

Quantifying and mapping intertidal oyster reefs utilizing LiDAR-based remote sensing

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1 ABSTRACT

2 Restoration and conservation of the eastern oyster, *Crassostrea virginica*, requires
3 information on its distribution and abundance, which is logistically difficult to obtain. We
4 demonstrate how Light Detecting and Ranging (LiDAR) can be used to obtain this information
5 in a model intertidal system within the Virginia Coast Reserve (VCR) on the Eastern Shore of
6 Virginia, USA. Specifically, we determined how LiDAR derived data can be used to classify
7 land cover and identify intertidal oyster reefs. We used the locations of existing reefs to
8 determine the physical characteristics of oyster habitat through the use of elevation, fetch, and
9 water residence time data for the region. Trained with elevation, intensity, surface slope, and
10 curvature data, the land cover classification identified oyster land cover with an accuracy of 81
11 %. Ground-truth patches were small, with the 50th percentile for area and perimeter being 11.6
12 m² and 14.5 m. Reef crests occurred in a narrow range of elevation (-0.81 to -0.18 m relative
13 NAVD88) and patches had an average vertical relief of 0.14 m. The habitat suitability analysis
14 located 52.4 km² of total oyster suitable habitat, or 12.03 % of the mapped area with similar
15 elevation, fetch, and residence time characteristics of existing reef area. This suggests there is
16 ample viable intertidal area for future oyster population restoration. Results also indicate that
17 LiDAR data, coupled with physical attributes of existing reefs, can be used to target and
18 prioritize locations for future restoration efforts in intertidal habitats.

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2 **Keywords:** oyster, LiDAR, remote sensing, ecosystem restoration

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2 1. INTRODUCTION

3 The native eastern oyster, *Crassostrea virginica*, is a dominant species in the intertidal zone
4 of coastal bays on the Eastern Shore of Virginia, USA. Eastern oysters were historically
5 abundant in Chesapeake Bay and the bordering coastal bays; however, due to overharvesting
6 compounded by poor water quality and disease, the population rapidly declined in the latter half
7 of the twentieth century, collapsing commercial harvest (Rothschild et al. 1994, Kemp et al.
8 2005). Largely due to partnerships including federal and state agencies, universities and non-
9 profits, oyster populations have begun to recover in Chesapeake Bay (Schulte et al. 2009,
10 Lipcius et al. 2015) and on the Eastern Shore (Wesson et al. 1999, Ross & Luckenbach 2009).
11 On the Eastern Shore, over 20 ha of reefs have been successfully created and populated by
12 oysters in the past decades largely by introducing hard substrate as habitat that creates suitable
13 settlement locations for oyster larvae and ultimately, oyster growth. The recovery of the eastern
14 oyster is important because the species is economically significant as a fishery and provides
15 many ecological services, including water filtration (Coen et al. 2007, Van der Zee et al. 2012,
16 Reidenbach et al. 2013) and mitigation of wave energy that erodes shorelines (Piazza et al. 2005,
17 Scyphers et al. 2011, Wiberg et al. 2018).

18 To understand the progress of restoration and current populations of oysters on Virginia's
19 Eastern Shore, it is imperative to have accurate information regarding oyster stock and location.
20 Remote sensing is a way to acquire environmental data from a distance and can be beneficial to
21 visualize landscape cover and environmental change on different temporal and spatial scales
22 (Morgan et al. 2010). Airborne-based LiDAR data is a recent form of remote sensing that can be
23 used to estimate elevation based on the return time of laser lights emitted from the aircraft and

1 reflected from the land below. Advantages of LiDAR include robust data with high data density
2 and vertical elevation accuracy (Schenk & Csatho 2002).

3 Due to these advantages, LiDAR elevation datasets have been used in coastal and estuarine
4 environments to understand inundation (Gesch 2009), marsh classification and sedimentation
5 (Morris et al. 2005, Marion et al. 2009), and classification of seagrass beds (Ishiguro et al. 2016)
6 and intertidal habitat (Garono et al. 2004, Halls & Costin 2016).

7 While orthoimagery, geometrically corrected imagery, has been used previously to aid in
8 oyster mapping, in itself, it presents the disadvantages of low spatial extent, and in many
9 instances produces inconsistencies that cause intertidal land classification to change depending
10 on when imagery was collected with respect to the tides. This is an important consideration
11 because the majority of oysters found Virginia's Eastern Shore are intertidal (Ross &
12 Luckenbach 2009).

13 One characteristic of oyster reefs that makes the use of LiDAR especially attractive is that
14 oysters accrete vertically and differ in elevation from surrounding land area. Many studies have
15 relied largely on orthoimagery (Grizzle et al. 2002, Ross & Luckenbach 2009) and hyperspectral
16 data (Garono et al. 2004, Le Bris et al. 2016) to identify and survey reefs. The greater
17 inaccuracies of earlier image interpretation are attributed to pixel size, and with greater pixel
18 resolution came improved analysis (Grizzle et al. 2002, Schill et al. 2006, Halls & Costin 2016,
19 Le Bris et al. 2016). With high resolution satellite imagery, intertidal landscapes for oysters have
20 been classified with accuracies greater than 70 % (Green & Lopez 2007, Le Bris et al. 2016).
21 Moreover, pairing LiDAR elevation data with aerial imagery and other terrain data, intertidal
22 land classification can be improved (Smith et al. 2015, Halls & Costin 2016), with oyster
23 classification accuracies reaching 85 %. In the past, few researchers have investigated the use of

LiDAR derived data alone to classify intertidal land cover, and those that have experienced limited success (Schill et al. 2006). With greater availability and knowledge on how to acquire accurate LiDAR data by mitigating error propagation from sensors, flight missions, and processing (Baltasavias 1999, Ahokas et al. 2003, Hodgson & Bresnahan 2004, May & Toth 2007, Cekada et al. 2009), it remained to be determined if LiDAR derived data alone can successfully classify intertidal oyster reefs.

Given previous success in other habitats, LiDAR data may provide an alternative technique to estimate distributions and abundances of intertidal oyster reefs. Marked difficulties in mapping oyster reefs include differences in size, location, origin (restored, natural, public, private), and overlap with habitats including mudflats and coarse beaches (Garono et al. 2004, Schill et al. 2006, Halls & Costin 2016). In addition, airborne-based LiDAR data has limitations in land classification (i.e., oyster vs. marsh) since LiDAR returns do not provide scene information (Schenk & Csatho 2002). Similarly, LiDAR has limited ability to penetrate dense vegetation and water surfaces (Schmid et al. 2011), such that oyster reefs below the water surface cannot be identified using LiDAR. Because airborne-based LiDAR vertical elevation accuracy is typically on the order of 10s of centimeters, it is unable to detect all differences in land covers that are similar in elevation. Similarly, if the horizontal spatial resolution of the airborne-based LiDAR data is too large (typically 0.5 to 1 m), small oyster patches may go undetected.

To continue successful restoration efforts, in addition to identifying suitable oyster elevation habitat, it is also necessary to understand the physical environments that foster successful larval recruitment and oyster growth (Fodrie et al. 2014). Successful larval recruitment and survival of oysters to maturity along the Eastern Shore of Virginia rely upon hard substrate for attachment

(Whitman & Reidenbach 2012). In addition, oysters depend upon currents to transport larvae, and locations with higher tidal energy and flow speed have been associated with greater oyster growth (Lenihan 1999, Byers et al. 2015). High flow velocities and turbulence act to transport and increase the supply of larvae, increase incidence of larvae encountering substrate, and reduce mortality from sedimentation (Lenihan 1999, Hendriks et al. 2006, Fuchs et al. 2013, Hubbard & Reidenbach 2015). However, if velocities adjacent to benthic surfaces are too high, this can prevent successful settlement (Crimaldi et al. 2002, Reidenbach et al. 2009). Often high energy environments that include significant wave activity limit successful recruitment of oysters (Ortega 1981, Bushek 1988, O’Beirn et al. 1995).

By understanding where and under what physical conditions oyster reefs exist, we can gain an understanding of suitable habitat to better manage and restore oyster populations (Schulte et al. 2009, Fodrie et al. 2014, Colden & Lipicius 2015, Lipicius et al. 2015, Colden et al. 2017). Because reef elevation controls the amount of time oysters are exposed, it can also be considered the primary variable in determining the fate of oysters (Fodrie et al. 2014). In addition to elevation, the local hydrodynamic environment determines oyster growth and larval recruitment (Bartol et al. 1999, Lenihan 1999, Schulte et al. 2009, Colden et al. 2017). Therefore, the three main objectives of this study were to:

- 1) Determine if LiDAR elevation data can be used to identify and map oyster reefs within intertidal regions.
- 2) Describe the physical environment where oysters are found in relation to elevation, and the hydrodynamic factors of fetch and water residence time.
- 3) Identify existing intertidal regions within the VCR with similar physical environments that can be used as target regions for future restoration efforts.

2. MATERIALS & METHODS

2.1 Study Site and Areas of Interest

This study focused on oysters within the intertidal region of the Virginia Coast Reserve (VCR), located on the Atlantic Ocean side of the Delmarva Peninsula, on the Eastern Shore of Virginia. Within the coastal habitat of the VCR, which extends along approximately 100 km of coastline and contains coastal bays and barrier islands that extend towards the open ocean, 16 areas (500 m x 500 m, 0.25 km²) were analyzed for oyster land cover (Figure 1). These areas were chosen because they contained intertidal reef patches that represented some of the more densely populated areas. They also provided spatial distribution across the VCR. The areas were used to ground-truth, train, and test a supervised classification for oyster and non-oyster land cover. The patchy and vertical accretion of oysters in the VCR are shown in Figure 2. To determine suitable habitat, a total area of 436.4 km² encompassing intertidal and coastal bay area was analyzed.

2.2 LiDAR data sources and derived spatial layers

An airborne-based LiDAR data set accessed through the VCR data portal (USGS LiDAR 2015) was collected for the project area. LiDAR data acquisition was completed by Leading Edge Geomatics while classification, products, and quality assurances were completed by Dewberry, the primary contractor. Data were acquired between April 11 to April 24, 2015 (Dewberry 2016). Flights were conducted within 2 hours of the lowest low tide for the two weeks that flights were completed. System specifications included a flight altitude of 1000 m, speed of 100 knots, and a pulse rate of 200 kHz. The vertical accuracy reported for non-

1 vegetated had a 95 % confidence level RMSE of 12.5 cm. Though not statistically significant
2 (only based on 17 of 113 checkpoints) the horizontal accuracy was 64.9 cm (Dewberry 2016).
3 Ground surface returns were filtered and breaklines made to distinguish land and water. Editing
4 corrected misclassified land cover and artifacts and uneven water surfaces due to tidal and wave
5 action were flattened. The LiDAR point data were used to create an elevation model layer with
6 square pixels sized 0.76 m^2 and an aggregate nominal point density of 3.45 points per m^2 . The
7 original layer was then projected with horizontal (WGS84 UTM 18N) and vertical datums
8 (NAVD88) in meters. All elevations in this study are relative to NAVD88 unless specified.
9 Some reefs were located below sea level during the time of flight when LiDAR data were
10 collected, and therefore were not visible in the dataset, preventing a complete oyster population
11 survey. For many intertidal locations, reefs were easily distinguishable within LiDAR elevation
12 maps and appeared different than surrounding land cover due to their distinct elevation change.

13 The LiDAR elevation data also included intensity data, measure of the strength of return,
14 from the flight paths. Mosaic raster layers of intensity values were created for the area covering
15 the 16 regions of interest. Additionally, a slope layer was derived from the elevation layer using
16 the neighborhood of immediate cells (3x3) for the same regions. A final slope of the slope layer
17 was computed forming the curvature layer which measures the convexity or concavity of a
18 surface and can account for additional textural differences between land covers (Pittman et al.
19 2009). ArcMap 10.5 GIS software was used to analyze and map the LiDAR data.

20 2.3 Ground-Truth and Surveyed Oyster Data

21 ArcMap 10.5 GIS software was also used to create ground-truth data. To create a
22 ground-truth map of oyster land cover in the areas of interest, the 16 regions were delineated on
23 the elevation layer. Satellite imagery and GPS tracks were used to determine the appearance of

oyster reef patches on the elevation layer and validate the patches seen. The basemap provided by ESRI via ArcMap (DigitalGlobe 2017) was used as the source of the satellite imagery. The imagery was used to validate the patches of reef mapped on the elevation layer, but primarily served to determine the appearance of oyster reefs on the elevation layer, where oyster patches were only visualized in terms of elevation. Specifically, images from DigitalGlobe with up to 0.3 m resolution satellite images during low tide for September 24, April 27, and April 16, 2017 were used based on availability for individual locations. As an additional check to determine if mapped patches were in the same locations of intertidal reefs, GPS tracks were made *in-situ* using a handheld Garmin GPSMAP 64s (maximum accuracy of 3 m) during the summer of 2017 for portions of 8 of the 16 regions of interest (Red Bank (3 areas), Hillcrest, Narrows, Ramshorn (2 areas)) which were easily accessible and known for healthy, dense patches of oyster reef. These GPS tracks were imported in to GIS as line features and manually edited to make closed polygons. Polygons with area less than 10 m² were discarded, while those greater than 10 m² in area but less than 1m apart were aggregated. The resulting polygons were then imported on to the elevation layer. Because imagery was from 2017, while LiDAR elevation data was from 2015, only one data set, the elevation layer, was used to map reef patches. An oyster reef layer was created by drawing polygons around the perimeter of visible patches. As a check on digitally mapped reef accuracy, the GPS tracks served to determine if LiDAR mapped reefs were present within the GPS tracks. Although ground-truth oysters covered only 0.07 km², or 1.8% of the 4 km² of total intertidal land cover within the 16 regions, greater than 86% of GPS tracks contained LiDAR elevation mapped patches. Hence, the digitized reefs served as a good proxy for ground-truth data and were used instead of *in-situ* tracks for ground-truth oyster land cover in this study.

2.4 Supervised Classification of Oyster Reefs in the VCR

2.4.1 Creating an oyster reef signature for maximum likelihood classification

Spatial data on elevation, intensity, slope, and curvature were used to provide training data to complete a maximum likelihood image classification in GIS. In total, 4 layers were made to create signatures for oyster patches. Multi-band rasters were created with the different data types as different bands which were then used to determine which of the variables are most useful in identifying oysters. First, analysis from signatures using individual elevation, intensity, and slope layers were completed, followed by 2-layer composites of all combinations of elevation, intensity, and slope, then a 3- layer composite of elevation, intensity, and slope. Finally, a 4-layer composite was computed with curvature added. Adding layers to a multi-band composite adds a dimension of data for each location in space and therefore, with more data a greater amount of descriptive information can be used to create signatures from the input data.

Training samples were created from 8 oyster regions, (half of the 16 total regions), by manually drawing polygons on the elevation layer identifying different land cover types, including water, marsh, and mud of differing elevations and appearances. Oyster reef polygons previously described were used identify oyster land cover. Reefs for each of the 8 oyster regions were grouped as separate land covers to represent 8 potential types of oysters to account for regional differences in the signature. Because we were interested in classifying land for oyster land cover, this was done to make the signature more robust to identify oysters of varying size, shape, age, and appearance. Only reefs that covered areas equivalent to at least 50 pixels (11.6 m²) or greater were included to provide enough of a signature to be able to classify the data as an oyster patch. In total 13 classes of land cover were discriminated, including the 8 representing oysters, high, medium, and low elevated muds, marsh, and water. Average elevation, intensity,

slope, and curvature for training samples of oyster land cover within each of the 8 training regions are stated in Table 1. Data from the multi-band rasters (ranging from 1-4 bands of elevation, intensity, slope, and curvature) were extracted to the shapefile made from the training samples for all land cover types to produce the respective signature files. The signature for each land cover category was based on the mean and covariance of the data in training samples so that for each land cover, a statistical representation of what each land cover type looks like in terms of elevation, slope, intensity, and curvature was formulated. A sensitivity analysis was conducted to determine the best combination of LiDAR derived data to use in a supervised classification to identify oyster reefs.

2.4.2 Maximum Likelihood Classification in ArcMap 10.5 and interpretation of output

The maximum likelihood classification tool in ArcMap 10.5 was used to produce a classified raster on the remaining 8 oyster regions, referred to as test regions, using the created signature files. This is a pixel-based classification method that classifies images by putting pixels into different classes based on statistical probability using class means and covariances informed by the sample-based signature. The pixels classified to be similar to samples of oyster reefs from the training regions were reclassified to create one larger oyster class. The remaining classes of pixels for different land covers were reclassified to represent one class of non-oyster land cover. Although this data could be used to classify land cover, such as marsh and mud, in this study we were concerned only with success in identifying oyster reefs and generated ground-truth data for just this land cover.

2.4.3 Accuracy Assessment: comparing classified and ground-truth oyster land cover

To validate the classification and determine which combined data layers created the best signature for oyster reefs, accuracy assessments were completed for classified test regions. In a similar way to reclassifying the classified outputs to have two categories, oyster and non-oyster land cover, a ground-truth raster layer was made where digitized (ground-truth) oysters comprised the oyster class and all other land was classified as non-oyster. The raster matched the projection and resolution of the classified layers (WGS 1984 UTM Zone 18N, 0.76 m² resolution). A total of 500 points spread across the regions were generated, using an equalized stratified random sampling technique, such that the 500 points were distributed randomly for the total area, but an equal number of points were randomly assigned to oyster and non-oyster classes based on the ground-truth data layer. Stratified sampling has the advantage of including categories of data that are rarer and less likely to appear with simple random sampling, and has proven to work accurately with habitat and remotely sensed data (Congalton 1991, Hirzel & Guisan 2002). The ground-truth land cover type for each point was compared to the land cover type on the classified layer assessed, and a confusion matrix was generated to determine the accuracy of the classified layer in identifying oyster and non-oyster land covers. In addition to overall accuracy, the confusion matrices determined user and producer accuracies for oyster and kappa coefficients.

Confusion matrices, also known as error matrices, provide a means to determine what portion of classified data is correctly classified based on reference data (Story & Congalton 1986). Reference and classified data are organized in columns and rows, respectively, and separated into categories, in this case whether the points were found in pixels categorized as oyster and non-oyster. Agreement between the reference and the classified data is along the matrix's major diagonal. This is used to determine the overall accuracy by adding all the

correctly classified data points for both categories and dividing by the sum of all random points. To assess individual category accuracy, the correctly classified data points are divided by the total ground-truth data points for that category. In this scenario, this is all the random points correctly classified as oyster divided by the total number of ground-truth oyster points. This measures the producer accuracy, which is suggestive of errors of omission (omission error = 1 - producer accuracy), which can be defined as the percent of ground-truth oysters not correctly classified (i.e., oyster area omitted from the produced map). User accuracy for a category is calculated by dividing the number of correctly classified points by the total number of points classified as that category (Story & Congalton 1986). This is a measure of error of commission (commission error = 1 - user accuracy), a determinant of the rate of false positives. It explains the chance of discovering a location classified on the map as oyster to be a different land cover in reality. A kappa value takes the classifier as a whole and compares the observed agreement between the classified and reference data and the agreement that is likely to occur by chance if observations were independent. In this way, it is the proportional agreement between data that has been corrected for chance. A value of 1 would indicate complete agreement between the observed and classified data, a value of 0 is indicative of no difference with what is expected by chance, and a value of -1 would indicate complete disagreement after considering corrections for chance (Agyemang et al. 2011).

To compare how the classification differed by test region, individual accuracy assessments for oyster and non-oyster land cover for each region were also performed in a similar way, by generating 500 random points, equally stratified for oyster and non-oyster land covers for each of the 8 test regions. This analysis was completed using the classified raster produced from the most successful composite determined through the sensitivity analysis. The

mean of the difference between elevation of oyster patch crests and the buffered 2 m of adjoining land, mean oyster patch area, and mean patch perimeter per region were quantified. To calculate mean elevations for patches and surrounding land, all points within each polygon were averaged, where elevation points were spaced 0.75 m apart set by the LiDAR point density. Regression analyses were performed to determine if differences in local accuracies were explained by these variables. The elevation was also made relative to local mean sea level (lmsl) by adding a conversion factor layer to the LiDAR elevation layer available through the VCR (Richardson 2013) to determine the local position of reefs. It was hypothesized that with 1) greater difference in elevation between reef crests and surrounding land and 2) larger oyster patches, the classification and identification of oysters would be more successful.

2.5 Using Digitized Reefs to Determine Suitable Habitat within the VCR

In addition to the LiDAR dataset, water residence time and fetch data layers were created in GIS using model output from Safak et al. (2015) and Wiberg et al. (2018) to characterize intertidal lands suitable for oysters. Water residence time was modeled with a three-dimensional coastal ocean model utilizing particle tracking and validated with field observations, while fetch was weighted by wind direction. In this study, water residence time and fetch serve as proxies for water mean velocity and wave energy from winds, respectively. Residence time data can also predict the exchange of water masses, which is likely an important factor in not only providing reefs with food, but also enhancing larval exchange. These layers were projected and resampled using the nearest neighbor method to match the datum and resolution of the LiDAR elevation data (WGS 84 UTM Zone18N, resolution of 0.762 m²). The data were then extracted to overlapping ground-truth oyster reef polygons from all 16 regions to find the mean for each oyster patch using zonal statistics, where mean values for each variable were calculated per reef.

Suitable habitat analysis for the VCR was restricted to the area where data were available for all three variables, eliminating land features which had no water residence time or fetch data. The range for elevation of land surrounding oyster patches within 2 m was computed, and the middle 99 % of oyster patch elevation (range from the 0.5 to 99.5 percentiles) was set as suitable elevation. The surrounding land rather than the elevation of patches (reef crests) was used for suitable elevation because this is more representative of the elevation of land oysters are recruited to and more useful to target land for restoration. However, an examination using the same method but with reef crest elevation was also completed for comparison. Using the elevation range from the middle 99 % of the sample excluded some extreme data that may have been wrongly identified as oyster habitat. This range also excluded much of the subtidal areas that would not be visible on the LiDAR dataset. Next, the area of suitable habitat was further restricted by eliminating areas where fetch was not suitable, and finally reduced by excluding area with unsuitable water residence time. Fetch and water residence time were not affected by errors in the modeled elevation, so the full range of data (maximum to minimum average values found in the oyster sample) were used to categorize areas suitable and less suitable for these variables. The combination of elevation and water residence time was also examined to help determine which variable, water residence time or fetch, was a more useful criterion in determining suitable oyster habitat.

The results of suitable habitat using elevation defined by land surrounding oyster patches were then compared to the most recent oyster survey conducted within the VCR completed in 2007 by Ross and Luckenbach (2009). This survey combined ground-truth data with aerial images from 2007 to determine oyster area. This survey was input as a GIS layer and then the polygon layer was converted to a raster with resolution matching the LiDAR data. Then the

1 suitable land cover product was extracted to the reefs for the 16, 0.25 km² regions used to create
2 ground-truth oysters.

3 3. RESULTS

4 3.1 LiDAR classification

5 Satellite orthoimagery and GPS tracks were useful in helping to determine how oyster
6 patches appear on an elevation layer. Greater than 86 % (151/175) of GPS tracks intersected
7 digitally mapped reefs based on LiDAR elevation (Figure 3), 90.3 % of the GPS tracks were
8 within 3 m of digitized reefs. There were apparent differences in the overlap for the different
9 areas surveyed. Most of the unaccounted tracks were in the regions near Red Bank (three
10 regions), which accounted for 15 of the total 24 tracks that did not overlap digitized reefs.

11 In the sensitivity analysis, the classified raster became more accurate as more layers were
12 used to create signatures (Table 2). Confusion matrices using information from random points
13 showed that overall accuracy of land classification increased when composites of multiple layers
14 were formed to provide signatures for land cover (Table 2). Of the analyses performed on the
15 individual layers of elevation, slope, and intensity, slope performed the best with a high overall
16 accuracy (0.77). When data from elevation, intensity, and slope layers were combined, the
17 overall accuracy and kappa value increased to 0.76 and 0.58, values similar to slope alone.
18 However, with the signature informed by three layers, the kappa value increased while also
19 creating more balanced errors of omission (26%) and commission (17%). Additionally, with
20 more layers added, the resulting land cover result more accurately reflected the training data,
21 where all 13 categories were represented, whereas only a few categories were produced with
22 single layers. When the additional layer of curvature was added to provide greater textural

information for the signature, the accuracies again increased and this 4-layer composite was analyzed further and used to investigate regional differences.

In the accuracy assessment for the classification trained using all data layers (Table 3), the error of commission (19 %) was almost balanced with the error of omission (20 %) for oyster land cover, given the user accuracy of 0.81 and the producer accuracy of 0.80. This suggests there was a 20 % chance that true oyster cover at a location was omitted from the map, and a 19 % chance that a pixel classified as oyster was a false positive. This balance of omission and commission was improved compared to the 3-layer results, where omission error was reduced from 26 to 20 %. The overall accuracy in classifying land as oyster or non-oyster was 0.81 and the kappa coefficient was 0.62. The high kappa coefficient supports the conclusion that there was a reduced chance that the similarity between the classified and ground-truth data layers was due to chance alone (Table 3).

In total, 80.8% of the area of ground-truth reefs were correctly classified as oyster. Additionally, ground-truth reef polygons were analyzed to determine if they contained pixels that were classified as oysters. This measured the classified raster's ability to detect oyster patches, if not their entire area. Of the 1259 ground-truth oyster reef polygons located in the test regions, 1218, or 97 % contained at least one pixel that was classified oyster. Therefore, almost all ground-truth reefs were at least partly represented in the classified map.

A visual comparison for a sample of classified test regions compared with ground-truth oyster reefs is seen in Figure 4. The overall accuracy for individual test regions ranged from 0.65 to 0.92, while kappa values were lower and had a greater range from 0.30 to 0.83 (Table 4). The average patch elevation (reef crest) for oysters within the test regions was -0.31 m relative NAVD88. The difference between mean oyster elevation and surrounding land elevation

provides an estimate of the average vertical relief of oysters in each region, which ranged from 0.103-0.225 m for the different test regions (Table 4), supporting that reef patches were positioned higher than the surrounding land cover. The overall average for oyster relief in test regions was 0.14 m. For the VCR, local mean sea level was below NAVD88 ranging in magnitude from 0.039-0.149 m. The mean patch elevation was at -0.207 m lmsl and the average patch elevation for each region ranged from -0.308 to -0.065 m lmsl (Table 4).

There was no significant relationship between region overall accuracy and vertical relief ($r^2 = 0.25$, $p = 0.21$) (Figure 5A). There were positive relationships between region accuracy and mean patch area with 94 % confidence ($r^2 = 0.47$, $p = 0.06$) and mean patch perimeter with 91 % confidence ($r^2 = 0.41$, $p = 0.09$). These strong positive relationships, may indicate that with larger reefs there is increased accuracy (Figures 5B and 5C).

3.2 Physical environment and habitat suitability

The elevation range found for the middle 99 % of land surrounding oysters was -0.92 to -0.13 m for oyster reefs ($n = 2089$). For the intertidal and coastal bay region analyzed within the VCR, 83.2 km², or 19.1% of the total 436.4 km², fell within the range of suitable elevation (Figure 6A). Suitable elevation, when instead defined by reef crests, led to a suitable elevation range (middle 99 %) of -0.81 to -0.18 m and covered 32.3 km² or 7.3 % of the study area (Figure 6B). Water residence time for 2026 oyster patches ranged from 23.2 to 2000 h, while fetch data for 1498 patches ranged from 40.0 to 4643.0 m. Area of suitable water residence time and fetch were much less restrictive, covering 294.2 and 295.2 km², respectively (Figures 6C and 6D). Areas having both suitable fetch and residence time totaled 226.5 km², so that areas suitable for both these variables were often coincident. When the study area was subjected to meet suitable criteria for elevation, water residence time, and fetch, a total of 52.4 km², or 12.0 % of the study

area, remained (Figure 7). Areas of suitable habitat were distributed throughout the study area, but were often near higher areas of mud and marsh land covers. Using reef crest elevation led to a similar but more restrictive overall suitable habitat of 23.1 km² or 5.3 % of the study area, though areas further from land and toward more open water were reduced. Greater than 83 % of the ground-truth reefs area fell within suitable habitat with elevation set by surrounding land, after reefs were converted to raster. Some disagreement existed because the model was computed on a pixel basis, whereas the average values for elevation, fetch, and residence time, were calculated for each oyster patch to set criteria (Figure 8A). Also, some ground-truth reefs did not have modeled data because either fetch or water residence time was absent for areas of higher elevations.

3.3 Comparison to Ross and Luckenbach (2009) oyster survey

In the comparison between the suitable area within the 16, 0.25 km² regions and the Ross and Luckenbach (2009) survey, most surveyed area overlapped with modeled suitable habitat that met all three criteria of elevation, fetch, and water residence time (Figure 8B). Of the 0.21 km² of surveyed reef within these 16 regions for which suitable habitat was modeled, 0.138 km² or 66.3 % was described as suitable. Total suitable land in the regions was 1.44 km² so that overlapping surveyed reefs accounted for about 10% of suitable area. While there was good agreement between the survey and suitable land for these regions, the comparison between the two data sets should be used with caution because many of the areas surveyed by Ross and Luckenbach were in hydroflattened areas of the LiDAR elevation data.

4. DISCUSSION

1 This study found that LiDAR data can be used to identify intertidal oyster reefs along the
2 Virginia, USA coastline and the locations of existing reefs can be used to identify the physical
3 environments in which they are most often found. While producing a complete population
4 survey is unachievable using this data due to the lack of subtidal and at some locations low-
5 intertidal LiDAR information, the study was successful in determining methods for automatic
6 classification. By successfully quantifying elevation, fetch, and water residence time data over
7 areas of existing reefs, the study also determined target regions within the VCR where oyster
8 restoration is likely to be successful.

9 4.1 Oyster Land Cover Classification

10 A multi-band raster including elevation, intensity, slope, and curvature data increased the
11 accuracy in identifying reefs (Table 3). This combination of data provided a signature that
12 distinguished oysters from other land covers with high accuracy. This study took a simplified
13 approach and used only layers derived from LiDAR. In this way, we tested the utility of LiDAR
14 for classification of intertidal oysters. Other land classification studies included additional
15 roughness parameters, such as surface rugosity, plan curvature (concavity perpendicular to the
16 maximum slope), and fractal dimension, to characterize landscapes (Pittman et al. 2009), which
17 may prove more beneficial in other environments and particular land covers. The accuracy was
18 not greatly improved by adding curvature to our analysis and did not warrant further additions.
19 Different kernel (3x3 cells) statistics including maximum, minimum, standard deviation, and
20 range for elevation, intensity, and slope were examined, but did not benefit the classification and
21 were colinear with the other data. These layers were therefore excluded.

22 Our method of using data only derived from LiDAR, supported the idea that LiDAR data
23 can distinguish between land that is oyster and non-oyster with 81 % accuracy, based on the

1 confusion matrix created using 500 equally stratified random points. The kappa coefficient,
2 0.62, supported agreement between the classified and ground-truth land cover layers at 62 %.
3 This value may be more reflective of the accuracy, due to the small amount of land cover that is
4 truly oyster reef. Oysters covered approximately 2 %, 0.04 km², of the total 2 km² of land within
5 the test regions, and therefore there may have been some chance agreement involved in
6 classifying non-oyster cover because it covered vast majority of the land. In creating a method
7 to classify oysters, it was important to not only identify reefs correctly, but also minimize the
8 extent to which false positives were produced. This study successfully balanced the error of
9 omission (20 %) with error of commission, or false positive rate (19 %). One common error in
10 the classified output was that the edges of mudflats were often denoted as being oyster cover.
11 While this is an error of commission in many areas, oysters are commonly found fringing
12 mudflats and marshes, and therefore are areas that are also likely to have similar elevations to
13 ground-truth oyster samples. Additionally, while the overall accuracy was 81 % in identifying
14 oyster from non-oyster land cover, 80.8 % of ground-truth reef area was classified as reef and
15 97% of ground-truth patches had at least one pixel classified as oyster. Therefore, the
16 classification was successful in identifying almost all of the true reef patches, albeit lacking in
17 identifying the total area. This suggests that portions of reef patches are more representative of
18 the training data than others.

19 The classification scheme may be useful to define areas where oysters are located,
20 although the results of this study support that ground truthing or manual digitization using
21 LiDAR is necessary to identify full cover. Certainly, using LiDAR to train classification tools to
22 identify oyster reefs can narrow the area with potential reef cover from remote locations.
23 Therefore, this study supports that if LiDAR data is available for a different geography, elevation

1 can be used to create ground-truth training data informed with derived layers (including
2 elevation, intensity, slope, and curvature) to automatically classify land for oysters with a high
3 accuracy. While the study has shown that obtaining full oyster coverage using LiDAR is
4 unlikely, LiDAR can be used to manually map known locations of oyster with greater patch
5 definition compared to ground surveys. More precise patches can foster the ability to monitor
6 change over time. Both growth and mortality might be quantified based on measurable changes
7 in horizontal and vertical dimensions. For the VCR, past LiDAR surveys over the region were
8 not conducted during low tide, preventing comparisons with the data used in this study.
9 However, now knowing that patches can be mapped with LiDAR, monitoring can take place
10 with future LiDAR surveys.

11 Mapping with LiDAR elevation, with the user trained with imagery and *in-situ* data as
12 described here, presents a more precise method to delineate area. Surveying on foot can cause
13 larger tracks to be taken due to accessibility and effort. The GPS tracks in this study were not
14 collected with the intention to assess accurate area or population size, but for a more qualitative
15 comparison to learn how terrain is visualized on an elevation layer. Therefore, the accuracy was
16 less meaningful and comparison with digitized reefs utilized a presence-absence method which
17 showed that reefs seen on LiDAR elevation were also present with *in-situ* reef tracks, though
18 areal comparison should be viewed with caution. In addition to error introduced by the handheld
19 GPS accuracy, the LiDAR data also has errors in horizontal accuracy and can only discriminate
20 patches with discernable vertical relief. The regional differences seen in overlap between
21 LiDAR digitized reefs and GPS tracks indicates that differences in geographic position such as
22 elevation or patch size may affect digitization, and it is difficult to resolve whether error is in the
23 GPS or LiDAR data.

Past studies using various methodologies have reported similar results for accuracy and kappa coefficients for estuarine land covers including oyster reefs, which were difficult to distinguish remotely within coastal habitats (Halls & Costin 2006, Le Bris et al. 2016). Obstacles include misrepresentation of oyster patches with other land covers such as mud or gravel. These errors have been attributed to lower proportion of cover and sample data, similar textures and elevations between land covers, and the ephemeral exposure within intertidal landscapes (Garono et al. 2004, Schill et al. 2006, Halls & Costin 2016). Studies successful in classifying coastal habitats often rely on combining different sources of data including high resolution and hyperspectral imagery (Grizzle et al. 2002, Schill et al. 2006, Chust et al. 2008, Dumbauld et al. 2011, Le Bris et al. 2016), and hydrodynamic (Smith et al. 2015), radar (Choe et al. 2012), and acoustic sonar (Smith et al. 2001, Allen et al. 2005) data. Even when high degrees of accuracy (greater than 80 %) were achieved in intertidal habitat classifications, oysters were one of the least successful categories of cover (Halls & Costin 2016).

While most of the surveys completed in the past have relied on high resolution imagery, the 2 % of oyster cover found in the of the largely intertidal regions investigated in this study is similar to other accounts for the VCR and along intertidal oyster habitats on the mid-Atlantic coast (Bahr 1976, Bahr & Lanier 1981, Ross & Luckenbach 2009). Notwithstanding recent successful restoration efforts (Schulte et al. 2009, Lipcius et al. 2015), the eastern oyster remains only a small percentage, about 1%, of historical population size in Chesapeake Bay (Rothschild et al. 1994, Kemp et al. 2005, though the intertidal populations in the coastal lagoons adjacent to Chesapeake Bay (e.g. VCR) are somewhat higher (Ross & Luckenbach 2009) In the most recent stock survey, oyster reef land cover in the VCR area represented 0.4 % of habitat mapped (Ross & Luckenbach 2009). This low percentage of cover appears to be common along the mid-

Atlantic for the last few decades (Bahr 1976, Bahr & Lanier 1981). The percentage quantified from this study, however, is likely inflated due to the concentrated mapping on areas chosen to be dense with oyster reefs.

The idea that mapping oyster with elevation data would be successful is based on the understanding that oysters grow vertically above surrounding land cover. Contrary to the hypothesis that differences in elevation between oyster and adjoining mudflat would be related to the ability to detect oysters, there was no significant relationship. The mean elevation difference between patches and surrounding land (2 m buffer), 0.14 m, likely represents the difference in elevation needed for reef recognition from LiDAR elevation data and may account for discrepancies in what was visible on LiDAR vs *in-situ* mapping. This value can serve as a benchmark in deciding whether or not LiDAR data can be used to map reefs in different regions. In our regional analysis the average oyster patch for each region fell within a narrow range of elevation relative to NAVD88 from -0.52 to -0.17 m. Therefore, it is likely that within this approximately half meter of elevation, virtually all intertidal oysters exist. The spatial variation in local mean sea level (lmsl) could account for the range of average patch elevations relative to NAVD88. For all digitized oysters the average crest elevation in terms of lmsl was -0.207 m. With the top of the oyster patches falling below local mean sea level, oysters are likely underwater for at least half the tidal cycle.

The regressions relating oyster identification with area and perimeter had positive trendlines indicating that regions with larger patches were more accurate. The accuracy for individual test regions varied over a narrow range from 0.65 to 0.92, suggesting that areas with smaller average patch sizes still had a relatively high accuracy in identifying reefs. This is important for oysters in the VCR because the distribution of patch size from the sample of

ground-truth oysters indicated that most oyster patches were small, with the 50th percentile being 11.6 m² and 14.5 m for area and perimeter, respectively. Knowing the size distribution of reefs in this area can help indicate the accuracy likely to be attained via LiDAR classification and lead to further understanding of spatial distributions.

4.2 Suitable Habitat

The digitized reefs provided a large sample size that was spatially diverse, and therefore likely representative of oyster patches within the VCR. The suitability map created using three environmental variables (elevation, fetch, and water residence time) was successful in identifying areas that are likely to be highly suitable oyster habitat. Suitable water residence time and fetch covered much greater areas than suitable elevation. Individually, suitable elevation defined by land surrounding reefs, reef crests, fetch, and residence time covered approximately 83.2, 32.3, 294.2, and 295.2 km² of the total study area, which was 436.4 km². The low land cover for suitable habitat when all three variables are considered, 52.4 km² or 12.03 % of the area, is therefore limited by suitable elevation, the most restrictive variable. When reef crests are used to determine suitable habitat, the model was even more restrictive describing only 23.1 km² as suitable habitat. While it is likely that managers are more interested in the suitability of land without oysters that can be used to further restoration, represented here by the elevation of land surrounding reefs, reef crests also represent substrate which attracts larvae and could be used to consider a more conservative examination of suitable habitat.

Although most ground-truth reefs overlapping with the suitability model were in suitable habitat, a small percent was modeled as less suitable habitat. Unless additional unquantified variables play a significant role in preventing recruitment and growth, this suggests oysters can survive beyond the boundaries set by the model for suitable habitat. The elevation criteria

presented here are then likely to be conservative and represents areas that would be most suitable, or prime habitat for oyster restoration. Most of the suitable habitat was located near higher intertidal areas adjacent to mudflats and marshes, and more towards the mainland. In these locations, oysters are likely to experience a greater amount of protection from harsh wave action, explaining why the bays and areas near inlets were less suitable, where high wave energy was likely incompatible with oyster growth (Crimaldi et al. 2002, Reidenbach et al. 2009).

The area of suitable habitat was also compared with a past survey completed by Ross and Luckenbach (2009). This comparison was restricted to the 16 test regions because these represented locations where the LiDAR data was capable of accurately identifying oyster reefs. Comparing the total model area with the survey would not accurately reflect the agreement between the two datasets. For reefs within the entire modeled area, there was a significant difference in the elevation of the reefs with those surveyed by Ross and Luckenbach, which typically were much lower in elevation than those in this study, where mean patch elevations were -0.85 and -0.31 m NAVD88, respectively. These lower reefs were likely located in areas that are subtidal or under water during the majority of the tidal cycle. While some of the reefs included in the survey by Ross and Luckenbach (2009) may not have been visible on our dataset, others may no longer exist. When ground-truth tracks were taken for this study, some areas indicated as reef on their map no longer existed. There may have been oyster cover at these locations in the past, but our analysis suggests that these regions are not the most suitable for oyster growth and survival. Nonetheless, the comparisons drawn between the two data sets should be viewed with caution. Differences between the data sets show the challenges in surveying intertidal environments and how differing surveying techniques and sources of

1 remotely sensed data can cause deviations in the ephemerally exposed areas, such as intertidal
2 oyster reefs.

3 The VCR is a dynamic environment where the landscape is continuously changing due to
4 external drivers and internal feedbacks (McGlathery et al. 2013). Areas that may have been
5 suitable environment for oysters in the past, may have been transformed between the time that
6 data were collected for this study and that by Ross and Luckenbach (2009). Historic
7 documentation described that oysters covered a much greater area (Schulte 2017), supporting
8 that a greater land area was favorable for habitat.

9 Many current restoration projects in coastal lagoons primarily seek to restore intertidal
10 oyster reefs at higher elevations because they promote greater recruitment and growth (Schulte et
11 al. 2009) while also adding coastal protection (Wiberg et al. 2018, Piazza et al. 2005, Borsje et
12 al. 2011, Scyphers et al. 2011). Our results should prove useful in choosing locations for future
13 projects with these goals. While the majority of the surveyed reefs were within suitable land
14 area, the model also showed that total suitable habitat within the 16 test areas was 1.44 km², and
15 within this habitat the total surveyed reef area was only 0.14 km². Therefore, the surveyed reefs
16 only comprised about 10% of potential suitable habitat for oysters. Across the entire VCR
17 region, spanning approximately 100 km of coastline, there may be various locations suitable for
18 future oyster restoration.

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7. TABLES

Table 1. Summary of the means and standard deviations for elevation, intensity, slope, and curvature data within oyster patches for each training region.

Location	Elevation (m relative NAVD88)		Intensity		Slope (degree)		Curvature	
	Mean	Standard deviation	Mean	Standard deviation	Mean	Standard deviation	Mean	Standard deviation
Hillcrest	-0.23	0.14	66.46	27.39	3.67	2.85	51.45	19.85
Mockhorn	-0.51	0.22	146.58	67.63	4.24	2.66	51.83	18.93
North1	-0.22	0.10	133.22	46.56	2.15	2.15	52.90	17.26
North2	-0.39	0.08	176.06	57.41	3.40	2.19	50.38	17.83
Ramshorn	-0.26	0.10	80.91	30.44	4.28	2.58	56.01	17.29
RedBank1	-0.32	0.2	92.25	38.38	6.70	5.09	64.46	17.58
RedBank2	-0.27	0.11	129.04	44.32	4.21	2.81	56.10	18.84
South1	-0.21	0.12	197.92	61.58	4.43	2.34	51.51	20.87

Table 2. Accuracy assessment results for classified land cover produced from signatures created using different combinations of elevation, intensity, slope, and curvature data. User and producer accuracy for oyster classes, in addition to overall accuracy and kappa coefficients were computed for land cover classified as oyster or non-oyster. Accuracies range from 0 to 1 and the kappa coefficients range from -1 to 1.

No. of layers	Combination of data	Oyster user accuracy	Oyster producer accuracy	Overall accuracy	Kappa coefficient
1	Elevation	0.62	0.48	0.59	0.19
	Slope	0.83	0.68	0.77	0.54
	Intensity	0.66	0.66	0.66	0.32
2	Elevation & Slope	0.66	0.78	0.72	0.48
	Elevation & Intensity	0.73	0.72	0.73	0.46
	Intensity & Slope	0.87	0.6	0.76	0.51
3	Elevation, Intensity, & Slope	0.83	0.74	0.79	0.58
4	Elevation, Intensity, Slope, & Curvature	0.81	0.80	0.81	0.62

Table 3. Confusion matrix created for the classification produced by signature with the 4-layer composite for land classified as oyster and non-oyster. For each of the 500 random points, the table lists whether they are categorized as oyster or non-oyster according to ground-truth and classified data. Accuracies range from 0 to 1 and the kappa coefficient ranges from -1 to 1.

CLASSIFIED LAND COVER	GROUND-TRUTH LAND COVER				
		Non-oyster	Oyster	Total	User Accuracy Kappa
	Non-oyster	204	49	253	0.81
	Oyster	46	201	247	0.81
	Total	250	250	500	
	Producer Accuracy Kappa	0.82	0.80		0.81 0.62

- 1 Table 4. Quantified characteristics for each of the classified test regions describing region overall
 2 accuracy, mean elevation (m), mean oyster elevation (m), the mean difference in elevation
 3 between oyster patch crests and surrounding land (m), mean oyster patch area (m²), and mean
 4 oyster patch perimeter (m) for the patches located in each region.

5

Region Name	overall accuracy	Kappa	oyster elevation (m lmsl)	oyster elevation (m NAVD88)	Difference between crest and land elevation (m NAVD88)	patch area (m²)	patch perimeter (m)
Narrows	0.71	0.43	-0.308	-0.408	0.103	33.1	22.0
Mockhorn	0.67	0.34	-0.213	-0.322	0.169	24.9	19.5
Ramshorn	0.81	0.63	-0.161	-0.268	0.143	41.6	25.0
Red Bank	0.72	0.45	-0.145	-0.247	0.126	48.0	32.8
North1	0.92	0.83	-0.420	-0.522	0.130	91.7	44.4
North2	0.85	0.71	-0.201	-0.306	0.135	8.5	11.0
South1	0.65	0.30	-0.071	-0.179	0.116	13.9	15.2
South2	0.91	0.81	-0.065	-0.183	0.225	159.4	68.5

6

8. FIGURES

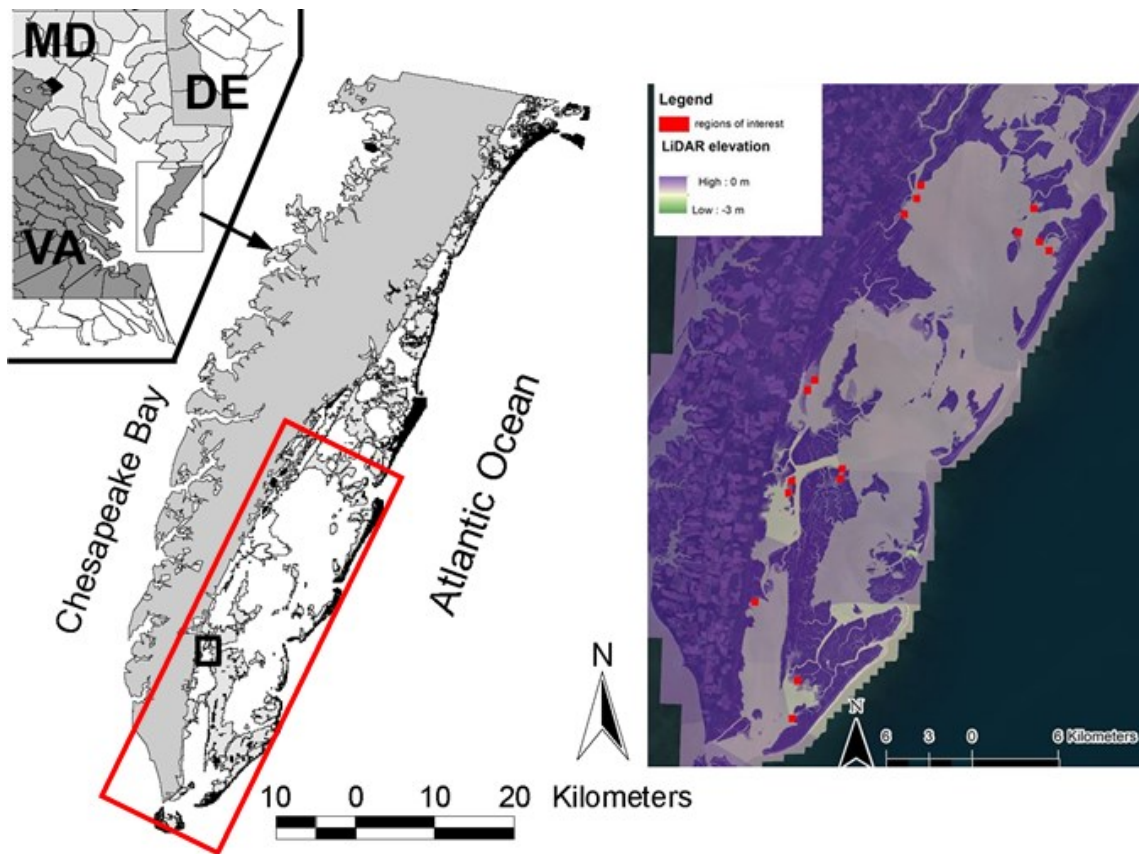


Figure 1. Left) The Delmarva Peninsula, VA, with land shown in grey and water in white is a land mass between the Chesapeake Bay and Atlantic Ocean. The Virginia Coast Reserve (VCR) is comprised of the marshes, coastal bays, and barrier islands on the Atlantic Ocean side of this peninsula. The black box on the peninsula shows the location of Hillcrest oyster reefs, a site of healthy natural and restored reefs. The red box is extent of the image on the right. Right) The 16, 0.25 km² regions (red) of interest chosen throughout the VCR for oyster reef mapping. LiDAR data (purple) was flown for the extent of the peninsula. Service layer credits: USDA FSA 2016, DigitalGlobe 2017, Earthstar Geographics.

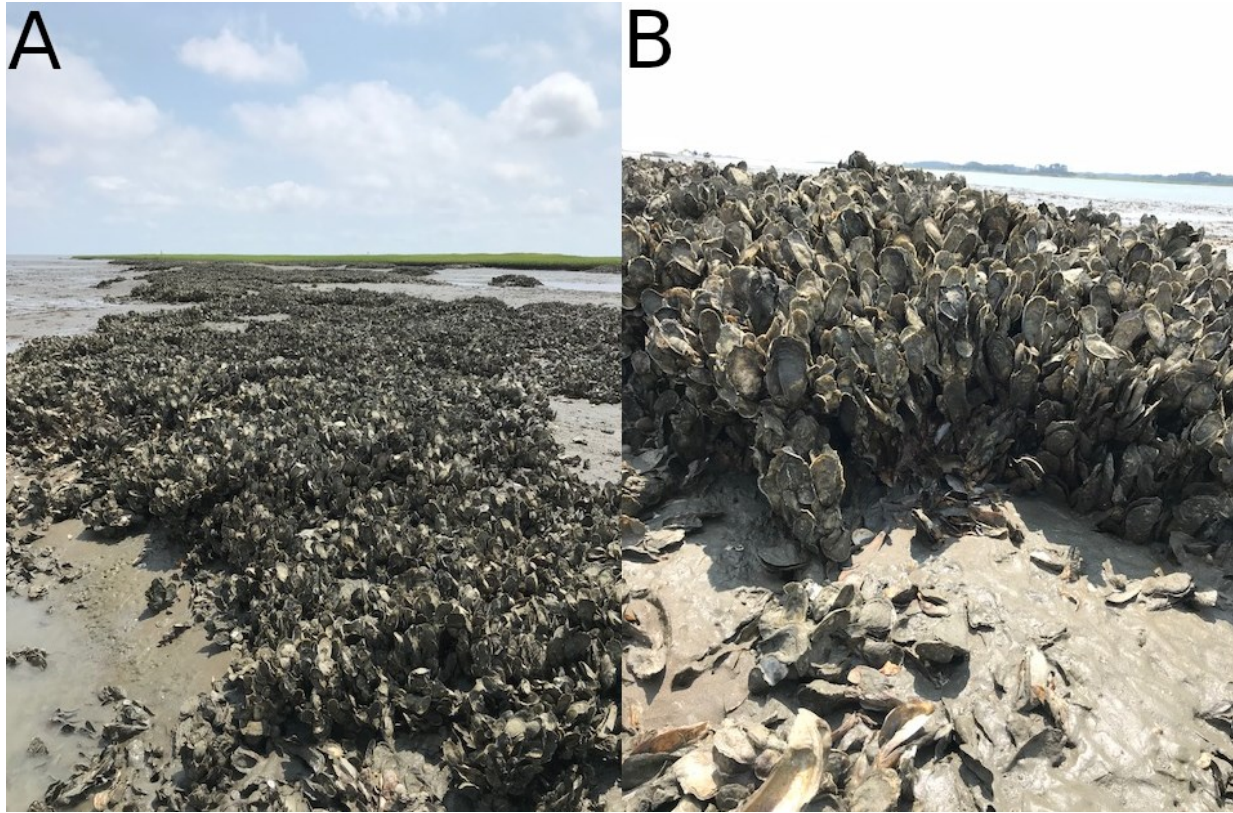


Figure 2. Intertidal oysters photographed within the VCR showing their A) patchy distributions and B) vertical growth.

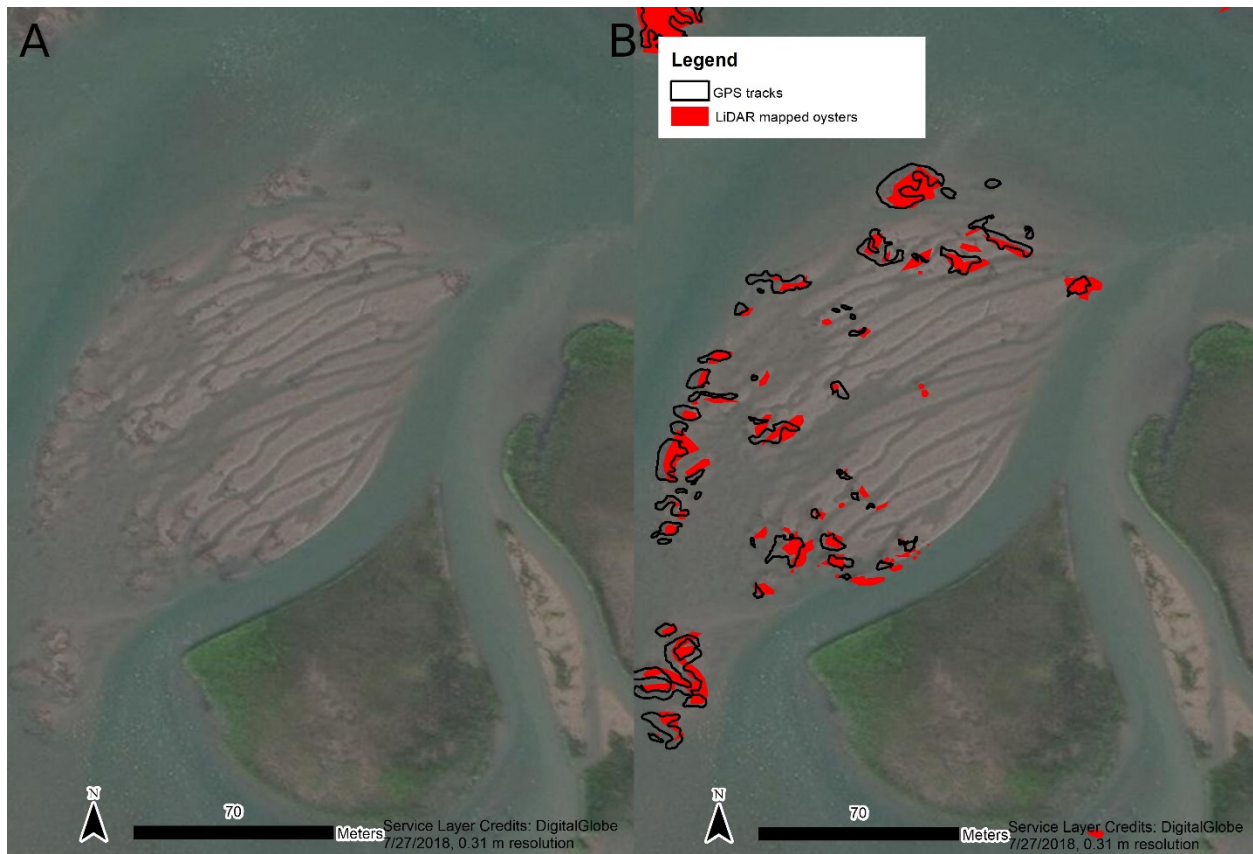


Figure 3. A) A birds-eye view of the Narrows region visualized with satellite imagery (DigitalGlobe 7/27/18) and B) the LiDAR mapped oyster patches (red) and GPS tracks completed (black outline) for this region.

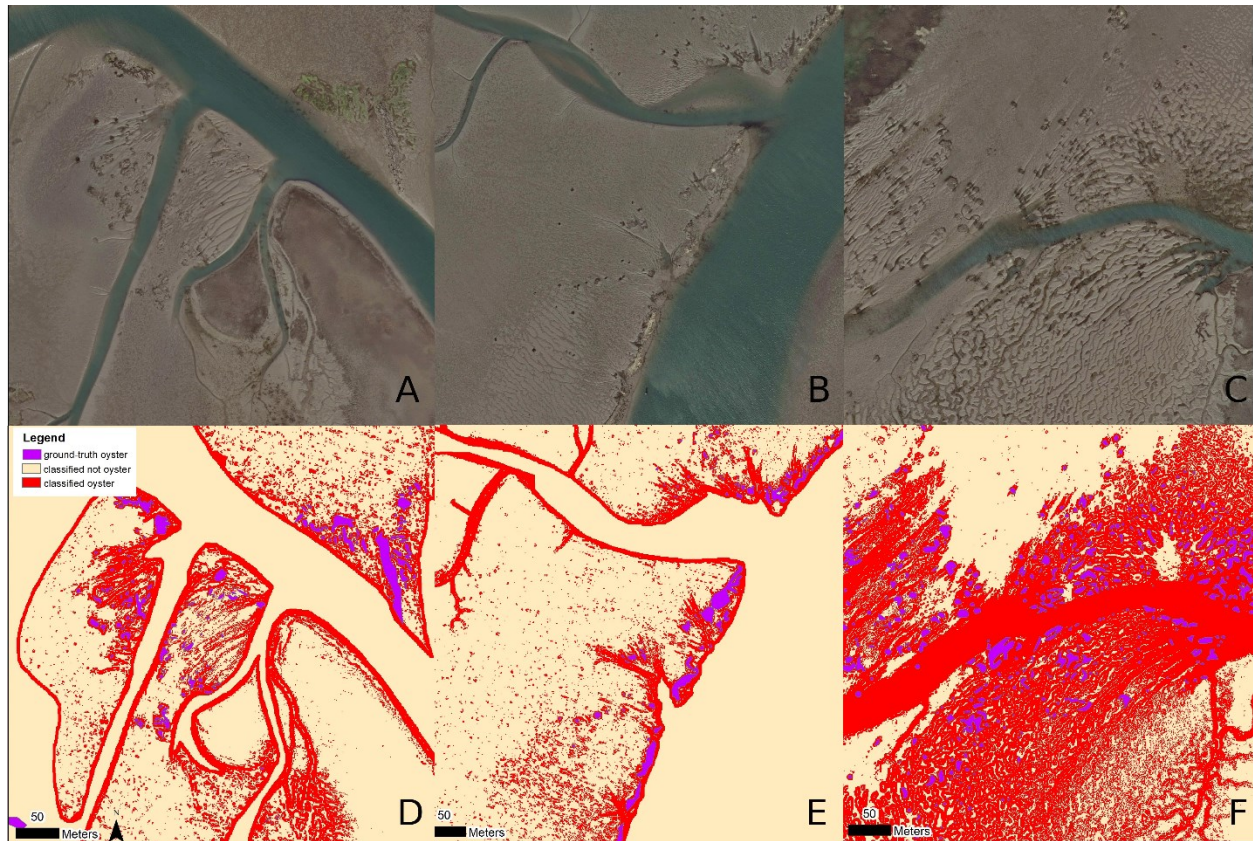


Figure 4. Aerial images of three test regions named A) Narrows, B) Ramshorn, and C) Mockhorn are shown. The classified rasters (D, E, F) are shown below their respective regions. Red indicates areas classified as raster, yellow indicates area classified as non-oyster land cover, and purple represents ground-truth polygons. Overall accuracy and kappa values for Narrows, Ramshorn, and Mockhorn regions were 0.71, 0.81, 0.67 and 0.43, 0.63, 0.34, respectively. Image layer credits: DigitalGlobe A and B) April 27, 2017 C) September 24, 2017.

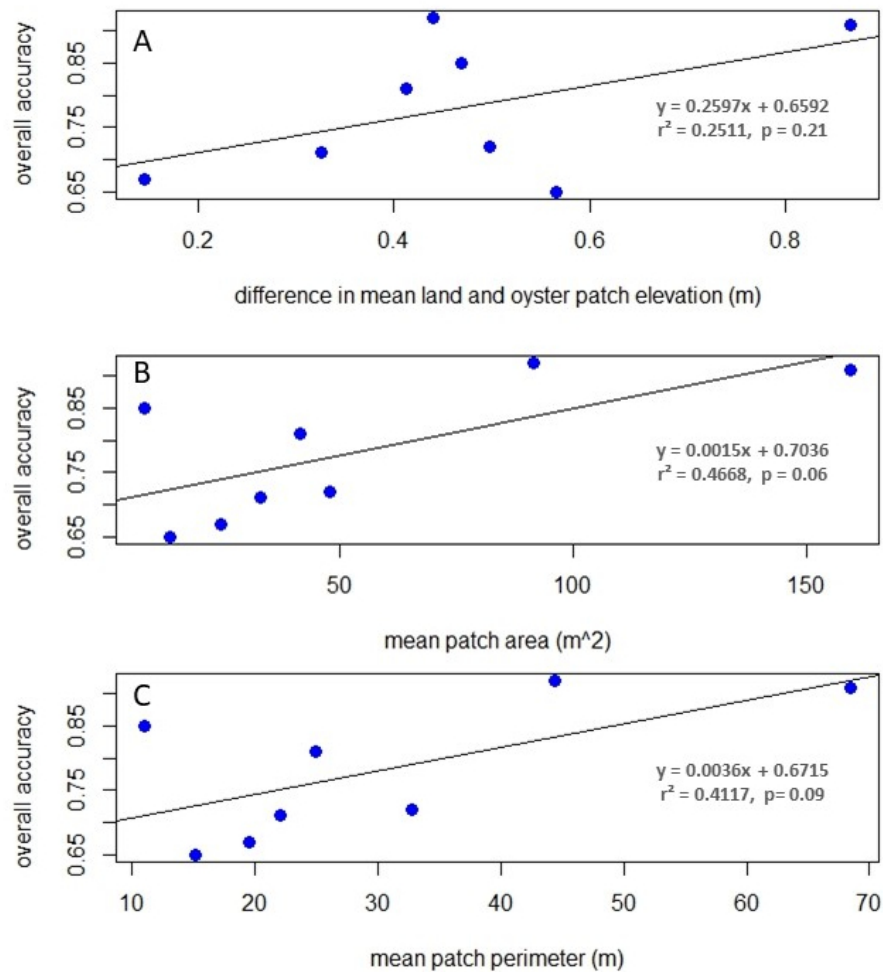


Figure 5. Linear regressions plotted to determine relationships between region accuracy and A) difference in mean surrounding land and oyster elevation, B) mean patch area, and C) mean patch perimeter.

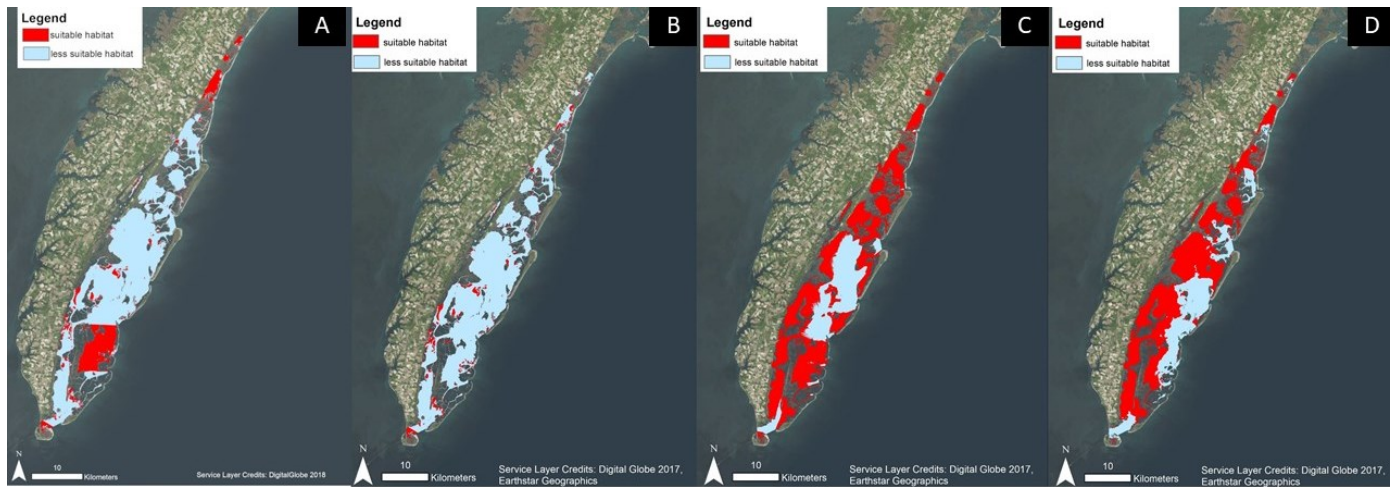
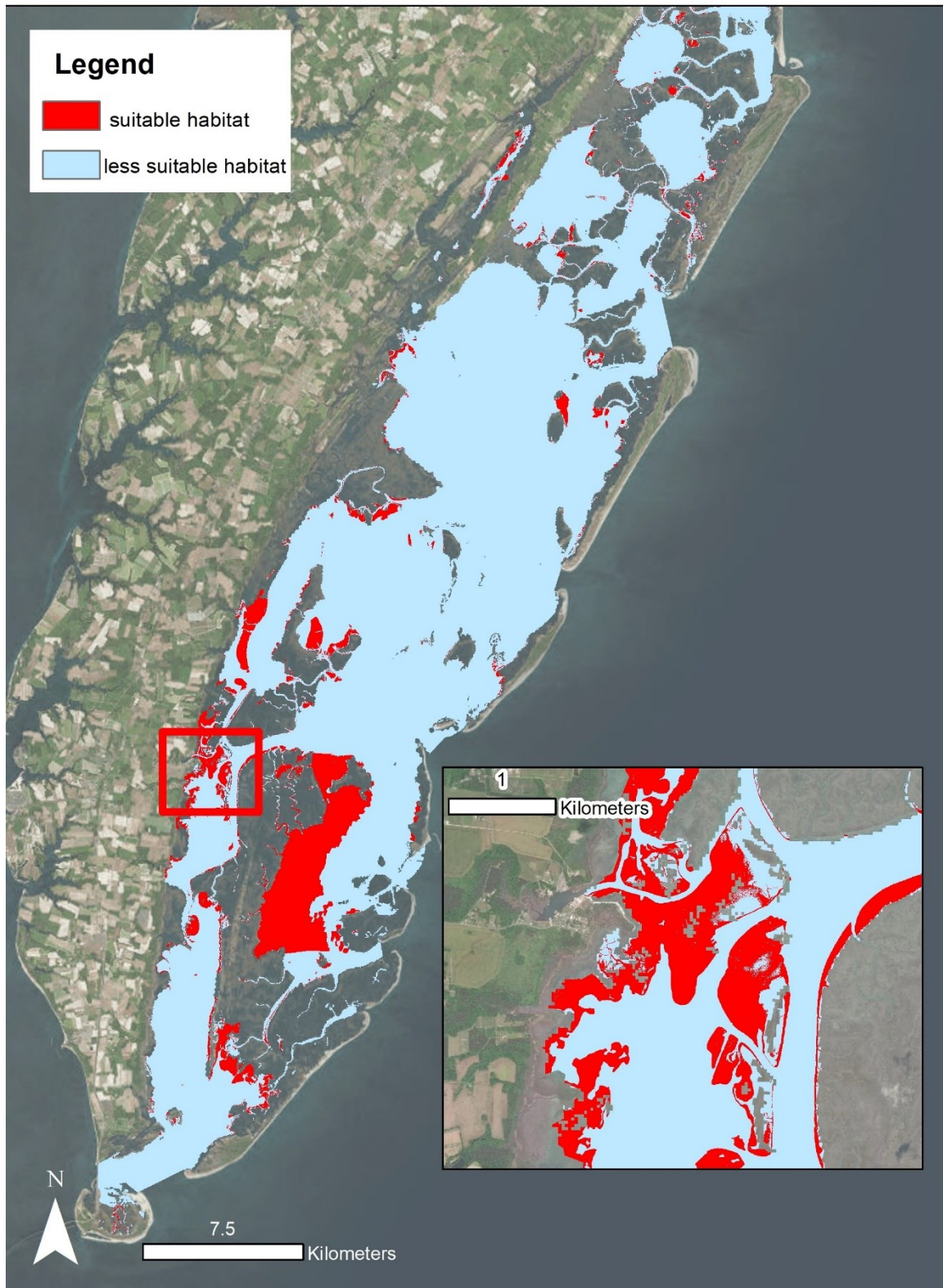


Figure 6. Areas of suitable (red) and less suitable (blue) A) elevation defined by land surrounding reefs, B) elevation defined by reef crests C) fetch, and D) water residence time for VCR. Service layer credits: DigitalGlobe 2017, Earthstar Geographics.



1
2 Figure 7. Area of suitable elevation (red) remaining after areas with less suitable elevation,
3 fetch, and water residence time were removed. Service layer credits: USA FSA 2016,
4 DigitalGlobe 2018.

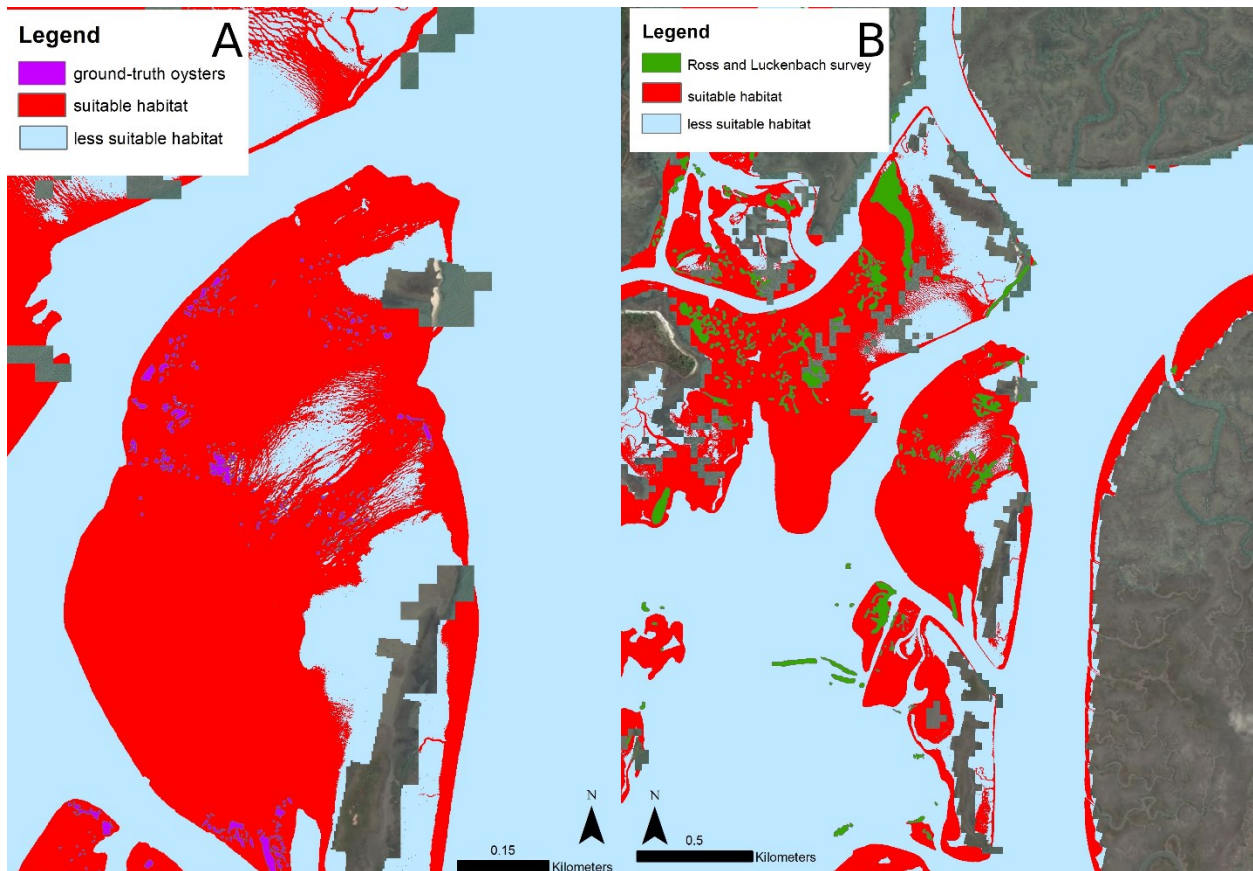


Figure 8. A) Ground-truth oysters (purple) and B) Ross and Luckenbach reef polygons layered on the suitable habitat map, where suitable land is seen in red and less suitable habitat in blue. Both ground-truth and surveyed reef polygons greatly overlap with suitable habitat. Service layer credits: DigitalGlobe 2018.