

IRREDUCIBLE RESTRICTIONS OF REPRESENTATIONS OF SYMMETRIC GROUPS IN SMALL CHARACTERISTICS: REDUCTION THEOREMS

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ABSTRACT. We study irreducible restrictions of modules over symmetric groups to subgroups. We get reduction results which substantially restrict the classes of subgroups and modules for which this is possible. Such results are known when the characteristic of the ground field is greater than 3, but the small characteristics cases require a substantially more delicate analysis and new ideas. This work fits into the Aschbacher-Scott program on maximal subgroups of finite classical groups.

1. INTRODUCTION

Let \mathbb{F} be an algebraically closed field of characteristic $p \geq 0$, and H be a finite almost quasi-simple group. This paper is a contribution to the following

Irreducible Restriction Problem. *Classify the subgroups $G < H$ and $\mathbb{F}H$ -modules V of dimension greater than 1 such that the restriction $V \downarrow_G$ is irreducible.*

A major application of the Irreducible Restriction Problem is to the Aschbacher-Scott program on maximal subgroups of finite classical groups, see [**A**, **Sc**, **Mag**, **KIL**, **BDR**] for more details on this. We point out that for the purposes of the applications to the Aschbacher-Scott program we may assume that G is almost quasi-simple, but we will not be making this additional assumption.

Suppose now that $\text{soc}(H/Z(H)) = \mathbf{A}_n$. We assume that $n \geq 8$ to avoid small special cases. Then H is one of \mathbf{A}_n , \mathbf{S}_n or their double covers. If $p = 0$ and H is a symmetric or alternating group, the Irreducible Restriction Problem has been solved by Saxl [**S**]. If $p = 0$ and H is a double cover of symmetric or alternating groups, the problem was essentially solved by Kleidman and Wales [**KIW**].

Let us assume from now on that $p > 0$. We point out that it is the positive characteristic case which is important for the Aschbacher-Scott program. The positive characteristic analogues of the results of Saxl and Kleidman-Wales mentioned in the previous paragraph are currently available only for $p > 3$, see [**BrK**₂] for symmetric groups, [**KS**₂] for the alternating groups, and [**KT**₁] for the double covers. It is very important to extend the classification to the case of characteristics 2 and 3.

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However, there are formidable technical obstacles which make the small characteristic cases much more complicated. The most serious difficulty is that the submodule structure of certain important permutation modules over symmetric groups gets very complicated for $p = 2$ and 3 . This in turn necessitates a rather detailed study of branching for symmetric groups.

The main result of this paper extends reduction theorems obtained in **[KS₁]** and **[BrK₂]** and strengthens the main results of **[KST]**. These reduction theorems were crucial for the eventual resolution of the Irreducible Restriction Problem for the cases $p > 3$, and their small characteristic analogues will also play a key role in our future work **[KMT]**.

To formulate our main result we recall that the irreducible $\mathbb{F}\mathbf{S}_n$ -modules are labeled by the p -regular partitions of n . If λ is such a partition, we denote by D^λ the corresponding irreducible $\mathbb{F}\mathbf{S}_n$ -module, and define λ^M from $D^{\lambda^M} \cong D^\lambda \otimes \mathbf{sgn}$. If $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k > 0)$, we write $h(\lambda)$ for k . It is known that $D^\lambda \downarrow_{\mathbf{S}_{n-1}}$ is irreducible if and only if λ is in the explicitly defined class of *Jantzen-Seitz* (or *JS*) partitions which go back to **[JaS, K₁]**. There is a special irreducible $\mathbb{F}\mathbf{S}_n$ -module in characteristic 2 called the *basic spin module* D^{β_n} . Finally, recall that a subgroup of \mathbf{S}_n is called k -transitive (resp. k -homogeneous) if it acts transitively on the set of all ordered (resp. unordered) k -tuples of different elements in $\{1, 2, \dots, n\}$. We refer the reader to the main body of the paper for more details on all of this.

It is convenient to formulate our main result for all characteristics, although it is only new for $p = 2$ and 3 :

Theorem A. *Let $n \geq 8$ and D^λ be an irreducible representation of $\mathbb{F}\mathbf{S}_n$ with $\dim D^\lambda > 1$. If $G \leq \mathbf{S}_n$ is a subgroup such that the restriction $D^\lambda \downarrow_G$ is irreducible, then one of the following holds:*

- (i) G is 3-homogeneous.
- (ii) G is 2-transitive and $\min(h(\lambda), h(\lambda^M)) = 2$;
- (iii) $G \leq \mathbf{S}_{n-1}$ and λ is JS;
- (iv) $p = 2$, n is even, G is 2-transitive, $h(\lambda) \geq 3$ and there exists $1 \leq j \leq h(\lambda)$ with $\lambda_j = \lambda_{j+1} + 2$ and

$$\lambda_1 \equiv \dots \equiv \lambda_{j-1} \not\equiv \lambda_j \equiv \lambda_{j+1} \not\equiv \lambda_{j+2} \equiv \dots \equiv \lambda_{h(\lambda)} \pmod{2}$$

- (v) $p = 2$, $n \equiv 2 \pmod{4}$, $\lambda = (n-1, 1)$, $G \leq \mathbf{S}_{n/2} \wr \mathbf{S}_2$ and $G \not\leq \mathbf{S}_{n/2} \times \mathbf{S}_{n/2}$.
- (vi) $p = 2$ and D^λ is the basic spin module.

In case (v) of Theorem A, we have a complete classification of subgroups giving irreducible restrictions (see Example 7.24 for some examples of such subgroups G):

Theorem B. *Let $6 \leq n \equiv 2 \pmod{4}$, $p = 2$, and let $G \leq W := \mathbf{S}_{n/2} \wr \mathbf{S}_2$. Then $D^{(n-1,1)} \downarrow_G$ is irreducible if and only if both of the following two conditions hold.*

- (i) G is transitive on $\{1, 2, \dots, n\}$.
- (ii) If $B = \mathbf{S}_{n/2} \times \mathbf{S}_{n/2}$ is the base subgroup of W , then the projection of $G \cap B$ onto each factor $\mathbf{S}_{n/2}$ of B induces a 2-transitive subgroup of $\mathbf{S}_{n/2}$ over which $D^{(n/2-1,1)}$ is irreducible, and the restrictions of the two modules $D^{(n/2-1,1)} \boxtimes \mathbf{1}_{\mathbf{S}_{n/2}}$ and $\mathbf{1}_{\mathbf{S}_{n/2}} \boxtimes D^{(n/2-1,1)}$ to $G \cap B$ are non-isomorphic.

In case (vi) of Theorem A, we can also say much more:

Theorem C. *Let $n \geq 5$, $p = 2$, D^{β_n} be the irreducible basic spin module over S_n , and $G < S_n$ be a subgroup of S_n such that $D^{\beta_n} \downarrow_G$ is irreducible. Then one of the following happens:*

(i) $G \leq S_a \wr S_b$ with $n = ab$, $a, b \in \mathbb{Z}_{>1}$ and a is odd. Moreover if $b > 2$ then $G \not\leq S_a \times \cdots \times S_a$. In fact,

$$D^{\beta_n} \downarrow_{S_a \wr S_b} \cong D^{\beta_a} \wr D^{\beta_b}$$

is indeed irreducible.

(ii) $G \leq S_{n-k} \times S_k$ with $n - k$ and k odd. In fact,

$$D^{\beta_n} \downarrow_{S_{n-k} \times S_k} \cong D^{\beta_{n-k}} \boxtimes D^{\beta_k}$$

is indeed irreducible.

(iii) G is primitive, in which case $D^{\beta_n} \downarrow_G$ is irreducible if and only if one of the following happens:

- (a) $n \equiv 2 \pmod{4}$ and $G = A_n$;
- (b) $n = 5$, $G = C_5 \rtimes C_4$;
- (c) $n = 6$, $G = S_5$;
- (d) $n = 10$, $G = S_6, M_{10}$ or $\text{Aut}(A_6)$;
- (e) $n = 12$, $G = M_{12}$.

We give some additional comments on the statements of our main results. First of all, taking into account Theorems B and C, let us exclude the cases of the natural and basic spin modules for $p = 2$ as appear in parts (v) and (vi) of Theorem A. Then, we obtain the statement that the restriction $D^\lambda \downarrow_G$ is irreducible only if either (A) $G \leq S_{n-1}$ or (B) G is 2-transitive.

In case (A), the restriction $D^\lambda \downarrow_{S_{n-1}}$ must be irreducible, so λ must be JS. Moreover, then $D^\lambda \downarrow_{S_{n-1}} \cong D^\mu$ for the partition μ of $n-1$ which is obtained from λ by removing the top removable node. So in this case one can proceed by induction on n .

In case (B), one can use the classification of doubly transitive permutation groups [C, Ka]. In fact, parts (ii) and (iv) of Theorem A often allow us to assume that G is even 3 homogeneous, and there are very few such permutation groups. The exceptional cases are mostly related to 2-row partitions. For example, the exceptions in case (ii) correspond to the cases where either λ is a 2-row partition or $D^\lambda \otimes \text{sgn}$ corresponds to a 2-row partition. In a forthcoming paper [KMT] we will analyze case (B) further.

We now outline the proof of the main results and the contents of the paper. Section 2 is preliminary. In particular, in §2.2 we discuss combinatorics of good and normal nodes which will be crucial for branching results obtained later. In §2.3, we discuss irreducible $\mathbb{F}S_n$ -modules, and obtain in Lemma 2.18 our main general tool for proving reducibility of $D^\lambda \downarrow_G$. Basic facts on Specht, Young and permutation modules are discussed in §2.4. The information on the G -invariant spaces in some dual Specht modules is obtained in §2.5.

Section 3 is on branching. After recording the basic branching rules in §3.1, we study in §3.2 some important filtrations that arise in the restriction $D^\lambda \downarrow_{S_{n-1}}$. The technical §3.3 is devoted to the study of restrictions of JS modules in characteristic 2 to the natural subgroups S_{n-k} . In §3.4 we obtain characterizations of certain classes of irreducible modules via their branching properties.

Section 4 is on the submodule structure of the permutation modules $M_k = M^{(n-k,k)}$ in characteristics 2 and 3 for $k = 1, 2, 3$. Section 5 is on the submodule structure of the module $\mathcal{E}(\lambda) := \text{End}_F(D^\lambda) \cong D^\lambda \otimes D^\lambda$. We show that some quotients of the permutation modules M_k for $k = 1, 2, 3$ arise as submodules of $\mathcal{E}(\lambda)$. Section 6 gives an alternative way of constructing interesting homomorphisms from M_k to $\mathcal{E}(\lambda)$, which develops the ideas of [KS₁, Theorem 3.3] and [BrK₂, §3]. Finally, in Section 7 we establish the main results.

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2. PRELIMINARIES

2.1. Groups and modules. Throughout the paper we work over a fixed algebraically closed ground field \mathbb{F} of characteristic $p > 0$. We do not yet assume that $p = 2$ or 3 but will do this when necessary.

For a finite group G , we denote by $\mathbb{F}G\text{-mod}$ the category of finite dimensional $\mathbb{F}G$ -modules. For $U, V \in \mathbb{F}G\text{-mod}$ we denote by $\text{Hom}_G(U, V)$ the space of all $\mathbb{F}G$ -module homomorphisms from U to V , and by $\text{Hom}_{\mathbb{F}}(U, V)$ the space of all linear maps considered as an $\mathbb{F}G$ -module via $(g \cdot f)(u) = gf(g^{-1}u)$ for all $f \in \text{Hom}_{\mathbb{F}}(U, V)$, $u \in U$ and $g \in G$.

We denote by $\mathbf{1}_G$ the trivial $\mathbb{F}G$ -module. Let G be a subgroup of a group H , V be an $\mathbb{F}H$ -module and W be an $\mathbb{F}G$ -module. We denote by $V \downarrow_G$ or $V \downarrow_G^H$ the *restriction* of V from H to G , and by $W \uparrow^H$ or $W \uparrow_G^H$ the *induction* of W from G to H . As a special case, for a subgroup $G \leq S_n$, we will often be using the permutation module

$$\mathcal{I}(G) := \mathbf{1}_G \uparrow^{S_n}. \quad (2.1)$$

If

$$S_\mu := S_{\mu_1} \times \cdots \times S_{\mu_a} \leq S_n$$

is a Young subgroup corresponding to a composition $\mu = (\mu_1, \dots, \mu_a)$ of n , then we write M^μ instead of $\mathcal{I}(S_\mu)$.

Let V be an $\mathbb{F}G$ -module. We denote by V^G the set of G -*invariant vectors* in V . We write $\text{soc } V$ and $\text{head } V$ for the socle and head of V , respectively.

If L_1, \dots, L_a are irreducible $\mathbb{F}G$ -modules, we denote by $L_1 \mid \cdots \mid L_a$ a *uniserial* $\mathbb{F}G$ -module with composition factors L_1, \dots, L_a listed from socle to head. If V is an $\mathbb{F}G$ -module, we use the notation

$$V \cong L_1 \mid \cdots \mid L_a \oplus \cdots \oplus K_1 \mid \cdots \mid K_b$$

to indicate that V is (isomorphic to) a direct sum of the uniserial modules $L_1 \mid \cdots \mid L_a, \dots, K_1 \mid \cdots \mid K_b$. On the other hand, if V_1, \dots, V_a are any $\mathbb{F}G$ -modules, we write

$$V \sim V_1 \mid \cdots \mid V_a$$

to indicate that V has a filtration with subquotients V_1, \dots, V_a listed from bottom to top. We use the notation

$$V \sim V_1 \mid \cdots \mid V_a \oplus \cdots \oplus W_1 \mid \cdots \mid W_b$$

to indicate that $V \cong X \oplus \cdots \oplus Y$ for $X \sim L_1 \mid \cdots \mid L_a, \dots, Y \sim K_1 \mid \cdots \mid K_b$.

Lemma 2.2. *Let L be an irreducible $\mathbb{F}G$ -module, and M be an $\mathbb{F}G$ -module with submodules $X \subseteq Y \subseteq M$ such that $\text{Hom}_G(L, Y) = 0$ and $\text{soc}(M/X) \subseteq Y/X$. Then $\text{Hom}_G(L, M) = 0$.*

Proof. If $\psi : L \rightarrow M$ is a non-zero homomorphism, then $\psi(L)$ is simple and $\psi(L) \not\subseteq Y$. In particular, $\psi(L) \not\subseteq X$, so $(\psi(L) + X)/X$ is a simple submodule of M/X and so $\psi(L) + X \subseteq Y$, a contradiction. \square

2.2. Partitions. We denote by $\mathcal{P}(n)$ the set of all *partitions* of n and by $\mathcal{P}_p(n)$ the set of all *p -regular* partitions of n , see [J₁, 10.1]. We identify a partition $\lambda = (\lambda_1, \lambda_2, \dots)$ with its *Young diagram* $\{(r, s) \in \mathbb{Z}_{>0} \times \mathbb{Z}_{>0} \mid s \leq \lambda_r\}$. We have a *dominance order* \triangleright on partitions, see [J₁, 3.2]. The number of non-zero parts of a partition λ is denoted by $h(\lambda)$. The following 2-row partitions will play a special role in this paper:

$$\alpha_n := (n-1, 1) \tag{2.3}$$

$$\beta_n := \begin{cases} (n/2+1, n/2-1) & \text{if } n \text{ is even,} \\ ((n+1)/2, (n-1)/2) & \text{if } n \text{ is odd.} \end{cases} \tag{2.4}$$

We set

$$I := \mathbb{Z}/p\mathbb{Z}$$

identified with $\{0, 1, \dots, p-1\}$. Given a node $A = (r, s)$ in row r and column s , we consider its *residue*

$$\text{res } A := s - r \pmod{p} \in I.$$

The *residue content* of a partition λ is the tuple

$$\text{cont}(\lambda) := (a_i)_{i \in I}$$

such that λ has exactly a_i nodes of residue i for each $i \in I$. For $j \in I$, let γ_j be the tuple $(a_i)_{i \in I}$ with $a_i = \delta_{i,j}$. We consider the tuples $(a_i)_{i \in I}$ as elements of $\Theta := \sum_{i \in I} \mathbb{Z} \cdot \gamma_i$, the free \mathbb{Z} -module with basis $\{\gamma_i \mid i \in I\}$. Let

$$\Theta_n := \left\{ \theta = \sum_{i \in I} a_i \gamma_i \in \Theta \mid a_i \geq 0, \sum_{i \in I} a_i = n \right\}. \tag{2.5}$$

Partitions $\lambda, \mu \in \mathcal{P}(n)$ have the same residue contents if and only if they have the same p -cores, see [JK, 2.7.41].

Let $i \in I$ and $\lambda \in \mathcal{P}(n)$. A node $A \in \lambda$ (resp. $B \notin \lambda$) is called *i -removable* (resp. *i -addable*) for λ if $\text{res } A = i$ and $\lambda_A := \lambda \setminus \{A\}$ (resp. $\lambda^B := \lambda \cup \{B\}$) is a Young diagram of a partition. A node is called *removable* (resp. *addable*) if it is *i -removable* (resp. *i -addable*) for some i . Labeling the *i -addable* nodes of λ by $+$ and the *i -removable* nodes of λ by $-$, the *i -signature* of λ is the sequence of pluses and minuses obtained by going along the rim of the Young diagram from bottom left to top right and reading off all the signs. The *reduced i -signature* of λ is obtained from the *i -signature* by successively erasing all neighbouring pairs of the form $-+$. The nodes corresponding to $-$'s (resp. $+$'s) in the reduced *i -signature* are called *i -normal* (resp. *i -conormal*) for λ . There are equivalent definition of normal (resp. conormal) nodes involving the *i -removable* and *i -addable* nodes above (resp. below) a given node; for example an *i -removable* node A is normal if and only if for any *i -addable* node B above A there exists an *i -removable* node C_B between A and B with the property that if B_1 and B_2 are distinct *i -addable* nodes above A then $C_{B_1} \neq C_{B_2}$.

The leftmost i -normal (resp. rightmost i -conormal) node is called i -*good* (resp. i -*cogood*) for λ . A node is called *normal* (resp. *conormal*, *good*, *cogood*) if it is i -normal (resp. i -conormal, i -good, i -cogood) for some i . We denote

$$\begin{aligned}\varepsilon_i(\lambda) &:= \#\{i\text{-normal nodes of } \lambda\}, \\ \varphi_i(\lambda) &:= \#\{i\text{-conormal nodes of } \lambda\}.\end{aligned}$$

There exists an i -good (resp. i -cogood) node for λ if and only if $\varepsilon_i(\lambda) > 0$ (resp. $\varphi_i(\lambda) > 0$).

Let $\lambda \in \mathcal{P}_p(n)$. If $\varepsilon_i(\lambda) > 0$, we denote by A the i -good node of λ and set

$$\tilde{e}_i \lambda := \lambda_A.$$

If $\varphi_i(\lambda) > 0$, we denote by B the i -cogood node for λ and set

$$\tilde{f}_i \lambda := \lambda^B.$$

We will repeatedly use the known fact that $\tilde{e}_i \lambda$ and $\tilde{f}_i \lambda$ are p -regular, whenever λ is so. The following three known statements follow easily from the definitions:

Lemma 2.6. [Mo, Lemma 2.8] *Any partition has one more conormal node than it has normal nodes.*

Lemma 2.7. *Let $\lambda \in \mathcal{P}(n)$ and $i \in I$. Assume that A is i -normal and B is i -conormal for λ . Then B is conormal for λ_A .*

Proof. Notice first that the set of i -removable and i -addable nodes of λ is equal to the set of i -removable and i -addable nodes of λ_A . We can obtain the reduced i -signature of λ_A as follows: start by deleting a sequence of pairs $-+$ which is deleted from the i -signature of λ to obtain the reduced i -signature of λ . The reduced i -signature of λ and the partly reduced i -signature of λ_A look as follows:

$$\begin{array}{ccccccccc} B & & & & & & & & A \\ \lambda : & + \cdots + & + & + \cdots + & - \cdots - & - & - \cdots - \\ \lambda_A : & + \cdots + & + & + \cdots + & - \cdots - & + & - \cdots - \end{array}$$

It is then easy to see that B is conormal in λ_A . □

Lemma 2.8. *Let $i \in I$ and $\lambda \in \mathcal{P}_p(n)$.*

- (i) *If $\varepsilon_i(\lambda) > 0$ then $\varphi_i(\tilde{e}_i \lambda) > 0$ and $\tilde{f}_i \tilde{e}_i \lambda = \lambda$.*
- (ii) *If $\varphi_i(\lambda) > 0$ then $\varepsilon_i(\tilde{f}_i \lambda) > 0$ and $\tilde{e}_i \tilde{f}_i \lambda = \lambda$.*

We will need more results on combinatorics of normal nodes.

Lemma 2.9. *Let $\lambda \in \mathcal{P}_p(n)$ and $i \in I$ with $\varepsilon_i(\lambda), \varphi_i(\lambda) > 0$. Let $B = (a, b)$ and $C = (c, d)$ be the i -good and i -cogood nodes of λ , respectively. Then $(\lambda_B)^C$ is p -singular if and only if $c = a + p - 1$ and $d = b - 1$.*

Proof. Notice that $a < c$ and that

$$\begin{aligned}\lambda_B &= (\lambda_1, \dots, \lambda_{a-1}, \lambda_a - 1, \lambda_{a+1}, \dots), \\ \lambda^C &= (\lambda_1, \dots, \lambda_{c-1}, \lambda_c + 1, \lambda_{c+1}, \dots), \\ (\lambda_B)^C &= (\lambda_1, \dots, \lambda_{a-1}, \lambda_a - 1, \lambda_{a+1}, \dots, \lambda_{c-1}, \lambda_c + 1, \lambda_{c+1}, \dots).\end{aligned}$$

Since λ_B and λ^C are p -regular, we have that $(\lambda_B)^C$ is p -singular if and only if $c = a + p - 1$ and $b - 1 = \lambda_a - 1 = \lambda_c + 1 = d$. □

Lemma 2.10. [Mo, Lemma 6.1] Let $p = 2$ and $\lambda \in \mathcal{P}_2(n)$ satisfy $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$. For $1 \leq k \leq h(\lambda)$ let a_k be the residue of the removable node in the k -th row of λ . Further let $1 < b_1 < \dots < b_t \leq h(\lambda)$ be the set of indices k for which $a_k = a_{k-1}$. Then the normal nodes of λ are in rows 1 and b_1 , while the conormal nodes of λ are in rows $b_t - 1$, $h(\lambda)$ and $h(\lambda) + 1$. Further $a_{b_k} \neq a_{b_{k-1}}$ for all $1 < k \leq t$.

Lemma 2.11. Let $p = 2$, $\lambda \in \mathcal{P}_2(n)$ and $i \in I$. If $\varepsilon_i(\lambda) = 2$, $\varepsilon_{1-i}(\lambda) = 0$ and $\varphi_i(\lambda) = 0$ then n is odd.

Proof. This follows from Lemma 2.6 and [Mo, Lemma 6.2]. \square

Lemma 2.12. Let $p = 2$, $\lambda \in \mathcal{P}_2(n)$ and let i be the residue of the bottom normal node of λ . If $\varepsilon_0(\lambda) = \varepsilon_1(\lambda) = 1$ and $\varphi_i(\lambda) = 3$ then n is odd.

Proof. Let $j := 1 - i \in I$. For $1 \leq k \leq h(\lambda)$ let a_k be the residue of the removable node in the k -th row of λ . Also let $1 < b_1 < \dots < b_t \leq h(\lambda)$ be the set of indices k for which $a_k = a_{k-1}$. The top removable node is always normal, so it must have residue j . Moreover, by Lemma 2.10, the removable node in row b_1 is i -normal, and the conormal nodes for λ are the addable nodes on rows $b_t - 1$, $h(\lambda)$ and $h(\lambda) + 1$. As $\varphi_i(\lambda) = 3$ it follows that $a_{b_t-1} = a_{h(\lambda)} = j$ and $h(\lambda) \equiv i \pmod{2}$.

Notice that by definition of b_1 , the residues a_k alternate for $1 \leq k \leq b_1 - 1$. Also we have that $a_1 = j \neq i = a_{b_1} = a_{b_1-1}$. So

$$\lambda_1 \equiv \dots \equiv \lambda_{b_1-1} \pmod{2}$$

and $b_1 - 1$ is even.

For $1 \leq m < t$ we similarly have that the residues a_k alternate for $b_m \leq k \leq b_{m+1} - 1$ and by Lemma 2.10, we have $a_{b_m} \neq a_{b_{m+1}-1}$, so that

$$\lambda_{b_m} \equiv \dots \equiv \lambda_{b_{m+1}-1} \pmod{2}$$

and $b_{m+1} - b_m$ is even.

Further, the residues a_k alternate for $b_t \leq k \leq h(\lambda)$ and $a_{b_t} = a_{b_{t-1}} = a_{h(\lambda)}$ by the first paragraph, so

$$\lambda_{b_t} \equiv \dots \equiv \lambda_{h(\lambda)} \pmod{2}$$

and $h(\lambda) - b_t + 1$ is odd.

It follows that

$$h(\lambda) = (b_1 - 1) + \sum_{m=1}^{t-1} (b_{m+1} - b_m) + (h(\lambda) - b_t + 1)$$

is odd and then $i = 1$, $a_{h(\lambda)} = 0$ by the first paragraph. Hence $\lambda_{h(\lambda)}$ is odd. So

$$n \equiv \lambda_1 \cdot (b_1 - 1) + \sum_{m=1}^{t-1} \lambda_{b_m} \cdot (b_{m+1} - b_m) + \lambda_{h(\lambda)} \cdot (h(\lambda) - b_t + 1) \pmod{2},$$

and we deduce that n is odd. \square

Lemma 2.13. Let $p = 2$, $i \in I$, and $\lambda \in \mathcal{P}_2(n)$ satisfy $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$. Assume that $\varepsilon_i(\lambda), \varphi_i(\lambda) > 0$, and let B be the i -good and C be the i -cogood nodes of λ , respectively. If $(\lambda_B)^C$ is 2-singular then one of the following holds:

(a) $h(\lambda) \geq 3$ and there exists $1 \leq j < h(\lambda)$ such that $\lambda_j = \lambda_{j+1} + 2$ and

$$\lambda_1 \equiv \dots \equiv \lambda_{j-1} \not\equiv \lambda_j \equiv \lambda_{j+1} \not\equiv \lambda_{j+2} \equiv \dots \equiv \lambda_{h(\lambda)} \pmod{2}.$$

(b) $\lambda_1, \dots, \lambda_{h(\lambda)-1}$ are odd and $\lambda_{h(\lambda)} = 2$.

Proof. By Lemma 2.9, we can write $B = (a, b)$, $C = (a+1, b-1)$. Let b_1, \dots, b_t be as in Lemma 2.10.

Assume first that B is in the first row. Then C is not in the first column, for otherwise $\lambda = (2)$ which contradicts the assumption $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$. If C is in the last row of λ then $h(\lambda) = 2$ and λ is a JS-partition, which again contradicts the assumption $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$. So we may now assume that $h(\lambda) \geq 3$ and by Lemma 2.10 we are in case (1) for $j = 1$.

Assume now that $B = (j, \lambda_j)$ with $2 \leq j \leq h(\lambda)$. Since B is normal in λ we have by Lemma 2.10 that $b_1 = j$ and then

$$\lambda_1 \equiv \dots \equiv \lambda_{j-1} \not\equiv \lambda_j \pmod{2}.$$

If $j = h(\lambda)$ then we are in case (b). If $j = h(\lambda) - 1$, then we are in case (a). Finally, if $2 \leq j < h(\lambda) - 1$ then by Lemma 2.10 we have

$$\lambda_{j+1} \not\equiv \lambda_{j+2} \equiv \dots \equiv \lambda_{h(\lambda)} \pmod{2}.$$

So we are in case (a). □

We now define the Mullineux bijection referring the reader to **[FK, BO]** for more details. Let $\lambda \in \mathcal{P}_p(n)$. The *rim* of λ is defined to be the set of all nodes $(r, s) \in \lambda$ such that $(r+1, s+1) \notin \lambda$. The *p-rim* of λ is the union of the *p-segments* which are defined as follows. The first *p-segment* is the first p nodes of the rim, reading from top-right to bottom-left. The next *p-segment* is then obtained by reading off the next p nodes of the rim, but starting from the row immediately below the last node of the first *p-segment*. The remaining *p-segments* are obtained by repeating this process. All but the last *p-segment* contain exactly p nodes, while the last may contain less. Set $\lambda^{(1)} = \lambda$, and define $\lambda^{(t)}$ to be $\lambda^{(t-1)} \setminus \{\text{the } p\text{-rim of } \lambda^{(t-1)}\}$. Let m be the largest number such that $\lambda^{(m)} \neq \emptyset$. The *Mullineux symbol* of λ is defined to be the array

$$G(\lambda) := \begin{pmatrix} a_1 & a_2 & \dots & a_m \\ r_1 & r_2 & \dots & r_m \end{pmatrix},$$

where a_t is the number of the nodes of the *p-rim* of $\lambda^{(t)}$ and $r_t := h(\lambda^{(t)})$. The t th column $\begin{pmatrix} a_t \\ r_t \end{pmatrix}$ of $G(\lambda)$ is denoted $G_t(\lambda)$. The partition can be uniquely reconstructed from its Mullineux symbol. The *Mullineux bijection* $\lambda \mapsto \lambda^{\mathbb{M}}$ on $\mathcal{P}_p(n)$ is defined from

$$G(\lambda^{\mathbb{M}}) := \begin{pmatrix} a_1 & a_2 & \dots & a_m \\ a_1 + x_1 - r_1 & a_2 + x_2 - r_2 & \dots & a_m + x_m - r_m \end{pmatrix},$$

where $x_t := 0$ if $p \mid a_t$ and $x_t := 1$ otherwise.

2.3. Irreducible modules over symmetric groups. We use James' notation

$$\{D^\lambda \mid \lambda \in \mathcal{P}_p(n)\}$$

for the set of the irreducible $\mathbb{F}\mathbf{S}_n$ -modules up to isomorphism, see **[J₁, §11]**. For example, $D^{(n)} \cong \mathbf{1}_{\mathbf{S}_n}$. By **[J₁, 11.5]**, we have $(D^\lambda)^* \cong D^\lambda$ for all $\lambda \in \mathcal{P}_p(n)$. We denote by \mathbf{sgn} the sign module over \mathbf{S}_n . Then by **[FK]** (see also **[BO]**), we have

$$D^\lambda \otimes \mathbf{sgn} \cong D^{\lambda^{\mathbb{M}}}.$$

Lemma 2.14. [BrK₂, Lemma 1.11] If $\lambda \in \mathcal{P}_p(n)$ and $\mu \in \mathcal{P}_p(m)$ then $D^\lambda \boxtimes D^\mu$ is a composition factor of $D^{\lambda+\mu} \downarrow_{S_{n,m}}$, where $\lambda+\mu$ is the partition $(\lambda_1+\mu_1, \lambda_2+\mu_2, \dots)$.

Recalling (2.3), D^{α_n} is the *heart of the natural module* of dimension $n - 1 - \delta_{p|n}$, where we have put $\delta_{p|n} := 1$ if $p \mid n$ and $\delta_{p|n} := 0$ otherwise. Recalling (2.4), D^{β_n} is the *basic spin module* if $p = 2$. It often plays a special role as indicated for example by the following result:

Proposition 2.15. Let $\lambda \in \mathcal{P}_2(n)$ with $\dim D^\lambda > 1$. If $2 \leq k \leq n/2$ then $D^\lambda \downarrow_{S_{n-k,k}}$ is irreducible if and only if $p = 2$, n is even, k is odd and $\lambda = \beta_n$. In the exceptional case, we have $D^{\beta_n} \downarrow_{S_{n-k,k}} \cong D^{\beta_{n-k}} \boxtimes D^{\beta_k}$.

Proof. By [JaS, Theorem 5.1] and [P, Theorem 10], $D^\lambda \downarrow_{S_{n-k,k}}$ is irreducible if and only if $p = 2$, n is even, k is odd and $\lambda = \beta_n$. The second statement then follows for example from Lemma 2.14. \square

For $\lambda \in \mathcal{P}_p(n)$, we consider the $\mathbb{F}S_n$ -module

$$\mathcal{E}(\lambda) := \text{End}_{\mathbb{F}}(D^\lambda). \quad (2.16)$$

Recall the notation $\mathcal{I}(G)$ from (2.1). A fundamental trick that will be used to prove that $D^\lambda \downarrow_G$ is reducible for a subgroup $G < S_n$, is as follows:

Lemma 2.17. Let $\lambda \in \mathcal{P}_p(n)$, and $G \leq S_n$ be a subgroup such that

$$\dim \text{Hom}_{S_n}(\mathcal{I}(G), \mathcal{E}(\lambda)) > 1.$$

Then $D^\lambda \downarrow_G$ is reducible.

Proof. This follows from

$$\text{Hom}_{S_n}(\mathcal{I}(G), \mathcal{E}(\lambda)) = \text{Hom}_{S_n}(\mathbf{1}_G \uparrow^{S_n}, \mathcal{E}(\lambda)) \cong \text{Hom}_G(\mathbf{1}_G, \mathcal{E}(\lambda) \downarrow_G) \cong \text{End}_G(D^\lambda \downarrow_G)$$

and Schur's lemma. \square

Lemma 2.18. Let $\lambda \in \mathcal{P}_p(n)$, and $G \leq S_n$ be a subgroup such that there exists $\psi : \mathcal{I}(G) \rightarrow \mathcal{E}(\lambda)$ with ψ non-zero and such that $\text{im } \psi \not\cong \mathbf{1}_{S_n}$. Then $D^\lambda \downarrow_G$ is reducible.

Proof. This follows from Lemma 2.17, since there always exists a homomorphism $\varphi : \mathcal{I}(G) \rightarrow \mathcal{E}(\lambda)$ with image $\mathbf{1}_{S_n}$, and so φ and ψ are linearly independent. \square

We will need one more general result on reducibility of $D^\lambda \downarrow_G$:

Lemma 2.19. Let $n \geq 5$, $H = S_n$ or A_n , L be an irreducible $\mathbb{F}H$ -module of dimension greater than 1, and $G \leq H$ be a subgroup with $O_p(G) \neq 1$. Then $L \downarrow_G$ is reducible.

Proof. The assumptions $n \geq 5$ and $\dim L > 1$ guarantee that L is faithful. Hence the invariants $L^{O_p(G)}$ form a non-trivial proper submodule of $L \downarrow_G$. \square

2.4. More modules over symmetric groups. As in [J₁, §4], we have *Specht modules* S^λ and permutation modules M^λ over S_n for all $\lambda \in \mathcal{P}(n)$. The module M^λ is the permutation module on the set of λ -tabloids $\{t\}$, which are row-equivalence classes of λ -tableaux t , while $S^\lambda \subseteq M^\lambda$ is spanned by the polytabloids

$$e_t := \sum_{\sigma \in C_t} (\text{sgn } \sigma) \sigma \cdot \{t\} \in M^\lambda, \quad (2.20)$$

where C_t denotes the column stabilizer of the λ -tableau t . In fact, any e_t generates S^λ as an \mathbb{FS}_n -module. It is well-known that $(M^\lambda)^* \cong M^\lambda$.

We will also use *Young modules* Y^λ which can be defined using the following well-known facts contained for example in [J₃] and [Ma, §4.6]:

Lemma 2.21. *There exist indecomposable \mathbb{FS}_n -modules $\{Y^\lambda \mid \lambda \in \mathcal{P}(n)\}$ such that $M^\lambda \cong Y^\lambda \oplus \bigoplus_{\mu \triangleright \lambda} (Y^\mu)^{\oplus m_{\mu,\lambda}}$ for some $m_{\mu,\lambda} \in \mathbb{Z}_{\geq 0}$. Moreover, Y^λ can be characterized as the unique indecomposable direct summand of M^λ such that $S^\lambda \subseteq Y^\lambda$. Finally, we have $(Y^\lambda)^* \cong Y^\lambda$ for all $\lambda \in \mathcal{P}(n)$.*

Lemma 2.22. [JK, 6.1.21] *The irreducible \mathbb{FS}_n -modules D^λ and D^μ are in the same block if and only if $\text{cont}(\lambda) = \text{cont}(\mu)$. All composition factors of S^ν and Y^ν are of the form D^κ where $\text{cont}(\kappa) = \text{cont}(\nu)$.*

In view of the lemma, blocks of \mathbb{FS}_n are determined by the residue contents of irreducible modules contained in the block, which are elements of Θ_n , see (2.5). The block of \mathbb{FS}_n corresponding to $\theta \in \Theta_n$ will be denoted B_θ . If $\theta \in \Theta_n$ does not arise as a residue content of any $\lambda \in \mathcal{P}(n)$, we set $B_\theta := 0$, so that we have

$$\mathbb{FS}_n = \bigoplus_{\theta \in \Theta_n} B_\theta. \quad (2.23)$$

Two-row partitions will play a special role in this paper, so it is convenient to introduce the following notation. Let $0 \leq k \leq n/2$. We denote

$$M_k := M^{(n-k,k)}, \quad S_k := S^{(n-k,k)}, \quad D_k := D^{(n-k,k)}, \quad Y_k := Y^{(n-k,k)}.$$

Strictly speaking, when $p = 2$ and n is even, D_k is only defined if $k < n/2$. We denote by Ω_k the set of all k -elements subsets of $\{1, \dots, n\}$ so that M_k is the permutation module on Ω_k .

For $0 \leq k, l \leq n/2$, we will use special homomorphisms between permutation modules:

$$\eta_{k,l} : M_k \rightarrow M_l, \quad X \mapsto \sum_{Y \in \Omega_l, Y \text{ incident to } X} Y,$$

where Y is incident to X means $Y \subseteq X$ or $X \subseteq Y$.

Lemma 2.24. [Wi, Theorem 1] *If $0 \leq k \leq l \leq n/2$ then*

$$\text{rank}(\eta_{k,l}) = \text{rank}(\eta_{l,k}) = \sum \binom{n}{r} - \binom{n}{r-1},$$

where the sum is over all $r = 0, \dots, k$ such that $\binom{l-r}{k-r}$ is not divisible by p .

Let $0 \leq k \leq n/2$, $G \leq \mathfrak{S}_n$ and $\lambda \in \mathcal{P}_p(n)$. We denote by $i_k(G)$ the number of G -orbits on Ω_k . Note that

$$i_k(G) = \dim M_k^G = \dim \text{Hom}_{\mathfrak{S}_n}(\mathcal{I}(G), M_k). \quad (2.25)$$

Define also

$$m_k(\lambda) := \dim \text{Hom}_{\mathfrak{S}_n}(M_k, \mathcal{E}(\lambda)) = \dim \text{End}_{\mathfrak{S}_{n-k,k}}(D^\lambda \downarrow_{\mathfrak{S}_{n-k,k}}). \quad (2.26)$$

Our main tools are Lemmas 2.17 and 2.18, which motivate us to study homomorphisms from $\mathcal{I}(G)$ to $\mathcal{E}(\lambda)$. We plan to do it by studying homomorphisms from $\mathcal{I}(G)$ to M_k and then from M_k to $\mathcal{E}(\lambda)$ for appropriate small k 's. This is why we need dimensions defined in (2.25) and (2.26).

Lemma 2.27. [Mo, Lemma 4.14] *If $p = 2$ and V is an S_n -module then*

$$\dim \text{End}_{S_{n-2}}(V \downarrow_{S_{n-2}}) \leq 2 \dim \text{End}_{S_{n-2,2}}(V \downarrow_{S_{n-2,2}}).$$

2.5. Invariants. In this section, for various transitive $G \leq S_n$, we will study the invariants $(S_1^*)^G$ of the dual Specht module $S_1 = S^{(n-1,1)}$. Our goal is to establish that $(S_1^*)^G = 0$ in many situations. The following lemma will allow us to reduce to the case $p \mid n$.

Lemma 2.28. *If $p \nmid n$ and $G \leq S_n$ is transitive then $(S_1^*)^G = 0$.*

Proof. Since G is transitive, we have $\dim M_1^G = 1$, and the result follows since under the assumption $p \nmid n$ we have $M_1 \cong \mathbf{1}_{S_n} \oplus S_1^*$. \square

If $p \mid n$ we can use the following criteria for $(S_1^*)^G = 0$.

Lemma 2.29. *If G is a transitive subgroup of S_n with $G = O^p(G)$ then $(S_1^*)^G = 0$.*

Proof. Since G is transitive, we have $\dim M_1^G = 1$. Now the result follows by considering the long exact sequence in cohomology corresponding to the short exact sequence $0 \rightarrow \mathbf{1}_G \rightarrow M_1 \rightarrow S_1^* \rightarrow 0$ and using $H^1(G, \mathbf{1}_G) = 0$, which comes from the assumption $G = O^p(G)$. \square

Corollary 2.30. *Let G be a subgroup of S_n such that $O^p(G)$ is transitive. Then $(S_1^*)^G = 0$.*

Proof. Since $O^p(O^p(G)) = O^p(G)$, the previous lemma applies to show that $(S_1^*)^{O^p(G)} = 0$, which implies the result. \square

The following result shows that we can apply Corollary 2.30 to primitive subgroups with non-abelian socle:

Lemma 2.31. *Let G be a primitive subgroup of S_n with non-abelian socle S . Then S and $O^p(G)$ are transitive. If, in addition, G is 2-transitive then either S and $O^p(G)$ are 2-transitive or $(n, G, S) = (28, SL_2(8).3, SL_2(8))$.*

Proof. Since S is normal in G , then G permutes the S -orbits on $\{1, 2, \dots, n\}$. But G is primitive, so there is only one S -orbit. Further, by inspection of the list of 2-transitive groups, see [C, Note 2, p. 9], we see that if G is 2-transitive then either S is 2-transitive or $(n, G, S) = (28, SL_2(8).3, SL_2(8))$.

Finally, by the O’Nan-Scott Theorem, see e.g. [C, Theorem 4.1], S is a direct product of non-abelian simple groups. But

$$S/(S \cap O^p(G)) \cong O^p(G)S/O^p(G) \leq G/O^p(G)$$

is a p -group, so $O^p(G) \geq S$, and the statements on $O^p(G)$ also follow. \square

Corollary 2.32. *If G is a primitive subgroup of S_n with non-abelian socle then $(S_1^*)^G = 0$.*

Proof. Follows from Corollary 2.30 and Lemma 2.31. \square

For primitive subgroups with abelian socle we have:

Lemma 2.33. *Let G be a primitive subgroup of S_n with abelian socle. Then either $(S_1^*)^G = 0$ or $O_p(G) \neq 1$.*

Proof. By the O’Nan-Scott Theorem, $n = r^m$ and $S := \text{soc } G$ is an elementary abelian r -group of order r^m for a prime r . If $r = p$ we have $O_p(G) \geq S \neq 1$. Otherwise $p \nmid n$, and we are done by Lemma 2.28. \square

The following result will allow us to assume that $(S_1^*)^G = 0$ for primitive subgroups $G \leq S_n$.

Corollary 2.34. *Let $n \geq 5$, $G \leq S_n$ be a primitive subgroup, and D^λ be an irreducible $\mathbb{F}S_n$ -module of dimension greater than 1. If $D^\lambda \downarrow_G$ is irreducible then $(S_1^*)^G = 0$.*

Proof. This follows from Lemmas 2.19, 2.33 and Corollary 2.32. \square

For imprimitive subgroups we will be using the following lemma:

Lemma 2.35. *Let $n = ab$ for some $a, b \in \mathbb{Z}_{>1}$. Then $(S_1^*)^{S_a \wr S_b} = 0$ unless $p = b = 2$ in which case $\dim(S_1^*)^{S_a \wr S_b} = 1$.*

Proof. This is an explicit check. We use the standard basis v_1, \dots, v_n in M_1 and the corresponding elements $\bar{v}_1, \dots, \bar{v}_n \in S_1^* = M_1/\langle \sum_{j=1}^n v_j \rangle$. Then $\{\bar{v}_1, \dots, \bar{v}_{n-1}\}$ is a basis of S_1^* . Suppose that a non-trivial linear combination $\sum_{i=1}^{n-1} c_i \bar{v}_i$ is $(S_a \wr S_b)$ -invariant. The $(S_a \times \dots \times S_a)$ -invariance is equivalent to $c_{ka+1} = \dots = c_{(k+1)a}$ for all $0 \leq k \leq b-2$ and $c_{(b-1)a+1} = \dots = c_{n-1} = 0$. Action of S_b which permutes the blocks of size a leaves such a vector invariant if and only if all $c_1 = \dots = c_{n-a}$, $p = 2$ and $b = 2$. \square

3. RESULTS ON BRANCHING

3.1. Modular branching rules. Here we review some results from [K₂, K₃, K₅]. Let V be an $\mathbb{F}S_n$ -module in a block B_θ for some $\theta \in \Theta_n$, cf. (2.23). For any $i \in I$, we define $e_i V$ to be the projection of $V \downarrow_{S_{n-1}}$ to the block $B_{\theta-\gamma_i}$ and $f_i V$ to be the projection of $V \uparrow^{S_{n+1}}$ to the block $B_{\theta+\gamma_i}$. We then extend the definition of $e_i V$ and $f_i V$ to arbitrary $\mathbb{F}S_n$ -modules additively, yielding the functors

$$e_i : \mathbb{F}S_n\text{-mod} \rightarrow \mathbb{F}S_{n-1}\text{-mod}, \quad f_i : \mathbb{F}S_n\text{-mod} \rightarrow \mathbb{F}S_{n+1}\text{-mod}.$$

More generally, for any $r \in \mathbb{Z}_{\geq 1}$ we have *divided power functors*

$$e_i^{(r)} : \mathbb{F}S_n\text{-mod} \rightarrow \mathbb{F}S_{n-r}\text{-mod}, \quad f_i^{(r)} : \mathbb{F}S_n\text{-mod} \rightarrow \mathbb{F}S_{n+r}\text{-mod},$$

see [K₅, §11.2]. The following is well-known, see e.g. [K₅, Lemma 8.2.2(ii), Theorems 8.3.2(i), 11.2.7, 11.2.8]:

Lemma 3.1. *For any $i \in I$ and $r \in \mathbb{Z}_{\geq 1}$, the functors $e_i^{(r)}$ and $f_i^{(r)}$ are biadjoint and commute with duality. Moreover, for any $\mathbb{F}S_n$ -module V we have*

$$V \downarrow_{S_{n-1}} \cong e_0 V \oplus \dots \oplus e_{p-1} V \quad \text{and} \quad V \uparrow^{S_{n+1}} \cong f_0 V \oplus \dots \oplus f_{p-1} V.$$

Recall $\tilde{e}_i, \tilde{f}_i, \varepsilon_i, \varphi_i$ from §2.2. The following two results are contained in [K₅, Theorems 11.2.10, 11.2.11], [K₄, Theorem 1.4] and [BrK₁, Theorems E(iv), E'(iv)].

Lemma 3.2. *Let $\lambda \in \mathcal{P}_p(n)$, $i \in I$ and $r \in \mathbb{Z}_{\geq 0}$. Then:*

- (i) $e_i^r D^\lambda \cong (e_i^{(r)} D^\lambda)^{\oplus r!}$;
- (ii) $e_i^{(r)} D^\lambda \neq 0$ if and only if $r \leq \varepsilon_i(\lambda)$, in which case $e_i^{(r)} D^\lambda$ is a self-dual indecomposable module with socle and head both isomorphic to $D^{\tilde{e}_i^r \lambda}$.

- (iii) $[e_i^{(r)} D^\lambda : D^{\tilde{e}_i^r \lambda}] = \binom{\varepsilon_i(\lambda)}{r} = \dim \text{End}_{S_{n-r}}(e_i^{(r)} D^\lambda);$
- (iv) if D^μ is a composition factor of $e_i^{(r)} D^\lambda$ then $\varepsilon_i(\mu) \leq \varepsilon_i(\lambda) - r$, with equality holding if and only if $\mu = \tilde{e}_i^r \lambda$;
- (v) $\dim \text{End}_{S_{n-1}}(D^\lambda \downarrow_{S_{n-1}}) = \sum_{j \in I} \varepsilon_j(\lambda).$
- (vi) Let A be a removable node of λ such that λ_A is p -regular. Then D^{λ_A} is a composition factor of $e_i D^\lambda$ if and only if A is i -normal, in which case $[e_i D^\lambda : D^{\lambda_A}]$ is one more than the number of i -normal nodes for λ above A .

Lemma 3.3. Let $\lambda \in \mathcal{P}_p(n)$, $i \in I$ and $r \in \mathbb{Z}_{\geq 0}$. Then:

- (i) $f_i^r D^\lambda \cong (f_i^{(r)} D^\lambda)^{\oplus r!};$
- (ii) $f_i^{(r)} D^\lambda \neq 0$ if and only if $r \leq \varphi_i(\lambda)$, in which case $f_i^{(r)} D^\lambda$ is a self-dual indecomposable module with socle and head both isomorphic to $D^{\tilde{f}_i^r \lambda}$.
- (iii) $[f_i^{(r)} D^\lambda : D^{\tilde{f}_i^r \lambda}] = \binom{\varphi_i(\lambda)}{r} = \dim \text{End}_{S_{n+r}}(f_i^{(r)} D^\lambda);$
- (iv) if D^μ is a composition factor of $f_i^{(r)} D^\lambda$ then $\varphi_i(\mu) \leq \varphi_i(\lambda) - r$, with equality holding if and only if $\mu = \tilde{f}_i^r \lambda$.
- (v) $\dim \text{End}_{S_{n+1}}(D^\lambda \uparrow_{S_{n+1}}) = \sum_{j \in I} \varphi_j(\lambda).$
- (vi) Let B be an addable node for λ such that λ^B is p -regular. Then D^{λ^B} is a composition factor of $f_i D^\lambda$ if and only if B is i -conormal, in which case $[f_i D^\lambda : D^{\lambda^B}]$ is one more than the number of i -conormal nodes for λ below B .

Lemma 3.4. [K₅, Lemma 8.5.4(ii)] Let $i \in I$ and $\lambda \in \mathcal{P}_p(n)$. Then $\text{soc}(f_i e_i D^\lambda) \cong (D^\lambda)^{\oplus \varepsilon_i(\lambda)}$.

Lemma 3.5. Let $\lambda \in \mathcal{P}_p(n)$ and $i \in I$. Then

$$[f_i e_i D^\lambda : D^\lambda] = \varepsilon_i(\lambda)(\varphi_i(\lambda) + 1) \quad \text{and} \quad [e_i f_i D^\lambda : D^\lambda] = \varphi_i(\lambda)(\varepsilon_i(\lambda) + 1).$$

Proof. This follows from [K₅, Lemma 8.5.4(i), Corollary 8.5.7] since $\varepsilon_i(\tilde{f}_i \lambda) = \varepsilon_i(\lambda) + 1$ and $\varphi_i(\tilde{e}_i \lambda) = \varphi_i(\lambda) + 1$. \square

Lemma 3.6. Let $p = 2$, n be even, and $\lambda \in \mathcal{P}_2(n)$ have exactly two normal nodes. If D^λ is a direct summand of $(D^\lambda \downarrow_{S_{n-1}}) \uparrow_{S_n}$ then $f_0 e_0 D^\lambda \oplus f_1 e_1 D^\lambda \cong D^\lambda \oplus X$, where X is a self-dual \mathbb{FS}_n -module with socle and head both isomorphic to D^λ with $[X : D^\lambda] \geq 2$.

Proof. By Lemma 3.1, we have

$$(D^\lambda \downarrow_{S_{n-1}}) \uparrow_{S_n} \cong f_0 e_0 D^\lambda \oplus f_1 e_1 D^\lambda \oplus f_0 e_1 D^\lambda \oplus f_1 e_0 D^\lambda$$

with $f_0 e_1 D^\lambda \oplus f_1 e_0 D^\lambda$ in different blocks from D^λ . So D^λ is a direct summand of $f_0 e_0 D^\lambda \oplus f_1 e_1 D^\lambda$, and we can write $f_0 e_0 D^\lambda \oplus f_1 e_1 D^\lambda \cong D^\lambda \oplus X$ for some self-dual module X . By Lemma 3.4, we only have to check that $\dim \text{Hom}_{S_n}(D^\lambda, X) = 1$ and $[X : D^\lambda] \geq 2$. The first statement follows from

$$\begin{aligned} \dim \text{Hom}_{S_n}(D^\lambda, f_0 e_0 D^\lambda \oplus f_1 e_1 D^\lambda) &= \dim \text{End}_{S_n}(e_0 D^\lambda) + \dim \text{End}_{S_n}(e_1 D^\lambda) \\ &= \varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2, \end{aligned}$$

where we have used Lemmas 3.1 and 3.2(iii). To prove the second statement, we show that $[f_0 e_0 D^\lambda \oplus f_1 e_1 D^\lambda : D^\lambda] \geq 3$.

If $\varepsilon_0(\lambda) = \varepsilon_1(\lambda) = 1$ then, noting that $\varphi_i(\lambda) > 0$ for some $i \in I$ the second statement follows from Lemma 3.5. So we may assume that $\varepsilon_i(\lambda) = 2$ and $\varepsilon_{1-i}(\lambda) = 0$. Then by Lemma 2.11, we have $\varphi_i(\lambda) > 0$, and so we again conclude by Lemma 3.5. \square

Lemma 3.7. *Let $\lambda \in \mathcal{P}_p(n)$ and $i \in I$. If D^μ is a composition factor of $e_i D^\lambda$ then there exists a removable node A for λ with $\text{res } A = i$ and $\mu \triangleright \lambda_A$. In particular, if D^μ is a composition factor of $D^\lambda \downarrow_{S_{n-1}}$ then there exists a removable node A for λ with $\mu \triangleright \lambda_A$.*

Proof. If D^μ is a composition factor of $e_i D^\lambda$ then it is a composition factor of $e_i S^\lambda$. By [J₁, 9.2] and Lemma 2.22, $e_i S^\lambda$ has a filtration with subquotients of the form S^{λ_A} for removable nodes A for λ with $\text{res } A = i$. The result now follows from [J₁, 12.2]. \square

A partition $\lambda \in \mathcal{P}_p(n)$ is called a *JS partition* and D^λ is called a *JS module* if $D^\lambda \downarrow_{S_{n-1}}$ is irreducible. JS partitions were first studied in [JaS]. These can be explicitly classified, see [K₁, Theorem D]. It is easy to see that λ is JS if and only if λ has exactly one normal node. In particular:

Lemma 3.8. *Let $p = 2$ and $\lambda \in \mathcal{P}_2(n)$. Then λ is JS if and only if all parts of λ have the same parity, in which case $D^\lambda \downarrow_{S_{n-1}} \cong D^{(\lambda_1-1, \lambda_2, \lambda_3, \dots)}$.*

3.2. Some general branching lemmas. We will study some important filtrations that arise in the restriction $D^\lambda \downarrow_{S_{n-1}}$.

Lemma 3.9. *Let $\lambda \in \mathcal{P}_p(n)$, $i \in I$ and $\varepsilon_i(\lambda) > 0$. Then, for $1 \leq a \leq \varepsilon_i(\lambda)$, there exist quotients V_a of $e_i D^\lambda$ such that the following hold:*

- (i) $[V_a : D^{\tilde{\varepsilon}_i \lambda}] = a$,
- (ii) V_a has socle and head both isomorphic to $D^{\tilde{\varepsilon}_i \lambda}$,
- (iii) V_a is a quotient of V_{a+1} for $1 \leq a < \varepsilon_i(\lambda)$,
- (iv) V_a is self-dual.

Proof. Set $\varepsilon := \varepsilon_i(\lambda)$. By [K₅, Theorem 11.2.7(ii)], the algebra $\text{End}_{S_{n-1}}(e_i D^\lambda)$ is isomorphic to the truncated polynomial algebra $\mathbb{F}[x]/(x^\varepsilon)$, so there exists $\psi \in \text{End}_{S_{n-1}}(e_i D^\lambda)$ with $\psi^{\varepsilon-1} \neq 0$ and $\psi^\varepsilon = 0$. For $1 \leq a \leq \varepsilon$ let

$$V_a := e_i D^\lambda / \text{Ker}(\psi^{\varepsilon-a}).$$

Clearly such quotients V_a satisfy (iii). Moreover, $\text{head } V_a \cong D^{\tilde{\varepsilon}_i \lambda}$ by Lemma 3.2(ii). Since $\psi^{\varepsilon-a} \neq 0$ for $1 \leq a \leq \varepsilon$ by assumption on ψ , we have that

$$0 \neq V_a \cong \text{im}(\psi^{\varepsilon-a}) \subseteq e_i D^\lambda.$$

So $\text{soc } V_a \cong D^{\tilde{\varepsilon}_i \lambda}$ by Lemma 3.2(ii), and (ii) holds.

From the assumption $\psi^{\varepsilon-1} \neq 0$ and $\psi^\varepsilon = 0$ we have that $V_a \neq V_{a+1}$ for each $1 \leq a < \varepsilon$. By (ii), (iii) and Lemma 3.2(iii), we then have that

$$1 \leq [V_1 : D^{\tilde{\varepsilon}_i \lambda}] < [V_2 : D^{\tilde{\varepsilon}_i \lambda}] < \dots < [V_\varepsilon : D^{\tilde{\varepsilon}_i \lambda}] = \varepsilon,$$

which implies (i).

We now prove (iv). As $e_i D^\lambda$ is self-dual by Lemma 3.2(ii), we identify $e_i D^\lambda$ and $(e_i D^\lambda)^*$ so that ψ and ψ^* are both endomorphisms of $e_i D^\lambda$. Since ψ has nilpotency degree ε and so does ψ^* , we must have

$$(\psi^r)^* = c^r \psi^r + (\text{a linear combination of terms } \psi^s \text{ with } s > r)$$

for some non-zero scalar c . Hence $\text{im}((\psi^r)^*) = \text{im}(\psi^r)$ for all r . Since $\text{im}((\psi^r)^*) \cong (\text{im}(\psi^r))^*$, we conclude that $V_a \cong \text{im}(\psi^{\varepsilon-a}) \cong V_a^*$. \square

Remark 3.10. (i) Using Lemma 3.2, one can easily see that we must have $V_1 = \text{head}(e_i D^\lambda)$ and $V_{\varepsilon_i(\lambda)} = e_i D^\lambda$ in Lemma 3.9.

(ii) In the proof of Lemma 3.9, we have used the fact that $\psi^* = c\psi + (\text{higher terms})$. One can use the explicit construction of ψ in terms of a Murphy element in $[\mathbf{K}_5]$ to deduce that $\psi^* = \psi$.

A proof similar to that of Lemma 3.9 yields:

Lemma 3.11. *Let $\lambda \in \mathcal{P}_p(n)$, $i \in I$ and $\varphi_i(\lambda) > 0$. Then, for $1 \leq a \leq \varphi_i(\lambda)$, there exist quotients V_a of $f_i D^\lambda$ such that the following hold:*

- (i) $[V_a : D^{\tilde{f}_i \lambda}] = a$,
- (ii) V_a has socle and head both isomorphic to $D^{\tilde{f}_i \lambda}$,
- (iii) V_a is a quotient of V_{a+1} for $1 \leq a < \varphi_i(\lambda)$,
- (iv) V_a is self-dual.

Lemma 3.12. *Let p divide n and $\lambda \in \mathcal{P}_p(n)$. Then*

$$\dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) \leq \sum_{i \in I} \varepsilon_i(\lambda).$$

If equality holds then there exists i with $\varepsilon_i(\lambda) > 0$ and $D^\lambda \subseteq (f_i D^{\tilde{e}_i \lambda})/D^\lambda$.

Proof. By [Mo, Lemma 4.12], we have

$$\dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) \leq \sum_{i \in I} \varepsilon_i(\lambda) - 1 + m$$

where

$$m := \min \left\{ \max_{i: \varepsilon_i(\lambda) > 0} [\text{soc}((f_i \tilde{e}_i D^\lambda)/D^\lambda) : D^\lambda], \max_{i: \varphi_i(\lambda) > 0} [\text{soc}((e_i \tilde{f}_i D^\lambda)/D^\lambda) : D^\lambda] \right\}.$$

So it is enough to prove that if $i \in I$ with $\varepsilon_i(\lambda) > 0$, then $[\text{soc}((f_i D^{\tilde{e}_i \lambda})/D^\lambda) : D^\lambda] \leq 1$. By Lemmas 3.3(iii) and 3.11, there exists a quotient $V_{\varphi_i(\tilde{e}_i \lambda) - 1} = f_i D^{\tilde{e}_i \lambda}/X$ such that $\text{soc } V_{\varphi_i(\tilde{e}_i \lambda) - 1} \cong D^\lambda$, $\text{soc } X \cong D^\lambda$, and $[X : D^\lambda] = 1$. The inequality $[\text{soc}((f_i D^{\tilde{e}_i \lambda})/D^\lambda) : D^\lambda] \leq 1$ follows. \square

Lemma 3.13. *Let $\lambda \in \mathcal{P}_p(n)$, $i \in I$, $\varepsilon_i(\lambda) > 0$ and $D^\lambda \subseteq (f_i D^{\tilde{e}_i \lambda})/D^\lambda$. Then $\varphi_i(\lambda) > 0$ and $(\lambda_B)^C$ is p -singular, where B and C are the i -good and i -cogood nodes of λ respectively.*

Proof. Set $M := (f_i D^{\tilde{e}_i \lambda})/D^\lambda$. It suffices to prove that $D^\lambda \not\subseteq M$ if $\varphi_i(\lambda) = 0$ or $(\lambda_B)^C$ is p -regular. If $\varphi_i(\lambda) = 0$, then $\varphi_i(\tilde{e}_i \lambda) = 1$ and so $f_i D^{\tilde{e}_i \lambda} \cong D^\lambda$ by Lemma 3.3. In particular, $M = 0$, and we are done. So we may assume that $\varphi_i(\lambda) > 0$ and $(\lambda_B)^C$ is p -regular. Note that $\tilde{e}_i \lambda = \lambda_B$, B is the top i -conormal node for λ_B , and C is the second i -conormal node for λ_B from the top.

By [BrK₁, Remark on p.83] and the self-duality of $f_i D^{\tilde{e}_i \lambda}$, we have that

$$f_i D^{\tilde{e}_i \lambda} \sim (\bar{S}^\lambda)^* | (\bar{S}^{(\lambda_B)^C})^* | \dots$$

where \bar{S}^λ is a non-zero quotient of S^λ and $\bar{S}^{(\lambda_B)^C}$ is a non-zero quotient $S^{(\lambda_B)^C}$ with $[\bar{S}^{(\lambda_B)^C} : D^\lambda] = 1$.

Let \hat{Z} be the submodule $(\bar{S}^\lambda)^*|(\bar{S}^{(\lambda_B)^C})^*$ of $f_i D^{\tilde{e}_i \lambda}$, and $Z = \hat{Z}/D_\lambda$ be the corresponding submodule of M . Note that $[Z : D^\lambda] = 1$, and $\text{Hom}_{S_n}(D^\lambda, Z) = 0$ since D^λ is not a composition factor of $(\bar{S}^\lambda)^*/D^\lambda$ and $\text{soc}(S^{(\lambda_B)^C})^* \cong D^{(\lambda_B)^C} \not\cong D^\lambda$.

Let $V := V_{\varphi_i(\tilde{e}_i \lambda) - 1}$ be as in Lemma 3.11. Then $\text{soc } V \cong D^\lambda$ and

$$[V : D^\lambda] = \varphi_i(\tilde{e}_i \lambda) - 1 = [M : D^\lambda],$$

where the second equality is by Lemma 3.3(iii). Let $X \subseteq M$ be a submodule such that $M/X \cong V$. By the last equality, $[X : D^\lambda] = 0$. So, setting $Y := X + Z$, we now deduce from the previous paragraph that $\text{Hom}_{S_n}(D^\lambda, Y) = 0$. Note that $Y \supsetneq X$ since $[X : D^\lambda] = 0$, while $[Y : D^\lambda] \geq [Z : D^\lambda] = 1$. Since V has simple socle, it follows that $\text{soc } M/X \subseteq Y/X$, and we can now apply Lemma 2.2. \square

3.3. Some branching for JS modules. In this subsection we will always assume that $p = 2$ and λ is a JS partition. By definition, the top removable node A of λ is its only normal node, and $D^\lambda \downarrow_{S_{n-1}} \cong D^{\lambda_A}$. In this sense JS modules have very simple branching. However, we need to prove some results about their restrictions to other subgroups.

Lemma 3.14. *Let $p = 2$, $\lambda \in \mathcal{P}_2(m+n)$, $\mu \in \mathcal{P}_2(m)$ and $\nu \in \mathcal{P}(n)$. If $\mu + \nu = \lambda$ and $(\lambda_1, \dots, \lambda_{h(\nu)})$ is a JS-partition, then D^μ is a composition factor of $D^\lambda \downarrow_{S_m}$.*

Proof. We apply induction on n , the case $n = 0$ being clear. Let

$$\kappa := \lambda - (1^{h(\nu)}) = (\lambda_1 - 1, \dots, \lambda_{h(\nu)} - 1, \lambda_{h(\nu)+1}, \dots, \lambda_{m+n}).$$

Note that κ is 2-regular, since λ and μ are 2-regular and by definition

$$\kappa_{h(\nu)} = \lambda_{h(\nu)} - 1 \geq \mu_{h(\nu)} > \mu_{h(\nu)+1} = \lambda_{h(\nu)+1} = \kappa_{h(\nu)+1}.$$

Further $h(\nu - (1^{h(\nu)})) \leq h(\nu)$, $\kappa = \mu + (\nu - (1^{h(\nu)}))$ and $(\kappa_1, \dots, \kappa_{h(\nu)})$ is a JS-partition, see Lemma 3.8. By the inductive assumption, it suffices to prove that D^κ is a composition factor of $D^\lambda \downarrow_{S_{m+n-h(\nu)}}$. Let $B_s := (s, \lambda_s)$ be the last node in row s of λ , $s = 1, 2, \dots$. Using for example Lemma 3.8, it is easy to see that the node B_1 is good for λ , B_2 is good for λ_{B_1} , B_3 is good for $(\lambda_{B_1})_{B_2}$, etc. By Lemma 3.2(ii), we have that $D^{\lambda_{B_1}}$ is a composition factor of $D^\lambda \downarrow_{S_{m+n-1}}$, $D^{(\lambda_{B_1})_{B_2}}$ is a composition factor of $D^{\lambda_{B_1}} \downarrow_{S_{m+n-2}}$, etc., and the required result on D^κ follows since $\kappa = (\dots (\lambda_{B_1})_{B_2} \dots)_{B_{h(\nu)}}$. \square

Lemma 3.15. *Let $p = 2$, n be even and $\lambda \in \mathcal{P}_2(n)$ be a JS-partition with odd parts. Then $D^\lambda \downarrow_{S_{n/2}}$ has at least three non-isomorphic composition factors, unless one of the following holds:*

- (i) $n \geq 4$ and $\lambda = \alpha_n$,
- (ii) $n \geq 8$ with $n \equiv 0 \pmod{4}$ and $\lambda = \beta_n$,
- (iii) $n \geq 24$ with $n \equiv 0 \pmod{8}$ and $\lambda = (n/4 + 3, n/4 + 1, n/4 - 1, n/4 - 3)$,
- (iv) $n \geq 22$ with $n \equiv 4 \pmod{6}$ and $\lambda = ((n-4)/3 + 3, (n-4)/3 + 1, (n-4)/3 - 1, 1)$.

Proof. From Lemma 3.14 it is enough to find distinct $\mu, \sigma, \pi \in \mathcal{P}_2(n/2)$ such that $\lambda - \mu, \lambda - \sigma$ and $\lambda - \pi$ are partitions. Notice that $h(\lambda)$ is even since n is even and λ consists of odd parts.

Case 1. $h(\lambda) \geq 6$. In this case we can take

$$\mu = \left(\frac{\lambda_1 + 1}{2}, \dots, \frac{\lambda_{h(\lambda)/2} + 1}{2}, \frac{\lambda_{h(\lambda)/2+1} - 1}{2}, \dots, \frac{\lambda_{h(\lambda)} - 1}{2} \right),$$

$$\sigma = \left(\frac{\lambda_1 + 3}{2}, \frac{\lambda_2 + 1}{2}, \dots, \frac{\lambda_{h(\lambda)/2-1} + 1}{2}, \frac{\lambda_{h(\lambda)/2} - 1}{2}, \dots, \frac{\lambda_{h(\lambda)} - 1}{2} \right).$$

If $\lambda_{h(\lambda)} \geq 3$ then we can also take

$$\pi = \left(\frac{\lambda_1 + 3}{2}, \frac{\lambda_2 + 1}{2}, \dots, \frac{\lambda_{h(\lambda)/2} + 1}{2}, \frac{\lambda_{h(\lambda)/2+1} - 1}{2}, \dots, \frac{\lambda_{h(\lambda)-1} - 1}{2}, \frac{\lambda_{h(\lambda)} - 3}{2} \right),$$

while if $\lambda_{h(\lambda)} = 1$ we can take

$$\pi = \left(\frac{\lambda_1 + 3}{2}, \frac{\lambda_2 + 1}{2}, \dots, \frac{\lambda_{h(\lambda)/2} + 1}{2}, \frac{\lambda_{h(\lambda)/2+1} - 1}{2}, \dots, \frac{\lambda_{h(\lambda)-2} - 1}{2}, \frac{\lambda_{h(\lambda)-1} - 3}{2} \right).$$

Case 2. $h(\lambda) = 4$. In this case we can take

$$\mu = ((\lambda_1 + 1)/2, (\lambda_2 + 1)/2, (\lambda_3 - 1)/2, (\lambda_4 - 1)/2).$$

If $\lambda_1 \geq \lambda_2 + 4$ we can also take

$$\sigma = ((\lambda_1 - 1)/2, (\lambda_2 + 1)/2, (\lambda_3 + 1)/2, (\lambda_4 - 1)/2)$$

$$\pi = ((\lambda_1 + 3)/2, (\lambda_2 - 1)/2, (\lambda_3 - 1)/2, (\lambda_4 - 1)/2).$$

If $\lambda_2 \geq \lambda_3 + 4$ we can also take

$$\sigma = ((\lambda_1 - 1)/2, (\lambda_2 - 1)/2, (\lambda_3 + 1)/2, (\lambda_4 + 1)/2)$$

$$\pi = ((\lambda_1 + 1)/2, (\lambda_2 - 1)/2, (\lambda_3 + 1)/2, (\lambda_4 - 1)/2).$$

We can now assume that $\lambda_1 = \lambda_2 + 2 = \lambda_3 + 4$.

If $\lambda_3 - \lambda_4 = 2$, then either we are in the excluded case (iii) or $\lambda = (7, 5, 3, 1)$. By Lemma 3.14, $D^{(4,3,2,1)}$ is a composition factor of $D^{(7,5,3,1)} \downarrow_{S_{10}}$. Since $D^{(4,3,2,1)} \cong S^{(4,3,2,1)}$ (as $(4, 3, 2, 1)$ is a 2-core), it follows from [J1, 9.3, Tables] that $D^{(4,3,2,1)} \downarrow_{S_8}$ and then also $D^{(7,5,3,1)} \downarrow_{S_8}$ has at least three non-isomorphic composition factors.

If $\lambda_3 - \lambda_4 > 2$, then either we are in the excluded case (iv) or $\lambda_4 \geq 3$. In this case we can take

$$\sigma = ((\lambda_1 + 1)/2, (\lambda_2 - 1)/2, (\lambda_3 - 1)/2, (\lambda_4 + 1)/2)$$

$$\pi = ((\lambda_1 + 3)/2, (\lambda_2 + 1)/2, (\lambda_3 - 1)/2, (\lambda_4 - 3)/2).$$

Case 3. $h(\lambda) = 2$. If $\lambda_2 = 1$, we are in the exceptional case (i). So from now on we suppose that $\lambda_2 \geq 3$. Moreover, if $\lambda_1 - \lambda_2 = 2$, we are in the exceptional case (ii). So from now on we also assume that $\lambda_1 - \lambda_2 \geq 4$.

Assume first that $\lambda_1 - \lambda_2 \geq 6$. If $\lambda_2 \leq n/4$ we take

$$\mu = (n/2), \sigma = (n/2 - 1, 1), \pi = (n/2 - 2, 2).$$

If $\lambda_2 > n/4$ we take

$$\mu = (\lambda_1 - \lceil n/4 \rceil, \lambda_2 - \lfloor n/4 \rfloor),$$

$$\sigma = (\lambda_1 - \lceil n/4 \rceil - 1, \lambda_2 - \lfloor n/4 \rfloor + 1),$$

$$\pi = (\lambda_1 - \lceil n/4 \rceil - 2, \lambda_2 - \lfloor n/4 \rfloor + 2).$$

Assume finally that $\lambda_1 - \lambda_2 = 4$, i.e. $\lambda = (n/2 + 2, n/2 - 2)$. Then $n \equiv 2 \pmod{4}$ and we may assume that $n \geq 10$ as for $n = 6$ we are in the exceptional case (i). We can take $\mu = ((n+6)/4, (n-6)/4)$ and $\sigma = ((n+2)/4, (n-2)/4)$. We complete the proof by showing that $D^{((n+10)/4, (n-10)/4)}$ is also a composition factor of $D^{(n/2+2, n/2-2)} \downarrow_{S_{n/2}}$. By Lemma 3.8, we have $D^{(n/2+2, n/2-2)} \downarrow_{S_{n-1}} \cong D^{(n/2+1, n/2-2)}$. Further, by Lemma 3.2 we have that $D^{(n/2+2, n/2-4)}$ is a composition factor of $D^{(n/2+2, n/2-2)} \downarrow_{S_{n-2}}$. Since $(n/2 + 2, n/2 - 4)$ is a JS-partition, it then follows from Lemma 3.14 that $D^{((n+10)/4, (n-10)/4)}$ is a composition factor of $D^{(n/2+2, n/2-2)} \downarrow_{S_{n/2}}$. \square

3.4. Branching recognition. In this subsection we obtain characterizations of certain classes of irreducible modules by their branching properties.

The following lemma develops [BrK₂, Lemma 2.7].

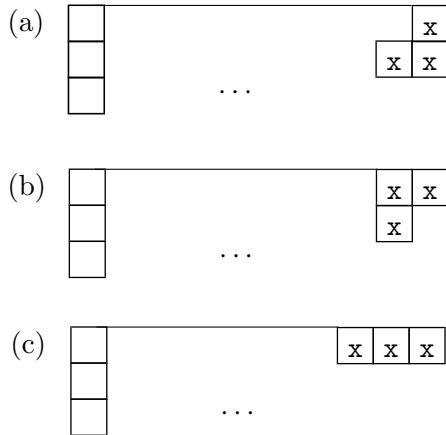
Lemma 3.16. *Let $p = 3$, $n > 6$ and $\lambda \in \mathcal{P}_3(n)$. Suppose that $h(\mu) \leq 2$ or $h(\mu^M) \leq 2$ for all composition factors D^μ of $D^\lambda \downarrow_{S_{n-1}}$. Then $h(\lambda) \leq 2$ or $h(\lambda^M) \leq 2$.*

Proof. Pick a good node A of λ . By [K₃], we have that $(\lambda_A)^M = (\lambda^M)_B$ for some good node B of λ^M . By Lemma 3.2, D^{λ_A} is a composition factor of $D^\lambda \downarrow_{S_{n-1}}$ and $D^{(\lambda^M)_B}$ is a composition factor of $D^{\lambda^M} \downarrow_{S_{n-1}}$. If $h(\lambda) \geq 4$ then $h(\lambda_A) \geq 3$. If $h(\lambda^M) \geq 4$ then $h((\lambda_A)^M) = h((\lambda^M)_B) \geq 3$. So we cannot have both $h(\lambda) \geq 4$ and $h(\lambda^M) \geq 4$. So, tensoring with sign if necessary, we may assume that $h(\lambda) = 3 \leq h(\lambda^M)$. Recall that $G_1(\lambda)$ denotes the first column of the Mullineux symbol for λ .

Claim. *If B is a normal node of λ such that λ_B is 3-regular, then $G_1(\lambda_B) \neq G_1(\lambda)$.*

Indeed, by Lemma 3.2(vi), D^{λ_B} is a composition factor of $D^\lambda \downarrow_{S_{n-1}}$. By assumption, we must then have $h(\lambda_B) \leq 2$ or $h((\lambda_B)^M) \leq 2$. If $h(\lambda_B) \leq 2$, then $G_1(\lambda_B) \neq G_1(\lambda)$ since $h(\lambda) = 3$ and $h(\lambda)$ is part of the data $G_1(\lambda)$. If $h((\lambda_B)^M) \leq 2$, then similarly $G_1((\lambda_B)^M) \neq G_1(\lambda^M)$ since $h(\lambda^M) \geq 3$; hence $G_1(\lambda_B) \neq G_1(\lambda)$. The Claim is proved.

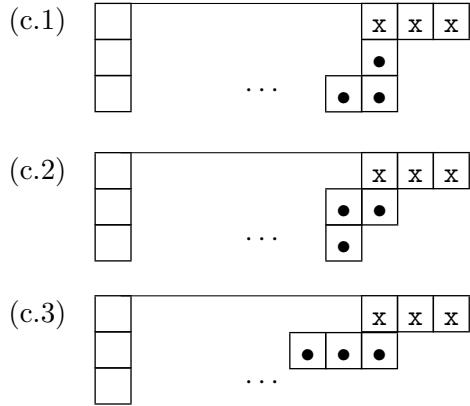
The first 3-segment of λ has one of the following forms, which we will consider case by case (nodes of the first 3-segment are marked with x's):



Case (a). Let A be the top removable node of λ . Then $G_1(\lambda) = G_1(\lambda_A)$, which contradicts the Claim.

Case (b). If $\lambda_3 < \lambda_2$ and A is the top removable node of λ , then $G_1(\lambda) = G_1(\lambda_A)$, which contradicts the Claim. Otherwise, λ is of the form $(k+1, k, k)$. In the exceptional case, the bottom removable node A is normal for λ . By Lemma 3.2(vi), D^{λ_A} is a composition factor of $D^\lambda \downarrow_{S_{n-1}}$. On the other hand, $h(\lambda_A) = 3$ and it is easy to see that $h((\lambda_A)^\mathbb{M}) \geq 3$ unless $n = 7$. For $n = 7$ we have $\lambda = (3, 2, 2)$ and $\lambda^\mathbb{M} = (5, 1, 1)$. Hence $D^{(4,1,1)}$ is a composition factor of $D^{\lambda^\mathbb{M}} \downarrow_{S_6}$, and, since $(4, 1, 1)^\mathbb{M} = (4, 1, 1)$, we deduce that $D^{(4,1,1)}$ is a composition factor of $D^\lambda \downarrow_{S_6}$ violating our assumptions.

Case (c). If $\lambda_2 < \lambda_1 - 2$ and A is the top removable node of λ , then $G_1(\lambda) = G_1(\lambda_A)$, which contradicts the Claim. So we may assume that $\lambda_2 = \lambda_1 - 2$. Consider the second 3-segment. We now have the following cases (nodes of the second 3-segment are marked with \bullet 's):



In the case (c.1), the bottom removable node A is normal for λ , and $G_1(\lambda) = G_1(\lambda_A)$, which contradicts the Claim. In the case (c.2), the second removable node A is normal for λ , and, unless $n = 7$, we get $G_1(\lambda) = G_1(\lambda_A)$, which contradicts the Claim. In the exceptional case $\lambda = (4, 2, 1)$ and $\lambda_A = (4, 1, 1)$, and so we get a contradiction as in the case (b). In the case (c.3), we have $\lambda = (k+2, k, l)$ for $1 \leq l \leq k-2$. The second removable node A is normal for λ and if $l < k-2$ we get $G_1(\lambda) = G_1(\lambda_A)$, which contradicts the Claim. Let $l = k-2$. In this case the bottom removable node B is normal for λ , and, unless $l \leq 3$, we get $G_1(\lambda) = G_1(\lambda_A)$, which contradicts the Claim. In the exceptional cases, the second removable node A is normal for λ which yields a composition factor $D^{(k+2, k-1, l)}$ of $D^\lambda \downarrow_{S_{n-1}}$ which violates the assumptions. \square

Lemma 3.17. *Let $p = 2$, $n > 6$ and $\lambda \in \mathcal{P}_2(n)$. Suppose that $h(\mu) \leq 2$ for all composition factors D^μ of $D^\lambda \downarrow_{S_{n-1}}$. Then $h(\lambda) \leq 2$.*

Proof. Since D^{λ_A} is a composition factor of $D^\lambda \downarrow_{S_{n-1}}$ for any good node A for λ , we may assume that $h(\lambda) = 3$. But in this case, the assumption $n > 6$ guarantees that there always is a normal node A for λ such that λ_A is 2-regular and $h(\lambda_A) = 3$. \square

Recall the partition β_n defined in (2.4).

Lemma 3.18. *Let $p = 2$, $n \geq 7$ and $\lambda \in \mathcal{P}_2(n)$. If all composition factors of $D^\lambda \downarrow_{S_{n-1}}$ are of the form $\mathbf{1}_{S_{n-1}}$ or $D^{\beta_{n-1}}$ then either $D^\lambda \cong \mathbf{1}_{S_n}$ or $\lambda = \beta_n$.*

Proof. By Lemma 3.17, we may assume that $h(\lambda) = 2$. If $\lambda_1 - \lambda_2 \leq 2$, then $\lambda = \beta_n$. If $\lambda_1 - \lambda_2 > 3$, then $(\lambda_1 - 1, \lambda_2) \neq \beta_{n-1}$, while $D^{(\lambda_1 - 1, \lambda_2)}$ is a composition factor of

$D^\lambda \downarrow_{S_{n-1}}$ by Lemma 3.2(vi). Finally, if $\lambda_1 - \lambda_2 = 3$, then $\lambda_2 \geq 2$ since $n \geq 7$ and $(\lambda_1, \lambda_2 - 1) \notin \{(n-1), \beta_{n-1}\}$, while $D^{(\lambda_1, \lambda_2 - 1)}$ is a composition factor of $D^\lambda \downarrow_{S_{n-1}}$ by Lemma 3.2(vi). \square

4. PERMUTATION MODULES

4.1. Some general results. We record two known general results concerning permutation modules M_k and Specht modules S_k .

Lemma 4.1. [J₁, 17.17] *If $0 \leq k \leq n/2$ then $M_k \sim S_k | S_{k-1} | \dots | S_0$.*

Given $a, b \in \mathbb{Z}_{\geq 0}$ with p -adic expansions $a = \sum_{t=0}^r a_t p^t$, $b = \sum_{t=0}^s b_t p^t$ such that $a_r \neq 0$, $b_s \neq 0$, we say that a contains b to the base p if $s < r$ and for all t we have $b_t = 0$ or $b_t = a_t$.

Lemma 4.2. [J₁, 24.15] *All composition factors of S_k are of the form D_j with $j \leq k$. Moreover, $[S_k : D_j] = 1$ if $n - 2j + 1$ contains $k - j$ to the base p , and $[S_k : D_j] = 0$ otherwise.*

4.2. The case $p = 3$.

Lemma 4.3. *Let $p = 3$, $n \equiv 0 \pmod{3}$ with $n \geq 6$. Then*

$$M_1 \cong D_0 | D_1 | D_0, \quad M_2 \cong D_2 \oplus M_1 \quad \text{and} \quad M_3 \sim D_2 \oplus ((D_0 \oplus S_1^*) | S_3^*).$$

Proof. The structure of M_1 and M_2 has been described for example in [BeK, Lemmas 1.1, 1.2]. From the same lemmas we also have that $S_1 \cong D_0 | D_1$ and that $S_2 \cong D_2$. From Lemma 2.22 we have that D_0 , D_1 and D_3 are contained in the same block, while D_2 is contained in a different block. From Lemma 4.1 and from self-duality of M_3 and of the simple S_n -modules, we then have that

$$M_3 \sim S_0^* | S_1^* | S_2^* | S_3^* \sim D_2 \oplus (D_0 | S_1^* | S_3^*).$$

From Lemma 2.24 we have that $\text{rank}(\eta_{1,3}) = n - 1 = \dim(M_1) - 1$. From $M_1 \cong D_0 | D_1 | D_0 \sim D_0 | S_1^*$, it then follows that $\text{im}(\eta_{1,3}) \sim S_1^*$. In particular $S_1^* \subseteq M_3$. Since $D_2 \oplus D_0 \subseteq M_3$ and neither D_2 nor D_0 is contained in $S_1^* \cong D_1 | D_0$, the fact that $S_1^* \subseteq M_3$ implies that there exists a module N with

$$N \cong D_2 \oplus D_0 \oplus S_1^* \subseteq M_3.$$

Notice that N does not have any composition factor isomorphic to $D_3 \cong \text{soc}(S_3^*)$. Since there exists a quotient of M_3 isomorphic to S_3^* , it follows that the same holds for M_3/N . By comparing dimensions we then have that $M_3/N \cong S_3^*$. In particular, by block decomposition,

$$M_3 \sim N | (M_3/N) \sim D_2 \oplus ((D_0 \oplus S_1^*) | S_3^*).$$

\square

Lemma 4.4. *Let $p = 3$, $n \equiv 1 \pmod{3}$ with $n \geq 7$. Then*

$$\begin{aligned} M_1 &\cong D_0 \oplus D_1, & M_2 &\cong D_1 \oplus D_0 | D_2 | D_0, \\ S_2 &\cong D_0 | D_2, & M_3 &\sim D_1 \oplus ((D_0 \oplus S_2^*) | S_3^*). \end{aligned}$$

Proof. The structure of M_1 and M_2 has been described for example in [BeK, Lemmas 1.1, 1.2]. From the same lemmas we also have that $S_1 \cong D_1$ and that $S_2 \cong D_0|D_2$. From Lemma 2.22 we have that D_0 , D_2 and D_3 are contained in the same block, while D_1 is contained in a different block. From Lemma 4.1 and from self-duality of M_3 and of the simple S_n -modules, we then have that

$$M_3 \sim S_0^*|S_1^*|S_2^*|S_3^* \sim D_1 \oplus (D_0|S_2^*|S_3^*).$$

From Lemma 2.24 we have that

$$\text{rank}(\eta_{2,3}) = \binom{n}{2} - 1 = \dim(M_2) - 1.$$

As $M_2 \cong D_1 \oplus (D_0|D_2|D_0)$ and $\dim(D_1) > 1$, it then follows that $\text{im}(\eta_{2,3}) \sim D_1 \oplus S_2^*$. Since $D_1 \oplus D_0 \subseteq M_3$ and neither D_1 nor D_0 is contained in $S_2^* \cong D_2|D_0$, the fact that $S_2^* \subseteq M_3$ implies that there exists a module N with

$$N \cong D_1 \oplus D_0 \oplus S_2^* \subseteq M_3.$$

Notice that N does not have any composition factor isomorphic to $D_3 \cong \text{soc}(S_3^*)$. Since there exists a quotient of M_3 isomorphic to S_3^* , it follows that the same holds for M_3/N . Again by comparing dimensions we have that $M_3/N \cong S_3^*$, and it follows by block decomposition that

$$M_3 \sim N|(M_3/N) \sim D_1 \oplus ((D_0 \oplus S_2^*)|S_3^*).$$

□

Lemma 4.5. *Let $p = 3$, $n \geq 8$ with $n \equiv 2 \pmod{3}$. Then*

$$M_1 \cong D_0 \oplus D_1, \quad M_2 \cong D_0 \oplus D_1|D_2|D_1, \quad \text{and} \quad M_3 \sim M_2|S_3^*.$$

Moreover,

(i) *If $n \equiv 2 \pmod{9}$ then*

$$M_3 \cong (D_0|D_3|D_0) \oplus (D_1|D_2|D_1).$$

(ii) *If $n \equiv 5 \pmod{9}$ or $n \equiv 8 \pmod{9}$ then*

$$M_3 \cong D_0 \oplus D_3 \oplus (D_1|D_2|D_1).$$

Proof. The structure of M_1 and M_2 follows for example from [BeK, Lemmas 1.1, 1.2]. Since $n \equiv 2 \pmod{3}$, Lemma 2.22 shows that S_0 and S_3 are in the same block, as are S_1 and S_2 , but S_0 and S_3 are contained in a different block from S_1 and S_2 . From Lemma 4.1 it then follows that

$$M_3 \sim S_3|S_2|S_1|S_0 \sim (S_3|S_0) \oplus (S_2|S_1).$$

From Lemma 4.2 it follows that

$$M_3 \sim (\overbrace{D_0|D_3}^{S_3} \mid \overbrace{D_0}^{S_0}) \oplus (\overbrace{D_1|D_2}^{S_2} \mid \overbrace{D_1}^{S_1})$$

if $n \equiv 2 \pmod{9}$, while

$$M_3 \sim (\overbrace{D_3}^{S_3} \mid \overbrace{D_0}^{S_0}) \oplus (\overbrace{D_1|D_2}^{S_2} \mid \overbrace{D_1}^{S_1})$$

if $n \equiv 5$ or $8 \pmod{9}$. The lemma now follows from Lemma 2.21 and self-duality of M_3 . □

4.3. The case $p = 2$.

Lemma 4.6. *Let $p = 2$ and $n \geq 7$ be odd. Then $M_1 \cong D_0 \oplus D_1$, $M_2 \subseteq M_3$, and $M_3/M_2 \cong S_3^*$. Moreover:*

- (i) *If $n \equiv 1 \pmod{4}$, then $M_2 \cong D_1 \oplus D_0|D_2|D_0$, $M_3 \cong D_3 \oplus M_2$, and $S_3 \cong D_3$.*
- (ii) *If $n \equiv 3 \pmod{4}$, then $M_2 \cong D_0 \oplus D_1 \oplus D_2$, $M_3 \cong D_0 \oplus D_2 \oplus D_1|D_3|D_1$, and $S_3 \cong D_1|D_3$.*

Proof. The structure of M_1 and M_2 follows for example from [BeK, Lemmas 1.1, 1.3]. By Lemma 2.22, S_0 and S_2 are in the same block, as are S_1 and S_3 , but S_0 and S_2 are contained in a different block from S_1 and S_3 . From Lemma 4.1 it then follows that

$$M_3 \sim S_3|S_2|S_1|S_0 \sim (S_2|S_0) \oplus (S_3|S_1).$$

From Lemma 4.2 it follows that

$$M_3 \sim (\overbrace{D_0|D_2}^{S_2} \mid \overbrace{D_0}^{S_0}) \oplus (\overbrace{D_3}^{S_3} \mid \overbrace{D_1}^{S_1})$$

if $n \equiv 1 \pmod{4}$, while

$$M_3 \sim (\overbrace{D_2}^{S_2} \mid \overbrace{D_0}^{S_0}) \oplus (\overbrace{D_1|D_3}^{S_3} \mid \overbrace{D_1}^{S_1})$$

if $n \equiv 3 \pmod{4}$. The lemma now follows from self-duality of M_3 and Lemma 2.21. \square

Lemma 4.7. *Let $p = 2$ and $n \geq 6$ be even. Then*

$$\begin{aligned} M_1 &\cong D_0|D_1|D_0 \sim D_0|S_1^*, \\ S_1 &\cong D_0|D_1, \\ M_2 &\sim (D_0 \oplus S_1^*)|S_2^*. \end{aligned}$$

Moreover,

- (i) *If $n \equiv 0 \pmod{4}$ then $S_2 \cong D_1|D_2$ and $M_2 = Y_2 \sim S_1^*|D_2|S_1$.*
- (ii) *If $n \equiv 2 \pmod{4}$ then*

$$\begin{aligned} M_2 &\cong D_0 \oplus Y_2, \\ Y_2 &\cong D_1|D_0|D_2|D_0|D_1 \sim D_1|D_0|S_2^*, \\ S_2 &\cong D_1|D_0|D_2. \end{aligned}$$

Proof. The structure of M_1 and S_1 is well-known, see e.g. [BeK, Lemma 1.1]. By Lemma 2.24, we have $\text{rank}(\eta_{1,2}) = n-1 = \dim(M_1)-1$. It then follows that $S_1^* \subseteq M_2$. From Lemma 4.1 and self-duality of M_2 we have that

$$M_2 \sim S_0^*|S_1^*|S_2^* \sim D_0|S_1^*|S_2^*. \tag{4.8}$$

Since $D_0, S_1^* \subseteq M_2$ and $D_0 \not\subseteq S_1^* \cong D_1|D_0$, there exists a module N with

$$N \cong D_0 \oplus S_1^* \subseteq M_2.$$

Note that $D_2 \cong \text{soc}(S_2^*)$ is not a composition factor of N . Since there exists a quotient of M_2 isomorphic to S_2^* , it follows that the same holds for M_2/N . By comparing dimensions we then have that $M_2/N \cong S_2^*$. In particular

$$M_2 \sim N|(M_2/N) \sim (D_0 \oplus S_1^*)|S_2^*.$$

For $n \equiv 2 \pmod{4}$ the structures of M_2 and S_2 are described in [MO, (1.1), (2.4)]. So let us assume that $n \equiv 0 \pmod{4}$. By [MO, (1.1)], we have $M_2 \cong Y_2$. We also have $S_2 \cong D_1|D_2$ by Lemma 4.2. To prove that $M_2 \sim S_1^*|D_2|S_1$, let $A := \text{Ker}(\eta_{2,1})$. Since $\eta_{2,1} = \eta_{1,2}^*$ we have that $M_2/A \cong S_1$.

Let $\{v_i \mid 1 \leq i \leq n\}$ be the standard permutation basis of M_1 and $\{v_{i,j} \mid 1 \leq i < j \leq n\}$ be the standard permutation basis of M_2 , so that $\eta_{2,1}(v_{i,j}) = v_i + v_j$. The only submodule of M_2 isomorphic to D_0 is $\langle \sum_{i < j} v_{i,j} \rangle$. Note that

$$\eta_{2,1} \left(\sum_{i < j} v_{i,j} \right) = \sum_{i < j} (v_i + v_j) = \sum_i (n-1)v_i \neq 0,$$

hence $D_0 \not\subseteq A$. Since $N \cong D_0 \oplus S_1^* \cong D_0 \oplus D_1|D_0$ and $M_1 \cong D_0|D_1|D_0$, we must have $\eta_{2,1}(N) = D_0$ and $A \cap N \cong S_1^*$ using the Krull-Schmidt Theorem. The composition factors of A are D_0, D_1, D_2 , so it follows that $A/A \cap N \cong D_2$, completing the proof. \square

Lemma 4.9. *Let $p = 2$ and $n \geq 8$ even. Then $M_3 \sim S_3|S_2|M_1$. Moreover:*

(i) *If $n \equiv 0 \pmod{4}$ then*

$$M_3 \cong M_1 \oplus \overbrace{(D_2|D_1|D_3)}^{S_3} \overbrace{|D_1|D_2}^{S_2}.$$

(ii) *If $n \equiv 2 \pmod{4}$ then*

$$S_3 \cong D_0|D_2|D_3, \quad M_3 \sim (Y_2/D_1)|S_1^*|S_3^*,$$

and there exists $A \subseteq Y_2/D_1$ with $A \cong D_0|D_2|D_0$ and $M_3 \sim A|D_3|S_2|S_1^$.*

Proof. By Lemma 4.1, we have $M_3 \sim S_3|S_2|S_1|S_0$. Note that M_3 has a unique submodule isomorphic to S_3 , since M_3 has a unique composition factor isomorphic to $D_3 \cong \text{head } S_3$. Similarly M_3/S_3 has a unique submodule isomorphic to S_2 . So there is a unique submodule $X \subseteq M_3$ such that $X \sim S_3|S_2$. Moreover, X is the unique minimal submodule of M_3 with $[X : D_3] = [M_3 : D_3]$ and $[X : D_2] = [M_3 : D_2]$.

Since $\text{rank } \eta_{3,1} = n$ by Lemma 2.24, we have that M_1 is a quotient of M_3 . Since $M_1 \cong D_0|D_1|D_0 \sim S_1|S_0$ by Lemma 4.7, it follows from the first paragraph by comparing dimensions that $M_3 \sim X|M_1 \sim S_3|S_2|M_1$.

(i) By [KST, Lemma 5.4(i)], $M_3 \cong M_1 \oplus D_2|D_1|D_3|D_1|D_2$, and we are done by the first paragraph.

(ii) Let $n \equiv 2 \pmod{4}$. By [KST, Lemmas 5.4(ii), 5.5], we have that:

- (a) $\text{im } \eta_{2,3} \cong D_0|D_2|D_0|D_1$;
- (b) the composition factors of M_3 are D_0 with multiplicity 4, D_1 with multiplicity 2, D_2 with multiplicity 2, and D_3 with multiplicity 1;
- (c) $\text{soc } M_3 \cong D_0$.
- (d) $\text{im } \eta_{1,3}$ is the unique submodule of M_3 isomorphic to M_1 and $\text{ker } \eta_{3,1}$ is the unique submodule N of M_3 such that $M_3/N \cong M_1$.

Since $S_3 \subseteq M_3$, the structure of S_3 follows from (c) and Lemma 4.2. By (a),(d) and Lemma 4.7 there exist modules $B, C \subseteq M_3$ with $B \cong Y_2/D_1$ and $C \cong M_1$. Moreover, by Lemma 4.7, we have $B/\text{soc } M_3 \cong S_2^*$, $C/\text{soc } M_3 \cong S_1^*$ and $B \cap C = \text{soc } M_3$. So

$$M_3 \sim B|(C/\text{soc } M_3)|D \sim (Y_2/D_1)|S_1^*|D,$$

for a certain quotient D of M_3 . Since M_3 has a quotient of the form S_3^* and $D_3 \cong \text{soc}(S_3^*)$ is not a composition factor of neither B nor C , it follows that D also has a quotient of the form S_3^* and then by dimensions $D \cong S_3^*$, so that $M_3 \sim (Y_2/D_1)|S_1^*|S_3^*$.

By what has just been proved, $\text{soc}(M_3/D_0)$ is isomorphic to a submodule of

$$\text{soc}(Y_2/(D_1|D_0)) \oplus \text{soc}(S_1^*) \oplus \text{soc}(S_3^*) \cong D_2 \oplus D_1 \oplus D_3.$$

In particular there exists a unique submodule of M_3/D_0 of the form D_2 . So there exists a unique submodule $E \subseteq M_3$ with $E \cong D_0|D_2$. Then $E \subseteq S_3$. Let $A \subseteq B$ be the unique submodule with $A \cong D_0|D_2|D_0$. Again, we have $E \subseteq A$. It follows that $A + S_3 \sim A|D_3$ and $A + S_3 \sim S_3|D_0$. Since $\text{soc } S_2 \cong D_1$ (from Lemma 4.7), we have that

$$\overbrace{((A + S_3)/S_3)}^{D_0} \cap \overbrace{(X/S_3)}^{S_2} = 0.$$

and then that

$$(A + X)/(A + S_3) \cong (A + S_3 + X)/(A + S_3) \cong X/((A + S_3) \cap X) \cong X/S_3 \cong S_2.$$

In particular,

$$A + X \sim (A + S_3)|S_2 \sim A|D_3|S_2.$$

Comparing composition factors we have that $M_3/(A + X)$ has composition factors D_0 and D_1 with multiplicity 1 and no other composition factors. Since $M_3/(A + X)$ is a quotient of

$$M_3/X \cong M_1 \cong D_0|D_1|D_0 \sim D_0|S_1^*,$$

it follows that $M_3/(A + X) \cong S_1^*$ and so

$$M_3 \sim (A + X)/(M_3/(A + X)) \sim A|D_3|S_2|S_1^*.$$

□

Lemma 4.10. *Let $p = 2$ and $n \geq 6$ with $n \equiv 2 \pmod{4}$. Then $M^{(n-2,1,1)} \cong M_1 \oplus Y^{(n-2,1,1)}$ with*

$$Y^{(n-2,1,1)} \cong \underbrace{D_1|D_0}_{S_1^*} \bigg| \underbrace{D_2|D_0|D_1}_{S_1} \bigg| \underbrace{D_0|D_2}_{S_1} \bigg| \underbrace{D_0|D_1}_{S_1^*}.$$

Further Y_2 is a submodule and a quotient of $Y^{(n-2,1,1)}$.

Proof. Since $M^{(n-2,1)} \cong D^{(n-1)} \oplus D^{(n-2,1)}$, we have

$$M^{(n-2,1,1)} \cong D^{(n-1)} \uparrow^{S_n} \oplus D^{(n-2,1)} \uparrow^{S_n} \cong M_1 \oplus D^{(n-2,1)} \uparrow^{S_n}.$$

By [Mo, Lemma 3.13],

$$D^{(n-2,1)} \uparrow^{S_n} \cong D_1|D_0|D_2|D_0|D_1|D_0|D_2|D_0|D_1.$$

In particular, $D^{(n-2,1)} \uparrow^{S_n} \cong Y^{(n-2,1,1)}$, see Lemma 2.21. The rest comes from [Mo, Lemmas 3.5, 3.12]. □

Remark 4.11. The following diagrams give information on the structures of M_2 and M_3 in the cases the structures were not completely determined, but will not be used in the proofs. Edges indicate existence of uniserial subquotients; see [A1, BC] for precise meaning of the pictures.

(i) If $p = 3$ and $n \equiv 0 \pmod{9}$ then

$$M_3 \cong D_2 \oplus \begin{array}{c} D_0 & D_1 \\ \diagdown & | \\ D_3 & \\ | & \diagup \\ D_1 & D_0 \end{array}$$

(ii) If $p = 3$ and $n \equiv 3 \pmod{9}$ then

$$M_3 \cong D_0 \oplus D_2 \oplus (D_1 | D_0 | D_3 | D_0 | D_1).$$

(iii) If $p = 3$ and $n \equiv 6 \pmod{9}$ then

$$M_3 \cong D_0 \oplus D_2 \oplus \begin{array}{c} D_1 \\ \diagup & | \\ D_0 & D_3 \\ & | \\ & D_1 \end{array}$$

(iv) If $p = 3$ and $n \equiv 1 \pmod{9}$ then

$$M_3 \cong D_1 \oplus \begin{array}{c} D_0 & D_2 \\ \diagdown & | \\ D_3 & \\ | & \diagup \\ D_2 & D_0 \end{array}$$

(v) If $p = 3$ and $n \equiv 4 \pmod{9}$ then

$$M_3 \cong D_0 \oplus D_1 \oplus (D_2 | D_0 | D_3 | D_0 | D_2).$$

(vi) If $p = 3$ and $n \equiv 7 \pmod{9}$ then

$$M_3 \cong D_0 \oplus D_1 \oplus \begin{array}{c} D_2 \\ \diagup & | \\ D_0 & D_3 \\ & | \\ & D_2 \end{array}$$

(vii) If $p = 2$ and $n \equiv 0 \pmod{4}$ then

$$M_2 \cong \begin{array}{c} D_0 & D_1 \\ \diagdown & | \\ D_2 & \\ | & \diagup \\ D_1 & D_0 \end{array}$$

(vii) If $p = 2$ and $n \equiv 2 \pmod{4}$ then

$$M_3 \cong \begin{array}{c} D_0 \\ \swarrow \quad \searrow \\ D_1 \quad D_2 \\ \swarrow \quad \searrow \\ D_0 \quad D_3 \\ \swarrow \quad \searrow \\ D_2 \quad D_1 \\ \swarrow \quad \searrow \\ D_0 \end{array}.$$

5. RESULTS ON THE MODULE $\mathcal{E}(\lambda)$

In this section we study the submodule structure of the module

$$\mathcal{E}(\lambda) = \text{End}_F(D^\lambda) \cong D^\lambda \otimes D^\lambda.$$

We try to show that some quotients of small permutation modules M_k arise as submodules of $\mathcal{E}(\lambda)$, which is needed to obtain homomorphisms ψ as in Lemma 2.18.

Lemma 5.1. *Let $p = 2$, $n \geq 6$ be even, and let $\lambda \in \mathcal{P}_2(n)$ be not a JS-partition. Then $S_1^* \subseteq \mathcal{E}(\lambda)$.*

Proof. It suffices to prove that $\dim \text{Hom}_{S_n}(S_1^*, \mathcal{E}(\lambda)) \geq 2$ since $S_1^* \cong D_1|D_0$ by Lemma 4.7 and $D_0 \cong \mathbf{1}_{S_n}$ is contained exactly once in the socle of $\mathcal{E}(\lambda)$ by Schur's Lemma. On the other hand,

$$\text{Hom}_{S_n}(S_1^*, \mathcal{E}(\lambda)) \cong \text{Hom}_{S_n}(S_1^*, (D^\lambda)^* \otimes D^\lambda) \cong \text{Hom}_{S_n}(D^\lambda \otimes S_1^*, D^\lambda).$$

So it is enough to prove that

$$\dim \text{Hom}_{S_n}(D^\lambda \otimes S_1^*, D^\lambda) \geq 2.$$

We have a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & D_0 & \rightarrow & M_1 & \rightarrow & S_1^* \rightarrow 0 \\ & & \text{id} \uparrow & & \uparrow & & \uparrow \\ 0 & \rightarrow & D_0 & \rightarrow & S_1 & \rightarrow & D_1 \rightarrow 0 \\ & & \uparrow & & \uparrow & & \\ & & 0 & & 0 & & \end{array}$$

whose rows and columns are exact. By tensoring with D^λ we get a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & D^\lambda & \xrightarrow{\iota} & D^\lambda \otimes M_1 & \rightarrow & D^\lambda \otimes S_1^* \rightarrow 0 \\ & & \text{id} \uparrow & & \uparrow & & \uparrow \\ 0 & \rightarrow & D^\lambda & \rightarrow & D^\lambda \otimes S_1 & \rightarrow & D^\lambda \otimes D_1 \rightarrow 0 \\ & & \uparrow & & \uparrow & & \\ & & 0 & & 0 & & \end{array} \tag{5.2}$$

whose rows and columns are exact.

Applying $\text{Hom}_{S_n}(-, D^\lambda)$ to the short exact sequence in the first row of (5.2) and using the fact that $\text{Hom}_{S_n}(D^\lambda, D^\lambda) \cong \mathbb{F}$ by Schur's Lemma, we get an exact sequence

$$0 \rightarrow \text{Hom}_{S_n}(D^\lambda \otimes S_1^*, D^\lambda) \rightarrow \text{Hom}_{S_n}(D^\lambda \otimes M_1, D^\lambda) \xrightarrow{\pi} \mathbb{F}. \tag{5.3}$$

Furthermore, by Lemma 3.2(v), we have

$$\begin{aligned}\dim \text{Hom}_{S_n}(D^\lambda \otimes M_1, D^\lambda) &= \dim \text{Hom}_{S_n}(M_1, \text{End}_{\mathbb{F}}(D^\lambda)) \\ &= \dim \text{End}_{S_{n-1}}(D^\lambda \downarrow_{S_{n-1}}) \\ &= \varepsilon_0(\lambda) + \varepsilon_1(\lambda),\end{aligned}$$

which is just the number of normal nodes in λ . By assumption, λ has at least two normal nodes. If it has three, we are now done. Moreover, if π is the zero map, we are also done. So we may assume that λ has two normal nodes and $\pi \neq 0$. We will show that this leads to a contradiction.

Since $\pi \neq 0$, there exists a homomorphism $\varphi \in \text{Hom}_{S_n}(D^\lambda \otimes M_1, D^\lambda)$ with $\pi(\varphi) = \varphi \circ \iota = \text{id}_{D^\lambda}$, i.e. the short exact sequence in the first row of (5.2) splits. Hence the short exact sequence in the second row of (5.2) splits.

By the splitting of the first row of (5.2), we have

$$D^\lambda \otimes M_1 \cong D^\lambda \oplus (D^\lambda \otimes S_1^*).$$

Moreover, by Lemma 3.1, we have

$$D^\lambda \otimes M_1 \cong D^\lambda \downarrow_{S_{n-1}} \uparrow_{S_n} \cong f_0 e_0 D^\lambda \oplus f_1 e_1 D^\lambda \oplus f_0 e_1 D^\lambda \oplus f_1 e_0 D^\lambda.$$

So by Lemma 3.6,

$$f_0 e_0 D^\lambda \oplus f_1 e_1 D^\lambda \cong D^\lambda \oplus X$$

where X is a self-dual module with socle and head both isomorphic to D^λ and $[X : D^\lambda] \geq 2$. Using the Krull-Schmidt Theorem, we deduce that

$$D^\lambda \otimes S_1^* \cong X \oplus f_0 e_1 D^\lambda \oplus f_1 e_0 D^\lambda.$$

By dualizing, it follows that

$$D^\lambda \otimes S_1 \cong X \oplus f_0 e_1 D^\lambda \oplus f_1 e_0 D^\lambda.$$

But by the splitting of the second row of (5.2), we know that D^λ is a direct summand of $D^\lambda \otimes S_1$ which leads to a contradiction by the structure of X and the fact that $f_0 e_1 D^\lambda \oplus f_1 e_0 D^\lambda$ is in blocks different from that of D^λ . \square

Recall the numbers $m_k(\lambda)$ from (2.26).

Lemma 5.4. *Let $p = 2$, $n \geq 6$ be even and $\lambda \in \mathcal{P}_2(n)$ have at least three normal nodes. Then*

$$\dim \text{Hom}_{S_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) > 2m_1(\lambda) + 2 \dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) + 1.$$

Proof. In this proof we denote $\varepsilon_i := \varepsilon_i(\lambda)$, $\varphi_i := \varphi_i(\lambda)$, and $h := h(\lambda)$. Note that the left hand side of the inequality in the lemma equals $\dim \text{End}_{S_{n-2}}(D^\lambda \downarrow_{S_{n-2}})$, which by [Mo, Lemma 4.9] is bounded below by

$$2\varepsilon_0(\varepsilon_0 - 1) + 2\varepsilon_1(\varepsilon_1 - 1) + 2\delta_{\varepsilon_0, \varepsilon_1 \geq 1}(\varepsilon_0 + \varepsilon_1 + \varepsilon_0 \varepsilon_1).$$

On the other hand, by Lemma 3.12, we have $\dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) \leq \varepsilon_0 + \varepsilon_1$, while by Lemma 3.2(v) we have $m_1(\lambda) = \varepsilon_0 + \varepsilon_1$. So it suffices to prove that

$$2\varepsilon_0(\varepsilon_0 - 1) + 2\varepsilon_1(\varepsilon_1 - 1) + 2\delta_{\varepsilon_0, \varepsilon_1 \geq 1}(\varepsilon_0 + \varepsilon_1 + \varepsilon_0 \varepsilon_1) > 4(\varepsilon_0 + \varepsilon_1) + 1.$$

By the assumption that λ has at least three normal nodes, we have $\varepsilon_0 + \varepsilon_1 \geq 3$. If either $\varepsilon_i \geq 2$ and $\varepsilon_{1-i} \geq 1$ or $\varepsilon_i \geq 4$ and $\varepsilon_{1-i} = 0$ for some $i \in I$ then the above

inequality holds. Thus, we are left with the case where $\varepsilon_i = 3$ and $\varepsilon_{1-i} = 0$ for some $i \in I$, which we assume from now on.

By Lemmas 3.1 and 3.2, we have that $m_1(\lambda) = 3$ and

$$\begin{aligned} \dim \text{Hom}_{S_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) &= \dim \text{End}_{S_{n-2}}(D^\lambda \downarrow_{S_{n-2}}) \\ &= \dim \text{End}_{S_{n-2}}(e_i^2 D^\lambda) + \dim \text{End}_{S_{n-2}}(e_{1-i} e_i D^\lambda) \\ &= 12 + \dim \text{End}_{S_{n-2}}(e_{1-i} e_i D^\lambda). \end{aligned}$$

By Lemmas 3.12 and 3.13, if $\varphi_i = 0$, then $\dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) \leq 2$ and so in this case the lemma holds. So we may assume that $\varphi_i > 0$. If $e_{1-i} e_i D^\lambda$ is non-zero and not simple then by self-duality, $\dim \text{End}_{S_{n-2}}(e_{1-i} e_i D^\lambda) \geq 2$, and so in this case the lemma holds again by Lemma 3.12. So we will complete the proof by establishing the following

Claim. If $\varepsilon_i = 3$, $\varepsilon_{1-i} = 0$ and $\varphi_i > 0$ then $e_{1-i} e_i D^\lambda$ is non-zero and not simple.

Notice that $h \geq 3$ since λ has 3 normal nodes. Also, since the top removable node $A = (1, \lambda_1)$ is always normal, it has residue i . Below we will repeatedly use Lemma 3.2 without further notice.

Case 1. $\lambda_1 \equiv \lambda_2 \pmod{2}$. Then $\lambda_1 \geq \lambda_2 + 2$ and $(2, \lambda_2)$ has residue $1 - i$. Since $\lambda_1 \geq \lambda_2 + 2$, the partition λ_A is 2-regular. Further the two top removable nodes of λ_A are $(1, \lambda_1 - 1)$ and $(2, \lambda_2)$ which both have residue $1 - i$ and then they are both normal in λ_A . Therefore $e_{1-i} e_i D^\lambda$ is non-zero and not simple.

Case 2. $\lambda_1 \not\equiv \lambda_2 \equiv \lambda_3 \pmod{2}$. We have that $B := (2, \lambda_2)$ is i -normal for λ , λ_B is 2-regular, $[e_i D^\lambda : D^{\lambda_B}] = 2$, and $(3, \lambda_3)$ is normal of residue $1 - i$ in λ_B . Hence $e_{1-i} e_i D^\lambda$ is non-zero and not simple.

Case 3. $\lambda_1 \not\equiv \lambda_2 \not\equiv \lambda_3 \pmod{2}$. In this case $(1, \lambda_1)$, $(2, \lambda_2)$ and $C := (3, \lambda_3)$ are exactly the i -normal nodes of λ , and C is the i -good node of λ .

Case 3.1. $h = 3$. As n is even, we must have that λ_1 and λ_3 are odd and λ_2 is even. So $i = 0$. In this case all addable nodes for λ also have residue 1, so $\varphi_i = 0$, which contradicts the assumptions of the claim.

Case 3.2. $h \geq 4$. Then $\lambda_4 \equiv \lambda_3 \pmod{2}$, since otherwise $(4, \lambda_4)$ would also have residue i and then it would also be normal. Now, since $\lambda_1 + \lambda_2 + \lambda_3 + \lambda_4$ is odd, we must have $h \geq 5$. If λ_C has a normal node of residue $1 - i$, then $e_{1-i} e_i D^\lambda$ is non-zero and not simple. So we may assume that $\varepsilon_{1-i}(\lambda_C) = 0$. On the other hand, $\varepsilon_i(\lambda_C) = 2$. So λ_C has exactly two normal nodes. For $1 \leq k \leq h$ let a_k be the residue of the removable node on the k -th row of λ_C and let $1 < b_1 < \dots < b_t$ be the set of indices k for which $a_k = a_{k-1}$. Note that $b_1 = 2$ and $b_2 = 4$.

Case 3.2.1. $t = 2$. In this case $((\lambda_C)_4, \dots, (\lambda_C)_h) = (\lambda_4, \dots, \lambda_h)$ is a JS-partition. So the only conormal nodes for λ on row 4 or below are the two bottom addable nodes $(h, \lambda_h + 1)$ and $(h + 1, 1)$. Since $\lambda_1 + \lambda_2 + \lambda_3 + \lambda_4$ is odd and n is even $\lambda_5 + \dots + \lambda_h$ is odd and then, since $(\lambda_5, \dots, \lambda_h)$ is also a JS-partition, h and λ_h are both odd. From

$$\lambda_1 \not\equiv \lambda_2 \not\equiv \lambda_3 \equiv \lambda_4 \equiv \dots \equiv \lambda_h \pmod{2}$$

it follows that λ_1 is odd and so $i = 0$. So the nodes $(h, \lambda_h + 1)$ and $(h + 1, 1)$ both have residue 1, as have the addable nodes for λ in the first three rows. In particular $\varphi_i = 0$ giving a contradiction.

Case 3.2.2. $t \geq 3$. By Lemma 2.10, $a_{b_3} \not\equiv a_{b_2} = a_4 \equiv 1 - i \pmod{2}$, so $a_{b_3} = i$. By definition of a_j , the sequence of residues of the removable nodes of λ in its first

b_3 rows is given by

$$(a_1, a_2, 1 - a_3, a_4, \dots, a_{b_3}) = (i, i, i, \overbrace{1 - i, i, \dots, 1 - i, i}^{1-i \text{ and } i \text{ alternate}}, i).$$

By the definition of normal nodes, we then have that (b_3, λ_{b_3}) is normal in λ , contradicting the assumption that λ has only 3 normal nodes. \square

Lemma 5.5. *Let $p = 2$, $n \geq 6$ be even and $\lambda \in \mathcal{P}_2(n)$ have exactly two normal nodes. Then $m_2(\lambda) > m_1(\lambda) + 1 = 3$ and*

$$\dim \text{Hom}_{\mathbb{S}_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) > m_1(\lambda) + 3.$$

Proof. By Lemma 3.2 and the assumption that λ has exactly two normal nodes, we have $m_1(\lambda) = 2$, hence the equalities in the lemma.

Case 1. $\varepsilon_i(\lambda) = 2$ and $\varepsilon_{1-i}(\lambda) = 0$ for some $i \in I$. Then by Lemmas 3.1 and 3.2, we have

$$D^\lambda \downarrow_{S_{n-2}} \cong e_i^2 D^\lambda \oplus e_{1-i} e_i D^\lambda$$

and $e_i^2 D^\lambda$ and $e_{1-i} e_i D^\lambda$ are in different blocks of S_{n-2} . Hence we can write

$$D^\lambda \downarrow_{S_{n-2,2}} \cong E_{i,i} \oplus E_{1-i,i},$$

where $E_{i,i} \downarrow_{S_{n-2}} \cong e_i^2 D^\lambda$, $E_{1-i,i} \downarrow_{S_{n-2}} \cong e_{1-i} e_i D^\lambda$, and $E_{i,i}$ and $E_{1-i,i}$ are in different blocks of $S_{n-2,2}$. We deduce that $E_{i,i}$ and $E_{1-i,i}$ are self-dual.

By Lemma 3.2, we have $e_i^2 D^\lambda \cong D^{\varepsilon_i^2 \lambda} \oplus D^{\tilde{\varepsilon}_i^2 \lambda}$ and by [Mo, Lemma 6.4] we have that $e_{1-i} e_i D^\lambda$ is non-zero and not simple. So $E_{i,i}$ and $E_{1-i,i}$ are both non-zero and not simple, since all simple $\mathbb{F}\mathbb{S}_2$ -modules are 1-dimensional. Using self-duality of the modules involved, we now get

$$\begin{aligned} m_2(\lambda) &= \dim \text{End}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}}) \\ &= \dim \text{End}_{S_{n-2,2}}(E_{i,i}) + \dim \text{End}_{S_{n-2,2}}(E_{1-i,i}) \\ &\geq 2 + 2 \end{aligned}$$

and

$$\begin{aligned} \dim \text{Hom}_{\mathbb{S}_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) &= \dim \text{End}_{S_{n-2}}(D^\lambda \downarrow_{S_{n-2}}) \\ &= \dim \text{End}_{S_{n-2}}(e_i^2 D^\lambda) + \dim \text{End}_{S_{n-2}}(e_{1-i} e_i D^\lambda) \\ &\geq 4 + 2. \end{aligned}$$

Case 2. $\varepsilon_0(\lambda) = \varepsilon_1(\lambda) = 1$. Then by Lemmas 3.1 and 3.2, we have

$$D^\lambda \downarrow_{S_{n-2}} \cong e_0 e_1 D^\lambda \oplus e_1 e_0 D^\lambda \cong e_0 D^{\tilde{\varepsilon}_1 \lambda} \oplus e_1 D^{\tilde{\varepsilon}_0 \lambda}.$$

So we have

$$\begin{aligned} \text{Hom}_{\mathbb{S}_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) &\cong \text{End}_{S_{n-2}}(D^\lambda \downarrow_{S_{n-2}}) \\ &\cong \text{End}_{S_{n-2}}(e_0 D^{\tilde{\varepsilon}_1 \lambda}) \oplus \text{End}_{S_{n-2}}(e_1 D^{\tilde{\varepsilon}_0 \lambda}) \\ &\quad \oplus \text{Hom}_{S_{n-2}}(e_1 D^{\tilde{\varepsilon}_0 \lambda}, e_0 D^{\tilde{\varepsilon}_1 \lambda}) \\ &\quad \oplus \text{Hom}_{S_{n-2}}(e_0 D^{\tilde{\varepsilon}_1 \lambda}, e_1 D^{\tilde{\varepsilon}_0 \lambda}). \end{aligned}$$

By [Mo, Lemma 4.8], the last two Hom-spaces are non-zero, while by Lemma 3.2, we have

$$\dim \text{End}_{S_{n-2}}(e_0 D^{\tilde{\varepsilon}_1 \lambda}) = \varepsilon_0(\tilde{\varepsilon}_1 \lambda) \quad \text{and} \quad \dim \text{End}_{S_{n-2}}(e_1 D^{\tilde{\varepsilon}_0 \lambda}) = \varepsilon_1(\tilde{\varepsilon}_0 \lambda).$$

Moreover, by [Mo, Lemma 4.4], $\varepsilon_0(\tilde{e}_1\lambda) + \varepsilon_1(\tilde{e}_0\lambda) \geq 4$. Therefore

$$\dim \text{Hom}_{S_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) \geq \varepsilon_0(\tilde{e}_1\lambda) + \varepsilon_1(\tilde{e}_0\lambda) + 2 \geq 6,$$

as required.

By Lemma 2.27, we further have

$$2m_2(\lambda) \geq \dim \text{Hom}_{S_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) \geq \varepsilon_0(\tilde{e}_1\lambda) + \varepsilon_1(\tilde{e}_0\lambda) + 2.$$

So if $\varepsilon_0(\tilde{e}_1\lambda) + \varepsilon_1(\tilde{e}_0\lambda) > 4$, the inequality $m_2(\lambda) > 3$ also follows. Thus we may assume that $\varepsilon_0(\tilde{e}_1\lambda) + \varepsilon_1(\tilde{e}_0\lambda) = 4$.

Let $i := \text{res}(1, \lambda_1)$. Then $(1, \lambda_1)$ is the only i -normal node of λ . By [Mo, Lemma 4.4], we have $\varepsilon_{1-i}(\tilde{e}_i\lambda) = 3$. So $\varepsilon_i(\tilde{e}_{1-i}\lambda) = 1$. Therefore $e_i D^{\tilde{e}_{1-i}\lambda} \cong D^{\tilde{e}_i\tilde{e}_{1-i}\lambda}$, thanks to Lemma 3.2. On the other hand, as we have pointed out above,

$$\text{Hom}_{S_{n-2}}(e_i D^{\tilde{e}_{1-i}\lambda}, e_{1-i} D^{\tilde{e}_i\lambda}) \neq 0,$$

hence $\tilde{e}_i \tilde{e}_{1-i}\lambda = \tilde{e}_{1-i} \tilde{e}_i\lambda$ again by Lemma 3.2. Set $\mu := \tilde{e}_i \tilde{e}_{1-i}\lambda$.

Notice that

$$(\text{soc}(D^\lambda \downarrow_{S_{n-2,2}})) \downarrow_{S_{n-2}} \subseteq \text{soc}(D^\lambda \downarrow_{S_{n-2}}) \cong \text{soc}(e_i D^{\tilde{e}_{1-i}\lambda} \oplus e_{1-i} D^{\tilde{e}_i\lambda}) \cong D^\mu \oplus D^\mu.$$

Hence either $\text{soc}(D^\lambda \downarrow_{S_{n-2,2}}) \cong D^\mu \boxtimes \mathbf{1}_{S_2}$ or $\text{soc}(D^\lambda \downarrow_{S_{n-2,2}}) \cong (D^\mu \boxtimes \mathbf{1}_{S_2})^{\oplus 2}$. In the latter case, we have by self-duality that

$$m_2(\lambda) = \dim \text{End}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}}) \geq 4,$$

as desired. So we may assume that $\text{soc}(D^\lambda \downarrow_{S_{n-2,2}}) \cong D^\mu \boxtimes \mathbf{1}_{S_2}$.

By Lemma 3.9, $e_{1-i} D^{\tilde{e}_i\lambda}$ has a self-dual quotient V with $[V : D^\mu] = 2$ and $\text{soc } V \cong \text{head } V \cong D^\mu$. In particular, $\dim \text{End}_{S_{n-2}}(V) = 2$. Writing $\mathbb{F}S_2$ for the regular module over S_2 , we have

$$\begin{aligned} \text{Hom}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}}, V \boxtimes \mathbb{F}S_2) &\cong \text{Hom}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}}, V \uparrow_{S_{n-2,2}}) \\ &\cong \text{Hom}_{S_{n-2}}(D^\lambda \downarrow_{S_{n-2}}, V) \\ &\cong \text{Hom}_{S_{n-2}}(e_i D^{\tilde{e}_{1-i}\lambda}, V) \oplus \text{Hom}_{S_{n-2}}(e_{1-i} D^{\tilde{e}_i\lambda}, V). \end{aligned}$$

Since $e_i D^{\tilde{e}_{1-i}\lambda} \cong D^\mu$, we have $\dim \text{Hom}_{S_{n-2}}(e_i D^{\tilde{e}_{1-i}\lambda}, V) = 1$. Since V is a quotient of $e_{1-i} D^{\tilde{e}_i\lambda}$ and $\dim \text{End}_{S_{n-2}}(V) = 2$, we have $\dim \text{Hom}_{S_{n-2}}(e_{1-i} D^{\tilde{e}_i\lambda}, V) \geq 2$. So

$$\dim \text{Hom}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}}, V \boxtimes \mathbb{F}S_2) \geq 3.$$

Since $V \boxtimes \mathbb{F}S_2 \sim (V \boxtimes \mathbf{1}_{S_2}) | (V \boxtimes \mathbf{1}_{S_2})$ it follows that

$$\dim \text{Hom}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}}, V \boxtimes \mathbf{1}_{S_2}) \geq 2. \quad (5.6)$$

A similar argument with D^μ in place of V shows that

$$\dim \text{Hom}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}}, D^\mu \boxtimes \mathbb{F}S_2) = 2. \quad (5.7)$$

Since $\text{head}(D^\lambda \downarrow_{S_{n-2,2}}) \cong D^\mu \boxtimes \mathbf{1}_{S_2}$, $\text{head}(V \boxtimes \mathbf{1}_{S_2}) \cong D^\mu \boxtimes \mathbf{1}_{S_2}$ and $[V \boxtimes \mathbf{1}_{S_2} : D^\mu \boxtimes \mathbf{1}_{S_2}] = 2$, we conclude from (5.6) that $V \boxtimes \mathbf{1}_{S_2}$ is a quotient of $D^\lambda \downarrow_{S_{n-2,2}}$. By self-duality, $V \boxtimes \mathbf{1}_{S_2}$ is also a submodule of $D^\lambda \downarrow_{S_{n-2,2}}$. A similar argument using (5.7) instead of (5.6), shows that $D^\mu \boxtimes \mathbb{F}S_2$ is a quotient and a submodule of $D^\lambda \downarrow_{S_{n-2,2}}$. Therefore there exist endomorphisms $\psi_2, \psi_3 \in \text{End}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}})$ with $\text{im } \psi_2 \cong D^\mu \boxtimes \mathbb{F}S_2$ and $\text{im } \psi_3 \cong V \boxtimes \mathbf{1}_{S_2}$. Let us also define $\psi_4 := \text{id} \in \text{End}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}})$

and $\psi_1 \in \text{Ends}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}})$ to be a homomorphism with $\text{im } \psi_1 \cong D^\mu \boxtimes \mathbf{1}_{S_2}$. Note that $D^\mu \boxtimes \mathbb{F}S_2 \not\cong V \boxtimes \mathbf{1}_{S_2}$, so $\text{im } \psi_2 \neq \text{im } \psi_3$, $\text{im } \psi_1 \subseteq \text{im } \psi_2 \cap \text{im } \psi_3$, and $\text{im } \psi_2 + \text{im } \psi_3 \subsetneq \text{im } \psi_4$. These facts easily imply that $\psi_1, \psi_2, \psi_3, \psi_4$ are linearly independent, completing the proof of $m_2(\lambda) \geq 4$. \square

Lemma 5.8. *Let $p = 2$, $n \geq 8$ with $n \equiv 0 \pmod{4}$ and $\lambda \in \mathcal{P}_2(n)$ with $\lambda \notin \{(n), \beta_n\}$. If $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$ assume further that $\dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) < 2$. Then $D_2 \subseteq \mathcal{E}(\lambda)$.*

Proof. If λ is a JS partition this holds by [Mo, Lemma 7.5]. So we may assume that $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) \geq 2$.

Since S_1^* is a quotient of M_1 , we have that

$$\dim \text{Hom}_{S_n}(S_1^*, \mathcal{E}(\lambda)) \leq \dim \text{Hom}_{S_n}(M_1, \mathcal{E}(\lambda)) = m_1(\lambda).$$

If $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) \geq 3$ then, by Lemmas 2.27 and 5.4, we have

$$\begin{aligned} m_2(\lambda) &= \dim \text{Ends}_{S_{n-2,2}}(D^\lambda \downarrow_{S_{n-2,2}}) \\ &\geq (\dim \text{Ends}_{S_{n-2}}(D^\lambda \downarrow_{S_{n-2}}))/2 \\ &= (\dim \text{Hom}_{S_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)))/2 \\ &> m_1(\lambda) + \dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) \\ &\geq \dim \text{Hom}_{S_n}(S_1^*, \mathcal{E}(\lambda)) + \dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)). \end{aligned}$$

On the other hand, if $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$ and $\dim \text{Hom}(S_1, \mathcal{E}(\lambda)) < 2$, then by Lemma 5.5, we get

$$m_2(\lambda) > m_1(\lambda) + 1 \geq \dim \text{Hom}_{S_n}(S_1^*, \mathcal{E}(\lambda)) + \dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)).$$

By Lemma 4.7, we have $M_2 \sim S_1^*|D_2|S_1$, so the inequality

$$\dim \text{Hom}_{S_n}(M_2, \mathcal{E}(\lambda)) = m_2(\lambda) > \dim \text{Hom}_{S_n}(S_1^*, \mathcal{E}(\lambda)) + \dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda))$$

implies that $\dim \text{Hom}_{S_n}(D_2, \mathcal{E}(\lambda)) > 0$, which yields the lemma. \square

Lemma 5.9. *Let $p = 2$, $n \geq 6$ with $n \equiv 2 \pmod{4}$ and $\lambda \in \mathcal{P}_2(n)$. If $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) \geq 3$ then $S_2^* \subseteq \mathcal{E}(\lambda)$.*

Proof. From Lemma 4.10 it is enough to prove that

$$\begin{aligned} \dim \text{Hom}_{S_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) &> m_1(\lambda) + 2 \dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) \\ &\quad + \dim \text{Hom}_{S_n}(S_1^*, \mathcal{E}(\lambda)) + 1. \end{aligned}$$

This follows from Lemma 5.4 since $\dim \text{Hom}_{S_n}(S_1^*, \mathcal{E}(\lambda)) \leq m_1(\lambda)$. \square

Lemma 5.10. *Let $p = 2$, $n \geq 6$ with $n \equiv 2 \pmod{4}$ and $\lambda \in \mathcal{P}_2(n)$. Assume that $\lambda \notin \{(n), \beta_n\}$ is a JS-partition or that $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$ and $\dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) < 2$. Then S_2^* or Y_2/D_1 is contained in $\mathcal{E}(\lambda)$.*

Proof. If λ is a JS-partition with $\lambda \notin \{(n), \beta_n\}$, this holds by [Mo, Lemma 7.4] and Lemmas 4.7, 4.10 since $D^{(n-2,1)} \uparrow_{S_n} \cong Y^{(n-2,1,1)}$.

If $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$ and $\dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) < 2$, then by Lemma 5.5 we have

$$\dim \text{Hom}_{S_n}(M^{(n-2,1,1)}, \mathcal{E}(\lambda)) > m_1(\lambda) + 3 \dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)).$$

Since D_1 is a quotient of S_1 , from Lemma 4.10 we then also have that

$$\dim \text{Hom}_{S_n}(Y^{(n-2,1,1)}, \mathcal{E}(\lambda)) > 2 \dim \text{Hom}_{S_n}(S_1, \mathcal{E}(\lambda)) + \dim \text{Hom}_{S_n}(D_1, \mathcal{E}(\lambda)).$$

From Lemmas 4.7 and 4.10 we also have that

$$Y^{(n-2,1,1)} \cong D_1 | D_0 | \overbrace{D_2 | D_0 | D_1}^{S_2^*} | D_0 | \overbrace{D_2 | D_0 | D_1}^{S_2^*},$$

from which the lemma follows. \square

6. SPECIAL HOMOMORPHISMS $M_k \rightarrow \mathcal{E}(\lambda)$

6.1. The homomorphism ζ_k . Let $1 \leq k \leq n/2$ and $J \in \Omega_k$. We denote by S_J the subgroup of S_n consisting of all permutations fixing the elements of $\{1, \dots, n\} \setminus J$. Clearly $\mathsf{S}_J \cong \mathsf{S}_k$.

Let $\lambda \in \mathcal{P}_p(n)$. Recalling that M_k denotes the permutation module on Ω_k , we define the homomorphism $\zeta_k \in \text{Hom}_{\mathsf{S}_n}(M_k, \mathcal{E}(\lambda))$ via

$$(\zeta_k(J))(v) = \sum_{g \in \mathsf{S}_J} gv \quad (J \in \Omega_k, v \in D^\lambda).$$

Let t be the $(n-k, k)$ -tableau

$$\begin{array}{ccccccc} k+1 & k+2 & \cdots & 2k & 2k+1 & \cdots & n \\ 1 & 2 & \cdots & k \end{array}$$

and C_t be the column stabilizer of t . Recalling (2.20), the corresponding polytabloid

$$e_t = \sum_{\sigma \in C_t} (\text{sgn } \sigma) \sigma \cdot \{1, \dots, k\} \in M_k$$

generates the submodule $S_k \subseteq M_k$. Define

$$x_k := \sum_{g \in \mathsf{S}_k, \sigma \in C_t} (\text{sgn } \sigma) \sigma g \sigma^{-1} \in \mathbb{F}\mathsf{S}_n.$$

Note that actually $x_k \in \mathbb{F}\mathsf{S}_{\{1, \dots, 2k\}} \leq \mathbb{F}\mathsf{S}_n$. It follows from the definitions that for any $v \in D^\lambda$ we have

$$(\zeta_k(e_t))(v) = x_k v,$$

so

Lemma 6.1. *The homomorphism ζ_k is zero on the submodule $S_k \subset M_k$ if and only if $x_k D^\lambda = 0$.*

The elements x_2 and x_3 will play a special role, so we will spell them out explicitly. We have

$$x_2 = (1, 2) - (1, 4) - (2, 3) + (3, 4).$$

For distinct $a, b, c \in \{1, \dots, n\}$, we consider the sum of 3-cycles

$$[abc] := (a, b, c) + (a, c, b) \in \mathbb{F}\mathsf{S}_n.$$

Then it is easy to see that, after some cancellation, we get

$$x_3 = [123] - [234] - [135] - [126] + [345] + [246] + [156] - [456].$$

6.2. The case $k = 2$ and $p = 2$.

Lemma 6.2. *Let $p = 2$. Then $x_2 D^{(4,1)} \neq 0$ and $x_2 D^{(3,2,1)} \neq 0$.*

Proof. We have $D^{(4,1)} = S^{(4,1)}$, so the module has a basis $\{\varepsilon_r + \varepsilon_{r+1} \mid r = 1, \dots, 4\}$ with the action of S_5 on the indices. An easy computation now shows that $x_2(\varepsilon_1 + \varepsilon_2) = \varepsilon_3 + \varepsilon_4 \neq 0$.

We also have $D^{(3,2,1)} = S^{(3,2,1)}$. Recalling (2.20), we realize $S^{(3,2,1)}$ as a submodule of $M^{(3,2,1)}$ spanned by polytabloids. For distinct $a, b, c \in \{1, \dots, 6\}$, the tabloid corresponding to a, b in the second row and c in the third row will be denoted $ab|c$. Thus $ab|c = ba|c$, and

$$\{ab|c \mid a, b, c \in \{1, \dots, 6\} \text{ are distinct and } a < b\}$$

is a basis of $M^{(3,2,1)}$. Consider the $(3, 2, 1)$ -tableau

$$\begin{matrix} 1 & 4 & 6 \\ t = 2 & 5 \\ & 3 \end{matrix}$$

and the corresponding polytabloid

$$\begin{aligned} e_t = & 25|3 + 35|2 + 15|3 + 15|2 + 35|1 + 25|1 + 24|3 \\ & + 34|2 + 14|3 + 14|2 + 34|1 + 24|1 \end{aligned}$$

(since $p = 2$ we ignore the signs). Now an explicit calculation shows that the basis element $12|3$ appears in $x_2 e_t$ with coefficient 1, in particular, $x_2 e_t \neq 0$. \square

Lemma 6.3. *Let $p = 2$, $n \geq 5$, and $\lambda \in \mathcal{P}_2(n)$ with $\lambda \notin \{(n), \beta_n\}$. Then $x_2 D^\lambda \neq 0$.*

Proof. We apply induction on n . If $n = 5$, the only λ that satisfies the assumptions is $(4, 1)$, and we can apply Lemma 6.2. If $n = 6$, the only partitions that we have to check are $(5, 1)$ and $(3, 2, 1)$. For $(3, 2, 1)$ see Lemma 6.2. As for $(5, 1)$, we have $D^{(5,1)} \downarrow_{S_5} \cong D^{(4,1)}$ and so the same lemma applies.

Let $n > 6$. Since $x_2 \in \mathbb{F}S_4 \leq \mathbb{F}S_{n-1}$, we have $x_2 D^\lambda = 0$ only if $x_2(D^\lambda \downarrow_{S_{n-1}}) = 0$, which happens only if $x_2 D^\mu = 0$ for all composition factors D^μ of $D^\lambda \downarrow_{S_{n-1}}$. Then by the inductive assumption we have that all of these composition factors are of the form $D^{(n-1)}$ or $D^{\beta_{n-1}}$. By Lemma 3.18, we conclude that $\lambda \in \{(n), \beta_n\}$. \square

Corollary 6.4. *Let $p = 2$, $n \geq 5$, and $\lambda \in \mathcal{P}_2(n)$ satisfy $\lambda \notin \{(n), \beta_n\}$. Then the $\mathbb{F}S_n$ -homomorphism $\zeta_2 : M_2 \rightarrow \mathcal{E}(\lambda)$ is non-zero on S_2 .*

Proof. Apply Lemmas 6.1 and 6.3. \square

6.3. The case $k = 3$ and $p = 3$.

Lemma 6.5. *Let $p = 3$. Then $x_3 D^{(4,1,1)} \neq 0$.*

Proof. We use the known fact that $D^{(4,1,1)}$ is the exterior square of $D^{(5,1)}$ —this can be seen for example by comparing the Brauer characters of the two modules. The module $D^{(5,1)}$ has basis v_1, \dots, v_4 , where $v_r := \bar{\varepsilon}_r - \bar{\varepsilon}_{r+1}$, where $\{\varepsilon_1, \dots, \varepsilon_6\}$ is the natural basis of the permutation module $M^{(5,1)}$, and for $v \in M^{(5,1)}$, we denote

$$\bar{v} := v + \mathbb{F} \cdot (\varepsilon_1 + \dots + \varepsilon_6) \in M^{(5,1)} / \mathbb{F} \cdot (\varepsilon_1 + \dots + \varepsilon_6).$$

We now compute

$$\begin{aligned}
(1, 2, 3)v_1 &= v_2, & (1, 2, 3)v_2 &= -v_1 - v_2, \\
(1, 3, 2)v_1 &= -v_1 - v_2, & (1, 3, 2)v_2 &= v_1, \\
(2, 3, 4)v_1 &= v_1 + v_2, & (2, 3, 4)v_2 &= v_3, \\
(2, 4, 3)v_1 &= v_1 + v_2 + v_3, & (2, 4, 3)v_2 &= -v_2 - v_3, \\
(1, 3, 5)v_1 &= -v_2, & (1, 3, 5)v_2 &= v_2 + v_3 + v_4, \\
(1, 5, 3)v_1 &= -v_2 - v_3 - v_4, & (1, 5, 3)v_2 &= -v_1, \\
(1, 2, 6)v_1 &= v_1 + v_3 - v_4, & (1, 2, 6)v_2 &= -v_1 + v_2 - v_3 + v_4, \\
(1, 6, 2)v_1 &= v_1 - v_3 + v_4, & (1, 6, 2)v_2 &= v_1 + v_2, \\
(3, 4, 5)v_1 &= v_1, & (3, 4, 5)v_2 &= v_2 + v_3, \\
(3, 5, 4)v_1 &= v_1, & (3, 5, 4)v_2 &= v_2 + v_3 + v_4, \\
(2, 4, 6)v_1 &= v_1 + v_2 + v_3, & (2, 4, 6)v_2 &= -v_3, \\
(2, 6, 4)v_1 &= -v_1 + v_3 - v_4, & (2, 6, 4)v_2 &= -v_1 + v_2 - v_3 + v_4, \\
(1, 5, 6)v_1 &= -v_2 - v_3 - v_4, & (1, 5, 6)v_2 &= v_2, \\
(1, 6, 5)v_1 &= -v_1 - v_3 + v_4, & (1, 6, 5)v_2 &= v_2, \\
(4, 5, 6)v_1 &= v_1, & (4, 5, 6)v_2 &= v_2, \\
(4, 6, 5)v_1 &= v_1, & (4, 6, 5)v_2 &= v_2.
\end{aligned}$$

Hence

$$\begin{aligned}
x_3(v_1 \wedge v_2) &= v_2 \wedge (-v_1 - v_2) + (-v_1 - v_2) \wedge v_1 \\
&\quad - (v_1 + v_2) \wedge v_3 - (v_1 + v_2 + v_3) \wedge (-v_2 - v_3) \\
&\quad - (-v_2) \wedge (v_2 + v_3 + v_4) - (-v_2 - v_3 - v_4) \wedge (-v_1) \\
&\quad - (v_1 + v_3 - v_4) \wedge (-v_1 + v_2 - v_3 + v_4) - (v_1 - v_3 + v_4) \wedge (v_1 + v_2) \\
&\quad + v_1 \wedge (v_2 + v_3) + v_1 \wedge (v_2 + v_3 + v_4) \\
&\quad + (v_1 + v_2 + v_3) \wedge (-v_3) + (-v_1 + v_3 - v_4) \wedge (-v_1 + v_2 - v_3 + v_4) \\
&\quad + (-v_2 - v_3 - v_4) \wedge v_2 + (-v_1 - v_3 + v_4) \wedge v_2 \\
&\quad - v_1 \wedge v_2 - v_1 \wedge v_2 \\
&= v_1 \wedge v_4 - v_2 \wedge v_4,
\end{aligned}$$

which is non-zero, completing the proof. \square

Lemma 6.6. *Let $p = 3$, $n \geq 6$, and $\lambda \in \mathcal{P}_3(n)$ satisfy $h(\lambda) \geq 3$, $h(\lambda^M) \geq 3$. Then $x_3 D^\lambda \neq 0$.*

Proof. We apply induction on n . If $n = 6$, the only λ that satisfies the assumptions $h(\lambda) \geq 3$, $h(\lambda^M) \geq 3$ is $(4, 1, 1)$, and we can apply Lemma 6.5. Let $n > 6$. Since $x_3 \in \mathbb{FS}_6 \leq \mathbb{FS}_{n-1}$, we have $x_3 D^\lambda = 0$ only if $x_3(D^\lambda \downarrow_{\mathbb{S}_{n-1}}) = 0$, which happens only if $x_3 D^\mu = 0$ for all composition factors D^μ of $D^\lambda \downarrow_{\mathbb{S}_{n-1}}$. Then by the inductive assumption we have that $h(\mu) \leq 2$ or $h(\mu^M) \leq 2$ for all composition factors D^μ of $D^\lambda \downarrow_{\mathbb{S}_{n-1}}$. By Lemma 3.16, we have $h(\lambda) \leq 2$ or $h(\lambda^M) \leq 2$, which is a contradiction. \square

Corollary 6.7. *Let $p = 3$, $n \geq 6$, and $\lambda \in \mathcal{P}_3(n)$ satisfy $h(\lambda) \geq 3$, $h(\lambda^M) \geq 3$. Then the \mathbb{FS}_n -homomorphism $\zeta_3 : M_3 \rightarrow \mathcal{E}(\lambda)$ is non-zero on S_3 .*

Proof. Apply Lemmas 6.1 and 6.6. \square

6.4. The case $k = 3$ and $p = 2$.

Lemma 6.8. *Let $p = 2$. Then $x_3 D^{(3,2,1)} \neq 0$.*

Proof. Since $(3, 2, 1)$ is a 2-core, we have $D^{(3,2,1)} \cong S^{(3,2,1)}$, so we will just prove that $x_3 S^{(3,2,1)} \neq 0$. We use the same polytabloid basis of $S^{(3,2,1)}$ as in the proof of Lemma 6.2 and the same polytabloid

$$\begin{aligned} e_t = & 25|3 + 35|2 + 15|3 + 15|2 + 35|1 + 25|1 + 24|3 \\ & + 34|2 + 14|3 + 14|2 + 34|1 + 24|1. \end{aligned}$$

Now an explicit calculation shows that the basis element $12|4$ appears in $x_3 e_t$ with coefficient 1, in particular, $x_3 e_t \neq 0$. \square

Lemma 6.9. *Let $p = 2$, $n \geq 6$, and $\lambda \in \mathcal{P}_2(n)$ satisfy $h(\lambda) \geq 3$. Then $x_3 D^\lambda \neq 0$.*

Proof. We apply induction on n . If $n = 6$, the only λ that satisfies the assumption $h(\lambda) \geq 3$ is $(3, 2, 1)$, and we can apply Lemma 6.8. Let $n > 6$. Since $x_3 \in \mathbb{FS}_6 \leq \mathbb{FS}_{n-1}$, we have $x_3 D^\lambda = 0$ if and only if $x_3(D^\lambda \downarrow_{S_{n-1}}) = 0$ only if $x_3 D^\mu = 0$ for all composition factors D^μ of $D^\lambda \downarrow_{S_{n-1}}$. Then by the inductive assumption we have that $h(\mu) \leq 2$ for all composition factors D^μ of $D^\lambda \downarrow_{S_{n-1}}$. By Lemma 3.17, we have $h(\lambda) \leq 2$, which is a contradiction. \square

Corollary 6.10. *Let $p = 2$, $n \geq 6$, and $\lambda \in \mathcal{P}_2(n)$ satisfy $h(\lambda) \geq 3$. Then the \mathbb{FS}_n -homomorphism $\zeta_3 : M_3 \rightarrow \mathcal{E}(\lambda)$ is non-zero on S_3 .*

Proof. Apply Lemmas 6.8 and 6.9. \square

7. REDUCTION THEOREMS

7.1. First reduction theorems. The reduction results that we need are substantially more difficult to prove in the case $p = 2|n$. In this section, we deal with all the other cases.

Lemma 7.1. *Let $p = 3$, $n \equiv 0 \pmod{3}$ and $n \geq 6$. Suppose that G is a 2-transitive subgroup of S_n which is not 3-homogeneous and such that $(S_1^*)^G = 0$. If $\lambda \in \mathcal{P}_3(n)$ with $h(\lambda), h(\lambda^M) \geq 3$, then $D^\lambda \downarrow_G$ is reducible.*

Proof. As G is 2-transitive, we have $i_2(G) = 1$, hence $\varphi(\mathcal{I}(G)) \cong D_0$ for every non-zero $\varphi \in \text{Hom}_{S_n}(\mathcal{I}(G), M_2)$. Since D_2 is a submodule of M_2 by Lemma 4.3, it follows that D_2 does not appear in the head of $\mathcal{I}(G)$, i.e. $\text{Hom}_{S_n}(\mathcal{I}(G), D_2) = 0$. Moreover, $\text{Hom}_{S_n}(\mathcal{I}(G), S_1^*) = (S_1^*)^G = 0$ by assumption.

On the other hand, $i_3(G) > 1$ means that there is a non-zero homomorphism $\psi \in \text{Hom}_{S_n}(\mathcal{I}(G), M_3)$ whose image is not D_0 . So Lemma 4.3 implies that D_3 is a composition factor of $\text{im } \psi$.

Now we deduce from Corollary 6.7 that D_3 is a composition factor of $\text{im } (\zeta_3 \circ \psi)$. So the proof is complete by Lemma 2.18. \square

Lemma 7.2. *Let $p = 3$, $n \equiv 1 \pmod{3}$, $n \geq 7$, and G be a transitive subgroup of S_n which is not 3-homogeneous and such that $(S_2^*)^G = 0$. If $\lambda \in \mathcal{P}_3(n)$ with $h(\lambda), h(\lambda^M) \geq 3$, then $D^\lambda \downarrow_G$ is reducible.*

Proof. Since G is transitive, we have $i_1(G) = 1$, we have $\varphi(\mathcal{I}(G)) \cong D_0$ for every non-zero $\varphi \in \text{Hom}_{S_n}(\mathcal{I}(G), M_1)$. Since D_1 is a submodule of M_1 , it follows that D_1 does not appear in the head of $\mathcal{I}(G)$, i.e. $\text{Hom}_{S_n}(\mathcal{I}(G), D_1) = 0$.

The assumption that G is not 3-homogeneous means that $i_3(G) > 1$. So there is a non-zero homomorphism $\psi \in \text{Hom}_{S_n}(\mathcal{I}(G), M_3)$ whose image is not D_0 . The assumption $(S_2^*)^G = 0$ is equivalent to $\text{Hom}_{S_n}(\mathcal{I}(G), S_2^*) = 0$. Taking into account the previous paragraph, we now deduce from Lemma 4.4, that D_3 is a composition factor of $\text{im } \psi$. Now by Corollary 6.7, we have that D_3 is a composition factor of $\text{im } (\zeta_3 \circ \psi)$. So the proof is complete by Lemma 2.18. \square

Lemma 7.3. *Let $p = 3$, $n \equiv 2 \pmod{3}$, $n \geq 8$, and G be a 2-transitive subgroup of S_n which is not 3-homogeneous. If $\lambda \in \mathcal{P}_3(n)$ with $h(\lambda), h(\lambda^M) \geq 3$, then $D^\lambda \downarrow_G$ is reducible.*

Proof. By Lemma 4.5, we have a short exact sequence

$$0 \rightarrow M_2 \rightarrow M_3 \rightarrow S_3^* \rightarrow 0.$$

Since $i_2(G) = 1 < i_3(G)$, we deduce that $\text{Hom}_{S_n}(\mathcal{I}(G), S_3^*) \neq 0$. So there is an $\mathbb{F}S_n$ -homomorphism $\psi : \mathcal{I}(G) \rightarrow M_3$ such that D_3 is a composition factor of $\text{im } \psi$. Now we deduce from Corollary 6.7 that D_3 is a composition factor of $\text{im } (\zeta_3 \circ \psi)$. So the proof is complete by Lemma 2.18. \square

Lemma 7.4. *Let $p = 3$, $n \equiv 1 \pmod{3}$ and G be a 2-transitive subgroup of S_n with $G = O^3(G)$. Then $(S_2^*)^G = 0$.*

Proof. By Lemma 4.4, we have $M_2 = D_1 \oplus Y$ with $Y \sim D_0|S_2^*$. Since G is 2-transitive, we have $\dim M_2^G = 1$ and $D_1^G = 0$, hence $\dim Y^G = 1$. Now the result follows by considering the long exact sequence in cohomology corresponding to the short exact sequence

$$0 \rightarrow D_0 \rightarrow Y \rightarrow S_2^* \rightarrow 0$$

and using $H^1(G, D_0) = 0$, which comes from the assumption $G = O^3(G)$. \square

Corollary 7.5. *Let $p = 3$, $n \equiv 1 \pmod{3}$ and G be a 2-transitive subgroup of S_n with non-abelian socle. Then $(S_2^*)^G = 0$, unless possibly $n = 28$ and $G = SL_2(8).3$.*

Proof. This follows from Lemma 2.31, and Lemma 7.4 applied to $O^3(G)$ in place of G . \square

The exceptional case in Corollary 7.5 does not create problems:

Lemma 7.6. *Let $G = SL_2(8) \rtimes C_3 < S_{28}$ be a 2-transitive subgroup, and D^λ be an irreducible $\mathbb{F}S_{28}$ -module with $D^\lambda, D^\lambda \otimes \text{sgn} \not\cong D^{(28)}, D^{(27,1)}$. Then $D^\lambda \downarrow_G$ is reducible.*

Proof. The largest degree of any irreducible $\mathbb{F}G$ -module is ≤ 27 , cf. [At]. On the other hand, by the assumptions on D^λ we have $\dim D^\lambda > 27$ by [J₂, Theorem 6]. \square

Lemma 7.7. *Let $p = 3$, $7 \leq n \equiv 1 \pmod{3}$, and let $G < S_n$ be a 2-transitive subgroup with abelian socle S . Then one of the following statements holds.*

- (a) $(S_2^*)^G = 0$.

(b) $n = r^d$ for a prime r , and either $G \leq A\Gamma L_1(r^d)$ or $d = 2$ and $G \leq AGL_2(r)$.

Proof. By the O’Nan-Scott Theorem [C, Theorem 4.1] (and the remarks after it), S is an elementary abelian r -group of order $n = r^d$, for a prime r , and $G = S \rtimes G_0$ with $G_0 \leq GL_d(r)$. The 2-transitivity of G implies that G_0 acts transitively on the nonzero vectors of \mathbb{F}_r^d . If $d = 1$ or 2, then (b) holds.

Let $d \geq 3$. We apply to the subgroup G_0 a version of Hering’s theorem as given in [KT₂, Proposition 3.3]. Denoting $Z := Z(GL_d(r))$, we conclude that one of the following holds:

- (i) $G_0 \triangleright SL_a(q_1)$ with $q_1^a = r^n$ and $a \geq 2$;
- (ii) $G_0 \triangleright Sp_{2a}(q_1)'$ with $q_1^{2a} = r^n$ and $a \geq 2$;
- (iii) $G_0 \triangleright G_2(q_1)'$ with $q_1^6 = r^n$ and $2|r$;
- (iv) G_0Z is contained in $\Gamma L_1(r^n)$;
- (v) (r^n, G_0Z) is $(3^4, \leq 2^{1+4} \cdot S_5)$, $(3^4, \triangleright SL_2(5))$, $(2^4, A_7)$ or $(3^6, SL_2(13))$.

If case (iv) occurs, then $G_0 \leq \Gamma L_1(r^d)$ and conclusion (b) holds. In all other cases, we see that G_0 contains a perfect subgroup K which is still transitive on the nonzero vectors of \mathbb{F}_r^d , unless $(n, G_0) = (2^6, G_2(2))$. In the exceptional case, we take $K := G_2(2)$ and note that $O^3(K) = K$. Thus in all cases, G contains the 2-transitive subgroup $H := S \rtimes K$ with $O^3(H) = H$, hence we are done by Lemma 7.4. \square

The exceptions in Lemma 7.7(b) can be dealt with easily:

Lemma 7.8. *Suppose we are in the case (b) of Lemma 7.7. If D^λ is an irreducible \mathbb{FS}_n -module with $D^\lambda, D^\lambda \otimes \text{sgn} \not\cong D^{(n)}, D^{\alpha_n}$, then $D^\lambda \downarrow_G$ is reducible.*

Proof. Assume the contrary. If $d = 1$, then $n = r$ and $|G| \leq |AGL_1(r)| = r(r-1) < n^2$. If $d = 2$, then $n = r^2$ and $|G| \leq |AGL_2(r)| < r^6 = n^3$. If $d \geq 3$, then $|G| \leq |A\Gamma L_1(r^d)| = n(n-1)d < n^3$. In all cases, $\dim D^\lambda < |G|^{1/2} < n^{3/2}$. On the other hand, the assumption on D^λ implies by [J₂] that $\dim D^\lambda \geq (n^2 - 5n + 2)/2$, which is larger than $n^{3/2}$ if $n \geq 13$, yielding a contradiction. The only remaining case is $n = 7$, in which case $\dim D^\lambda \leq 6$, again contradicting the assumption on D^λ . \square

Theorem 7.9. *Let $p = 3$, $n \geq 6$, $\lambda \in \mathcal{P}_3(n)$ with $h(\lambda), h(\lambda^M) \geq 3$, and G be a 2-transitive subgroup of S_n . If $D^\lambda \downarrow_G$ is irreducible then G is 3-homogeneous.*

Proof. If $n \equiv 2 \pmod{3}$, the result follows from Lemma 7.3. If $n \equiv 0 \pmod{3}$, the result follows from Lemma 7.1 and Corollary 2.34. If $n \equiv 1 \pmod{3}$, the result follows from Lemmas 7.2, 7.6, 7.7, 7.8 and Corollary 7.5. \square

Theorem 7.10. *Let $p = 2$, $n \geq 7$ be odd, $\lambda \in \mathcal{P}_2(n)$ with $h(\lambda) \geq 3$, and G be a 2-transitive subgroup of S_n . If $D^\lambda \downarrow_G$ is irreducible then G is 3-homogeneous.*

Proof. The proof is similar to that of Lemma 7.3, but uses Lemma 4.6 instead of Lemma 4.5, and Corollary 6.10 instead of Corollary 6.7. \square

7.2. Reduction theorems for $p = 2 \mid n$.

Lemma 7.11. *Let $p = 2$, $n \geq 6$ even and $\lambda \in \mathcal{P}_2(n) \setminus \{(n), \beta_n\}$. If*

$$i_2(G) > 1 + \dim(S_1^*)^G$$

then $D^\lambda \downarrow_G$ is reducible.

Proof. By Lemma 4.7 there exists $L \subseteq M_2$ with $L \cong D_0 \oplus S_1^*$ and $M_2/L \cong S_2^*$. Note that

$$\dim \text{Hom}_{S_n}(\mathcal{I}(G), L) = \dim \text{Hom}_{S_n}(\mathcal{I}(G), D_0 \oplus S_1^*) = 1 + \dim(S_1^*)^G,$$

so by assumption, there exists $\psi_G : \mathcal{I}(G) \rightarrow M_2$ such that the image of ψ_G is not contained in L . So, since $\text{soc}(S_2^*) \cong D_2$, we deduce that D_2 is a composition factor of $\text{im } \psi_G$.

By Corollary 6.4, $\zeta_2 : M_2 \rightarrow \mathcal{E}(\lambda)$ is non-zero on $S_2 \subseteq M_2$. But $\text{head } S_2 \cong D_2$, so D_2 is a composition factor of $\text{im } \zeta_2$. Since D_2 appears with multiplicity 1 in M_2 it follows that the image of $\zeta_2 \circ \psi_G : \mathcal{I}(G) \rightarrow \mathcal{E}(\lambda)$ has D_2 as composition factor. The lemma then holds from Lemma 2.18. \square

Lemma 7.12. *Let $p = 2 \mid n \geq 6$ and $\lambda \in \mathcal{P}_2(n)$ not be a JS-partition. If $D^\lambda \downarrow_G$ is irreducible then G is 2-homogeneous and $(S_1^*)^G = 0$.*

Proof. If $(S_1^*)^G \neq 0$ then there is a non-zero homomorphism $\mathcal{I}(G) \rightarrow S_1^*$. But S_1^* is a submodule of $\mathcal{E}(\lambda)$ by Lemma 5.1, and $\text{soc } S_1^* \cong D_1$, so this yields a non-zero homomorphism $\psi : \mathcal{I}(G) \rightarrow \mathcal{E}(\lambda)$ with $\text{im } \psi \not\cong \mathbf{1}_{S_n}$. By Lemma 2.18, this contradicts the irreducibility of $D^\lambda \downarrow_G$, thus $(S_1^*)^G = 0$. By Lemma 7.11 we now have that

$$0 = \dim(S_1^*)^G \geq i_2(G) - 1,$$

hence $i_2(G) = 1$, i.e. G is 2-homogeneous. \square

Lemma 7.13. *Let $p = 2$, $n \geq 8$ with $n \equiv 0 \pmod{4}$, and $\lambda \in \mathcal{P}_2(n)$. If $D^\lambda \downarrow_G$ is irreducible and $D_2 \subseteq \mathcal{E}(\lambda)$ then $D_2^G = 0$.*

Proof. If $D_2^G \neq 0$ then there is a non-zero homomorphism $\mathcal{I}(G) \rightarrow D_2 \subseteq \mathcal{E}(\lambda)$, which yields a non-zero homomorphism $\psi : \mathcal{I}(G) \rightarrow \mathcal{E}(\lambda)$ with $\text{im } \psi \not\cong \mathbf{1}_{S_n}$. By Lemma 2.18 this contradicts the irreducibility of $D^\lambda \downarrow_G$. \square

Lemma 7.14. *Let $p = 2$, $n \geq 8$ with $n \equiv 0 \pmod{4}$, and $\lambda \in \mathcal{P}_2(n)$. Assume that $D_2 \subseteq \mathcal{E}(\lambda)$, $(S_1^*)^G = 0$ and $D^\lambda \downarrow_G$ is irreducible. Then:*

- (i) *G is 2-homogeneous, $(S_2^*)^G = 0$, $S_2^G = 0$, $\dim S_3^G = i_3(G) - 1$, and $\dim(S_3^*)^G \geq i_3(G) - 1$.*
- (ii) *If $h(\lambda) \geq 3$ then G is 3-homogeneous.*

Proof. (i) By Lemma 7.11, using the assumption $(S_1^*)^G = 0$ we get $i_2(G) = 1$, i.e. G is 2-homogeneous. This also implies that $i_1(G) = 1$.

As $S_1^* \cong D_1|D_0$, the equality $(S_1^*)^G = 0$ implies $D_1^G = 0$, so $\text{Hom}_{S_n}(\mathcal{I}(G), D_1) = 0$, i.e. D_1 is not a quotient of $\mathcal{I}(G)$. By 7.13, we have $D_2^G = 0$, so by a similar argument, D_2 is also not a quotient of $\mathcal{I}(G)$. By Lemma 4.7 we have that $S_2^* \cong D_2|D_1$ and $S_2 \cong D_1|D_2$, hence $(S_2^*)^G = 0$ and $S_2^G = 0$.

By Lemma 4.9 and self-duality of M_1 and M_3 we have $M_3 \sim M_1 \oplus (S_2^*|S_3^*)$ and $M_3 \sim M_1 \oplus (S_3|S_2)$, so

$$i_3(G) \leq i_1(G) + \dim(S_2^*)^G + \dim(S_3^*)^G = 1 + \dim(S_3^*)^G.$$

Since $S_2^G = 0$, we have $\dim(S_3|S_2)^G = \dim S_3^G$, hence

$$i_3(G) = i_1(G) + \dim(S_3|S_2)^G = 1 + \dim S_3^G.$$

(ii) If G is not 3-homogeneous, then $\dim S_3^G = i_3(G) - 1 \neq 0$. From Lemma 4.9 and by self-duality of M_3 we have that $S_3 \cong D_2|D_1|D_3 \sim S_2^*|D_3$. From $(S_2^*)^G = 0$ it

follows that $S_3 \subseteq M_3$ is a quotient of $\mathcal{I}(G)$. In particular there exists $\psi : \mathcal{I}(G) \rightarrow M_3$ with D_3 as a composition factor of $\text{im } \psi$. So D_3 is a composition factor of $\text{im}(\zeta_3 \circ \psi)$ from Corollary 6.10. We are now done by Lemma 2.18. \square

Lemma 7.15. *Let $p = 2$, $n \geq 6$ with $n \equiv 2 \pmod{4}$ and $\lambda \in \mathcal{P}_2(n)$. Assume that $S_2^* \subseteq \mathcal{E}(\lambda)$. If $D^\lambda \downarrow_G$ is irreducible then $(S_2^*)^G = 0$.*

Proof. If $(S_2^*)^G \neq 0$ then there is a non-zero homomorphism $\mathcal{I}(G) \rightarrow S_2^*$. As $S_2^* \subseteq \mathcal{E}(\lambda)$ by assumption, and $\text{soc } S_2^* \cong D_2$, this yields a non-zero homomorphism $\psi : \mathcal{I}(G) \rightarrow \mathcal{E}(\lambda)$ with $\text{im } \psi \not\cong \mathbf{1}_{S_n}$. By Lemma 2.18, this contradicts the irreducibility of $D^\lambda \downarrow_G$. \square

Lemma 7.16. *Let $p = 2$, $n \geq 6$ with $n \equiv 2 \pmod{4}$ and $\lambda \in \mathcal{P}_2(n)$. If $D^\lambda \downarrow_G$ is irreducible and S_2^* or Y_2/D_1 is contained in $\mathcal{E}(\lambda)$ then $\dim(Y_2/D_1)^G = 1$.*

Proof. By Lemma 4.7, we have $Y_2/D_1 \cong D_0|D_2|D_0|D_1 \sim D_0|S_2^*$. In particular $(Y_2/D_1)^G \neq 0$. Assume that $\dim(Y_2/D_1)^G \geq 2$. Then there exists a homomorphism $\psi : \mathcal{I}(G) \rightarrow Y_2/D_1$ such that $\text{im } \psi$ has D_2 as a composition factor. It follows that there also exists a homomorphism $\psi' : \mathcal{I}(G) \rightarrow S_2^*$ such that $\text{im } \psi'$ has D_2 as a composition factor. By Lemma 2.18, this contradicts the irreducibility of $D^\lambda \downarrow_G$. \square

Lemma 7.17. *Let $p = 2$, $n \geq 10$ with $n \equiv 2 \pmod{4}$ and $\lambda \in \mathcal{P}_2(n)$. Assume that S_2^* or Y_2/D_1 is contained in $\mathcal{E}(\lambda)$, $(S_1^*)^G = 0$ and $D^\lambda \downarrow_G$ is irreducible. Then:*

- (i) G is 2-homogeneous, $S_2^G = 0$ and $\dim(S_3^*)^G \geq i_3(G) - 1$.
- (ii) If $h(\lambda) \geq 3$ then G is 3-homogeneous.

Proof. (i) By Lemma 7.11, the assumption $(S_1^*)^G = 0$ implies $i_2(G) = 1$, i.e. G is 2-homogeneous. This also implies $i_1(G) = 1$.

From Lemma 4.7(ii), we have that $D_0 \oplus S_2 \subseteq M_2$, so

$$1 = i_2(G) = \dim M_2^G \geq \dim D_0^G + \dim S_2^G = 1 + \dim S_2^G,$$

hence $S_2^G = 0$.

By Lemma 4.9, we have $M_3 \sim (Y_2/D_1)|S_1^*|S_3^*$. So, using Lemma 7.16 we get

$$i_3(G) = \dim M_3^G \leq \dim(Y_2/D_1)^G + \dim(S_1^*)^G + \dim(S_3^*)^G = 1 + \dim(S_3^*)^G$$

which completes the proof of (i).

(ii) By Lemma 4.9(ii), there exist submodules $A \subseteq Y_2/D_1$ and $B \subseteq M_3$ such that $A \cong D_0|D_2|D_0$, $B \sim A|D_3$ and $M_3 \sim B|S_2|S_1^*$. If G is not 3-homogeneous, i.e. $i_3(G) = \dim M_3^G \geq 2$, then, by (i) and Lemma 7.16, we have

$$\begin{aligned} \dim B^G &\geq i_3(G) - \dim S_2^G - \dim(S_1^*)^G \\ &= i_3(G) \geq 2 > 1 = \dim(Y_2/D_1)^G \geq \dim A^G. \end{aligned}$$

Hence there exists a homomorphism $\psi : \mathcal{I}(G) \rightarrow B \subseteq M_3$ with $\text{im } \psi \not\subseteq A$. In particular, D_3 is a composition factor of $\text{im } \psi$. So D_3 is a composition factor of $\text{im}(\zeta_3 \circ \psi)$ from Corollary 6.10, and we are done by Lemma 2.18. \square

Lemma 7.18. *Let $p = 2$, $n \geq 8$ be even, $\lambda \in \mathcal{P}_2(n) \setminus \{(n), \beta_n\}$ be a JS partition, $D^\lambda \downarrow_G$ be irreducible, and $(S_1^*)^G = 0$. Then:*

- (i) G is 2-homogeneous.
- (ii) If $h(\lambda) \geq 3$ then G is 3-homogeneous.

Proof. If $n \equiv 0 \pmod{4}$, then $D_2 \subseteq \mathcal{E}(\lambda)$ by Lemma 5.8, and the result follows from Lemma 7.14. The case $n \equiv 2 \pmod{4}$ is handled similarly but using Lemma 5.10 in place of Lemma 5.8 and Lemma 7.17 in place of Lemma 7.14. \square

7.3. Wreath products and proofs of Theorems B and C. In this subsection, we assume that $n = ab$ for some $a, b \in \mathbb{Z}_{>1}$ and consider restrictions of irreducible $\mathbb{F}\mathbf{S}_n$ -modules to the natural subgroup

$$\mathbf{S}_a \wr \mathbf{S}_b = (\underbrace{\mathbf{S}_a \times \cdots \times \mathbf{S}_a}_{b \text{ times}}) \rtimes \mathbf{S}_b.$$

A special role will be played by the irreducible $\mathbb{F}(\mathbf{S}_a \wr \mathbf{S}_b)$ -modules of the form $D^\mu \wr D^\nu$ which as a vector space is $(D^\mu)^{\otimes b} \otimes D^\nu$, and the action on $v_1 \otimes \cdots \otimes v_b \otimes w \in (D^\mu)^{\otimes b} \otimes D^\nu$ is determined from the following requirements: $(g_1, \dots, g_b) \in \mathbf{S}_a \times \cdots \times \mathbf{S}_a$ acts as

$$(g_1, \dots, g_b) \cdot (v_1 \otimes \cdots \otimes v_b \otimes w) = (g_1 v_1) \otimes \cdots \otimes (g_b v_b) \otimes w$$

and $h \in \mathbf{S}_b$ acts as

$$h \cdot (v_1 \otimes \cdots \otimes v_b \otimes w) = (v_{h^{-1}(1)} \otimes \cdots \otimes v_{h^{-1}(b)}) \otimes (hw).$$

Lemma 7.19. *Let $p = 2$ and $n = ab$ for some $a, b \in \mathbb{Z}_{>1}$. Then $D^{\beta_n} \downarrow_{\mathbf{S}_a \wr \mathbf{S}_b}$ is irreducible if and only if a is odd, in which case $D^{\beta_n} \downarrow_{\mathbf{S}_a \wr \mathbf{S}_b} \cong D^{\beta_a} \wr D^{\beta_b}$.*

Proof. Recall, see [W], that $\dim D^{\beta_n} = 2^{\lfloor (n-1)/2 \rfloor}$, and furthermore D^{β_n} can be obtained by reducing modulo 2 a basic spin complex representation $D_{n, \mathbb{C}}$ of a double cover $\hat{\mathbf{S}}_n$ of \mathbf{S}_n . As in the proof of [KT₁, Theorem 4.3], we let G (resp. K, B) be the full inverse image in $\hat{\mathbf{S}}_n$ of $\mathbf{S}_a \wr \mathbf{S}_b$ (resp. $\mathbf{S}_a^b = \underbrace{\mathbf{S}_a \times \cdots \times \mathbf{S}_a}_{b \text{ times}}, \mathbf{S}_a \wr \mathbf{A}_b$). It was shown

there that $D_{n, \mathbb{C}} \downarrow_G \cong V_{\mathbb{C}} \otimes W_{\mathbb{C}}$ or $\text{ind}_B^G(V_{\mathbb{C}} \otimes W_{\mathbb{C}})$. Here, $V_{\mathbb{C}}$ is a (possibly projective) $\mathbb{C}G$ -representation which is irreducible over K , whose restriction to the full inverse image $\hat{\mathbf{S}}_a$ of $\mathbf{S}_a \times 1 \dots \times 1$ in $\hat{\mathbf{S}}_n$ is a sum of basic spin representations. Next, $W_{\mathbb{C}}$ is a (possibly projective) irreducible representation of G , respectively of B , in which K acts trivially, and which gives rise to a basic spin representation of \mathbf{S}_b , respectively of \mathbf{A}_b .

It follows by reducing modulo 2 that all composition factors of the restriction of D^{β_n} to $\mathbf{S}_a \times 1 \dots \times 1$ are isomorphic to D^{β_a} . Hence, all composition factors of $D^{\beta_n} \downarrow_{\mathbf{S}_a^b}$ are isomorphic to

$$D_a := D^{\beta_a} \otimes D^{\beta_a} \otimes \cdots \otimes D^{\beta_a},$$

which can easily be seen to extend to the module $D^{\beta_a} \wr D^{(b)}$ of $\mathbf{S}_a \wr \mathbf{S}_b$. This implies that every irreducible $\mathbb{F}(\mathbf{S}_a \wr \mathbf{A}_b)$ -representation X lying above D_a is isomorphic to $D^{\beta_a} \wr Y$ for some irreducible $\mathbb{F}\mathbf{A}_b$ -representation Y . A similar statement holds for $\mathbf{S}_a \wr \mathbf{S}_b$. Now, the aforementioned statement about $W_{\mathbb{C}}$ implies by reducing modulo 2 that if such X occurs in $D^{\beta_n} \downarrow_{\mathbf{S}_a \wr \mathbf{A}_b}$, then Y is basic spin for \mathbf{A}_b , i.e. a composition factor of $D^{\beta_b} \downarrow_{\mathbf{A}_b}$. Therefore, all composition factors of $D^{\beta_n} \downarrow_{\mathbf{S}_a \wr \mathbf{S}_b}$ are of the form $D^{\beta_a} \wr D^{\beta_b}$. Now the result follows by dimension considerations. \square

Lemma 7.20. *Let $p = 2$, n be even and $\lambda \in \mathcal{P}_2(n)$ be a JS-partition with $\lambda \notin \{(n), \beta_n\}$. Then $D^\lambda \downarrow_{\mathbf{S}_{n/2} \wr \mathbf{S}_2}$ is irreducible if and only if $n \geq 6$ with $n \equiv 2 \pmod{4}$ and $\lambda = \alpha_n$, in which case*

$$D^{\alpha_n} \downarrow_{\mathbf{S}_{n/2} \wr \mathbf{S}_2} \cong (D^{\alpha_{n/2}} \boxtimes D^{(n/2)}) \uparrow_{\mathbf{S}_{n/2, n/2}}^{\mathbf{S}_{n/2} \wr \mathbf{S}_2}.$$

Proof. By Clifford theory (see e.g. [CR, 51.7]), $D^\lambda \downarrow_{S_{n/2} \wr S_2}$ is irreducible if and only if one of the following conditions holds:

- (a) $D^\lambda \downarrow_{S_{n/2,n/2}}$ is of the form $D^\mu \boxtimes D^\mu$, in which case $D^\lambda \downarrow_{S_{n/2} \wr S_2}$ is $D^\mu \wr D^{(2)}$.
- (b) $D^\lambda \downarrow_{S_{n/2,n/2}}$ is of the form $(D^\mu \boxtimes D^\nu) \oplus (D^\nu \boxtimes D^\mu)$ with $\mu \neq \nu$, in which case $D^\lambda \downarrow_{S_{n/2} \wr S_2} \cong (D^\mu \boxtimes D^\nu) \uparrow_{S_{n/2} \wr S_2}^{S_{n/2} \wr S_2}$.

By dimensions, if $n \equiv 2 \pmod{4}$, we have

$$D^{\alpha_n} \downarrow_{S_{n/2,n/2}} \cong (D^{\alpha_{n/2}} \boxtimes D^{(n/2)}) \oplus (D^{(n/2)} \boxtimes D^{\alpha_{n/2}}).$$

If $n \equiv 0 \pmod{4}$, then in the Grothendieck group we have

$$[D^{\alpha_n} \downarrow_{S_{n/2,n/2}}] = [D^{\alpha_{n/2}} \boxtimes D^{(n/2)}] + [D^{(n/2)} \boxtimes D^{\alpha_{n/2}}] + 2[D^{(n/2)} \boxtimes D^{(n/2)}],$$

omitting the first two summands if $n = 4$. So we may assume that $\lambda \neq \alpha_n$.

If the parts of λ are all even, let $\mu := (\lambda_1/2, \dots, \lambda_{h(\lambda)}/2)$. Then $\mu \in \mathcal{P}_2(n/2)$ and by Lemma 2.14 we have that $D^\mu \boxtimes D^\mu$ is a composition factor of $D^\lambda \downarrow_{S_{n/2,n/2}}$. So $D^\lambda \downarrow_{S_{n/2} \wr S_2}$ is irreducible if and only if $D^\lambda \downarrow_{S_{n/2,n/2}}$ is irreducible. By Proposition 2.15, this happens only in the basic spin case, which has already been excluded by assumption.

So we can now assume that all parts of λ are odd. If $D^\lambda \downarrow_{S_{n/2}}$ has at least 3 non-isomorphic composition factors then $D^\lambda \downarrow_{S_{n/2} \wr S_2}$ is not irreducible. So by Lemma 3.15 and since the cases α_n and β_n have already been excluded, there are only the exceptional cases (iii) and (iv) of Lemma 3.15 to consider.

Case 1. $n \geq 24$, $n \equiv 0 \pmod{8}$ and $\lambda = (n/4 + 3, n/4 + 1, n/4 - 1, n/4 - 3)$. Suppose that $D^\lambda \downarrow_{S_{n/2} \wr S_2}$ is irreducible. Let

$$\begin{aligned} \mu &:= (n/8 + 3, n/8 + 1, n/8 - 1, n/8 - 3), \\ \nu &:= (n/8 + 2, n/8 + 1, n/8 - 1, n/8 - 2). \end{aligned}$$

By Lemma 3.14, D^μ and D^ν are composition factors of $D^\lambda \downarrow_{S_{n/2}}$. It then follows that

$$D^\lambda \downarrow_{S_{n/2,n/2}} \cong (D^\mu \boxtimes D^\nu) \oplus (D^\nu \boxtimes D^\mu).$$

Let

$$\begin{aligned} \pi &:= (n/8 + 2, n/8 + 1, n/8, n/8 - 1), \\ \psi &:= (n/8 + 1, n/8, n/8 - 1, n/8 - 2). \end{aligned}$$

From Lemma 2.14 we have that $D^\pi \boxtimes D^\psi$ is a composition factor of $D^\lambda \downarrow_{S_{n/2+2,n/2-2}}$. As $\nu = \tilde{e}_i^2 \pi$, by Lemma 3.2, we have that $D^\nu \boxtimes \mathbf{1}_{S_{1,1}} \boxtimes D^\psi$ is a composition factor of $D^\lambda \downarrow_{S_{n/2,1,1,n/2-2}}$. So D^ψ is a composition factor of $D^\mu \downarrow_{S_{n/2-2}}$, which contradicts Lemma 3.7.

Case 2. $n \geq 22$, $n \equiv 4 \pmod{6}$, $\lambda = ((n-1)/3 + 2, (n-1)/3, (n-1)/3 - 2, 1)$. Suppose that $D^\lambda \downarrow_{S_{n/2} \wr S_2}$ is irreducible. Let

$$\begin{aligned} \mu &:= ((n-4)/6 + 2, (n-4)/6 + 1, (n-4)/6 - 1), \\ \nu &:= ((n-4)/6 + 2, (n-4)/6, (n-4)/6 - 1, 1). \end{aligned}$$

By Lemma 3.14, D^μ and D^ν are composition factors of $D^\lambda \downarrow_{S_{n/2}}$. It then follows that

$$D^\lambda \downarrow_{S_{n/2,n/2}} \cong (D^\mu \boxtimes D^\nu) \oplus (D^\nu \boxtimes D^\mu).$$

Let

$$\begin{aligned} \pi &:= ((n-4)/6+2, (n-4)/6+1, (n-4)/6), \\ \psi &:= ((n-4)/6+1, (n-4)/6, (n-4)/6-1, 1). \end{aligned}$$

From Lemma 2.14 we have that $D^\pi \boxtimes D^\psi$ is a composition factor of $D^\lambda \downarrow_{S_{n/2+1,n/2-1}}$. By Lemma 3.2, we have

$$[D^\lambda \downarrow_{S_{n/2,1,n/2-1}} : D^\mu \boxtimes \mathbf{1}_{S_1} \boxtimes D^\psi] \geq 3.$$

In particular $[D^\nu \downarrow_{S_{n/2-1}} : D^\psi] \geq 3$, which contradicts Lemma 3.2(vi). \square

Lemma 7.21. *Let $p = 2$, $n \geq 8$ even and $\lambda \in \mathcal{P}_2(n)$ be a JS partition with $\lambda \notin \{(n), \beta_n\}$. If $n = ab$ with $a, b \in \mathbb{Z}_{>1}$ and $b \geq 3$ then $D^\lambda \downarrow_{S_a \wr S_b}$ is reducible.*

Proof. It follows from Lemmas 7.18 and 2.35 since $S_a \wr S_b < S_n$ is not a 2-homogeneous subgroup. \square

Proposition 7.22. *Let $n = ab$ with $a, b \in \mathbb{Z}_{>1}$, $\lambda \in \mathcal{P}_p(n)$ and suppose that $\dim D^\lambda > 1$. Then $D^\lambda \downarrow_{S_a \wr S_b}$ is reducible unless $p = 2$ and one of the following holds:*

- (i) $\lambda = \beta_n$ and a is odd, in which case $D^{\beta_n} \downarrow_{S_a \wr S_b} \cong D^{\beta_a} \wr D^{\beta_b}$.
- (ii) $n \equiv 2 \pmod{4}$, $\lambda = \alpha_n$ and $b = 2$, in which case

$$D^{\alpha_n} \downarrow_{S_{n/2} \wr S_2} \cong (D^{\alpha_{n/2}} \boxtimes D^{(n/2)}) \uparrow_{S_{n/2,n/2}}^{S_{n/2} \wr S_2}.$$

Proof. The small cases $n = 4$ and 6 are easy to check. So let $n \geq 8$. If either $p > 2$, or $p = 2 \nmid n$ and $\lambda \neq \beta_n$, then [KS₁, Theorem 3.10] gives the result since our subgroup is transitive but not 2-transitive. The case where $\lambda = \beta_n$ is considered in Lemma 7.19. So we may assume that $p = 2 \mid n$ and $\lambda \notin \{(n), \beta_n\}$. The case where λ is JS is handled in Lemma 7.20 for $b = 2$ and Lemma 7.21 for $b > 2$. If λ is not JS, we can apply Lemma 7.12. \square

Proof of Theorem C. By Propositions 2.15 and 7.22 we may assume that G is primitive. If $G = A_n$, the result follows from [B, Theorem 1.1]. So we may assume that G does not contain A_n . Since D^{β_n} is reduction modulo 2 of the basic spin module B_0 in characteristic 0, if $D^{\beta_n} \downarrow_G$ is irreducible then the restriction $B_0 \downarrow_{\hat{G}}$ is also irreducible for the corresponding subgroup $\hat{G} \leq \hat{S}_n$. The list of such G is available from [KT₁, Theorem B]. One easily checks that it is precisely the cases (a),(b),(e),(g) which remain irreducible in characteristic 2. Those are, respectively, the cases (b),(c),(d),(e) of Theorem C.

Proof of Theorem B. Let φ denote the Brauer character of D^{α_n} and let $1 + \chi$ denote the permutation character of S_n on $\{1, 2, \dots, n\}$. Then $\varphi = \chi^\circ - 1$, where χ° denotes the restriction of χ to 2'-elements in S_n . Note that $\varphi \downarrow_B = \varphi_1 + \varphi_2$, where φ_1 induces the module $D^{\alpha_{n/2}}$ of the first factor $B_1 = S_{n/2} \times \{1\} < B$ and φ_1 is trivial on the second factor $B_2 = \{1\} \times S_{n/2}$, and similarly for φ_2 .

(a) Assume first that $\varphi \downarrow_G$ is irreducible. It follows that $G \not\leq B$, $[G : G \cap B] = 2$, and the projection of $G \cap B$ onto B_i induces a subgroup $X_i \leq S_{n/2}$ over which $D^{\alpha_{n/2}}$

is irreducible, and $\psi_i := (\varphi_i) \downarrow_{G \cap B}$ is irreducible. Since $2 \nmid n/2 \geq 3$, this irreducibility condition implies that X_i is 2-transitive for $i = 1, 2$; in particular, $G \cap B$ acts doubly transitively on $\{1, 2, \dots, n/2\}$ and on $\{n/2 + 1, \dots, n - 1, n\}$. As $[G : G \cap B] = 2$, it also follows that G is transitive, i.e. (i) holds. Furthermore, as $\varphi_{G \cap B} = \psi_1 + \psi_2$ and $\varphi \downarrow_G$ is irreducible, we must have that $\psi_1 \neq \psi_2$, i.e. (ii) holds.

(b) Assume now that (i) and (ii) hold, and let X_i denote the projection of $G \cap B$ onto B_i for $i = 1, 2$. By (ii), $G \cap B$ is 2-transitive on $\{1, 2, \dots, n/2\}$ and on $\{n/2 + 1, \dots, n - 1, n\}$, and $\psi_i := (\varphi_i) \downarrow_{G \cap B}$ is irreducible. Thus

$$\varphi \downarrow_{G \cap B} = \psi_1 + \psi_2. \quad (7.23)$$

Next, (i) implies again that $G \not\leq B$, and $G = \langle G \cap B, g \rangle$, where g interchanges $\{1, 2, \dots, n/2\}$ and $\{n/2 + 1, \dots, n - 1, n\}$. Now g interchanges ψ_1 and ψ_2 , and $\psi_1 \neq \psi_2$ by (ii). Hence (7.23) implies that $\varphi \downarrow_G$ is irreducible.

Example 7.24. Let $6 \leq n \equiv 2 \pmod{4}$ and let $L \leq S_{n/2}$ be any 2-transitive subgroup such that $D^{(n/2-1,1)} \downarrow_L$ is irreducible. (There are many such pairs (n, L) with L not containing $A_{n/2}$, for instance, $n = (q^d - 1)/(q - 1)$ for some odd $d \geq 3$ and some odd prime power q , and $PSL_d(q) \triangleleft L \leq P\Gamma L_d(q)$.) Then the subgroup $L \wr S_2$ obviously satisfies the conditions (i) and (ii) of Theorem B. But not every subgroup G satisfying these two conditions are of this wreath product type, as one can see on the example of $(S_{n/2} \wr S_2) \cap A_n$.

More generally, we claim that any subgroup $G \leq (S_{n/2} \wr S_2)$ with the two properties

- (a) G is transitive on $\{1, 2, \dots, n\}$, and
- (b) the projection of $G \cap B$ onto the first factor $S_{n/2}$ of B has nontrivial kernel and induces a 2-transitive subgroup of $S_{n/2}$ over which $D^{(n/2-1,1)} \downarrow_L$ is irreducible,

satisfies the conditions (i) and (ii) of Theorem B. Indeed, (a) implies that $G = \langle G \cap B, g \rangle$ with g interchanging the two factors $S_{n/2}$ of B , and so (b) also holds for the second factor $S_{n/2}$. In the notation of the proof of Theorem B, the kernel K of the projection onto B_1 is a nontrivial normal subgroup of the image L of the projection onto $B_2 \cong S_{n/2}$. Using the description of 2-transitive subgroups of $S_{n/2}$ [C] and the assumption $2 \nmid n/2 \geq 3$, it is straightforward to check that K acts nontrivially on $\mathbf{1}_{S_{n/2}} \boxtimes D^{(n/2-1,1)}$, but it clearly acts trivially on $D^{(n/2-1,1)} \boxtimes \mathbf{1}_{S_{n/2}}$. Thus both of the conditions (i) and (ii) of Theorem B are satisfied, as claimed. It remains an open question whether (i) and (ii) of Theorem B must imply the above condition (b).

7.4. Main results for $p = 2 \mid n$ and proof of Theorem A.

Theorem 7.25. Let $p = 2$, $n \geq 8$ be even, $\lambda \in \mathcal{P}_2(n)$ not be a JS partition, and $D^\lambda \downarrow_G$ be irreducible. Then:

- (i) G is 2-homogeneous and $(S_1^*)^G = 0$.
- (ii) G is 3-homogeneous unless $h(\lambda) \geq 3$ and there exists $1 \leq j \leq h(\lambda)$ with $\lambda_j = \lambda_{j+1} + 2$ and

$$\lambda_1 \equiv \dots \equiv \lambda_{j-1} \not\equiv \lambda_j \equiv \lambda_{j+1} \not\equiv \lambda_{j+2} \equiv \dots \equiv \lambda_{h(\lambda)} \pmod{2}$$

Proof. (i) holds by Lemma 7.12.

(ii) Suppose that λ is not of the exceptional form as described in part (ii). Assume first that $\varepsilon_0(\lambda) + \varepsilon_1(\lambda) = 2$. Then Lemma 2.13 implies that whenever $\varepsilon_i(\lambda), \varphi_i(\lambda) >$

0 for some $i \in I$, B is i -good for λ and C is i -cogood for λ , then $(\lambda_B)^C$ is 2-regular. Hence by Lemma 3.13, we have $D^\lambda \not\subseteq (f_i D^{\tilde{\varepsilon}_i \lambda})/D^\lambda$ whenever $\varepsilon_i(\lambda) > 0$. By Lemma 3.12, we now conclude that $\dim \text{Hom}_{\mathbb{S}_n}(S_1, \mathcal{E}(\lambda)) < 2$.

Now, by Lemma 5.8, if $n \equiv 0 \pmod{4}$ then $D_2 \subseteq \mathcal{E}(\lambda)$, and by Lemmas 5.9, 5.10, if $n \equiv 2 \pmod{4}$ then $S_2^* \subseteq \mathcal{E}(\lambda)$ or $Y_2/D_1 \subseteq \mathcal{E}(\lambda)$. Moreover $(S_1^*)^G = 0$ by (i). Since $p = 2$ and n is even all two-row partitions are JS, so we must have $h(\lambda) \geq 3$. Now, by Lemmas 7.14(ii) and 7.17(ii), we have that G is 3-homogeneous. \square

Theorem 7.26. *Let $p = 2$, n be even, $\lambda \in \mathcal{P}_2(n)$ be a JS partition with $\lambda \notin \{(n), \alpha_n, \beta_n\}$, $G \not\leq \mathbb{S}_{n-1}$, and $D^\lambda \downarrow_G$ be irreducible. Then:*

- (i) *G is primitive.*
- (ii) *If $(S_1^*)^G = 0$ then G is 2-homogeneous.*
- (iii) *If $(S_1^*)^G = 0$ and $h(\lambda) \geq 3$, then G is 3-homogeneous.*

Proof. Part (i) follows from Propositions 2.15 and 7.22. Parts (ii) and (iii) follow from Lemma 7.18. \square

Theorem 7.27. *Let $p = 2$, n be even, $G \not\leq \mathbb{S}_{n-1}$, and $D^{\alpha_n} \downarrow_G$ be irreducible. Then:*

- (i) *G is primitive or $n \equiv 2 \pmod{4}$, $G \leq \mathbb{S}_{n/2} \wr \mathbb{S}_2$ and $G \not\leq \mathbb{S}_{n/2, n/2}$. Furthermore, in the second case we have*

$$D^{\alpha_n} \downarrow_{\mathbb{S}_{n/2} \wr \mathbb{S}_2} \cong (D^{\alpha_{n/2}} \boxtimes D^{(n/2)}) \uparrow_{\mathbb{S}_{n/2, n/2}}^{\mathbb{S}_{n/2} \wr \mathbb{S}_2}.$$

- (ii) *If $(S_1^*)^G = 0$ then G is 2-homogeneous.*

Proof. Part (i) holds by Propositions 2.15 and 7.22, while part (ii) holds by Lemma 7.18. \square

Theorem 7.28. *Let $p = 2$, $n \geq 8$ be even, and D^λ be an irreducible representation of \mathbb{FS}_n with $\dim D^\lambda > 1$. Suppose that D^λ is not basic spin. If $G \leq \mathbb{S}_n$ is a subgroup such that the restriction $D^\lambda \downarrow_G$ is irreducible, then one of the following holds:*

- (i) *$G \leq \mathbb{S}_{n-1}$ and λ is JS.*
- (ii) *$n \equiv 2 \pmod{4}$, $\lambda = \alpha_n$, $G \leq \mathbb{S}_{n/2} \wr \mathbb{S}_2$ and $G \not\leq \mathbb{S}_{n/2, n/2}$. Moreover, in this case we have that*

$$D^{\alpha_n} \downarrow_{\mathbb{S}_{n/2} \wr \mathbb{S}_2} \cong (D^{\alpha_{n/2}} \boxtimes \mathbf{1}_{\mathbb{S}_{n/2}}) \uparrow_{\mathbb{S}_{n/2, n/2}}^{\mathbb{S}_{n/2} \wr \mathbb{S}_2}$$

is irreducible.

- (iii) *G is 2-transitive and either $h(\lambda) = 2$ or $h(\lambda) \geq 3$ and there exists $1 \leq j \leq h(\lambda)$ with $\lambda_j = \lambda_{j+1} + 2$ and*

$$\lambda_1 \equiv \dots \equiv \lambda_{j-1} \not\equiv \lambda_j \equiv \lambda_{j+1} \not\equiv \lambda_{j+2} \equiv \dots \equiv \lambda_{h(\lambda)} \pmod{2}.$$

- (iv) *G is 3-homogeneous.*

Proof. If $G \leq \mathbb{S}_{n-1}$ then $D^\lambda \downarrow_{\mathbb{S}_{n-1}}$ is irreducible and so λ is JS by definition. Let us now assume that $G \not\leq \mathbb{S}_{n-1}$. By Corollary 2.34, we have that $(S_1^*)^G = 0$ if G is primitive. Now the result follows from Theorems 7.25, 7.26 and 7.27 and [KS₁, Proposition 2.5]. \square

Proof of Theorem A. For $p > 3$ the theorem holds by [BrK₂].

Assume now that either $p = 3$ or $p = 2$, n is odd and $\lambda \neq \beta_n$. Then by [KS₁, Theorem 3.10] we have $G \leq S_{n-1}$ or G is 2-transitive. If $G \leq S_{n-1}$ then λ is JS. So we may now assume that this is not the case. For $p = 3$ the theorem then holds by Theorem 7.9, while for $p = 2$, n odd and $\lambda \neq \beta_n$ the theorem holds by Theorem 7.10.

For $p = 2$, n even and $\lambda \neq \beta_n$ the theorem holds by Theorem 7.28.

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