

1 *One-pot Surfactant-free Modulation of Size and Functional Group*
2 *Distribution in Thermoresponsive Microgels*

4 Apostolos A. Karanastasis^{†*}, Gopal S. Kenath[†], Dustin Andersen[†], Demosthenes Fokas[§], Chang Y. Ryu[‡],
5 Chaitanya K. Ullal[†]

7 [†] Department of Materials Science and Engineering, Rensselaer Polytechnic Institute, Troy, New York, USA

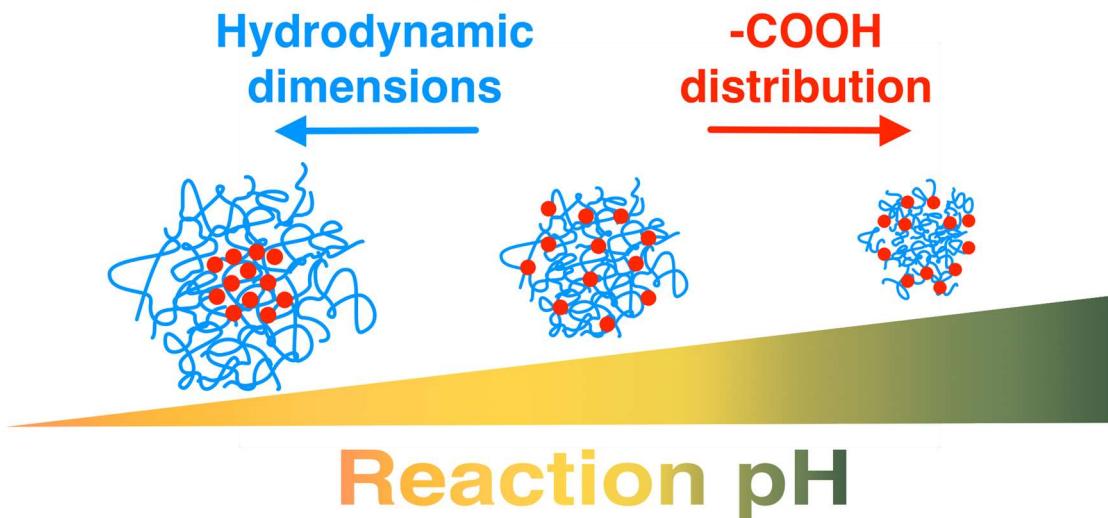
8 [§] Department of Materials Science and Engineering, University of Ioannina, Ioannina, Greece

9 [‡] Department of Chemistry and Chemical Biology, Rensselaer Polytechnic Institute, Troy, New York, USA

11 **Abstract.** Control over the size and functional group distribution of soft responsive hydrogel particles
12 is essential for applications such as drug delivery, catalysis and chemical sensing. Traditionally, targeted
13 functional group distributions are achieved with semi-batch techniques which require specialized
14 equipment, while the preparation of size-tailored particles typically involves the use of surfactants.
15 Herein, we present a simple and robust surfactant-free method for the modulation of size and carboxylic
16 acid functional group distribution in poly(N-isopropylacrylamide) thermoresponsive microgels,
17 employing reaction pH as the single experimental parameter. The varying distributions of carboxylic
18 acid residues arise due to differences in kinetic reactivity, which are a function of the degree of
19 dissociation of methacrylic acid, and thus of reaction pH. Incorporated charged residues induce a
20 surfactant-like action during the particle nucleation stage, and impact the final particle size.
21 Characterization with dynamic light scattering, and electron microscopy consistently supports the pH-
22 tailored morphology of the microgels. A mathematical model which accounts for particle deformation
23 on the imaging substrate also shows excellent agreement with the experimental results.

24
25 **Keywords:** microgels; responsive colloids; pNiPAm; functional group distribution; one-pot; surfactant-
26 free

27
28 **Graphical Abstract**



1

2

Introduction

3 Soft thermoresponsive colloidal gel particles, or microgels, have evolved into a distinct class of
4 polymeric materials that hold promise for contemporary applications in fields such as biocatalysis [1][2],
5 chemical sensing [3][4], emulsification [5], soft actuation [6] and drug delivery [7][8]. Although a
6 multitude of monomers have been explored as the thermoresponsive component, microgel systems based
7 on the well-studied thermoresponsive polymer poly(*N*-isopropylacrylamide) (PNiPAm) continue to
8 draw the attention of the scientific community [9][10]. PNiPAm-based microgels adopt a highly hydrated
9 swollen state at room temperature [11], while heating above the lower critical solution temperature
10 (LCST) of ~32 °C causes the contraction of the colloid and the expulsion of a significant portion of the
11 aqueous fraction [12]. This volumetric phase transition (VPT) is fast and reversible.

12 The common denominator of multifunctional PNiPAm-based microgel systems is the co-existence
13 of the thermoresponsive backbone with monomeric residues of some functional group(s), which either
14 endow environmental responsiveness (pH [13], light [14][15], glucose [16]) or serve as sites for
15 subsequent chemical functionalization. Moreover, the distribution of the functional groups inside the
16 thermoresponsive matrix is associated with the fine-tuning of properties, as exemplified in a drug
17 delivery microgel platform where the carboxylic acid group topology was directly correlated with the
18 binding capacity of various drugs [17]. Another important design parameter of microgel systems is the
19 tailoring of hydrodynamic dimensions, as highlighted in a diverse set of applications that span from
20 nano-patterning [18], to injectable drug administration [19] and selective protein adsorption [20].

21 Contributions that control the distribution of carboxylic acid species in thermoresponsive microgels
22 can be found in the literature; Pelton and Hoare [21] provide a comprehensive review on the subject. In
23 essence, it has been demonstrated that for microgels synthesized commonly via precipitation
24 polymerization, charge distribution inhomogeneities within PNiPAm microgels arise as a consequence
25 of differences in kinetic reactivity between the polymerizable acids and NiPAm, the main monomer. In
26 order to circumvent the kinetic reactivity mismatch in the NiPAm-methacrylic acid (MAAc) system and
27 prepare particles with custom charge distributions, the Hoare group employed a semi-batch strategy
28 where MAAc was added to the reaction at various feeding rates [22]. Equivalent results for the same
29 system have been reported by Ngai et al. [23] adopting a delayed feeding approach.

30 Interestingly, pH is an overlooked synthetic parameter in precipitation polymerization; when
31 ionizable monomers such as MAAc are introduced, reaction pH is simply determined by their relative
32 concentration [24]. To the best of our knowledge, regulation of reaction pH has not been reported in
33 microgel synthesis. Separately, pH adjustment has been explored as a handle for the manipulation of the

1 compositional drift in linear polymers. Rintoul and Wandrey [25] studied the dependence of relative
2 reactivities on pH for the acrylamide (AA) - acrylic acid (AAc) system. A preference for
3 homopropagation of AAc was found at acidic pH, with a gradual reversal of the trend for increasing pH.
4 Lacik *et al.* [26] reported on the decrease of one order of magnitude of the propagation constant, k_p , of
5 MAAc when it shifted from the uncharged to the negatively charged state. Dubey *et al.* [27] tuned the
6 degree of MAAc ionization for the synthesis of linear polyampholytes with targeted comonomer
7 compositions.

8 In light of recent results, which have proved that precipitation polymerization follows free-radical
9 solution polymerization kinetics [28], and separately, that the spatial distribution of residues are a strong
10 function of the respective propagation kinetics [29][30][31], we hypothesized that the pH-mediated
11 regulation of monomeric residue distribution within the linear chain during the reaction, will be reflected
12 volumetrically at the particles. Further motivation was provided by work from the Lyon group, where
13 homogeneously crosslinked particles were prepared via precipitation polymerization by matching the
14 relative reactivities of the monomer and the crosslinker [32]. In the present work, we demonstrate that
15 adjustment of reaction pH in precipitation polymerization results in the modulation of size and charge
16 distribution within P(NiPAm-*co*-MAAc) microgels. We present results for three reaction pH conditions
17 corresponding to the fully protonated (pH=3.04), partially dissociated (pH=5.05) and fully deprotonated
18 (pH=7.38) states of the monomer. We report a tailoring of the charge distribution and a concomitant
19 modulation in particle size from ~700 nm down to ~200 nm. A mechanism of surfactant-like action of
20 the charged residues is introduced for the interpretation of the recorded size variation.
21

22 **Experimental**

23 **Materials.** *N*-isopropylacrylamide (NiPAm) (Sigma, 97%) was recrystallized twice from hexane before
24 use. *N,N'*-Methylenebisacrylamide (BIS) (Sigma, 99%), methacrylic acid (MAAc) (Sigma, 99%),
25 sodium dodecyl sulphate (SDS) (Sigma, 99%) and potassium persulfate (KPS) (Acros Organics, 99+%)
26 were used as received. Standard reagent grade NaOH, HCl (1M), sodium carbonate, sodium bicarbonate,
27 sodium citrate and citric acid were used for the preparation of buffers and stock solutions. HPLC grade
28 H₂O (Fisher Chemical) was used throughout the experiments. Formvar coated grids (200-mesh, Cu)
29 were purchased from Ted Pella (USA). Uranyl acetate (2% solution, Electron Microscopy Sciences) was
30 used to prepare dilute staining solutions.

1 **Synthesis and Purification.** Aqueous monomer stock solutions at prescribed concentrations (24.25
2 mg/ml NiPAm, 6.17 mg/ml BIS and 3.44 mg/ml MAAc) were prepared. Aliquots were drawn from the
3 stocks (7 ml NiPAm, 1.5 ml BIS and 1.5 ml MAAc), combined into 20 ml borosilicate scintillation vials
4 and the reaction pH (3.04, 5.05 and 7.38) adjusted by manual titration under mild stirring. Specifically,
5 microliter volumes (10-100 μ l) of HCl/NaOH solutions with concentrations ranging from 0.001- 0.1M
6 and pure H₂O were added in stepwise fashion while the pH was monitored in real time. This procedure
7 was iterated up to the final reaction volume (12 ml). Sample tagging follows reaction pH conditions,
8 e.g. S304 was synthesized at pH=3.04 etc. The reaction pH was adjusted with sole addition of acidic or
9 basic solution and pure H₂O in order to maintain the ionic strength of the reaction medium at a minimum;
10 there was no addition of basic solution when regulating the pH of the S304 run. The reaction vials were
11 then degassed in parallel for 30 min (SI Photo 1) and placed into a water bath at 70 °C for another 30
12 min, after which the reactions were sequentially initiated by injection of 100 μ l of a 30 mg/ml KPS
13 solution under an Ar blanket. Each reaction was sparged with Ar for 20 sec in order to ensure
14 homogeneous dissolution of the initiator, and was left to polymerize for 60 min. The reactions were
15 quenched by immersion of the vials into ice-water. 4 ml of the crude dispersions were added into 3 dram
16 borosilicate glass vials containing 100 μ l 0.1 M NaOH and left to stir for 24 h. The samples were
17 subjected to 2 cycles of ultracentrifugation at 40k RPM for 60 min, reconstituted with 2 ml H₂O,
18 lyophilized and stored at 4 °C for future use. The same protocol was employed for the preparation of
19 control samples by replacing the amount of MAAc solution with H₂O.
20

21 **Instruments and Methods.** A Mettler Toledo MP220 pH-meter equipped with an LE407 glass electrode
22 was used for monitoring pH. Characterization of particle size was performed with an Anton Paar
23 Litesizer 500 instrument operating at 90° geometry. Freeze dried samples were re-dispersed at a target
24 concentration of 0.1% w/v using citrate (pH = 4) or carbonate (pH = 9) buffers. Samples prepared at
25 pH=5.05 and pH=7.38 were additionally measured in citrate buffer with SDS at 0.82 mM. Samples were
26 equilibrated for 15 min prior to each size measurement of the temperature sweeps. Data analysis was
27 performed with the Anton-Paar Kalliope software. Polydispersity index (PDI) information is encoded in
28 the hydrodynamic diameter versus temperature graphs (D_h vs T) as error bars with $\sigma = \pm (D_h \times PDI)/2$.
29 The error bar is comparable to the marker size when not discernible. Transmission Electron Microscopy
30 (TEM) was performed with a JEOL JEM 2011 instrument. Sample preparation for the TEM study was
31 done following a previously reported protocol by Hoare *et al.* [22]. The TEM samples, which were cast
32 on Formvar coated grids, were also examined with Scanning Electron Microscopy (SEM) using an FEI
33 Versa 3D instrument.

1 **Construction of the model:** The deformed morphology of the microgels in the collapsed state,
2 exhibiting core (S304), uniform (S505) and shell topologies (S738), are modeled as semi-spheroids with
3 the minor axis along the z direction and the two equal major axes along the x and y directions. For the
4 core topology, the -COOH distribution is modeled as an elliptical sigmoid, Eqn 1., with a large plateau.
5 A gaussian is convolved with the distribution to introduce a diffuse interface at the boundary of the
6 stained core and unstained shell. The extent of the core in x and y is determined by the radial distribution
7 profile in the TEM micrographs. The same cutoff percentage value was used for the z axis. The uniform
8 topology is modeled by an elliptical sigmoidal function that extends throughout the dimensions of the
9 microgel. Finally, for the shell topology, the elliptical sigmoid was used to describe the distribution
10 within the shell. The distribution is set to zero for radial values below an inner boundary, which is also
11 defined by an ellipse. The inner ellipse is assigned a different eccentricity than the outer boundary in
12 order to capture the non-uniform deformation induced by drying on the substrate. The inner boundary is
13 convolved with a Gaussian function to represent a diffuse interface between the stained shell and
14 unstained core. The SI lists the values of the parameters used to model each topology.

15

$$f(x, z) = \text{Base} - \frac{\text{Max}}{1 + \frac{(r_0 - r)}{\text{Rate}}} \quad (1)$$

16 where $r = \sqrt{\frac{x^2}{a^2} + \frac{z^2}{b^2}}$.

17

18 Results and Discussion

19 **Microgel Synthesis and Purification.** Non-stirred precipitation polymerization [28] is a recent method
20 for the synthesis of pristine PNiPAm microgels. In our hands, it proved to be robust and reproducible
21 for the parallelized preparation of p(NiPAm-*co*-MAAc) particles at variable pH conditions. Liquid
22 handling of monomers from solution stocks and exclusion of paddled stirring mitigated sources of
23 potential experimental error. In all tested reaction pHs, the crude final dispersions were colloidally stable,
24 below and above the VPTT, implying the presence of an efficient stabilization mechanism during
25 precipitation polymerization in the surfactant-free conditions. The samples were thoroughly stirred at
26 alkaline conditions prior to purification with ultracentrifugation in order to effectively remove any
27 physisorbed sol from the particles.

28 **Microgel Size.** Irrespective of the carboxylic acid topology (core-localized, homogeneously distributed
29 or shell-localized), the p(NiPAm-*co*-MAAc) microgel system traverses from the non-ionized to the fully
30 ionized state within the pH range of 5 to 7 [22],[23]. In both pH=4 and pH=9 testing conditions, an
31 opposite trend between size and reaction basicity is observed (Table 1); higher degrees of ionization of

1 the MAAc monomer during the reaction led to smaller particle size. Control runs at similar reaction pHs
2 and in the absence of MAAc indicated no such trend in the variation of size (SI Figure 1). The recorded
3 differences in size could thus be ascribed to the effect of pH on the decomposition kinetics of KPS at 70
4 °C and the varying effect of Cl⁻ or Na⁺ counterions on the colloidal stability of primary nuclei.

5

6 **Table 1.** Summary of selected physical properties of microgel samples measured at the pHs indicated.

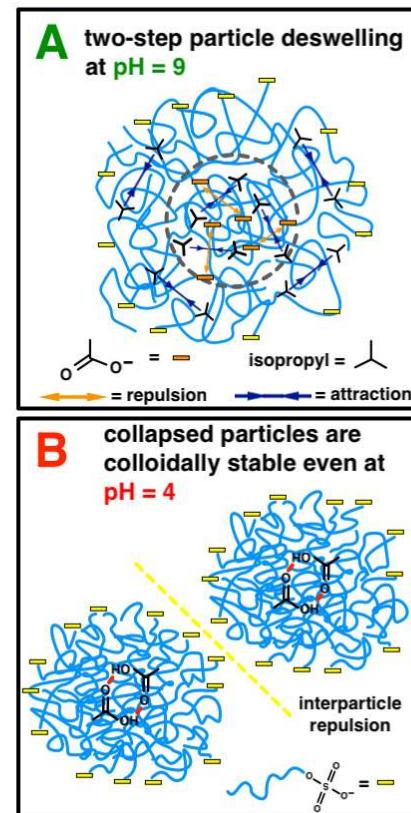
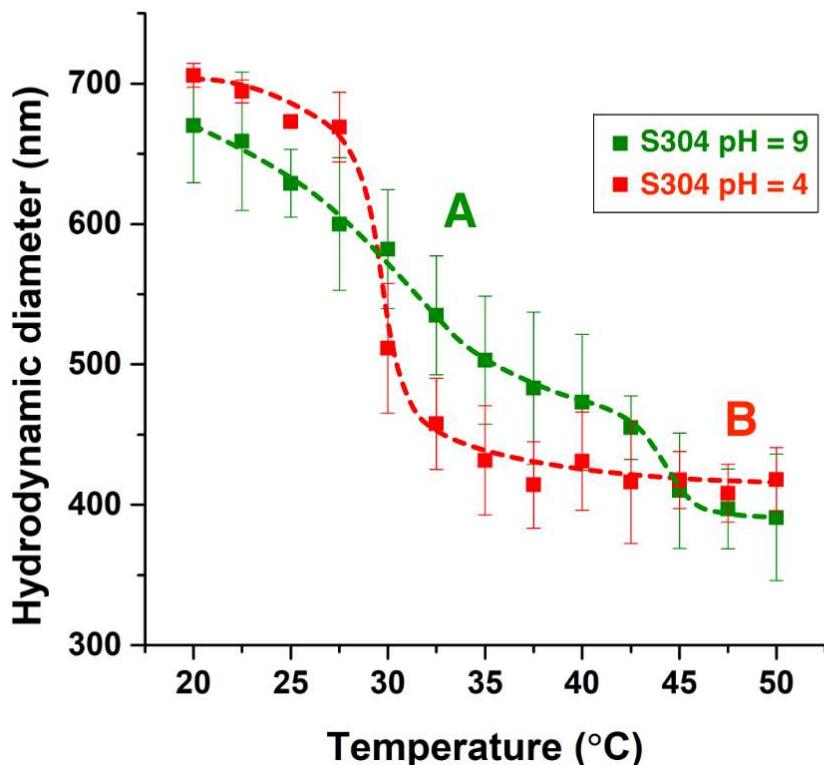
	Swollen D _h (nm)		Colloidal Stability above LCST		VPTT (°C)	
	Testing pH=4	Testing pH=9	Testing pH=4	Testing pH=9	Testing pH=4	Testing pH=9
S304	706 ± 8	670 ± 41	Yes	Yes	~ 30	N/A (two-step)
S505	396 ± 23	420 ± 2	No	Yes	~ 32.5	N/A (pseudo-linear)
S738	190 ± 6	193 ± 4	No	Yes	~ 32.5	~ 32.5

7

8 **Deswelling Profiles and Morphological Basis.** Temperature varying DLS at two different states,
9 corresponding to the fully protonated (pH = 4) and fully deprotonated (pH = 9) forms of MAAc residues
10 within the microgels, was employed to investigate the thermal behavior of the microgels, and infer the
11 COOH distributions. The synthesis of microgels with MAAc in a batch reaction where pH was not
12 intentionally controlled has been established to result in the COOH being concentrated in the core of the
13 resultant microgel [22],[23]. These conditions correspond to a pH of ~3.5. The thermal transition profiles
14 of S304, in which the reaction pH is intentionally set to 3.04, are consistent with the established
15 conclusion that at these pHs, the higher k_p of the MAAc results in the MAAc being localized at the
16 microgel core. At pH=9, a two-step deswelling profile is evident (Figure 1, green curve). Such multistep
17 profiles have been reported in the literature for pNiPAm-carboxylic acid copolymer microgels, including
18 for microgels with COOH localized in the core [33],[13],[34],[35]. We hypothesize these deswelling
19 profiles reflect an interplay between attractive polymer-polymer interactions and electrostatic repulsions
20 induced in the collapsing network by ionized MAAc residues. Presumably, these antagonistic
21 interactions shift the VPT of the core region to a higher temperature than the native LCST of PNiPAm
22 (~ 31 °C). On the other hand, chains near the periphery are subjected primarily to attractive hydrophobic
23 interactions as well as comparatively minor repulsion from sulphate groups; thus, as the sample is heated,
24 these chains collapse at a lower temperature (Figure 1, Panel A).

25

Reaction pH = 3.04
MAAc fully non-ionized during synthesis

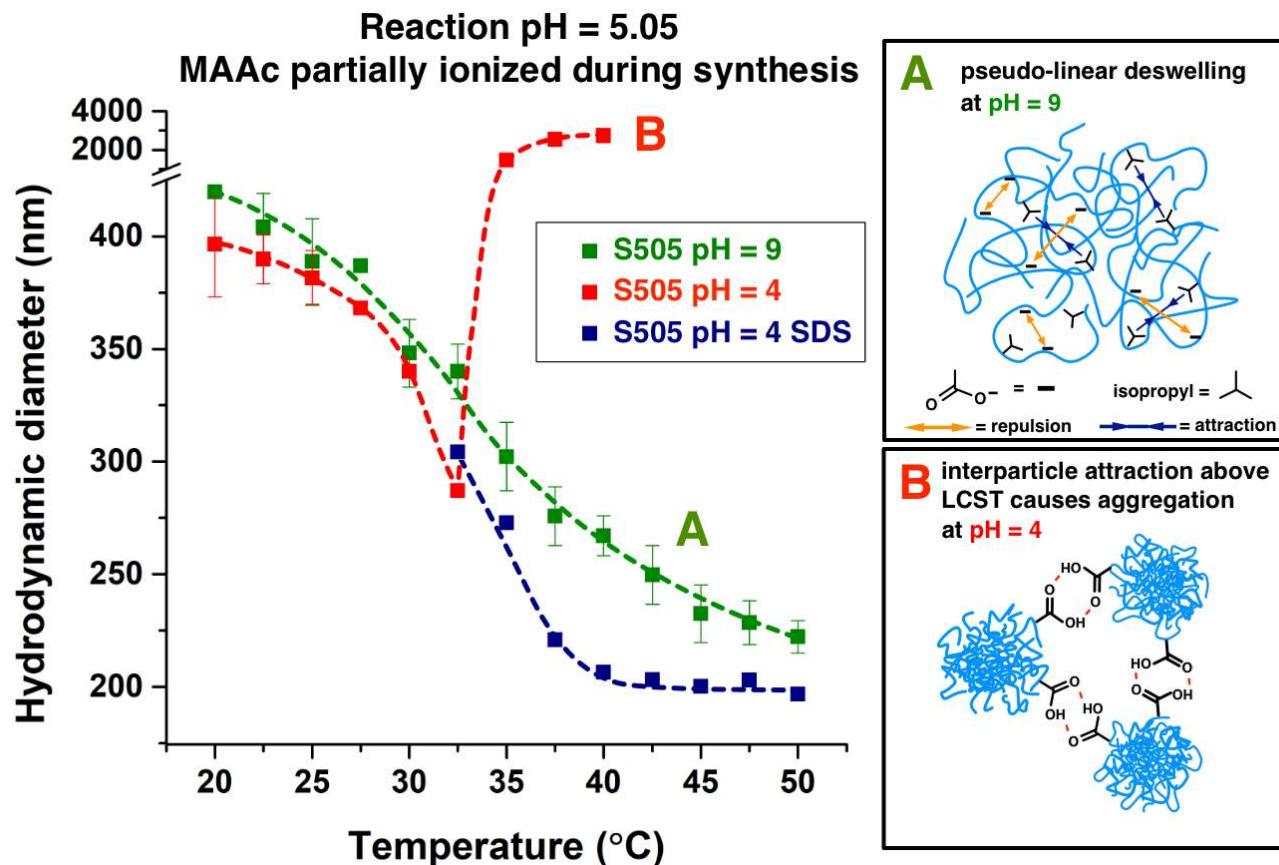


1
2 **Figure 1.** D_h versus T profiles for S304 at pH=4 and pH=9. Dashed lines are visual aids. Panel A: at pH=4 the presence of protonated -
3 COOH residues at the particle core leads to H-bonding intra-particle interactions. Particles remain stable above the pNiPAm LCST due to
4 inter-particle repulsive interactions stemming from residual initiator charged groups with very low pK_a . Panel B: at pH=9, the two-step
5 deswelling profile is indicative of regions with distinct VPTTs. The particle core is rich in ionized $-COO^-$ groups which hinder the collapse
6 of the network. Network chains near the periphery are subjected primarily to attractive hydrophobic interactions and collapse at lower
7 temperatures compared to the core.

8
9 This picture is contrasted with the thermal response at pH = 4, where the VPT is suppressed to a lower
10 temperature than the LCST of PNiPAm. Such a phenomena is not unexpected as it is known that weak
11 polyacids are known to form hydrogen bonds among the acid units and even with NIPAM [34],[36].
12 Thus, the fully protonated MAAC residues at the core (Figure 1, Panel B) favor polymer-polymer
13 interactions by the combined effect of reduced hydrophilicity and hydrogen bonding between protonated
14 segments. It is noteworthy that S304, in contrast to S505 and S738, remained colloidally stable at pH=4.
15 This behavior can be ascribed to the presence of KPS charged fragments throughout the volume of all
16 the particles, which, only in the case of the low pH where methacrylic acid is not present at the surface,
17 provides stabilization of the particles [37],[38]. The inference of the carboxylic acid topology by DLS
18 given above is purely phenomenological, and albeit analogous explanations have been reported for the

1 pNiPAm-co-AAc (AAc: acrylic acid) system [34], we substantiated these results only after the combined
 2 study with SEM/TEM and modeling, as it will be discussed below.

3 The deswelling profile of S505 (intermediate degree of MAAc dissociation during
 4 polymerization) at pH=9 testing conditions lacks a clear VPTT, albeit in this case multistep deswelling
 5 cannot be identified. We characterize this profile as quasi-linear and we hypothesize that it stems from
 6 a uniform distribution of carboxylic acid residues (Figure 2, Panel A). A similar thermal response in a
 7 pNiPAm-based microgel system, also arising from competitive interactions, has been reported by Zeiser
 8 *et al.* [39]; an innate osmotic term drives particle swelling below LCST while a strain term favors particle
 9 collapse.



10
 11 **Figure 2.** D_h versus T profiles for S505 at pH=4 and pH=9. Dashed lines are visual aids. Panel A: at pH=4 the presence of protonated -
 12 COOH residues at the particle surface leads to H-bonding inter-particle interactions and particles are unstable above the pNiPAm LCST.
 13 Panel B: at pH=9, a quasi-linear deswelling profile, characteristic of a uniform distribution of charged groups and the gradual deswelling
 14 as a result of the balance between opposing terms.

15
 16 Strikingly, at pH=4 testing conditions and above 32.5 °C, S505 formed micron sized aggregates,
 17 indicating that interparticle attractive interactions in this case overcome the electrostatic repulsive forces
 18 induced by the KPS fragments. This provides straightforward evidence for the presence of surface

1 carboxylic acid groups (Figure 2, Panel B). Below the LCST of PNiPAm, all samples are colloidally
2 stable because i) particles are hydrophilic and osmotically swollen, ii) surface pNiPAm chains induce
3 steric repulsion and iii) the surface bears residual charges from initiator fragments. When particles come
4 in close proximity, inter-particle H-bonding in S505 is counterbalanced by the other repulsive terms.
5 Above LCST, the particles are in their deswollen state, driven by the hydrophobic interactions of the
6 isopropyl groups and the expulsion of water from the amides. This happens throughout the volume and
7 on the surface, which means that the steric term is ruled-out and replaced by an attractive term. S304
8 particles which do not have protonated MAAc on the surface remain stable because the initiator charge
9 is sufficient to counterbalance the interparticle attraction induced by pNiPAm phase separation. S505
10 still bears surface initiator charge, but in contrast to S304, also bears protonated MAAc on the surface.
11 Aggregation is observed because of the presence of an additional attractive term, beyond that induced
12 by surface phase separated pNiPAm, which is ascribed to interparticle H-bonding. Interparticle
13 hydrophobic interactions between the methyl groups of MAAc are also expected to contribute to the
14 aggregation effect. Moreover, the combined manifestation of a quasi-linear thermal profile at pH=9 and
15 aggregation due to inter-particle attractive interactions, verifies that -COOH groups are distributed
16 throughout the volume of the S505 particles. In order to circumvent the temperature aggregation effect
17 and complete the DLS thermal study at the acidic pH testing conditions, the sample was measured at
18 $C_{SDS} = 0.82 \text{ mM}$ which is tenfold smaller than the CMC and ensures the absence of SDS micelles inside
19 the microgels network.

20 S738 exhibited a unique thermal behavior, that suggests a distribution of charge that is distinct
21 from that found in S505 and S304. At pH=9, S738 adopts the typical sigmoidal deswelling profile
22 expected for pristine pNiPAm microgels, with a VPT in the vicinity of 32.5 °C. This picture implies a
23 minor volumetric distribution of charge and a preferential accumulation near the microgel periphery.
24 When heated at pH=4, S738 exhibits a similar aggregation behavior with S505, which was once again
25 alleviated with the addition of SDS (Figure 3, Panel A).

26

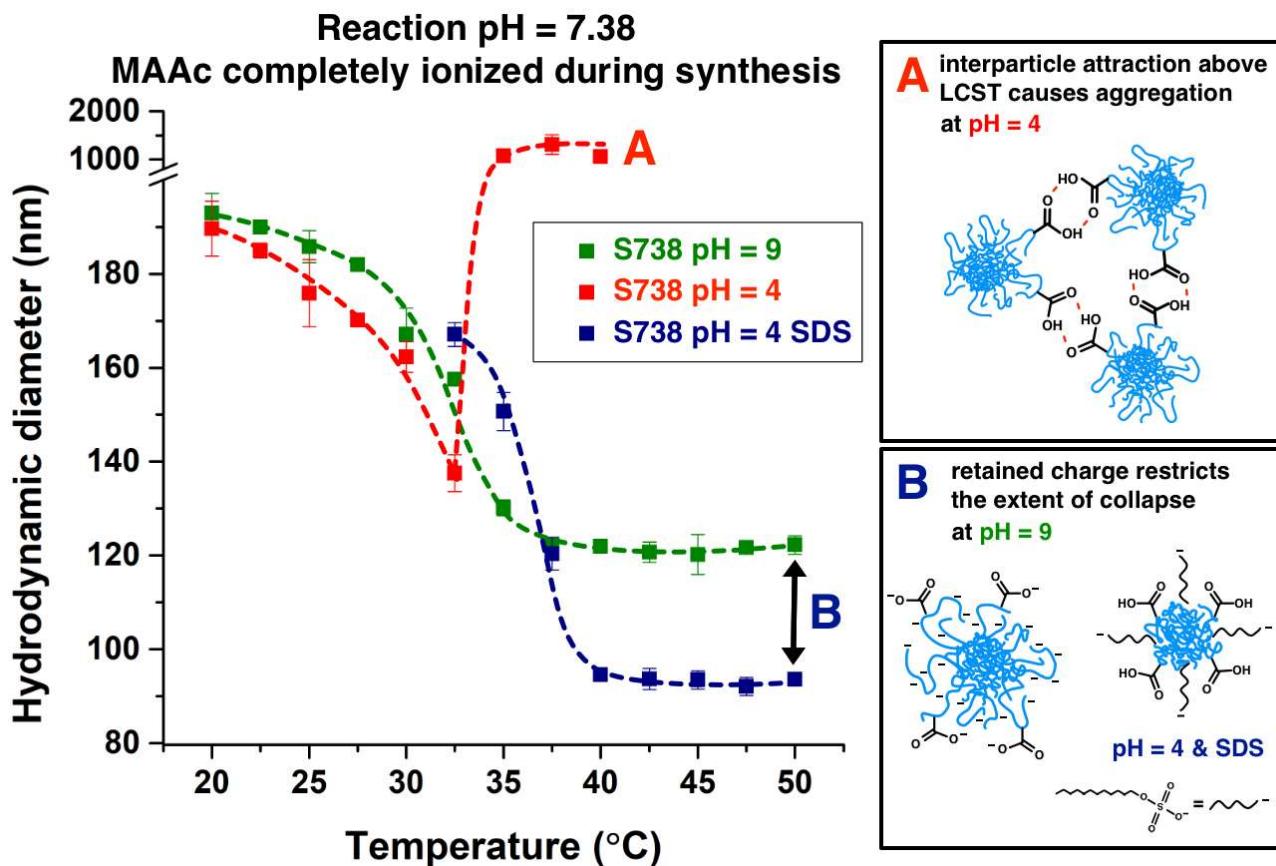


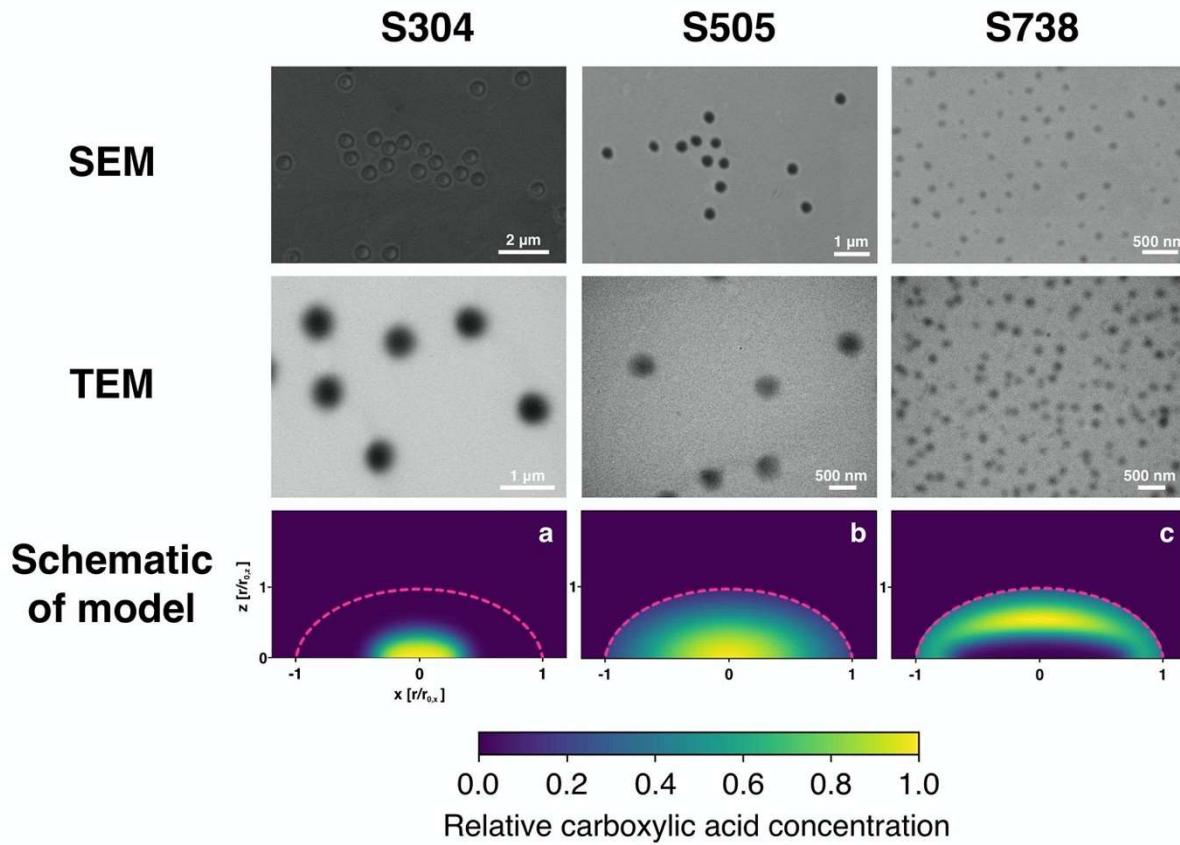
Figure 3. D_h versus T profiles for S738 at pH=4 and pH=9. Dashed lines are visual aids. Panel A: at pH=4 the behavior is similar to S505. Panel B: at pH=9, the charged hairy shell opposes deswelling and a substantial difference in hydrodynamic dimensions is evident between the collapsed states at pH=4 in the presence of SDS.

5
6 Although the microgel dimensions is expected to remain unaffected by the presence of SDS at $C_{SDS} \ll$
7 CMC_{SDS} [40], a higher size is recorded at 32.5 °C. We ascribe this difference to the shift of the VPT to
8 the right as a result of the adsorption of unimer SDS molecules inside the particle network [41]. A similar
9 but smaller effect is observed for S505. While no pH induced swelling was evident at 20 °C, S738
10 exhibited a notable difference in size in the collapsed state, between the alkaline and acidic testing
11 conditions (Figure 4, Panel B), which correlates further with the presence of a charged periphery that
12 thwarts particle collapse.

13 **Electron Microscopy Study.** While DLS has the advantage of probing particles in their native state,
14 charge distribution information was indirectly inferred through the thermal behavior. On the other
15 extreme, electron microscopy yields information at the individual particle level, and with nanoscale
16 resolution; however, particles are interrogated in the collapsed, dehydrated form. In order to reliably
17 correlate the greyscale intensity in TEM with the local concentration of carboxylic acid groups, some
18 conditions should hold. Firstly, uranyl cations should bind exclusively to MAAc and present negligible

1 non-specific binding to NiPAm residues. This feature has been demonstrated in the work of Lyon and
2 Jones [42], where only the shell of PNiPAm core/P(NiPAm-co-AAc) shell particles was stained by the
3 heavy metal cations. Secondly, the staining of the MAAc residues should be quantitative, which in our
4 study was ensured by the treatment of the suspensions with an excess of uranyl cations in respect to the
5 acid residues. Furthermore, our particles are spherically symmetric and well-isolated from the
6 background, which facilitates mathematical averaging. It is important to note that relative intensity
7 distributions are calculated and not absolute values. This approach of grayscale analysis of TEM images
8 to quantitatively determine carboxylic acid distributions of uranyl acetate stained P(NiPAm-co-MAAc)
9 microgels has been used previously by Hoare et al. [29]. In order to obtain the volume normalized
10 distribution of charge with respect to normalized dimensions ($[-\text{COOH}]$ versus r/r_0) and enable the direct
11 comparison across samples, a clear particle boundary must be defined. We have unambiguously defined
12 r_0 by performing SEM imaging of the same samples used in the TEM study (Figure 4). Finally, we note
13 that isoelectric titrations have been employed in the literature for the investigation of $-\text{COOH}$ topology
14 through the dependence of pK_a on the degree of ionization, α , of MAAc, but since conflicting correlative
15 trends were identified for this material system [22],[23] we chose to use direct morphological imaging
16 with TEM.

17

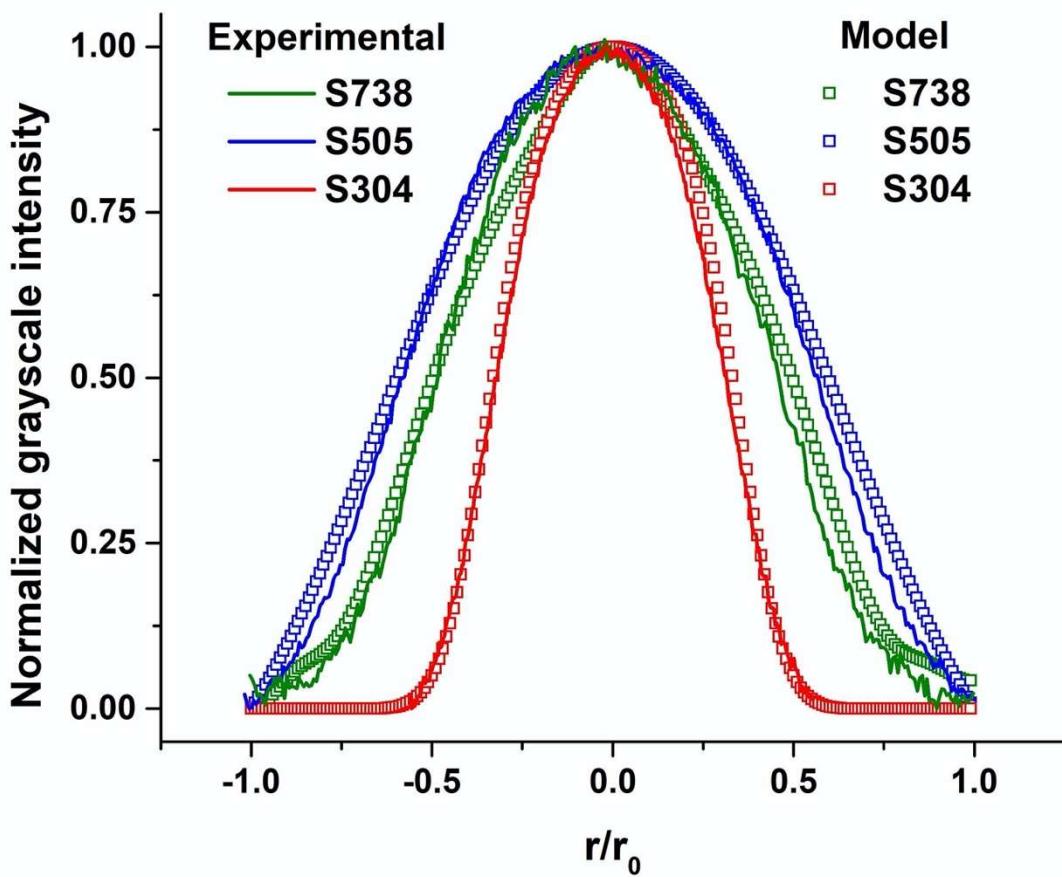


1
2 **Figure 4.** SEM/TEM photomicrographs of samples and XZ cross-sections of the modeled elliptically deformed particles showing
3 distribution of -COOH groups. Yellow color in the schematic of the model denotes high concentration of -COOH groups. Normalized
4 dimensions are shown; particle boundary, r_0 , is indicated with pink dashed line.

5
6 In the TEM images, contrast arises from uranium atoms bound to the carboxylic acid species; in the case
7 of S304 where MAAc is polymerized faster than NiPAm, only the core is identified. On the other hand,
8 in the SEM images, the brighter (MAAc rich) core is surrounded by a darker (MAAc depleted) shell,
9 allowing for the direct derivation of both the core dimensions and the overall particle dimensions, r_0 . In
10 accordance with the DLS results and our hypothesis that slower MAAc kinetics with increasingly
11 alkaline conditions would extend the distribution of -COOH species towards the particle periphery, the
12 overall particle size by TEM and SEM was identical in the cases of S505 and S738.

13 Further support for the proposed morphologies comes from examining the line profiles of the
14 TEM images. In order interpret the line profiles, deviation from the ideal spherical shape of particles in
15 suspension must be taken into account. The mechanical rigidity of microgels is correlated with the degree
16 of crosslinking and typically pNiPAm microgels with BIS content less than 10% are considered soft. As
17 a result, dehydrated microgels exhibit a substantial shape deformation when cast on solid substrates [43].
18 SEM imaging at tilted angles (SI Figure 2) reveals deformations that are consistent with the previous

1 studies. The -COOH group topologies in each sample were extracted by utilizing models that depicted
 2 different possible distributions of the -COOH group in the distorted collapsed form as is the situation
 3 while performing TEM studies; in all cases, the collapsed microgel was approximated as a semi-spheroid
 4 with the minor axis perpendicular to the Formvar substrate. A core distribution, a core-shell distribution
 5 and a heterogeneous shell distribution were modeled and the corresponding projected radial distribution
 6 profiles were compared with the line profiles obtained from the TEM images to ascertain the -COOH
 7 topology in each sample. We note that although the treatment of the collapsed microgel as a semi-
 8 spheroid is a first approximation of the intricate particle deformation process on the Formvar substrate,
 9 this simple model matches well with the experimental data of the TEM grayscale analysis (Figure 6) and
 10 evidently captures the different -COOH group topologies in the samples. The deviations in the curvature
 11 of the profiles predicted by the model at the extremes of the microgel can be attributed to the deviation
 12 of the semi-spheroid approximation from the “sombrero-like” morphologies captured in the SEM
 13 micrographs.



1 **Figure 5.** Greyscale analysis of the TEM images is directly correlated with the spatial distribution of -COOH groups. The experimental
2 profiles are in excellent agreement with the predictions of our model which takes into account the effect of particle deformation on the
3 Formvar substrate.

4

5 **Reaction pH affects size.** Final microgel size is governed by the stability of primary nuclei formed in
6 the early stages of polymerization [44]. We have ruled out the likelihood of smaller particle size in
7 increasingly alkaline conditions due to the production of a higher polymer sol fraction since the particle
8 yield is consistent across samples (SI Table 1). We ascribe the size modulation to the inherent surfactant-
9 like nature of the p(NiPAm-co-MAAc) chains with charged substituents at $T > LCST$. At the
10 polymerization temperature, the growing chains are composed of dissociated $MAAc^-Na^+$ monomeric
11 units and NiPAm residues bearing isopropyl groups. The coexistence of hydrophobic/hydrophilic
12 species induces surfactant action, which is also qualitatively verified by the intense foaming of the
13 reaction at $pH=7.38$ if Ar sparging is continued after the 20 sec imposed for monomer mixing. In order
14 to interpret the pH-induced size modulation effect we turned our attention to the established model of
15 SDS size modulation [45]. The analogies with our system are summarized in Figure 6. From a
16 mechanistic viewpoint, i) the growing primary chains form aggregates termed as primary nuclei, ii) these
17 primary nuclei further aggregate to form precursor particles, iii) the accumulation of charge on the
18 surface of precursor particles hinders further aggregation and iv) particles grows by the attachment of
19 oligomers or direct monomer addition. In our case, the growing chains are amphiphilic in nature which
20 translates into precursor particles reaching the critical surface charge to volume ratio for colloidal
21 stability at lower degrees of primary nuclei association. In other words, the final microgel size is smaller
22 because the total monomer content is partitioned in a higher number of precursor particles.

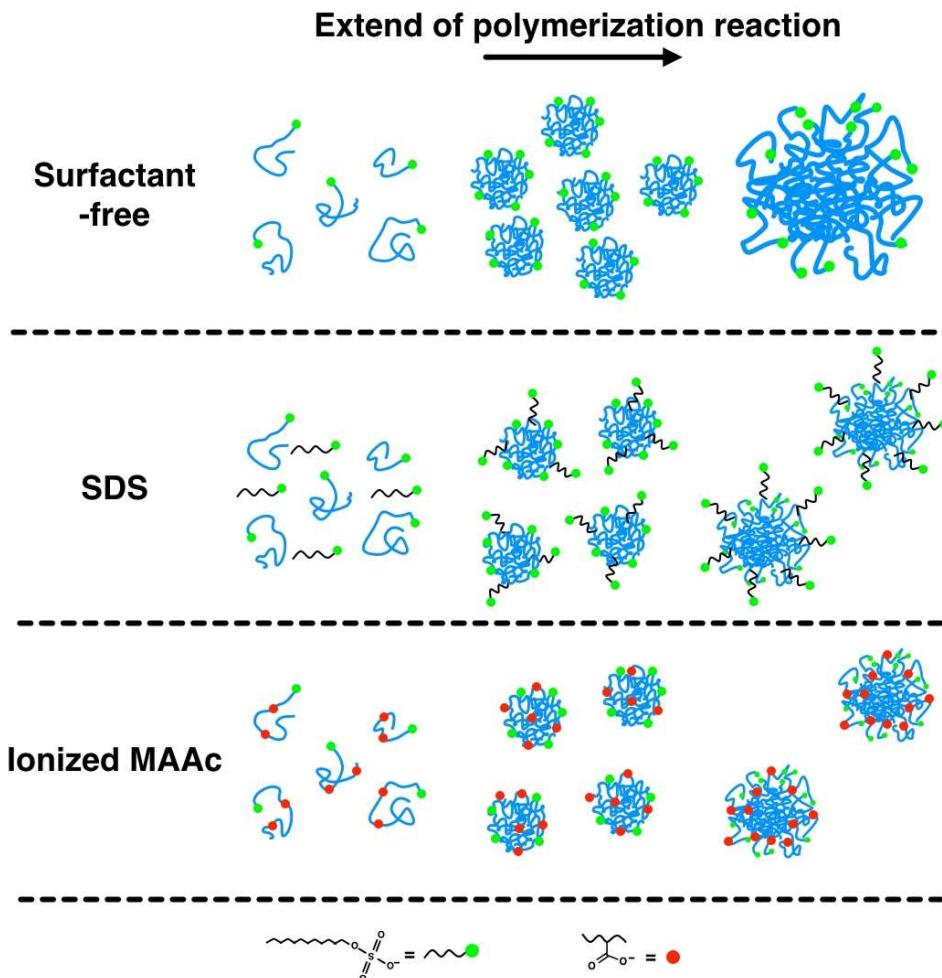


Figure 6. Cartoon of the proposed mechanism of surfactant action.

Reaction pH affects carboxylic acid distribution. The significance of pH as a compositional handle was highlighted in a recent work by Scott *et al.* [46] where they studied a linear terpolymer system consisted of acrylamide, acrylic acid and 2-acrylamido-2-methylpropane sulfonic acid. We postulated that a direct analogy would hold for our microgel terpolymers of NiPAm-BIS-MAAc. At the low polymerization conditions (pH=3.04), MAAc is polymerized faster than NiPAm and tracks more closely the kinetics of BIS leading to a preferential localization to the particles' core. This was independently verified by DLS and electron microscopy and is in line with the literature. At the intermediate reaction pH (pH=5.05) the system is at the regime of the so-called crossover conditions and the reaction is a quaterpolymerization of NiPAm/BIS/MAAc/MMAc⁻Na⁺. In this case, the uncharged fraction of the MAAc monomer is incorporated towards the particle core as a result of $k_{\text{MAAc}} > k_{\text{NiPAm}}$. The remaining charged MAAc⁻Na⁺ will react in the early stages of polymerization at a slower rate than NiPAm (and cause the more effective stabilization of primary nuclei, resulting in $D_{h,S738} < D_{h,S505} < D_{h,S304}$), while the

1 rest is polymerized at a later stage where the particle has evolved, thus enriching the particle with
2 carboxyl groups towards the shell. The spatial distribution of -COOH can be regulated by pH, as
3 evidenced by the preferential incorporation of charge towards the shell in the case of S738. Finally, we
4 note that the DLS thermal profile of S738 is consistent with a more hairy surface than S505 and S304
5 due to the faster BIS kinetics in the presence of $\text{MAAc}^- \text{Na}^+$ [29].
6

7 **Conclusions**

8 We have presented a novel one-pot surfactant-free method for the modulation of size and carboxylic acid
9 group distribution in pNiPAm-based thermoresponsive microgels. As an improved alternative to
10 previously reported semi-batch protocols which relied on the use of feeding pumps for the control of
11 microgel physicochemical characteristics [22],[23],[30],[47], we identified reaction pH as a facile
12 process variable which generates equivalent modulation of charge distribution. Our hypothesis of pH
13 controlled charge distribution was based on three concepts: i) the dependence of kinetic reactivity of
14 MAAc on the degree of ionization [26], ii) the modulation of the degree of ‘blockiness’ of linear MAAc
15 copolymers by reaction pH [27] and iii) the manifestation of solution polymerization kinetics in
16 precipitation polymerization, suggesting that linear chains grow in the continuous phase prior attachment
17 to the dispersed phase [28]. We examined three cases of reaction conditions at pH = 3.04, pH = 5.05 and
18 pH = 7.38, corresponding to fully protonated, partially dissociated and fully ionized forms of the MAAc
19 monomer, respectively. Specifically, we validated the hypothesis that the relative reactivity difference
20 of these monomer forms with the main monomer NiPAm resulted in the tailoring of three different
21 charge topologies, namely core-localized (S304), homogeneous (S505) and shell-localized (S738).
22 Additionally, a size modulation effect ($D_{h,S304} > D_{h,S505} > D_{h,S738}$) was observed. We rationalized that the
23 monotonically increasing surfactant character of the growing p(NiPAm-*co*-MAAc) chains as a function
24 of reaction pH correlates with a gradually more effective stabilization of primary nuclei which explains
25 the size trend.

26 We combined DLS with SEM/TEM for the characterization of the colloids and developed a
27 mathematical model for the interpretation of the TEM greyscale data. The microgel deswelling profiles
28 and colloidal stability, as tested in alkaline and acidic conditions, served as strong indicators of the
29 underlying charge topologies, which were substantiated by TEM greyscale image analysis of uranyl
30 acetate stained microgels. Building on a quantitative relationship between signal intensity and local
31 functional group concentration through electron and super resolution microscopy of microgel colloids
32 that has been previously established in the literature [22],[29],[48],[49],[50], we developed a simple

1 protocol whereby 2D images extracted with the established techniques are used for the estimation of 3D
2 spatial information. Assuming a semi-spheroid as a first-approximation for the observed “sombrero-like”
3 morphology, our model captures the underlying charge distributions while accounting for drying induced
4 distortions. We anticipate the methodology developed here for the extraction of quantitative information
5 from greyscale analysis, will find utility in similar systems which are known to be affected by
6 deformation during the measurement conditions. Lastly, we are exploring the scalability of our one-pot,
7 non-stirred and single-process variable method for multi-gram batches of functional soft colloids.
8

9 **Conflicts of interest**

10 There are no conflicts to declare.
11

12 **Acknowledgements**

13 This work is based in part upon work supported by the National Science Foundation under grant no.
14 1654599 and American Chemical Society Petroleum Research Foundation under grant no. 56823DNI7.
15 We thank Prof. Helen Zha and Prof. Edmund Palermo for graciously allowing use of their laboratory
16 facilities. RPI staff at the CBIS Proteomics and Analytical Biochemistry Cores are acknowledged for
17 facilitating part of the experimental work.
18

19 **Bibliography**

- 20 [1] Wu Q, Su T, Mao Y, Wang Q. Thermal responsive microgels as recyclable carriers to immobilize active
21 proteins with enhanced nonaqueous biocatalytic performance. *Chem Commun* 2013;49:11299.
22 <https://doi.org/10.1039/c3cc46161k>.
- 23 [2] Dubey NC, Tripathi BP, Müller M, Stamm M, Ionov L. Enhanced Activity of Acetyl CoA Synthetase
24 Adsorbed on Smart Microgel: an Implication for Precursor Biosynthesis. *ACS Appl Mater Interfaces*
25 2015;7:1500–7. <https://doi.org/10.1021/am5063376>.
- 26 [3] Zhang et al. - 2014 - Optical Devices Constructed from Multiresponsive M.pdf n.d.
- 27 [4] Contreras-Cáceres R, Pastoriza-Santos I, Alvarez-Puebla RA, Pérez-Juste J, Fernández-Barbero A, Liz-
28 Marzán LM. Growing Au/Ag Nanoparticles within Microgel Colloids for Improved Surface-Enhanced
29 Raman Scattering Detection. *Chemistry – A European Journal* 2010;16:9462–7.
30 <https://doi.org/10.1002/chem.201001261>.
- 31 [5] Kwok M, Ambreen J, Ngai T. Correlating the effect of co-monomer content with responsiveness and
32 interfacial activity of soft particles with stability of corresponding smart emulsions. *Journal of Colloid and*
33 *Interface Science* 2019;546:293–302. <https://doi.org/10.1016/j.jcis.2019.03.072>.
- 34 [6] Suzuki D, Kobayashi T, Yoshida R, Hirai T. Soft actuators of organized self-oscillating microgels. *Soft*
35 *Matter* 2012;8:11447. <https://doi.org/10.1039/c2sm26477c>.
- 36 [7] Serpe MJ, Yarmey KA, Nolan CM, Lyon LA. Doxorubicin Uptake and Release from Microgel Thin Films.
37 *Biomacromolecules* 2005;6:408–13. <https://doi.org/10.1021/bm049455x>.
- 38 [8] Das M, Mardyani S, Chan WCW, Kumacheva E. Biofunctionalized pH-Responsive Microgels for Cancer
39 Cell Targeting: Rational Design. *Adv Mater* 2006;18:80–3. <https://doi.org/10.1002/adma.200501043>.

1 [9] Karg M, Pich A, Hellweg T, Hoare T, Lyon LA, Crassous JJ, et al. Nanogels and Microgels: From Model
2 Colloids to Applications, Recent Developments, and Future Trends. *Langmuir* 2019;35:6231–55.
3 <https://doi.org/10.1021/acs.langmuir.8b04304>.

4 [10] Agrawal G, Agrawal R. Functional Microgels: Recent Advances in Their Biomedical Applications. *Small*
5 2018;14:1801724. <https://doi.org/10.1002/smll.201801724>.

6 [11] Arleth L, Xia X, Hjelm RP, Wu J, Hu Z. Volume transition and internal structures of small poly(*N* -
7 isopropylacrylamide) microgels. *J Polym Sci B Polym Phys* 2005;43:849–60.
8 <https://doi.org/10.1002/polb.20375>.

9 [12] Pelton R. Poly(*N*-isopropylacrylamide) (PNIPAM) is never hydrophobic. *Journal of Colloid and Interface*
10 *Science* 2010;348:673–4. <https://doi.org/10.1016/j.jcis.2010.05.034>.

11 [13] Hoare T, Pelton R. Highly pH and Temperature Responsive Microgels Functionalized with Vinylacetic
12 Acid. *Macromolecules* 2004;37:2544–50. <https://doi.org/10.1021/ma035658m>.

13 [14] Yin J, Hu H, Wu Y, Liu S. Thermo- and light-regulated fluorescence resonance energy transfer processes
14 within dually responsive microgels. *Polym Chem* 2011;2:363–71. <https://doi.org/10.1039/C0PY00254B>.

15 [15] Zhang QM, Wang W, Su Y-Q, Hensen EJM, Serpe MJ. Biological Imaging and Sensing with
16 Multiresponsive Microgels. *Chem Mater* 2016;28:259–65. <https://doi.org/10.1021/acs.chemmater.5b04028>.

17 [16] Hoare T, Pelton R. Charge-Switching, Amphoteric Glucose-Responsive Microgels with Physiological
18 Swelling Activity. *Biomacromolecules* 2008;9:733–40. <https://doi.org/10.1021/bm701203r>.

19 [17] Hoare T, Pelton R. Impact of Microgel Morphology on Functionalized Microgel–Drug Interactions.
20 *Langmuir* 2008;24:1005–12. <https://doi.org/10.1021/la7024507>.

21 [18] Scheidegger L, Fernández-Rodríguez MÁ, Geisel K, Zanini M, Elnathan R, Richtering W, et al.
22 Compression and deposition of microgel monolayers from fluid interfaces: particle size effects on interface
23 microstructure and nanolithography. *Phys Chem Chem Phys* 2017;19:8671–80.
24 <https://doi.org/10.1039/C6CP07896F>.

25 [19] Hoare T, Young S, Lawlor MW, Kohane DS. Thermoresponsive nanogels for prolonged duration local
26 anesthesia. *Acta Biomaterialia* 2012;8:3596–605. <https://doi.org/10.1016/j.actbio.2012.06.013>.

27 [20] Lindman S, Lynch I, Thulin E, Nilsson H, Dawson KA, Linse S. Systematic Investigation of the
28 Thermodynamics of HSA Adsorption to N-iso-Propylacrylamide/N-tert-Butylacrylamide Copolymer
29 Nanoparticles. Effects of Particle Size and Hydrophobicity. *Nano Lett* 2007;7:914–20.
30 <https://doi.org/10.1021/nl062743+>.

31 [21] Hoare T, Pelton R. Characterizing charge and crosslinker distributions in polyelectrolyte microgels. *Current*
32 *Opinion in Colloid & Interface Science* 2008;13:413–28. <https://doi.org/10.1016/j.cocis.2008.03.004>.

33 [22] Sheikholeslami P, Ewaschuk CM, Ahmed SU, Greenlay BA, Hoare T. Semi-batch control over functional
34 group distributions in thermoresponsive microgels. *Colloid Polym Sci* 2012;290:1181–92.
35 <https://doi.org/10.1007/s00396-012-2642-x>.

36 [23] Wei J, Li Y, Ngai T. Tailor-made microgel particles: Synthesis and characterization. *Colloids and Surfaces*
37 *A: Physicochemical and Engineering Aspects* 2016;489:122–7.
38 <https://doi.org/10.1016/j.colsurfa.2015.10.042>.

39 [24] Zhou S, Chu B. Synthesis and Volume Phase Transition of Poly(methacrylic acid- *co* - *N* -
40 isopropylacrylamide) Microgel Particles in Water. *J Phys Chem B* 1998;102:1364–71.
41 <https://doi.org/10.1021/jp972990p>.

42 [25] Rintoul I, Wandrey C. Polymerization of ionic monomers in polar solvents: kinetics and mechanism of the
43 free radical copolymerization of acrylamide/acrylic acid. *Polymer* 2005;46:4525–32.
44 <https://doi.org/10.1016/j.polymer.2005.04.005>.

45 [26] Lacík I, Učňová L, Kukučková S, Buback M, Hesse P, Beuermann S. Propagation Rate Coefficient of Free-
46 Radical Polymerization of Partially and Fully Ionized Methacrylic Acid in Aqueous Solution.
47 *Macromolecules* 2009;42:7753–61. <https://doi.org/10.1021/ma9013516>.

48 [27] Dubey A, Burke NAD, Stöver HDH. Preparation and characterization of narrow compositional distribution
49 polyampholytes as potential biomaterials: Copolymers of *N* -(3-aminopropyl)methacrylamide hydrochloride
50 (APM) and methacrylic acid (MAA). *J Polym Sci Part A: Polym Chem* 2015;53:353–65.
51 <https://doi.org/10.1002/pola.27377>.

52 [28] Virtanen OLJ, Richtering W. Kinetics and particle size control in non-stirred precipitation polymerization of
53 *N*-isopropylacrylamide. *Colloid Polym Sci* 2014;292:1743–56. <https://doi.org/10.1007/s00396-014-3208-x>.

1 [29] Hoare T, McLean D. Kinetic Prediction of Functional Group Distributions in Thermosensitive Microgels. *J
2 Phys Chem B* 2006;110:20327–36. <https://doi.org/10.1021/jp0643451>.

3 [30] Acciari R, Gilányi T, Varga I. Preparation of Monodisperse Poly(*N* -isopropylacrylamide) Microgel
4 Particles with Homogenous Cross-Link Density Distribution. *Langmuir* 2011;27:7917–25.
5 <https://doi.org/10.1021/la2010387>.

6 [31] Karanastasis AA, Kenath GS, Sundararaman R, Ullal CK. Quantification of functional crosslinker reaction
7 kinetics *via* super-resolution microscopy of swollen microgels. *Soft Matter* 2019;15:9336–42.
8 <https://doi.org/10.1039/C9SM01618J>.

9 [32] Smith MH, Herman ES, Lyon LA. Network Deconstruction Reveals Network Structure in Responsive
10 Microgels. *J Phys Chem B* 2011;115:3761–4. <https://doi.org/10.1021/jp111634k>.

11 [33] Hoare T, Pelton R. Functional Group Distributions in Carboxylic Acid Containing Poly(*N* -
12 isopropylacrylamide) Microgels. *Langmuir* 2004;20:2123–33. <https://doi.org/10.1021/la0351562>.

13 [34] Kratz K, Hellweg T, Eimer W. Influence of charge density on the swelling of colloidal poly(*N*-
14 isopropylacrylamide-co-acrylic acid) microgels. *Colloids and Surfaces A: Physicochemical and Engineering
15 Aspects* 2000;170:137–49. [https://doi.org/10.1016/S0927-7757\(00\)00490-8](https://doi.org/10.1016/S0927-7757(00)00490-8).

16 [35] Burmistrova A, Richter M, Eissele M, Üzüm C, Von Klitzing R. The Effect of Co-Monomer Content on the
17 Swelling/Shrinking and Mechanical Behaviour of Individually Adsorbed PNIPAM Microgel Particles.
18 *Polymers* 2011;3. <https://doi.org/10.3390/polym3041575>.

19 [36] Sun W, An Z, Wu P. Hydrogen bonding reinforcement as a strategy to improve upper critical solution
20 temperature of poly(*N* -acryloylglycinamide- *co* -methacrylic acid). *Polym Chem* 2018;9:3667–73.
21 <https://doi.org/10.1039/C8PY00733K>.

22 [37] Pelton RH, Chibante P. Preparation of aqueous latices with N-isopropylacrylamide. *Colloids and Surfaces*
23 1986;20:247–56. [https://doi.org/10.1016/0166-6622\(86\)80274-8](https://doi.org/10.1016/0166-6622(86)80274-8).

24 [38] Tagit O, Tomczak N, Vancso GJ. Probing the Morphology and Nanoscale Mechanics of Single Poly(*N*-
25 isopropylacrylamide) Microgels Across the Lower-Critical-Solution Temperature by Atomic Force
26 Microscopy. *Small* 2008;4:119–26. <https://doi.org/10.1002/smll.200700260>.

27 [39] Zeiser M, Freudensprung I, Hellweg T. Linearly thermoresponsive core–shell microgels: Towards a new
28 class of nanoactuators. *Polymer* 2012;53:6096–101. <https://doi.org/10.1016/j.polymer.2012.10.001>.

29 [40] Woodward NC, Chowdhry BZ, Leharne SA, Snowden MJ. The interaction of sodium dodecyl sulphate with
30 colloidal microgel particles. *European Polymer Journal* 2000;36:1355–64. [https://doi.org/10.1016/S0014-3057\(99\)00207-4](https://doi.org/10.1016/S0014-
31 3057(99)00207-4).

32 [41] Tam KC, Ragaram S, Pelton RH. Interaction of Surfactants with Poly(*N*-isopropylacrylamide) Microgel
33 Latexes. *Langmuir* 1994;10:418–22. <https://doi.org/10.1021/la00014a015>.

34 [42] Jones CD, Lyon LA. Synthesis and Characterization of Multiresponsive Core–Shell Microgels.
35 *Macromolecules* 2000;33:8301–6. <https://doi.org/10.1021/ma001398m>.

36 [43] Horecha M, Senkovskyy V, Synytska A, Stamm M, Chervanyov AI, Kiriy A. Ordered surface structures
37 from PNIPAM-based loosely packed microgel particles. *Soft Matter* 2010;6:5980.
38 <https://doi.org/10.1039/c0sm00634c>.

39 [44] Pelton R, Hoare T. Microgels and Their Synthesis: An Introduction. Wiley Online Library 2011.
40 <https://onlinelibrary.wiley.com/doi/abs/10.1002/9783527632992.ch1> (accessed November 19, 2019).

41 [45] McPhee W, Tam KC, Pelton R. Poly(*N*-isopropylacrylamide) Latices Prepared with Sodium Dodecyl
42 Sulfate. *Journal of Colloid and Interface Science* 1993;156:24–30. <https://doi.org/10.1006/jcis.1993.1075>.

43 [46] Scott AJ, Duever TA, Penlidis A. The role of pH, ionic strength and monomer concentration on the
44 terpolymerization of 2-acrylamido-2-methylpropane sulfonic acid, acrylamide and acrylic acid. *Polymer*
45 2019;177:214–30. <https://doi.org/10.1016/j.polymer.2019.06.006>.

46 [47] Kardos A, Gilányi T, Varga I. How small can poly(*N*-isopropylacrylamide) nanogels be prepared by
47 controlling the size with surfactant? *Journal of Colloid and Interface Science* 2019;557:793–806.
48 <https://doi.org/10.1016/j.jcis.2019.09.053>.

49 [48] Bergmann S, Wrede O, Huser T, Hellweg T. Super-resolution optical microscopy resolves network
50 morphology of smart colloidal microgels. *Phys Chem Chem Phys* 2018;20:5074–83.
51 <https://doi.org/10.1039/C7CP07648G>.

1 [49] Otto P, Bergmann S, Sandmeyer A, Dirksen M, Wrede O, Hellweg T, et al. Resolving the internal
2 morphology of core–shell microgels with super-resolution fluorescence microscopy. *Nanoscale Adv*
3 2020;2:323–31. <https://doi.org/10.1039/C9NA00670B>.

4 [50] Karanastasis AA, Zhang Y, Kenath GS, Lessard MD, Bewersdorf J, Ullal CK. 3D mapping of nanoscale
5 crosslink heterogeneities in microgels. *Mater Horiz* 2018;5:1130–6. <https://doi.org/10.1039/C8MH00644J>.

6

7

8