



# N-heterocyclic carbene as a promising metal-free electrocatalyst with high-efficiency for nitrogen reduction to ammonia

Q1 Hongyan Li<sup>a</sup>, Le Yang<sup>b</sup>, Zhongxu Wang<sup>a</sup>, Peng Jin<sup>b,\*</sup>, Jingxiang Zhao<sup>a,\*</sup>, Zhongfang Chen<sup>c,\*</sup>

<sup>a</sup>College of Chemistry and Chemical Engineering, and Key Laboratory of Photonic and Electronic Bandgap Materials, Ministry of Education, Harbin Normal University, Harbin 150025, Heilongjiang, China

<sup>b</sup>School of Materials Science and Engineering, Hebei University of Technology, Tianjin 300130, China

<sup>c</sup>Department of Chemistry, University of Puerto Rico, Rio Piedras Campus, San Juan, PR 00931, USA

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## ABSTRACT

Electrocatalytic nitrogen reduction reaction (NRR) at ambient conditions holds great promise for sustainably synthesizing ammonia ( $\text{NH}_3$ ), while developing highly-efficient, long-term stable, and inexpensive catalysts to activate the inert  $\text{N}=\text{N}$  bond is a key scientific issue. In this work, on the basis of the concept "N-heterocyclic carbenes (NHCs)", we propose a carbon decorated graphitic-carbon nitride ( $\text{C}/\text{g-C}_3\text{N}_4$ ) as novel metal-free NRR electrocatalyst by means of density functional theory (DFT) computations. Our results reveal that the introduced C atom in  $\text{g-C}_3\text{N}_4$  surface can be regarded as NHCs and catalytic sites for activating  $\text{N}=\text{N}$  bond, and are stabilized by the  $\text{g-C}_3\text{N}_4$  substrate due to sterically disfavored dimerization. Especially, this NHCs-based heterogeneous catalysis can efficiently reduce the activated  $\text{N}_2$  molecule to  $\text{NH}_3$  with a low overpotential of 0.05 V via an enzymatic mechanism. Our work is the first report of NHCs-based electrocatalyst for  $\text{N}_2$  fixation, thus opening an alternative avenue for advancing sustainable  $\text{NH}_3$  production.

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## 1. Introduction

The ammonia ( $\text{NH}_3$ ) synthesis from molecular nitrogen ( $\text{N}_2$ ) was regarded as one of the greatest inventions in 20th century because  $\text{NH}_3$  is an essential building block for manufacturing synthetic chemicals, such as fertilizers, medicaments, dyes, explosives, and resins [1,2], thus spawning three Nobel Prizes in Chemistry (1918, 1931, 2007). In addition,  $\text{NH}_3$  is also a promising green energy carrier and potential transport fuel to advance a low-carbon society due to its large hydrogen capacity (17.6 wt%) and high energy density ( $4.3 \text{ kWh h}^{-1}$ ) [3,4]. Thus, the ever-increasing demand for  $\text{NH}_3$  has stimulated great interest in artificial  $\text{N}_2$ -to- $\text{NH}_3$  conversion. Currently, industrial  $\text{N}_2$  fixation for  $\text{NH}_3$  production still relies on the traditional Haber-Bosch process [5], which requires both high temperature ( $\sim 500^\circ\text{C}$ ) and high pressure (200–300 atm) [6]. Also, this process needs to consume large quantities of  $\text{H}_2$ . It accounts for  $\sim 1\%$  of the world's annual energy consumption and produces about 300 t of greenhouse gases ( $\text{CO}_2$ ) [7]. Therefore, it is highly desirable to develop alternative strategy for  $\text{NH}_3$  production to replace the energy-intensive Haber-Bosch process.

Motivated by the biological  $\text{N}_2$  fixation, in which the nitrogenase enzymes catalyze  $\text{NH}_3$  synthesis with the existence of  $\text{H}_2\text{O}$ , electrons, and atmospheric  $\text{N}_2$  under mild conditions [8], photocatalytic or electrocatalytic  $\text{N}_2$  reduction reaction (NRR) has been regarded as being quite promising for sustainable  $\text{NH}_3$  production because the raw ingredients (water and air) and driving force (renewable solar and wind energy) are environmentally friendly, inexpensive, and readily accessible [9–11]. However, the extremely high stability of  $\text{N}_2$  requires stable, efficient, and inexpensive catalysts to lower the energy consumption, enhance the rate, and increase the selectivity [12–24]. Thus, searching for novel catalysts for NRR by experimental and theoretical methods has been a key scientific issue in recent years [9–11].

Currently, metal-based materials, including transition metals with flat and step surfaces especially noble metals, such as platinum, palladium, metal nitrides, and metal oxides, are generally employed in the NRR [15–18]. However, several disadvantages, including low selectivity, poor durability, high-cost, and limited availability seriously hinder their large-scale applications. Compared to metal-based catalysts, metal-free carbon materials are emerging as one of most promising alternatives due to their overwhelming inherent characteristics, such as excellent stability, abundant natural resources, high surface area, controllable structures, resistance to acids and bases, and environmental friendliness [19–22]. Thus,

Q2 \* Corresponding authors.

E-mail addresses: [china.peng.jin@gmail.com](mailto:china.peng.jin@gmail.com) (P. Jin), [zhaojingxiang@hrbnu.edu.cn](mailto:zhaojingxiang@hrbnu.edu.cn) (J. Zhao), [zhongfangchen@gmail.com](mailto:zhongfangchen@gmail.com) (Z. Chen).

44 the carbon nanomaterials have been widely utilized in many  
 45 electrochemical reactions, such as oxygen reduction/evolution,  
 46 carbon dioxide reduction, hydrogen evolution reactions [23–28].

47 In the recent years, N-heterocyclic carbenes (NHCs) have evoked  
 48 great interest due to their structural diversity, high reactivity, and  
 49 multiple applications as catalysts for some of the most important  
 50 catalytic transformations in the chemical industry [29]. In particular,  
 51 the filled  $\sigma$ -frontier and vacant  $\pi$ -frontier orbitals in NHCs  
 52 endow them with high reactivity to activate small molecules, such  
 53 as  $\text{H}_2$  and CO [30–33]. Although NHCs have opened up new areas  
 54 of research as the most powerful tools in organic chemistry, steric  
 55 hindrance via bulky substituents adjacent to the carbene carbon  
 56 is generally employed to prevent their dimerization to the corre-  
 57 sponding olefin [31]. In addition, introducing the NHCs concept to  
 58 the heterogeneous systems was shown to be an alternative strategy  
 59 to stabilize NHCs [34,35]. Especially, the combination of NHCs (*high*  
 60 *reactivity*) and the solid catalyst (*ease of separation, purification and*  
 61 *recyclability*) will make the heterogeneous system have wider ap-  
 62 plications for the synthesis of fine chemicals on an industrial scale  
 63 [35]. Therefore, choosing a suitable support to stabilize NHCs is  
 64 highly desirable for their industrial applications.

65 As an excellent substrate, graphitic carbon nitride ( $\text{g-C}_3\text{N}_4$ )  
 66 offers a number of inherent advantages, such as possessing long-  
 67 term stability, maintaining the metal atoms in their neutral state,  
 68 and directly providing the hydrogen source [36]. Thus,  $\text{g-C}_3\text{N}_4$  has  
 69 been widely utilized to support noble-metal catalysts for various  
 70 chemical reactions [37,38]. In terms of the high reactivity of NHCs  
 71 and the great potential of  $\text{g-C}_3\text{N}_4$  as substrate, an interesting  
 72 question naturally arises: can one combine the respective advan-  
 73 tages of NHCs and  $\text{g-C}_3\text{N}_4$  to design a new class of heterogeneous  
 74 electrocatalyst for the NRR?

75 To answer this question, in this work, by means of extensive  
 76 density functional theory (DFT) computations, we incorporated one  
 77 C atom into  $\text{g-C}_3\text{N}_4$  surface ( $\text{C/g-C}_3\text{N}_4$ ) to explore its potential as  
 78 heterogeneous NHCs-based NRR catalysts for  $\text{NH}_3$  production. Our  
 79 results revealed that  $\text{C/g-C}_3\text{N}_4$  exhibits NHCs nature due to its  $sp^2$ -  
 80 hybridized lone pair and unoccupied  $p$ -orbital, which are responsi-  
 81 ble for its extremely high NRR catalytic activity with a low overpo-  
 82 tential of 0.05 V via an enzymatic pathway. Thus, the decoration of  
 83  $\text{g-C}_3\text{N}_4$  sheet with a single C atom is very promising way to obtain  
 84 highly-efficient NRR electrocatalyst for  $\text{NH}_3$  production.

## 85 2. Computational details

86 All the spin-polarized density functional theory (DFT) com-  
 87 putations were performed by using the Dmol<sup>3</sup> code [39,40].  
 88 The Perdew–Burke–Ernzerhof (PBE) functional of the generalized  
 89 gradient approximation (GGA) was used to describe the exchange  
 90 correlation function [41]. The van der Waals interactions were de-  
 91 termined using the empirical correction in Grimme's scheme (i.e.,  
 92 DFT+D2) [42], and the double numerical plus polarization (DNP)  
 93 basis set was used for all elements, since its accuracy was revealed  
 94 to be comparable to that of Pople's 6-31G\*\* basis set. Self-  
 95 consistent field computations were performed with a convergence  
 96 criterion of  $10^{-6}$  a.u. on the total energy and electronic computa-  
 97 tions. To ensure high quality results, the real-space global orbital  
 98 cutoff radius was chosen as high as 5.2 Å in all the computations.

99 A  $2 \times 2 \times 1$  supercell of  $\text{g-C}_3\text{N}_4$  was built that consists of 24 C  
 100 and 32 N atoms with a vacuum space of 20 Å to avoid the interac-  
 101 tions between two periodic images. The Brillouin zone was sam-  
 102 pled with a Monkhorst–Pack mesh with a  $5 \times 5 \times 1$  grid in recip-  
 103 rocal space during geometry optimizations. The Hirshfeld charge  
 104 analysis was employed to compute the charge transfer [43]. Molecular  
 105 dynamics (MD) simulations were adopted to evaluate the ther-  
 106 modynamic stability of  $\text{C/g-C}_3\text{N}_4$  for 10.0 ps with a time step of  
 107 1.0 fs under 500 K using the Nose–Hoover method.

108 The computational hydrogen electrode (CHE) tech-  
 109 nique was adopted simulate the electrochemical reactions  
 110 [44,45]. In this technique, the change in Gibbs free en-  
 111 ergy ( $\Delta G$ ) for each elementary step was determined by:  

$$\Delta G = \Delta E + \Delta E_{ZPE} - T\Delta S + \Delta G_{pH} + \Delta G_U$$
 112 where  $\Delta E$  is the reaction energy that can be directly obtained by analyzing the DFT  
 113 total energies.  $\Delta E_{ZPE}$  and  $\Delta S$  were the difference of zero point en-  
 114 ergy and entropy between the products and the reactants at room  
 115 temperature ( $T = 298.15$  K), respectively, which can be computed  
 116 from the vibrational frequencies. Notably, only the vibrational  
 117 modes of the adsorbed intermediates were explicitly computed,  
 118 while the catalyst surface was fixed by assuming that the vi-  
 119 brations of the solid catalysts can be negligible. The entropies  
 120 of the free molecules were taken from the NIST database, while  
 121 the entropy contribution from the adsorbed state was neglec-  
 122 ted.  $\Delta G_{pH}$  is the free energy correction of pH, which can be calculated  
 123 by  $\Delta G_{pH} = k_B T \times \text{pH} \times \ln 10$ , and the pH value was set to be zero  
 124 in this work.  $\Delta G_U$  is the free energy contributions related to  
 125 the applied electrode potential (U), i.e.,  $\Delta G_U = -neU$ , where  $n$  is  
 126 the number of electrons transferred. Note that the stabilization  
 127 effects of water on the NRR is smaller than 0.10 eV per hydrogen  
 128 bond [46,47], previous theoretical studies have demonstrated that  
 129  $\text{NH}_2^*$  species is slightly more stable in the presence of water,  
 130 while  $\text{N}^*$  species will not be affected by the bulk water layer [48].  
 131 Thus, considering the significant increase of the computational  
 132 cost, explicit solvent model for water was not used in this work.  
 133 To obtain the proton-electron transfer energy barriers of each  
 134 elementary steps, the transition states were located by using the  
 135 synchronous method with conjugated gradient refinements, which  
 136 involves linear synchronous transit (LST) maximization, followed  
 137 by repeated conjugated gradient (CG) minimizations, and then  
 138 quadratic synchronous transit (QST) maximizations and repeated  
 139 CG minimizations until a transition state was located [49].

## 141 3. Results and discussion

### 142 3.1. Structures, properties, and stabilities of $\text{C/g-C}_3\text{N}_4$

143 The optimized lattice parameter of the  $\text{g-C}_3\text{N}_4$  nanosheet in this  
 144 study is 7.15 Å, and the lengths of the C–N bonds range from 1.33  
 145 to 1.47 Å, which are in good accordance with previous theoretical  
 146 reports [37]. To find the most favorable deposition sites for single  
 147 C atom, various sites on the  $\text{g-C}_3\text{N}_4$  supercell were considered as  
 148 shown in Fig. S1 in Supporting Information. After fully structural  
 149 optimization, our results demonstrated that the single C atom is  
 150 preferably adsorbed at the corner of the sixfold cavity (Fig. 1a),  
 151 and the binding energy ( $E_{\text{bind}}$ ) is computed to be  $-4.46$  eV. Here,  
 152 the  $E_{\text{bind}}$  value was determined as:  $E_{\text{bind}} = E_{\text{C/C3N4}} - E_{\text{C3N4}} - E_{\text{C}}$ ,  
 153 where  $E_{\text{C/C3N4}}$ ,  $E_{\text{C3N4}}$ , and  $E_{\text{C}}$  represent the total energies of doped  
 154 and pristine  $\text{g-C}_3\text{N}_4$  sheets as well as an isolated C atom, respec-  
 155 tively. Remarkably, we also considered the binding of single C  
 156 atom on other sites of  $\text{C}_3\text{N}_4$ , including the hexagonal hole site and  
 157 2-fold-coordinated N atoms. After full relaxation, however, these  
 158 two configurations spontaneously converted to the most stable  
 159 one (Fig. 1a), indicative of its high thermodynamic stability, which  
 160 provides significant advantages for experimental synthesis of the  
 161 designed  $\text{C/g-C}_3\text{N}_4$  catalyst. On the other hand, we performed  
 162 molecular dynamics simulations to examine the thermal stability  
 163 of  $\text{C/g-C}_3\text{N}_4$  at 500 K. No notable geometry distortion for  $\text{C/C}_3\text{N}_4$   
 164 was observed after 10 ps simulations (Fig. S2), suggesting its high  
 165 thermal stability.

166 Interestingly, various doped  $\text{g-C}_3\text{N}_4$  with nonmetal elements,  
 167 including P, B, C, O, and S, have been experimentally synthesized  
 168 in recent years, and they exhibit very good catalytic performance  
 169 for many important chemical reactions [50]. Our interest is in  
 170 C-doping into  $\text{C}_3\text{N}_4$ , since we mainly studied the potential of

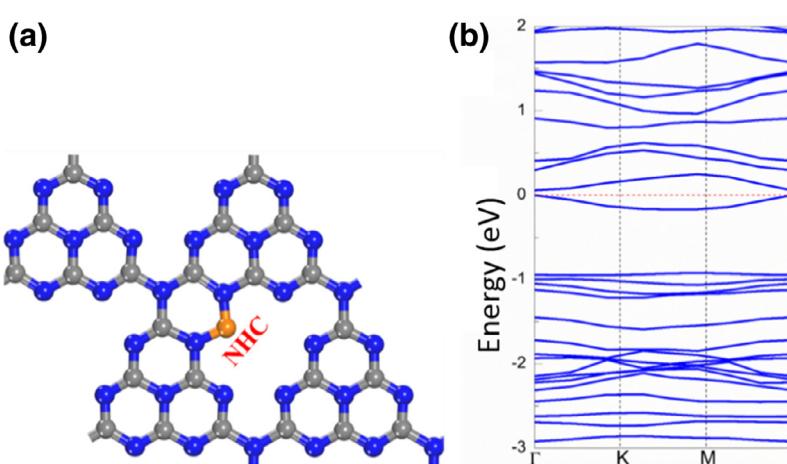


Fig. 1. (a) The most stable configuration and (b) band structure of single C atom adsorbed on  $g\text{-C}_3\text{N}_4$ . The Fermi level was set to zero.

NHC-based heterogeneous catalyst for the NRR, which could derive from doped  $\text{C}_3\text{N}_4$  with C atom, not other non-carbon dopants, such as B, P, and S. Remarkably, some facile techniques have been proposed to synthesize C self-doped  $\text{C}_3\text{N}_4$  by controlling the synthetic conditions, such as carbon source, preparation process [51–53]. For example, Wang et al. synthesized carbon quantum dots (CQDs) implanted in the surface plane of  $g\text{-C}_3\text{N}_4$  by thermal polymerization of freeze-dried urea and CQDs precursor [51]. Gao et al. prepared ultrathin carbon rich nanosheets via an extremely facile hexamethylenetetramine activation approach at bulk scale [52], while Bao et al. successfully synthesized C-doped  $g\text{-C}_3\text{N}_4$  using anionic polyacrylamide as the intercalator and carbon source via the thermal treatment method [53]. Overall, the high stability of  $\text{C/g-C}_3\text{N}_4$  and the exciting experimental advances on fabricating C-doped  $g\text{-C}_3\text{N}_4$  endow the great promise for the experimental realization of  $\text{C/g-C}_3\text{N}_4$  and its applications as catalysts.

In general, excellent electrical conductivity of a catalyst could ensure good charge transfer for efficient electrochemical reactions. Thus, the band structures of the  $g\text{-C}_3\text{N}_4$  before and after C decoration were computed to estimate the electrical conductivity (Figs. S3 and 1b). Our results showed that the pristine  $g\text{-C}_3\text{N}_4$  is a semiconductor with the band gap of 1.21 eV, which is well consistent with previous theoretical studies [54]. After the incorporation of C atom, however, the band gap of  $g\text{-C}_3\text{N}_4$  is greatly decreased to about 0.06 eV (Fig. 1b) due to the introduction of impurity states, and the computed band gap is, suggesting good electronic conductivity of  $\text{C/g-C}_3\text{N}_4$ , which could promote the electron transfer in the electrocatalytic reactions.

Another important issue is to examine whether the  $\text{C/g-C}_3\text{N}_4$  coincides in the characteristics of NHCs. Structurally, the proposed  $\text{C/g-C}_3\text{N}_4$  is a heterocyclic material, and contains a two-coordinated C atom within a 6-membered ring. Meanwhile, two N atoms of  $g\text{-C}_3\text{N}_4$  adjacent to the C-dopant could help to stabilize the species. The length of the two newly formed C–N bond is 1.37 Å, which is the same as that of the well-established NHCs, e.g., 1, 3-di(adamantyl)-2-ylidene. Furthermore,  $\text{C/g-C}_3\text{N}_4$  exhibits a singlet ground-state electronic configuration, which is 0.11 eV lower in energy than the triplet state.

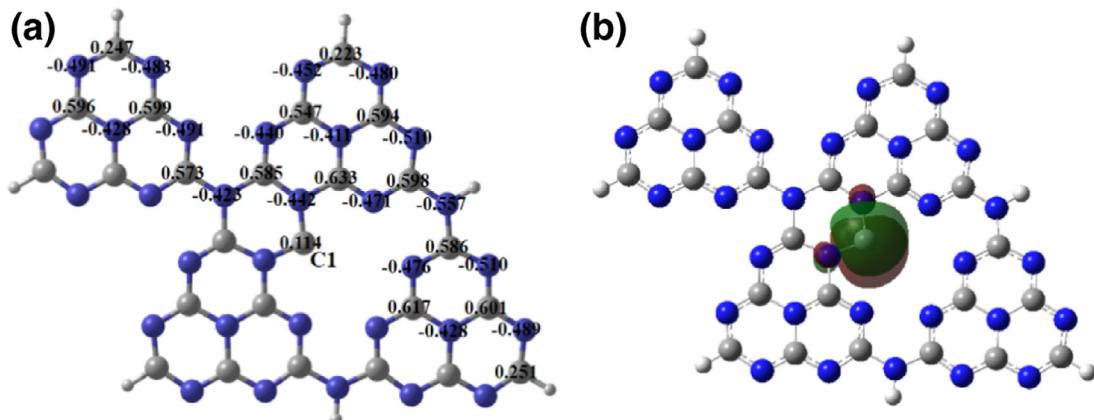
We further carried out natural bond orbital (NBO) analysis for a large cluster model using the Gaussian 03 software [55] at the PBE-D/6–31G\* level of theory (Fig. 2a). The NBO results revealed that only C1 has a lone pair (LP) among all the carbon atoms, corresponding to the highest occupied molecular orbital (HOMO) (Fig. 2b). The two adjacent N atoms stabilize C1 via both the  $\sigma$ -electron-withdrawing and  $\pi$ -electron-donating effects, as suggested by the polarity of the C1–N  $\sigma$ -bonds (68.78% at each

N) and large E (2) energy (65.24 kcal mol<sup>-1</sup>) in the second-order perturbative analysis for the donor-acceptor interaction between each N-LP and C1-2p<sub>z</sub> orbital. The occupation of the originally empty C1-2p<sub>z</sub> orbital is thus substantial (0.75 e). As a result, C1 has a slightly positive natural population analysis (NPA) charge close to zero (+0.114), which is much smaller than all the other carbon atoms with three N neighbors in the model (>+0.5). All these features resemble those of a typical N-heterocyclic carbene. With both electron-abundant LP and partially occupied p<sub>z</sub> orbital, C1 could serve as a unique active center in catalysis, which can be also revealed by using the periodic model as shown in Fig. S4.

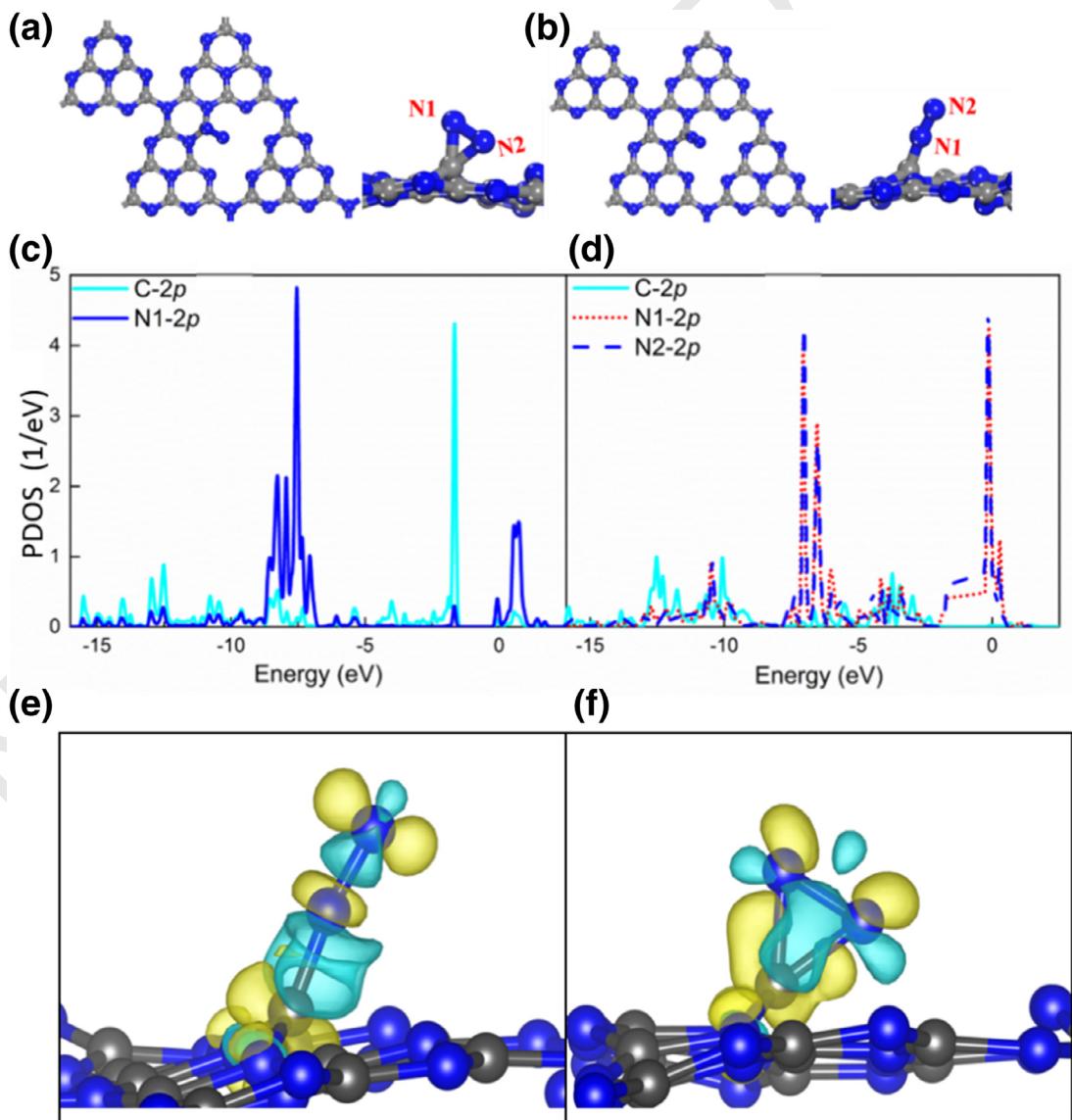
### 3.2. $\text{N}_2$ adsorption on $\text{C/g-C}_3\text{N}_4$

After confirming that  $\text{C/g-C}_3\text{N}_4$  possesses NHC's nature, we explored its chemical activity towards  $\text{N}_2$  adsorption, which is the first and crucial step for the NRR, since it determinates the following reaction pathway. To obtain the stable configuration for  $\text{N}_2$  adsorption, two adsorption patterns, including end- and side-on adsorption, were considered for  $\text{N}_2$  molecule on different nonequivalent positions of the  $\text{C/g-C}_3\text{N}_4$  sheet.

For pristine  $g\text{-C}_3\text{N}_4$  monolayer, the physical adsorption of  $\text{N}_2$  ( $E_{\text{ads}} = -0.13$  eV) suggest very low activity of  $\text{N}_2$  on  $g\text{-C}_3\text{N}_4$  (Fig. S5). While for the case of  $\text{C/g-C}_3\text{N}_4$ , due to the well-known high reactivity of the NHCs, the NHC is the energetically favorable adsorption site for the  $\text{N}_2$  molecule, and both end- and side-on adsorption configurations are stable (Fig. 3) with the adsorption energies of  $-1.42$  and  $-0.75$  eV, respectively. The corresponding free energy changes are  $-0.71$  and  $-0.05$  eV after considering the corrections of zero-point energy and entropy, thus suggesting a strong chemisorption. Consistently, chemical bonds are formed between the adsorbed  $\text{N}_2$  molecule and  $\text{C/g-C}_3\text{N}_4$  according to the short distances of 1.31 and 1.44 Å between them. The strong chemisorption elongates the N–N bond length from 1.10 Å in free  $\text{N}_2$  to 1.16 Å for adsorbed one in end-on manner with the formation of one C–N bond, which is slightly shorter than that of in side-on one (1.27 Å) with the formation of two C–N bonds. The elongation of the N–N bond in the adsorbed  $\text{N}_2$  molecule could originate from the charge transfer from the  $\text{C/g-C}_3\text{N}_4$  to the adsorbed  $\text{N}_2$  molecule, which occupy the empty 2π\* orbitals of  $\text{N}_2$ . Less charge transfer occurs in the end-on adsorption compared with the side-on counterpart (0.05e vs. 0.10 e), resulting in the shorter N–N bond length (1.16 Å) in the end-on manner (1.27 Å for the side-on adsorption). Overall, the  $\text{N}_2$  molecule can be activated to different degrees after adsorption on this  $\text{C/g-C}_3\text{N}_4$ , especially in the side-on configuration.



**Fig. 2.** (a) Optimized structure with natural population analysis (NPA) charges and (b) the highest-occupied molecular orbital (HOMO) of the cluster model for C/g-C<sub>3</sub>N<sub>4</sub>.



**Fig. 3.** (a) and (b) The optimized structures; (c) and (d) the projected density of states (PDOSs); (e) and (f) difference charge density of N<sub>2</sub> adsorption on C/g-C<sub>3</sub>N<sub>4</sub> through end-on and side-on patterns, where the isosurface value is set to be 0.005 e Å<sup>-3</sup> and cyan and yellow regions represent positive and negative charges, respectively. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

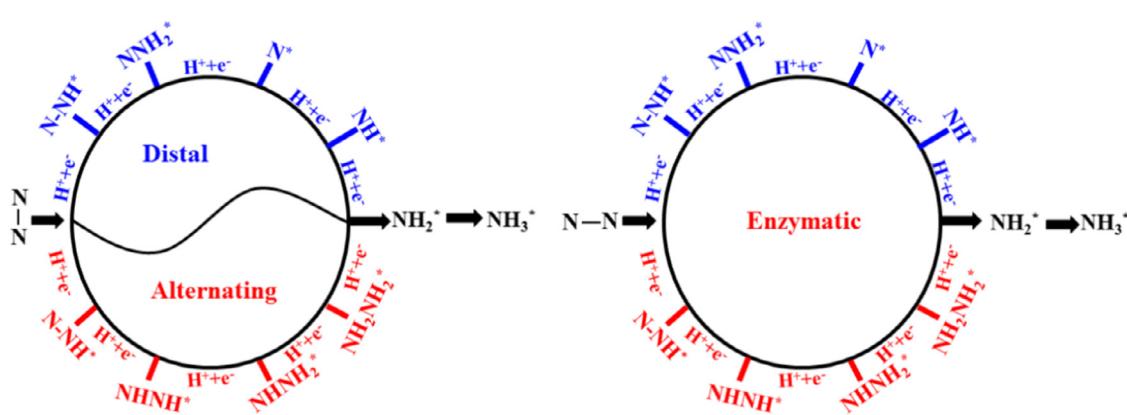


Fig. 4. The involved mechanisms of NRR on a catalyst surface, including distal, alternating, and enzymatic mechanisms.

To gain a deeper insight into the interaction between  $\text{N}_2$  molecule and the NHCs-based catalyst, the projected density of states (PDOSs) for  $\text{N}_2$  adsorbed  $\text{C}/\text{g-C}_3\text{N}_4$  were examined (Fig. 3c and d), from which obvious hybridizations between the  $2p$  orbitals of adsorbed  $\text{N}_2$  molecule and the  $2p$  orbitals of C-dopant can be observed for both end- and side-on adsorption configurations. Importantly,  $\text{C}/\text{g-C}_3\text{N}_4$  always donates certain amount of electrons to the adsorbed  $\text{N}_2$  molecule, thus accumulating negative charges (Fig. 3e and f) that help trigger the subsequent reduction reaction. Thus, the inherent  $\sigma$ -donor ability with a formal  $sp^2$ -hybridized long pair and unoccupied p-orbital at the carbene carbon can greatly activate the inert  $\text{N}=\text{N}$  bond of  $\text{N}_2$  molecule, and facilitates its subsequent reduction reactions.

### 3.3. $\text{N}_2$ reduction to $\text{NH}_3$

Since the inert  $\text{N}_2$  molecule has been sufficiently activated on the surfaces of NHC-based  $\text{C}/\text{g-C}_3\text{N}_4$ , we continued to evaluate the possibility of its reduction to  $\text{NH}_3$ . Following previous studies, three reaction mechanisms are involved in the NRR, including distal, alternating, and enzymatic mechanisms. The first two pathways start from the end-on  $\text{N}_2$  adsorption, while the last one will initiate the enzymatic pathway (Fig. 4), in which all possible reaction intermediates ( $\text{N}_2\text{H}^*$ ,  $\text{N}_2\text{H}_2^*$ ,  $\text{N}_2\text{H}_3^*$ ,  $\text{N}_2\text{H}_4^*$ ,  $\text{N}^*$ ,  $\text{NH}^*$ , and  $\text{NH}_2^*$ ) were included. To determine the most favorable reaction pathway, the free energy profiles along the three reaction pathways were explored, and the overpotential ( $\eta$ ) was adopted as a measure for the whole NRR rate. Notably, the  $\eta$  value can be determined by:  $\eta = U_{\text{equilibrium}} - U_{\text{limiting}}$ , where  $U_{\text{equilibrium}}$  is the equilibrium potential of NRR (about  $-0.16$  V) and  $U_{\text{limiting}}$  is the limiting potential that can be computed by:  $U_{\text{limiting}} = -\Delta G_{\text{max}}/e$ , where  $\Delta G_{\text{max}}$  represents the maximum free energy change among all elementary steps in the NRR, i.e., the potential-determining step (PDS). Smaller  $\eta$  suggests low energy input and higher catalytic activity.

Thus, we evaluated the NRR catalytic activity of  $\text{C}/\text{g-C}_3\text{N}_4$  by the free energy profiles based on the computed  $\Delta G$  values of all elementary steps in the NRR. Because both end-on and side-on configurations are energetically favorable for  $\text{N}_2$  adsorption on  $\text{C}/\text{g-C}_3\text{N}_4$ , all the three mechanisms (distal, alternating, and enzymatic) were considered. The obtained free energy diagrams for NRR on  $\text{C}/\text{g-C}_3\text{N}_4$  along the three pathways were shown in Fig. 5, while the corresponding structures of reaction intermediates were presented in Fig. S6.

Starting from the end-on  $\text{N}_2$  adsorption, the adsorbed  $\text{N}_2$  molecule will be hydrogenated by adsorbing a proton coupled with an electron transfer to form a  $\text{NNH}$  group that is still adsorbed on the carbene C site with a  $\text{C}-\text{N}$  bond length of  $1.24\text{\AA}$ . The hydrogen preferentially binds to the upper N site, and the

$\text{N}-\text{N}$  bond is further elongated to  $1.27\text{\AA}$ . Remarkably, this step of  $\text{N}_2^* + \text{H}^+ + \text{e}^- \rightarrow \text{NNH}^*$  is uphill in the free energy profile by  $0.33$  eV (Fig. 5a). Hereafter, the formed  $\text{NNH}^*$  species will be further hydrogenated by reacting with another proton. As the two N atoms in  $\text{NNH}$  species are possible adsorption sites for the second H atom, the NRR can proceed via two different pathways (Fig. 4), one is the distal mechanism, in which the electron pairs continually attack at the upper N of  $\text{NNH}^*$  species to produce the first  $\text{NH}_3$  after two hydrogenation steps, followed by the hydrogenation of the other N atom to yield the second  $\text{NH}_3$  by  $3(\text{H}^+ + \text{e}^-)$ . The other mechanism is the alternating reduction pathway, in which the two N atoms of  $\text{N}_2\text{H}^*$  species is hydrogenated alternatively, and the release of the second  $\text{NH}_3$  molecule just follows the release of the first  $\text{NH}_3$  one.

Once the  $\text{N}_2$  reduction reaction proceed along the distal pathway, all elementary hydrogenation steps are exothermic, except for the generation of the second  $\text{NH}_3$  with a  $\Delta G$  value of  $0.23$  eV, which is slightly smaller than that of the formation of  $\text{NNH}^*$  species ( $\Delta G = 0.33$  eV). Thus, in the distal pathway, the hydrogenation of  $\text{N}_2^*$  to  $\text{NNH}^*$  is the PDS for the NRR on  $\text{C}/\text{g-C}_3\text{N}_4$  due to its maximum  $\Delta G$  value, and the corresponding limiting potential is  $-0.33$  V, while the overpotential is  $[( -0.16) - (-0.33)] = 0.17$  V (Fig. 5a). In the alternating pathway, however, the PDS locates at the hydrogenation of  $\text{NH}-\text{NH}_2^*$  species to  $\text{NH}_2-\text{NH}_2^*$  with a  $\Delta G$  value of  $0.41$  eV (Fig. 5b), corresponding to a slightly larger overpotential ( $0.25$  V) than that of in the distal pathway ( $0.17$  V). The release of the final product  $\text{NH}_3$  from  $\text{C}/\text{g-C}_3\text{N}_4$  surface needs to overcome a free energy barrier of  $2.31$  eV, which seems to be difficult. However, under acidic electrochemical conditions, the  $\text{NH}_3^*$  species is not directly desorbed to form free  $\text{NH}_3$ , instead, as experimentally observed [56–58],  $\text{NH}_3^*$  is hydrogenated into  $\text{NH}_4^+$  by reacting with  $\text{H}^+$ . Moreover, the released energy (about  $3.28$  eV, Fig. 5) in the proceeding hydrogenation steps can completely overcome the needed energy to release the formed  $\text{NH}_3$  ( $2.31$  eV). Thus, the  $\text{NH}_3$  desorption is not a problematic obstacle.

In the enzymatic reduction pathway initiated by the side-on  $\text{N}_2$  adsorption, the electron pairs alternately attack the two N atoms adsorbed on the C site. According to the computed free energies of all elementary step in this pathway, we found that the hydrogenation of  $\text{NH}-\text{NH}^*$  to  $\text{NH}-\text{NH}_2^*$  can be identified as the PDS with the  $\Delta G_{\text{max}}$  value of  $0.21$  eV, which is slightly lower than that of distal ( $0.33$  eV) and alternating mechanism ( $0.41$  eV), suggesting that the NRR catalyzed by  $\text{C}/\text{g-C}_3\text{N}_4$  prefers to proceed via the enzymatic mechanism with. The computed overpotential is  $0.05$  V, which is comparable to those of other well-established NRR electrocatalysts [59–66]. Thus, the NHC-like  $\text{g-C}_3\text{N}_4$  is expected to be a promising metal-free electrocatalyst with high-efficiency for the NRR. Very recently, Ling et al. indicated that a single boron atom embedded

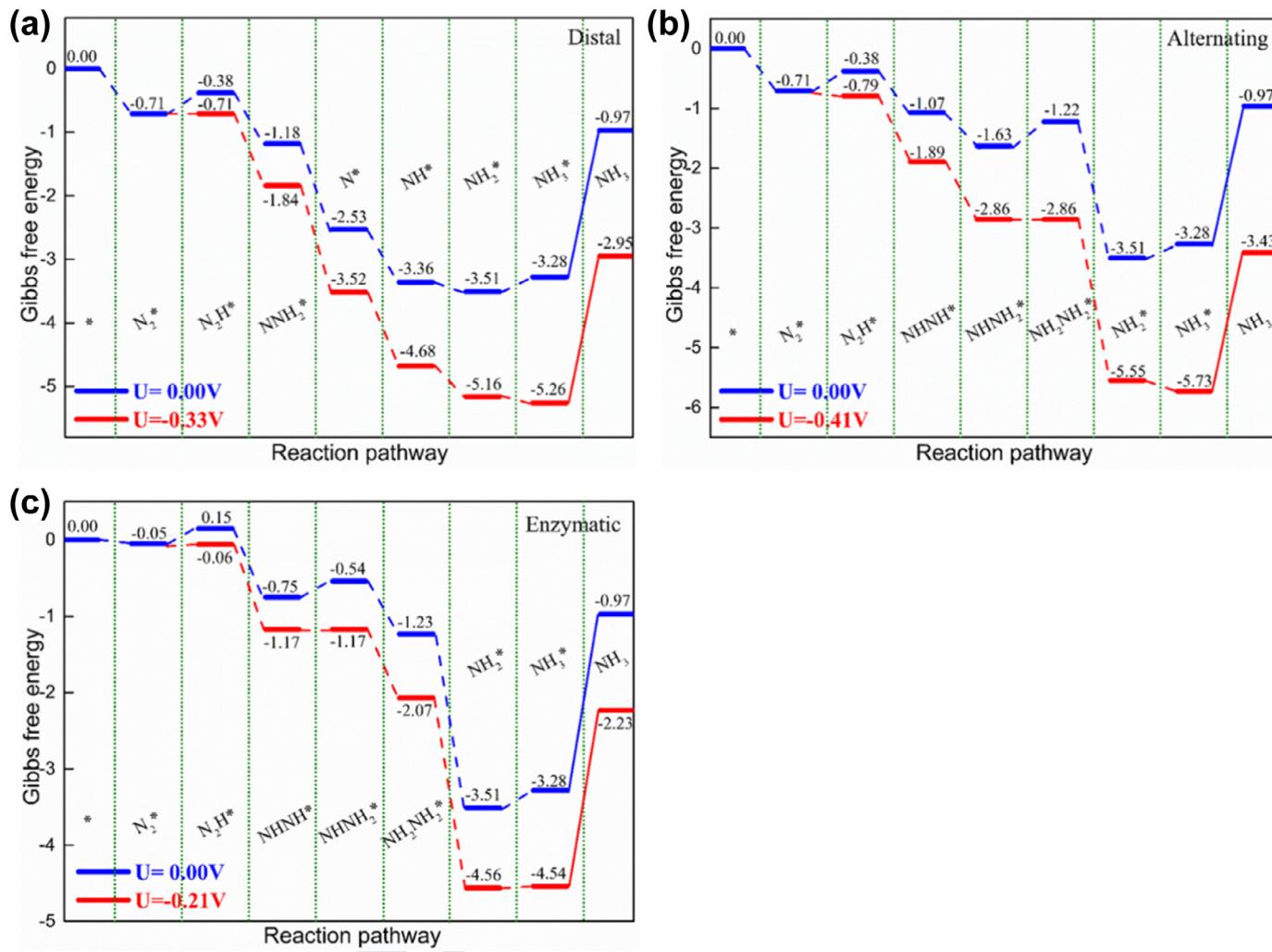


Fig. 5. The free energy profiles of NRR on C/g-C<sub>3</sub>N<sub>4</sub> along the (a) distal, (b) alternating, and (c) enzymatic pathways.

354 in g-C<sub>3</sub>N<sub>4</sub> monolayer exhibits the excellent catalytic properties  
 355 for the NRR due to the “acceptance-donation” process, where  
 356 one remaining empty orbital of B atom can accept the lone-pair  
 357 electrons of N<sub>2</sub> molecule, while its occupied *sp*<sup>3</sup> orbitals of B  
 358 atom will donate electrons to the  $\pi^*$  anti-bonding orbital of N<sub>2</sub>  
 359 molecule [59]. As above discussion, the NHC atom embedded into  
 360 g-C<sub>3</sub>N<sub>4</sub> also contains *sp*<sup>2</sup>-hybridized lone pair and p<sub>z</sub> unoccupied  
 361 orbital, which can also drive the “acceptance-donation” process to  
 362 effectively convert N<sub>2</sub> to NH<sub>3</sub>.

363 We also considered the hybrid catalytic pathway, i.e., the  
 364 formed N<sub>2</sub>H<sub>2</sub><sup>\*</sup> species in the distal pathway would shuttle to an al-  
 365 ternating pathway. Our computations suggested that, in this mixed  
 366 mechanism, the transformation from the adsorbed N<sub>2</sub> with the  
 367 end-on manner to the N<sub>2</sub>H<sup>\*</sup> species is the potential-determining  
 368 step, and its free energy change (0.33 eV) is larger than that in  
 369 the enzymatic mechanism (0.21 eV). Thus, the hybrid pathway  
 370 is less favorable energetically than the enzymatic one. Further-  
 371 more, to gain deeper insight into the reaction mechanism, LST/QST  
 372 method was employed to search for the transition state (TS) along  
 373 the enzymatic mechanism to evaluate the kinetic possibility of  
 374 the NRR on our proposed catalyst. The energy barriers between  
 375 every two neighboring intermediates were presented in Fig. 6.  
 376 Our results showed that the formation of N<sub>2</sub>H<sub>2</sub> and N<sub>2</sub>H<sub>3</sub> in-  
 377 termediates can spontaneously proceed with a zero barrier en-  
 378 ergy. The maximum energy barrier among all elementary step  
 379 locates at the release of the first NH<sub>3</sub> (0.64 eV), suggesting the

380 high kinetic feasibility of the NRR on NHC-like g-C<sub>3</sub>N<sub>4</sub> at ambient 380  
 381 conditions. 381

382 In addition, the catalytic performance of the substituted doping 382  
 383 of one of N atom in g-C<sub>3</sub>N<sub>4</sub> by one C atom for the NRR was thus 383  
 384 examined. As there are three nonequivalent N atoms in pristine 384  
 385 g-C<sub>3</sub>N<sub>4</sub>, three possible substituted doping sites were considered, 385  
 386 which were labeled as C<sub>N1</sub>, C<sub>N2</sub>, and C<sub>N3</sub>, respectively, as shown 386  
 387 in Fig. S7. Our results demonstrated that the three-coordinated 387  
 388 C<sub>N1</sub> and C<sub>N3</sub> exhibit considerably weak adsorption strength for N<sub>2</sub> 388  
 389 molecule with the adsorption energy less than -0.15 eV. Thus, the 389  
 390 inert N<sub>2</sub> molecule cannot be effectively activated on the two C 390  
 391 sites, thus ruling out them as ideal NRR electrocatalysts. In con- 391  
 392 trast, on the surface of C<sub>N2</sub>, the N<sub>2</sub> molecule can be chemisorbed 392  
 393 in the end-on manner on the two-coordinated C dopant due 393  
 394 to its large magnetic moment (Fig. S8), and the corresponding 394  
 395 adsorption energy is -1.44 eV. For the adsorbed N<sub>2</sub> molecule 395  
 396 via end-on pattern, its subsequent reduction will take place via 396  
 397 distal or alternative mechanism. According to the computed free 397  
 398 energies, the formation of the first NH<sub>3</sub> molecule is the PDS in 398  
 399 distal pathway with  $\Delta G_{\max}$  value of 0.54 eV, while the PDS locates 399  
 400 at the hydrogenation of NH-NH<sub>2</sub><sup>\*</sup> to NH<sub>2</sub>-NH<sub>2</sub><sup>\*</sup> in the alternating 400  
 401 pathway with the  $\Delta G_{\max}$  of 0.61 eV (Fig. 7). Clearly, the C<sub>N2</sub> sheet 401  
 402 exhibits weaker catalytic activity for the NRR than NHC-based 402  
 403 C/g-C<sub>3</sub>N<sub>4</sub> due to its larger overpotential (0.38 V vs. 0.05 V). 403

404 Finally, we examined the hydrogen evolution reaction (HER) on 404  
 405 C/g-C<sub>3</sub>N<sub>4</sub>, since it is the most important competing side reaction 405

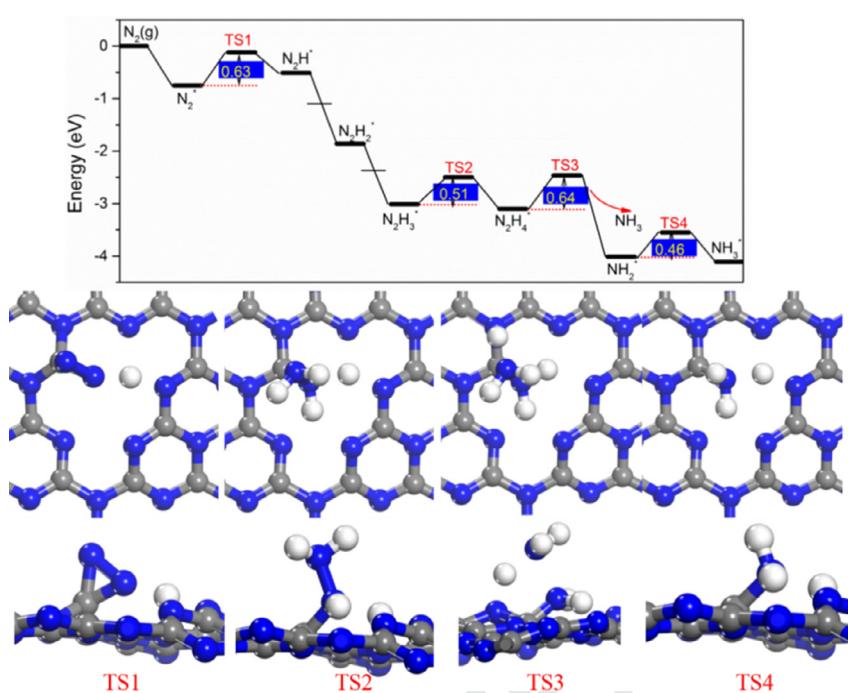


Fig. 6. The energy barriers along the minimum energy (i.e., enzymatic) path for NRR on  $\text{C/C}_3\text{N}_4$ , and the optimized structures for four transition states (top and side views).

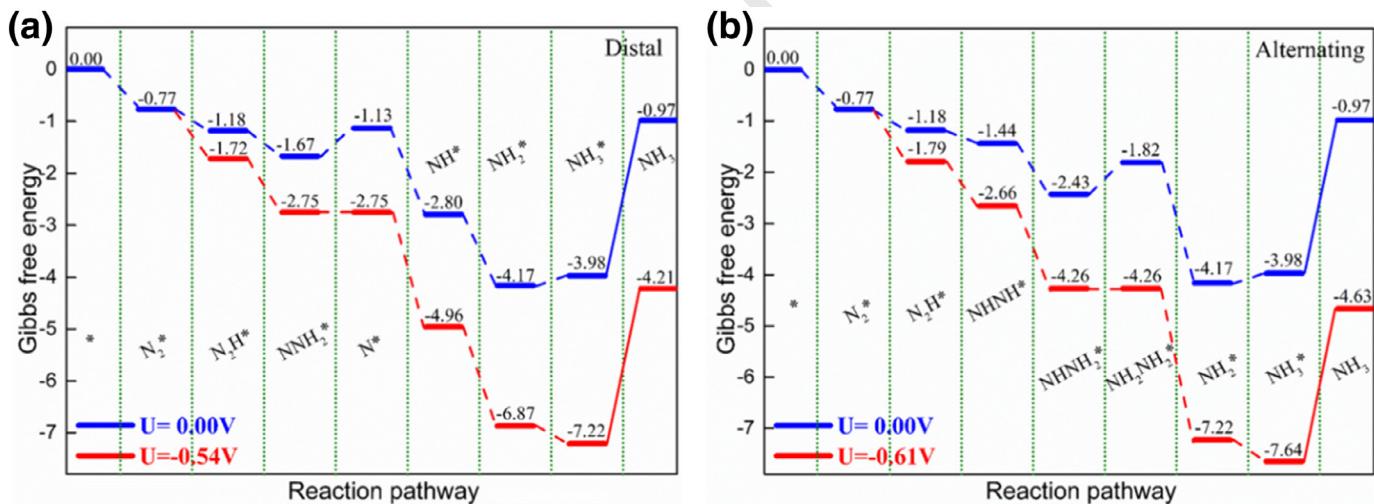


Fig. 7. The free energy profiles of NRR on  $\text{C-doped g-C}_3\text{N}_4$  with  $\text{C}_2\text{N}_2$  configuration along the (a) distal and (b) alternating pathways.

406 of NRR and could lead to the low Faradic efficiency of  $\leq 1\%$  for  
 407 the NRR. To evaluate the selectivity towards NRR, we compared  
 408 the adsorption free energy of  $\text{N}_2\text{H}^*$  species on the catalyst surface  
 409 with that of  $\text{H}^*$  due to the following reasons: (1) the  $\text{N}_2\text{H}^*$  species  
 410 formation is the first and one of the most important hydrogena-  
 411 tion steps in the NRR, and its stabilization on the catalyst surface  
 412 usually determines the catalytic performance [47]; (2) in the elec-  
 413 trochemical ammonia synthesis, the Heyrovsky-type mechanism  
 414 is usually preferred rather than the slow Tafel-type mechanism  
 415 with high activation barriers ( $> 1.00\text{ eV}$ ) for  $\text{NH}_x^* + \text{H}^* \rightarrow \text{NH}_{x+1}^*$   
 416 reaction [67]. Note that in the Heyrovsky-type mechanism, the  
 417 adsorbed  $\text{N}_2\text{H}_x$  or  $\text{NH}_x$  species will be hydrogenated by direct  
 418 attachment of protons from the solution and electrons from the  
 419 electrode, and the applied bias has a direct influence on the energy  
 420 barrier. Thus, if the adsorption of  $\text{N}_2\text{H}^*$  species is stronger than  
 421  $\text{H}^*$  species, the catalyst should be more selective towards NRR  
 422 compared with HER [47].

Our computational results demonstrated that the  $\Delta E_{\text{N}_2\text{H}^*}$  423 ( $-2.84\text{ eV}$ ) is much stronger than  $\Delta E_{\text{H}^*}$  ( $-1.95\text{ eV}$ ) on  $\text{C/g-C}_3\text{N}_4$ . 424 We also considered the competition between  $\text{N}_2\text{H}^*$  and  $\text{H}_2\text{O}$  ad- 425 sorption on the  $\text{C/g-C}_3\text{N}_4$  surface, and found that  $\text{N}_2\text{H}^*$  binds 426 much stronger to the surface ( $\Delta E_{\text{N}_2\text{H}^*} = -2.84\text{ eV}$ ) than  $\text{H}_2\text{O}$  427 ( $\Delta E_{\text{H}_2\text{O}} = -0.77\text{ eV}$ ) (Fig. S9). Thus, on the  $\text{C/g-C}_3\text{N}_4$  surface,  $\text{N}_2\text{H}^*$  428 binds more strongly than both  $\text{H}$  and  $\text{H}_2\text{O}$ , which suggests that 429 both HER and  $\text{H}_2\text{O}$  poisoning can be suppressed, and our predicted 430 catalyst has a good NRR selectivity. 431

Note that it is also possible to further improve the NRR selectiv- 432 ity. As pointed out by Nørskov and coworkers [13], limiting either 433 proton or electron availability at the catalyst surface can effectively 434 attenuate HER or  $\text{H}_2\text{O}$  poisoning, and thus make NRR preferentially 435 occur. Guided by this hypothesis, several promising strategies have 436 been developed for suppressing HER and  $\text{H}_2\text{O}$  poisoning in recent 437 experimental studies, such as adjusting electrolyte, adding protec- 438 tion layers or surface tethering of the heterogeneous catalysts, and 439

controlling the electron transfer rate [7,68–70]. Using non-aqueous electrolytes, such as titanocene dichloride ( $(\eta_5\text{-C}_5\text{H}_5)_2\text{TiCl}_2$ ), Jeong et al. demonstrated that both the rate of ammonia synthesis and its faradaic efficiency can be greatly improved by suppressing the HER [68]; Using ionic liquids with trace amounts of water (as the proton source) as electrolytes to limit the proton transfer rate, thus suppress HER, MacFarlane and coworkers achieved high conversion efficiency of 60% for NRR to ammonia at ambient conditions on a Fe-based catalysts [69]; By coating a super-hydrophobic metal-organic framework (MOF) layer over the NRR electrocatalyst, Lee et al. achieved superior NRR selectivity (~90%) and a boost to Faradic efficiency by 10 percentage points at ambient operations [70]. Inspired by these encouraging findings, we suggest that experimentally similar strategies can be used to attenuate HER or  $\text{H}_2\text{O}$  poisoning on  $\text{C}/\text{g-C}_3\text{N}_4$ . Note that various studies showed that NHCs can catalyze organic reactions in aqueous media [71–74]. Overall, the possible HER or H and  $\text{H}_2\text{O}$  poisoning for NRR on our proposed  $\text{C}/\text{g-C}_3\text{N}_4$  is not a big concern.

#### 458 4. Conclusion

459 By means of comprehensive density functional theory (DFT) 460 computations, we have proposed a novel, metal-free, and NHC- 461 based electrocatalyst for NRR that is composed by C-decorated 462  $\text{g-C}_3\text{N}_4$ . Our results showed that the C-dopant exhibits carbene 463 nature due to its unique structure and electronic properties, which 464 facilitates to activate the inert  $\text{N}_2$  molecule and the subsequent 465 reduction reaction to  $\text{NH}_3$  via the enzymatic pathway with a 466 rather low overpotential of 0.05 V. With high stability, good 467 electrical conductivity, low overpotential, and significant suppressing 468 of HER, we strongly believe that our newly predicted  $\text{C}/\text{g-C}_3\text{N}_4$  469 will be realized by experimental peers as NHC-based catalysts for 470 NRR in the very near future. We also hope that our studies could 471 inspire more experimental and theoretical studies on exploring 472 the potential of NHC-based nanomaterials as metal-free catalysts 473 for other important chemical reactions.

#### 474 Declaration of Competing Interest

475 The authors declare that they have no known competing financial 476 interests or personal relationships that could have appeared to 477 influence the work reported in this paper.

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#### 491 Supplementary material

492 Supplementary material associated with this article can be 493 found, in the online version, at doi:10.1016/j.jecchem.2019.10.016.

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