



# Characterizing the Difference Between Graph Classes Defined by Forbidden Pairs Including the Claw

Guantao Chen<sup>1</sup> · Michitaka Furuya<sup>2</sup> · Songling Shan<sup>3</sup> · Shoichi Tsuchiya<sup>4</sup> · Ping Yang<sup>1</sup>

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## Abstract

For two graphs  $A$  and  $B$ , a graph  $G$  is called  $\{A, B\}$ -free if  $G$  contains neither  $A$  nor  $B$  as an induced subgraph. Let  $P_n$  denote the path of order  $n$ . For nonnegative integers  $k$ ,  $\ell$  and  $m$ , let  $N_{k,\ell,m}$  be the graph obtained from  $K_3$  and three vertex-disjoint paths  $P_{k+1}$ ,  $P_{\ell+1}$ ,  $P_{m+1}$  by identifying each of the vertices of  $K_3$  with one endvertex of one of the paths. Let  $Z_k = N_{k,0,0}$  and  $B_{k,\ell} = N_{k,\ell,0}$ . Bedrossian characterized all pairs  $\{A, B\}$  of connected graphs such that every 2-connected  $\{A, B\}$ -free graph is Hamiltonian. All pairs appearing in the characterization involve the claw ( $K_{1,3}$ ) and one of  $N_{1,1,1}$ ,  $P_6$  and  $B_{1,2}$ . In this paper, we characterize connected graphs that are (i)  $\{K_{1,3}, Z_2\}$ -free but not  $B_{1,1}$ -free, (ii)  $\{K_{1,3}, B_{1,1}\}$ -free but not  $P_5$ -free, or (iii)  $\{K_{1,3}, B_{1,2}\}$ -free but not  $P_6$ -free. The third result is closely related to Bedrossian's characterization. Furthermore, we apply our characterizations to some forbidden pair problems.

**Keywords** Forbidden subgraph · Hamiltonian cycle · Halin graph

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✉ Michitaka Furuya  
michitaka.furuya@gmail.com

Shoichi Tsuchiya  
s.tsuchiya@isc.senshu-u.ac.jp

<sup>1</sup> Department of Mathematics and Statistics, Georgia State University, Atlanta, USA

<sup>2</sup> College of Liberal Arts and Sciences, Kitasato University, Sagamihara, Kanagawa, Japan

<sup>3</sup> Department of Mathematics, Illinois State University, Normal, USA

<sup>4</sup> School of Network and Information, Senshu University, Kawasaki, Kanagawa, Japan

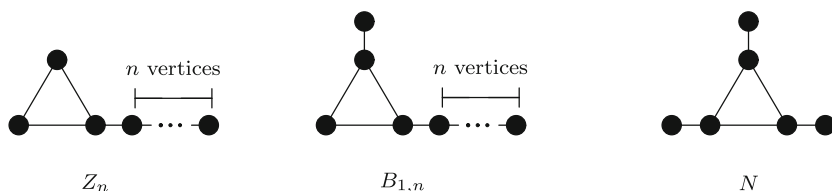


Fig. 1 Graphs  $Z_n$ ,  $B_{1,n}$  and  $N$

## 1 Introduction

Our notation and terminology are standard, and mostly taken from [6]. We consider only simple and finite graphs. Let  $G$  be a graph. For  $v \in V(G)$ , we let  $N_G(v)$  denote the *neighborhood* of  $v$  in  $G$ . For a set  $U$ , we let  $G[U]$  denote the subgraph of  $G$  induced by  $U \cap V(G)$ .

Let  $\mathcal{F}$  be a family of connected graphs. A graph  $G$  is said to be  $\mathcal{F}$ -free if  $G$  contains no member of  $\mathcal{F}$  as an induced subgraph. The members of  $\mathcal{F}$  are called *forbidden subgraphs*. If  $G$  is  $\{F\}$ -free, then  $G$  is simply said to be  $F$ -free. A family  $\mathcal{F}$  of forbidden subgraphs is called a *forbidden pair* if  $|\mathcal{F}| = 2$ . For two families  $\mathcal{F}_1$  and  $\mathcal{F}_2$  of forbidden subgraphs, we write  $\mathcal{F}_1 \leq \mathcal{F}_2$  if for every  $F_2 \in \mathcal{F}_2$ , there exists  $F_1 \in \mathcal{F}_1$  such that  $F_1$  is an induced subgraph of  $F_2$ . Note that if  $\mathcal{F}_1 \leq \mathcal{F}_2$ , then every  $\mathcal{F}_1$ -free graph is also  $\mathcal{F}_2$ -free.

Let  $K_{1,3}$  denote the star with three leaves, and let  $K_n$  and  $P_n$  denote the complete graph and the path of order  $n$ , respectively. For nonnegative integers  $k$ ,  $\ell$  and  $m$ , let  $N_{k,\ell,m}$  be the graph obtained from  $K_3$  and three vertex-disjoint paths  $P_{k+1}$ ,  $P_{\ell+1}$ ,  $P_{m+1}$  by identifying each of the vertices of  $K_3$  with one endvertex of one of the paths. The graphs  $N_{k,0,0}$  and  $N_{k,\ell,0}$  are denoted by  $Z_k$  and  $B_{k,\ell}$ , respectively. The graph  $N_{1,1,1}$  is usually denoted by  $N$  (see Fig. 1).

Bedrossian [1] characterized all pairs  $\{A, B\}$  of connected graphs such that every 2-connected  $\{A, B\}$ -free graph is Hamiltonian.

**Theorem 1** (Bedrossian [1]) *Let  $\mathcal{F}$  be a pair of connected graphs. Then every 2-connected  $\mathcal{F}$ -free graph has a Hamiltonian cycle if and only if  $\mathcal{F} \leq \{K_{1,3}, N\}$ ,  $\mathcal{F} \leq \{K_{1,3}, P_6\}$  or  $\mathcal{F} \leq \{K_{1,3}, B_{1,2}\}$ .*

For two different graphs  $B, B' \in \{N, B_{1,2}, P_6\}$ , usually it takes independent work to show that 2-connected  $\{K_{1,3}, B\}$ -free graphs are Hamiltonian, and 2-connected  $\{K_{1,3}, B'\}$ -free graphs are Hamiltonian, and the proof of one case may be harder than the other. This situation happens in many research concerning forbidden subgraphs. This naturally raises a question of investigating the difference between  $\{K_{1,3}, B\}$ -free graphs and  $\{K_{1,3}, B'\}$ -free graphs. Since a characterization of the difference together with existing results on  $\{K_{1,3}, B\}$ -free graphs will shed light on new properties of  $\{K_{1,3}, B'\}$ -free graphs.

Olariu in [11] showed that every connected  $Z_1$ -free but not  $K_3$ -free graph is a complete multipartite graph with at least three partite sets. His result is useful when we investigate the class of  $Z_1$ -free graphs (for example, the characterization was used for research of perfect  $Z_1$ -free graphs in [11]). Recently, Furuya and Tsuchiya [9]

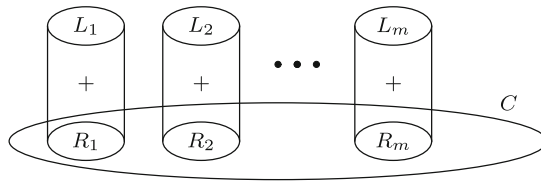


Fig. 2 Generalized comb

focused on forbidden pairs appearing in Theorem 1, and obtained a characterization similar to Olariu’s result.

A graph  $H$  is called a *generalized comb* if for an integer  $m \geq 3$ ,  $H$  consists of  $m$  disjoint cliques, say  $L_i$  ( $1 \leq i \leq m$ ), and a clique  $C$  containing  $m$  disjoint subcliques  $R_i$  ( $1 \leq i \leq m$ ) such that every vertex in  $L_i$  is adjacent to every vertex in  $R_i$  (see Fig. 2). In this context,  $L_i$  is called a *leaf-clique* and  $R_i$  is called the *root* of  $L_i$ .

**Theorem 2** (Furuya and Tsuchiya [9]) *A connected graph  $G$  is  $\{K_{1,3}, B_{1,2}\}$ -free but not  $N$ -free if and only if  $G$  is a generalized comb.*

We will characterize new families of connected graphs that are  $\{K_{1,3}, Z_2\}$ -free but not  $B_{1,1}$ -free or  $\{K_{1,3}, B_{1,m}\}$ -free but not  $P_{\max\{3m, m+4\}}$ -free for some integer  $m \geq 1$ . We start with some definitions.

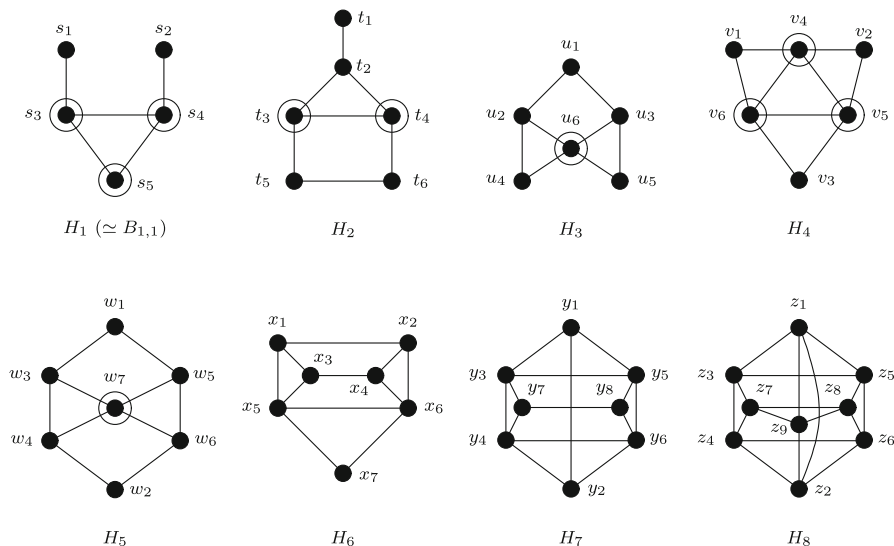
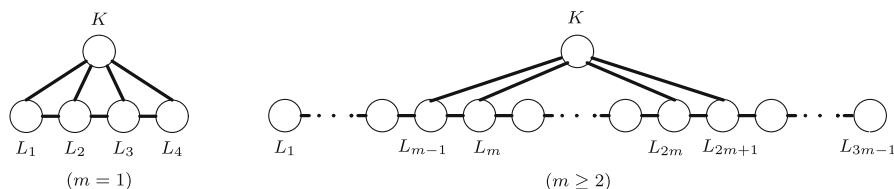
A generalized comb is *pointed* if all of its leaf-cliques consist of exactly one vertex. Let  $\mathcal{H}_0$  be the family of pointed generalized combs. For each  $i$  ( $1 \leq i \leq 8$ ), let  $H_i$  be the graph depicted in Fig. 3, where the vertices of  $H_i$  ( $1 \leq i \leq 5$ ) indicated by the open circles are called *expandable vertices*, and denote by  $U_i$  the set of expandable vertices of  $H_i$ . Given a family  $\mathcal{C} = \{C_a \mid a \in U_i\}$  of disjoint cliques indexed by  $a$ , the graph  $H_i(\mathcal{C})$  is obtained from  $H_i$  by replacing each vertex  $a \in U_i$  with the clique  $C_a$  and adding all edges between  $u \in V(H_i) - \{a\}$  and  $C_a$  if  $au \in E(H_i)$ . Let

$$\mathcal{H}_i = \{H_i(\mathcal{C}) \mid \mathcal{C} = \{C_a \mid a \in U_i\} \text{ is a family of disjoint cliques indexed by } a\}.$$

Clearly,  $H_i \in \mathcal{H}_i$  for each  $i$ . For convention, let  $\mathcal{H}_j = \{H_j\}$  for each  $j$  ( $6 \leq j \leq 8$ ). The graphs in  $\bigcup_{0 \leq i \leq 8} \mathcal{H}_i$  are exactly all connected graphs that are  $\{K_{1,3}, Z_2\}$ -free but not  $B_{1,1}$ -free as follows.

**Theorem 3** *A connected graph  $G$  is  $\{K_{1,3}, Z_2\}$ -free but not  $B_{1,1}$ -free if and only if  $G \in \bigcup_{0 \leq i \leq 8} \mathcal{H}_i$ .*

For  $\ell \geq 5$ , let  $L_0, L_1, \dots, L_\ell$  be  $\ell + 1$  disjoint cliques. Let  $F_p = F_p(L_1, \dots, L_\ell)$  be the graph obtained from a path  $v_1 v_2 \dots v_\ell$  by blowing up each  $v_i$  with  $L_i$  and joining every vertex of  $L_i$  to all vertices of  $L_{i+1}$  for  $1 \leq i \leq \ell - 1$ . We call  $F_p$  a *fat  $\ell$ -path* (or simply a *fat path*). In this context,  $L_i$  ( $1 \leq i \leq \ell$ ) are called *fundamental cliques* of  $F_p$ . Let  $F_c = F_c(L_0, \dots, L_\ell)$  be the graph obtained from a cycle  $v_0 v_1 \dots v_\ell v_0$  by blowing up each  $v_i$  with  $L_i$  and joining every vertex of  $L_i$  to all vertices of  $L_{i+1}$  for  $0 \leq i \leq \ell$  where the indices are calculated modulo  $\ell + 1$ . We call  $F_c$  a *fat  $\ell$ -cycle* (or simply a *fat cycle*). In this context,  $L_i$  ( $0 \leq i \leq \ell$ ) are called *fundamental cliques* of  $F_c$ . Note that fat  $\ell$ -paths have  $\ell$  fundamental cliques but fat  $\ell$ -cycles have  $\ell + 1$  fundamental cliques. Let  $\mathcal{P}(\ell)$  be the family of fat  $i$ -paths and fat  $i$ -cycles for all  $i \geq \ell$ .

Fig. 3 Graphs  $H_i$ Fig. 4 Graph  $F'$ 

**Theorem 4** A connected graph  $G$  is  $\{K_{1,3}, B_{1,1}\}$ -free but not  $P_5$ -free if and only if  $G \in \mathcal{P}(5)$ .

**Theorem 5** A connected graph  $G$  is  $\{K_{1,3}, B_{1,2}\}$ -free but not  $P_6$ -free if and only if  $G \in \mathcal{P}(6)$ .

In fact, we will prove a more general result stated below.

**Theorem 6** For an integer  $m \geq 1$ , a connected graph  $G$  is  $\{K_{1,3}, B_{1,m}\}$ -free but not  $P_{\max\{3m, m+4\}}$ -free if and only if  $G \in \mathcal{P}(\max\{3m, m+4\})$ .

The order of the path in Theorem 6 is best possible if we use only fat paths and fat cycles in a characterization. Fix an integer  $m \geq 1$ . Let  $F = F_p(L_1, \dots, L_{\max\{3m-1, m+3\}})$  be a fat path, and let  $K$  be a clique with  $V(F) \cap K = \emptyset$ . Let  $F'$  be the graph obtained from  $F \cup K$  by joining each vertex of  $K$  to each vertex of  $L_{\max\{m-1, 1\}} \cup L_{\max\{m, 2\}} \cup L_{\max\{2m, 3\}} \cup L_{\max\{2m+1, 4\}}$  (see Fig. 4). Then we see that  $F'$  is a connected  $\{K_{1,3}, B_{1,m}\}$ -free but not  $P_{\max\{3m-1, m+3\}}$ -free graph.

The rest of the paper is organized as follows. We give some applications of Theorems 3 and 6 in Sect. 2. In Sect. 3, we prove Theorems 3 and 6. In the last section, we prove two results that will be given in Sect. 2.

## 2 Applications of Theorems 3 and 6

In this section, we introduce three applications of Theorems 3 and 6. We first focus on the existence of Hamiltonian cycle. Theorem 1 is a combination of the following three results.

**Theorem 7** (Duffus et al. [7]) *Every 2-connected  $\{K_{1,3}, N\}$ -free graph has a Hamiltonian cycle.*

**Theorem 8** (Broersma and Veldman [2]) *Every 2-connected  $\{K_{1,3}, P_6\}$ -free graph has a Hamiltonian cycle.*

**Theorem 9** (Bedrossian [1]) *Every 2-connected  $\{K_{1,3}, B_{1,2}\}$ -free graph has a Hamiltonian cycle.*

In [12], Ryjáček proved that if a 2-connected graph is  $\{K_{1,3}, B_{1,2}\}$ -free or  $\{K_{1,3}, P_6\}$ -free, then its closure is  $\{K_{1,3}, N\}$ -free. By using fundamental properties for closure, Ryjáček's result shows that Theorems 8 and 9 can be obtained from Theorem 7. Theorem 5 gives a more detailed relation among Theorems 7–9. Since all 2-connected fat  $i$ -paths and all 2-connected fat  $i$ -cycles have a Hamiltonian cycle for  $i \geq 3$ , Theorems 5 and 8 provide an alternative proof of Theorem 9.

We next consider the pancyclicity of graphs. Let  $k$  and  $m$  be integers with  $0 \leq k \leq m$ . A graph  $G$  of order  $n \geq m$  is  $(k, m)$ -pancyclic if for every  $X \subseteq V(G)$  with  $|X| = k$  and every  $m \leq i \leq n$ , there exists a cycle of  $G$  with length  $i$  containing all vertices in  $X$ . The  $(k, m)$ -pancyclicity was defined by Faudree et al. [8] to generalize some pancyclic-type concepts, and Crane [4] recently proved the following result.

**Theorem 10** (Crane [4]) *If  $G$  is a 2-connected  $\{K_{1,3}, P_5\}$ -free graph of order  $n \geq 5$ , then  $G$  is  $(1, 5)$ -pancyclic and  $(k, 3k)$ -pancyclic for all  $k \geq 2$ .*

Combining Theorems 4 and 10, we obtain the following result.

**Theorem 11** *Let  $G$  be a 2-connected  $\{K_{1,3}, B_{1,1}\}$ -free graph of order  $n \geq 5$ . If  $G$  does not belong to  $\mathcal{P}(5)$ , then  $G$  is  $(1, 5)$ -pancyclic and  $(k, 3k)$ -pancyclic for all  $k \geq 2$ .*

Crane [5] also gave a result concerning  $(k, m)$ -pancyclicity for  $\{K_{1,3}, P_6\}$ -free graphs, and so we obtain a similar result as Theorem 11 for  $\{K_{1,3}, B_{1,2}\}$ -free graphs.

We conclude this section with the spanning Halin subgraph problem. A graph is *planar* if it can be embedded in the plane without edge-crossing, and such an embedded graph is called a *plane graph*. A *Halin graph*, named after Halin who introduced this concept in [10], is a plane graph consisting of a tree  $T$  without vertices of degree 2 and a cycle  $C$  whose vertex set is equal to the set of the leaves of  $T$  (and we often write a Halin graph  $H$  as  $H = T \cup C$ ). It is known that 3-connectedness is a trivial necessary condition for a graph to have a spanning Halin subgraph. In [3], the following conjecture was proposed.

**Conjecture 1** (Chen et al. [3]) *Let  $\mathcal{H}$  be a forbidden pair. Then every 3-connected  $\mathcal{H}$ -free graph has a spanning Halin subgraph if and only if either  $\mathcal{H} \leq \{K_{1,3}, Z_3\}$  or  $\mathcal{H} \leq \{K_{1,3}, B_{1,2}\}$ .*

The “only if” part of Conjecture 1 was already proved in [3]. Also, as a partial answer for the “if” part of the conjecture, the following theorem was proved.

**Theorem 12** (Chen et al. [3]) *Every 3-connected  $\{K_{1,3}, P_5\}$ -free graph has a spanning Halin subgraph.*

As corollaries of Theorems 3, 4 and 12, we obtain other partial answers for the “if” part of Conjecture 1 which will be proved in Sect. 4.

**Theorem 13** *Every 3-connected  $\{K_{1,3}, B_{1,1}\}$ -free graph has a spanning Halin subgraph.*

**Theorem 14** *Every 3-connected  $\{K_{1,3}, Z_2\}$ -free graph has a spanning Halin subgraph.*

### 3 Proof of Main Results

In this section, we prove Theorems 3 and 6.

#### 3.1 Proof of Theorem 3

**Lemma 1** *Let  $G$  be a connected  $\{K_{1,3}, Z_2\}$ -free graph which contains an induced subgraph  $N$ . Then  $G$  is a pointed generalized comb.*

**Proof** Since  $G$  is  $Z_2$ -free and  $Z_2$  is an induced subgraph of  $B_{1,2}$ ,  $G$  is also  $B_{1,2}$ -free. This, together with Theorem 2, implies that  $G$  is a generalized comb. We only show that every leaf-clique consists of a single vertex. Let  $L_i$  ( $1 \leq i \leq m$ ) be the leaf-cliques of  $G$ , and let  $R_i$  be the root of  $L_i$ . On the contrary, we may assume that  $|L_1| \geq 2$ . Let  $a_1, a_2 \in L_1$  with  $a_1 \neq a_2$ ,  $a_3 \in R_1$ ,  $a_4 \in R_2$  and  $a_5 \in L_2$ . Then  $G[\{a_1, a_2, a_3, a_4, a_5\}] \cong Z_2$ , giving a contradiction. Hence  $G$  is a pointed generalized comb.  $\square$

In the following lemmas (Lemmas 2–8), we follow the labels given in Fig. 3.

**Lemma 2** *Let  $G$  be a connected  $\{K_{1,3}, Z_2, N\}$ -free graph which contains an induced subgraph  $H = H_1(\{C_{s_3}, C_{s_4}, C_{s_5}\})$ , where  $C_{s_3}, C_{s_4}$  and  $C_{s_5}$  are disjoint cliques. Then for each vertex  $a \in V(G) - V(H)$  with  $N_G(a) \cap V(H) \neq \emptyset$ , one of the following holds:*

- (i)  $C_{s_3} \cup C_{s_4} \cup C_{s_5} \subseteq N_G(a) \cap V(H)$  and  $s_i \notin N_G(a) \cap V(H)$  for some  $i \in \{1, 2\}$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_1$ ),
- (ii) for some  $i \in \{1, 2\}$ ,  $N_G(a) \cap V(H) = \{s_i\} \cup C_{s_5}$  and  $|C_{s_{5-i}}| = 1$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_2$ ),
- (iii) for some  $i \in \{3, 4\}$ ,  $N_G(a) \cap V(H) = \{s_1, s_2\} \cup C_{s_i}$  and  $|C_{s_{7-i}}| = |C_{s_5}| = 1$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_3$ ), or
- (iv)  $N_G(a) \cap V(H) = \{s_1, s_2\} \cup C_{s_3} \cup C_{s_4}$  and  $|C_{s_5}| = 1$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_4$ ).

**Proof** For each  $i \in \{3, 4, 5\}$ , we take a vertex  $b_i$  as follows: if  $N_G(a) \cap C_{s_i} \neq \emptyset$ , let  $b_i \in N_G(a) \cap C_{s_i}$ ; otherwise (i.e.,  $N_G(a) \cap C_{s_i} = \emptyset$ ), let  $b_i \in C_{s_i}$ .

**Case 1:**  $N_G(a) \cap C_{s_5} \neq \emptyset$ .

If  $as_1, as_2 \in E(G)$ , then  $G[\{a, s_1, s_2, b_5\}] \cong K_{1,3}$ , giving a contradiction. Thus  $as_1 \notin E(G)$  or  $as_2 \notin E(G)$ . We may assume that  $as_1 \notin E(G)$ .

If  $N_G(a) \cap C_{s_3} \neq \emptyset$  and  $ab \notin E(G)$  for some  $b \in C_{s_4}$ , then  $G[\{b_3, a, b, s_1\}] \cong K_{1,3}$ ; if  $N_G(a) \cap C_{s_4} \neq \emptyset$  and  $ab \notin E(G)$  for some  $b \in C_{s_3}$ , then  $as_2 \in E(G)$  because  $G[\{b_4, a, b, s_2\}] \not\cong K_{1,3}$ , and hence  $G[\{a, s_2, b_4, b, s_1\}] \cong Z_2$ . In either case, we get a contradiction. This implies that either  $C_{s_3} \cup C_{s_4} \subseteq N_G(a)$  or  $N_G(a) \cap (C_{s_3} \cup C_{s_4}) = \emptyset$ .

**Subcase 1.1:**  $C_{s_3} \cup C_{s_4} \subseteq N_G(a)$ .

If  $ab \notin E(G)$  for some  $b \in C_{s_5}$ , then  $G[\{b_3, a, s_1, b\}] \cong K_{1,3}$ , giving a contradiction. Thus  $C_{s_5} \subseteq N_G(a)$ . If  $as_2 \in E(G)$ , let  $C'_{s_i} = C_{s_i}$  ( $i \in \{3, 5\}$ ) and  $C'_{s_4} = C_{s_4} \cup \{a\}$ ; if  $as_2 \notin E(G)$ , let  $C'_{s_i} = C_{s_i}$  ( $i \in \{3, 4\}$ ) and  $C'_{s_5} = C_{s_5} \cup \{a\}$ . Then  $G[V(H) \cup \{a\}] = H_1(\{C'_{s_3}, C'_{s_4}, C'_{s_5}\}) \in \mathcal{H}_1$ , and so (i) holds.

**Subcase 1.2:**  $N_G(a) \cap (C_{s_3} \cup C_{s_4}) = \emptyset$ .

Since  $G[\{a, s_1, s_2, b_5, b_3, b_4\}] \not\cong N$ , we have  $as_2 \in E(G)$ . If  $ab \notin E(G)$  for some  $b \in C_{s_5}$ , then  $G[\{b, b_3, b_4, s_2, a\}] \cong Z_2$ , giving a contradiction. Thus  $C_{s_5} \subseteq N_G(a)$ , and hence  $N_G(a) \cap V(H) = \{s_2\} \cup C_{s_5}$ . If  $|C_{s_3}| \geq 2$ , then  $G[\{b_3, b, b_4, s_2, a\}] \cong Z_2$  where  $b \in C_{s_3} - \{b_3\}$ , giving a contradiction. Thus  $|C_{s_3}| = 1$ , and so (ii) holds.

**Case 2:**  $N_G(a) \cap C_{s_5} = \emptyset$  (i.e.,  $ab_5 \notin E(G)$ ).  $\square$

**Claim** For each  $i \in \{3, 4\}$ , if  $N_G(a) \cap C_{s_i} \neq \emptyset$ , then  $N_G(a) \supseteq \{s_1, s_2\} \cup C_{s_i}$ .

**Proof** We may assume  $i = 3$ . Since  $G[\{b_3, a, b_5, s_1\}] \not\cong K_{1,3}$ , we have  $as_1 \in E(G)$ . By the same argument, if  $N_G(a) \cap C_{s_4} \neq \emptyset$ , then  $as_2 \in E(G)$ . Since  $G[\{a, s_1, b_3, b_4, s_2\}] \not\cong Z_2$ , we have  $as_2 \in E(G)$  or  $ab_4 \in E(G)$ . In either case, we have  $as_2 \in E(G)$ . If  $ab \notin E(G)$  for some  $b \in C_{s_3}$ , then  $G[\{b_5, b, b_3, a, s_2\}] \cong Z_2$ , giving a contradiction. Thus  $C_{s_3} \subseteq N_G(a)$ .  $\square$

Suppose  $N_G(a) \cap (C_{s_3} \cup C_{s_4}) = \emptyset$ . Since  $N_G(a) \cap V(H) \neq \emptyset$ , we have  $as_i \in E(G)$  for some  $i \in \{1, 2\}$ . Hence  $G[\{b_5, b_{5-i}, b_{i+2}, s_i, a\}] \cong Z_2$ , giving a contradiction. Thus  $N_G(a) \cap (C_{s_3} \cup C_{s_4}) \neq \emptyset$ . We may assume that  $N_G(a) \cap C_{s_3} \neq \emptyset$ . This together with Claim 3.1 forces  $\{s_1, s_2\} \cup C_{s_3} \subseteq N_G(a)$ . If  $|C_{s_5}| \geq 2$ , then  $G[\{b_5, b, b_3, a, s_2\}] \cong Z_2$  where  $b \in C_{s_5} - \{b_5\}$ , giving a contradiction. Thus  $|C_{s_5}| = 1$ .

If  $N_G(a) \cap C_{s_4} \neq \emptyset$ , then  $C_{s_4} \subseteq N_G(a)$  by Claim 3.1, and hence (iv) holds. Thus we may assume that  $N_G(a) \cap C_{s_4} = \emptyset$  (i.e.,  $N_G(a) \cap V(H) = \{s_1, s_2\} \cup C_{s_3}$ ). If  $|C_{s_4}| \geq 2$ , then  $G[\{b_4, b, s_2, a, s_1\}] \cong Z_2$  in  $G$  where  $b \in C_{s_4} - \{b_4\}$ , giving a contradiction. Hence  $|C_{s_4}| = 1$ , and so (iii) holds.  $\square$

**Lemma 3** Let  $G$  be a connected  $\{K_{1,3}, Z_2, N\}$ -free graph which contains an induced subgraph  $H = H_2(\{C_{t_3}, C_{t_4}\})$ , where  $C_{t_3}$  and  $C_{t_4}$  are disjoint cliques. Then for each vertex  $a \in V(G) - V(H)$  with  $N_G(a) \cap V(H) \neq \emptyset$ , one of the following holds:

- (i) for some  $i \in \{5, 6\}$ ,  $N_G(a) \cap V(H) = \{t_2, t_i\} \cup C_{t_3} \cup C_{t_4}$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_2$ ),
- (ii) for some  $i \in \{3, 4\}$ ,  $N_G(a) \cap V(H) = \{t_1, t_{i+2}\} \cup C_{t_i}$  and  $|C_{t_{7-i}}| = 1$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_5$ ), or

(iii)  $N_G(a) \cap V(H) = \{t_1, t_2, t_5, t_6\}$  and  $|C_{t_3}| = |C_{t_4}| = 1$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_6$ ).

**Proof** For each  $i \in \{3, 4\}$ , let  $b_i \in C_{t_i}$ . For each  $i \in \{5, 6\}$ , we note that the graph  $B_i := H - t_i$  belongs to  $\mathcal{H}_1$ .

**Case 1:**  $N_G(a) \cap (C_{t_3} \cup C_{t_4}) = \emptyset$ .

Since  $N_G(a) \cap V(H) \neq \emptyset$ ,  $N_G(a) \cap V(B_i) \neq \emptyset$  for some  $i \in \{5, 6\}$ . We may assume that  $N_G(a) \cap V(B_5) \neq \emptyset$ . Since  $N_G(a) \cap (C_{t_3} \cup C_{t_4}) = \emptyset$ , we have  $N_G(a) \cap V(B_5) = \{t_1, t_2, t_6\}$  and  $|C_{t_4}| = 1$  by Lemma 2. In particular,  $N_G(a) \cap V(B_6) \neq \emptyset$ . Then again by Lemma 2,  $N_G(a) \cap V(B_6) = \{t_1, t_2, t_5\}$  and  $|C_{t_3}| = 1$ . This implies that  $N_G(a) \cap V(H) = \{t_1, t_2, t_5, t_6\}$  and  $|C_{t_3}| = |C_{t_4}| = 1$ , and so (iii) holds.

**Case 2:**  $N_G(a) \cap (C_{t_3} \cup C_{t_4}) \neq \emptyset$ .

We may assume that  $N_G(a) \cap C_{t_3} \neq \emptyset$ . If  $N_G(a) \cap V(B_5) = \{t_6\} \cup C_{t_3}$ , then either  $N_G(a) \cap V(B_6) = C_{t_3}$  or  $N_G(a) \cap V(B_6) = \{t_5\} \cup C_{t_3}$ , which contradicts Lemma 2. Thus, by Lemma 2, we have either  $G[V(B_5) \cup \{a\}] \in \mathcal{H}_1$ , or  $N_G(a) \cap V(B_5) = \{t_1\} \cup C_{t_3}$  and  $|C_{t_4}| = 1$ .

**Subcase 2.1:**  $G[V(B_5) \cup \{a\}] \in \mathcal{H}_1$ .

We see that  $\{t_2\} \cup C_{t_3} \cup C_{t_4} \subseteq N_G(a)$ . Since  $G[\{a, t_2, b_4, t_6, t_5\}] \not\cong Z_2$ , we have  $at_5 \in E(G)$  or  $at_6 \in E(G)$ . We may assume that  $at_5 \in E(G)$ . If  $at_1 \in E(G)$ , then  $G[\{a, t_1, b_4, t_5\}] \cong K_{1,3}$ , giving a contradiction. Thus  $at_1 \notin E(G)$ . So,  $at_6 \notin E(G)$  because  $G[\{t_5, t_6, a, t_2, t_1\}] \not\cong Z_2$ . Hence we get  $N_G(a) \cap V(H) = \{t_2, t_5\} \cup C_{t_3} \cup C_{t_4}$ . Consequently,  $G[V(H) \cup \{a\}] = H_2(\{C'_{t_3}, C'_{t_4}\})$  where  $C'_{s_3} = C_{s_3} \cup \{a\}$  and  $C'_{s_4} = C_{s_4}$ , and so (i) holds.

**Subcase 2.2:**  $N_G(a) \cap V(B_5) = \{t_1\} \cup C_{t_3}$  and  $|C_{t_4}| = 1$ .

Since  $G[\{b_3, a, t_2, t_5\}] \not\cong K_{1,3}$ , we have  $at_5 \in E(G)$ . Hence  $N_G(a) \cap V(H) = \{t_1, t_5\} \cup C_{t_3}$  and  $|C_{t_4}| = 1$ , and so (ii) holds.  $\square$

**Lemma 4** Let  $G$  be a connected  $\{K_{1,3}, Z_2, N\}$ -free graph which contains an induced subgraph  $H = H_3(\{C_{u_6}\})$ , where  $C_{u_6}$  is a clique. Then for each vertex  $a \in V(G) - V(H)$  with  $N_G(a) \cap V(H) \neq \emptyset$ , one of the following holds:

- (i)  $N_G(a) \cap V(H) = \{u_2, u_3, u_4, u_5\} \cup C_{u_6}$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_3$ ),
- (ii)  $N_G(a) \cap V(H) = \{u_1, u_i, u_{7-i}\}$  for some  $i \in \{2, 3\}$  and  $|C_{u_6}| = 1$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_6$ ), or
- (iii)  $N_G(a) \cap V(H) = \{u_4, u_5\}$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_5$ ).

**Proof** For each  $i \in \{2, 3\}$ , we note that the graph  $B_i := H - u_i$  belongs to  $\mathcal{H}_1$ . Since  $N_G(a) \cap V(H) \neq \emptyset$ ,  $N_G(a) \cap V(B_i) \neq \emptyset$  for some  $i \in \{2, 3\}$ . If  $au_4, au_5 \notin E(G)$ , then  $N_G(a) \cap V(B_i) \subseteq \{u_1, u_{u_5-i}\} \cup C_{u_6}$  for each  $i \in \{2, 3\}$ , which contradicts Lemma 2. Thus,  $au_4 \in E(G)$  or  $au_5 \in E(G)$ . We may assume that  $au_4 \in E(G)$ . Then by Lemma 2, we have either  $G[V(B_3) \cup \{a\}] \in \mathcal{H}_1$ , or  $N_G(a) \cap V(B_3) = \{u_1, u_4\}$  and  $|C_{u_6}| = 1$ , or  $N_G(a) \cap V(B_3) = \{u_4, u_5\}$ .

**Case 1:**  $G[V(B_3) \cup \{a\}] \in \mathcal{H}_1$ .

In this case, we have  $\{u_2, u_4\} \cup C_{u_6} \subseteq N_G(a)$ . Then again by Lemma 2, we have either  $G[V(B_2) \cup \{a\}] \in \mathcal{H}_1$  or  $N_G(a) \cap V(B_2) = \{u_1, u_4\} \cup C_{u_6}$  or  $N_G(a) \cap V(B_2) = \{u_1, u_3, u_4\} \cup C_{u_6}$ . If  $N_G(a) \cap V(B_2) = \{u_1, u_4\} \cup C_{u_6}$  (i.e.,  $N_G(a) \cap V(H) = \{u_1, u_2, u_4\} \cup C_{u_6}$ ), then  $G[\{u_2, a, u_1, u_3, u_5\}] \cong Z_2$ ; if

$N_G(a) \cap V(B_2) = \{u_1, u_3, u_4\} \cup C_{u_6}$  (i.e.,  $N_G(a) \cap V(H) = \{u_1, u_2, u_3, u_4\} \cup C_{u_6}$ ), then  $G[\{u_2, u_4, a, u_3, u_5\}] \cong Z_2$ . In either case, we get a contradiction. Thus  $G[V(B_2) \cup \{a\}] \in \mathcal{H}_1$ . Since  $au_4 \in E(G)$ , we see that  $N_G(a) \cap V(B_2) = \{u_3, u_4, u_5\} \cup C_{u_6}$ , and hence  $N_G(a) \cap V(H) = \{u_2, u_3, u_4, u_5\} \cup C_{u_6}$ . Consequently,  $G[V(H) \cup \{a\}] = H_3(\{C'_{u_6}\})$  where  $C'_{u_6} = C_{u_6} \cup \{a\}$ , and so (i) holds.

**Case 2:**  $N_G(a) \cap V(B_3) = \{u_1, u_4\}$  and  $|C_{u_6}| = 1$ .

Since  $au_2 \notin E(G)$  and  $G[\{u_1, u_2, u_3, a\}] \not\cong K_{1,3}$ , we have  $au_3 \in E(G)$ . Hence  $N_G(a) \cap V(H) = \{u_1, u_3, u_4\}$  and  $|C_{u_6}| = 1$ , and so (ii) holds.

**Case 3:**  $N_G(a) \cap V(B_3) = \{u_4, u_5\}$ .

Since  $G[\{u_3, u_1, b, a\}] \not\cong K_{1,3}$  for any  $b \in C_{u_6}$ , we have  $au_3 \notin E(G)$ . Hence  $N_G(a) \cap V(H) = \{u_4, u_5\}$ , and so (iii) holds.  $\square$

**Lemma 5** *Let  $G$  be a connected  $\{K_{1,3}, Z_2, N\}$ -free graph which contains an induced subgraph  $H = H_4(\{C_{v_4}, C_{v_5}, C_{v_6}\})$ , where  $C_{v_4}$ ,  $C_{v_5}$  and  $C_{v_6}$  are disjoint cliques. Then for each vertex  $a \in V(G) - V(H)$  with  $N_G(a) \cap V(H) \neq \emptyset$ , we have  $N_G(a) \cap V(H) = (\{v_1, v_2, v_3\} - \{v_i\}) \cup C_{v_4} \cup C_{v_5} \cup C_{v_6}$  for some  $i \in \{1, 2, 3\}$ . Consequently,  $G[V(H) \cup \{a\}] \in \mathcal{H}_4$ .*

**Proof** For each  $i \in \{4, 5, 6\}$ , let  $b_i \in C_{v_i}$ . For each  $i \in \{5, 6\}$ , we note that the graph  $B_i := H - C_{v_i}$  belongs to  $\mathcal{H}_1$ .

Suppose  $N_G(a) \cap \{v_1, v_2, v_3\} = \emptyset$ . Since  $N_G(a) \cap V(H) \neq \emptyset$ , we may assume that  $ab_4 \in E(G)$ . Then  $G[\{b_4, a, v_1, v_2\}] \cong K_{1,3}$ , giving a contradiction. Thus,  $N_G(a) \cap \{v_1, v_2, v_3\} \neq \emptyset$ . We may assume that  $av_1 \in E(G)$ . Then, by Lemma 2, we have  $G[V(B_5) \cup \{a\}] \in \mathcal{H}_1$  or  $N_G(a) \cap V(B_5) = \{v_1, v_i\}$  for some  $i \in \{2, 3\}$ .

Suppose that  $N_G(a) \cap V(B_5) = \{v_1, v_i\}$  for some  $i \in \{2, 3\}$ . In this case, we may assume that  $N_G(a) \cap V(B_5) = \{v_1, v_2\}$ . Then by Lemma 2,  $N_G(a) \cap V(B_6) = \{v_1, v_2\}$ . In particular,  $N_G(a) \cap V(H) = \{v_1, v_2\}$ . Then  $G[\{b_5, v_3, b_6, v_1, a\}] \cong Z_2$ , giving a contradiction. Thus  $G[V(B_5) \cup \{a\}] \in \mathcal{H}_1$ .

Hence we have  $N_G(a) \cap V(B_5) = \{v_1\} \cup C_{v_4} \cup C_{v_6}$  or  $N_G(a) \cap V(B_5) = \{v_1, v_i\} \cup C_{v_4} \cup C_{v_6}$  for some  $i \in \{2, 3\}$ . If  $N_G(a) \cap V(B_5) = \{v_1\} \cup C_{v_4} \cup C_{v_6}$ , then  $ab_5 \in E(G)$  because  $G[\{a, v_1, b_6, b_5, v_2\}] \not\cong Z_2$ , and hence  $G[\{b_5, a, v_2, v_3\}] \cong K_{1,3}$ , giving a contradiction. Thus  $N_G(a) \cap V(B_5) = \{v_1, v_i\} \cup C_{v_4} \cup C_{v_6}$  for some  $i \in \{2, 3\}$ . We may assume that  $N_G(a) \cap V(B_5) = \{v_1, v_2\} \cup C_{v_4} \cup C_{v_6}$ . Here we focus on the subgraph of  $G$  induced by  $V(B_6) \cup \{a\}$ . Since  $B_6$  belongs to  $\mathcal{H}_1$ , it follows from Lemma 2 that  $C_{v_5} \subseteq N_G(a)$ . In particular,  $N_G(a) \cap V(H) = \{v_1, v_2\} \cup C_{v_4} \cup C_{v_5} \cup C_{v_6}$ . Therefore  $G[V(H) \cup \{a\}] = H_4(\{C'_{v_4}, C'_{v_5}, C'_{v_6}\})$  where  $C'_{s_4} = C_{s_4} \cup \{a\}$  and  $C'_{s_i} = C_{s_i}$  ( $i \in \{5, 6\}$ ).  $\square$

**Lemma 6** *Let  $G$  be a connected  $\{K_{1,3}, Z_2, N\}$ -free graph which contains an induced subgraph  $H = H_5(\{C_{w_7}\})$ , where  $C_{w_7}$  is a clique. Then for each vertex  $a \in V(G) - V(H)$  with  $N_G(a) \cap V(H) \neq \emptyset$ , one of the following holds:*

- (i)  $N_G(a) \cap V(H) = \{w_3, w_4, w_5, w_6\} \cup C_{w_7}$  (and so  $G[V(H) \cup \{a\}] \in \mathcal{H}_5$ ), or
- (ii)  $N_G(a) \cap V(H) = \{w_1, w_2, w_i, w_{9-i}\}$  for some  $i \in \{3, 4\}$  and  $|C_{w_7}| = 1$  (and so  $G[V(H) \cup \{a\}] \cong H_7$ ).

**Proof** For each  $i \in \{1, 2\}$ , we note that the graph  $B_i := H - w_i$  belongs to  $\mathcal{H}_3$ . Since  $N_G(a) \cap V(H) \neq \emptyset$ ,  $N_G(a) \cap V(B_i) \neq \emptyset$  for some  $i \in \{1, 2\}$ . We may assume that

$N_G(a) \cap V(B_1) \neq \emptyset$ . If  $N_G(a) \cap V(B_1) = \{w_3, w_5\}$ , then either  $N_G(a) \cap V(B_2) = \{w_3, w_5\}$  or  $N_G(a) \cap V(B_2) = \{w_1, w_3, w_5\}$ , which contradicts Lemma 4. This, together with Lemma 4, implies that either  $G[V(B_1) \cup \{a\}] \in \mathcal{H}_3$  or  $N_G(a) \cap V(B_1) = \{w_2, w_i, w_{9-i}\}$  for some  $i \in \{3, 4\}$  and  $|C_{w_7}| = 1$ .

**Case 1:**  $G[V(B_1) \cup \{a\}] \in \mathcal{H}_3$ .

Note that we have either  $N_G(a) \cap V(B_2) = \{w_3, w_4, w_5, w_6\} \cup C_{w_7}$  or  $N_G(a) \cap V(B_2) = \{w_1, w_3, w_4, w_5, w_6\} \cup C_{w_7}$ . This, together with Lemma 4, leads to  $N_G(a) \cap V(H) = \{w_3, w_4, w_5, w_6\} \cup C_{w_7}$ . Hence,  $G[V(H) \cup \{a\}] = H_5(\{C'_{w_7}\}) \in \mathcal{H}_5$  where  $C'_{w_7} = C_{w_7} \cup \{a\}$ , and so (i) holds.

**Case 2:**  $N_G(a) \cap V(B_1) = \{w_2, w_i, w_{9-i}\}$  for some  $i \in \{3, 4\}$  and  $|C_{w_7}| = 1$ .

We may assume that  $N_G(a) \cap V(B_1) = \{w_2, w_3, w_6\}$ . Then  $N_G(a) \cap V(B_2) = \{w_3, w_6\}$  or  $N_G(a) \cap V(B_2) = \{w_1, w_3, w_6\}$ . This, together with Lemma 4, leads to  $N_G(a) \cap V(H) = \{w_1, w_2, w_3, w_6\}$ , and so (ii) holds.  $\square$

**Lemma 7** *Let  $G$  be a connected  $\{K_{1,3}, Z_2, N\}$ -free graph which contains an induced subgraph  $H = H_6$ . Then for each vertex  $a \in V(G) - V(H)$  with  $N_G(a) \cap V(H) \neq \emptyset$ ,  $N_G(a) \cap V(H) = \{x_i, x_{i+1}, x_7\}$  for some  $i \in \{1, 3\}$ . Consequently,  $G[V(H) \cup \{a\}] \cong H_7$ .*

**Proof** We note that the graph  $B := H - x_1$  belongs to  $\mathcal{H}_3$ , and the graph  $B^* := H - x_5$  belongs to  $\mathcal{H}_2$ .

We first suppose that  $ax_i, ax_{i+2} \in E(G)$  for some  $i \in \{1, 2\}$ . We may assume that  $ax_1, ax_3 \in E(G)$ . Then by Lemma 3, we have  $N_G(a) \cap V(B^*) = \{x_1, x_3, x_6, x_7\}$ , and hence either  $N_G(a) \cap V(B) = \{x_3, x_6, x_7\}$  or  $N_G(a) \cap V(B) = \{x_3, x_5, x_6, x_7\}$ , which contradicts Lemma 4. Thus,

$$\text{for each } i \in \{1, 2\}, \text{ either } ax_i \notin E(G) \text{ or } ax_{i+2} \notin E(G). \quad (1)$$

If  $N_G(a) \cap V(B^*) \neq \emptyset$ , then  $|N_G(a) \cap V(B^*)| \geq 2$  by Lemma 3. In particular, we have  $N_G(a) \cap V(B) \neq \emptyset$ . If  $N_G(a) \cap \{x_1, x_2, x_3, x_4\} = \emptyset$ , then  $N_G(a) \cap V(B) \subseteq \{x_5, x_6, x_7\}$ , which contradicts Lemma 4. Thus  $N_G(a) \cap \{x_1, x_2, x_3, x_4\} \neq \emptyset$ . By the symmetry of  $x_1, \dots, x_4$ , we may assume that  $ax_1 \in E(G)$ . By (1),  $ax_3 \notin E(G)$ . Since  $G[\{x_1, a, x_2, x_3\}] \not\cong K_{1,3}$ , we have  $ax_2 \in E(G)$ . So,  $ax_4 \notin E(G)$  by (1). Then, by Lemma 4,  $N_G(a) \cap V(B) = \{x_2, x_7\}$ . Consequently,  $N_G(a) \cap V(H) = \{x_1, x_2, x_7\}$ .  $\square$

**Lemma 8** *Let  $G$  be a connected  $\{K_{1,3}, Z_2, N\}$ -free graph which contains an induced subgraph  $H = H_7$ . Then for each vertex  $a \in V(G) - V(H)$  with  $N_G(a) \cap V(H) \neq \emptyset$ ,  $N_G(a) \cap V(H) = \{y_1, y_2, y_7, y_8\}$ . Consequently,  $G[V(H) \cup \{a\}] \cong H_8$ .*

**Proof** For each  $i \in \{1, 2\}$ , we note that the graph  $B_i := H - y_i$  is isomorphic to  $H_6$ . Since  $N_G(a) \cap V(H) \neq \emptyset$ , we have  $N_G(a) \cap V(B_i) \neq \emptyset$  for some  $i \in \{1, 2\}$ . We may assume that  $N_G(a) \cap V(B_1) \neq \emptyset$ . Then, by Lemma 7,  $N_G(a) \cap V(B_1) = \{y_2, y_3, y_5\}$  or  $N_G(a) \cap V(B_1) = \{y_2, y_7, y_8\}$ . In particular,  $\{y_3, y_5\} \subseteq N_G(a) \cap V(B_2)$  or  $\{y_7, y_8\} \subseteq N_G(a) \cap V(B_2)$ . This, together with Lemma 7, leads to  $N_G(a) \cap V(B_1) = \{y_2, y_7, y_8\}$  and  $N_G(a) \cap V(B_2) = \{y_1, y_7, y_8\}$ . So,  $N_G(a) = \{y_1, y_2, y_7, y_8\}$ .  $\square$

**Proof of Theorem 3** By a routine but tedious argument, we can verify that every graph in  $\bigcup_{0 \leq i \leq 8} \mathcal{H}_i$  is  $\{K_{1,3}, Z_2\}$ -free but not  $B_{1,1}$ -free (and we omit its detail). Thus it suffices to show that, if a connected  $\{K_{1,3}, Z_2\}$ -free graph  $G$  is not  $B_{1,1}$ -free (i.e.,  $G$  contains  $B_{1,1}$  as an induced subgraph), then  $G$  belongs to  $\bigcup_{0 \leq i \leq 8} \mathcal{H}_i$ .

If  $G$  is not  $N$ -free, then by Lemma 1,  $G \in \mathcal{H}_0$ , as desired. Thus we may assume that  $G$  is  $\{K_{1,3}, Z_2, N\}$ -free. Assume that  $G$  contains  $B_{1,1} (\in \mathcal{H}_1)$  as an induced subgraph. Then  $G$  contains a graph  $H \in \bigcup_{1 \leq i \leq 8} \mathcal{H}_i$  as an induced subgraph. Choose  $H$  so that  $|V(H)|$  is as large as possible. It suffices to show that  $G = H$ . By way of contradiction, suppose that  $G \neq H$  (i.e.,  $V(G) - V(H) \neq \emptyset$ ). Since  $G$  is connected, there exists a vertex  $a \in V(G) - V(H)$  which is adjacent to a vertex in  $V(H)$ . By the maximality of  $H$ ,  $G[V(H) \cup \{a\}] \notin \bigcup_{1 \leq i \leq 8} \mathcal{H}_i$ . This, together with Lemmas 2–8, gives  $H = H_8$ . For each  $i \in \{7, 8, 9\}$ , we note that the graph  $B_i := H - z_i$  is isomorphic to  $H_7$ . Since  $N_G(a) \cap V(H) \neq \emptyset$ , we have  $N_G(a) \cap V(B_i) \neq \emptyset$  for some  $i \in \{7, 8\}$ . We may assume that  $N_G(a) \cap V(B_7) \neq \emptyset$ . Then by Lemma 8,  $N_G(a) \cap V(B_7) = \{z_3, z_4, z_8, z_9\}$ . In particular,  $az_3 \in E(G)$ . On the other hand, since  $N_G(a) \cap V(B_9) \neq \emptyset$ ,  $N_G(a) \cap V(B_9) = \{z_1, z_2, z_7, z_8\}$ , and so  $az_3 \notin E(G)$ , giving a contradiction.

This completes the proof of Theorem 3.  $\square$

### 3.2 Proof of Theorem 6

In order to prove Theorem 6, we give a further definition. For two integers  $s$  and  $t$ , we let  $[s, t] = \{i \in \mathbb{N} \mid s \leq i \leq t\}$ . Note that if  $s > t$ , then  $[s, t] = \emptyset$ .

Here we prove Theorem 6. We can easily verify that every graph in  $\mathcal{P}(\max\{3m, m+4\})$  is  $\{K_{1,3}, B_{1,m}\}$ -free but not  $P_{\max\{3m, m+4\}}$ -free. Thus it suffices to show that if a connected  $\{K_{1,3}, B_{1,m}\}$ -free graph  $G$  is not  $P_{\max\{3m, m+4\}}$ -free (i.e.,  $G$  contains  $P_{\max\{3m, m+4\}}$  as an induced subgraph), then  $G$  belongs to  $\mathcal{P}(\max\{3m, m+4\})$ .

Assume that  $G$  contains  $P_{\max\{3m, m+4\}}$  as an induced subgraph. Then  $G$  contains a graph  $H \in \mathcal{P}(\max\{3m, m+4\})$  as an induced subgraph. Choose  $H$  so that  $|V(H)|$  is as large as possible. It suffices to show that  $G = H$ . Otherwise, there exists a vertex  $a \in V(G) - V(H)$  such that  $N_G(a) \cap V(H) \neq \emptyset$ . Let  $\ell$  be the integer such that  $H$  is either a fat  $\ell$ -path or a fat  $\ell$ -cycle. Then we can write either  $H = F_p(L_1, \dots, L_\ell)$  or  $H = F_c(L_0, \dots, L_\ell)$  for some disjoint cliques  $L_0, \dots, L_\ell$ . Let  $I = \{i \mid N_G(a) \cap L_i \neq \emptyset\}$ .

**Claim**  $|I| \leq 4$ .

**Proof** Suppose that there are five fundamental cliques  $L^{(1)}, \dots, L^{(5)}$  of  $H$  with  $N_G(a) \cap L^{(i)} \neq \emptyset$  ( $1 \leq i \leq 5$ ). For each  $i$  ( $1 \leq i \leq 5$ ), let  $b^{(i)} \in N_G(a) \cap L^{(i)}$ . Since  $\max\{3m, m+4\} \geq 5$ , if  $H$  is a fat cycle, then  $H$  has at least six fundamental cliques. Thus  $G[\{b^{(i)} \mid 1 \leq i \leq 5\}]$  has no cycle, and so is a forest of order five and maximum degree at most two. Then we can easily check that  $G[\{b^{(i)} \mid 1 \leq i \leq 5\}]$  has an independent set  $B$  with  $|B| = 3$ , and hence  $G[\{a\} \cup B] \cong K_{1,3}$ , giving a contradiction.  $\square$

If  $H$  is a fat cycle, then  $N_G(a) \cap L_i = \emptyset$  for some  $0 \leq i \leq \ell$  by Claim 3.2. By relabeling  $L_0, \dots, L_\ell$  if necessary, we may assume that

(L1)  $0 \notin I$ , and

(L2) subject to (L1),  $|I \cap \{1, \ell\}|$  is as small as possible.

Thus, if  $H$  is a fat cycle and there exists an integer  $i$  ( $1 \leq i \leq \ell - 2$ ) with  $i, i+1, i+2 \notin I$ , then  $I \cap \{0, 1, \ell\} = \emptyset$ .

For each  $i$  ( $1 \leq i \leq \ell$ ), we take a vertex  $b_i$  as follows: if  $i \in I$ , let  $b_i \in N_G(a) \cap L_i$ ; otherwise (i.e.,  $i \notin I$ ), let  $b_i \in L_i$ . Note that, by our choices of indices,  $b_1 b_\ell \notin E(G)$  regardless of  $H$  being a fat path or a fat cycle.

**Claim** Assume that there exists an index  $j$  ( $2 \leq j \leq \ell - 2$ ) such that  $I \cap [2, \ell - 1] = \{j, j+1\}$ . Then either  $j = 2$  and  $ab_1 \in E(G)$  or  $j = \ell - 2$  and  $ab_\ell \in E(G)$ .

**Proof** Recall that  $\ell \geq \max\{3m, m+4\}$ . We first consider the case  $\ell - m - 1 \leq j \leq m+1$ . Then  $\ell \leq 2m+2$ . Since  $\ell \geq 3m$ , we have  $m \leq 2$ ; since  $\ell \geq m+4$ , we have  $m \geq 2$ . Hence  $m = 2$ , and this forces  $\ell = 6$  and  $j = 3$ . By the assumption of the claim,  $ab_2, ab_5 \notin E(G)$ . Since  $G[\{b_2, b_3, a, b_4, b_5, b_6\}] \not\cong B_{1,2}$  and  $G[\{b_5, b_4, a, b_3, b_2, b_1\}] \not\cong B_{1,2}$ , we have  $ab_1, ab_6 \in E(G)$ . Then  $G[\{a, b_1, b_3, b_6\}] \cong K_{1,3}$ , giving a contradiction. Thus either  $j \geq m+2$  or  $j \leq \ell - m - 2$ .

We now consider the case  $j \geq m+2$  (i.e.,  $j - m \geq 2$ ). Then  $ab_i \notin E(G)$  for every  $j - m \leq i \leq j - 1$ . Since  $G[\{b_{j+2}, b_{j+1}, a, b_j, b_{j-1}, \dots, b_{j-m}\}] \not\cong B_{1,m}$ , this forces  $b_{j+2} = b_1$  (i.e.,  $j = \ell - 2$ ) and  $ab_\ell \in E(G)$ , as desired. Thus we may assume that  $j \leq \ell - m - 2$  (i.e.,  $j + m + 1 \leq \ell - 1$ ). Then  $ab_i \notin E(G)$  for every  $j + 2 \leq i \leq j + m + 1$ . Since  $G[\{b_{j-1}, b_j, a, b_{j+1}, b_{j+2}, \dots, b_{j+m+1}\}] \not\cong B_{1,m}$ , this forces  $b_{j-1} = b_1$  (i.e.,  $j = 2$ ) and  $ab_1 \in E(G)$ , as desired.  $\square$

**Claim** For each  $j \in I$ , there exists an index  $j'$  ( $j' \neq j$ ) such that  $|j - j'| = 1$  and  $j' \in I$ .

**Proof** If  $2 \leq j \leq \ell - 1$  and  $j - 1, j + 1 \notin I$ , then  $G[\{b_j, a, b_{j-1}, b_{j+1}\}] \cong K_{1,3}$ , giving a contradiction. Hence if  $2 \leq j \leq \ell - 1$ , then the desired conclusion holds. Thus we may assume that  $j \in \{1, \ell\}$  by (L1).

For the moment, we assume that  $j = 1$  and  $2 \notin I$ . We further suppose that there exists an index  $i$  ( $3 \leq i \leq \ell - 1$ ) with  $i \in I$ . Choose  $i$  so that  $i$  is as small as possible. Then,  $i+1 \in I$  since  $3 \leq i \leq \ell - 1$ . If  $i+1 \leq \ell - 1$  and  $I \cap [3, \ell - 1] = \{i, i+1\}$ , then  $i = \ell - 2$  and  $\ell \in I$  by Claim 3.2. This implies that if  $i+1 \leq \ell - 1$  (i.e.,  $i \leq \ell - 2$ ), then there are three indices  $i_1, i_2, i_3$  ( $3 \leq i_1 < i_2 < i_3 \leq \ell$ ) with  $i_1, i_2, i_3 \in I$ , and hence  $G[\{a, b_1, b_{i_1}, b_{i_3}\}] \cong K_{1,3}$ , giving a contradiction. Thus  $i \geq \ell - 1$ , and so  $i = \ell - 1$ . Note that  $I \cap [1, \ell] = \{1, \ell - 1, \ell\}$ . This, together with the fact  $\ell - m - 1 \geq 3$ , implies that  $G[\{b_1, a, b_\ell, b_{\ell-1}, \dots, b_{\ell-m-1}\}] \cong B_{1,m}$ , giving a contradiction. Thus  $I \cap [2, \ell - 1] = \emptyset$ . By (L1) and (L2), we see that  $H$  is a fat path. If there exists a vertex  $u \in L_1$  with  $au \notin E(G)$ , then, since  $\ell \geq m+4$ ,  $G[\{a, b_1, u, b_2, \dots, b_{m+2}\}] \cong B_{1,m}$ , giving a contradiction. Thus  $L_1 \subseteq N_G(a)$ . By the symmetry and the fact  $\ell - 1 \notin I$ , if  $\ell \in I$ , then  $L_\ell \subseteq N_G(a)$ . Hence either  $N_G(a) \cap V(H) = L_1$  or  $N_G(a) \cap V(H) = L_1 \cup L_\ell$ . If  $N_G(a) \cap V(H) = L_1$ , then  $G[V(H) \cup \{a\}] = F_p(\{a\}, L_1, \dots, L_\ell)$ ; if  $N_G(a) \cap V(H) = L_1 \cup L_\ell$ , then  $G[V(H) \cup \{a\}] = F_c(\{a\}, L_1, \dots, L_\ell)$ . In either case,  $G[V(H) \cup \{a\}] \in \mathcal{P}(\max\{3m, m+4\})$ , which contradicts the maximality of  $H$ . Thus if  $j = 1$ , then  $2 \in I$ . By the symmetry, if  $j = \ell$ , then  $\ell - 1 \in I$ .  $\square$

Let  $i_1 = \min\{i \mid i \in I\}$  and  $i_2 = \max\{i \mid i \in I\}$ . By Claim 3.2,  $i_1 + 1, i_2 - 1 \in I$ .

**Claim** If  $i_1 \neq 1$ , then  $L_{i_1+1} \subseteq N_G(a)$ . If  $i_2 \neq \ell$ , then  $L_{i_2-1} \subseteq N_G(a)$ .

**Proof** If  $i_1 \neq 1$  and  $L_{i_1+1} \not\subseteq N_G(a)$ , say  $u \in L_{i_1+1} - N_G(a)$ , then  $G[\{b_{i_1}, b_{i_1-1}, a, u\}] \cong K_{1,3}$ , giving a contradiction. Thus if  $i_1 \neq 1$ , then  $L_{i_1+1} \subseteq N_G(a)$ . By the symmetry, we have  $L_{i_2-1} \subseteq N_G(a)$  if  $i_2 \neq \ell$ .  $\square$

Since  $|I| \leq 4$ , we divide the rest of the proof into three cases according to  $|I| \leq 2$ ,  $|I| = 3$ , and  $|I| = 4$ .

**Case 1:**  $|I| \leq 2$ .

By Claim 3.2,  $I = \{i_1, i_2\} = \{i_1, i_1 + 1\}$ . If  $|I \cap [2, \ell - 1]| = 2$ , then either  $1 \in I$  or  $\ell \in I$  by Claim 3.2, and so  $|I| \geq 3$ , giving a contradiction. Thus  $|I \cap [2, \ell - 1]| \leq 1$ , which implies either  $I = \{1, 2\}$  or  $I = \{\ell - 1, \ell\}$ . We may assume that  $I = \{1, 2\}$ . By (L2),  $H$  is a fat path. If  $L_2 \not\subseteq N_G(a)$ , say  $u \in L_2 - N_G(a)$ , then  $G[\{a, b_2, u, b_3, b_4, \dots, b_{m+3}\}] \cong B_{1,m}$ , giving a contradiction. Thus  $L_2 \subseteq N_G(a)$ . This, together with Claim 3.2, leads to  $N_G(a) \cap V(H) = L_1 \cup L_2$ , and hence  $G[V(H) \cup \{a\}] = F_p(L_1 \cup \{a\}, L_2, \dots, L_\ell) \in \mathcal{P}(\max\{3m, m + 4\})$ , which contradicts the maximality of  $H$ .

**Case 2:**  $|I| = 3$ .

In this case,  $I = \{i_1, i_1 + 1 (= i_2 - 1), i_2\} = \{i_1, i_1 + 1, i_1 + 2\}$ . By (L1) and (L2), either  $H$  is a fat cycle and  $I \cap \{0, 1, \ell\} = \emptyset$  or  $H$  is a fat path. Since either  $i_1 \neq 1$  or  $i_2 \neq \ell$ ,  $L_{i_1+1} (= L_{i_2-1}) \subseteq N_G(a)$  by Claim 3.2. Suppose that either  $L_{i_1} \not\subseteq N_G(a)$  or  $L_{i_2} \not\subseteq N_G(a)$ . We may assume that  $L_{i_1} \not\subseteq N_G(a)$ . Let  $u \in L_{i_1} - N_G(a)$ . If  $i_1 \leq \ell - m - 2$  (i.e.,  $i_1 + m + 2 \leq \ell$ ), then  $G[\{u, b_{i_1+1}, a, b_{i_1+2}, \dots, b_{i_1+m+2}\}] \cong B_{1,m}$ ; if  $i_1 \geq m + 2$  (i.e.,  $i_1 - m - 1 \geq 1$ ), then  $G[\{a, b_{i_1}, u, b_{i_1-1}, \dots, b_{i_1-m-1}\}] \cong B_{1,m}$ . In either case, we get a contradiction. Thus  $\ell - m - 1 \leq i_1 \leq m + 1$ . This, together with the assumption  $\ell \geq \max\{3m, m + 4\}$ , leads to  $m = 2$ ,  $\ell = 6$  and  $i_1 = 3$ . Then  $G[\{b_1, b_2, u, b_3, a, b_5\}] \cong B_{1,2}$ , giving a contradiction. Thus  $L_{i_1} \cup L_{i_2} \subseteq N_G(a)$  (i.e.,  $N_G(a) \cap V(H) = L_{i_1} \cup L_{i_1+1} \cup L_{i_2}$ ). Hence

$$G[V(H) \cup \{a\}] = F_c(L_0, L_1, \dots, L_{i_1}, L_{i_1+1} \cup \{a\}, L_{i_2}, \dots, L_\ell)$$

or

$$G[V(H) \cup \{a\}] = F_p(L_1, \dots, L_{i_1}, L_{i_1+1} \cup \{a\}, L_{i_2}, \dots, L_\ell)$$

according as  $H$  is a fat cycle or a fat path, which contradicts the maximality of  $H$ .

**Case 3:**  $|I| = 4$ .

In this case,  $i_1 + 1 < i_2 - 1$  and  $I = \{i_1, i_1 + 1, i_2 - 1, i_2\}$ . Let  $J_1 = [1, i_1 - 1]$ ,  $J_2 = [i_1 + 2, i_2 - 2]$  and  $J_3 = [i_2 + 1, \ell]$  (where  $J_i$  may be empty). If  $|J_1| \geq m$ , then  $i_1 - m \geq 1$ , and hence  $G[\{b_{i_2}, a, b_{i_1+1}, b_{i_1}, b_{i_1-1}, \dots, b_{i_1-m}\}] \cong B_{1,m}$ ; if  $|J_2| \geq m$ , then  $i_1 + m + 1 \leq i_2 - 2$ , and hence  $G[\{b_{i_2}, a, b_{i_1}, b_{i_1+1}, \dots, b_{i_1+m+1}\}] \cong B_{1,m}$ ; if  $|J_3| \geq m$ , then  $i_2 + m \leq \ell$ , and hence  $G[\{b_{i_1}, a, b_{i_2-1}, b_{i_2}, b_{i_2+1}, \dots, b_{i_2+m}\}] \cong B_{1,m}$ . In either case, we get a contradiction. Thus  $\max\{|J_1|, |J_2|, |J_3|\} \leq m - 1$ . On the other hand,  $|J_1| + |J_2| + |J_3| = [1, \ell] - \{i_1, i_1 + 1, i_2 - 1, i_2\} = \ell - 4 \geq \max\{3m - 4, m\}$ . Hence we see that  $m \geq 2$  and  $|J_i| = |J_{i'}| = m - 1$  for some  $i, i' \in \{1, 2, 3\}$ .

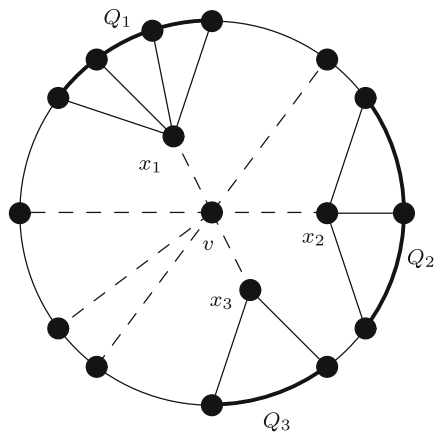


Fig. 5 A fan-cycle system

with  $i \neq i'$ . Without loss of generality, we may assume that  $|J_1| = m - 1$  (i.e.,  $i_1 = m$ ). If  $|J_2| = m - 1$ , then  $G[\{b_{i_2-2}, b_{i_2-1}, b_{i_2}, a, b_m, b_{m-1}, \dots, b_1\}] \cong B_{1,m}$ ; if  $|J_3| = m - 1$ , then  $G[\{b_{i_2+1}, b_{i_2}, b_{i_2-1}, a, b_m, b_{m-1}, \dots, b_1\}] \cong B_{1,m}$ . In either case, we again get a contradiction.

This completes the proof of Theorem 6.

## 4 The Existence of Halin Subgraph

In this section, we prove Theorems 13 and 14.

Let  $G$  be a graph. A sequence  $(C : v; Q_1, \dots, Q_m; x_1, \dots, x_m)$  is a *fan-cycle system* of  $G$  if

1.  $C$  is a cycle of  $G$ ,
2.  $Q_1, \dots, Q_m$  are vertex-disjoint paths of order at least two on  $C$ ,
3.  $v, x_1, \dots, x_m$  are distinct vertices with  $V(G) - V(C) = \{v, x_1, \dots, x_m\}$ ,
4.  $|V(C) - \bigcup_{1 \leq i \leq m} V(Q_i)| + m \geq 3$ ,
5.  $v$  is adjacent to every vertex in  $(V(C) - \bigcup_{1 \leq i \leq m} V(Q_i)) \cup \{x_1, \dots, x_m\}$ , and
6. for  $i$  ( $1 \leq i \leq m$ ),  $x_i$  is adjacent to every vertex of  $Q_i$

(see Fig. 5). In [3], the following lemma was proved in order to construct a spanning Halin subgraph.

**Lemma 9** (Chen et al. [3]) *If a graph  $G$  has a fan-cycle system, then  $G$  has a spanning Halin subgraph.*

Now we show that all 3-connected graphs in  $\bigcup_{0 \leq i \leq 8} \mathcal{H}_i$  have a spanning Halin subgraph.

**Lemma 10** *For  $G \in \bigcup_{0 \leq i \leq 8} \mathcal{H}_i$ , if  $G$  is 3-connected, then  $G$  has a spanning Halin subgraph.*

**Proof** Since all graphs in  $\bigcup_{i \in \{2,3,5,6\}} \mathcal{H}_i$  are not 3-connected,  $G \in \mathcal{H}_i$  for some  $i \in \{0, 1, 4, 7, 8\}$ . By Lemma 9, it suffices to show that  $G$  has a fan-cycle system.

**Case 1:**  $G \in \mathcal{H}_0$ .

Let  $L_1, \dots, L_m$  be the leaf-cliques of  $G$ , and let  $R_i$  be the root of  $L_i$ . For each  $i$  ( $1 \leq i \leq m$ ), let  $v_i \in R_i$ . Since  $G$  is 3-connected,  $|R_i - \{v_i\}| \geq 2$  for all  $i$ , and hence  $G - \{v_i \mid 1 \leq i \leq m\}$  has a Hamiltonian cycle  $C$  containing  $m - 1$  vertex-disjoint paths  $Q_2, \dots, Q_m$  with  $V(Q_i) = L_i \cup (R_i - \{v_i\})$  ( $2 \leq i \leq m$ ). Then  $(C : v_1; Q_2, \dots, Q_m; v_2, \dots, v_m)$  is a fan-cycle system of  $G$ .

**Case 2:**  $G \in \mathcal{H}_1$ .

Write  $G = H_1(\{C_{s_3}, C_{s_4}, C_{s_5}\})$ . For each  $i \in \{3, 4\}$ , let  $a_i \in C_{s_i}$ . Since  $G$  is 3-connected,  $|C_{s_i}| \geq 3$  for  $i \in \{3, 4\}$ , and hence  $G - \{a_3, a_4\}$  has a Hamiltonian cycle  $C$  containing a path  $Q$  with  $V(Q) = (C_{s_4} - \{a_4\}) \cup \{s_2\}$ . Then  $(C : a_3; Q; a_4)$  is a fan-cycle system of  $G$ .

**Case 3:**  $G \in \mathcal{H}_4$ .

Write  $G = H_1(\{C_{v_4}, C_{v_5}, C_{v_6}\})$ . Since  $G$  is 3-connected,  $|C_{v_i} \cup C_{v_j}| \geq 3$  for  $i, j \in \{4, 5, 6\}$  with  $i \neq j$ . By symmetry, we may assume that  $|C_{v_4}| \geq 2$  and  $|C_{v_5}| \geq 2$ . For each  $i \in \{4, 5\}$ , let  $a_i \in C_{v_i}$ . Then  $G - \{a_4, a_5\}$  has a Hamiltonian cycle  $C$  containing a path  $Q$  with  $V(Q) = (C_{v_5} - \{a_5\}) \cup \{v_3\}$ , and hence  $G$  has a fan-cycle system  $(C : a_4; Q; a_5)$ .

**Case 4:**  $G \in \mathcal{H}_7$ .

Let  $C = y_2y_4y_7y_8y_6$  be a cycle of  $G$ , and  $Q_1 = y_4y_7$  and  $Q_2 = y_8y_6$  be paths on  $C$ . Then  $(C : y_1; Q_1, Q_2; y_3, y_5)$  is a fan-cycle system of  $G$ .

**Case 5:**  $G \in \mathcal{H}_8$ .

Let  $C = z_2z_4z_7z_9z_8z_6$  be a cycle of  $G$ , and  $Q_1 = z_4z_7$  and  $Q_2 = z_8z_6$  be paths on  $C$ . Then  $(C : z_1; Q_1, Q_2; z_3, z_5)$  is a fan-cycle system of  $G$ .

This completes the proof of Lemma 10.  $\square$

**Lemma 11** For  $G \in \mathcal{P}(5)$ , if  $G$  is 3-connected, then  $G$  has a spanning Halin subgraph.

**Proof** We first suppose that  $G$  is a fat path, and write  $G = F_p(L_1, \dots, L_\ell)$ . For each  $i$  ( $2 \leq i \leq \ell - 1$ ), let  $a_i \in L_i$ . Since  $G$  is 3-connected,  $|L_i - \{a_i\}| \geq 2$  for  $i$  ( $2 \leq i \leq \ell - 1$ ), and hence  $G - \{a_2, \dots, a_{\ell-1}\}$  has a Hamiltonian cycle  $C$  such that  $C[L_i]$  has exactly two components for every  $i$  ( $2 \leq i \leq \ell - 1$ ). We take the spanning tree  $T$  of  $G$  such that  $N_T(a_2) = L_1 \cup (L_2 - \{a_2\}) \cup \{a_3\}$ ,  $N_T(a_{\ell-1}) = L_\ell \cup (L_{\ell-1} - \{a_{\ell-1}\}) \cup \{a_{\ell-2}\}$  and  $N_T(a_i) = (L_i - \{a_i\}) \cup \{a_{i-1}, a_{i+1}\}$  ( $3 \leq i \leq \ell - 2$ ). Then  $T$  has no vertices of degree 2 and  $V(G) - \{a_2, \dots, a_{\ell-1}\}$  is the set of leaves of  $T$ . Hence  $T \cup C$  is a spanning Halin subgraph of  $G$ .

We next suppose that  $G$  is a fat cycle, and write  $G = F_c(L_0, \dots, L_\ell)$ . Since  $G$  is 3-connected,  $G$  has at most two fundamental cliques of order one. Furthermore, if  $G$  has exactly two fundamental cliques of order one, then such cliques are consecutive. By symmetry, we may assume that  $|L_i| \geq 2$  for every  $i$  ( $1 \leq i \leq \ell - 1$ ). For each  $i$  ( $1 \leq i \leq \ell - 1$ ), let  $a_i \in L_i$ . Then  $G - \{a_1, \dots, a_{\ell-1}\}$  has a Hamiltonian cycle  $C$  such that  $C[L_i]$  has exactly one component for every  $i$  ( $0 \leq i \leq \ell$ ). We take a spanning tree  $T$  of  $G$  such that  $N_T(a_1) = L_0 \cup (L_1 - \{a_1\}) \cup \{a_2\}$ ,  $N_T(a_{\ell-1}) = L_\ell \cup (L_{\ell-1} - \{a_{\ell-1}\}) \cup \{a_{\ell-2}\}$  and  $N_T(a_i) = (L_i - \{a_i\}) \cup \{a_{i-1}, a_{i+1}\}$  ( $2 \leq i \leq \ell - 2$ ). Then  $T$  has no vertices of degree 2 and  $V(G) - \{a_1, \dots, a_{\ell-1}\}$  is the set of leaves of  $T$ . Hence  $T \cup C$  is a spanning Halin subgraph of  $G$ .  $\square$

Theorems 4, 12 and Lemma 11 lead to Theorem 13. Theorems 3, 13 and Lemma 10 lead to Theorem 14.

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