

Spatially Heterogeneous Water Properties at Disordered Surfaces Decrease the  
Hydrophobicity of Nonpolar Self-Assembled Monolayers

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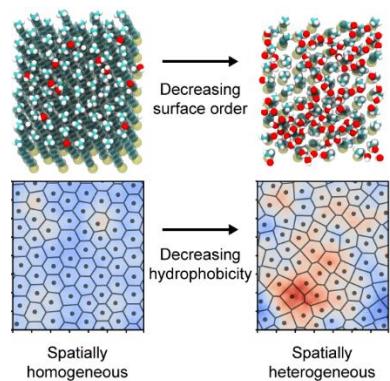
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## Abstract

Understanding the relationship between hydrophobicity and the properties of functionalized surfaces is vital to the design of materials that interact in aqueous environments. In this Letter, we use atomistic molecular dynamics simulations to investigate the effects of surface order on the hydrophobicity of self-assembled monolayers (SAMs) containing nonpolar ligands. We find that the interfacial hydrophobicity is highly correlated with SAM order and, strikingly, poorly correlated with the solvent-accessible surface area, which typically has been related to interfacial hydrophobicity. Analysis of spatial variations in both SAM and water properties reveals that the SAM-water interface is pinned near regions of disordered SAM surfaces with increased free volume, decreasing the overall interfacial hydrophobicity. Spatial variations in ligand end group positions at disordered SAM surfaces thus translate to spatial variations in hydrophobicity, yielding heterogeneous surface properties. These findings provide new insights into how surface order can alter the hydrophobicity of chemically uniform surfaces.

## TOC Graphic

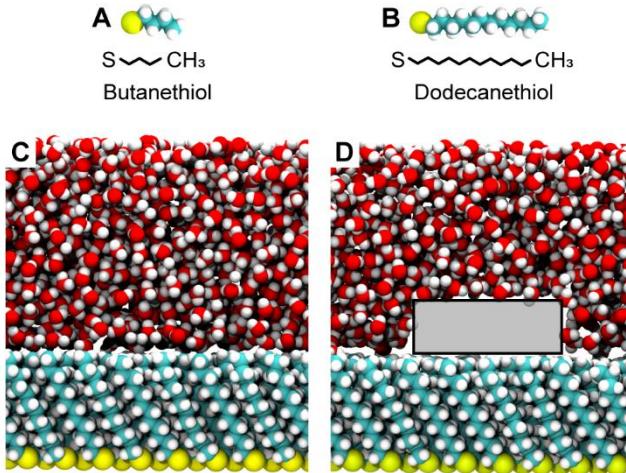


In aqueous environments, nonpolar solutes are driven to associate by water-mediated hydrophobic interactions that are important for the stability of colloids,<sup>1-2</sup> the assembly of biological macromolecules,<sup>3-5</sup> and the adsorption of peptides and proteins to functionalized surfaces.<sup>6-9</sup> Hydrophobic interactions emerge from the inability of water molecules to form hydrogen bonds with nonpolar materials, leading to the thermodynamically unfavorable disruption of interfacial water structure that is minimized by bringing nonpolar surface area into contact.<sup>10</sup> The interplay of solute-water and water-water interactions thus determines the hydrophobicity of an interface. The hydrophobicity of uniformly nonpolar solutes scales with surface area for a large solute with a radius greater than ~1 nm; the hydrophobicity of a small solute with a radius less than ~1 nm instead scales with volume.<sup>10</sup> The hydrophobicity of more complex interfaces is less easily characterized; for example, interfacial hydrophobicity has been shown to depend on the number and spatial location of both polar and nonpolar amino-acid residues in proteins<sup>11-13</sup> and in peptides,<sup>14-16</sup> and both the composition and patterning of hydrophilic groups on silica and self-assembled monolayer (SAM) surfaces.<sup>17-18</sup> These studies show that interfacial hydrophobicity depends on collective interactions at chemically heterogeneous interfaces in which polar, charged, and nonpolar moieties are in close proximity and cannot be predicted from the properties of individual interfacial groups as is sometimes assumed.<sup>19-21</sup>

Interfacial hydrophobicity also depends on physical properties, such as the local curvature.<sup>22</sup> We recently demonstrated that the hydrophobicity of planar, uniformly nonpolar SAMs composed of alkanethiol ligands depends on ligand length and saturation.<sup>23-24</sup> Using molecular dynamics (MD) simulations, we found that short ligands exhibit pronounced fluctuations and are highly disordered whereas longer ligands exhibit minimal fluctuations and are quasi-crystalline. Interfacial water molecules are more structured near SAMs with short ligands compared to water molecules near SAMs with longer ligands, resembling the same difference in water structure observed for small and large uniformly nonpolar solutes.<sup>10</sup> Accordingly, simulations showed that hydrophobic interactions between SAMs strengthened with increasing ligand length in agreement with experimental measurements.<sup>23-24</sup> This finding raises questions regarding the distinct effects that SAM fluctuations or order could have on hydrophobicity, as these effects were not distinguished in our prior study. For example, separate studies have identified a potential role of fluctuations on the hydrophobicity of chemically heterogeneous SAMs<sup>17</sup> and the effect of order on interfacial water structure for model surfaces.<sup>25</sup> Distinguishing these effects could provide further insight into the hydrophobicity of soft materials, such as lipid bilayers, proteins, or functionalized nanomaterials.

In this study, we use MD simulations to investigate the effect of SAM order on interfacial hydrophobicity by decoupling SAM order from ligand fluctuations. We hypothesize that if the timescale for ligand fluctuations is slower than the relaxation time of interfacial water molecules, then the order of SAM configurations sampled due to fluctuations should dictate interfacial hydrophobicity. We first confirm that the timescale for SAM reorganization due to ligand fluctuations is much greater than that of interfacial water dynamics, then use enhanced-sampling techniques to identify a linear correlation between interfacial hydrophobicity and order for SAMs in which fluctuations are inhibited. Analysis of these configurations shows that disordered SAM configurations have significant free volume that permits the penetration of water molecules into the SAM to behave like interfacial hydroxyl groups. This behavior leads to spatial variations in hydrophobicity that resemble the spatial variations at chemically heterogeneous interfaces. These findings provide new insight into how the molecular-level order of chemically homogeneous surfaces can impact hydrophobicity.

We modeled single-component SAMs composed of alkanethiol ligands based on our prior work.<sup>24</sup> Figure 1 shows the structure of a short, butanethiol ligand that forms a disordered, liquid-like SAM with large fluctuations and the structure of a long, dodecanethiol ligand that forms an ordered, quasi-crystalline SAM with minimal fluctuations.<sup>23, 26</sup> SAMs were modeled by placing alkanethiol ligands in positions corresponding to the hexagonal Au(111) lattice with sulfur atoms restrained by harmonic potentials to retain the ligand arrangement.<sup>27</sup> The gold substrate was not modeled because it was not shown to impact hydrophobicity in our prior work.<sup>24</sup> SAMs were solvated by a 5 nm water layer and a 3.5 nm vacuum layer was then added to prevent interactions between ligand sulfur atoms and water. The vacuum layer further



**Figure 1.** Simulation and chemical representations of the butanethiol (**A**) and dodecanethiol (**B**) ligands, and representative simulation snapshots for a dodecanethiol SAM before (**C**) and after (**D**) using INDUS to dewet an interfacial cavity (indicated by the gray box in **D**).

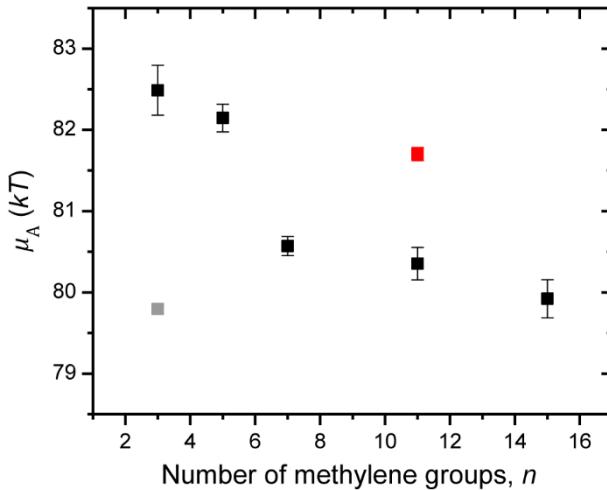
served as a volume buffer during *NVT* simulations.<sup>28</sup> Details on the simulation methods and force field parameters are provided in SI Section S1.<sup>24</sup>

We quantified SAM hydrophobicity by measuring the hydration free energy, or excess chemical potential,  $\mu_v$ , at the SAM-water interface.  $\mu_v$  is the free energy change for dewetting a cavity of a specific volume (denoted by  $v$ ) which is related to the probability that zero water molecules occupy the cavity,  $p_v(0)$ , by Equation 1.

$$\mu_v = -k_B T \ln p_v(0) \quad (1)$$

$\mu_v$  depends on the cavity location, shape, and volume. The value of  $\mu_v$  for a cavity placed near an interface can be used as a molecular indicator of interfacial hydrophobicity: a larger value of  $\mu_v$  indicates a lower likelihood of dewetting and thus a more hydrophilic interface.<sup>28</sup> For small spherical cavities (with radii less than 0.5 nm),  $\mu_v$  can be determined by calculating  $p_v(0)$  in unbiased simulations.<sup>29</sup> However,  $p_v(0)$  is extremely small for larger cavities. To overcome this challenge, we employed indirect umbrella sampling (INDUS), an enhanced-sampling technique that calculates  $\mu_v$  by applying a biasing potential to expel water molecules from the cavity.<sup>30</sup> INDUS simulation details are presented in SI Section S2.<sup>28, 30</sup> We performed INDUS simulations to measure the hydration free energy for a 2.0 x 2.0 x 0.8 nm<sup>3</sup> cavity near the SAM surface, which we define as  $\mu_A$ . The SAM surface is defined as the average *z*-position of the end group carbon atoms (*i.e.*, the carbon atoms at the SAM-water interface) and the cavity is positioned relative to the SAM surface based on the water density as described in SI Section S2.  $\mu_A$  was determined for six alkanethiol SAMs to compare to prior work in which we measured hydrophobic interactions between apposed SAMs.<sup>24</sup> Figure 2 shows that  $\mu_A$  decreases with increasing ligand length. We also find that  $\mu_A$  is significantly larger for a SAM containing unsaturated ligands (red square in Figure 2) compared to a SAM with saturated ligands of the same length. These results match trends obtained from prior simulations of hydrophobic interactions and from experimental atomic force microscopy measurements (Figure S7),<sup>24</sup> indicating that  $\mu_A$  can be used to compare differences in SAM hydrophobicity that should correlate with hydrophobic interactions. Importantly, INDUS requires significantly less computational expense than the prior simulation approach, facilitating the comparison of  $\mu_A$  for a larger range of SAM systems.

We confirmed that SAM order and fluctuations influence interfacial hydrophobicity rather than ligand length itself (*e.g.*, due to varying van der Waals interactions). We simulated a butanethiol SAM at a low temperature (150 K) to increase its order, which we found was similar to that of a dodecanethiol SAM at 300 K (Figure S3). We restrained all heavy atoms of the butanethiol SAM by applying harmonic biases

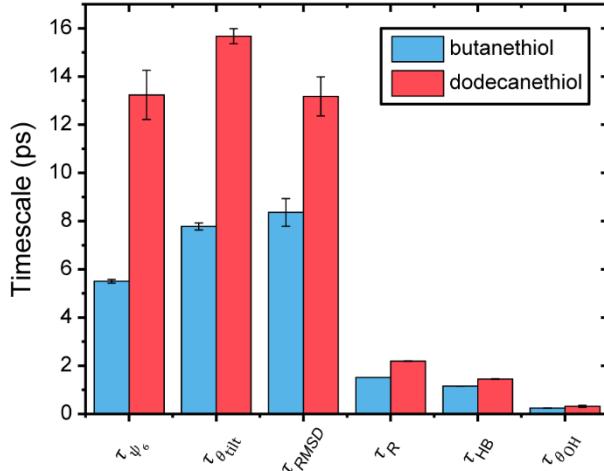


**Figure 2.** Hydration free energy ( $\mu_A$ ) as a function of the number of methylene groups in the ligand chain.  $n=3$  corresponds to butanethiol and  $n=11$  corresponds to dodecanethiol. The gray square indicates the restrained, ordered butanethiol SAM and the red square indicates the SAM containing unsaturated ligands. Error bars are the standard error from three independent INDUS simulations.

to artificially maintain SAM order and inhibit molecular fluctuations, then performed INDUS at 300 K to measure  $\mu_A$ . Figure 2 shows that  $\mu_A$  is similar for both the restrained, ordered butanethiol SAM (indicated by a gray square) and an unrestrained dodecanethiol SAM. This result demonstrates that either SAM order or fluctuations dictate interfacial hydrophobicity independent of ligand length.

We tested the hypothesis that interfacial water molecules relax quickly compared to the timescale for SAM fluctuations by computing autocorrelation times for SAM and water order parameters in unbiased simulations. We calculated three SAM order parameters: (i) the hexatic order parameter,  $\psi_6$ , which measures the in-plane six-fold symmetry of ligand end group carbon atoms, (ii) the tilt angle,  $\theta_{\text{tilt}}$ , which measures the angle formed between the ligand chain and the surface normal, and (iii) the root-mean-square deviation,  $RMSD$ , of ligand heavy atoms relative to their average positions. We also measured the OH orientation angle,  $\theta_{\text{OH}}$ , which measures the angle formed between a water OH bond and the substrate normal. For the  $n^{\text{th}}$  order parameter, we computed a corresponding autocorrelation time,  $\tau_n$ , by fitting the autocorrelation function to a stretched exponential,  $\exp[-(t/\tau_n)^\beta]$ . SAM order parameters were fit with  $\beta = 1$  while the OH bond angle was fit with  $\beta = 0.5$  based on previous studies of water dynamics within protein hydration shells.<sup>31-33</sup> In addition, we computed the hydrogen bond relaxation time,  $\tau_R$ , and the average hydrogen bond lifetime,  $\tau_{\text{HB}}$ , per water molecule within 0.5 nm of the SAM surface as other timescales relevant to water relaxation.<sup>34</sup> Additional calculation methods are provided in SI Section S1. Figure 3 compares these six timescales. Order parameters for the butanethiol SAM have shorter autocorrelation times than order parameters for the dodecanethiol SAM, which is consistent with the larger fluctuations of the butanethiol ligands.<sup>23-24</sup> Nonetheless, the timescales for interfacial water relaxation are  $\sim 2.5\text{-}10$  times shorter than those of all SAM order parameters. We conclude that water relaxes quickly compared to SAM fluctuations, suggesting that the order of each SAM configuration sampled due to these fluctuations should dictate hydrophobicity.

To decouple SAM order from molecular fluctuations, we measured  $\mu_A$  for a series of SAM configurations that were selected to span a range of  $\psi_6$  values to test the hypothesis that  $\psi_6$  and  $\mu_A$  should be highly correlated if SAM order, and not fluctuations, dictates interfacial hydrophobicity. We selected 60 SAM configurations from simulations of the butanethiol and dodecanethiol SAMs at 300 K and a butanethiol SAM at 200 K (Figure S3) that sampled equally spaced values of  $\psi_6$  between  $\psi_6 = 0.19$  (the disordered extreme) to  $\psi_6 = 0.78$  (the ordered extreme). Each SAM configuration was restrained as previously described for the restrained, ordered butanethiol SAM and INDUS was performed to compute corresponding values of  $\mu_A$ . Figure 4A shows that  $\psi_6$  and  $\mu_A$  are highly correlated (Pearson's  $r = -0.83$ ; a

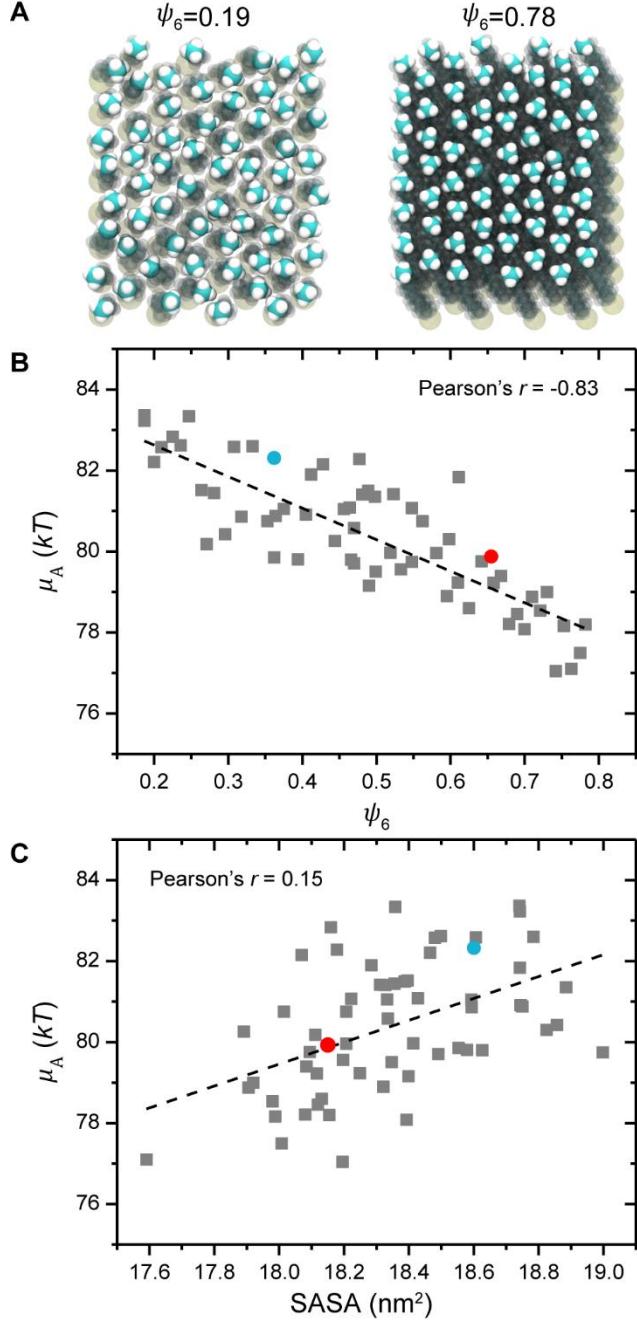


**Figure 3.** Autocorrelation times for the SAM hexatic order parameter ( $\tau_{\psi_6}$ ), ligand tilt angle ( $\tau_{\theta_{\text{tilt}}}$ ), root-mean-square deviation ( $\tau_{RMSD}$ ), and the OH orientation angle ( $\tau_{\theta_{OH}}$ ). The hydrogen bonding relaxation time ( $\tau_R$ ) and lifetime ( $\tau_{HB}$ ) are included for comparison. Error bars not seen are smaller than the width of the top line of the column.

value close to -1 indicates total negative linear correlation) with the negative correlation indicating that interfacial hydrophobicity increases with increasing SAM order. Similar trends were found when correlating  $\mu_A$  with two additional SAM order parameters (Figure S8). Figure 4A also shows values of  $\mu_A$  and corresponding ensemble-average values of  $\psi_6$  for the unrestrained butanethiol and dodecanethiol SAMs. These points lie close to the linear fit to the data for restrained SAMs, suggesting that the hydrophobicity of the unrestrained SAMs can also be related primarily to SAM order. The accumulated  $\mu_A$  measurements thus indicate that SAM order primarily dictates interfacial hydrophobicity, while ligand fluctuations cause the SAM to sample configurations of varying order near which interfacial water molecules rapidly relax.

We next sought to determine why the hydrophobicity of SAM configurations varies with order. In the large length scale limit, interfacial hydrophobicity can typically be related to the nonpolar solvent-accessible surface area (SASA),<sup>35-36</sup> which varies because changes to SAM order alter the surface topology. We calculated the SASA using the double cubic lattice method to determine if  $\mu_A$  and SASA are correlated.<sup>37</sup> Figure 4B shows that the SASA and  $\mu_A$  are weakly correlated (Pearson's  $r = 0.15$ ). The hydrophobicity of a surface is typically assumed to increase with increasing nonpolar SASA<sup>35-36</sup>, but Figure 4B shows the opposite behavior:  $\mu_A$  increases, indicating that hydrophobicity decreases, with increasing SASA. This result suggests that SAM order does not influence hydrophobicity by modulating the SASA, but rather by changing interfacial water properties.

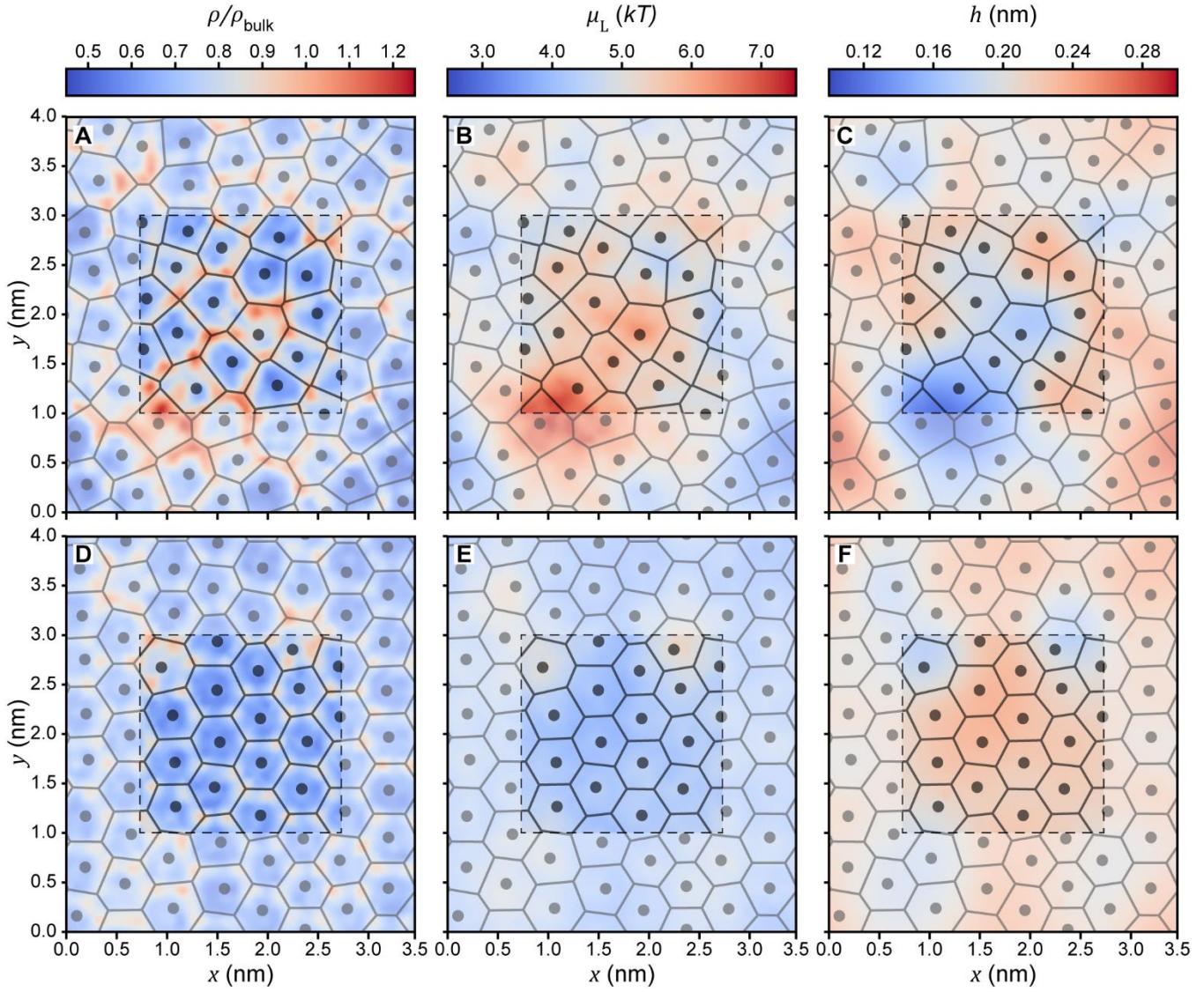
To better understand how SAM order impacts hydrophobicity, we related spatial variations in water properties to spatial variations in ligand end group positions. We calculated Voronoi diagrams by projecting the positions of the ligand end group carbon atoms into 2D. A Voronoi diagram shows the bisection of lines connecting the nearest-neighbor points in a plane; the Voronoi diagram for a perfectly ordered SAM would contain perfect hexagons. Water properties were computed within spherical cavities of radius 0.3 nm that were arranged in a grid spanning the SAM surface with cavities spaced by 0.1 nm in both the  $x$  and  $y$  dimensions. The center of each cavity was placed 0.3 nm above the SAM surface. In each cavity, we calculated the local hydration free energy,  $\mu_L$ , using Equation 1.  $\mu_L$  differs from  $\mu_A$  by reporting the hydration free energy for a small spherical cavity centered on a specific  $x$ - and  $y$ -position rather than for a larger rectangular cavity spanning the SAM surface, thus measuring the hydrophobicity of a small region of the surface. In each cavity, we also computed the average water density,  $\rho$ , and the average number of hydrogen bonds per water molecule. All quantities were calculated from 20-ns unbiased simulations as described in SI Section S2.



**Figure 4.** **A** Top-down simulation snapshots illustrating the disordered ( $\psi_6=0.19$ ; left) and ordered ( $\psi_6=0.78$ ) SAMs. Water is not shown for clarity. **(B, C)** Hydration free energy ( $\mu_A$ ) as a function of **(B)** the hexatic order parameter ( $\psi_6$ ) and **(C)** the solvent accessible-surface area (SASA). Gray squares indicate simulations in which ligand heavy atoms are restrained to inhibit fluctuations and maintain SAM order. The blue circles indicate  $\mu_A$  computed for the unrestrained butanethiol SAM and corresponding ensemble-average values of either  $\psi_6$  or the SASA. The red circles indicate the same quantities for the unrestrained dodecanethiol SAM.

Figure 5 superimposes the water properties computed in each of the spherical cavities onto the Voronoi diagrams for the restrained SAM configurations at the extremes of the dataset ( $\psi_6=0.19$  and  $\psi_6=0.78$ ). In general, Figure 5 reveals distinct spatial heterogeneities in the properties of interfacial water molecules near the disordered SAM, whereas the properties of interfacial water molecules near the ordered SAM are more homogeneous. Figure 5A and 5D show that the average water density (normalized by

bulk water density) is increased along the lines of the Voronoi diagram. These areas are where the free volume between ligands is increased, allowing water molecules to partially embed within the SAM. Figure 5B and 5E show that the areas of increased water density translate to increased values of  $\mu_L$ , indicating that the embedded water molecules behave like hydrophilic groups at the SAM-water interface to transform the uniformly nonpolar surface into one that appears chemically heterogeneous. Notably, the area over which  $\mu_L$  increases is larger than the area associated with increased water density. We thus hypothesized that the regions of increased water density could locally pin the SAM-water interface to decrease the hydrophobicity over a larger area due to transverse water-water correlations; prior studies measuring the effects of chemically heterogeneous SAMs on water properties observed similar deformations to the SAM-water interface induced by single and clustered hydroxyl groups on otherwise



**Figure 5.** 2D Voronoi diagrams of the ligand end group carbon atoms. **A-C** show diagrams for the disordered ( $\psi_6=0.19$ ) SAM whereas **D-F** show diagrams for the ordered ( $\psi_6=0.78$ ) SAM. Black circles indicate the positions of the end group carbon atoms. Superimposed on the Voronoi diagrams are water properties computed in spherical cavities with radii of 0.3 nm. **A** and **D** show normalized water densities ( $\rho/\rho_{\text{bulk}}$ ), **B** and **E** show local hydration free energies ( $\mu_L$ ), and **C** and **F** show the height of the SAM-water interface above the SAM surface ( $h$ ). The unshaded region inside the dotted lines indicates the area covered by the cavity biased during INDUS simulations.

nonpolar SAMs.<sup>22, 38</sup> To test this hypothesis, we defined the height of the SAM-water interface,  $h$ , as the distance between the isosurface where the average water density is equal to half the bulk water density (*i.e.*, the Willard-Chandler dividing surface<sup>39</sup>) and the SAM surface. Figure 5C and 5F show the spatial dependence in  $h$ . As expected, the interface is farther from the SAM surface (larger values of  $h$ ) for areas corresponding to lower values of  $\mu_L$ , whereas the interface is pinned (smaller values of  $h$ ) for areas corresponding to larger values of  $\mu_L$  where water molecules embed within the SAM. Figure S9 shows that hydrogen bonding is promoted within these same regions, further confirming an increase in the local water structure that is consistent with our prior work.<sup>24</sup> These findings support the hypothesis that water molecules partially penetrate into the free volume accessible at disordered SAM interfaces to act similarly to hydroxyl functional groups, locally pinning the SAM-water interface and decreasing SAM hydrophobicity.

Our findings indicate that SAM order dictates interfacial hydrophobicity by modulating chemically heterogeneous surface properties which arise due to the penetration of water molecules into the free volume between ligands at disordered SAM interfaces. Ligand fluctuations cause each SAM to sample a range of different configurations with varying order, but otherwise do not significantly affect hydrophobicity because interfacial water molecules quickly relax near each configuration. These findings provide new insight into how spatial variations in water properties cause disordered nonpolar SAMs to appear less hydrophobic than ordered nonpolar SAMs despite seemingly similar chemical properties, building upon our prior study of the average water structure across SAM interfaces.<sup>24</sup> Moreover, this work suggests that disorder could promote spatial heterogeneities and thus modulate interfacial hydrophobicity for rigid surfaces, as has been suggested previously.<sup>25</sup> We expect that variations in interfacial hydrophobicity will be even more pronounced for surfaces with larger variations in free volume, such as SAM-protected nanoparticles with highly curved surfaces.<sup>40</sup> While the values of  $\mu_A$  vary over a small range, prior experimental measurements of hydrophobic interactions between similar SAMs suggest that these changes can lead to a  $\sim 4x$  difference in the magnitude of hydrophobic interactions for macroscopic surfaces.<sup>23-24</sup> Therefore, these findings suggest that tuning the physical properties of a material could be a powerful approach for engineering interfacial hydrophobicity.

### Supporting information

Details on simulation preparation, validations, and additional results and discussion.

### Author information

The authors declare no competing financial interests.

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