

1 Dirhodium Tetracarboxylates: Privileged Catalysts for2 Selective Intermolecular C–H Functionalization

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8 **Abstract:** C–H Functionalization has become widely recognized as an exciting
9 new strategy for the synthesis of complex molecular targets. Instead of relying on
10 functional groups as the controlling elements of how molecules are assembled, it
11 offers a totally different logic for organic synthesis. For this type of strategy to be
12 successful, reagents and catalysts need to be developed that generate
13 intermediates that are sufficiently reactive to functionalize C–H bonds but still
14 capable of distinguishing between the different C–H bonds and other functional
15 groups present in a molecule. The most well-established approaches have tended
16 to use substrates that have inherently a favored site for C–H functionalization or
17 rely on intramolecular reactions to control where the reaction will occur. A
18 challenging but potentially more versatile approach would be to use catalysts to
19 control the site-selectivity without requiring the influence of any directing group.
20 One example that is capable of achieving such transformations is the C–H
21 insertion chemistry of transient metal carbenes. Dirhodium tetracarboxylates
22 have been shown to be especially effective catalysts for these reactions. This
23 review will highlight the development of these dirhodium catalysts and illustrate
24 their effectiveness to control both site-selective and stereoselective C–H
25 functionalization of a wide variety of substrates.

27 Introduction:

29 Numerous strategies have been developed to achieve site-selective C–H
30 functionalization and this area of research continues to be a very active field of study.^{1–12}

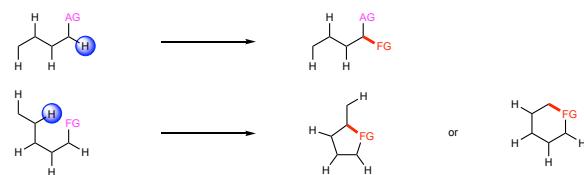
31 Some have a long history such as the venerable Hofmann–Löffler–Freytag reaction,
32 which achieves site-selective radical-induced hydrogen abstraction to occur by means
33 of intramolecular reactions.^{13–20} Numerous modern radical-induced C–H functionalization
34 reactions have been developed, including radical processes, but the site selectivity in
35 these reactions is usually controlled by the inherent reactivity profile of the substrate
36 (Fig. 1a).^{4,21–33} Enzymatic C–H oxidations are capable of exquisite site selectivity and
37 bio-inspired approaches to replicate such selectivity for a broad array of substrates is of
38 considerable interest.³⁴ The use of a directing group to coordinate to a metal catalyst,
39 which thus, places the metal in close proximity to a specific C–H bond has become a
40 very popular method for C–H functionalization and has been broadly applied for the
41 synthesis of pharmaceutical and other complex targets (Fig. 1b).^{35–44} This approach can
42 also be applied to enantioselective C–H functionalization by using relatively weak
43 directing groups and chiral ligands on the catalyst (Fig. 1c).^{45–47}

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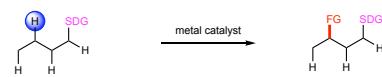
45 Considerable interest has also been shown in developing catalyst-controlled C–H
46 functionalization methodologies, which avoid having defined directing groups within the
47 substrate or prior coordination of the catalyst to the substrate (Fig. 1d).^{48–56} The most
48 extensively studied reactions have been C–H oxidation,⁵⁷ C–H borylation,⁵⁸ C–H
49 amination,⁵⁹ and C–H alkylation by metal carbenes.²⁹ This review will describe recent
50 progress in site-selective intermolecular sp³ C–H functionalization using rhodium
51 carbene intermediates.^{52–54,560–65} Dirhodium tetracarboxylates are the optimum catalysts
52 for these reactions, and this review will describe the evolution of these catalysts to the
53 current stage in which catalyst-controlled intermolecular functionalization of unreactive
54 primary, secondary or tertiary C–H bonds can be achieved by simply choosing the
55 appropriate catalyst.^{9,66–70}

56

a. Inherent selectivity



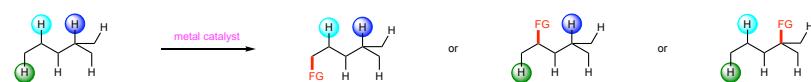
b. Strong directing group



c. Weak directing group/catalyst control



d. Catalyst control



59 **Figure 1: Introduction to the most significant strategies for site selective C–H functionalization. a:**
60 radical type reaction in which an activating group (AG) in the substrate causes a specific C–H bond to be
61 most reactive and the introduction of a functional group (FG) at that specific position. **b:** Strong directing
62 group (SDG) that coordinates to the metal catalyst and places it in close proximity to a specific C–H bond.
63 **c:** Weak directing group (WDG) that coordinated to the metal catalyst but the selectivity can be varied
64 depending on the nature of the ligands around the catalyst. **d:** Catalyst controlled C–H functionalization
65 without prior coordination to the catalyst, in which site selectivity is dependent on which catalyst is used.

67 **Background on Rhodium Carbene-Induced C–H Functionalization**
68

69 The first example of the metal carbene-induced intermolecular C–H functionalization
70 was the copper oxide-catalyzed reaction of cyclohexane (**1**) with ethyl diazoacetate (**2**)
71 to form the functionalized product **3**, reported by Scott in 1974 (Fig. 2a).⁷¹ This reaction
72 is proposed to proceed via a copper carbene intermediate **4**, and the carbene inserts
73 into the C–H bond. A few years later, Teyssié demonstrated that dirhodium
74 tetracarboxylates were far superior as catalysts compared to copper for intermolecular

75 C–H functionalization of alkanes with ethyl diazoacetate (Fig. 2b).⁷² The yields were
76 generally high for both cyclic and acyclic alkanes but the reaction generated mixtures of
77 products with substrates containing different C–H bonds, as illustrated in the reaction
78 with pentane (**5**), which generated all three possible products **6–8**. The rhodium catalyst
79 **9** is highly electrophilic and the electron-withdrawing ester group further reinforces this
80 characteristic. Consequently, the metal carbene is sufficiently reactive to insert into C–H
81 bonds but lacks the selectivity to distinguish effectively between different C–H bonds.
82 Since this period, efforts have been made to design catalysts to improve on the site
83 selectivity with ethyl diazoacetate. Silver complexes with bulky ligands such as **10**,
84 developed by Pérez⁷³ and rhodium complexes developed by Callott^{74–76} have been
85 shown to direct the C–H functionalization towards less crowded C–H bonds, but still, the
86 selectivity is relatively modest.⁷⁷ Iridium carbenes have modulated reactivity compared
87 to their silver- and rhodium-carbene counterparts, and enantioselective C–H
88 functionalization has been reported by Katsuki using the chiral iridium catalyst **11** but
89 the range of substrates applicable to these reactions have been limited to cycloalkanes
90 and cyclic ethers.²⁷ An example is the functionalization of tetrahydrofuran **12** with ethyl
91 diazopropionate **13** to form **14** in 90% enantiomeric excess (e.e.) (Fig. 2c).

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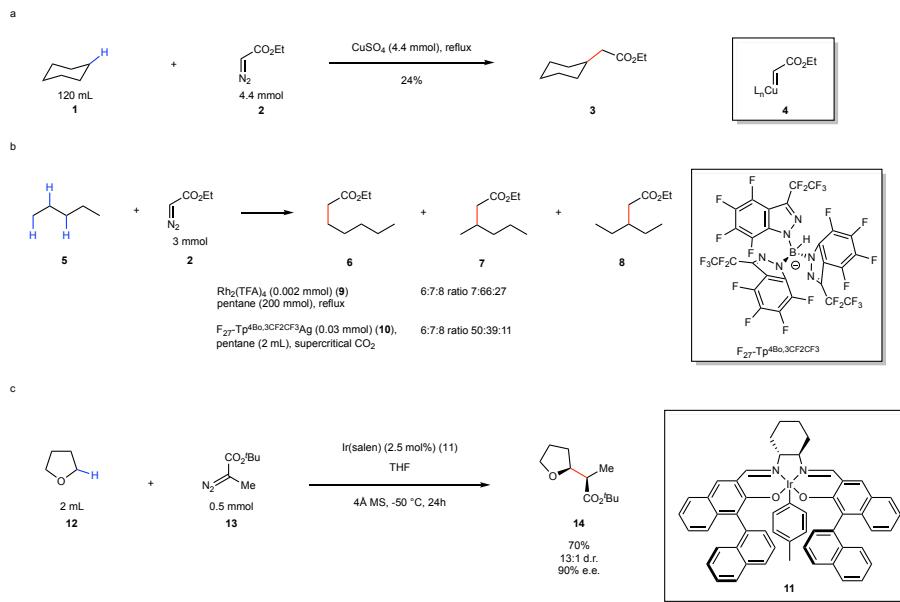
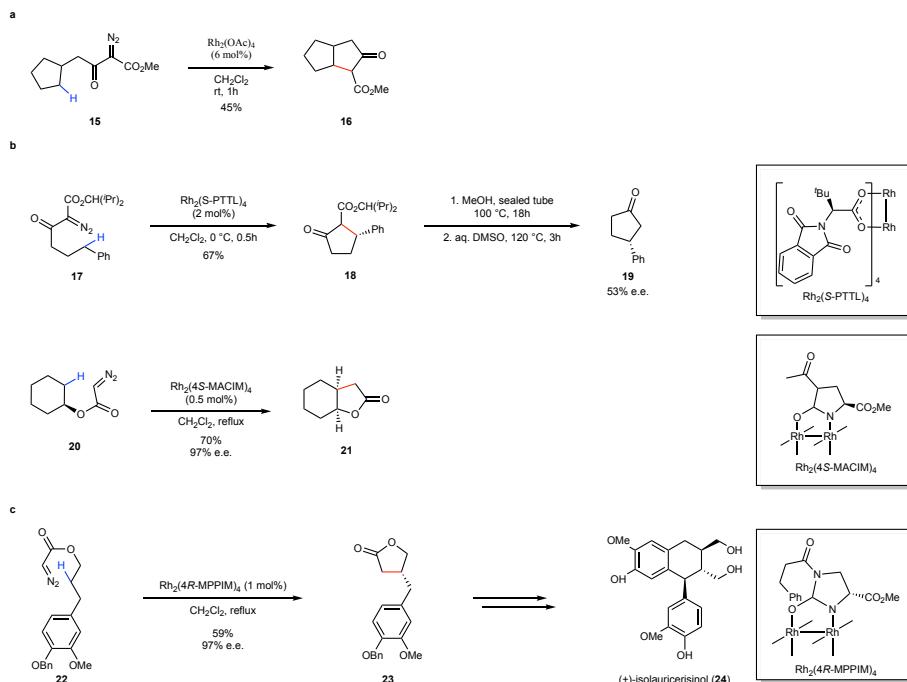


Figure 2: **Background to carbene-induced intermolecular C–H insertion with diazoacetates.** **a:** Seminal studies with copper catalysis. **b:** Enhanced reactivity with dirhodium tetracarboxylates as catalysts and enhanced site-selectivity with bulky silver catalysts. **c:** Enhanced enantioselectivity with an iridium catalyst.

In order to overcome the site-selectivity challenges of the carbene-induced C–H functionalization reaction, early studies in the 1980's focused on the intramolecular version, as illustrated in the rhodium acetate-catalyzed reaction of the diazoacetoacetate **15** to form the bicyclic **16** (Fig. 3a).⁷⁸ Cyclization to form five-membered rings is generally preferred, although with certain substrates four- or six-membered ring products are the preferred.^{70,79-82} The utility of the process was further enhanced with the development in the 1990's of enantioselective variants with the highly effective chiral dirhodium tetracarboxylates, such as $\text{Rh}_2(\text{S-PTTL})_4$ and dicarboxamides catalysts, such as $\text{Rh}_2(4\text{S-MACIM})_4$, developed by Hashimoto⁸³ and Doyle⁸⁴, respectively (Fig. 3b). Representative examples of the use of these catalysts, is the cyclization of the diazoacetoacetate **17** to form the bicyclic **18**, which after

111 deacylation, generated **19** in 53% e.e., and the desymmetrization of the diazoacetate **20**
 112 to from **21** in 97% e.e.. The synthetic potential of these intramolecular reactions is broad
 113 and the reaction has been applied to the synthesis of a variety of complex targets as
 114 illustrated in the conversion of **22** to **23** as a key step in the synthesis of (+)-
 115 isolauricerisinol **24** (Fig. 3c).⁸⁵ The intramolecular C–H functionalization is now well
 116 established and has been extensively reviewed⁷⁰ and will not be discussed further here.
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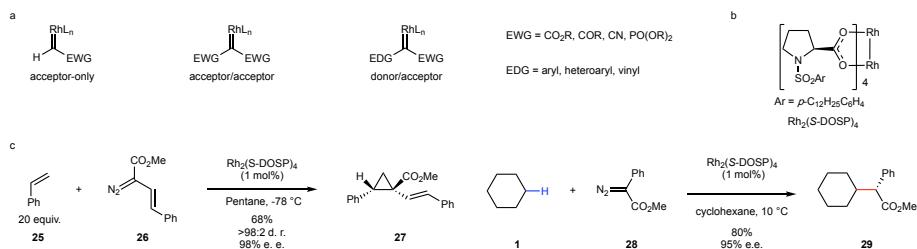


119
 120 Figure 3. **Overview of intramolecular C–H insertion reactions.** a: Early example using an achiral
 121 catalyst. b: Enantioselective C–H functionalization examples using chiral dirhodium catalysts. c: Example
 122 of a key carbene C–H functionalization step in the total synthesis of (+)-isolauricerisinol.

123 **Development of Donor/Acceptor Carbenes for C–H
 124 Functionalization**

126 The carbene generated from ethyl diazoacetate has been characterized as an “acceptor
127 carbene” (Fig. 4a), and these carbenes are generally too reactive for exceptional control
128 of site selectivity in intermolecular reactions.⁸⁶ Copper and rhodium carbenes have
129 limited back-bonding from the metal and thus are highly electrophilic. Addition of further
130 electron-withdrawing groups to the carbene increases the electrophilic character of the
131 carbene, which explains why the established acceptor and acceptor/acceptor carbenes
132 have had most success in intramolecular reactions. In order to achieve selectivity in
133 intermolecular reactions, less reactive and more selective types of carbenes would be
134 needed. The Davies group developed a new class of metal carbenes in the 1980’s, the
135 so called “donor/acceptor” carbenes, which contain both an acceptor group, such as an
136 ester, and a donor group, such as aryl or vinyl (Figure 4).^{87,88} During extensive early
137 studies on cyclopropanation reactions, his group discovered that these carbenes are
138 much more selective than the conventional carbenes lacking a donor group.
139 Furthermore, these carbenes will undergo highly enantioselective cyclopropanation
140 when the reactions are catalyzed by the rhodium proline catalysts $\text{Rh}_2(\text{DOSP})_4$, as
141 illustrated in the cyclopropanation of styrene **25** with the styryldiazoacetate **26** to form
142 **27** in 98% e. e.⁸⁹ The *n*-dodecyl group was incorporated into the catalyst to make it
143 soluble in hydrocarbon solvents, even at low temperature, which are the optimized
144 conditions for high asymmetric induction. In 1997, highly enantioselective C–H
145 functionalization of cycloalkanes catalyzed by $\text{Rh}_2(\text{DOSP})_4$ was reported, as illustrated
146 in the reaction of cyclohexane **2** with methyl aryl diazoacetate **28** to form **29** in 95% e.
147 e.²² These studies represented the first examples of highly enantioselective
148 intermolecular C–H functionalization by rhodium carbene intermediates. Recently, there
149 have been some interesting C–H functionalization studies going beyond donor/acceptor
150 carbenes to donor/donor carbenes. So far, the reported examples have been limited to
151 intramolecular versions,⁹⁰⁻⁹³ presumably because the carbenes are no longer sufficiently
152 reactive to undergo effective intermolecular C–H functionalization reactions.

153



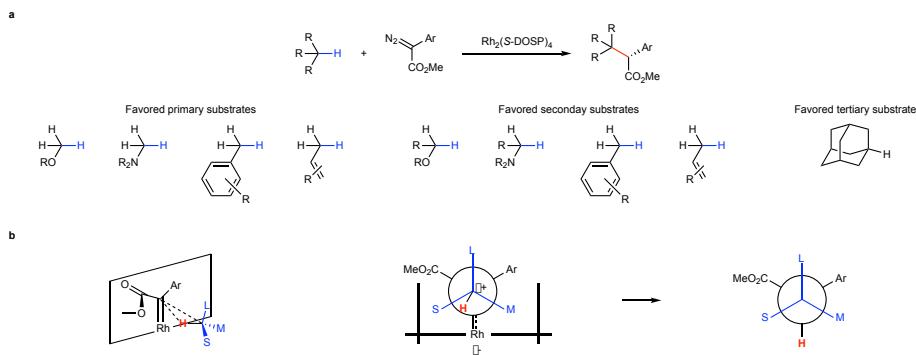
156 **Figure 4. Early development of the donor/acceptor carbenes.** a: Introduction to the three major types
 157 of reactive carbene intermediates. b: $\text{Rh}_2(\text{DOSP})_4$ – a highly effective chiral catalyst for the reactions of
 158 donor/acceptor carbenes. c: Early examples of $\text{Rh}_2(\text{DOSP})_4$ -catalyzed asymmetric cyclopropanation and
 159 C–H functionalization with donor/acceptor carbenes.

161 The $\text{Rh}_2(\text{DOSP})_4$ /aryldiazoacetate combination was effective for enantioselective
 162 intermolecular C–H insertion of a wide variety of substrates.^{66,68} Even though relatively
 163 moderate site selectivity was found with alkanes, the reactions with substrates
 164 containing electronically activated sites were very site-selective. The most favored
 165 groups for C–H functionalization are summarized in Fig. 5a. C–H Functionalization next
 166 to groups that can stabilize positive charge build up in the transition state for the C–H
 167 functionalization are favored. Thus benzylic, allylic and C–H bonds adjacent to nitrogen
 168 or oxygen are electronically favored. C–H Functionalization generally occurs at
 169 secondary C–H bonds because even though tertiary C–H bonds are electronically
 170 favored the rhodium carbene is sterically demanding. If the two groups adjacent to the
 171 secondary site are distinctly different in size, the reaction can be highly
 172 diastereoselective. Non-crowded tertiary sites such as adamantine can be readily
 173 functionalized as well as primary C–H bonds that are electronically activated. However,
 174 in general if there is competition between electronically activated primary and secondary
 175 sites, functionalization of the secondary site is preferred unless there is extreme steric
 176 crowding. The mechanism of the C–H functionalization has been studied
 177 computationally and was proposed to be a concerted asynchronous process as shown
 178 in Fig 5b.⁹⁴ The reaction begins more as a hydride transfer event before finally the
 179 carbene inserts into the C–H bond. Based on this computational analysis, a model was

180 developed that is predictive of both the relative and absolute configurations of the C–H
181 functionalization products. The substrate is considered to approach the carbene with the
182 large group pointing away from the catalyst, and the medium group resides on the side
183 of the donor group rather than the acceptor group, because the acceptor group lies
184 orthogonal to the carbene and would point towards the approaching substrate.

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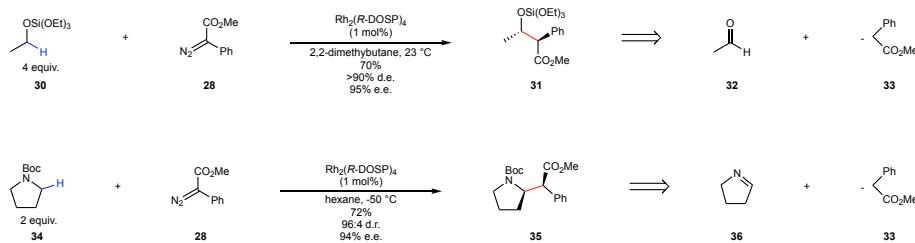
187
188 Figure 5. Overview of $\text{Rh}_2(\text{DOSP})_4$ -catalyzed intermolecular C–H functionalization. a: Favored sites
189 for C–H Functionalization. b: Predictive model for the relative and absolute configuration for $\text{Rh}_2(\text{DOSP})_4$ -
190 catalyzed C–H functionalization. The large (L) group points away from the catalyst, the medium (M) group
191 is on the side of the aryl group, which is in the same plane as the rhodium–carbene, and the small (S)
192 group is on the side on the esters group which is orthogonal to the rhodium carbene–aryl plane and points
193 towards the approaching substrate.

194

195 The general scope of the $\text{Rh}_2(\text{DOSP})_4$ -catalyzed intermolecular C–H functionalization
196 has been reviewed elsewhere^{66,68} and only the highlights will be discussed here. The
197 C–H functionalization can be considered as equivalent to some of the classic strategic
198 reactions of organic synthesis.⁶⁶ Particularly effective substrates are those with
199 electronically activated methylene sites in which the two methylene substituents are
200 distinctly different in size because the reactions with these substrates are highly
201 diastereoselective and enantioselective as illustrated in Fig. 6. The reaction with various
202 siloxy derivatives generated protected beta-hydroxy esters, as illustrated for the reaction
203 with tetraethoxysilane **30** to form **31**. The reaction is a C–H functionalization equivalent

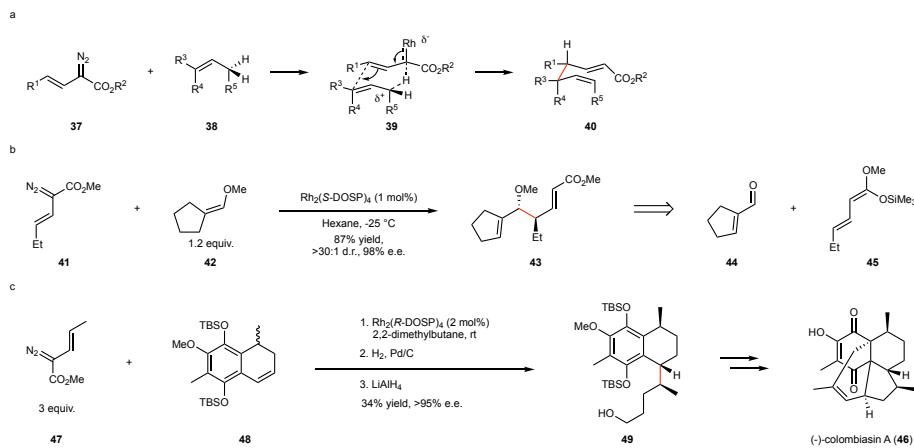
204 to an aldol reaction as illustrated in the retrosynthetic scheme from the acetaldehyde **32**
 205 and the enolate **33**.^{23,22} Reaction with *N*-Boc-pyrrolidine **34** generated the beta-amino
 206 ester **35**, containing the functionality typically generated by a Mannich reaction derived
 207 from the imine **36** and the enolate **33**.⁹⁵ Various other examples of surrogates of classic
 208 reactions have been reported, such as the Claisen rearrangement⁹⁶, Michael addition⁹⁷
 209 and the Claisen condensation⁹⁸.

210



216 A related C–H functionalization process of great synthetic utility is the reaction of
 217 vinyl diazoacetates **37** with allylic substrates **38**, the so-called *combined C–H*
 218 *functionalization/Cope rearrangement*. The product **40** of this reaction is not the normal
 219 C–H functionalization product but one in which it appears as if the C–H functionalization
 220 product has undergone a Cope rearrangement (Fig. 7a). However, this is not the case
 221 because the C–H functionalization product is the thermodynamic product.
 222 Computational studies revealed that the reaction begins with a hydride transfer event to
 223 generate a complex **39**, consisting essentially of an allyl cation and a rhodium-bound
 224 allyl anion, which then immediately forms a C–C bond at the distal position before any
 225 bond rotation can occur.⁹⁹ The synthetic transformation has been reviewed¹⁰⁰ and so,
 226 only two representative examples will be discussed here.^{101–103} In most cases, the
 227 products are formed with exceptionally high levels of asymmetric induction and
 228 diastereocontrol. Typically, the high diastereocontrol is caused by the reaction
 229 proceeding through a chair-like transition state as illustrated for **39**, but in certain cases,

230 steric interference with the face of the catalyst forces the reaction to proceed through a
 231 boat transition state. The C–H functionalization process offers some interesting
 232 synthetic possibilities. For example, the reaction of the vinyldiazoacetate **41** with the
 233 alkene **42** generates **43** with two new stereogenic centers (Fig 7b).¹⁰² The
 234 transformation can be considered as a surrogate of the vinyllogous Mukaiyama aldol
 235 surrogate, equivalent to the Lewis acid catalyzed reaction of the aldehyde **44** with the
 236 diene **45**. The process is applicable as a powerful strategic reaction in total synthesis as
 237 illustrated in the synthesis of colombiasin A (**46**, Fig 7c).¹⁰⁴ The reaction of the racemic
 238 dihydronaphthalene **47** with the vinyldiazoacetate **48** generates the C–H
 239 functionalization product **49** with control of stereochemistry at three stereogenic centers.
 240 This key step is enantiodivergent as only one enantiomer of the dihydronaphthalene **47**
 241 undergoes the desired C–H functionalization to form **49** whereas the other enantiomer
 242 undergoes a cyclopropanation reaction. Previous efforts at the total synthesis of
 243 colombiasin A had struggled to control these stereogenic centers but with **49** in hand,
 244 the completion of the total synthesis was readily achieved in six additional steps.
 245



246
 247 Figure 7. **Combined C–H functionalization/Cope rearrangement.** a: General scheme of the
 248 transformation. Due to the ordered transition state, the reactions are generally highly enantioselective and
 249 diastereoselective. b: Representative example illustrating the highly stereoselective nature of these
 250 transformations. c: Application to the total synthesis of colombiasin A. Even though the
 251 dihydronaphthalene **47** is racemic, the resulting C–H functionalization product **49** is generated with high

252 asymmetric induction because only one of the two enantiomers of **47** undergoes the reaction under the
253 $\text{Rh}_2(\text{R-DOSP})_4$ -catalyzed conditions.

254

255 **Catalyst-Controlled C–H functionalization with Donor/Acceptor**
256 **Carbenes**

257

258 One of the grand challenges in the field of C–H functionalization is to be able to use
259 catalyst control to overcome the inherent reactivity profile of a substrate. $\text{Rh}_2(\text{DOSP})_4$
260 was a good start as it is an effective catalyst for many carbene-induced C–H
261 functionalization reactions. Certain types of substrates with the right balance of steric
262 and electronic influences work well with $\text{Rh}_2(\text{DOSP})_4$. In order to move from substrate
263 control to the era of catalyst control, new classes of chiral catalysts were required with
264 varied steric demand. Che demonstrated that bulky rhodium-porphyrin catalysts tended
265 to favor C–H functionalization of alkanes preferentially at less crowded sites.¹⁰⁵
266 However, the major breakthrough in this area has been the use of bulky chiral
267 dirhodium catalysts.

268

269 A critical design element for dirhodium tetracarboxylate catalysts has been to exploit the
270 symmetry of the dirhodium tetracarboxylate core. A freely rotating achiral dirhodium
271 tetracarboxylate with four identical ligands is D_{4h} symmetric. Several years ago, we
272 proposed that if the ligand contained a very large substituent, then that substituent
273 would not be able to align in the periphery of the catalyst and would need to exist on
274 either the top (α) or bottom (β) face of the catalyst. Depending on the specific
275 orientations of the chiral ligands, the catalysts could adopt higher symmetry than the
276 ligands themselves (Fig. 8a).¹⁰⁶ Originally, we proposed, the complexes could be C_4 , D_2
277 or C_2 symmetric (**50-52**). More recently, complexes adopting an alternative C_2
278 symmetric orientation **53** has been identified, in which all the bulky groups are on the
279 same face of the catalysts, but two are orientated differently from the other two.

280

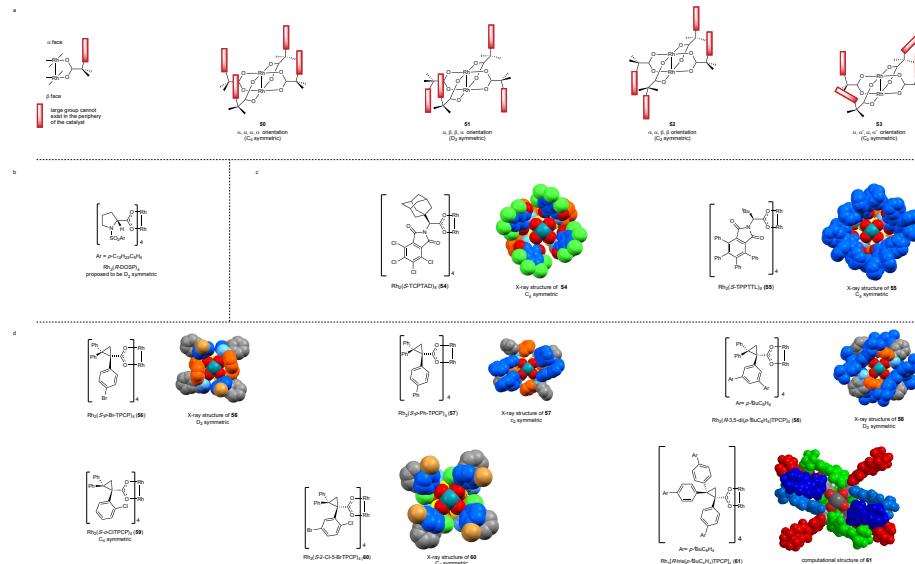
281 The three major classes of chiral dirhodium catalysts for intermolecular C–H
282 functionalization with donor/acceptor carbenes are shown in Figs 8b-d. The original

283 chiral catalyst $\text{Rh}_2(\text{DOSP})_4$ was proposed to behave as if it was D_2 symmetric (Fig 8b).
284 However, the D_2 model for $\text{Rh}_2(\text{DOSP})_4$ has not been confirmed experimentally. Since
285 then two other classes of chiral catalysts have been developed and they dramatically
286 influence the site-selectivity of the C–H functionalization. One class is related to the
287 phthalimido amino acid catalysts originally developed by Hashimoto (Fig. 8c).^{107, 108}
288 $\text{Rh}_2(\text{TCPTAD})_4$ (**54**)¹⁰⁹ and $\text{Rh}_2(\text{TPPTTL})_4$ (**55**)⁶⁵ both adopt a slightly distorted C_4
289 symmetric structure. Previously, related catalysts have been considered as adopting a
290 C_2 -symmetric chiral crown, rather than as a pseudo- C_4 symmetric structure.¹¹⁰⁻¹¹³
291 However, we propose that considering the catalyst as essentially adopting a pseudo- C_4
292 symmetric structure is more appropriate because these ligands adopt an induced fit
293 when the carbene binds and the resulting rhodium complex is not altered by the initial
294 carbene orientation to the dirhodium. An intriguing feature of $\text{Rh}_2(\text{TPPTTL})_4$ is the
295 orientation of the 16 phenyl groups, which adopt a preferred twist of each ring leading to
296 an additional induced chiral influence due to a C_4 -propeller-like structure of the phenyl
297 groups.

298

299 The second class of chiral dirhodium catalysts is based on triphenylcyclopropane
300 carboxylate (TPCP) ligands (Fig. 8d), and they are sterically much more crowded than
301 the other two classes of catalysts. They are readily synthesized by a 3 or 4-step
302 synthesis: Rh-DOSP catalyzed cyclopropanation of 1,1-diarylethylene, followed by ester
303 hydrolysis and ligand exchange.^{52,60} Furthermore, a library of catalysts with differing
304 steric environment can be generated by means of a subsequent multifold Suzuki
305 coupling reaction on the preformed catalyst.^{52,114}

306



309 Figure 8. **Chiral dirhodium catalysts for intermolecular C–H functionalization.** **a:** Design models for
 310 chiral catalysts, illustrating that if the ligands contain a large group (marked in red) that cannot exist in the
 311 periphery of the catalyst, then the resulting complex can have higher symmetry than the ligands
 312 themselves. **b:** The original catalyst, $Rh_2(DOSP)_4$, has been proposed to be D_2 symmetric but this has not
 313 been verified experimentally. **c:** The phthalimido-derived catalyst $Rh_2(TCPTAD)_4$ (**54**) and $Rh_2(TPPTTL)_4$
 314 (**55**) adopt a C_4 symmetric structure and not especially sterically demanding, capable of effective C–H
 315 functionalization of tertiary and secondary C–H bonds. **d:** The TPCP catalysts **56–61** are sterically
 316 demanding and depending on the substitution pattern can adopt different orientations, leading to
 317 complexes that are D_2 , C_4 or C_2 symmetric.

319 The first two catalysts developed were $Rh_2(p\text{-BrTPCP})_4$ (**56**) and $Rh_2(p\text{-PhTPCP})_4$ (**57**)
 320 and these catalysts were prepared directly by a ligand exchange reaction with
 321 dirhodium tetraacetate.⁶⁰ X-ray crystallographic studies reveal that $Rh_2(p\text{-BrTPCP})_4$ (**56**)
 322 adopts a D_2 symmetric structure in the solid state whereas in $(p\text{-PhTPCP})_4$ (**57**), the
 323 biphenyl groups are on the same face but they are involved in π -stacking with each
 324 other, which breaks the C_4 symmetry and leads to a C_2 symmetric structure.⁵⁴ More
 325 recently, the 3,5-diaryl-TPCP catalyst **58**, formed by an 8-fold Suzuki coupling,⁵² the

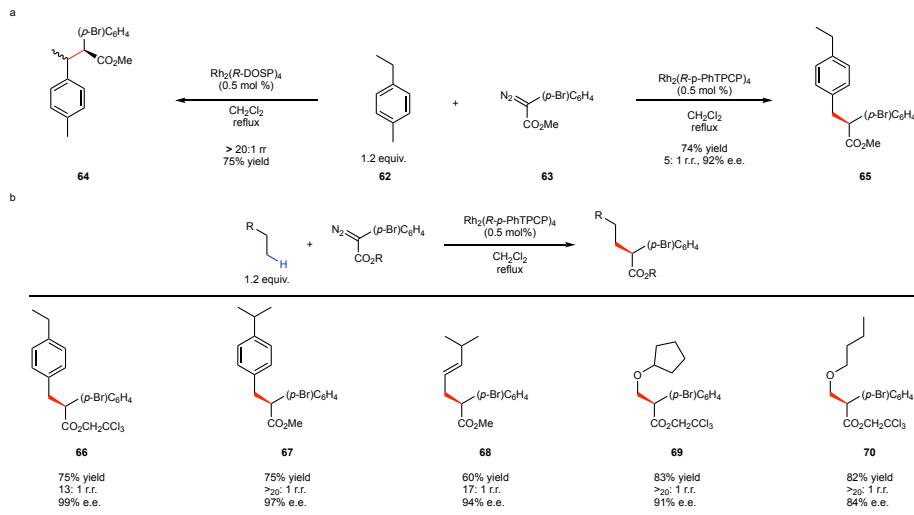
326 *ortho*-chloro-TPCP catalysts $\text{Rh}_2(\text{o-CITPCP})_4$ (**59**) and $\text{Rh}_2(2\text{-Cl-5-BrTPCP})_4$ (**60**),^{54,65}
327 and the fully-*para*-substituted TPCP catalyst **61**, formed by 12-fold Suzuki coupling,¹¹⁴
328 have been developed and they all display their own distinctive selectivity characteristics
329 for C–H functionalization. These catalysts adopt different shapes and these greatly
330 influence their site selectivity characteristics. The 3,5-diaryl TPCP catalyst **58** adopts an
331 up-down-up down arrangement of the large 3,5-diaryphenyl groups leading to a D_2
332 symmetric structure, similar to $\text{Rh}_2(p\text{-BrTPCP})_4$.⁵² The *ortho*-chloro-phenyl group in
333 $\text{Rh}_2(\text{o-CITPCP})_4$ (**59**) and $\text{Rh}_2(2\text{-Cl-5-BrTPCP})_4$ (**60**) also cannot align in the periphery of
334 the catalyst but in this case they adopt an up-up-up-up arrangement leading to a C_4
335 symmetric structure.^{54,65} A distinctive feature of **59** and **60** is the induced axial chirality
336 observed between the cyclopropane and the *ortho*-chloro-phenyl group, and
337 consequently, adoption of the C_4 -symmetric structure ensures that the *ortho*-chlorine
338 groups are far away from each other. The 2-chloro-5-bromo catalyst **60** gives
339 significantly higher asymmetric induction than the other *ortho*-chloro-TPCP catalysts
340 because the bromine atoms are better positioned to help distinguish between the two
341 faces of the rhodium-bound carbene. In the case of the fully-*para*-substituted TPCP
342 catalyst **61** computational studies reveal that the biphenyl groups at the geminal carbon
343 to the acid are all on the same face but are involved in π -stacking, analogous to $\text{Rh}_2(p\text{-}$
344 $\text{PhTPCP})_4$ (**57**), leading to a C_2 symmetric structure.⁵⁴

345

346 The distinction between the $\text{Rh}_2(\text{TPCP})_4$ catalysts and the more established catalyst
347 $\text{Rh}_2(\text{DOSP})_4$ has been demonstrated in reactions at electronically activated benzylic
348 sites (Fig. 9).⁶¹ The $\text{Rh}_2(\text{DOSP})_4$ catalyzed reaction of aryl diazoacetate **63** with *p*-
349 ethyltoluene (**62**) preferentially occurs at the secondary benzylic site to form **64** (Fig.
350 9a). In contrast, the $\text{Rh}_2(p\text{-PhTPCP})_4$ -catalyzed reaction of **62** with **63** preferentially
351 occurs at the primary benzylic site to form **65**. The site selectivity and enantioselectivity
352 could be further improved by using the trichloroethyl ester of the aryl diazoacetate as
353 illustrated in the formation of **66** (Fig. 9b). A similar switch in site selectivity was
354 observed in competition studies between tertiary and primary benzylic sites as
355 illustrated in the formation of **67**. $\text{Rh}_2(p\text{-PhTPCP})_4$ gave similar enhanced site selectivity
356 for primary C–H bonds at other activated sites such as allylic and α to oxygen as shown

357 for **68-70**.⁶¹⁻⁶³ These studies revealed that the $\text{Rh}_2(\text{TPCP})_4$ catalysts are much more
 358 sterically demanding than the original catalyst $\text{Rh}_2(\text{DOSP})_4$ and that this steric
 359 requirement can be used to control site selectivity.

360



361 Figure 9. **Catalyst-controlled site selective functionalization at activated C–H bonds. a:** $\text{Rh}_2(\text{DOSP})_4$
 362 prefers C–H functionalization at secondary benzylic site whereas $\text{Rh}_2(\text{p-PhTPCP})_4$ favors the primary
 363 benzylic site. **b:** representative examples of primary C–H functionalization at benzylic and allylic sites and
 364 adjacent to oxygen.

366

367

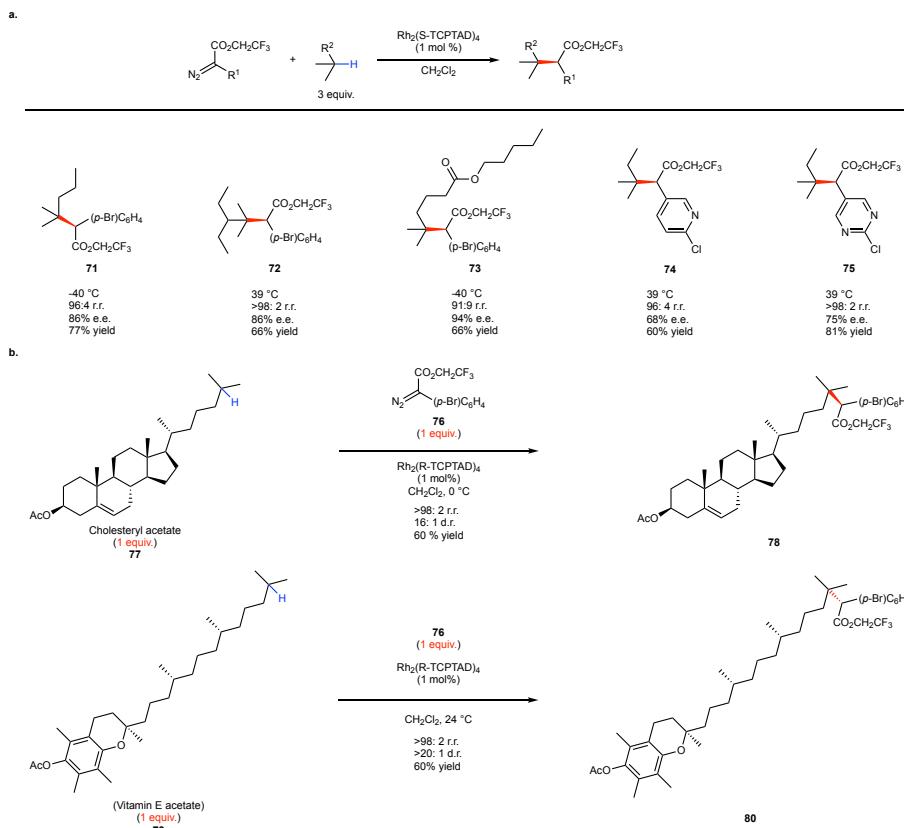
368 Site-Selective and Enantioselective C–H Functionalization of 369 Unactivated C–H Bonds

370

371 With the advent of the new classes of catalysts, procedures have been developed to go
 372 beyond selective functionalization of electronically activated C–H bonds. Catalysts have
 373 been developed for site selective reactions to occur at either primary, secondary or
 374 tertiary C–H bonds that are unactivated.^{52,53,114} The optimum catalyst for tertiary C–H
 375 functionalization is $\text{Rh}_2(\text{TCPTAD})_4$.⁵³ The tertiary sites are the most electronically
 376 activated and $\text{Rh}_2(\text{TCPTAD})_4$ is sufficiently small to be able to sterically access tertiary

377 C–H bonds as long as they are in the periphery of the structure (Fig. 10). The reaction
378 can be applied not only to relatively simple substrates as illustrated in the formation of **71**–
379 **75** (Fig 10a), but also, to complex structures, containing a large number of different C–H
380 bonds (Fig. 10b). Examples to illustrate the level of possible site selectivity are the
381 reactions with cholesteryl acetate (**77**) and vitamin E (**79**). These substrates have
382 multiple tertiary C–H bonds and electronically activated sites such as allylic and
383 benzylic. Yet the C–H functionalization occurs cleanly at the most accessible tertiary
384 site to form **78** and **80**, respectively. Detailed computational studies on the catalyst and
385 the rhodium–carbene complex have been reported to explain the site-selectivity
386 preference.⁵³ The catalyst adopts a relatively shallow bowl shape as can be seen in the
387 X-ray structure of **54** (Fig. 8b). The tertiary C–H bonds are electronically preferred, and
388 when the carbene is bound to the catalyst, the most accessible C–H tertiary bond is
389 able to approach the carbene but other tertiary and even electronically activated C–H
390 bonds in the center of the substrate are unable to do so. The carbene bound complex
391 causes distortion of the pseudo-C₄ symmetric orientation of the ligands, due to π –
392 stacking between the ligands and the carbene, and this is considered to be a key factor
393 for the relatively high asymmetric induction generated in these reactions.

394

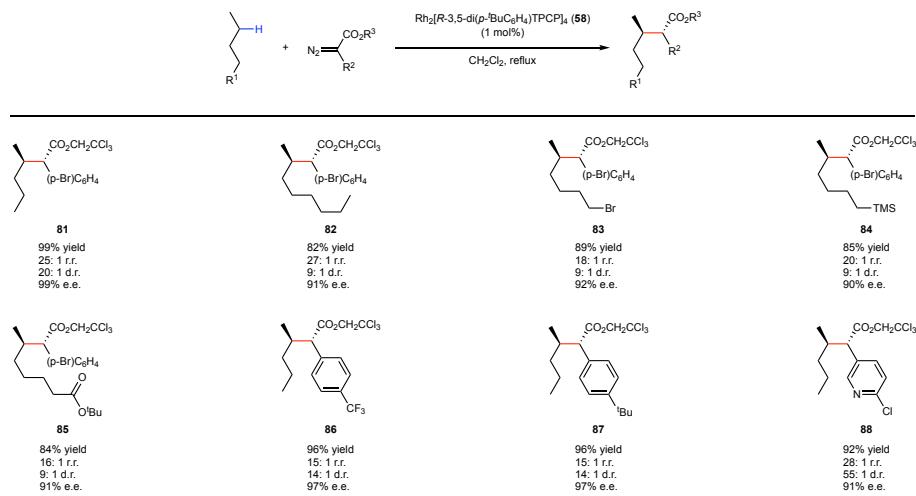


397 Figure 10. $\text{Rh}_2(\text{TCPTAD})_4$ catalyzed functionalization of tertiary C–H bonds. a: representative simple
 398 substrates. b: application to the C–H functionalization of complex substrates, containing multiple C–H
 399 bonds.

401 The 3,5-diaryl-TPCP catalyst **58** was found to be a very effective for selective
 402 functionalization of unactivated secondary C–H bonds.⁵² An impressive example of the
 403 selectivity possible with this catalyst is the reaction with pentane (Fig. 11). Even though
 404 pentane has two relatively similar methylene sites the reactions occurs cleanly at C-2 to
 405 form **81** with high levels of regioselectivity (the competing site is C1),
 406 diastereoselectivity and enantioselectivity. The reaction was applied to a variety of

407 substrates, as illustrated for the formation of **82-88**, and in all cases the reaction
 408 preferentially occurred at the most sterically accessible methylene site. Furthermore,
 409 these studies showed that the reaction at unactivated C–H bonds could be carried out in
 410 the presence of some functional groups such as esters, halides and silanes. The
 411 catalyst **58** adopts a D_2 symmetric structure (Fig. 8d) and thus, the bound carbene is
 412 also in a bowl-shaped cavity. In this case, however, the bowl is steeper than in the case
 413 of $\text{Rh}_2(\text{TCPTAD})_4$, and consequently, it is difficult for any tertiary C–H bond or even
 414 secondary C–H bonds unless one of the substituents is methyl to approach the carbene
 415 bound to the catalyst.

416



417

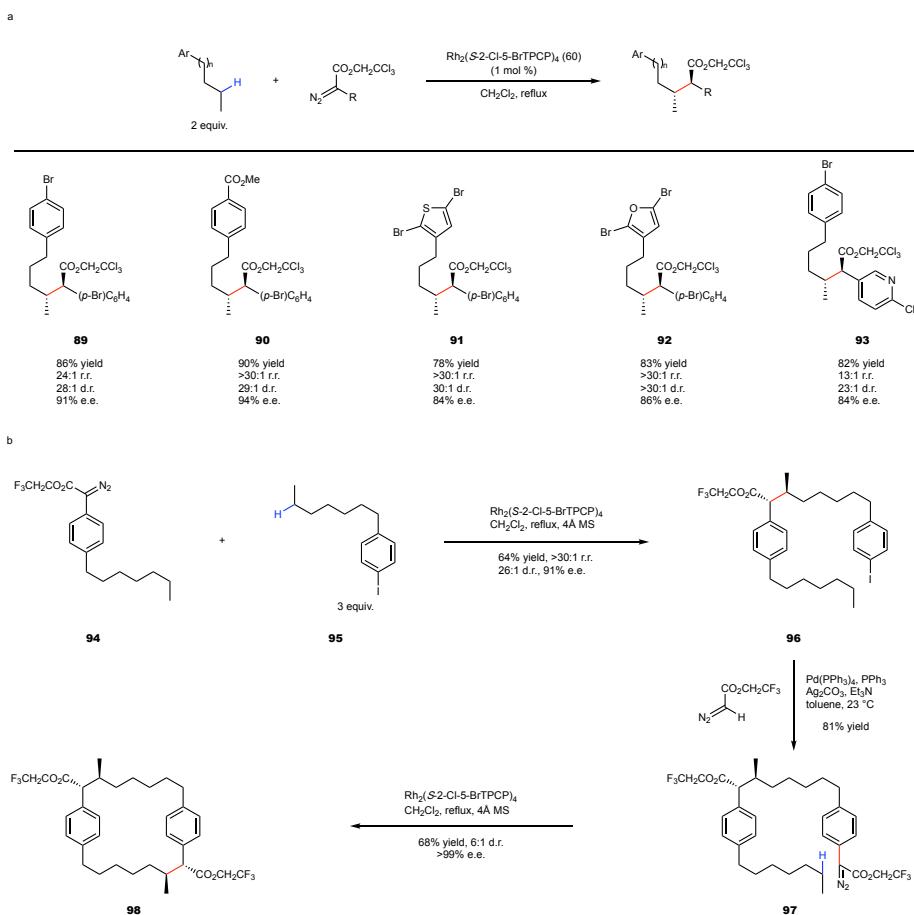
418 Figure 11. C–H functionalization of the most sterically accessible secondary C–H bond.

419

420 More recently, the *ortho*-chloro-TPCP catalysts, **59** and **60**, have been shown to be
 421 even more selective than 3,5-diaryl-TPCP catalyst **58** for unactivated secondary C–H
 422 bonds.⁵⁴ A good demonstration of the subtleties of steric control is the reaction with
 423 alkylbenzene derivatives (Fig. 12).⁶⁵ The two preferred sites for reaction are the
 424 electronically activated benzylic site and the most sterically accessible unactivated C–H
 425 bonds. In the $\text{Rh}_2(\text{TCPTAD})_4$ -catalyzed reaction, a strong preference for the benzylic
 426 site is observed. However, in the case of the reaction catalyzed by the *ortho*-chloro-

427 TPCP catalysts, the reaction preferentially occurs at the most accessible methylene site
428 (Fig. 12). Of the *ortho*-chloro-TPCP catalysts, $\text{Rh}_2(2\text{-Cl-5-BrTPCP})_4$ (**60**) is the best for
429 high asymmetric induction, and its reactions can proceed with good regiocontrol,
430 diastereoccontrol and enantiocontrol. The reaction was applied to a range of alkylarenes
431 and alkyl heteroarenes as illustrated in the representative examples to form **89-93** (Fig.
432 12a). In each case, the $\text{Rh}_2(2\text{-Cl-5-BrTPCP})_4$ (**61**)-catalyzed reaction preferentially
433 occurred at the C2 site. The synthetic possibilities of this transformation have been
434 illustrated through the synthesis of the macrocyclic core of the cylindrocyclophanes
435 class of natural products (Fig. 12b). The scheme consists of two carbene-induced C–H
436 functionalization reactions, the first of which is an intermolecular to form **96** and the
437 second is intramolecular to form the macrocycle **98**, and between them they control the
438 stereochemistry at four new stereogenic centers.

439



441

442 Figure 12. **Selective functionalization of unactivated C–H bonds in the presence of benzylic C–H
443 bonds. a: illustrative examples of functional group compatibility. b: demonstration of application to the
444 synthesis of the macrocyclic core of the cylindrocyclophane natural products**

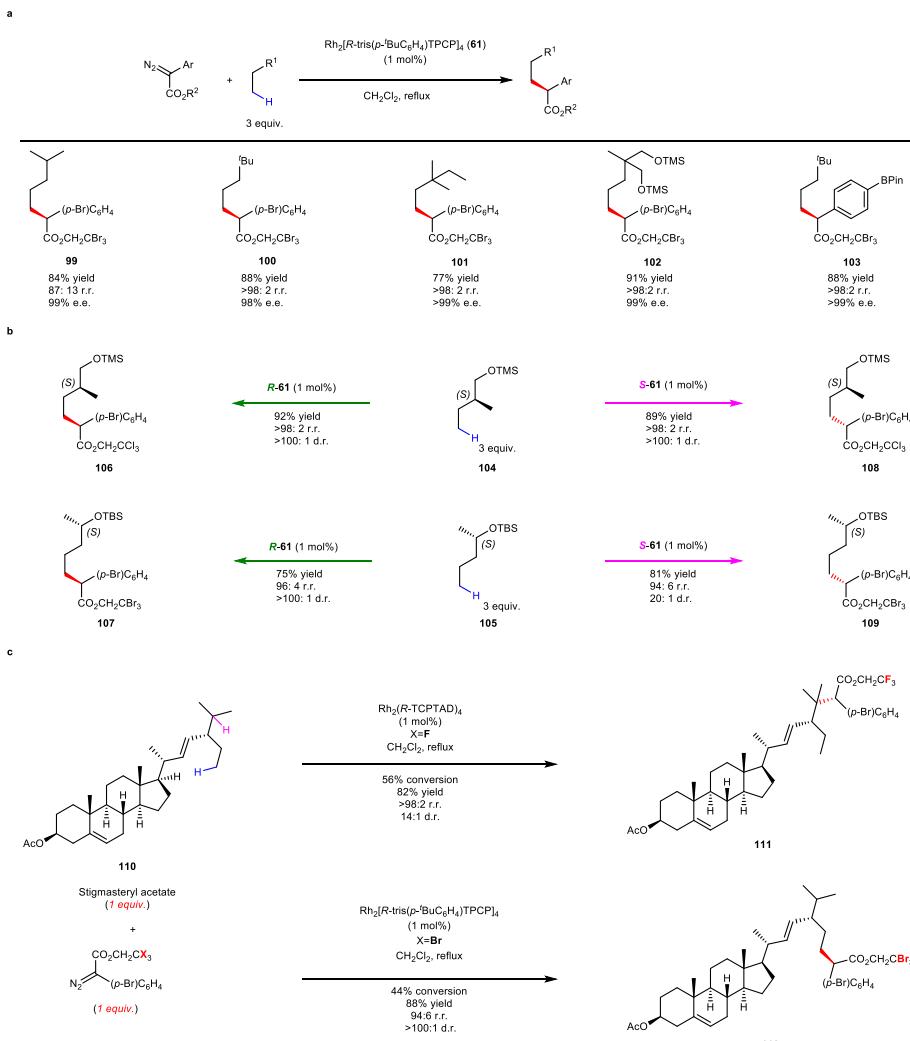
445

446 The fully-*p*-substituted TPCP catalyst (**61**) is an even more bulky catalyst and is capable
447 of blocking C–H functionalization at both tertiary and secondary sites. It leads to the
448 preferential functionalization of primary C–H bonds, as illustrated in the simple
449 substrates shown in Fig. 13a, generating the products **99–103** with exceptionally high

450 asymmetric induction.¹¹⁴ Some illustrative examples of the potential of this chemistry are
451 illustrated in the reaction with the chiral substrates **104** and **105** (Fig 13b). These
452 substrates contain functional groups and even electronically activated C–H bonds, but
453 the chemistry occurs cleanly at the most accessible primary C–H bonds. This catalyst
454 gives very high asymmetric induction, and either diastereomeric series (**106** and **107** or
455 **108** and **109**) can be generated, depending on which enantiomer of the catalyst **61** is
456 used. The reaction can be extended to the steroid **110** and this substrate illustrates the
457 subtleties of the catalyst control. The Rh-TCPTAD (**54**) reaction occurs at the most
458 accessible tertiary C–H bond to form **111**. In contrast, the fully-p-substituted TPCP (**61**)-
459 catalyzed reaction occurs at the most accessible primary C–H bond to from **112**.

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Field Code Changed

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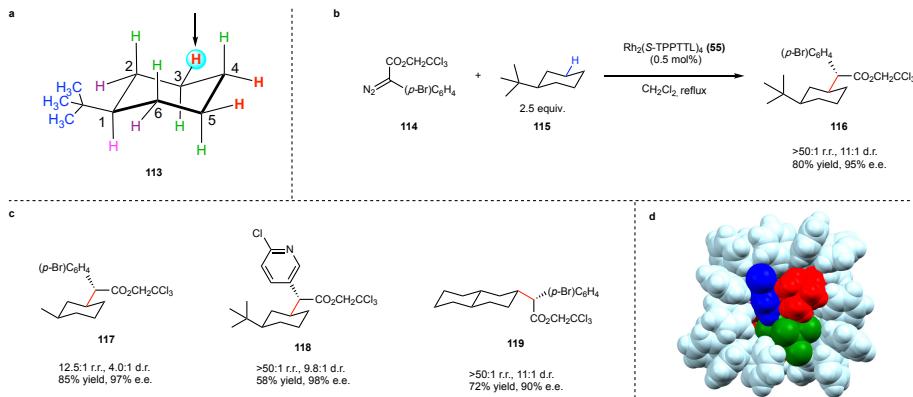
463 **Figure 13. Selective functionalization of unactivated primary C–H bonds. a:** reactions of relatively
 464 simple substrates. **b:** reactions of chiral substrates to form either diastereomeric series of the products. **b**
 465 illustrative examples of functional group compatibility. **c:** catalyst-controlled site selective functionalization
 466 of a steroid

467

468 The expanded tool-box of catalysts enables even more challenging C–H
469 functionalization reactions to be envisioned. A notoriously challenging site-selectivity
470 problem has been the C–H functionalization of alkyl-substituted cyclohexanes.^{115–118}
471 Even though it appears deceptively simple, the alkyl-substituted cyclohexane **113**
472 actually has eleven different C–H bonds within the ring, ten of which would be
473 secondary (Fig. 14a). Although, rich mixtures of products were formed with most of the
474 dirhodium catalysts examined, $\text{Rh}_2(\text{S-TPPTTL})_4$ (**55**) gave an exceptionally clean
475 reaction.⁶⁴ The reaction with *tert*-butyl cyclohexane resulted in an effective
476 desymmetrization to form cleanly the C-3 equatorial substituted product **116** with high
477 regioselectivity, diastereoselectivity and enantioselectivity (Fig 14b). The reaction was
478 applied to a variety of cyclohexane derivatives and some representative example of the
479 types of products formed (**117–119**) are shown in Fig. 14c. $\text{Rh}_2(\text{S-TPPTTL})_4$ (**55**) is not
480 an exceptionally bulky catalyst at the carbene site but the sixteen phenyl groups on the
481 ligands generate a well-defined wall at the periphery of the catalyst, which has a
482 dramatic effect in how substrates can approach. As can be seen in Fig. 8b, the chiral
483 pocket for $\text{Rh}_2(\text{S-TPPTTL})_4$ (**55**) has a relatively deep bowl shape, and if the substrate is
484 relatively big it needs to be pointing out of the bowl. This can be seen in the transition
485 state model for *tert*-butylcyclohexane reaction (Fig. 14d) where the large *tert*-butyl group
486 is pointing out of the catalyst. If attack had occurred at C4 of the cyclohexane, the *tert*-
487 butyl group would be pointing into the wall of the catalyst, generating a very unfavorable
488 steric clash.

489

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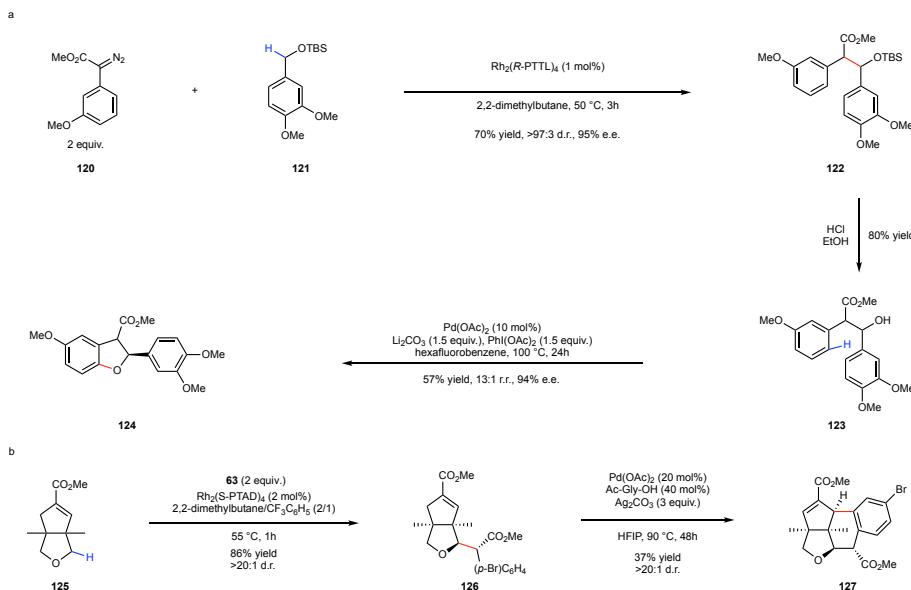


491

492 Figure 14. **Desymmetrization of alkyl-substituted cycloalkanes.** **a:** challenges associated with the
 493 reaction of alkylcycloalkanes. The system contains ten different secondary C–H bonds. **b:** C–H
 494 functionalization of *tert*-butylcyclohexane proceeds with exceptional site-selectivity and stereoselectivity.
 495 **c:** other representative examples, illustrating that heteroaryl derivatives and more elaborate cyclohexane
 496 derivatives can be used. **d:** computationally calculated transition state for the reaction of *tert*-
 497 butylcyclohexane at C3 with the rhodium bound carbene. The sixteen phenyl groups forming the wall of
 498 the catalyst are colored light blue. The trichloroethyl group of the carbene is green and the aryl group of
 499 the carbene is dark blue. The *tert*-butyl group of the cyclohexane is marked in red and is projecting out of
 500 the pocket. If attack had occurred at C4 of the cyclohexane, then, the *tert*-butyl group would have a steric
 501 clash with the wall of the catalyst.

502

503 The carbene C–H functionalization has been combined with other C–H functionalization
 504 strategies to rapidly generate synthetic complexity as illustrated in Fig. 15. A carbene
 505 C–H functionalization between **120** and **121** to form **122**, followed by a palladium
 506 catalyzed C–H oxidation on **123** offers a flexible entry to the dihydrobenzofuran **124**
 507 (Fig. 15a).¹¹⁹ An intermolecular carbene C–H functionalization on the oxabicycle **125**
 508 generate **126** followed by an intramolecular C–H activation/Heck reaction generated the
 509 indoxamycin core **127** (Fig. 15b).¹²⁰



512 **Figure 15. Streamlined synthesis by sequential C–H functionalization reactions. a:** intermolecular
 513 carbene-induced intermolecular benzylic C–H functionalization followed by two palladium catalyzed C–H
 514 functionalizations. **b:** intermolecular carbene-induced intermolecular C–H functionalization followed by a
 515 palladium-catalyzed C–H activation/Heck reaction.

517 In conclusion, the rhodium-catalyzed reactions with donor/acceptor carbenes show that
 518 it is indeed possible to achieve site selective C–H functionalization at unactivated C–H
 519 bonds without resorting to the use of directing groups on the substrate. Indeed, a series
 520 of catalysts have been designed with different steric demands such that the site
 521 selectivity can be tailored by using the appropriate catalysts. The next challenge in this
 522 system is to incorporate electronic and coordinating influences into the catalyst design
 523 to achieve even more subtle control of site selectivity. Also, as the catalysts involve an
 524 expensive metal, conditions need to be developed so that the reactions can be
 525 conducted at extremely low catalyst loading, and ideally would be recyclable. The high
 526 levels of carbene-induced site-selectivities can currently only be achieved with
 527 donor/acceptor carbenes and dirhodium tetracarboxylate catalysts, a rather specialized

528 reagent/catalyst combination. This work, however, should inspire further attempts to
529 discover other reactive systems capable of similar levels of site selectivity.

530

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534

535 **Author Contributions:** K.L. and H.M.L.D prepared the manuscript.

536

537 H.M.L.D. is a named inventor on a patent entitled, Dirhodium Catalyst Compositions
538 and Synthetic Processes Related Thereto (US 8,974,428, issued March 10, 2015). K. L.
539 has no competing financial interests.

540

541

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