decay interactions where the neutron was captured on hydrogen provided an additional signal region with delayed energy around 2.2 MeV, albeit with much higher background.

$$M_\nu = U \cdot M_\nu^{\text{diag}} \cdot U^\dagger, \quad (15)$$

is constructed based on flavor symmetries<sup>6</sup>, and  $\theta_{13}$  was predicted to be either zero or very small. Therefore, a new generation of reactor experiments (Double Chooz, Daya Bay, and RENO) was designed to search for a small non-zero  $\theta_{13}$ . To suppress reactor- and detector-related systematic uncertainties, all three experiments adopted the ratio method advocated in [121], which required placing multiple identical detectors at different baselines. Table 4 summarizes the key parameters for past and present reactor  $\theta_{13}$  experiments.

In 2011, almost 10 yr after CHOOZ and Palo Verde, several hints collectively suggested a non-zero  $\theta_{13}$  [122]. The first one was based on a small discrepancy between KamLAND and the solar neutrino measurements [114]. Subsequently, accelerator neutrino experiments MINOS [123] and T2K [124] reported their search for  $\nu_\mu$  to  $\nu_e$ . In particular, T2K disfavored the  $\theta_{13} = 0$  hypothesis at  $2.5\sigma$  [124].

In early 2012, the Double Chooz reactor experiment reported that the  $\theta_{13} = 0$  hypothesis was disfavored at  $1.7\sigma$ , based on their far-detector measurement [36]. These hints of a non-zero  $\theta_{13}$  culminated in March 2012, when the Daya Bay reactor neutrino experiment reported the discovery of a non-zero  $\theta_{13}$  with a  $5.1\sigma$  significance [34].

About one month later, RENO confirmed Daya Bay's finding of a non-zero  $\theta_{13}$  with a  $4.9\sigma$  significance [35]. Later in 2012, Daya Bay increased the significance to  $7.7\sigma$  using a larger data set [125]. A non-zero  $\theta_{13}$  was firmly established. In the following, we review three reactor  $\theta_{13}$  experiments: Daya

Bay, RENO, and Double Chooz. Since these three experiments had many similarities in their design and physics analysis, we use Daya Bay to illustrate some common features.

**3.3.2. The Daya Bay reactor neutrino experiment.** The Daya Bay Reactor Neutrino Experiment was located on the campus of the Daya Bay nuclear reactor power plant in southern China. As shown in figure 10(A), the plant hosted six reactor cores whose locations were grouped into three clusters: the Daya Bay, Ling Ao, and Ling Ao II clusters. The total thermal power was about 17.4 GW. To monitor antineutrino flux from the three reactor clusters, near-detector sites were implemented. Two near-detector sites: the Daya Bay site ( $\sim 363$  m from the Daya Bay cluster) and the Ling Ao site ( $\sim 500$  m from the Ling Ao and Ling Ao II clusters) were constructed. The locations of the near and far sites were chosen to maximize the sensitivity to  $\theta_{13}$ . In particular, the Ling Ao near site and the far site were both located at approximately equal distances from the Ling Ao and Ling Ao II clusters, largely reducing the effect of antineutrino flux uncertainties from these two clusters. The average baseline of the far site was  $\sim 1.7$  km.

Each near underground site hosted two antineutrino detectors (ADs). The far site hosted four ADs that pair with the four ADs of the two near sites, providing a maximal cancellation of detector effects. The effective vertical overburdens were 250, 265, and 860 m.w.e. for the Daya Bay site (EH-1), the Ling Ao site (EH-2), and the far site (EH-3), respectively. With the near- and far-sites configuration, the contribution from reactor flux uncertainties was suppressed by a factor of 20 [125], which was the best among the reactor  $\theta_{13}$  experiments.

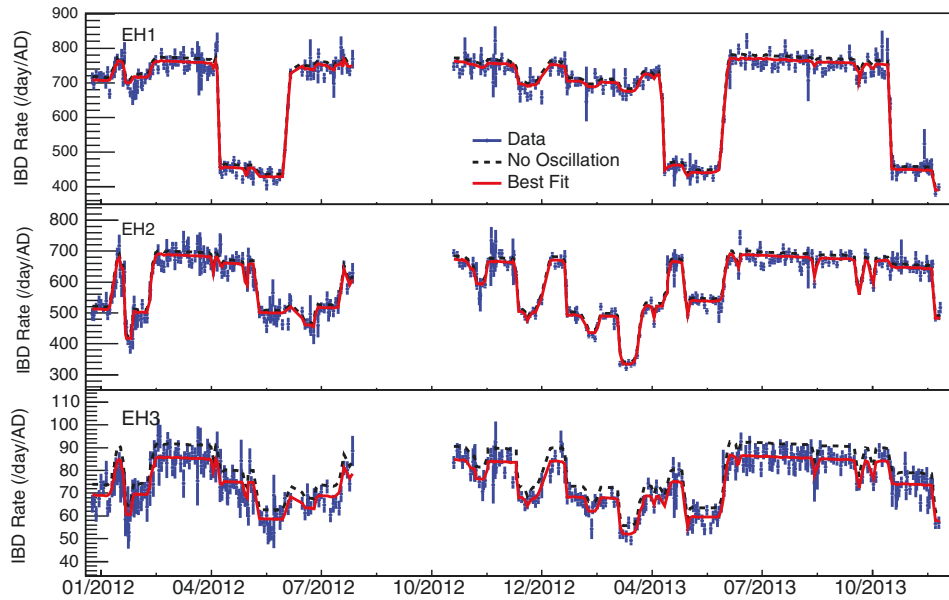
Figure 10(B) shows the schematic view of an AD [128, 129]. The innermost region was filled with 20 tons of Gd-doped linear-alkylbenzene-based liquid scintillator (LAB GdLS). An array of 192 8 inch PMTs was installed on each AD. Three automated calibration units (ACUs) [130] were equipped to periodically calibrate the detector response. Similar to KamLAND, ADs were placed inside high-purity water pools to reduce radioactive backgrounds from the environment. With PMTs installed, the water pool was also operated as an independent water Cerenkov detector to veto cosmic muons [131, 132]. Each water pool was further split into two sub-detectors, so that the efficiency in each sub-detector could be cross calibrated. A plane of resistive plate chambers (RPC) was installed on the top of each water pool as an active muon veto.

Figure 11 shows the distribution of prompt versus delayed energy for signal pairs satisfied the  $\bar{\nu}_e$  selection criteria, which included a crucial cut on the time difference between the prompt and delayed signals ( $1 < \Delta t < 200 \mu\text{s}$ ). Five sources of backgrounds were identified. Ordering them in terms of their magnitudes at the near halls, they were accidental coincidence background,  $\beta$ -n decays from cosmogenic  $^9\text{Li}$  and  $^8\text{He}$ , fast neutrons produced by untagged muons, correlated  $\gamma$ -rays from Am-C neutron calibration units [133], and background from the  $(\alpha, n)$  reactions [126]. The accidental coincidence background was evaluated with high precision. Two of the three Am-C sources were removed during the 8-AD period for

<sup>6</sup> Here,  $M_\nu^{\text{diag}}$  is a diagonal matrix with eigenvalues being the three neutrino masses  $m_{1,2,3}$ .

**Table 5.** Summary of major systematic uncertainties included in the Daya Bay oscillation analysis [126].

Source	Uncertainty	Correlation
<b>Reactor flux</b>		
Fission fractions	5%	Correlation among isotopes from [134], correlated among reactors
Average energy per fission	Uncertainties from [135]	Correlated among reactors
$\bar{\nu}_e$ flux per fission	Huber–Mueller model [46, 47]	Correlated among reactors
Non-equilibrium $\bar{\nu}_e$ emission	30% (rel.)	Uncorrelated among reactors
Spent nuclear fuel	100% (rel.)	Uncorrelated among reactors
Reactor power	0.5%	Uncorrelated among reactors
<b>Detector response</b>		
Absolute energy scale	<1%	Correlated among detectors
Relative energy scale	0.2%	Uncorrelated among detectors
Detector efficiency	0.13%	Uncorrelated among detectors
IAV thickness	4% below 1.25 MeV (rel.) 0.1% above 1.25 MeV	partial correlated (0.54 correlation coefficient) with relative energy scale Uncorrelated among detectors
<b>Background</b>		
Accidental rate	1% (rel.)	Uncorrelated among detectors
$^9\text{Li}$ - $^8\text{He}$ rate	44% (rel.)	Correlated among same-site detectors
Fast neutron rate	13%–17% (rel.)	Correlated among same-site detectors
$^{241}\text{Am}$ - $^{13}\text{C}$ rate	45% (rel.)	Correlated among detectors
$(\alpha, n)$ rate	50% (rel.)	Uncorrelated among detectors

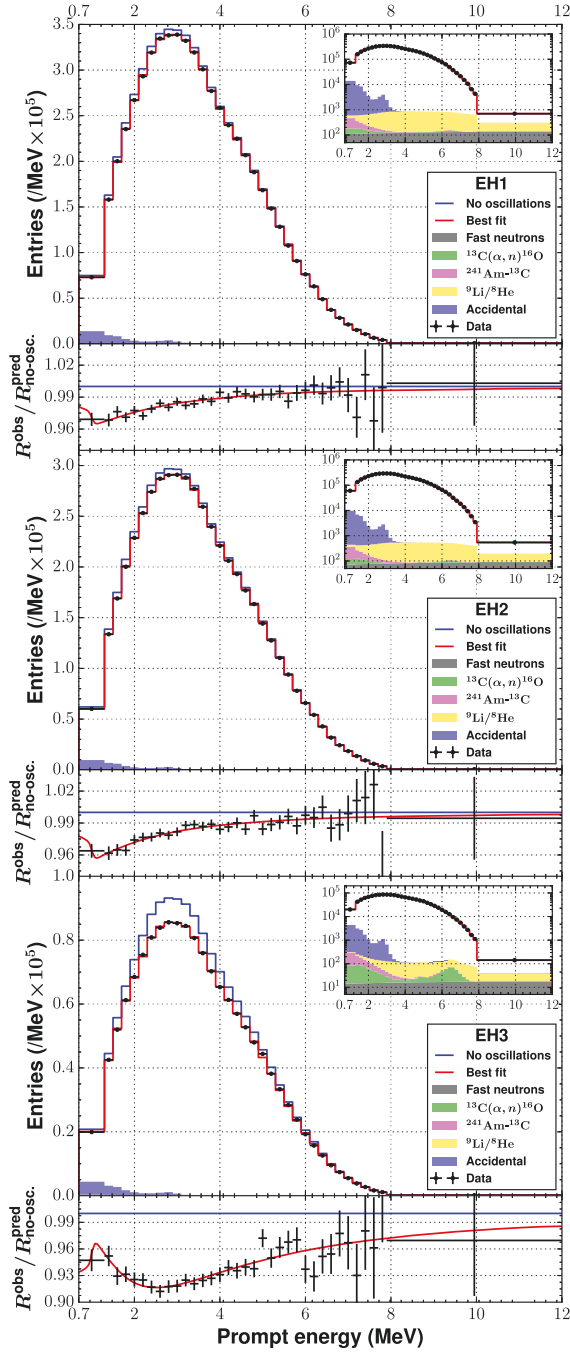
**Figure 12.** Daily averaged rates of IBD candidate events per detector in three experimental halls of Daya Bay as a function of time. The dotted curves represent no-oscillation predictions. The rates predicted with the best-fit non-zero  $\sin^2 2\theta_{13}$  are shown as the red solid curves. Reproduced from [127]. CC BY 3.0.

background reduction. Using information from the muon veto system, the fast neutron background rate was well determined. The total backgrounds accounted for  $\sim 3\%$  (2%) of the IBD candidate sample in the far (near) sites before the background subtraction.

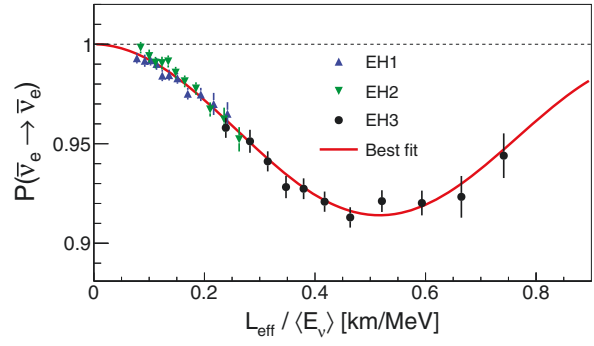
Since the measurement of oscillation effect was obtained through the comparison of rate and spectra between near and far detectors, the identically designed detectors facilitated a near complete cancellation of the correlated detector systematic

uncertainties. The accuracy of the oscillation parameters was thus governed by the uncertainties uncorrelated among detectors. Table 5 summarizes the systematic uncertainties included in the Daya Bay oscillation analysis [126]. In particular, the nature of each uncertainty (correlated or uncorrelated among reactors or detectors) is explicitly listed. For the  $\theta_{13}$  determination, an uncorrelated 0.1% uncertainty from the hydrogen-to-Gd neutron capture ratio, which was related to the Gd concentrations in GdLS for all detectors, and an uncorrelated





**Figure 13.** Reconstructed positron energy spectra for the  $\bar{\nu}_e$  candidate interactions (black points) from Daya Bay. Reprinted figure with permission from [126], Copyright (2017) by the American Physical Society. The spectra of the detectors in each experimental hall are combined: EH1 (top), EH2 (middle), and EH3 (bottom). The measurements are compared with the prediction assuming no oscillation (blue line) and the best-fit three-flavor neutrino oscillation model (red line). The inset in semi-logarithmic scale shows the backgrounds. The ratio of the background-subtracted spectra to prediction assuming no oscillation is shown in the panel beneath each energy spectrum.



**Figure 14.** The measured  $\bar{\nu}_e$  disappearance probability as a function of  $L/E$  from Daya Bay. Reprinted figure with permission from [126], Copyright (2017) by the American Physical Society. The oscillation amplitude corresponds to  $\sin^2 2\theta_{13} = 0.0841 \pm 0.0027$  (stat.)  $\pm 0.0019$  (syst.). The oscillation frequency corresponds to  $|\Delta m_{ee}^2| = 2.50 \pm 0.06$  (stat.)  $\pm 0.06$  (syst.)  $\times 10^{-3} \text{eV}^2$ .

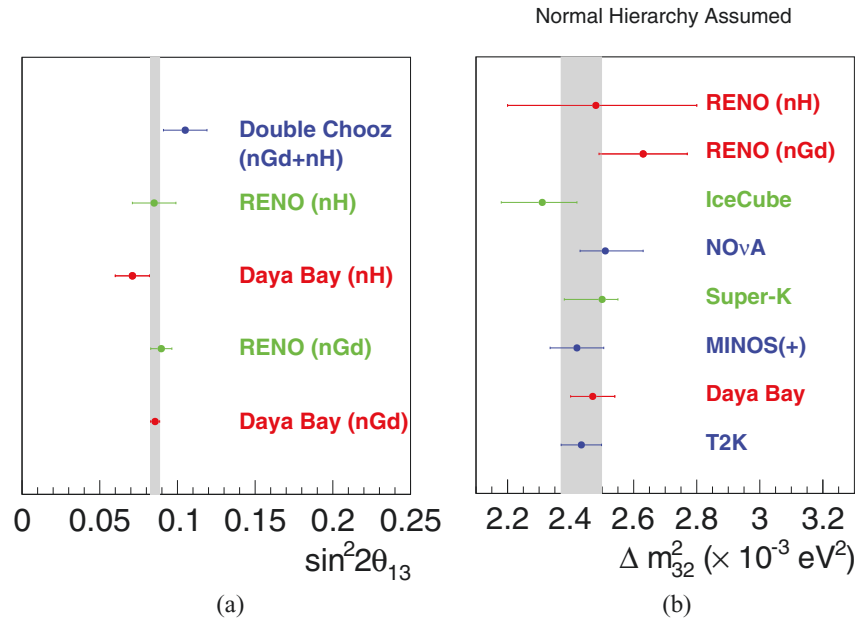
0.08% uncertainty from the 6 MeV cut on the delayed signal, which depended on the energy scale established in all detectors, were the major uncorrelated uncertainties.

In earlier reactor neutrino experiments, measurements with reactor power on and off provided a powerful tool to separate neutrino signals from backgrounds. While this tool is not applicable in Daya Bay, a clear correlation between the rates of IBD candidate events and the reactor power was observed. Figure 12 shows the daily averaged rates of IBD candidate events at the three experimental halls versus time. The IBD rates exhibit patterns that track well with the variation of effective reactor power viewed at each hall. These data show that the IBD candidate events originate predominantly from the reactors rather than from cosmogenic and radioactive backgrounds.

Based on  $\bar{\nu}_e$  data from all eight detectors collected in 1230 d, Daya Bay determined  $\sin^2 2\theta_{13} = 0.0850 \pm 0.0030$  (stat.)  $\pm 0.0028$  (syst.) in a rate-only analysis [126], with  $|\Delta m_{32}^2|$  constrained by atmospheric and accelerator neutrino experimental results. The measured non-zero value of  $\sin^2 2\theta_{13}$  was only about 30% below the upper limit set by the previous CHOOZ experiment.

Prior to the discovery of a non-zero  $\theta_{13}$ , the only method to measure the mass-squared difference  $|\Delta m_{32}^2|$  was through muon (anti)neutrino disappearance in atmospheric or accelerator neutrino experiments. Given the IBD spectrum covering the antineutrino energy range from 1.8 MeV to  $\sim 8$  MeV, the ‘large’ value of  $\theta_{13}$  offered an alternative way to precisely measure this quantity.

The first-ever extraction of  $|\Delta m_{ee}^2| := |\cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2|$  [136] was made by Daya Bay [137] through probing the relative spectral distortion measured between the near and far detectors. In addition to the various systematic uncertainties in the previous rate analysis, the absolute detector energy response was another important ingredient to extract  $|\Delta m_{ee}^2|$ , since the spectral distortion depended on  $\Delta m_{ee}^2 \frac{L}{E_\nu}$ . A



**Figure 15.** Global results on  $\theta_{13}$  (A) and  $\Delta m^2_{32}$  (B) taken from the results presented at the Neutrino 2018 conference [94]. For  $\Delta m^2_{32}$ , only results of the normal hierarchy are shown.

physics-based energy model was constructed and constrained by calibrations using various  $\gamma$ -ray sources and the well-known  $^{12}\text{B}$  beta decay spectrum [126].

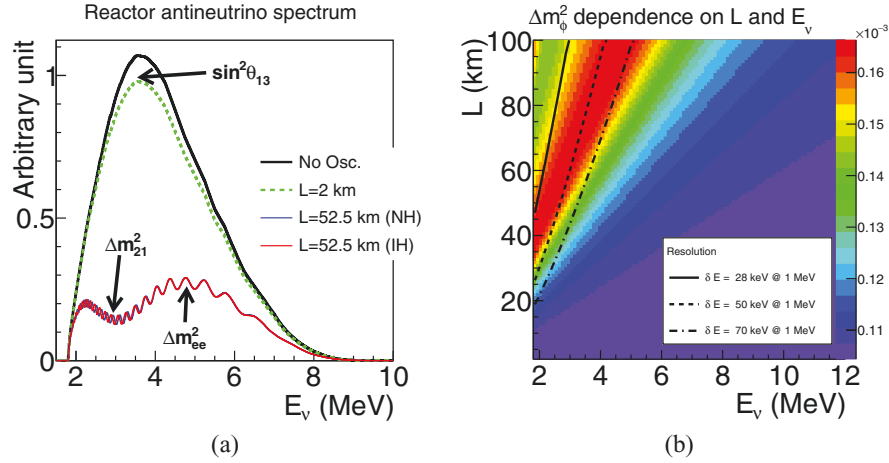
Figure 13 shows reconstructed positron energy spectra for the IBD candidate events from Daya Bay [126]. The best fit curve corresponds to  $\sin^2 2\theta_{13} = 0.0841 \pm 0.0027$  (stat.)  $\pm 0.0019$  (syst.) and  $|\Delta m^2_{ee}| = 2.50 \pm 0.06$  (stat.)  $\pm 0.06$  (syst.)  $\times 10^{-3} \text{ eV}^2$  [126]. Figure 14 shows the measured  $\bar{\nu}_e$  disappearance probability as a function of  $L/E_\nu$ . As shown in figure 15, improved measurements were reported at the Neutrino 2018 conference [94]. Another benefit of the ‘large’ value of  $\theta_{13}$  is that a different sample of the IBD events using neutron capture on hydrogen (nH) in both the GdLS and LS regions can also be employed to independently measure  $\theta_{13}$ . Since the oscillation signal is large, many systematic associated with the nH channel, which are generally larger than those of the nGd channel, become less important. The details of extracting  $\theta_{13}$  using the nH channel from Daya Bay can be found in [73, 138].

**3.3.3. The RENO and Double Chooz experiments.** The Reactor Experiment for Neutrino Oscillation (RENO) was a short-baseline reactor neutrino experiment built near the Hanbit nuclear power plant in South Korea. Like the Daya Bay experiment, RENO was designed to measure the mixing angle  $\theta_{13}$ . The six reactor cores in RENO had a total thermal power of 16.4 GW. The reactor cores were equally spaced in a straight line, with the near and far detector sites located along a line perpendicular to and bisecting the reactor line. The near site was  $\sim 290$  m from the geometric center of reactor cores, while the far site, located on the opposite side of the reactor line, was at a distance of  $\sim 1380$  m. Because of the large variation in the distances between the near detector and various

reactor cores, the suppression of the uncertainty in the reactor neutrino flux was less than ideal. Taking a similar approach as Daya Bay, RENO adopted a three-zone LS antineutrino detector nested in a muon veto system. The central target zone contained 16 tons of 0.1% Gd-doped LAB LS. A total of 354 10 inch PMTs were mounted on the inner wall and the top and bottom surfaces of a stainless steel container. Unlike Daya Bay, RENO had one detector in each experimental site.

RENO started data taking in both the near and far detectors in the summer of 2011, ahead of all competing experiments. The first RENO  $\theta_{13}$  result was published in [35] in 2012. This result was in agreement with Daya Bay’s finding of a non-zero  $\theta_{13}$  [34] with a near- $5\sigma$  confidence level. The observation of a 4 MeV–6 MeV anomaly in the prompt energy spectrum, which is discussed in detail in section 4.3, was first reported by RENO [139]. Most recently, RENO also reported a measurement of  $|\Delta m^2_{ee}|$  from the antineutrino energy spectral distortion [140], which was consistent with world measurements. Figure 15 shows RENO’s latest results on  $\sin^2 2\theta_{13}$  and  $|\Delta m^2_{ee}|$ , reported at the Neutrino 2018 conference [94]. In particular, the first measurement of  $|\Delta m^2_{ee}|$  using the nH channel was performed.

Double Chooz built upon the former CHOOZ experiment that set the best upper limit of  $\sin^2 2\theta_{13}$  prior to the discovery of a non-zero  $\theta_{13}$ . It added a near site detector at a distance of  $\sim 410$  m with a 115 m.w.e. overburden. The far site was the original CHOOZ detector site, having a 1067 m baseline and a 300 m.w.e. overburden. The total thermal power of the two Double Chooz reactors was 8.7 GW. Based on the original CHOOZ design, Double Chooz adopted the three-zone design. Instead of LAB-based LS, Double Chooz’s central target region was a 10-ton PXE-based LS. For each detector, 390 low-background 10 inch PMTs were mounted on the inner surfaces of the stainless steel container. Unlike Daya



**Figure 16.** (A) Expected antineutrino energy spectra at different baselines with  $\Delta m_{ee}^2 = 2.41 \times 10^{-3} \text{ eV}^2$ . The effects of a non-zero  $\sin^2 2\theta_{13}$  and different MHs are emphasized. (B)  $\Delta m_\phi^2$  is shown as a function of the neutrino energy and the baseline. At 50 km–60 km, the  $\Delta m_\phi^2$  shows a clear dependence on the neutrino energy. Such a dependence is the key to determine the MH. Reprinted figure with permission from [152], Copyright (2013) by the American Physical Society.

Bay, Double Chooz had one detector in each experimental site. Because of a construction delay, the first result of Double Chooz [36, 141], a  $1.7\sigma$  hint of a non-zero  $\theta_{13}$ , included only the far-site data. To constrain the reactor neutrino flux uncertainty, Double Chooz used the Bugey-4 measurement [142] to normalize the flux. The systematic uncertainties of the first result were subsequently improved, as reported in [143], with backgrounds constrained by the reactor-off data. An improved measurement of  $\theta_{13}$  with about twice the antineutrino flux exposure was reported in [144]. Double Chooz carried out the first independent  $\theta_{13}$  analysis using the neutron-capture-on-hydrogen data [145, 146]. The Double Chooz near detector started taking data in 2014. The latest Double Chooz result using both near and far detector data yielded  $\sin^2 2\theta_{13} = 0.105 \pm 0.014$  [94].

**3.3.4. Impacts of a non-zero  $\theta_{13}$ .** Figure 15 summarizes the status of  $\theta_{13}$  and  $|\Delta m_{32}^2|$  after the Neutrino 2018 conference [94]. The precision of  $\sin^2 2\theta_{13}$  from Daya Bay was better than 3.5%, making it the best measured mixing angle. Given the relatively ‘large’ value of  $\theta_{13}$ , the  $|\Delta m_{32}^2|$  was measured precisely using reactor neutrinos, given the well-controlled systematics for the detector and the antineutrino flux. In particular, the precision of  $|\Delta m_{32}^2|$  from Daya Bay had reached a similar precision as those from accelerator neutrino and atmospheric neutrino experiments, as shown in figure 15.

Besides the precision measurement of  $|\Delta m_{32}^2|$ , a non-zero  $\theta_{13}$  also opens up many opportunities for future discoveries. In particular, it allows for a determination of the neutrino mass hierarchy in a medium-baseline reactor neutrino experiment, which is elaborated in section 3.4. In addition, it enables the search for CP violation in the leptonic sector, as well as the determination of the neutrino mass hierarchy through precision (anti-) $\nu_\mu \rightarrow$  (anti-) $\nu_e$  oscillation in accelerator neutrino experiments (see [86] for a recent review). To leading order in  $\alpha = \Delta m_{21}^2 / \Delta m_{31}^2$ , the probability of the  $\nu_\mu \rightarrow \nu_e$  oscillation can be written as [147]:

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta_{31}] \\
 & + \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta_{31}) \\
 & - \alpha \frac{\sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \sin \delta_{CP}}{A(1-A)} \\
 & \times \sin \Delta_{31} \sin(A\Delta_{31}) \sin[(1-A)\Delta_{31}] \\
 & + \alpha \frac{\sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \cos \delta_{CP}}{A(1-A)} \\
 & \times \cos \Delta_{31} \sin(A\Delta_{31}) \sin[(1-A)\Delta_{31}], \quad (16)
 \end{aligned}$$

where

$$\begin{aligned}
 \Delta_{ij} &= \Delta m_{ij}^2 L / 4E_\nu, \\
 A &= \sqrt{2} G_F N_e 2E_\nu / \Delta m_{31}^2. \quad (17)
 \end{aligned}$$

For antineutrinos, the signs of  $\delta_{CP}$  and  $A$  are reversed. The sensitivity to the mass hierarchy (i.e. the sign of  $A$ ) mainly comes from the first term in equation (16), which becomes non-zero for a non-zero  $\theta_{13}$ . In addition, the sensitivity to the mass hierarchy is larger for a larger value of  $\theta_{13}$ . Similarly, the sensitivity to CP violation (i.e. a non-zero value for  $\sin \delta_{CP}$ ) comes from the last two terms, which are in play for a non-zero  $\theta_{13}$ . In contrast to the mass hierarchy sensitivity, the sensitivity to CP violation is approximately independent of the value of  $\theta_{13}$  [148]. To illustrate this point, we use the fractional asymmetry

$$A_{CP}^{\mu e} \equiv \frac{(P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e))}{(P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e))}. \quad (18)$$

At larger values of  $\theta_{13}$ ,  $A_{CP}^{\mu e} \sim 1/\sin 2\theta_{13}$  becomes smaller for a given value of CP phase. However, the increase in the number of events leads to a better measurement of  $A_{CP}^{\mu e}$ , with statistical uncertainties  $\delta A_{CP}^{\mu e} \sim 1/\sin 2\theta_{13}$ . These two effects approximately cancel each other. In real experiments, a larger value of

$\theta_{13}$  is actually favored, as the impact of various backgrounds on the  $\nu_\mu \rightarrow \nu_e$  signal is reduced with larger signal strength.

By 2020, the precision of  $\sin^2 2\theta_{13}$  and  $\Delta m_{32}^2$  in Daya Bay is projected to be better than 3%. The comparison of the  $\theta_{13}$  measurement from reactor  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  disappearance and that from the accelerator  $\nu_\mu \rightarrow \nu_e$  appearance in the future DUNE [149] and Hyper-K [150] experiments will provide one of the best unitarity tests of the PMNS matrix [151].

### 3.4. Future opportunities

**3.4.1. Determination of the neutrino mass hierarchy.** The neutrino mass hierarchy (MH), i.e. whether the third generation neutrino mass eigenstate is heavier or lighter than the first two, is one of the remaining unknowns in the minimal extended  $\nu$ SM (see [153] for a recent review)<sup>7</sup>. The determination of the MH, together with searches for neutrinoless double beta decay, may reveal whether neutrinos are Dirac or Majorana fermions, which could significantly advance our understanding of the Universe.

The precise measurement of  $\sin^2 2\theta_{13}$  by the current generation of short-baseline reactor neutrino experiments has provided a unique opportunity to determine the MH in a medium-baseline ( $\sim 55$  km) reactor neutrino experiment [152, 154–160]. The oscillation from the atmospheric mass-squared difference manifests itself in the energy spectrum as multiple cycles that contain the MH information, as shown in the following formula derived from equation (12):

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - 2s_{13}^2 c_{13}^2 - 4c_{13}^2 s_{12}^2 c_{12}^2 \sin^2 \Delta_{21} + 2s_{13}^2 c_{13}^2 \sqrt{1 - 4s_{12}^2 c_{12}^2 \sin^2 \Delta_{21}} \cos(2|\Delta_{32}| \pm \phi), \quad (19)$$

where  $\Delta_{21} \equiv \Delta m_{21}^2 L/4E$ ,  $\Delta_{32} \equiv \Delta m_{32}^2 L/4E$ , and

$$\sin \phi = \frac{c_{12}^2 \sin 2\Delta_{21}}{\sqrt{1 - 4s_{12}^2 c_{12}^2 \sin^2 \Delta_{21}}},$$

$$\cos \phi = \frac{c_{12}^2 \cos 2\Delta_{21} + s_{12}^2}{\sqrt{1 - 4s_{12}^2 c_{12}^2 \sin^2 \Delta_{21}}}.$$

The  $\pm$  sign in the last term of equation (19) depends on the MH: the plus sign indicates the normal hierarchy (NH) and the minus sign indicates the inverted hierarchy (IH). The principle of determining MH through spectral distortion can be understood from figure 16(B), which shows the energy and baseline dependent  $\Delta m_\phi^2 := 4E \cdot \phi/L$ , based on equation (19). The three lines represent three different choices of energy resolution. In the region left of the line, the measurement of  $\Delta m_\phi^2$  is compromised. Above  $\sim 40$  km,  $\Delta m_\phi^2$  possesses a clear energy dependence. In particular, at  $\sim 50$  km,  $\Delta m_\phi^2$  at low-energy region (2 MeV–4 MeV) is larger than that at high-energy region (4 MeV–8 MeV). This distinction provides

an excellent opportunity to determine the MH. For NH, the  $\Delta m_{\text{eff}}^2 := 2|\Delta m_{32}^2| + \Delta m_\phi^2$  measured in the low-energy region (2 MeV–4 MeV) would be higher than that measured in the high-energy region (4 MeV–8 MeV). In comparison, for the IH, the  $\Delta m_{\text{eff}}^2 := 2|\Delta m_{32}^2| - \Delta m_\phi^2$  measured in the low-energy region would be lower than that measured at high energy. Figure 16(A) shows the reactor neutrino energy spectra at a baseline of 52.5 km for both NH and IH. The choice of MH leads to a shift in the oscillation pattern at low-energy region relative to that at high-energy region.

The Jiangmen Underground Neutrino Observatory (JUNO) [37] is a next-generation (medium-baseline) reactor neutrino experiment under construction in Jiangmen City, Guangdong Province, China. It consists of a 20-kton underground LS detector having a 1850 m.w.e. overburden and two reactor complexes at baselines of  $\sim 53$  km, with a total thermal power of 36 GW. With  $\sim 100$ k IBD events from reactor neutrinos (about six years data-taking), JUNO aims to determine the MH at  $3\sigma$  sensitivity<sup>8</sup>. This goal in sensitivity relies on an unprecedented  $3\%/\sqrt{E}$  (MeV) energy resolution, which requires a  $\sim 80\%$  photo-cathode coverage, an increase in both LS light yield and attenuation length, and an increase in PMT quantum efficiency. In addition, excellent control of the energy-scale uncertainty [152, 160, 163] is crucial.

**3.4.2. Precision measurements of neutrino mixing parameters.** In addition to determining the MH, JUNO will access four fundamental neutrino mixing parameters:  $\theta_{12}$ ,  $\theta_{13}$ ,  $\Delta m_{21}^2$ , and  $|\Delta m_{32}^2|$ . JUNO is expected to be the first experiment to observe neutrino oscillation simultaneously from both atmospheric and solar neutrino mass-squared differences and will be the first experiment to observe more than two oscillation cycles of the atmospheric mass-squared difference. Moreover, JUNO is expected to achieve better than 1% precision measurements of  $\sin^2 2\theta_{12}$ ,  $|\Delta m_{32}^2|$ , and  $\Delta m_{21}^2$ , which provides very powerful tests of the standard three-flavor neutrino model. In particular, the precision measurement of  $\sin^2 2\theta_{12}$  will lay the foundation for a future sub-1% direct unitarity test of the PMNS matrix  $U$ .

The combination of short-baseline reactor neutrino experiments (such as Daya Bay, RENO, and Double Chooz), medium-baseline reactor neutrino experiments (such as KamLAND and JUNO), and solar neutrino experiments (such as SNO) enable the first direct unitarity test of the PMNS matrix [151, 164]:  $|U_{e1}|^2 + |U_{e2}|^2 + |U_{e3}|^2 \stackrel{?}{=} 1$ . When combined with results from Daya Bay and SNO, JUNO's precision measurement will test this unitarity condition to 2.5% [151]. An accurate value of  $\sin^2 2\theta_{12}$  will also allow for testing model predictions of neutrino mass and mixing [165], which could guide us towards a more complete theory of flavor [166]. Furthermore, the precision measurement of  $\sin^2 2\theta_{12}$  will constrain the allowed region, in particular the minimal value, of the effective neutrino mass  $|m_{ee}| := |\sum U_{ei}^2 m_i|$

<sup>7</sup> The other two unknowns are the CP phase  $\delta_{\text{CP}}$  and the absolute neutrino mass. In addition, the octant of  $\theta_{23}$ , i.e. whether  $\theta_{23}$  is larger or smaller than  $45^\circ$ , is also an interesting question.

<sup>8</sup> The MH determination involves two non-nested hypotheses. The statistical interpretation of MH sensitivity can be found in [161, 162].



[167, 168], to which the decay width of neutrinoless double beta decay is proportional.

As shown in [136], the measurements of muon neutrino disappearance and electron antineutrino disappearance are effectively measuring  $|\Delta m_{\mu\mu}^2|$  and  $|\Delta m_{ee}^2|$  (two different combinations of  $\Delta m_{31}^2$  and  $\Delta m_{32}^2$ ), respectively. When combined with the precision  $|\Delta m_{\mu\mu}^2|$  measurements from muon neutrino disappearance, the precision measurement of  $|\Delta m_{ee}^2|$  will allow a test of the sum rule  $\Delta m_{13}^2 + \Delta m_{21}^2 + \Delta m_{32}^2 = 0$ , which is an important prediction of the  $\nu$ SM, and will reveal additional information regarding the neutrino MH.

Using the convention of [152], we have  $|\Delta m_{ee,\mu\mu}^2| \approx |\Delta m_{23}^2| \pm \Delta m_{\phi ee,\mu\mu}^2/2$ , in which the plus/minus sign depends on the MH. Since  $\Delta m_{\phi ee}^2$  ( $\sim 10^{-4}$  eV<sup>2</sup>) is larger than  $\Delta m_{\phi\mu\mu}^2$  ( $\sim 5 \times 10^{-5}$  eV<sup>2</sup>), the precision measurements of both  $|\Delta m_{\mu\mu}^2|$  and  $|\Delta m_{ee}^2|$  would provide new information about the neutrino MH [136, 163]. Furthermore, the comparison of  $\Delta m_{32}^2$  extracted from the reactor electron antineutrino disappearance and that extracted from the accelerator muon neutrino disappearance can be a stringent test of CPT symmetry [169].

In addition to the sub-percent precision measurements of solar-sector oscillation parameters, the atmospheric mass-squared difference, and the MH determination, the 20-kton target mass offers a rich physics program of proton decay, geoneutrinos, supernova neutrinos, and many exotic neutrino physics topics [37]. For the  $p \rightarrow \bar{\nu} + K^+$  channel, which is favored by a number of supersymmetry grand unified theories [170], JUNO would be competitive relative to Super-K and to-be-built experiments such as DUNE [149] and Hyper-K [150]. Besides JUNO, there is a proposal in Korea (RENO-50) [171] that has a similar physics reach.

Reactor neutrinos have played crucial roles in the discoveries of the non-zero neutrino mass and mixing and the establishment of the standard three-neutrino framework. While the current-generation reactor experiments continue to improve the precision of  $\theta_{13}$  and  $|\Delta m_{ee}^2|$ , the next-generation reactor experiments will aim to determine the neutrino MH and precision measurements of neutrino mass and mixing, which are crucial steps towards completing the neutrino standard model.

#### 4. The reactor antineutrino anomaly and search for a light sterile neutrino

The majority of neutrino oscillation data can be successfully explained by the three-neutrino framework described in section 3.1. Despite this success, the exact mechanism by which neutrinos acquire their mass remains unknown. In addition, the fact that the mass of electron neutrino is at least 5 orders of magnitude smaller than that of electron [172] also presents a puzzle. The possible existence of additional neutrino flavors beyond the known three may provide a natural explanation of the smallness of neutrino mass [173].

In accord with precision electroweak measurements [81], these additional neutrinos are typically considered to be sterile [18], i.e. non-participating in any fundamental interaction of the standard model, which leaves no known mechanism to

detect them directly. Nonetheless, an unambiguous signal of their existence can be sought in neutrino oscillation experiments, where sterile neutrinos could affect the way in which the three active neutrinos oscillate if they mix with sterile neutrinos.

Besides theoretical motivations in searching for sterile neutrinos, several experimental anomalies could also be explained by additional light sterile neutrinos at the  $\sim$ eV mass scale. Among them are the LSND [174] and MiniBooNE [175, 176] anomalies for (anti-) $\nu_\mu \rightarrow$ (anti-) $\nu_e$  oscillation and the anomalies observed by GALLEX [177] and SAGE [101] when calibrated  $\nu_e$  sources ( $^{51}\text{Cr}$  for GALLEX,  $^{51}\text{Cr}$  and  $^{37}\text{Ar}$  for SAGE) produced lower rates of detected  $\nu_e$  than expected.

The reactor antineutrino anomaly [178] suggests  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  disappearance oscillation from an observed deficit in the measured antineutrino events relative to the expectation based on the latest reactor antineutrino flux calculations [46, 47]. In this section, we focus our discussion on the search for a light sterile neutrino in reactor experiments and the reactor antineutrino anomaly. For other recent reviews on the search for light sterile neutrinos, see [179, 180].

##### 4.1. Theoretical framework for a light sterile neutrino

Adding one light sterile neutrino into the current three-neutrino model would lead to an expansion of the  $3 \times 3$  unitary matrix  $U$  (equation (4)) into a  $4 \times 4$  unitary matrix:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}, \quad (20)$$

where subscript  $s$  stands for the added light sterile neutrino. This expansion would introduce three additional mixing angles  $\theta_{14}$ ,  $\theta_{24}$ ,  $\theta_{34}$  and two additional phases  $\delta_{24}$ ,  $\delta_{34}$ . Similar to equation (5), the matrix  $U$  can be parameterized [181] as:

$$U = R_{34}(c_{34}, s_{34}, \delta_{34}) \cdot R_{24}(c_{24}, s_{24}, \delta_{24}) \cdot R_{14}(c_{14}, s_{14}, 0) \cdot R_{23}(c_{23}, s_{23}, 0) \cdot R_{13}(c_{13}, s_{13}, \delta_{\text{CP}}) \cdot R_{12}(c_{12}, s_{12}, 0), \quad (21)$$

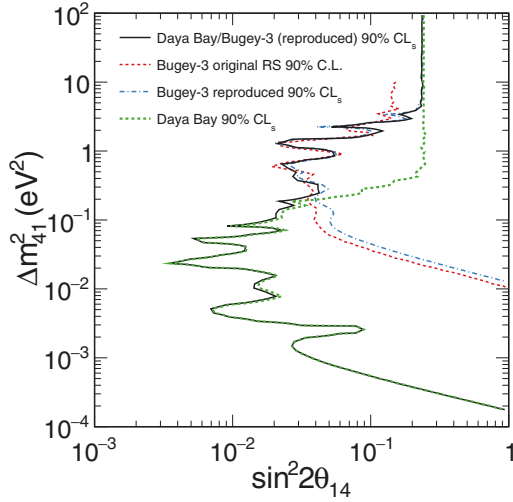
where  $R$ s are  $4 \times 4$  rotation matrices. For example, equation (6) is expanded to

$$R_{13} = \begin{pmatrix} c_{13} & 0 & s_{13} \cdot e^{-i\delta_{\text{CP}}} & 0 \\ 0 & 1 & 0 & 0 \\ -s_{13} \cdot e^{i\delta_{\text{CP}}} & 0 & c_{13} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}. \quad (22)$$

Given equation (20), the neutrino oscillation probabilities can be calculated following the procedure described in section 3.1. Following equation (9), the neutrino oscillation probability is written as:

$$P_{\nu_l \rightarrow \nu_{l'}}(L/E) = \left| \sum_{i=1}^4 U_{li} U_{l'i}^* e^{-i(m_i^2/2E)L} \right|^2. \quad (23)$$

More specifically, we have



**Figure 17.** Excluded regions for the combined Daya Bay and reproduced Bugey-3 results [188]. The region to the right of the curve is excluded at the 90% CL<sub>s</sub>. The original Bugey-3 result [24] using a raster scan (RS) [189], the reproduced Bugey-3 result with adjusted fluxes, and Daya Bay result [183] are shown as well. Reprinted figure with permission from [188], Copyright (2016) by the American Physical Society.

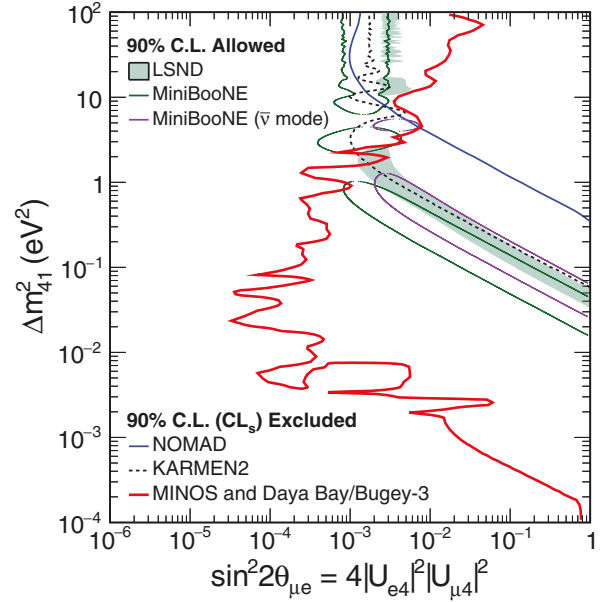
$$\begin{aligned}
 P_{\nu_\mu \rightarrow \nu_e}(L/E) &= \left| \sum_{i=1}^4 U_{\mu i} U_{e i}^* e^{-i(m_i^2/2E)L} \right|^2, \\
 P_{\nu_\mu \rightarrow \nu_\mu}(L/E) &\equiv P_{\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu}(L/E) \\
 &= 1 - 4 \sum_{k>j} |U_{\mu k}|^2 |U_{\mu j}|^2 \sin^2 \left( \frac{\Delta m_{kj}^2 L}{4E} \right), \\
 P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}(L/E) &\equiv P_{\nu_e \rightarrow \nu_e}(L/E) \\
 &= 1 - 4 \sum_{k>j} |U_{ek}|^2 |U_{ej}|^2 \sin^2 \left( \frac{\Delta m_{kj}^2 L}{4E} \right). \quad (24)
 \end{aligned}$$

Given equation (21), in which the definition of mixing angles depends on the specific ordering of the matrix multiplication, we have

$$\begin{aligned}
 |U_{e4}|^2 &= s_{14}^2, \\
 |U_{\mu 4}|^2 &= s_{24}^2 c_{14}^2, \\
 4|U_{e4}|^2 |U_{\mu 4}|^2 &= 4s_{14}^2 c_{14}^2 s_{24}^2 \equiv \sin^2 2\theta_{\mu e}. \quad (25)
 \end{aligned}$$

The last line in equation (25) is crucial in the region where  $\Delta m_{41}^2 \gg |\Delta m_{32}^2|$  and for short baselines ( $\Delta_{32} \equiv \frac{\Delta m_{32}^2 L}{4E} \sim 0$ ). Equation (24) can then be simplified to

$$\begin{aligned}
 P_{\nu_\mu \rightarrow \nu_e}(L/E) &\approx P_{\bar{\nu}_\mu \rightarrow \bar{\nu}_e}(L/E) \approx \sin^2 2\theta_{\mu e} \sin^2 \Delta_{41}, \\
 P_{\nu_\mu \rightarrow \nu_\mu}(L/E) &\equiv P_{\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu}(L/E) \\
 &\approx 1 - \sin^2 2\theta_{24} \sin^2 \Delta_{41} \\
 &\quad - \sin^2 2\theta_{23} \cos 2\theta_{24} \sin^2 \Delta_{31}, \\
 P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}(L/E) &\equiv P_{\nu_e \rightarrow \nu_e}(L/E) \\
 &\approx 1 - \sin^2 2\theta_{14} \sin^2 \Delta_{41} \\
 &\quad - \sin^2 2\theta_{13} \sin^2 \Delta_{31}, \quad (26)
 \end{aligned}$$



**Figure 18.** MINOS and Daya Bay/Bugey-3 combined 90% CL<sub>s</sub> limit on  $\sin^2 2\theta_{\mu e}$  [188] are compared to the LSND and MiniBooNE 90% C.L. allowed regions. Regions of parameter space to the right of the red contour are excluded. The regions excluded at 90% C.L. by KARMEN2 [191] and NOMAD [192] are also shown. Reprinted figure with permission from [188], Copyright (2016) by the American Physical Society.

in which the values of additional CP phases are irrelevant. This is no longer true if there are two sterile neutrino flavors. We kept the  $\sin^2 \Delta_{31}$  terms in the disappearance formulas, since they are important in some of the disappearance experiments to be discussed in the next section. We should note that at a given  $\Delta_{41}$ , the three oscillations in equation (26) depend on only two unknowns, namely,  $\theta_{14}$  and  $\theta_{24}$ . Hence, from a measurement of any two oscillations, the third one can be deduced.

#### 4.2. Search for a light sterile neutrino from reactor experiments

In this section, we review the searches for a light sterile neutrino from the Bugey-3 [24], Daya Bay [182, 183], NEOS [184], DANSS [185], PROSPECT [186], and STEREO [187] experiments.

The Bugey-3 experiment was performed in the early 1990s at the Bugey Nuclear Power Plant located in the Saint-Vulbas commune in France, about 65 km from the Swiss border. The main goal was to search for neutrino oscillation. In this experiment, two LS detectors having a total of three detector modules measured  $\bar{\nu}_e$  generated from two reactors (reactor 4 and 5) at three different baselines (15 m, 40 m, and 95 m) [24]. Each detector module was a 600-liter  $^6\text{Li}$ -doped LS having dimensions of  $122 \times 62 \times 85 \text{ cm}^3$  [190]. Each module was optically divided into independent cells having dimensions of  $8 \times 8 \times 85 \text{ cm}^3$ . Every cell was instrumented on each side by a PMT. The pressurized water reactor was approximated as a cylinder of  $\sim 1.6 \text{ m}$  radius and  $\sim 3.7 \text{ m}$  height. Bugey-3 detected IBD interactions with recoil neutrons captured by

**Table 6.** Major parameters of very-short-baseline reactor neutrino experiments that are in operation, under construction, or being planned. Diameter, radius, and height are indicated by d, r, and h, respectively. For the energy resolution, the unit of the energy ‘E’ is MeV. For signal-to-background ratios, the achieved performances (A.) are separated from the expected performance (E.). ‘Seg.’ stands for segmentation.

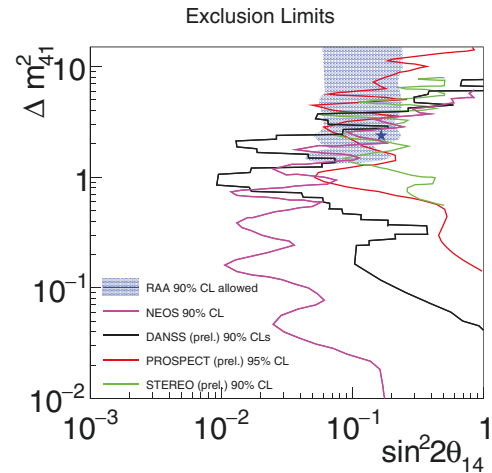
Experiment	Reactor	Distance	Mass	Resolution	Seg.	S/B
DANSS [185, 201]	LEU 3.1 GW <sub>th</sub> 1.5 m r × 3.5 m h	10.7–12.7 m	1.1 Ton	17%/√E	2D	0.6 (A.)
NEOS [184]	LEU 2.8 GW 3.1 m d × 3.8 m h	24 m	1 Ton	5%/√E	1D	21 (A.)
NEUTRINO-4 [202, 203]	HEU 100 MW 0.35×0.42×0.42 m <sup>3</sup>	6–12 m	0.3 Ton	N/A	2D	0.25–0.3 (A.)
Nucifer [204, 205]	HEU 70 MW 0.3 m r × 0.6 m h	7.2 m	0.6 Ton	10%/√E	1D	0.06 (A.)
PROSPECT [38, 206]	HEU 85 MW 0.2 m r × 0.5 m h	7–12 m	1.5 Ton	4.5%/√E	2D	0.8 (A.)
STEREO [207, 208]	HEU 58 MW 0.4 m d × 0.8 m h	8.9–11.1 m	1.6 Ton	8%/√E	2D	0.9 (A.)
SOLID [209, 210]	HEU 75 MW 0.25 m r	6–9 m	1.6 Ton	14%/√E	3D	1.0 (E.)
NuLAT [211]	HEU 20 MW 1 m d	4 m	1 Ton	4%/√E	3D	3 (E.)
CHANDLER [212]	HEU 75 MW 0.25 m r	5.5–10 m	1 Ton	6%/√E	3D	3 (E.)

<sup>6</sup>Li (see table 2). The energy resolution was about 6% at 4.2 MeV. The ratios of the measured positron energy spectrum to the Monte Carlo prediction at all three distances did not show any signature of oscillation, and exclusion contours were made in the phase space of  $\sin^2 2\theta_{14}$  and  $\Delta m_{41}^2$  (see figure 17).

The main motivation of the Daya Bay experiment (described in section 3.3) was to perform precision measurements of  $\sin^2 2\theta_{13}$  and  $\Delta m_{ee}^2$ . Given its unique configuration of multiple baselines to three groups of nuclear reactors, the Daya Bay experiment also allowed a search for sterile neutrinos through relative spectral distortions obtained at three experimental sites. With a baseline longer than that of Bugey-3, Daya Bay was sensitive to the sterile neutrino mixing parameter  $\sin^2 2\theta_{14}$  at smaller  $\Delta m_{41}^2$  values.

Similar to that of Bugey-3, no oscillation signature attributable to an additional sterile neutrino was found, and exclusion contours were set in [182, 183] using the Feldman–Cousins [193] and CL<sub>s</sub> [194, 195] approaches. Figure 17 shows the combined results of Daya Bay and Bugey-3 [188] using the Gaussian CL<sub>s</sub> method [196]. The exclusion contour combining both experiments covered about 5 orders of magnitude in  $\Delta m_{41}^2$ . This result was further combined with results from the MINOS experiment [197] to constrain the anomalous (anti-)ν<sub>μ</sub> → (anti-)ν<sub>e</sub> oscillation [188] using the CL<sub>s</sub> method [194, 195, 198]. As shown in figure 18, the combined result from Daya Bay, Bugey-3, and MINOS excluded most of regions allowed by LSND and MiniBooNE. Together with the search results from the IceCube experiment using the matter effect [199], this result significantly reduced the allowed parameter space for future searches.

The NEOS [184] experiment searched for a light sterile neutrino at reactor unit 5 (2.8 GW thermal power) located at the Hanbit nuclear power complex in Yeonggwang, South



**Figure 19.** Exclusion limits reported at the Neutrino 2018 conference [94] from the new generation of very-short-baseline reactor neutrino experiments. The results from DANSS [185] (90% CL<sub>s</sub>), PROSPECT [186] (95% CL), and STEREO [187] (90% CL) are preliminary. The allowed region from the reactor antineutrino anomaly (RAA) is compared. The star represents the best-fit point.

Korea, which is the same reactor complex used by the RENO experiment [140]. The active core size was 3.1 m in diameter and 3.8 m in height. In this experiment, the search was performed with 1 ton of 0.5% Gd-loaded LS at a distance of about 24 m from the reactor core. The LS was contained in a horizontal cylindrical stainless-steel tank of 103 cm in diameter and 121 cm in length. Each end of the target vessel was exposed to 19 8 inch PMTs that were packed inside mineral oil. The energy response of the NEOS detectors was calibrated with various radioactive sources. The energy resolution was measured to be about 5% at 1 MeV. With 20 m m.w.e.

overburden and active muon veto counters made from 5 cm thick plastic scintillators surrounding the detector, NEOS achieved a 22:1 signal-to-background ratio after all cuts.

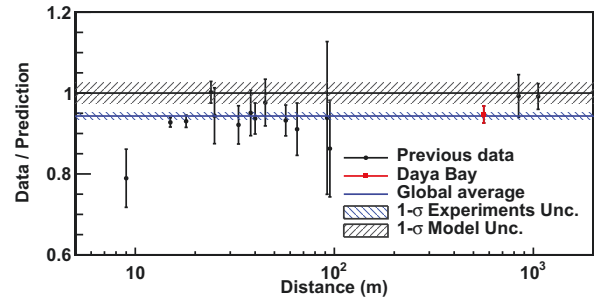
With a single detector, NEOS relied on external constraints on the neutrino spectrum to search for spectral distortion. In comparison with the neutrino spectrum measured from the Daya Bay experiment [200], NEOS observed no significant spectral distortion caused by oscillation, and the exclusion limit was set using the raster-scan method [189]. As shown in figure 19, stringent exclusion limits were set in the mass range of  $0.2 \text{ eV}^2 < \Delta m_{41}^2 < 3 \text{ eV}^2$ .

A new generation of very-short-baseline reactor neutrino experiments to search for an eV-mass-scale sterile neutrino are under construction or in operation. Table 6 summarizes the major parameters of these experiments. The primary challenges for these experiments include the cosmogenic backgrounds resulting from the limited amount of overburden, and reactor-related backgrounds caused by the proximity of the detector to the reactor core. A segmented detector design is generally required to achieve a desired signal-to-noise ratio.

The sensitivity of a light sterile neutrino typically depends on the distance between the detector and the reactor core, statistics (target mass, reactor power, and signal to noise ratio), sizes of reactor core and detector (smearing in distance), and energy resolution (smearing in energy). A comparison of measurements at different distances is crucial for finding evidence of a sterile neutrino. At the Neutrino 2018 conference [94], three of these experiments: DANSS [185], PROSPECT [186], and STEREO [187], reported preliminary exclusion limits shown in figure 19.

The DANSS experiment is located at the Kalinin nuclear power plant in Russia. The detector was placed in a room below the reactor with an overburden of  $\sim 50$  m.w.e. Polystyrene-based plastic scintillator strips ( $1 \text{ cm} \times 4 \text{ cm} \times 1 \text{ m}$ ) with a thin Gd-containing coating were arranged with two orientations in different layers. A total of 2500 strips were coupled to 2500 silicon photomultipliers and 50 PMTs [201]. Data were taken at three vertical detector positions with baseline varying from 10.7 m to 12.7 m. With about 1 million IBD events after background subtraction, DANSS observed no significant spectral distortion when comparing the positron energy spectrum measured at different detector positions [185]. As shown in figure 19, DANSS excluded the best-fit point of the RAA with a confidence level higher than  $5\sigma$ .

The PROSPECT experiment is located at the 85 MW high flux isotope reactor (HFIR) at Oak Ridge National Laboratory in the United States. With a compact reactor core and short baselines (7 m–9 m), PROSPECT had good sensitivities for  $\Delta m_{41}^2$  above  $3 \text{ eV}^2$ . The detector consisted of 154 segments ( $119 \text{ cm} \times 15 \text{ cm} \times 15 \text{ cm}$ ) filled with  $^6\text{Li}$ -doped EJ-309 LS. Each segment was read from two PMTs at each end. The  $^6\text{Li}$ -doped LS allowed a good pulse shape discrimination for the delayed signal [213], which was essential for rejecting cosmogenic and reactor-related backgrounds. Using multiple layers of shielding, PROSPECT achieved an overall signal to background ratio ( $\sim 0.8$ ). With a total 25 k IBD events after background subtraction, energy spectra from six baselines were compared. No oscillation signal was observed [186] and exclusion limits



**Figure 20.** The measured reactor  $\bar{\nu}_e$  rate as a function of the distance from the reactor, normalized to the theoretical prediction of the Huber–Mueller model [47, 218]. Reprinted figure with permission from [200], Copyright (2016) by the American Physical Society. The rate is corrected for three-flavor neutrino oscillation at each baseline. The blue shaded region represents the global average and its  $1\sigma$  uncertainty. The 2.7%-model uncertainty is shown as a band around unity. Measurements at the same baseline are combined for clarity. The Daya Bay measurement is shown at the flux-weighted baseline (573 m) of the two near halls.

were set. As shown in figure 19, the best-fit point of the RAA was excluded by PROSPECT with a confidence level of  $2.2\sigma$ .

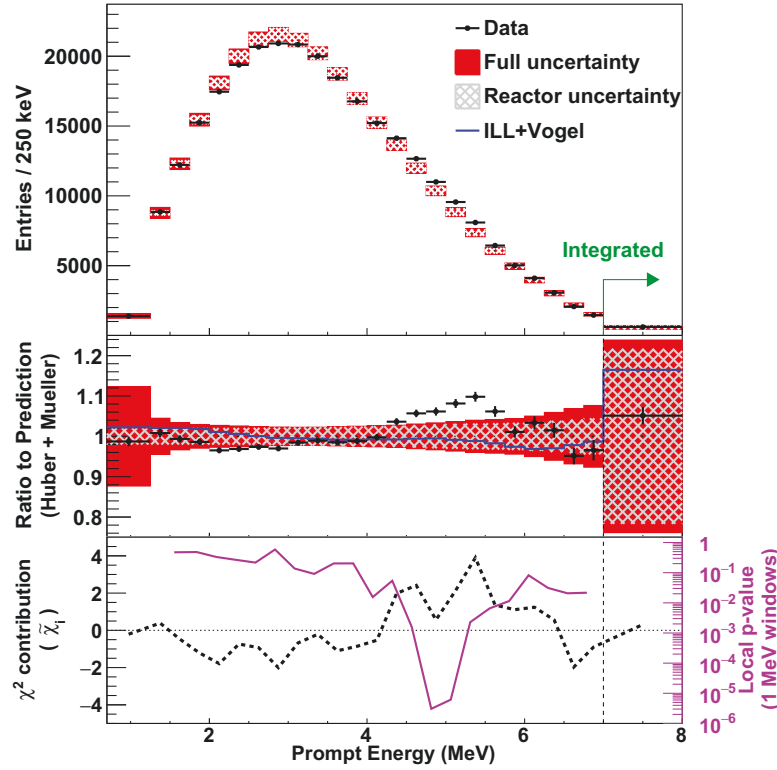
The STEREO experiment is located at a 58 MW research reactor at Institut Laue–Langevin (ILL) in Grenoble, France. Similar to PROSPECT, the research reactor core is compact and the baseline ranges from 9 m to 11 m. The target (dimensions  $2.2 \text{ m} \times 0.9 \text{ m} \times 1.2 \text{ m}$ ) was longitudinally divided into six identical and optically separated cells filled with Gd-loaded LS. With about 15 m.w.e. overburden, the STEREO detector was further shielded by a combination of lead, polyethylene, and boron-loaded rubber. A water Cerenkov muon veto was installed on top of the detector. About 400 IBD events were detected per day when reactor was on and a signal to background ratio of 0.9 was achieved [208]. With 66 (138) d of reactor on (off) data, no oscillation signal was observed when the measured spectra from six cells were compared [187]. As shown in figure 19, the best-fit point of the RAA was excluded by STEREO with a confidence level of 97.5%.

In the next few years, more precise results are expected from the new generation of very-short-baseline reactor neutrino experiments. Together with searches for a light sterile neutrino with atmospheric neutrinos [199], accelerator neutrinos [214], pion/kaon decay-at-rest (DAR) neutrinos, and radioactive neutrino sources [215], these reactor neutrino experiments are expected to give a definitive answer regarding the existence of an eV-mass-scale light sterile neutrino.

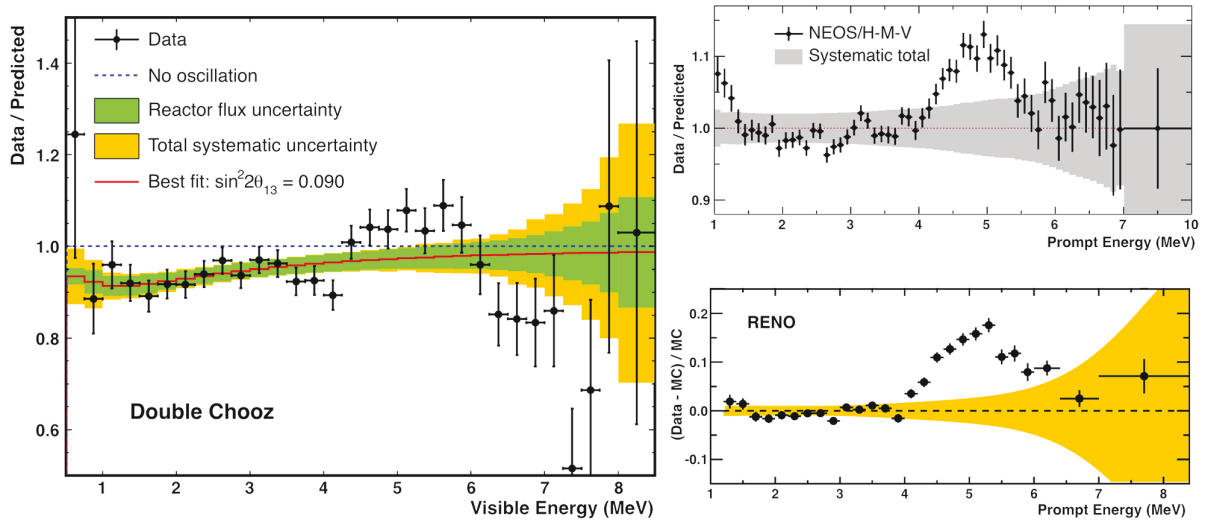
#### 4.3. Reactor antineutrino anomaly

The reactor antineutrino anomaly [178] refers to a deficit of the measured antineutrino rate in short-baseline reactor experiments ( $L < 2 \text{ km}$ ) with respect to the latest calculations of the antineutrino flux [46, 47], which are about 5% higher than previous calculations [52, 56–58]. The initial calculation of this deficit in [178] is biased towards a larger value by about 1.5% [216] because of an improper treatment of flux uncertainties in the covariance matrix, as demonstrated in [217]. Figure 20 displays the updated global fit, showing a data-over-prediction

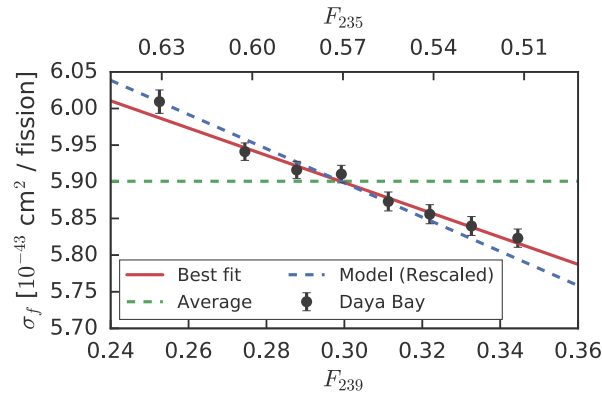




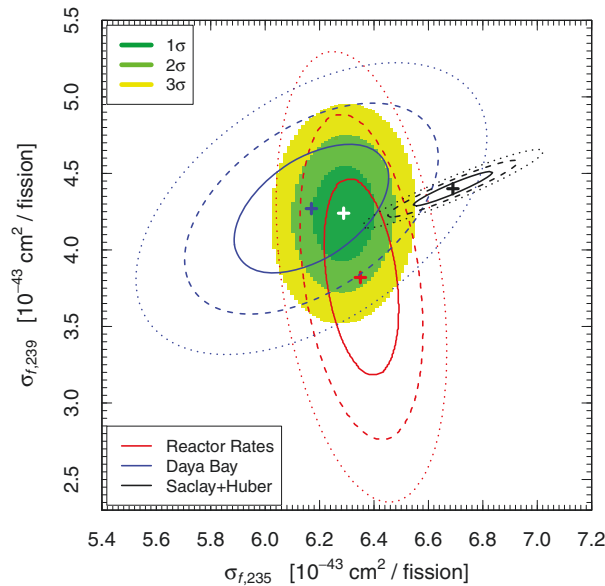
**Figure 21.** Predicted and measured prompt-energy spectra. Reprinted figure with permission from [200], Copyright (2016) by the American Physical Society. The prediction is based on the Huber–Mueller model [47, 218] and normalized to the number of measured events. The highest energy bin contains all events above 7 MeV. The gray hatched and red filled bands represent the square-root of diagonal elements of the covariance matrix for the reactor-related and the full (reactor, detector, and background) systematic uncertainties, respectively. The error bars on the data points represent the statistical uncertainty. The ratio of the measured prompt-energy spectrum to the predicted spectrum (Huber–Mueller model) is shown in the middle panel. The blue curve shows the ratio of the prediction based on the ILL + Vogel [52, 56–58] model to that based on the Huber–Mueller model. The defined  $\chi^2$  distribution of each bin (black dashed curve) and local  $p$ -values for 1 MeV energy windows (magenta solid curve) are shown in the bottom panel.



**Figure 22.** Observations of the 5 MeV prompt energy excess with respect to the model prediction [46, 47] from RENO. Reprinted figure with permission from [140], Copyright (2016) by the American Physical Society. Double Chooz [144], and NEOS . Reprinted figure with permission from [184], Copyright (2017) by the American Physical Society.



**Figure 23.** Measurements of IBD yield per fission,  $\sigma_f$  (black), versus effective  $^{239}\text{Pu}$  (lower axis) or  $^{235}\text{U}$  (upper axis) fission fractions. Reprinted figure with permission from [223], Copyright (2017) by the American Physical Society. The predicted yields from the Huber–Mueller model [46, 47] (blue), scaled to account for the difference in total yield between data and prediction, are shown. A clear discrepancy is seen between measurements and model predictions.



**Figure 24.** Allowed regions (filled colored contours) in the  $\sigma_{f,235}$ – $\sigma_{f,239}$  plane obtained from the combined fit of the reactor rates [224] and the Daya Bay measurement of  $\sigma_{f,235}$  and  $\sigma_{f,239}$  [223]. Reprinted figure with permission from [225], Copyright (2017) by the American Physical Society. The red, blue and black curves enclose, respectively, the allowed regions obtained from the fit of the reactor rates [224], the allowed regions corresponding to the Daya Bay measurement [223], and the theoretical Huber–Mueller model [46, 47] allowed regions.

ratio of  $0.943 \pm 0.008$ , excluding uncertainties associated with the flux prediction.

The calculated deficit cannot be explained by the quoted uncertainties of the reactor flux model [46, 47], which is around 2%. One potential explanation of this deficit is the existence of a sterile neutrino with its corresponding mass eigenstate heavier than or equal to  $\sim 1\text{ eV}$ . Recently, the foundation of this

explanation was challenged by authors of [61], who carefully examined the flux spectrum calculation and concluded that the uncertainties of the flux calculation should be larger than 5%. Their conclusion was supported by the recent measurements of the reactor neutrino energy spectrum from the Daya Bay [200], RENO [140], Double Chooz [144], and NEOS [184] experiments. Figure 21 shows the measured prompt energy spectrum from Daya Bay [200] in comparison with the model prediction and its associated uncertainties.

An excess between the 4 MeV and 6 MeV prompt energy beyond the model uncertainties can be clearly seen, which indicates an underestimation of the model uncertainties. Taking into account the entire energy range, this result disfavors the model prediction [46, 47] at about  $2.6\sigma$ . For the 2 MeV window between 4 MeV and 6 MeV, the  $p$ -value in testing the compatibility between the measurement and calculation reaches  $5 \times 10^{-5}$ , corresponding to a  $4.0\sigma$  deviation.

Such an excess having a similar degree of deviation was also observed when compared with the ILL + Vogel [52, 56–58] model calculation. Figure 22 compiles the observations of this excess from recent reactor neutrino experiments: RENO [140], Double Chooz [144], and NEOS [184]. In addition, a re-analysis of positron spectrum from the Gösigen experiment, which was performed with a nuclear power plant at Switzerland in the 1980s [22], also revealed a similar excess [219]. The observation of this 5 MeV prompt energy excess has motivated many studies attempting to explain its origin (See [218, 220–222], among others). At the moment, the exact origin of the 5 MeV prompt energy excess is still not clear. Nevertheless, it indicates that the original 2% quoted model uncertainty was underestimated.

In addition to the measured reactor neutrino energy spectra, evidence also indicates the underestimation of the model uncertainties from the extracted antineutrino flux of  $^{235}\text{U}$  and  $^{239}\text{Pu}$ . Figure 23 shows the measured IBD yield per fission,  $\sigma_f$ , as a function of the effective  $^{239}\text{Pu}$  fission fraction from Daya Bay [223]. The data from Daya Bay after an overall normalization correction to account for the rate deficit still deviated from the prediction of the Huber–Mueller model [46, 47]. Taking into account the original model uncertainty as well as the measurement uncertainties, the Huber–Mueller model prediction was disfavored at  $\sim 3.1\sigma$ .

These data were further used to extract the IBD yield per  $^{235}\text{U}$  fission,  $\sigma_{235}$ , and the IBD yield per  $^{239}\text{Pu}$  fission,  $\sigma_{239}$ . The IBD yield per  $^{241}\text{Pu}$  ( $^{238}\text{U}$ ) fission,  $\sigma_{241}$  ( $\sigma_{238}$ ), which contributes about 5% (10%) to the antineutrino flux, was conservatively constrained to 10% uncertainty.

The 2D confidence interval for  $\sigma_{235}$  versus  $\sigma_{239}$  from Daya Bay is shown in figure 24. In comparison, the results from [225] are shown after analyzing the measured rates from all the short-baseline reactor experiments with various average fission fractions. In the latter analysis, the uncertainties of  $\sigma_{238}$  and  $\sigma_{241}$  were conservatively taken to be 15% and 10%, respectively.

In comparison, with the predictions from the Huber–Mueller model [46, 47], both results showed a clear deficit in  $\sigma_{235}$ . The uncertainty of  $\sigma_{235}$  from the rate analysis was smaller than that of the Daya Bay fuel-evolution analysis, as some of the short-baseline experiments were performed with

highly-enriched  $^{235}\text{U}$ . In contrast, the uncertainty of  $\sigma_{239}$  from the Daya Bay fuel-evolution analysis was smaller than that of the rate analysis. Within experimental uncertainties, both measurements of  $\sigma_{239}$  were consistent with that from Huber–Mueller model.

In summary, the analysis of measured reactor neutrino energy spectra and fuel evolution from Daya Bay suggests an underestimation of the calculated reactor neutrino flux, which has shaken the foundation of the light-sterile-neutrino explanation of the reactor antineutrino anomaly. On the other hand, an increase of the reactor neutrino flux uncertainties also enlarges the allowed phase space for sterile neutrino couplings (i.e.  $\sin^2 2\theta_{14}$  and  $\Delta m_{41}^2$ ). Additional measurements are thus necessary to fully address this question.

## 5. Additional physics topics using reactor neutrinos

The high statistics data acquired by reactor neutrino experiments, together with the accurate determination of the anti-neutrino energy using the IBD reaction, have prompted various searches for new effects within or beyond the paradigm of three-flavor neutrino oscillation. The search for a light sterile neutrino, discussed in the previous section, is a prime example. In this section, we discuss examples of other searches for new effects, including the search for the neutrino magnetic moment, the attempt to constrain characteristics of the wave-packet approach for neutrino oscillation, the test of the Leggett–Garg inequality, and the search for the breaking of Lorentz and CPT invariance.

### 5.1. Search for the neutrino magnetic moment via neutrino-electron scattering

A natural extension to the standard model is the potential existence of neutrino electromagnetic interactions with virtual photons [226–228], which can be described at low-momentum transfer by two phenomenological parameters, the anomalous magnetic moment  $\mu_\nu$  and the mean-square charge radius  $\langle r^2 \rangle$  [48]. A non-zero  $\mu_\nu$  would enable left-handed neutrinos to flip into sterile right-handed neutrinos in a magnetic field. In the minimal standard model, neutrinos are massless and have no magnetic moment. A non-zero moment can be generated through radiative corrections [229, 230] for massive Dirac neutrinos in a simple extension [231]:

$$\mu_\nu = \frac{3G_F m_e m_\nu}{4\sqrt{2}\pi^2} = 3.2 \times 10^{-19} \left( \frac{m_\nu}{1 \text{ eV}} \right) \cdot \mu_B, \quad (27)$$

with  $m$  representing the mass and  $\mu_B \equiv e/2m_e$  being the electron Bohr magnetons. In comparison,  $\langle r^2 \rangle$  conserves helicity in interactions between a neutrino and a charged particle. The interpretation of  $\langle r^2 \rangle$  is still under debate. On one hand, authors of [229, 232, 233] showed that a straightforward definition of  $\langle r^2 \rangle$  was gauge-dependent and thus unphysical. On the other hand, authors of [234–236] interpreted  $\langle r^2 \rangle$  as a physical observable, and  $\langle r_{\bar{\nu}_e}^2 \rangle = 0.4 \times 10^{-32} \text{ cm}^2$  was predicted within the standard model framework.

For reactor neutrinos, both  $\mu_\nu$  and  $\langle r^2 \rangle$  can be accessed through the neutrino-electron elastic scattering having a cross section [48]:

$$\begin{aligned} \frac{d\sigma}{dT} = & \frac{G_F^2 m_e}{2\pi} \left( (g_V + x + g_A)^2 \right. \\ & \left. + (g_V + x - g_A)^2 \left( 1 - \frac{T}{E_\nu} \right)^2 \right) + (g_A^2 - (g_V + x)^2) \frac{m_e T}{E_\nu^2} \\ & + \frac{\pi \alpha^2 \mu_\nu^2}{m_e^2} \frac{1 - T/E_\nu}{T}, \end{aligned} \quad (28)$$

where  $E_\nu$  is the neutrino energy and

$$\begin{aligned} g_V &= 2 \sin^2 \theta_W + 1/2 \\ g_A &= -1/2 \\ x &= \frac{\sqrt{2}\pi\alpha}{3G_F} \langle r^2 \rangle \end{aligned} \quad (29)$$

for  $\bar{\nu}_e$ . Here,  $\theta_W$  is the weak mixing angle and  $T$  stands for the kinetic energy of the scattered electron. In particular, the  $1/T$  term associated with  $\mu_\nu$  leads to a significant increase of the cross section at low kinetic energies. Therefore, the most sensitive direct limit,  $\mu_\nu < 3.2 \times 10^{-11} \mu_B$ , came from high-purity germanium detectors at about a 10 keV threshold [237–239]. The  $\mu_\nu$  contribution at the present limit are still orders of magnitude higher than the standard model prediction. Other technologies, such as time projection chamber [67], organic scintillator [65], and scintillating crystal [49], were also used to set direct limits on  $\mu_\nu$ . A relaxed indirect limit on  $\mu_\nu$  was set by KamLAND’s search for solar  $\bar{\nu}_e$  [240]. In addition, limits on  $\langle r_{\bar{\nu}_e}^2 \rangle$  were set at a few times  $10^{-32} \text{ cm}^2$  [49, 65]. Neutrino-electron elastic scattering from reactor neutrinos can also be used to perform (precision) measurements of the weak mixing angle  $\theta_W$  at low momentum transfer [49, 64].

### 5.2. Wave packet and neutrino oscillation

The phenomenon of neutrino oscillation is usually formulated as a quantum mechanical effect using a plane-wave approximation. While successful in describing many neutrino oscillation results, the plane-wave approach can lead to apparent paradoxes [241, 242]. The necessity of a wave-packet treatment for neutrino oscillation has been considered since the 1970s [243, 244]. The wave-packet models of neutrino oscillation contain a quantity  $\sigma_p$  that effectively describes the momentum dispersions of all particles involved in the production and detection of neutrinos. A consequence of a non-zero value of  $\sigma_p$  is the ‘decoherence’ of the quantum superposition of mass eigenstates, leading to a modification or diminishing of the neutrino oscillation pattern. Moreover, the width of the wave packet would also broaden as time elapses, as a result of the momentum dispersion.

Despite many theoretical advances in formulating the wave packet models, within quantum mechanical or field-theoretical approaches, no quantitative estimates for  $\sigma_p$  or the related spatial width  $\sigma_x = (2\sigma_p)^{-1}$  are available. A treatment of the decoherence length for neutrinos produced in pion decays using density

matrix formalism was recently performed [245]. For antineutrinos produced in reactors, estimates for  $\sigma_x$  vary from  $\sim 10^{-12}$  cm (the size of the uranium nucleus) to  $\sim 10^{-7}$  cm (atomic scale), corresponding to  $\sigma_p \sim 10$  MeV to  $\sigma_p \sim 100$  eV [246].

The recent high-statistics reactor neutrino oscillation data have provided an opportunity to compare these data against the wave-packet approach and to set a constraint on the momentum dispersion of the wave packet for the first time [246]. In particular, a search for possible decoherence effects in neutrino oscillation was performed using Daya Bay data. The good energy resolution, together with large statistics collected at multiple baselines, allowed a meaningful study of quantum decoherence effects based on these data.

In the wave-packet approach, the probability of a neutrino's oscillating from flavor  $\alpha$  to  $\beta$  at a distance  $L$ ,  $P_{\alpha\beta}(L)$ , can be written as [246]

$$P_{\alpha\beta} = \sum_{k,j=1}^3 \frac{V_{\alpha k}^* V_{\beta k} V_{\alpha j} V_{\beta j}^*}{\sqrt{1 + \left(L/L_{kj}^d\right)^2}} e^{-\frac{\left(L/L_{kj}^{\text{coh}}\right)^2}{1 + \left(L/L_{kj}^d\right)^2} - D_{kj}^2 - i\tilde{\varphi}_{kj}}, \quad (30)$$

where  $V_{\alpha k}$  is the usual neutrino mixing matrix element. Three length scales appear in equation (30):

$$L_{kj}^{\text{osc}} = \frac{4\pi p}{\Delta m_{kj}^2}, \quad L_{kj}^{\text{coh}} = \frac{L_{kj}^{\text{osc}}}{\sqrt{2\pi}\sigma_{\text{rel}}}, \quad L_{kj}^d = \frac{L_{kj}^{\text{coh}}}{2\sqrt{2}\sigma_{\text{rel}}}, \quad (31)$$

where the relative momentum spread,  $\sigma_{\text{rel}} = \sigma_p/p$ , is a Lorentz invariant quantity.  $L_{kj}^{\text{osc}}$  refers to the usual oscillation length where maximal oscillation occurs for the neutrino mass-squared difference  $\Delta m_{kj}^2$ . The neutrino coherence length,  $L_{kj}^{\text{coh}}$ , corresponds to the distance at which the wave packet splits into non-overlapping components, diminishing the interference between neutrino mass eigenstates  $k$  and  $j$ . The dispersion length,  $L^d$ , characterizes the distance when the spatial widths of the wave packets for  $k$  and  $j$  mass eigenstates differ sufficiently because of momentum dispersion, and oscillation is suppressed. The quantity  $D_{kj}$  in equation (30) is given as

$$D_{kj} = \frac{\sqrt{2\pi}\sigma_x}{L_{kj}^{\text{osc}}}, \quad (32)$$

which suppresses the oscillation when the spatial width,  $\sigma_x$ , of the wave packet is large compared with the oscillation width,  $L_{kj}^{\text{osc}}$ . The expression for the phase  $\tilde{\varphi}_{kj}$ , which is the sum of the usual plane-wave phase  $\varphi_{kj} = 2\pi L/L_{kj}^{\text{osc}}$  and another correction term arising from the wave packet, can be found in [246].

From equations (30) and (32), in the limits of  $\sigma_p \rightarrow 0$  or  $\sigma_p \rightarrow \infty$ , the oscillation probability in equation (30) becomes

$$P_{\alpha\beta} = \sum_k |V_{\alpha k}|^2 |V_{\beta k}|^2. \quad (33)$$

The interference terms with  $k \neq j$  in equation (30) now all vanish. Thus  $P_{\alpha\beta}$  is now independent of distance, and the oscillation pattern disappears. This result can be understood intuitively. As  $\sigma_p \rightarrow 0$ , the spatial width of the wave packet approaches infinity, washing out any oscillation pattern having a finite oscillation length. Similarly, an infinite  $\sigma_p$  gives zero coherence and dispersion lengths, preventing any interference

effects. Observation of oscillation behavior in reactor neutrino experiments clearly shows that  $\sigma_p$  must lie somewhere between these two extremes.

The Daya Bay Collaboration has performed [246] a fit to the neutrino oscillation data utilizing the wave packet oscillation expression of equation (30). The allowed region for  $\sigma_{\text{rel}}$  at a 95% C.L. was found to be  $2.38 \times 10^{-17} < \sigma_{\text{rel}} < 0.23$ . Adding the constraints of the sizes of the reactor cores and detectors, the upper limit reduces to 0.20, corresponding to  $10^{-11}$  cm  $\lesssim \sigma_x \lesssim 2$  m. It is worth noting that the lower limit in  $\sigma_x$  is roughly 10 times the size of the uranium nucleus.

With additional data from Daya Bay, the sensitivity on the upper limit of  $\sigma_{\text{rel}}$  is expected to be improved by  $\sim 30\%$ . Nevertheless, a decoherence effect from the wave-packet approach was found to be insignificant for the Daya Bay experiment [246]. Thus, the neutrino oscillation parameters  $\sin^2 2\theta_{13}$  and  $\Delta m_{32}^2$  extracted from the plane-wave approach are entirely reliable.

### 5.3. Leggett–Garg inequality and neutrino oscillation

The phenomenon of neutrino oscillation is fundamentally a quantum mechanical effect. It originates from the principle of superposition, which allows a neutrino flavor eigenstate to be expressed as a coherent superposition of neutrino mass eigenstates. As discussed in section 5.2, decoherence effects would lead to the disappearance of neutrino oscillation.

The superposition principle remains an enigmatic and non-intuitive ingredient of the quantum mechanics. At the macroscopic level, a system's being able to coexist in different states led to the famous paradox of Schrödinger's cat [247]. At the microscopic level, the celebrated Bell's inequality [248] was proposed as a quantitative means to probe quantum mechanical coherence, or entanglement, within a spatially separated system. While Bell's inequality has been extensively tested, a loophole-free test of this inequality remains an elusive goal.

In 1985, Leggett and Garg [249] proposed a new test of quantum coherence not only for microscopic systems, for which Bell's inequality applies, but also for macroscopic systems. To facilitate such a test for macroscopic systems, Leggett and Garg considered the correlations of a single system measured at different times.

The Leggett–Garg inequality (LGI) is derived based on two principles: macroscopic realism (MR) and non-invasive measurability (NIM). Realism, often encoded in hidden-variable theories, implies that a measurement on a system reveals a pre-existing value. Under realism, systems prepared identically can be distinguished via a set of hidden variables, and a measurement would uncover a pre-existing value. NIM stipulates that a measurement could be performed without disturbing the system. While MR and NIM are consistent with classical mechanics, they certainly contradict quantum mechanics. The LGI provides a method to test the applicability of quantum mechanics to macroscopic systems, and LGI is often regarded as the time analogue of Bell's inequality [250]. A recent review on LGI can be found in [251].

The LGI involves the two-time correlation function  $C_{ij} = \langle Q(t_i)Q(t_j) \rangle$ , where  $Q$  is a dichotomic observable with



$Q = \pm 1$ . The value of  $C_{ij}$  is obtained by summing over the four possible values of  $Q(t_i)Q(t_j)$  (namely,  $+1, -1, -1, +1$ ) weighted by the corresponding probability  $P_{ij}(Q_i, Q_j)$ . From  $C_{ij}$  the quantity  $K_n$  could be defined from measurements performed at  $n$  distinct times:

$$K_n = C_{21} + C_{32} + C_{43} + \cdots + C_{n(n-1)} - C_{n1}. \quad (34)$$

Under the assumptions of MR and NIM, Leggett and Garg obtained the inequality  $K_n \leq n - 2$  for  $n \geq 3$ .

Twenty-five years after the work of Leggett and Garg, the first observation of the violation of LGI was reported [252], followed by many other LGI tests [251]. However, most of the tests suffer from the ‘clumsiness loophole’ [253], for which the LGI violation could be attributed to unintentional disruption of the system during measurements. This loophole could be avoided by using weak or indirect measurements.

The idea of testing LGI using neutrino oscillation was proposed several years ago [254], and the first test was performed recently [255]. As an example, consider the case of reactor neutrino oscillation with an electron antineutrino at  $t = 0$ . If at time  $t$ , a measurement finds an electron antineutrino, then  $Q = +1$ . Otherwise,  $Q = -1$ . The key idea is to mimic a series of measurements at various times on a single neutrino by measurements made on an ensemble of neutrinos of various energies at a given time. Details of this method can be found in [254, 255]. One unique feature of this method is the long coherence length for neutrino oscillation, unlike other LGI tests involving much shorter coherence lengths. This method is also free from the ‘clumsiness loophole’. Using the MINOS muon neutrino oscillation data at a baseline of 735 km, the LGI for  $K_3$  and  $K_4$  was found to be violated at a level greater than  $6\sigma$  [255]. A recent analysis of the Daya Bay data also showed a very similar result [256].

#### 5.4. Lorentz violation and neutrino oscillation

The standard model and general relativity (GR) are believed to be the low-energy limit of a theory that unifies quantum physics and gravity at the Planck scale,  $M_P \approx 10^{19}$  GeV. An effective field theory at lower energies, called the standard-model extension (SME) [257–259], extends the GR-coupled SM by including Lorentz-violating terms constructed from SM and GR fields. The Lorentz and CPT violations in the SME are caused by background Lorentz tensor fields of the Universe. These background fields are fixed in spacetime, implying rotation and boost dependence of physics in a specific frame. While suppressed at presently accessible energy  $E$  by an order of  $\sim E/M_P$ , the predicted violations of Lorentz and CPT symmetries might be revealed in sensitive measurements.

Quantum interference phenomena such as neutral-meson oscillation [260] and neutrino oscillation [261] might provide sensitive searches for the Lorentz and CPT violations predicted by the SME. A small coupling between neutrinos and a Lorentz-violating field can conceivably alter the pattern of neutrino oscillation [261]. In the SME, the effective Hamiltonian for neutrino oscillation is given as [261]

$$(h_{\text{eff}}^\nu)_{ab} \sim \frac{(m^2)_{ab}}{2E} + \frac{1}{E}[(a_L)^\mu p_\mu - (c_L)^{\mu\nu} p_\mu p_\nu]_{ab}, \quad (35)$$

where  $a$  and  $b$  refer to the neutrino flavors and  $E$  and  $p_\mu$  are the energy and the energy-momentum four-vector of the neutrino, respectively. The first term on the right-hand-side of equation (35) is the SM contribution from massive neutrinos. The coefficients  $(a_L)_{ab}^\mu$  have dimensions of mass and violate both Lorentz and CPT symmetry, while the dimensionless coefficients  $(c_L)_{ab}^{\mu\nu}$  violate Lorentz but keep CPT symmetry. The CPT-odd  $(a_L)_{ab}^\mu$  changes sign for antineutrinos and can lead to differences between neutrino and antineutrino oscillation.

This CPT-violating feature of SME offered an attractive possible explanation [262] for the LSND  $\nu_\mu \rightarrow \nu_e$  result [174]. Moreover, the vector  $(a_L)_{ab}^\mu$  and tensor  $(c_L)_{ab}^{\mu\nu}$  coefficients introduce directional dependence of neutrino oscillation. If the Z-axis is chosen as the rotation axis of the Earth, then a sidereal variation of the neutrino direction in  $X$  and  $Y$  would occur. Therefore, a sidereal variation of neutrino oscillation can be caused by coefficients  $(a_L)_{ab}^\mu$ ,  $(c_L)_{ab}^{\mu\nu}$ , for which at least one of  $\mu$  and  $\nu$  is either  $X$  or  $Y$ . In other words, all coefficients except  $(a_L)_{ab}^T$ ,  $(a_L)_{ab}^Z$ ,  $(c_L)_{ab}^{TT}$ ,  $(c_L)_{ab}^{TZ}$ , and  $(c_L)_{ab}^{ZZ}$  can contribute to sidereal variations.

Under SME, the probability for an electron antineutrino  $\bar{\nu}_e$  to oscillate to  $\bar{\nu}_x$ , where  $x$  is  $\mu$  or  $\tau$ , is given as [263]

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_x} \simeq L^2[(C)_{\bar{e}x} + (A_s)_{\bar{e}x} \sin(\omega_\oplus T_\oplus) + (A_c)_{\bar{e}x} \cos(\omega_\oplus T_\oplus) + (B_s)_{\bar{e}x} \sin(2\omega_\oplus T_\oplus) + (B_c)_{\bar{e}x} \cos(2\omega_\oplus T_\oplus)]^2, \quad (36)$$

where  $\omega_\oplus$  and  $T_\oplus$  are the sidereal frequency and sidereal time, and  $L$  is the baseline. The expressions for the parameters  $A_{s,c}$ ,  $B_{s,c}$ , and  $C$  consist of the Lorentz-violating coefficients introduced in equation (35). Expressions analogous to equation (36) can be obtained for oscillations involving other neutrino flavors. For reactor neutrino disappearance experiments, the probability  $P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}$  is simply  $P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - P_{\bar{\nu}_e \rightarrow \bar{\nu}_\mu} - P_{\bar{\nu}_e \rightarrow \bar{\nu}_\tau}$ .

Searches for Lorentz violations in neutrino oscillation via measurements of sidereal modulations of oscillation probability have been performed in accelerator based experiments, including LSND [264], MINOS [265–267], and MiniBooNE [268], as well as the non-accelerator experiment IceCube [269]. No evidence for Lorentz violating sidereal modulations has been found, setting upper limits on various coefficients in equation (35). Combining the analysis of MINOS near-detector (ND) data on  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance and far-detector (FD) data on  $\nu_\mu$  disappearance, limits on both the real and imaginary parts of 18 Lorentz-violating coefficients have been obtained [267]. Effects of the  $a_L$ -type ( $c_L$ -type) coefficients are proportional to  $L^2$  and  $(E_\nu L)^2$ , accounting for the greater sensitivities of the FD data [266] for constraining some coefficients, despite its lower event rates compared with the ND data [265]. This consideration also favors the IceCube experiment, which sets a stringent limit for  $(c_L)_{\mu\tau}^{TX(TY)}$  at  $3.7 \times 10^{-27}$  [269].

The only search for Lorentz violation in reactor neutrino experiments was performed by the Double Chooz Collaboration [270]. The relatively low antineutrino energies and short baseline may limit the reach of reactor-based neutrino experiments. However, unlike the long-baseline MINOS

and IceCube experiments, the reactor  $\bar{\nu}_e$  disappearance experiments are sensitive to Lorentz-violating coefficients in the  $e - \tau$  sector. Using 8249 candidate IBD events collected at the Double Chooz FD, constraints on the upper limits of various combinations of 14 of the SME coefficients in the  $e - \tau$  sector have been obtained for the first time [270]. With a much longer baseline and much larger detector volume, the JUNO reactor-neutrino experiment [37] is expected to reach even better sensitivities in the search for Lorentz-violating effects in the  $e - \tau$  sector.

## 6. Conclusions

In this article, we review the theoretical and experimental physics associated with man-made reactor neutrinos. Since the discovery of reactor-produced neutrinos in the 1950s, knowledge of the production of reactor neutrinos has been significantly improved. The absolute reactor flux and energy spectrum can now be predicted at the 5% and 10% level, respectively. Inverse beta decay, the primary detection channel of reactor neutrinos, is the most well-understood reaction, allowing for an accurate determination of neutrino energy. Benefiting from these important features, reactor neutrinos have played important roles in establishing the current paradigm of three-neutrino flavor mixing.

At an average baseline of 180 km, the KamLAND experiment observed neutrino oscillation in the solar sector and provided an independent constraint in  $\theta_{12}$  and an accurate determination of  $\Delta m_{21}^2$ . At shorter baselines of 1–2 km, the Daya Bay, RENO, and Double Chooz experiments observed neutrino oscillation, establishing a non-zero value for the last unknown mixing angle,  $\theta_{13}$ . The discovery of a nonzero  $\theta_{13}$  has opened a gateway to access two of the remaining unknowns in the neutrino properties: the CP phase  $\delta_{CP}$  that may provide a new source for CP violation, and the mass hierarchy that may provide a crucial input to reveal the Dirac or Majorana nature of neutrino.

The future physics program of reactor neutrinos is quite diversified. On one hand, the JUNO experiment will precisely measure neutrino oscillation at a  $\sim 55$  km baseline with an excellent energy resolution. The simultaneously measured oscillation caused by  $(\theta_{12}, \Delta m_{21}^2)$  and  $(\theta_{13}, \Delta m_{32}^2)$  will allow a determination of the neutrino mass hierarchy and a precision measurement of these mixing parameters. On the other hand, a new generation of very-short-baseline reactor experiments will search for a light sterile neutrino. These new measurements together with those using other neutrino sources are expected to explore possible new physics beyond the standard model. As we enter the precision era of neutrino physics, reactor neutrinos might yet lead to other unexpected major discoveries.

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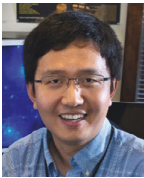
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