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Chem. Res. Toxicol., Just Accepted Manuscript • Publication Date (Web): 04 Feb 2020

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Title

Cobalt release from a nanoscale multiphase lithiated cobalt phosphate dominates interaction with *Shewanella oneidensis* MR-1 and *Bacillus subtilis* SB491

Authors

Peter L. Clement,[†] Joshua E. Kuether,[‡] Jaya R. Borgatta,[§] Joseph T. Buchman,[†] Meghan S. Cahill,[†] Tian A. Qiu,[†] Robert J. Hamers,[§] Z. Vivian Feng,[‡] Christy L. Haynes^{*†}

Addresses

[†]University of Minnesota, Department of Chemistry, 207 Pleasant St. SE, Minneapolis, Minnesota 55455, United States

[‡]Augsburg University, Chemistry Department, 2211 Riverside Ave., Minneapolis, Minnesota 55454, United States

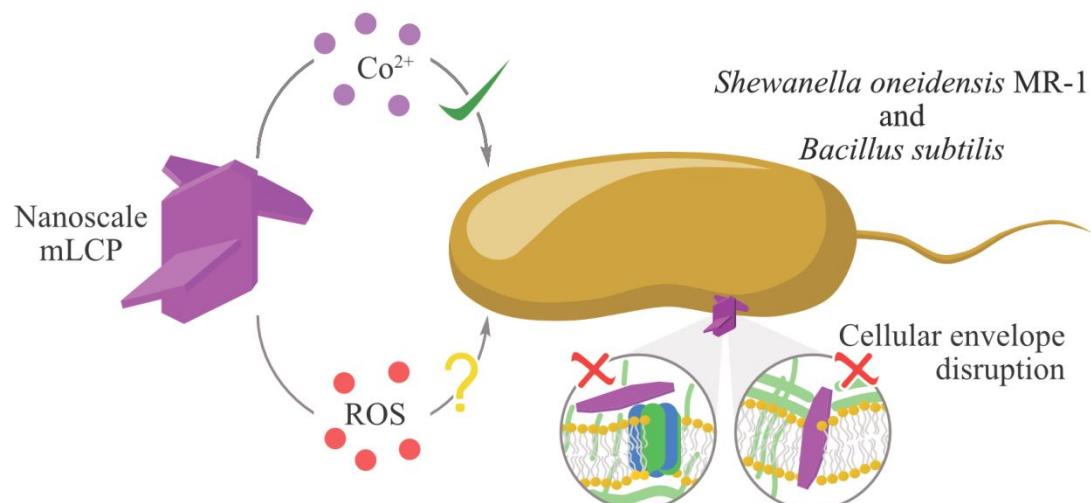
[§]Department of Chemistry, University of Wisconsin, 1101 University Avenue, Madison, Wisconsin 53706, United States

Abstract

Cobalt phosphate engineered nanomaterials (ENMs) are an important class of materials that find use in lithium ion battery cathodes, catalytic activity, and potential use in super capacitors. As production of these nanomaterials increases, so does the likelihood of their environmental release; however, to date, there are relatively few investigations of the impact of nanoscale metal phosphates on biological systems. Furthermore, nanomaterials used in commercial applications are often multiphase materials, and analysis of the toxic potential of mixtures of nanomaterials has been rare. In this work, we studied the interactions of two model environmental bacteria, *Shewanella oneidensis* MR-1 and *Bacillus subtilis* with a multiphase lithiated cobalt phosphate (mLCP) nanomaterial. Using a growth-based viability assay, we found that mLCP was toxic to both bacteria used in this study. To understand the observed toxicity, we screened for production of reactive oxygen species (ROS) and release of Co²⁺ from mLCP using three abiotic fluorophores. We also used Newport Green™ DCF dye to show that cobalt was taken up by the bacteria after

mLCP exposure. Using TEM, we noted that the mLCP was not associated with the bacterial cell surface. To further probe the mechanism of interaction of mLCP, the bacteria were exposed to an equivalent dose of cobalt ions that dissolved from mLCP, which recapitulated the changes in viability when the bacteria were exposed to mLCP, and it also recapitulated the observed bacterial uptake of cobalt. Taken together, this implicates the release of cobalt ions and their subsequent uptake by the bacteria as the major toxicity mechanism of mLCP. The properties of the ENM govern the release rate of cobalt, but the toxicity does not arise from nano-specific effects—and importantly the chemical composition of the ENM may dictate the oxidation state of the metal centers, and thus limits ROS production.

TOC image



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Over the past 20 years, the prevalence of engineered nanomaterials (ENMs) in consumer products and industrial processes has dramatically risen.¹ In response, the field of nanotoxicology has rapidly developed to address concerns as the increased production, use, and disposal of ENM-containing products makes environmental release of ENMs more likely.^{2,3} A great deal of work in the field has shown that ENMs can exert deleterious effects towards organisms of varying complexity. Much of this work has focused on metal-based ENMs including: noble metals (*e.g.* Cu, Ag, Au),^{4,5} metal oxides (*e.g.* TiO₂, ZnO, SiO₂),^{6,7} and metal-containing quantum dots (*e.g.* CdSe, InP)^{8,9} because of the large-scale production of ENMs in these classes.¹⁰ Furthermore, fruitful effort have developed an understanding of the chemical mechanisms by which metal-based ENMs may interact with bacteria, fungi, plants, cell lines, and animals.¹¹

For metallic ENMs, some mechanisms of toxicity, such as perturbation of the cell wall, are dependent on the size and structure of an ENM and are often deemed “nano specific”.^{12,13} Other mechanisms of toxicity include metal dissolution or reactive oxygen species (ROS) production, where the size and shape of an ENM may influence degree of release or production of toxic species,^{11,14} but the nanoparticles are not directly involved in toxic impacts.

Although these mechanisms have been extensively analyzed for nanoscale metal oxides and noble metals due to their commercial relevance,¹⁵ mechanistic investigations of nanoscale metal phosphates have been rare. Nanoscale metal phosphates are a significant emerging class of ENMs because they show promise in agriculture,^{16,17} nutrition,¹⁸ energy storage,¹⁹⁻²³ and catalysis.²⁴⁻²⁷ For example, nanoscale NH₄CoPO₄·H₂O has garnered interest as a supercapacitor anode, while Li_{1-x}M_xPO₄ (M = Fe, Ni, Mn, Co) nanomaterials have been investigated as next-generation lithium ion intercalation battery cathodes.²⁰⁻²³ In the near future, nanoscale lithium

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3 cobalt phosphate will find use in the cathodes of 5-V lithium ion batteries.^{28,29} Cobalt oxides with
4 surface phosphate groups (e.g. the recently discovered so-called “Co-Pi” catalyst) and $\text{Na}_2\text{CoP}_2\text{O}_7$
5 are some of the most effective catalysts known for water oxidation.²⁴⁻²⁷ Many applications of metal
6 phosphates require materials in nanoparticle form; for example, LiCoPO_4 and the more common
7 LiFePO_4 , have high resistivity that limits their utility in energy storage applications as micron-
8 sized particles, but this limitation can be alleviated by using nanoparticles <100 nm in size.³⁰⁻³³
9 Similarly, catalytically active cobalt phosphate catalysts are used in nanostructured form to
10 maintain high surface area and low electrical resistivity, both of which are important to obtain high
11 catalytic efficiencies.³⁴
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14 One complication with metal phosphates, as with metal oxides, is the possibility of Co(II)
15 and Co(III) metal centers, which has implications for ROS production. A differentiator between
16 phosphate nanomaterials compared with oxide analogs is that phosphates have more complex
17 crystal structures and frequently form mixtures of closely related hydroxy-phosphate structures.³⁵⁻
18³⁷ Despite their structural complexity and the frequent presence of multiple phases, the widespread
19 emergence of transition metal-based nanomaterials warrants the study of the potential
20 environmental impacts of these materials. Yet, within the field of nanotoxicology, there has been
21 little exploration of the interactions of nanoscale metal phosphates with organisms. Liu & Lal show
22 that a FePO_4 dietary supplement reduces the metabolic activity of human colonic epithelial cells.¹⁸
23 Melby et al. show that lithiated cobalt phosphate nanoparticles, synthesized in the same manner as
24 the ENMs used in this work, induce minimal toxicity in trout gill epithelial cells when compared
25 to a cobalt-containing metal oxide.^{38,39} Previous work by members of our group demonstrates that
26 copper phosphate nanoparticles increase resistance of watermelon plants to deasese and increase
27 fruit yields.³⁷ However, there is the unanswered question of whether nanoscale metal phosphates
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3 generally follow the same rules of interactions understood for other classes of metal-based
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5 ENMs.¹¹
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8 In this work, we use a Gram-negative, metal-reducing bacterium *Shewanella oneidensis*
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10 MR-1, and a Gram-positive bacterium capable of nitrogen fixation, *Bacillus subtilis* SB491. We
11 choose to work with environmental bacteria as they are low-trophic level organisms that may
12 provide insights into broader environmental impacts in the case of unintentional release of
13 nanoscale metal phosphates. While results using these two bacterial strains alone cannot be
14 generalized to all bacteria, insights gained with these two model Gram-negative and Gram-positive
15 bacterial strains point toward potential overarching interaction mechanisms. Our primary goals are
16 to (a) determine if a nanoscale, multiphase lithiated cobalt phosphate negatively impacts the
17 viability of both model bacteria, and (b) to study the contribution of (i) release of Co²⁺ ions, (ii)
18 production of ROS, and (iii) cellular association of nanoparticles to any observed toxicity. Overall,
19 we find that cobalt released through nanoparticle dissolution of nanoparticle is internalized in
20 bacterial cells, and ultimately decreases viability of *S. oneidensis* MR-1 and *B. subtilis*.
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35 Materials & Methods:

36 Reagents

37 We purchased *S. oneidensis* MR-1 BAA 1096 from ATCC and *B. subtilis* SB491 from the
38 Bacillus Genetic Stock Center. In all experiments, we used ultrapure water (>18 MΩ·cm
39 resistivity) from a Barnstead Nanopure system. We purchased 3'-aminophenyl fluorescein (APF),
40 2',7'-dichlorofluorescein diacetate (H₂DCF-DA), and Newport GreenTM 2',7'-dichlorofluorescein
41 diacetate (NPG DCF-DA) from Invitrogen (Thermo Fisher Scientific). We used a horseradish
42 peroxidase (HRP) solution, which contained Triton-X and cholate, from AmplexTM Red Hydrogen
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3 Peroxide/Peroxidase Assay Kit (Thermo Fisher Scientific). We list the remainder of the sources of
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5 the organic and inorganic reagents in the Supporting Information.
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9 **Material synthesis & characterization**
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11 **Cobalt phosphate synthesis**
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13 We synthesized mLCP material as described elsewhere.³⁸ Briefly, we used a polyol
14 method⁴⁰ with cobalt acetate tetrahydrate, diethylene glycol, ammonium phosphate dibasic, and
15 lithium acetate dihydrate precursors. We washed the product with ethanol and dried it under
16 reduced pressure overnight.
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19 **X-ray diffraction (XRD)**
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21 We deposited the nanomaterials onto a thin grease layer on a zero-diffraction plate (MTI
22 Corporation, boron-doped silicon) and analyzed the sample using a Bruker Advanced Powder
23 Diffractometer fitted with Cu-K α source. Using DIFFRAC.EVA and CrystalDiffract software, we
24 analyzed the diffraction patterns and identified phases present.
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27 **Scanning electron microscopy (SEM)**
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29 We prepared samples for SEM by sonication in a dilute ethanolic solution of nanomaterials
30 in a bath sonicator for 5 minutes. We drop cast the solution onto a boron-doped silicon wafer and
31 imaged the sample using a secondary electron detector on a LEO Supra55 VP scanning electron
32 microscope.
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35 **Transmission electron microscopy (TEM)**
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37 We sonicated a 1 mg/mL suspension of mLCP in water for 10 minutes, then diluted the
38 particles 10:1 into 190 proof ethanol, followed by an additional 30 second sonication. Immediately,
39 we transferred 3 μ L onto a 200-mesh carbon-stabilized Formvar TEM grid (Ted Pella, Inc.). We
40 used a FEI Tecnai T12 transmission electron microscope at an operating voltage of 120 kV to
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3 image the mLCP nanoparticles. We did not attempt to size particles because of the large
4 polydispersity, but imaged several hundred particles to confirm the morphology observed in
5 scanning electron microscopy.
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10 **Dynamic light scattering (DLS) & ζ -potential**

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12 We measured the hydrodynamic diameter and ζ -potential of mLCP nanoparticles in
13 HEPES buffer (2 mM HEPES, 25 mM NaCl, pH 7.4) using a Brookhaven BIC ZetaPals with a 35
14 mW 660 nm laser and recorded four replicates of the ζ -potential using a Brookhaven ZetaPALS ζ -
15 potential analyzer.
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19 **X-ray photoelectron spectroscopy (XPS)**
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22 To prepare samples for X-ray photoelectron spectroscopy, we pressed the mixed phase
23 lithium cobalt phosphate like material in to indium foil on a copper substrate. The film was then
24 analyzed using a Thermo Scientific K-alpha XPS (Waltham, MA) with an Al X-ray source. We
25 performed peak fitting analysis using CasaXPS software.
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29 **Inductively-coupled plasma-optical emission spectroscopy (ICP-OES) & -mass spectrometry
30 (ICP-MS)**
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33 We measured the release of Co from mLCP particles using inductively-coupled plasma
34 optical emission spectroscopy (ICP-OES) and inductively-coupled plasma mass spectrometry
35 (ICP-MS). For 5 concentrations of mLCP (100, 10, 1, 0.1, and 0 mg/L), we measured metal
36 concentrations after one and two hours of suspension, equivalent to the start and end times used
37 for biological assay exposures. To separate dissolved ions from particles, we centrifuged solutions
38 at $17,000 \times g$ for 10 minutes, transferred the supernatants into fresh HEPES buffer, and centrifuged
39 at $200,000 \times g$ for 30 minutes. We froze the supernatant from these samples to minimize
40 evaporation prior to analysis by ICP-OES or ICP-MS. We acquired at least 3 analytical replicates
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3 for each sample. We used a single-element cobalt standard stock solution at 1000 mg/L in 2%
4 HNO₃ from Assurance SPEX CertiPrep.
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8 **Abiotic dye protocol**
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10 To screen for abiotic production or release of ROS and cobalt ions, we used three
11 fluorescent probes: 3'-aminophenyl fluorescein (APF), 2',7'-dichlorofluorescein diacetate (H₂DCF-
12 DA), and Newport Green™ 2',7'-dichlorofluorescein diacetate (NPG DCF-DA) with respective
13 excitation and emission (ex./em.) wavelengths 493/522, 495/525, and 505/535 nm. We modified
14 a methodology described elsewhere, in which APF and H₂DCF-DA were used to measure ROS
15 production from pyrite particles.^{41, 42} Prior to exposures, we diluted H₂DCF-DA and NPG DCF-
16 DA (at 5 and 2 mM in anhydrous DMSO) 1:1 into 0.1 M NaOH for 30 minutes to deacetylate the
17 dies. To prepare working solutions of the dyes, we diluted 5 mM APF in DMF, 2.5 mM base-
18 treated H₂DCF-DA, or 1 mM base-treated NPG DCF-DA into HEPES buffer (at 50-, 100-, and
19 100-fold dilutions, respectively). We added 20 µL of dyes, along with combinations of 20 µL of
20 20 units/mL HRP, 100 µM H₂O₂, 1 mg/mL mLCP, and 1 mM CoCl₂, to give 200 µL total of the
21 following exposures and controls: i. Negative Control; ii. Positive Control (HRP, H₂O₂); iii. Partial
22 Positive Control 1 (H₂O₂); iv. Partial Positive Control 2 (HRP); v. Exposure (mLCP); vi. Partial
23 Positive Control Interference Check (mLCP, HRP); vii. Positive Control Interference Check (HRP,
24 H₂O₂, mLCP); and viii. Co²⁺ Control (100 µM CoCl₂). We report full data in the Table S1. We
25 allowed exposures to incubate for 1 hour prior to reading absorbance at 600 nm and fluorescence
26 at wavelengths noted above.
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29 **MINTEQ**
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31 We modeled equilibrium chemical speciation using Visual MINTEQ Version 3.1. To
32 determine the oxidation state of dissolved cobalt, we entered components of the buffer ([HEPES]
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= 2 mMolal, [NaCl] = 20 mMolal, pH = 7.4) and assumed 100 μ Molal Co^{2+} , 100 μ Molal Co^{3+} , or 50 μ Molal Co^{2+} and 50 μ Molal Co^{3+} . We then repeated this calculation with the $\text{Co}^{2+}/\text{Co}^{3+}$ redox couple enabled. We analyzed both speciation and mass distribution to understand the predicted behavior of Co ion species under exposure conditions.

Biological characterization

We performed all incubations for *S. oneidensis* MR-1 and *B. subtilis* at 30 °C and 37 °C, respectively. We grew colonies of *S. oneidensis* MR-1 or *B. subtilis* on Luria-Bertani (LB) agar plates (1 L of H_2O , 25 g LB powder, 15 g agar) overnight and then transferred colonies into 10 mL of LB broth (1 L of H_2O , 25 g LB powder). We incubated this inoculant in an orbital shaker (300 RPM) for approximately 4 hours until bacteria reached the mid log phase, where optical density at 600 nm (OD_{600}) is between 0.3-0.6. We performed all exposures at room temperature using ENM or ion solutions made using HEPES buffer. Therefore, all negative controls comprised HEPES buffer added to cells for the exposure duration.

Preparation of bacteria for viability assays

We washed the bacteria in LB medium into HEPES buffer via single wash with Dulbecco's phosphate-buffered saline (DPBS) using a 10 minute, $750 \times g$ centrifugation to pellet bacteria. The OD_{600} of the solution was adjusted to 0.1 using HEPES buffer, equivalent to 10^8 colony-forming units (CFU) per milliliter.

Colony counting viability assay

To validate viability results from the growth-based viability assay, we performed a colony counting assay for *S. oneidensis* MR-1. This was not performed on *B. subtilis* due to its swarming motility (a strain-specific behavior that makes it difficult to count colonies on traditional agar plates).⁴³ We exposed 20 μL NP suspensions (or HEPES buffer as negative control) to 180 μL of

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3 the $OD_{600} = 0.1$ (10^8 CFU) stock suspension for 1 hour. We then serially diluted these exposed
4 solutions to nominally 10^4 and 10^3 CFU/mL using dilution factors of 10. From each of these
5 solutions, we dropped 10 μ L onto LB agar plates (6 drops as technical replicates) that had been
6 previously partially dried for 30-35 minutes and sterilized by UV illumination. We allowed
7 bacteria to grow for 16-20 hours in a 30 °C incubator before counting the colonies. We normalized
8 counts to the negative control within each plate.
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17 **Growth-based viability (GBV) assay**

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19 The experimental procedure and data processing for the growth-based viability (GBV)
20 assay has been recently described elsewhere in detail.⁴⁴ Briefly, we consider the $OD_{600} = 0.1$
21 suspension of bacteria a negative control with 100% viability. A calibration curve was constructed
22 for each bacterial strain by serially diluting the 100% viability stock. Nanoparticle exposures
23 comprised 180 μ L of the $OD_{600} = 0.1$ cells mixed with 20 μ L of a 10× NP working solution. After
24 a 1-hour exposure, we transferred 5 μ L of exposed and calibration bacteria to 195 μ L of LB broth
25 in a 96-well plate. Using a BioTek Synergy 2 plate reader, we measured the OD_{600} of this 96-well
26 plate overnight using 5 minute read-intervals at optimal growth temperatures for each bacteria
27 strain. We processed data using RStudio, as previously explained,⁴⁴ and obtained estimates of cell
28 viability by comparing the exposed bacteria to the linear calibration curve.
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42 **Newport Green™ 2',7'-dichlorofluorescein assay for cobalt uptake**

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44 We washed bacteria inoculant by centrifugation (2000 \times g, 5 minutes) into 30 mL of DPBS.
45 To half of the stock, we added 30 μ L of 2 mM NPG DCF-DA in DMSO (to give an incubation
46 concentration of 4 μ M). The second half of the bacteria stock served as a control for any auto-
47 fluorescence and scattering from bacteria and ENMs. For dye loading, we incubated both tubes in
48 a heated orbital shaker, at 30 and 37 °C for *S. oneidensis* and *B. subtilis*, respectively, for 45
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3 minutes, followed by centrifugation at $3000 \times g$ for 10 minutes and resuspension into HEPES
4 buffer to remove extracellular dye. We exposed bacteria to mTCP or CoCl₂ in triplicate at 5 doses.
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6 We vortexed the exposed bacteria and incubated in the dark for 1 hour. We washed the bacteria
7 twice, first into 1 mL HEPES buffer and then into 200 μ L of HEPES buffer ($10,000 \times g$, 5 minutes).
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9 Using a BioTek Synergy H1 Hybrid Reader, we measured the OD₆₀₀ and fluorescence (ex./em.
10 505/535 nm) of these final solutions. In data analysis, we subtracted the average of the fluorescence
11 signal from the undyed cells for each treatment condition and then calculated the turn-on ratio
12 compared to the negative control of dyed cells by subtracting the average fluorescence of the
13 negative control (F_0) from the average fluorescence of a given exposure condition (F) and then
14 dividing by (F_0), i.e. $(F-F_0)/F_0$.
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2',7'-Dichlorofluorescin diacetate

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3 The procedure for measurement of intracellular ROS by 2',7'-dichlorofluorescin diacetate
4 (H₂DCF-DA) is similar to that with NPG with minor changes. We incubated bacteria in 5 μ M
5 H₂DCF-DA dye in DMSO at 30 and 37 °C for *S. oneidensis* and *B. subtilis*, respectively, and
6 measured fluorescence using excitation and emission at 493 and 522 nm, respectively. We used
7 two different doses of H₂O₂ as positive controls for this assay (approximately 0.1 and 0.01% H₂O₂
8 in HEPES).
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Brightfield and fluorescence optical microscopy

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3 We placed NPG DCF-loaded bacteria on a microscope glass slide and, using a Leica
4 AF6000 microscope, we obtained brightfield images. With a FITC filter (ex./em. maxima at
5 490/525 nm), we imaged the cells to discern whether the fluorescent signal from the NPG DCF
6 was localized to cells identified in brightfield. We processed the images in ImageJ.
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Resin-embedded TEM of biological samples

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3 We prepared resin-embedded bacteria exposed to mLCP at 3 doses (100, 10, and 0 mg/L
4 mLCP) using a previously described method given in detail in the Supporting Information.⁴⁵
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7 **Statistical testing**
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10 Statistical analysis and curve fittings were performed using GraphPad Prism 6 software.
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12 We fit viability data with non-parametric curves using the four-parameter Hill equation. We
13 constrained the maximum of the dose response curves to the average viability observed for
14 negative controls. We carried out significance testing between groups throughout this work using
15 2-way analysis of variance (ANOVA) with Tukey's multiple comparison test. We performed
16 outlier analysis using a one-sided Grubbs' test ($p < 0.05$). We note in the text where outliers are
17 excluded and show the full data in the supporting information.
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21 **Results:**
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24 **Synthesis of multiphase lithiated cobalt phosphate**
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27 We employ a polyol synthetic approach using cobalt acetate, ammonium phosphate, and
28 lithium precursors with the goal of synthesizing nanoscale LiCoPO₄.⁴⁶ Previous studies have
29 reported that synthesis of LiCoPO₄ frequently forms multiple chemical phases and structural
30 polymorphs.^{19, 37, 40, 47, 48} Similarly, analysis of the x-ray diffraction (XRD) trace for the synthesized
31 material (Figure 1) shows that the product is a multiphase material with putative peak assignments
32 to [Co(H₂O)₆](H₂PO₂)₂ (Crystallography Open Database (COD) code: 2012913),
33 NH₄[CoPO₄(H₂O)] (COD code: 2008122), and LiC₂H₃O₂ (COD code: 7206368). Based on the
34 sharpness of peaks within the XRD trace, these phases appear to be crystalline. The XPS
35 characterization, included in the supplementary information (Figure S1), shows Co peaks
36 consistent with a 2+ oxidation state. ICP-OES data showed a relative composition of
37 Li_{0.2229±0.004}Co₁P_{0.8135±0.0006}. Given the reported uses of NH₄[CoPO₄(H₂O)] as both a supercapacitor
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material and as a precursor of LiCoPO_4 , we believe the multi-phased nature of the as-synthesized lithiated cobalt phosphate (mLCP) provides a good representation of the complexity in this class of materials.

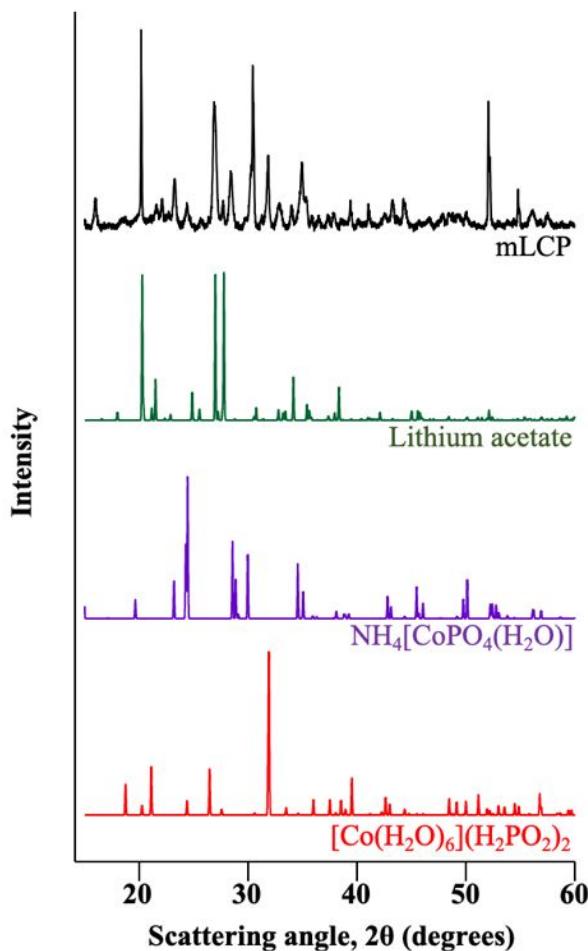


Figure 1. XRD trace of mLCP material (black) and three putatively assigned phases lithium acetate (green), $\text{NH}_4[\text{CoPO}_4(\text{H}_2\text{O})]$ (purple), and $[\text{Co}(\text{H}_2\text{O})_6](\text{H}_2\text{PO}_2)_2$ (red) in the synthesized material.

Both SEM and TEM (Figure 2) reveal a sheet-like morphology of the mLCP with a relatively broad distribution of dimensions for the large basal planes, ranging from 100 nm to several microns across. Instances of side-on views of the material reveal particle widths well below 100 nm. Dynamic light scattering (DLS) in HEPES buffer confirms the presence of particles with

high polydispersity (0.3 ± 0.1) and large size features (2200 ± 100 nm). Laser doppler electrophoresis measurements show a modestly negative electrophoretic mobility (-19 ± 5 mV).

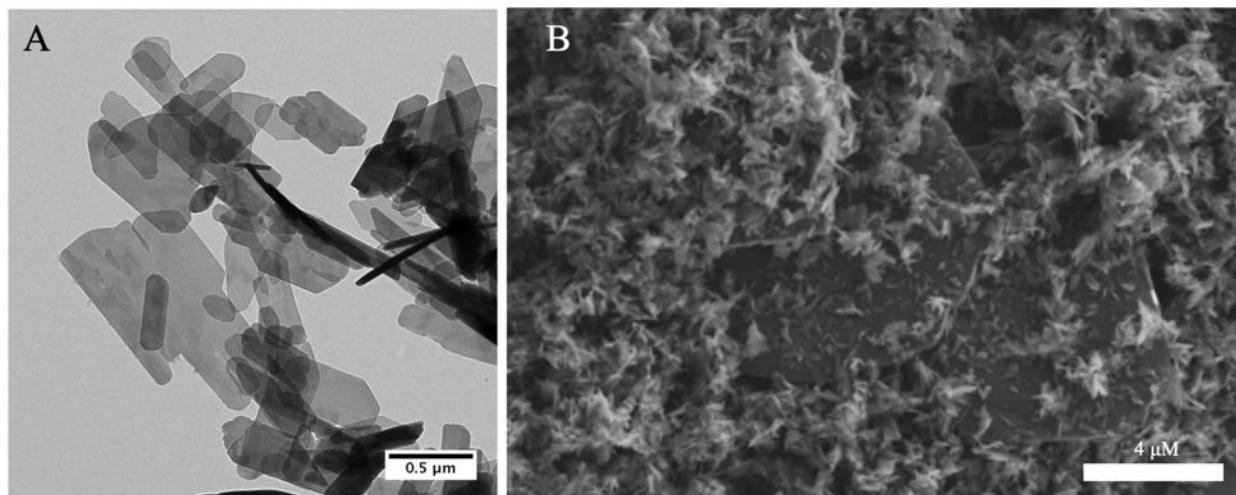
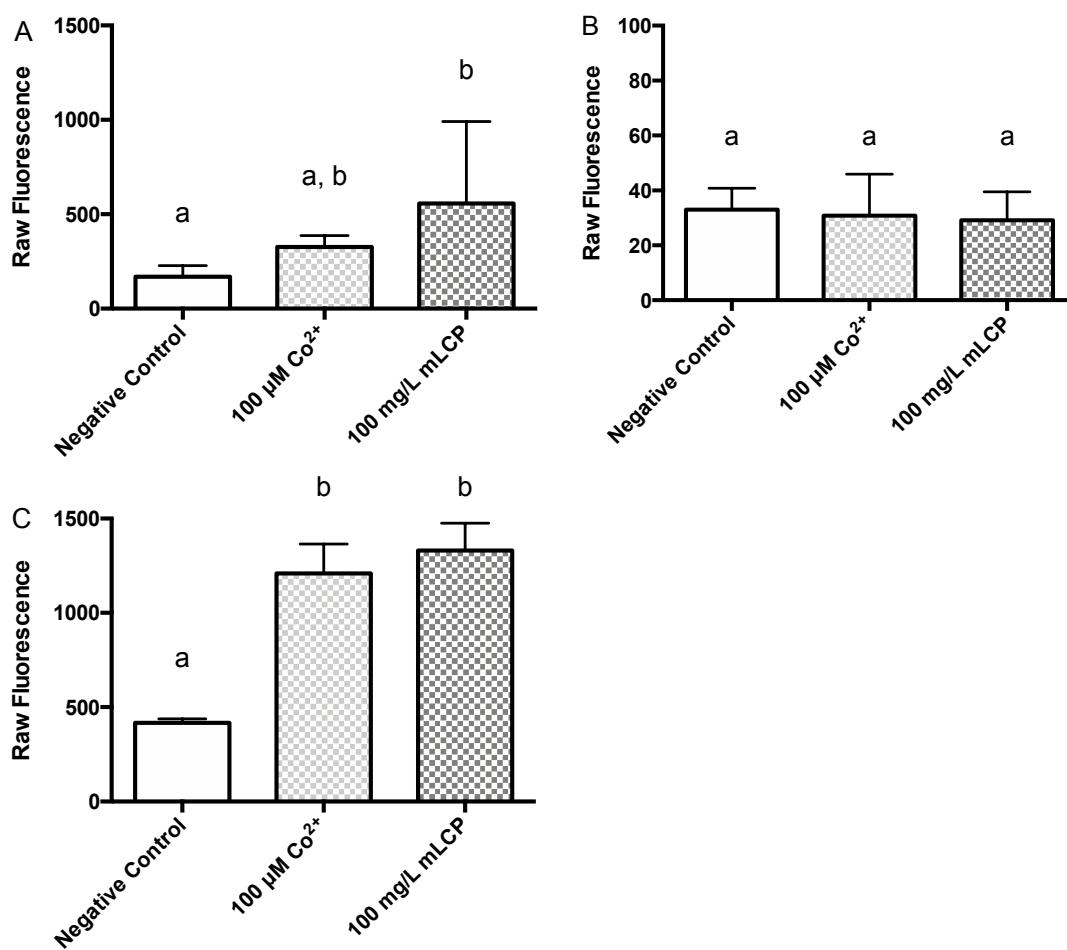


Figure 2. Representative TEM (A) and SEM (B) images of mLCP material.

Abiotic dye response

To assess potential routes of interaction between the synthesized nanoscale phosphate and the two bacteria used in this work, we use three fluorescent dyes to screen for chemical species generated by the nanomaterial. H₂DCF, APF, and NPG DCF each have turn-on fluorescence induced by general ROS (including singlet oxygen and peroxy radicals),⁴² highly reactive oxygen species (hROS, including hydroxyl, peroxynitrite, perchlorite),⁴¹ and certain divalent metals (Co²⁺, Ni²⁺, Zn²⁺), respectively.^{49, 50} Before use, we deacetylate H₂DCF-DA and NPG DCF-DA under alkaline conditions, removing groups that render the dyes cell permeant and block reactions to form fluorescent products. Response of each dye to conditions including cobalt ions and mLCP particles are shown in **Error! Reference source not found.** (full data of all conditions including positive controls can be found in Table S1). All three dyes show significant turn-on under oxidative conditions of H₂O₂ and horseradish peroxidase. The turn-on of NPG DCF under oxidative conditions is something to bear in mind when using this and metal binding dyes of the same class.

We find that the mLCP particles induce no APF turn-on fluorescence, but does induce fluorescence in NPG DCF, and a slight response for DCF. Although we cannot preclude the production of highly ROS from this ENM, any production is below our limit of detection. We can infer that the mLCP releases significant Co ion species into solution as response of NPG DCF to 100 mg/L mLCP is similar to that of 100 μ M CoCl₂ (shown later as the high end of [Co²⁺] released from the mLCP).



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3 **Figure 3.** Abiotic fluorescence response of (a) H₂DCF, (b) APF, and (c) NPG DCF to mLCP and
4 controls (ex./em. 505/535). Data for negative control, positive control (Co²⁺), and mLCP responses
5 represent material duplicates (of analytical triplicates). Data for positive control (HRP/H₂O₂)
6 responses represent analytical triplicates. Error bars represent standard deviations, readings are
7 grouped by statistical differences, p < 0.05, in a 2-way ANOVA.
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10 To better quantify the release of Co species from the mLCP, we initially used ICP-OES.
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12 Due to the low concentrations of cobalt released from the mLCP, we analyzed further replicates
13 by ICP-MS, which in our methods decreased sample processing time for low concentration
14 samples. The ICP-OES replicate is well aligned with the ICP-MS replicates, and we thus include
15 that data in Figure 4, which shows the Co release from the mLCP particles as determined. We
16 performed these dissolution studies in the absence of bacteria at two time points based on the 1-
17 hour bacterial exposure time, but we expect that the bacterial presence should not significantly
18 change dissolution behavior, a phenomenon previously observed with lithium nickel manganese
19 cobalt oxide materials.[reference to High Ni NMC] We remove all suspended mLCP particles
20 using centrifugation and then ultracentrifugation. A lack of signal in dynamic light scattering
21 confirms that this removal is effective. We find that both increasing the concentration of the mLCP
22 and increasing the time that those particles incubate in HEPES buffer leads to increased release of
23 Co species from the ENMs.
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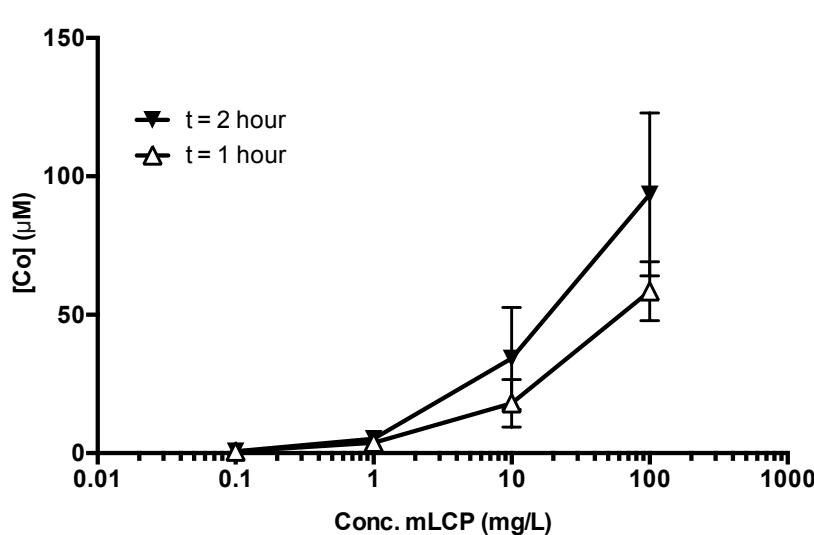


Figure 4. Cobalt release from mLCP in HEPES buffer as determined by ICP-OES and -MS. Time points represent the times at the beginning and end of bacterial exposures to mLCP. Data represents means of 4 replicates, with error bars representing standard deviation. Three replicates were recorded for 0.1 mg/L dissolution.

Using Visual MINTEQ 3.1, an equilibrium modeling software, we find that regardless of the initial oxidation state (+2 or +3) of the cobalt released, the majority of the cobalt species ends up as free Co^{2+} (aq), and virtually all of the cobalt species formally has cobalt in the 2+ oxidation state (Table S2). Past work has shown that if cobalt is initially present in materials in the 3+ oxidation state, its transformation to a solution-stable 2+ oxidation state may generate ROS.⁵¹ However, in the identified phases of the synthesized material (shown in Figure 1) cobalt is formally in the 2+ oxidation state, eliminating this pathway for ROS generation. This in part explains why we do not observe oxidation of H_2DCF or APF (Figure 3).

Viability

Given the lack of ROS production observed by APF and DCF, our biological hypothesis was that the mLCP would negatively impact the viability of both *S. oneidensis* MR-1 and *B. subtilis* through release of toxic cobalt ions. We use a growth-based viability (GBV) assay (results from colony counting experiments for *S. oneidensis* can be seen in Figure S2) recently developed in our

groups⁴⁴ to measure the viability of both *S. oneidensis* MR-1 (Fig. 5a) and *B. subtilis* (Fig. 5b) to a range of nanoparticle doses (0, 0.1, 1, 10, 100 mg/L mLCP; see Figure S3 for particle mass-based dose-response curves). In parallel, we expose both bacteria to ions spanning the concentrations of dissolved species (0.01, 0.1, 1, 10, 100 μ M CoCl₂). Four-parameter Hill plots allow for determination of IC₅₀ values of 0.4 mg/L mLCP (0.3 to 0.5 mg/L, 95% confidence) and 2.5 μ M CoCl₂ (1.9 to 3.4 μ M, 95% confidence) for exposed *S. oneidensis* MR-1.

Figure 5 shows the response of the two bacteria to both mLCP and Co²⁺ plotted using the dose of soluble Co ions. For the CoCl₂ ions, this is simply the molar concentration of CoCl₂. For the mLCP exposures, we use the Co release at 1 hour as determined by ICP-OES as the effective dose. For *S. oneidensis* MR-1 (Figure 5a), we see a drastic decrease in viability as the dose of mLCP or CoCl₂ increases. There is very good agreement between the two curves, indicating that the release of cobalt from the mLCP may account for the toxicity.

For *B. subtilis* (Figure 5b), the GBV assay yields less reproducible results especially in response to CoCl₂ exposures. Upon removal of statistical outliers (full data presented in Figure S4 & S5), we see a similar decrease in viability for mLCP exposures as we find for *S. oneidensis* MR-1. Once again, the response to mLCP nanoparticles appears well within the error of the response to Co²⁺ ions; thus, it is plausible that Co²⁺ is the primary driver of toxicity. The IC₅₀ values for *B. subtilis* we expose to mLCP and CoCl₂ are 0.1 mg/L mLCP (0.04 to 0.2 mg/L, 95% confidence) and 0.1 μ M CoCl₂ (0.0003 to 40 μ M, 95% confidence), respectively. The larger variability between biological replicates of *B. subtilis* exposed to CoCl₂ exposures leads to greater uncertainty in quantitative analysis of CoCl₂ toxicity to *B. subtilis*.

We find that the lowest-observed-adverse-effect level for *B. subtilis* is at 0.1 mg/L mLCP, while it was 1 mg/L for *S. oneidensis* MR-1, indicating a potentially higher susceptibility of *B.*

subtilis to Co²⁺ exposures. This finding is in line with our knowledge of response of these two bacteria to cobalt-containing metal oxides as well as other nanomaterials,⁴⁵ and improved metal handling by *S. oneidensis* MR-1 may have evolved alongside its ability to engage in redox cycles with a number of transition metals.

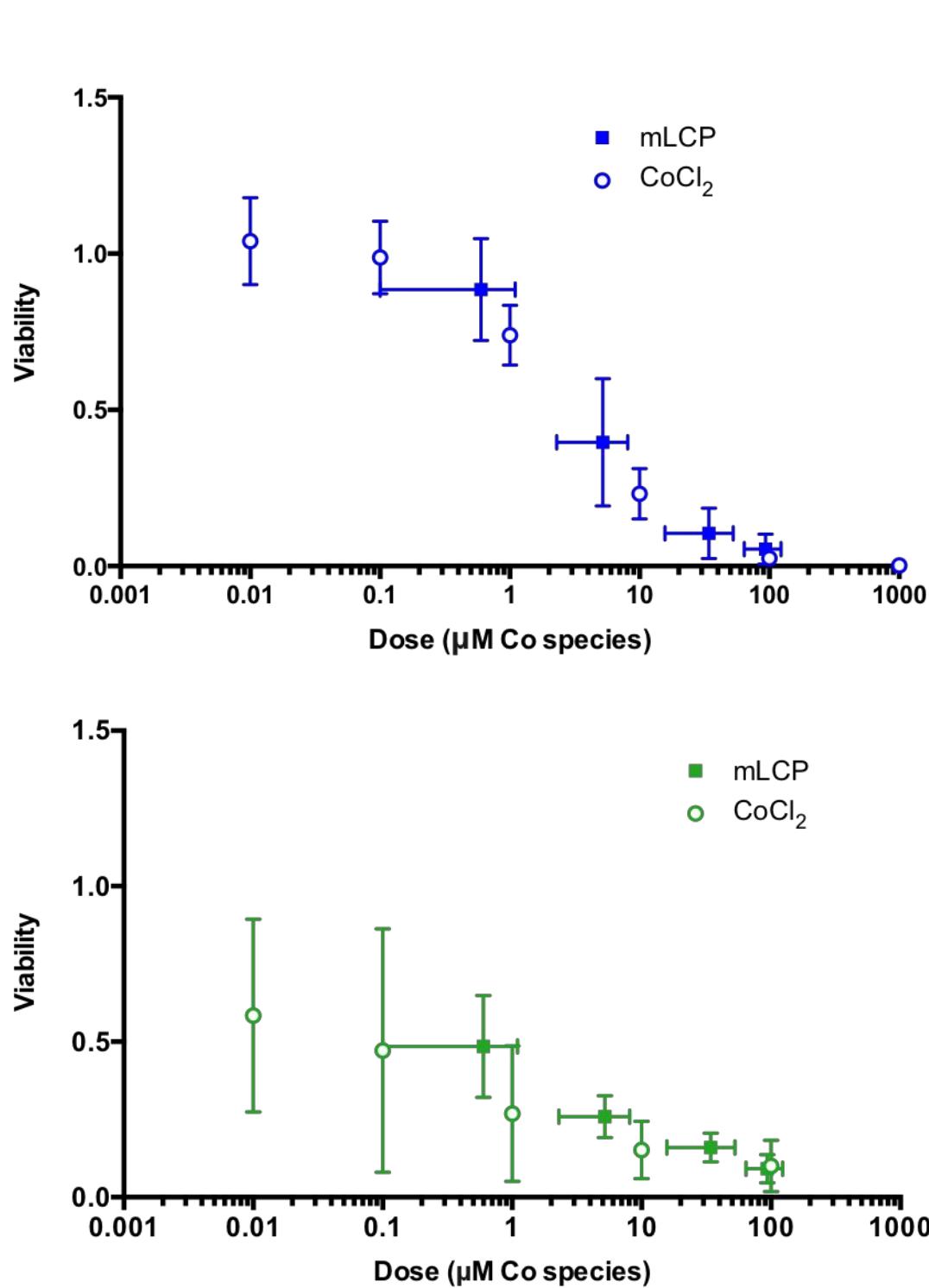


Figure 5. Viability of *S. oneidensis* MR-1 (top) and *B. subtilis* (bottom) exposed to either mLCP (solid squares) or CoCl_2 (open circles), as determined by GBV. Doses are presented based on soluble $[\text{Co}^{2+}]$ at 2 hours of particles in HEPES, as determined by ICP-OES and -MS for mLCP. Data for *S. oneidensis* MR-1 represent means of biological triplicates; data for *B. subtilis* represent means of four biological replicates. Error bars represent standard deviations.

Newport Green™ DCF Assay

To further understand the role that cobalt plays in toxicity, we look for evidence of internalization of Co^{2+} into the bacteria. We use the NPG DCF-DA dye to achieve this. The dye is an acetylated fluorescein derivative with a di-(2-picoly)amine metal binding domain, which has turn-on fluorescence once it is internalized into cells, deacetylated by intracellular esterases, and binds specific divalent metals (Zn^{2+} , Ni^{2+} , Co^{2+}). We optimize dye loading conditions to enhance signal from intracellular fluorescence versus extracellular background fluorescence. In Figures S6 and S7, we show that for *S. oneidensis* MR-1 and *B. subtilis* loaded with NPG DCF exposure to CoCl_2 leads to a slight increase in background fluorescence and a much higher fluorescence localized to distinct bacteria (which we identify in bright field imaging).

After loading bacteria with dye, we treated them with either mLCP (0, 0.1, 1, 10, 100 mg/L) or CoCl_2 (0, 0.1, 1, 10, 100 μM) for one hour. Figure 6a and Figure 6b show the fluorescent response of *S. oneidensis* MR-1 and *B. subtilis*, respectively. Here, we once again used exposure doses based on the concentration of cobalt species released (plots by mass dose shown in Figure S3). For both bacteria, increasing the dose of Co species, whether delivered by mLCP or CoCl_2 , yields a dose-dependent increase in intracellular fluorescence. For *S. oneidensis* MR-1 (Figure 6a), we see very good agreement between the fluorescent signal arising from mLCP and CoCl_2 exposure, which indicates that the mLCP is not disrupting cellular structure or function in a way that significantly increases the susceptibility of the bacteria to uptake Co^{2+} ions.

For *B. subtilis*, we see similar trends in fluorescence for the bacteria when treated with Co^{2+} or mLCP. The fluorescence response of NPG DCF-loaded *B. subtilis* begins to increase at the 1 μM dose of CoCl_2 , whereas for *S. oneidensis* MR-1 the uptake is observed at 10 μM CoCl_2 . This could help us understand the increased susceptibility in terms of viability for *B. subtilis* to both mLCP and Co^{2+} .

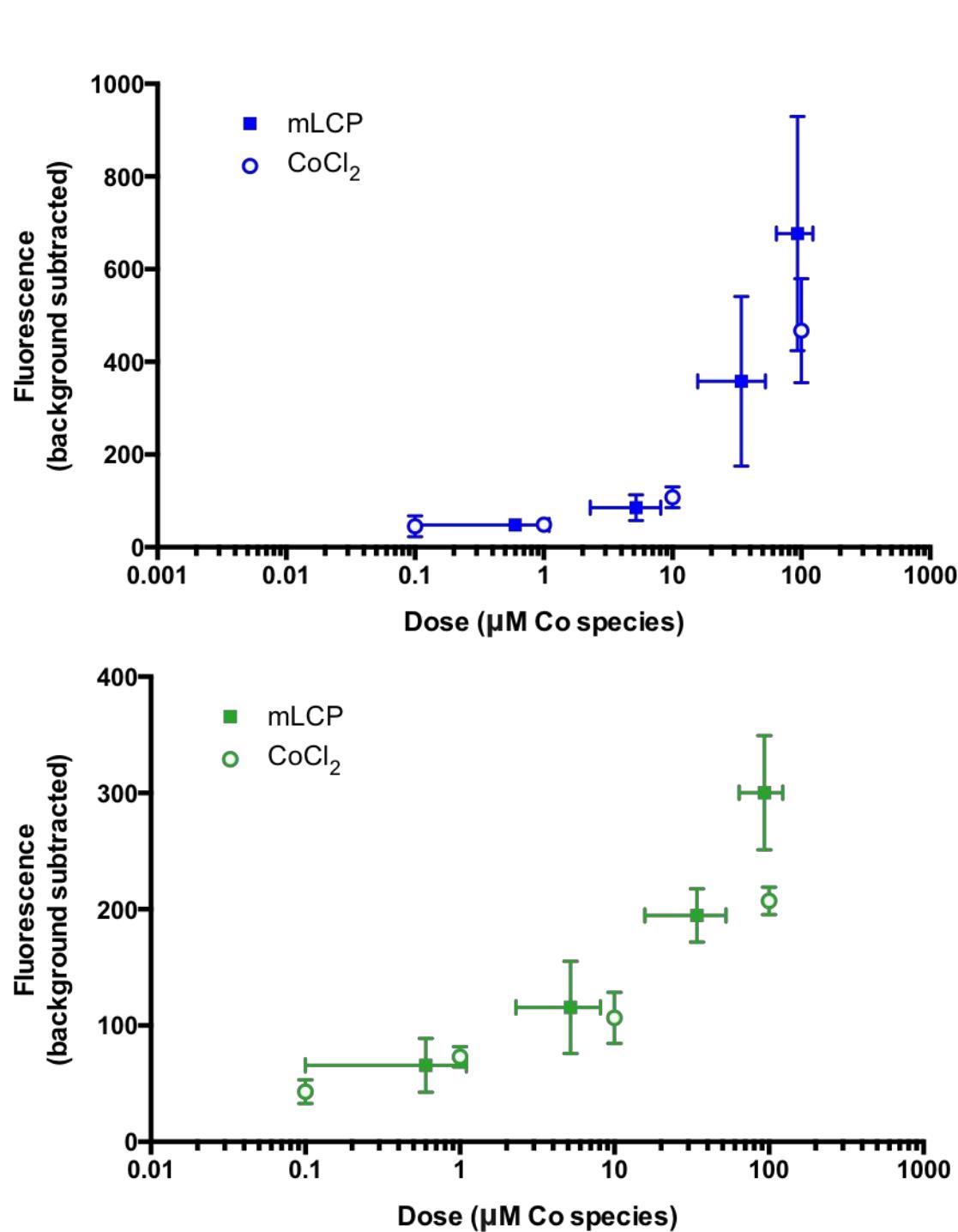


Figure 6. Fluorescence response of *S. oneidensis* MR-1 (A) and *B. subtilis* (B) loaded with Newport Green™ DCF dye that were exposed to either mLCP or CoCl₂ (ex./em. 505/535). Doses are presented based on soluble [Co] at 2 hours of particles in HEPES, as determined by ICP-OES and -MS for mLCP. Data for *S. oneidensis* MR-1 and *B. subtilis* represent means of biological triplicates, and error bars represent standard deviations.

2',7'-Dichlorofluorescin assay

We are also interested in assessing the extent that nanoscale mLCP induces increases in intracellular levels of ROS. Intracellular ROS may originate from low-level production of ROS directly from the mLCP nanoparticles or by downstream effects of cobalt internalization and cell dysregulation. To measure intracellular ROS, we use the H₂DCF-DA dye. Despite literature precedent of using H₂DCF-DA to study bacteria, this dye is inconsistent in our hands with positive controls of H₂O₂ at times yielding no measurable intracellular fluorescence. The data we present is only from trials in which a positive control provides a statistically significant signal. We find (Figure 7) that the highest dose of mLCP causes a significant increase in H₂DCF-DA fluorescence, indicating an intracellular increase in ROS. The change is approximately 2-fold, so the biological significance of this change is unclear, but likely low. For *S. oneidensis* MR-1, we do not detect intracellular ROS when treated with the two highest doses of mLCP. We cannot rule out increases in intracellular ROS for the bacterium, but instead can say that the response is below the response that of 3 mM H₂O₂ induces.

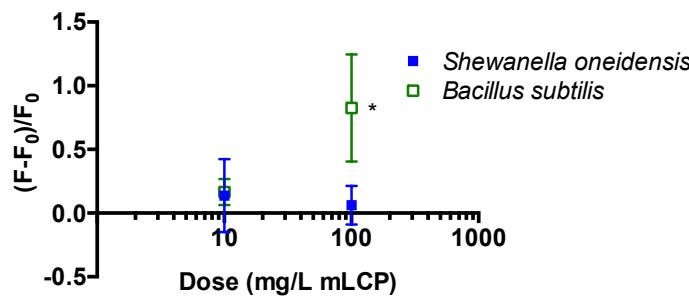


Figure 7. H₂DCF-DA assay fluorescence response of *S. oneidensis* MR-1 and *B. subtilis* to mLCP exposures. Data for *S. oneidensis* MR-1 represent means of biological triplicates. Data for *B. subtilis* represent means of triplicates from a single day. Error bars represent standard deviations (* represents p < 0.05 relative to negative control.)

Biological TEM

It is clear that cobalt ions are taken up inside the bacteria, thus it is important to characterize whether or not the mLCP particles localize on or near the bacterial cells. Resin-embedded TEM is

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3 an ideal way to determine if nanomaterials are either taken up into organisms⁵² or assembled onto
4 bacterial cell surfaces.⁴⁵ Upon examination of resin-embedded *S. oneidensis* MR-1 and *B. subtilis*
5 exposed to mLCP at doses of 0 and 100 mg/L mLCP, we find no evidence of association between
6 bacteria and the nanomaterial. In fact, we do not observe the presence of mLCP in the resin slices
7 at even the highest doses. The colloidal instability of the material in aqueous suspensions leads to
8 rapid settling, which may decrease likelihood of direct interaction of the nanomaterial with
9 bacteria. In both energy storage and catalysis applications, metal phosphates will be used in the
10 solid state, and so to better represent how this material is made and used on large scales we made
11 no attempt to increase the colloidal stability in these experiments.
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14 At high doses of mLCP exposure to *S. oneidensis*, we observe instances of separation of
15 the cell wall from the cytoplasm, indicating cell lysis (red arrows in Figure 8c indicate examples
16 of lysed cells). This phenomenon has been observed before with TEM images of lysed cells.⁵³
17 However, it is important to note that a fixed cell slice used for TEM analysis only shows the
18 morphological consequence of lysis, but no dynamic information. For *B. subtilis*, exposure of
19 mLCP induces no clear morphological changes. While TEM images such as these can give some
20 qualitative insight into cell morphology, it is important to note the limitations of a technique that
21 has an inherently low sample size and represents a single time point within a dynamic system.
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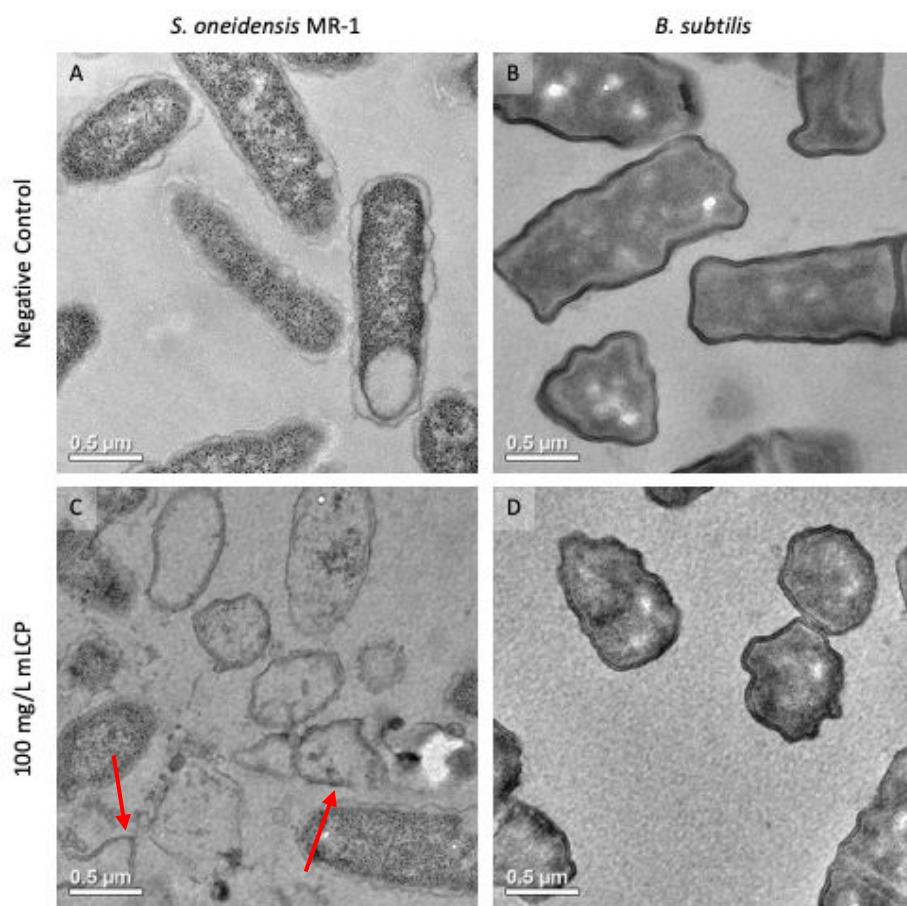


Figure 8. Representative resin-embedded TEM images of *S. oneidensis* MR-1 and *B. subtilis* exposed to 0 mg/L mLCP (A, B) or 100 mg/L mLCP (C, D) in HEPES buffer. Red arrows point to two instances of cell lysis, indicated by a fractured cell wall that does not contain cytoplasm.

Discussion

In this work, we describe the synthesis and characterization of a mLCP nanomaterial, which decreases the viability of two environmental model bacteria. We identify several potential routes of toxicity for a multiphase material, which we depict in Figure 9. We use three fluorophores with reactivity-based turn-on fluorescence to screen for production of ROS and heavy metal release. While we see no evidence for production of ROS, we qualitatively observe release of Co^{2+} , which we then quantify using ICP techniques. We do not find association of the ENM with the cell wall by resin-embedded TEM but observe cell wall damage for mLCP-exposed *S. oneidensis* MR-1. The $\text{H}_2\text{DCF-DA}$ dye shows no intracellular increases of ROS within *S. oneidensis* MR-1

and a modest, and likely biologically insignificant, increase in *B. subtilis* upon exposure to mLCP. Notably, the NPG DCF-DA dye detects Co^{2+} internalization after dosing with mLCP, at levels which are recapitulated by doses of Co^{2+} equivalent to the species released from the mLCP for both *S. oneidensis* and *B. subtilis*. The use of cell-permeant probes to interrogate the cellular state beyond a viable vs. non-viable dichotomy helps close the knowledge gap between release of ions from nanomaterials and decreases in viability. These findings, along with the good agreement between dose-response curves based on dosing of soluble cobalt species indicates that for this multiphase cobalt phosphate, Co^{2+} ions are the primary driver of toxicity for both bacteria investigated in this study.

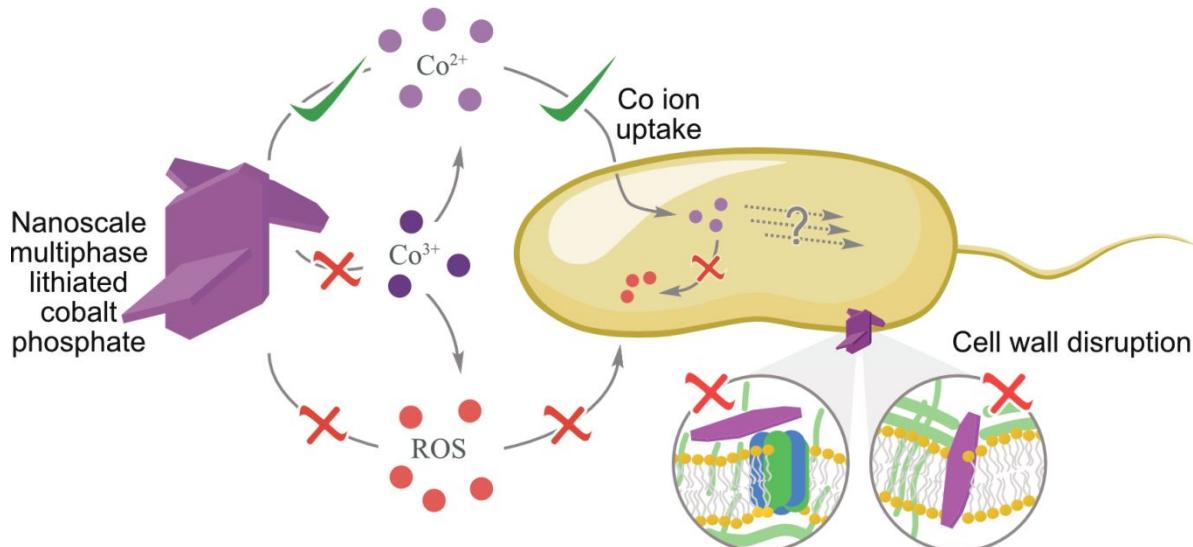


Figure 9. Summary of likely interactions between nanoscale mLCP and bacteria, as determined in this work.

Previous studies of dissolved heavy metals with bacteria shed light on the mechanism of the toxicity we observe. Transition metal ions are known to interact with cell walls,^{54, 55} in particular when released from nanomaterials,⁵⁶ or to be internalized via high-affinity import systems.^{57, 58} In bacteria, it has been shown that internalization of Co^{2+} leads to toxicity through disruption of the formation of iron-sulfur enzymes⁵⁹ and potential downstream interactions with DNA. It is debated in the literature whether increased Co^{2+} levels yield increases in intracellular

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3 ROS in bacteria, with some reports showing Co^{2+} yielding increased fluorescence response of
4 H_2DCF ,⁴⁴ while others find no evidence of upregulation of ROS defense pathways.⁴⁵ However, it
5 should be noted that H_2DCF is a less precise read-out of oxidative stress than other methods such
6 as analysis of tail length in comet assays or changes in gene expression.⁴⁶
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12 The decreased viability we observe for the two bacteria are in line with those in literature
13 reports on Co^{2+} toxicity towards bacteria. Ranquet et al. finds that in glucose-containing M9
14 medium, 100 μM CoCl_2 —which is the highest dose in this work—significantly delays the growth
15 of *Escherichia coli*, and that agar plates containing 700 μM CoCl_2 are bactericidal toward wild-
16 type *E. coli*.⁵⁹ Also, in work by Thorgersen and Downs, 160 μM CoCl_2 in glucose-containing
17 minimal medium significantly delays the onset of exponential growth of *Salmonella enterica*.⁶⁰ In
18 both works, inclusion of iron ions successfully mitigates toxicity.^{59, 60} Elevated levels of ferrous
19 ions are able to outcompete cobaltous ions and maintain proper Fe-S cluster synthesis.⁶¹ Given
20 that cobalt phosphates are of interest for energy storage, and that iron phosphates are also used in
21 energy storage applications, one potential route to mitigate toxicity of these materials may be
22 synthesizing materials using combinations of Fe and Co.
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This work develops knowledge surrounding two emerging questions in the field of nanotoxicology: 1) how do we assess the toxicity of materials that are inherently heterogeneous? and 2) can we transfer the rules developed for understanding metal oxide ENM toxicity to metal phosphate ENM toxicity?

While many nanoparticles are used in applications as single-phase materials, it is now recognized that surface chemical and physical transformations occur that can add additional complexity to materials. A clear challenge in the field of toxicology, and in particular nanotoxicology, is building a mechanistic understanding of how multiphase and heterogeneous

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3 materials interact with organisms. The multiphase nature of the ENM we synthesize and study in
4 this work precludes knowing the exact chemical composition of the material. This makes it
5 difficult to predict material properties such as dissolution, electroactivity, or likelihood of
6 association with organisms. However, via materials characterization we can generate hypotheses
7 about likely routes of interactions with bacteria, and then screen the nanomaterial production of
8 toxicants using three fluorescent dyes. In doing so, we can understand the relevant behavior of the
9 material without much more involved characterization. This approach is readily adaptable as
10 numerous commercial fluorophores are on the market for various chemical species.
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13 Another open question this work addresses is whether biological systems interact with
14 metal phosphates by fundamentally different mechanisms than they do with metal oxide ENMs.
15 This question is an important one given the high likelihood of increased production, use, and
16 disposal of nanoscale metal phosphates, and the dearth of research looking at the impact of metal
17 phosphate ENMs on organisms, and specifically bacteria. Past works show that release of
18 transition metal ions from metal oxide ENMs can play a major role in interactions with
19 organisms.^{11,37,47} This is in line with the mechanism of interaction between the mLCP and the two
20 bacteria in this work. In other cases, ROS generation drives metal oxide toxicity. One well-
21 documented mechanism of ROS generation for ENMs is production at electroactive surfaces.
22 Although the mLCP contains potential energy storage and catalysis materials, we find little
23 evidence of ROS generated from the ENM. A less studied mechanism of ROS generation is the
24 process of redox-mediated dissolution.^{14,51} Some cobalt-containing oxides (e.g. CoFe_2O_4) contain
25 Co(II), others (e.g. Co_3O_4) contain both Co(II) and Co(III), and materials of interest in energy
26 storage (e.g. LiCoO_2 , $\text{LiNi}_{0.33}\text{Mn}_{0.33}\text{Co}_{0.33}\text{O}_2$) often contain Co(III) centers. Yet dissolved Co^{3+} is
27 not stable at physiological pH, and thus as Co(III) dissolves it will undergo reduction to Co²⁺, with
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3 the potential generation of ROS. Examination of the XRD-identified phases for mLCP shows that
4 cobalt is formally present only as Co(II), meaning ROS generation through redox-mediated
5 dissolution is not a significant pathway. Clearly metal oxidation state is an important factor in the
6 toxic potential of a material, and it is a potential differentiator between some transition metal
7 phosphates and oxides.
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10 This work shows that for nanoscale metal phosphates the release of transition metals may
11 serve as the primary interaction of ENMs with organisms, which is also the case for some metal
12 oxides but not others where ROS interactions dominate. The relative importance of ROS
13 generation, surface association, and uptake will undoubtedly vary for different materials and
14 different organisms. Whether, in general, rules developed to understand metal oxide toxicity
15 towards organisms hold for nanoscale metal phosphates requires more studies like those presented
16 herein. And as nanomaterials continue to increase in complexity it is important to develop tools,
17 like those we use here, that enable us to investigate the toxic potential of materials.
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20 Acknowledgements

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23 This work was supported by the National Science Foundation under the Center for
24 Sustainable Nanotechnology CHE-1503408. The Center for Sustainable Nanotechnology is part
25 of the Centers for Chemical Innovation Program. J.T.B. gratefully acknowledges support from a
26 National Science Foundation GRFP (Grant #00039202). We thank Fang Zhou for microtome
27 sectioning of the resin-embedded bacteria samples for TEM analysis. Transmission electron
28 microscopy imaging was carried out in the Characterization Facility, University of Minnesota,
29 which receives partial support from the NSF through the MRSEC program. We thank Elizabeth
30 Lundstrom for performing ICP-OES and ICP-MS analysis as part of the University of Minnesota
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3 Earth Sciences department. We thank Thomas Pho of Augsburg University for fluorescence and
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5 brightfield microscopy of NPG DCF-loaded bacteria.
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9 Author Information

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12 Corresponding Author *E-mail: chaynes@umn.edu.

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16 **Supporting Information.** Reagents, abiotic fluorescence assay results, equilibrium simulations,
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18 XPS characterization of nanomaterials, ion control bacterial toxicity results, imaging of ions in
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20 bacteria, TEM sample preparation procedure.
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