

# Arctic shrub colonization lagged peak postglacial warmth: Molecular evidence in lake sediment from Arctic Canada

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## Abstract

Arctic shrubification is an observable consequence of climate change, already resulting in ecological shifts and global-scale climate feedbacks including changes in land surface albedo and enhanced evapotranspiration. However, the rate at which shrubs can colonize previously glaciated terrain in a warming world is largely unknown. Reconstructions of past vegetation dynamics in conjunction with climate records can provide critical insights into shrubification rates and controls on plant migration, but paleoenvironmental reconstructions based on pollen may be biased by the influx of exotic pollen to tundra settings. Here, we reconstruct past plant communities using sedimentary ancient DNA (*sedaDNA*), which has a more local source area than pollen. We additionally reconstruct past temperature variability using bacterial cell membrane lipids (branched glycerol dialkyl glycerol tetraethers) and an aquatic productivity indicator (biogenic silica) to evaluate the relative timing of postglacial ecological and climate changes at a lake on southern Baffin Island, Arctic Canada. The *sedaDNA* record tightly constrains the colonization of dwarf birch (*Betula*, a thermophilous shrub) to  $5.9 \pm 0.1$  ka,  $\sim 3$  ka after local deglaciation as determined by cosmogenic  $^{10}\text{Be}$  moraine dating and  $>2$  ka later than *Betula* pollen is recorded in nearby lake sediment. We then assess the paleovegetation history within the context of summer temperature and find that paleotemperatures were highest prior to 6.3 ka, followed by cooling in the centuries preceding *Betula* establishment. Together, these molecular proxies reveal that *Betula* colonization lagged peak summer temperatures, suggesting that inefficient dispersal, rather than climate, may have limited Arctic shrub migration in this region. In addition, these data suggest that pollen-based climate reconstructions from high latitudes, which rely heavily on the presence and abundance of pollen from thermophilous taxa like *Betula*, can be compromised by both exotic pollen fluxes and vegetation migration lags.

## KEYWORDS

ancient DNA, Arctic shrubification, deglaciation, dispersal, paleoclimate, paleothermometry, paleovegetation

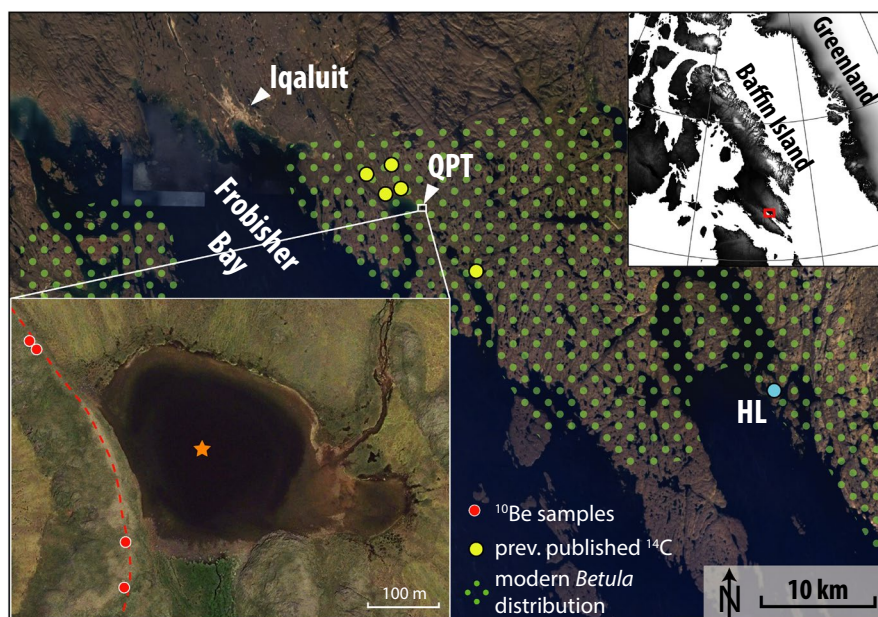
## 1 | INTRODUCTION

Rapid summer warming in the Arctic is expected to promote an increase in the biomass, cover, and abundance of deciduous shrubs, as well as the northward migration of shrubline (Myers-Smith et al., 2011). This 'shrubification' response to warming has been confirmed experimentally (Chapin, Shaver, Giblin, Nadelhoffer, & Laundre, 1995; Walker et al., 2006; Zamin & Grogan, 2012), and is already observable both in plot studies (Elmendorf et al., 2012; Tape, Sturm, & Racine, 2006) and via remote sensing (Goetz, Bunn, Fiske, & Houghton, 2005; Ju & Masek, 2016; Myneni, Keeling, Tucker, Asrar, & Nemani, 1997). Increased woody vegetation at high latitudes not only impacts local biodiversity and ecological interactions (Fauchald, Park, Tømmervik, Myneni, & Hausner, 2017; Walker et al., 2006), but also plays an important role in the global climate system by decreasing albedo and increasing atmospheric water vapor (Bonfils et al., 2012; Pearson et al., 2013; Sturm, Douglas, Racine, & Liston, 2005; Swann, Fung, Levis, Bonan, & Doney, 2010). The rate at which shrubification will proceed in the future, however, is largely unknown, meaning that these positive feedbacks on climate warming may not be correctly captured by current earth system models (Pearson et al., 2013).

The rates and patterns of tundra ecosystem change following the last glacial cycle can provide insights into how shrubs move north in a warming world and colonize previously glaciated terrain. Paleorecords based on fossil pollen and plant macrofossils have revealed dramatic biogeographical shifts of Arctic plants in response to repeated Quaternary glacial-interglacial cycles (Birks, 2008; Davis & Shaw, 2001). These traditional paleoecological approaches are compromised in treeless landscapes, however, because of the long-distance wind dispersal of pollen from Arctic shrubs and boreal trees that contribute to the pollen signal (Birks & Birks, 2000; Jacobson & Bradshaw, 1981), and the sparseness of macrofossils in

sedimentary records (Anderson-Carpenter et al., 2011). How quickly Arctic vegetation re-colonizes landscapes following deglaciation thus remains an open question. A genetic study of modern Arctic flora pointed to the rapid and repeated postglacial plant colonization of Svalbard, thereby suggesting that shrub establishment in some remote northern regions is limited primarily by climate rather than long-distance dispersal (Alsos et al., 2007). Yet, direct evidence that shrub ranges can rapidly shift in a warming climate to fill an ecological niche, particularly on Arctic islands, is lacking.

In this study, we explore postglacial vegetation patterns at a lake on southern Baffin Island (Nunavut, Canada) in the context of Holocene climate fluctuations. Baffin Island is an ideal setting to test the efficiency of postglacial shrub colonization, as it was completely ice-covered during the Last Glacial and isolated from southern populations of shrubs. We first constrain the timing of local deglaciation by dating a lake-impounding moraine with cosmogenic  $^{10}\text{Be}$  and calibrating previously published  $^{14}\text{C}$  ages from nearby deglacial raised marine deposits (Figure 1). We then analyze ancient plant DNA from a lake sediment core via *sedaDNA* metabarcoding, a powerful technique for detecting local terrestrial and aquatic plant taxa through time (Alsos et al., 2018; Niemeyer, Epp, Stoof-leichsenring, & Herzschuh, 2016; Parducci et al., 2017; Sjögren et al., 2016). We combine this vegetation record with paleothermometry based on bacterial lipids (branched glycerol dialkyl glycerol tetraethers, brGDGTs; Russell, Hopmans, Loomis, Liang, & Sinninghe, 2018) and an aquatic productivity proxy (biogenic silica, BSi) to evaluate the establishment and subsequent evolution of tundra and aquatic communities through climate fluctuations at one well-characterized site. This novel combination of molecular proxies in a lake sediment core provides a detailed view into postglacial ecosystem development during the insolation-driven warmth of the early Holocene (Holocene Thermal Maximum, HTM), most notably in identifying the colonization timing of the thermophilous shrub *Betula* (dwarf birch).



**FIGURE 1** Google Earth image of study area on southern Baffin Island, including modern *Betula* distribution from Jacobs, Mode, and Dowdeswell (1985), sites of previously published  $^{14}\text{C}$  dates (Andrews & Short, 1983), and site of pollen record mentioned in text (blue circle; HL = Hikwa Lake). Satellite image of Lake Quapat (QPT; Digital Globe) highlights impounding lateral moraine (dashed red line) with  $^{10}\text{Be}$  sample locations and core location (orange star) in the central basin of the lake

## 2 | MATERIALS AND METHODS

### 2.1 | Study area

Lake Qaupat (QPT; informal name) is a small (0.08 km<sup>2</sup>, max depth 9.2 m) moraine-impounded lake near Frobisher Bay, southern Baffin Island (63.67771°N, 68.19820°W, 35 m a.s.l.; Figure 1). This area was occupied by the Laurentide Ice Sheet during the Last Glacial Maximum and into the early Holocene, until the Foxe Dome sector of the Laurentide Ice Sheet retreated through Frobisher Bay between ~11 and 7 ka (ka = thousands of years before present; Miller, 1980; Squires, 1984). The QPT catchment is 14.5 km<sup>2</sup> with a modern vegetation cover of dwarf-shrub tundra dominated by *Betula glandulosa* (here considered synonymous with *B. nana* spp. *exilis* after Saarela, Sokoloff, & Bull, 2017), *Salix* spp. (willow), other ericaceous shrubs, and sedges. Dwarf birch is near its northern limit at QPT (Figure 1), except for a few isolated populations around southeastern Baffin Island where it grows in favorable microclimates and protected pockets (Andrews, Mode, & Davis, 1980; Andrews, Mode, Webber, Miller, & Jacobs, 1980; Jacobs, Mode, & Dowdeswell, 1985). The present discontinuous distribution of *B. glandulosa* on Baffin Island and Greenland indicates that other factors besides summer temperature—including possibly timing of deglaciation—may help explain its distribution, but it is generally viewed as a climate indicator and a representative species of Low Arctic tundra (Fredskild, 1991; Jacobs, Mode, Squires, & Miller, 1985). Pollen records from this area, including nearby Hikwa Lake (Figure 1; Kerwin, Overpeck, Webb, & Anderson, 2004; Mode & Jacobs, 1987), suggest the continuous postglacial presence of *Betula*, although long-distance wind transport introduced exotic pollen from *Alnus* (alder), *Picea* (spruce), and *Pinus* (pine)—none of which grow on Baffin Island today—into these records, rendering the Holocene establishment timing of *Betula* ambiguous.

### 2.2 | Field sampling

We collected two ~1.5-m lake sediment cores (QPT16-2A and QPT16-3A) in April 2016 using a modified Nesje coring system (Nesje, 1992) from the lake ice. Both cores were taken from the center of the lake's central deep basin in 8.8 and 9.2 m of water. Cores were kept cold in a snowbank for the duration of the field campaign and then stored at 4°C until processing. For moraine exposure dating, we collected the top 2–4 cm of moraine-crest boulders using a hammer and chisel. We recorded sample locations and elevations with a Garmin Global Positioning System. Topographic shielding at the site is negligible, and we observed that the moraine crest was windswept and snow free in spring 2016, suggesting that snow shielding is negligible as well.

### 2.3 | <sup>10</sup>Be exposure dating

Laboratory processing took place at the University of Colorado Cosmogenic Isotope Laboratory. Rock samples were crushed and

sieved to isolate the 250–550 µm fraction, which was then etched in dilute HCl and HF-HNO<sub>3</sub> acids to remove any organics and non-quartz minerals. Further removal of feldspars and mafic minerals was completed via froth flotation followed by heavy liquid separation, and final etching in HF-HNO<sub>3</sub> yielded sufficiently clean quartz as determined by inductively coupled plasma optical emission spectrometry. Chemical procedures for the isolation of beryllium were modified from Kohl & Nishiizumi, 1992; von Blanckenburg, Belshaw, & O'Nions, 1996. We spiked 30 g of quartz with <sup>9</sup>Be carrier (Table S1) and then dissolved the samples in HF-HNO<sub>3</sub>. Samples were converted to sulfides and then chlorides using H<sub>2</sub>SO<sub>4</sub> and HCl, respectively. Fe and Ti were removed through high pH precipitation, followed by precipitation of Be and Al at pH 8. Be was separated from Al and other remaining ions via cation column chromatography. Be(OH)<sub>2</sub> was precipitated at pH 8, dried, and combusted to form BeO, and then mixed with Niobium powder and packed into steel cylinders. One process blank was prepared. <sup>10</sup>Be/<sup>9</sup>Be isotope ratio measurements were made via accelerator mass spectrometry (AMS) at the Purdue Rare Isotope Measurement Lab. Ages were calibrated using the CRONUS-Earth online calculator with the Baffin Bay production rate (Young, Schaefer, Briner, & Goehring, 2013) and the time-constant scaling scheme of Lal (1991) and Stone (2000). We evaluated the spread in ages (i.e., determined whether the distribution of ages was primarily caused by measurement uncertainty or 'geologic uncertainty', altered inventories of <sup>10</sup>Be due to inheritance or postdepositional disturbance of the boulder) using the reduced chi-squared statistic after Balco (2011). A reduced chi-squared value of ~1 or less indicates that the spread of ages is likely due to measurement uncertainty rather than geologic uncertainty.

### 2.4 | Core sampling and description

Core QPT16-2A was split and opened in a dedicated ancient DNA clean laboratory at Curtin University in June 2016. We sampled for *sedaDNA*, BSi, and radiocarbon (plant macrofossils) following standard protocols for aDNA sampling (e.g., full clean laboratory personal protective equipment, bleaching, and UV irradiation of sample tools; see Supporting information). Core QPT16-3A was split and opened at the University of Colorado and one-half sampled for brGDGT analyses and radiocarbon. The archive half was transported to the University of Minnesota LacCore facility for split-core multi-sensor scanning including high-resolution point sensor magnetic susceptibility. The archive half was subsequently analyzed using an ITRAX X-ray fluorescence (XRF) core scanner at the University of Massachusetts, Amherst, to determine elemental abundances. Scans were carried out using a molybdenum tube with a downcore resolution of 300 µm. The voltage and current were set to 30 kV and 55 mA, respectively, with an XRF count time of 10 s.

### 2.5 | Radiocarbon dating and age model

Previously published radiocarbon ages were recalibrated using CALIB 7.1 (Stuiver, Reimer, & Reimer, 2018) with the Marine13

calibration curve (Reimer et al., 2013) and a reservoir correction ( $\Delta R$ ) of  $150 \pm 60$  years based on the recommended  $\Delta R$  for south-east Baffin Island (Coulthard, Furze, Pieńkowski, Chantel Nixon, & England, 2010). For lake sediment radiocarbon dating, plant macrofossils were cleaned in deionized water and freeze-dried before undergoing acid-base-acid pretreatment and conversion to graphite at the INSTAAR Laboratory for AMS Radiocarbon Preparation and Research Lab.  $^{14}\text{C}$  measurements were made at the UC Irvine AMS facility. We calibrated radiocarbon ages and produced age-depth models using a smooth-spline function in the R Software package CLAM version 2.2 (Blaauw, 2010), which relies on the IntCal13 calibration curve (Reimer et al., 2013). The composite core age model includes radiocarbon ages from both cores, with depth correlation based on two sets of statistically overlapping radiocarbon ages (Figure 2; Table S2). Composite depths account for minor differences in upper sediment dewatering.

## 2.6 | sedaDNA extraction and analysis

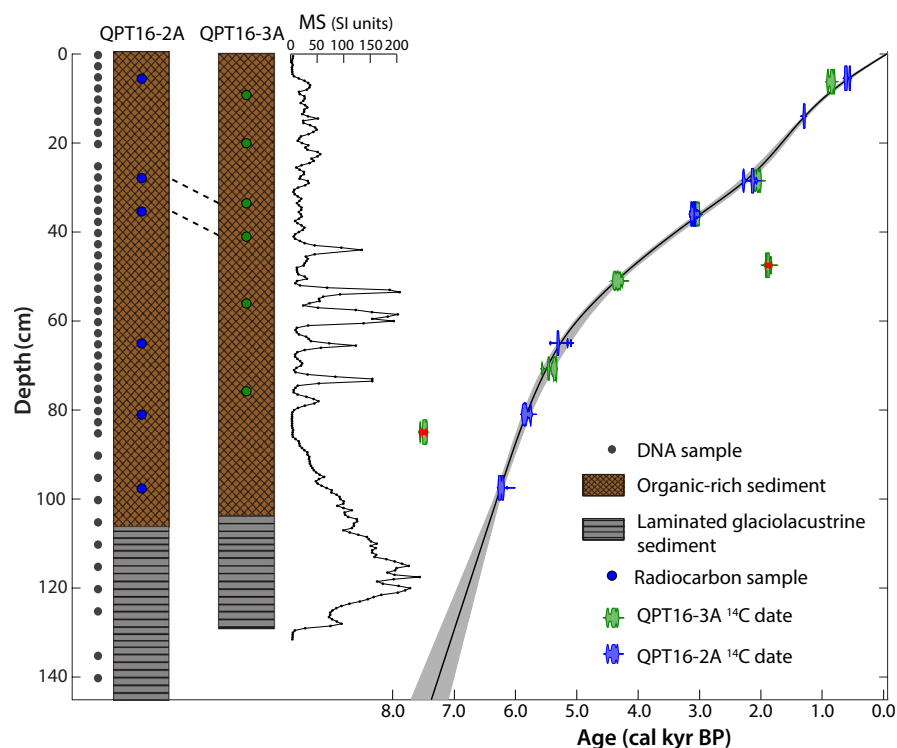
Sampling, extraction, and analysis of *sedaDNA* using metabarcoding were conducted at the Trace and Environmental DNA Lab at Curtin University. See Supporting information for a description of extraction, PCR, and sequencing methods. Briefly, we extracted *sedaDNA* from 44 samples taken from the full length of core QPT16-2A (Figure 2) <2 months after core collection. Two replicate 500 mg subsamples, taken from the interior of the core to minimize contamination, were digested in a digest buffer following Grealy et al. (2015). One extraction control was prepared for each batch of 11 samples. Sediment digests were concentrated in Vivaspin centrifugal concentrators, added to a binding buffer

following Dabney et al. (2013), and purified via MinElute PCR Purification Kit.

Serial dilutions of the extracts (full concentration, 1/5, 1/10) were amplified using quantitative polymerase chain reaction (qPCR) with two universal plant (plastid) assays: the P6 loop of the plastid *trnL* (UAA) intron (hereafter shortened to 'trnL P6 loop'; Taberlet et al., 2007) and, for a subset of 26 samples, a short region of the chloroplast gene *rbcl* (CBOL Plant Working Group et al., 2009; Poinar et al., 1998). Primer sequences are listed in Supporting information. Cycle threshold ( $C_T$ ) values were typically lowest for the 1/5 and 1/10 dilution (ranging from 22 to 28) indicating the presence of PCR inhibitors in the primary (undiluted) extracts. These qPCR data enabled an optimal level of input DNA into each metabarcoding reaction and a robust evaluation (in the absence of inhibition) of down-core *sedaDNA* preservation (Figure S2). Typically, 1/10 dilutions of the original extract were then PCR amplified (two replicates per sample) with unique (never previously used) multiplex identifiers (MID tags) for each metabarcoding assay using a single-step amplification approach. The efficacy of each MID-tagged PCR was tracked using qPCR to ensure robust and consistent amplifications were achieved.

Amplicons were pooled based on qPCR end-points and blended into a sequencing library. The library was prepared for sequencing by ligating on the Illumina adapter to the MID-tagged products using a PCR-free ligation method following Kozarewa and Turner (2011). The ligated library was size-selected via Pippin Prep and then sequenced using a standard MiSeq flow cell following manufacturer instructions.

Sequencing data was processed using Geneious (<https://www.geneious.com>) v10.2 following the bioinformatics approach of



**FIGURE 2** Core lithostratigraphy, locations of DNA samples, high-resolution magnetic susceptibility of core QPT16-3A, and composite age-depth model. Blue and green circles indicate locations of plant macrofossils dated with  $^{14}\text{C}$  (Table S3), and dashed lines highlight statistically overlapping ages used to correlate the two cores. The age-depth model is plotted on a composite depth scale and was generated with the CLAM smooth-spline function (Blaauw, 2010). Outlier ages are indicated in red; one additional outlier is beyond the scale of this figure (see Table S3)



Coghlan et al. (2015) to identify and remove primers, adapters, and MID tags, filter out short (artifact) sequences and singletons, evaluate read-quality (using Q-scores), and collapse duplicates into unique sequences. Chimeras were identified using USEARCH with the UCHIME de novo method (Edgar, Haas, Clemente, Quince, & Knight, 2011) and removed in Geneious. A Basic Local Alignment Search Tool nucleotide (BLASTn) search was then conducted against the National Centre for Biotechnology Information GenBank database (Altschul, Gish, Pennsylvania, & Park, 1990; Benson et al., 2000). BLAST results were assessed taxonomically in MEtaGenomic ANalyzer v5.10 (Huson, Auch, Qi, & Schuster, 2007; parameters: top 5%, min score 65, min support of 1). Taxonomic results were manually compared with the Arctic plant database of Sønsteby et al. (2010) and the online database Flora of the Canadian Arctic Archipelago (<https://nature.ca/aafloora/data>). Any taxa not found in the Arctic databases, known food contaminants, and taxa that did not represent >1% of any individual sample were marked as presumed contamination and removed from further analysis. Other than singleton removal, we did not apply an abundance cutoff threshold for accepting a result as a true positive on a per-sample basis because our hypotheses relied more sensitively on avoiding false negatives (i.e., inferring absence relied on a strict requirement of 'zero' reads). Sequencing results from the extraction controls is contained in Table S6 and suggested minimal cross-contamination or laboratory background contamination. *rbcl* and *trnL* P6 loop metabarcoding data are available from the Dryad Digital Repository.

In order to assess community-wide changes in vegetation through time, we conducted nonmetric multidimensional scaling (NMDS) ordination analysis (Kruskal, 1964; Paliy & Shankar, 2016; Shi, 1993) on taxonomic abundance data for each primer as well as presence-absence in a combined dataset (collapsed to lowest common taxonomic resolution). We performed NMDS analysis using the R software package *vegan* (Oksanen et al., 2016; <http://CRAN.R-project.org/package=vegan>), using the default Bray-Curtis dissimilarity index and 50 iterations for each run. We conducted a permutational analysis of variance (PERMANOVA; Anderson, 2017) to assess the significance of community change through time using the *Adonis* function within *vegan*, with a Bray-Curtis dissimilarity matrix and 999 permutations.

## 2.7 | brGDGT paleothermometry

We estimated past temperatures using the temperature-dependent distribution of bacterial brGDGTs, a class of membrane-spanning lipids produced by bacteria, brGDGTs (Schouten, Hopmans, & Sinninghe Damsté, 2013; Sinninghe, Hopmans, Pancost, Schouten, & Geenevasen, 2000; Weijers, Schouten, van den Donker, Hopmans, & Sinninghe Damsté, 2007). The brGDGT paleothermometer was originally developed for soils but has since been calibrated and applied in lake settings, in which the sedimentary biomarkers are nearly ubiquitous (Pearson et al., 2011; Tierney & Russell, 2009; Zink, Vandergoes, Mangelsdorf, Dieffenbacher-Krall, & Schwark, 2010). Here we rely on an African lake calibration to mean annual air

temperature (MAAT), based on the Methylation index of Branched Tetraethers ( $MBT'_{5Me}$ ) of Russell et al. (2018), as it is currently one of the only lake-based calibration that incorporates the improved chromatographic separation of brGDGT isomers (Hopmans, Schouten, & Sinninghe Damsté, 2016). However, we emphasize that at high-latitude sites, brGDGTs likely reflect summer temperature (Dang et al., 2018; Pearson et al., 2011; Shanahan, Huguen, & Van Mooy, 2013) and that a regional calibration is required for more reliable absolute temperatures. Thus, rather than interpreting absolute temperatures, we focus on prominent changes in mean-normalized temperature anomalies, which are robust across a variety of available calibrations (Figure S5).

Lipids were extracted, filtered, and analyzed for GDGTs via high-performance liquid chromatography-atmospheric pressure chemical ionization-mass spectrometry at the INSTAAR Organic Geochemistry Lab at the University of Colorado Boulder. See Supporting information for full methods.

## 2.8 | BSi analysis

Samples were ground with a mortar and pestle and mixed with KBr in a 1:50 dilution and analyzed with a Fourier Transform Infrared Spectrometer (FTIRS), following procedures of Liu, Colman, Brown, Minor, and Li (2013) and Vogel, Rosén, Wagner, Melles, and Persson (2008). Measurements were made in triplicate, with the mean and standard deviation presented. While published FTIRS-inferred BSi calibrations indicate a linear relationship between %BSi and absorbance units, the relationship differs between individual lakes (Liu et al., 2013). We thus report BSi in FTIRS absorbance units and only interpret relative changes through the record rather than absolute values.

# 3 | RESULTS

## 3.1 | Timing of deglaciation

Four boulder samples from the lateral moraine impounding Lake QPT yielded  $^{10}\text{Be}$  exposure ages between 8.2 and 9.8 ka, with a mean and SD of  $9.1 \pm 0.7$  ka (Table S1; Figure S1). The reduced chi-squared value for this set of ages is 0.93; thus, the mean age of 9.1 ka is an appropriate estimate of the moraine age. This age is corroborated by calibrated radiocarbon ages on marine shells from ice-proximal deltaic and raised tidal sediments from the Burton Bay region (Figure 1; Andrews & Short, 1983). A mollusk shell in deltaic sediment 6 km SE of QPT (down-paleoflow and thus morphostratigraphically older) dated to  $8.9 \pm 0.6$  cal ka BP (calibrated age in thousands of years before 1950; hereafter shortened to ka), and four sets of marine bivalves from the head of Burton Bay (2–5 km NW of QPT, up-paleoflow) ranged in age from 7.7 to 8.1 ka (Table S2). Together, the  $^{10}\text{Be}$  and  $^{14}\text{C}$  ages provide compelling evidence that the QPT catchment became ice-free between ~9 and 8 ka, providing a viable substrate for plant colonization after that point.

### 3.2 | Sediment core description and chronology

We recovered two sediment cores in April 2016, QPT16-2A (1.45 m) and QPT16-3A (1.29 m), taken ~8 m apart in the central basin of Lake QPT (Figure 2). The cores both contained an intact sediment–water interface and ~1 m of gray-brown organic-rich sediment with moss layers underlain by laminated gray-black finer-grained sediment with higher magnetic susceptibility values, likely deposited in a glaciolacustrine setting. Neither of the cores extends into a glacial till, which we presume underlies the glaciolacustrine sediment, indicating that we did not capture the full deglacial sequence. See Supporting information text and Figure S3 for elemental abundance results.

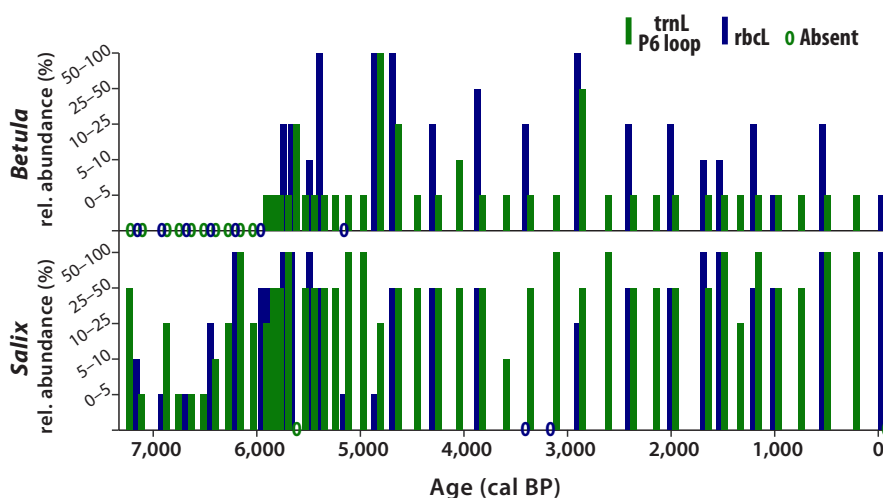
Twelve radiocarbon dates from plant macrofossils, six from each core, went into a composite smooth-spline age-depth model after discarding three outlier ages (Table S3; Figure 2). The outliers were each >25 SDs from the mean modeled age, likely due to discrete stratigraphic reworking events. Two sets of statistically overlapping ages were used to correlate the cores, accounting for minor differences in dewatering compaction in their upper portions; see Supporting information text for additional core correlation details. The age model produced extrapolated basal ages of  $7.4 \pm 0.3$  ka ( $2\sigma$  uncertainty) in core QPT16-2A and  $6.8 \pm 0.2$  ka in core QPT16-3A. These basal ages have relatively large uncertainty due to a lack of datable material below 1 m core depth; however, most of the core, and the interval on which we focus our interpretation, has much lower uncertainty, with an average  $2\sigma$  error of 57 years from 6.5 ka to present. The difference between the moraine age ( $9.1 \pm 0.7$  ka) and the basal age of QPT16-2A ( $7.4 \pm 0.3$  ka) is primarily due to the lack of recovery of the full deglacial sequence. The age model also revealed a decrease in sedimentation rate after 5.0 ka; higher sedimentation rates prior to 5 ka may be a result of more clastic material on the landscape following deglaciation and/or higher productivity prior to 5 ka.

### 3.3 | Paleovegetation from sedaDNA

All 44 sediment samples, taken at an average temporal resolution of 170 years (Figure 2) yielded amplifiable plant DNA using the two

universal plant assays, trnL P6 loop (all samples) and rbcL (subset of 26 samples). qPCR threshold cycle ( $C_T$ ) values, indicative of PCR amplification success and thus the quantity of suitable target sequences for amplification, averaged 25.3 for the trnL P6 loop assays and did not systematically decrease downcore, indicating that DNA preservation was sufficiently high for the full 7.4 ka record (Figure S2). Following data deconvolution and initial filtering (see Supporting information), the full trnL P6 loop dataset yielded 637,849 reads (average 14,497 per sample); after final quality filtering, these yields decreased to 633,783 reads (average 14,404 per sample; Tables S4 and S5; Figure S2). The rbcL dataset yielded 174,969 reads (average 6,729 per sample) before final filtering and 170,098 reads (average 6,542 per sample) after final filtering. Following final quality filtering, trnL P6 loop assays yielded 13 distinct taxa with a mean of 8.4 per sample, and rbcL yielded 22 taxa with a mean of 8.4 per sample (Tables S4 and S5; Figure S2). Seven of these taxa were represented by both trnL P6 loop and rbcL, although typically at different taxonomic resolution; for example, the trnL P6 loop assay allowed for the identification of *Empetrum nigrum* (crowberry) to species level, whereas rbcL did not provide a discrimination beyond the Ericaceae family level. Importantly, both metabarcoding assays consistently captured the two dominant deciduous shrubs in this area, *Salix* and *Betula* (Figure 3). Because PCR amplification results in sequence abundance that may not be representative of original copy numbers in a sample, we focused our analysis on presence/absence and normalized data.

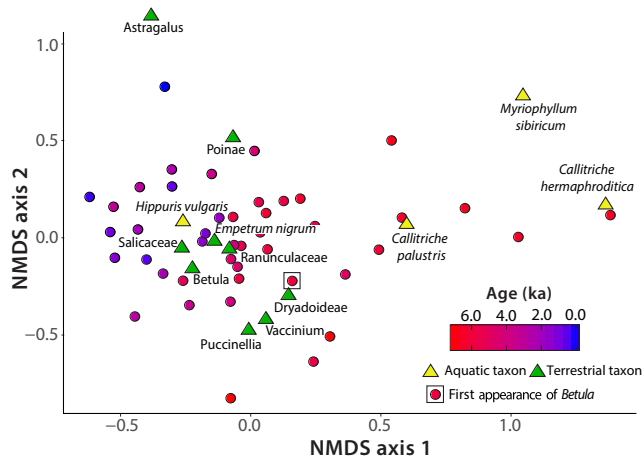
*Salix* was present in both records (to genus level for rbcL and tribe level, Saliceae, for trnL P6 loop) throughout the full core, with absence in only four of 44 samples in one of two plant assays. Conversely, *Betula* (identified to genus level in trnL P6 loop and family level, Betulaceae, in rbcL) was absent for the lowest 50 cm of the core in both assays—10 samples for trnL P6 loop and six samples for rbcL. *Betula*'s initial appearance differed by just one sample interval (~70 years) for the two metabarcoding assays and subsequently remained present throughout the rest of the record (Figure 3). The consistent presence of *Salix* DNA from the base of the core serves as a taphonomic control, indicating that the sudden *Betula* appearance was unlikely to be an artifact of poor preservation below that level. Thus,



**FIGURE 3** sedaDNA metabarcoding results for two woody shrubs. Downcore record of *Betula* and *Salix*, plotted as relative abundance of taxa (as a percentage of total post-filtered sample reads) for each sample and binned, for trnL P6 loop (green) and rbcL (blue) assays. *Betula* appears in the record at 5.9 ka, whereas *Salix* is present throughout the core

we argue this approach successfully identified the colonization timing of dwarf birch, at  $5.9 \pm 0.1$  ka, as corroborated by two genetic markers.

Nonmetric multidimensional scaling analysis of the trnL P6 loop abundance data yielded a minimum stress of 0.18, indicating that the ordination represented the full dataset reasonably well. The biplot in Figure 4 represents community composition in reduced

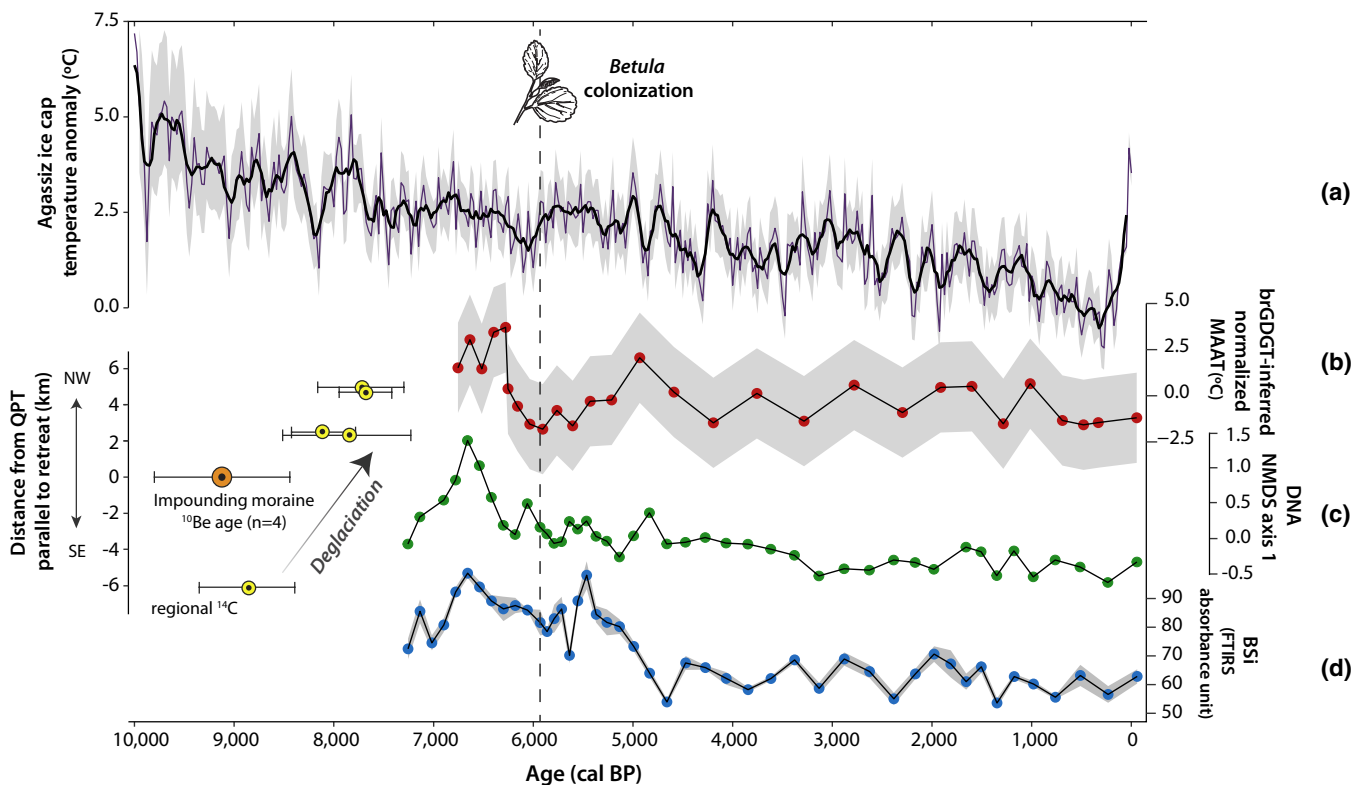


**FIGURE 4** Nonmetric multidimensional scaling (NMDS) axes 1 and 2 biplot for trnL P6 loop assays, with sample age overlay and taxa scores

dimensionality space, defined by NMDS axes 1 and 2, such that samples plotting more closely together had more similar plant communities. A sample age overlay reveals a coherent temporal trend, which is driven primarily by a decrease in NMDS axis 1 values from early to mid-Holocene samples (Figure 4), and a later increase in NMDS axis 2 values. PERMANOVA confirms that plant communities differed significantly between the early ( $>6$  ka;  $n = 10$ ) and later ( $<6$  ka;  $n = 34$ ) intervals of the record (pseudo- $F = 9.90$ ,  $p < .001$ ). NMDS taxa scores provide further insight into what drove these community trends through time. Because aquatic taxa (*Callitriche* spp. and *Myriophyllum sibiricum*) plot most strongly on NMDS axis 1, a decrease in axis 1 values from early to mid-Holocene likely signifies a decrease in aquatic plants. Indeed, in the combined metabarcoding dataset, *M. sibiricum* is absent after 4.9 ka and *Callitriche* spp. are absent after 4.3 ka following their dominance early in the record (Tables S4 and S5).

### 3.4 | Paleoclimate proxies: brGDGT-inferred temperatures and BSi

All 27 samples from core QPT16-3A yielded sufficient concentrations of brGDGTs for temperature estimates using multiple calibrations (Figure S5). Calculated temperatures were highest early in the record, with positive anomalies ranging from 1.4 to 3.7°C and between 6.7 and 6.3 ka (Figure 5) based on a recent lake calibration



**FIGURE 5** Paleoenvironmental reconstruction from Lake Qaupat (QPT). (a)  $\delta^{18}\text{O}$ -inferred temperature reconstruction for the last 10 ka from the Agassiz Ice Cap (Lecavalier et al., 2017). (b) Branched glycerol dialkyl glycerol tetraethers (BrGDGT)-inferred mean annual air temperature (mean-normalized, with calibration root mean squared error as gray band; Russell et al., 2018). (c) Nonmetric multidimensional scaling (NMDS) axis 1 scores from DNA metabarcoding results (trnL P6 loop only). (d) Relative biogenic silica (BSi) concentration (with SD as gray band). Dashed line indicates *Betula* colonization at 5.9 ka. Mean  $^{10}\text{Be}$  age of the lake-impounding moraine (orange) and regional radiocarbon ages (Andrews & Short, 1983; yellow) constrain progressive deglaciation over the study area

(Russell et al., 2018). At ~6.2 ka, temperatures dropped rapidly, with negative anomalies persisting through 5.2 ka. A transient warming centered at 4.9 ka preceded diminished and less variable temperatures for the remainder of the record. Given the calibration root mean squared error of 2.4°C (Russell et al., 2018), we subsequently focus on the changes that exceeded the uncertainty envelope of the paleothermometer—primarily the shift from warmer to cooler temperatures centered at ~6.2 ka.

We also investigated paleoclimate qualitatively using FTIRS-inferred BSi (Liu et al., 2013; Vogel et al., 2008). BSi concentrations represent diatom abundance and productivity in lakes (Conley, 1988; Conley & Schelske, 2001) and by proxy, spring or summer temperature (Geirsdóttir, Miller, Thordarson, & Ólafsdóttir, 2009; McKay, Kaufman, & Michelutti, 2008), although other factors like nutrient availability can also affect BSi concentration. BSi values were highest early in the record, peaking at 6.6 ka before declining steadily through 5.9 ka (Figure 5). Following a temporary uptick centered at 5.5 ka, BSi values dropped to lower and less variable levels for the remaining 5.0 ka of the record, coinciding with a decrease in sedimentation rate.

## 4 | DISCUSSION

Taken together, the proxy data outline the postglacial climatic and ecological evolution of the QPT catchment on Baffin Island, Arctic Canada. Following deglaciation after 9.1 ka, the catchment became available for primary terrestrial succession and lake ontogeny. Our *sedaDNA* data indicate that by the start of the lake sediment record at 7.4 ka, soil development and ecological succession had proceeded sufficiently to facilitate the establishment of vascular plants including *Salix*, *Vaccinium* (blueberry genus), and *E. nigrum* (Table S3). A peak in algal productivity, defined by maximum BSi values, is concurrent with a peak in aquatic vegetation, as inferred from NMDS axis 1 values, at 6.7 ka (Figure 5). This relatively productive lake environment was likely a result of high summer lake water temperatures and/or a long ice-free season, and is indeed mirrored in high temperatures inferred from brGDGTs between 6.7 and 6.3 ka. The presence of *Callitriche hermaphroditica* early in the *sedaDNA* record, and its dominance (77%–98% of reads) between 6.8 and 6.4 ka, provides further evidence of warm conditions during this time; in the modern, this species requires minimum July temperatures of ~14°C (Clarke et al., 2018; Välranta et al., 2015), ~6°C warmer than the modern July mean temperature in Iqaluit. Successional processes and soil development in the surrounding catchment also likely promoted high lacustrine productivity during this time (Engstrom, Fritz, Almendinger, & Juggins, 2000; Fritz & Anderson, 2013). We note that the two QPT sediment cores extend back to only 7.4 and 6.8 ka, respectively, and thus probably miss peak temperatures of the true HTM, which was likely prior to 8 ka in the Eastern Canadian Arctic (Axford, Briner, Miller, & Francis, 2009; Briner et al., 2016; Lecavalier et al., 2017).

All proxy values—brGDGT-inferred temperatures, *sedaDNA* NMDS axis 1, and BSi—then declined in the centuries preceding 6 ka, indicative of a deteriorating climate and waning aquatic productivity. A temporary increase in BSi centered at 5.5 ka signifies that aquatic productivity did not monotonically decline through this period, but the proxies together generally point to warmest conditions and high productivity prior to 6 ka. Additionally, factors other than temperature (e.g., low-oxygen conditions and associated changes in the microbial community) could possibly influence the brGDGT-derived temperature reconstruction (see Supporting information), but our record is consistent with independent proxy data indicating a warmer climate before 6 ka. The pre-6 ka cooling episode was also captured by a peat section from nearby Grinnell Bay (~150 km to the east), which contains a prominent cryoturbated paleosol that dated to  $6.3 \pm 0.4$  ka (Jacobs, Mode, Squires, et al., 1985). Moreover, a  $\delta^{18}\text{O}$ -inferred temperature reconstruction from the Agassiz ice cap also suggests modest cooling from 6.5 to 6.0 ka, and, more broadly, that the warmest temperatures of the Holocene occurred before 6 ka (Figure 5; Lecavalier et al., 2017). Given the multi-proxy evidence for declining temperatures prior to 6 ka, it is surprising to find strong evidence for the colonization of *Betula*—a temperature-sensitive shrub—at 5.9 ka. The catchment was likely ice-free ~3 ka prior, and soil development allowed for the establishment of *Salix* and other tundra plants at least 1.3 ka earlier. Climatic conditions appeared to be warm enough for *Betula* establishment from the start of the record, and subsequently declined markedly. Yet dwarf birch did not become established during peak temperatures, as would be predicted by a rapid dispersal model (Alsos et al., 2007), but instead colonized after several centuries of deteriorating climate. This finding suggests that dispersal mechanisms, whether by bird, sea ice, or other means (Alsos, Ehrich, et al., 2016; Alsos, Sjögren, et al., 2016; Birks, 2008), may have in fact been a limiting factor in shrub colonization of southern Baffin Island. The earlier colonization of *Salix* versus *Betula* could reflect more proximal source areas or taxa-specific dispersal limitations.

The *sedaDNA* results indicating the delayed arrival of *Betula* contradict the interpretation of a palynological record from nearby Hikwa Lake that contains *Betula* pollen throughout its ~8 ka extent (Mode & Jacobs, 1987), a feature that is ubiquitous among pollen records from the region (Gajewski, 2015a; Kerwin et al., 2004). This contrast highlights the different source areas of pollen versus *sedaDNA*. Pollen can be wind-transported long distances, such that tree species from within the *Betula* genus, which produce large amounts of pollen that is difficult to distinguish from that of *Betula* shrub species, are likely contributing exotic pollen from northeastern mainland Canada (Kerwin et al., 2004). This issue is particularly problematic in treeless landscapes where local pollen production is relatively low and wind transport of some pollen types is highly effective (Birks, 2008). Alternatively, the fact that the *sedaDNA* indicated no *Betula* from early in the record even when exotic *Betula* pollen was likely present supports other recent work concluding that *sedaDNA* has a predominantly local source from within a lake catchment (Alsos et al., 2018; Niemeyer, Epp, Stoof-Leichsenring,



Pestryakova, & Herzschuh, 2017; Sjögren et al., 2016) and is not derived from pollen grains (at least with standard extraction techniques; see Parducci et al., 2017). The DNA-based colonization timing of *Betula* at 5.9 ka coincides with an increase in *Betula* pollen in the Hikwa lake record at ~6 ka (Mode & Jacobs, 1987), which we suggest results from a shift from background-exotic flux to additional locally sourced *Betula* pollen.

Our combination of molecular proxies reveals that a temperature-sensitive shrub became established significantly after optimal climatic conditions and remained present through the cooler conditions of the late Holocene. Taken more broadly, this supports the notion that some types of thermophilous vegetation may lag climate on the order of 1,000 years (Davis & Shaw, 2001; Prentice, Bartlein, & Webb, 1991)—particularly in the Arctic, where migration distances can be vast and rapid climate changes are pronounced. The presence of a migration barrier (Hudson Strait) from the presumed southern source area in this case also likely decreased the efficiency of dispersal (Davis, Woods, Webb, & Futyma, 1986). Similar lags in shrub migration have been noted in Greenland, with *Betula* arriving between 8 and 6 ka and *Alnus* later yet, at ~4 ka (Bennike, 1999; Fredskild, 1991; Gajewski, 2015a). In contrast, studies from other remote Arctic islands, including Svalbard and Iceland, have suggested rapid post-glacial shrub colonization (Alsos, Ehrich, et al., 2016; Alsos, Sjögren, et al., 2016; Rundgren & Ingólfsson, 1999). This is the first definitive evidence from the Canadian Arctic Archipelago of a shrub migration lag, possibly because of the proximity of tree pollen sources.

One consequence of a sluggish vegetation response to climate is that pollen-based temperature reconstructions may inaccurately capture the timing of past climate change. Indeed, a temperature reconstruction based on the Hikwa Lake pollen record yields peak Holocene summer temperatures between 4.2 and 3.2  $^{\circ}\text{C}$  years BP (4.7–3.4 ka) when *Betula* pollen is at its maximum (Kerwin et al., 2004). This mid-Holocene rise in *Betula* (as well as *Alnus*) pollen is a common feature of lake records from southern Baffin Island (Briner et al., 2016) and may have been caused by migration lags or greater or more common southerly winds transporting exotic pollen (Kerwin et al., 2004). As a result, palynological records generally point to a later HTM in this region than do ice core records (Lecavalier et al., 2017) or chironomid-inferred temperature reconstructions (Axford et al., 2009) and may introduce a time-lag bias for Arctic proxy compilations (Gajewski, 2015b; Kaufman et al., 2004).

Dispersal-limited migration for certain woody plant species may limit the rate of current and future Arctic shrubification and delay the impacts of its associated climate feedbacks, particularly for islands or otherwise isolated areas. Importantly, however, migration is a necessary mechanism for vegetation to respond to rapid climate change (Aitken, Yeaman, Holliday, Wang, & Curtis-McLane, 2008; Chen, Hill, Ohlemüller, Roy, & Thomas, 2011; Thuiller et al., 2008). If migration barriers can result in delays on the order of 1,000 years, as seems to have been the case in the postglacial past on Baffin Island, species may not be able to shift their ranges quickly enough to keep up with rapidly shifting environmental conditions where geographic barriers exist. We note that dispersal limitations are species-specific (Thuiller

et al., 2008) and barrier-specific, and additional paleoecological work is required to broaden our understanding of high-latitude vegetation dynamics. Insights from such paleovegetation reconstructions can be used to improve the representation of vegetation-related feedbacks in earth system models and validate species distribution models (Guisan & Wilfried, 2005), both of which are critical in predicting the climatic and ecological future of the planet.

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## CONFLICT OF INTEREST

The authors report no conflict of interest.

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#### SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

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