Direct Ink Writing of Polytetrafluoroethylene (PTFE) with Tunable Mechanical Properties

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Abstract

Polytetrafluoroethylene (PTFE) is a unique polymer with highly desirable properties such as resistance to chemical degradation, biocompatibility, hydrophobicity, anti-stiction, and low friction coefficient. However, due to its high melt viscosity, it is not possible to 3D print PTFE structures using nozzle-based extrusion. Here, we report a new and versatile strategy for 3D printing PTFE structures using direct ink writing (DIW). Our approach is based on a newly formulated PTFE nanoparticle ink and thermal treatment process. The ink was formulated by mixing an aqueous dispersion of surfactant stabilized PTFE nanoparticles with a binding gum to optimize its shear thinning properties required for DIW. We developed a multistage thermal treatment to fuse the PTFE nanoparticles, solidify the printed structures and remove the additives. We have extensively characterized the rheological and mechanical properties, and processing parameters of these structures using imaging, mechanical testing, and statistical design-of-experiments. Importantly, several of the mechanical and structural properties of the final printed PTFE structures resemble that of compression molded PTFE and additionally the mechanical properties are tunable. We anticipate that this versatile approach facilitates production of 3D-printed PTFE components with DIW with significant potential applications in engineering and medicine.

INTRODUCTION

Polytetrafluoroethylene (PTFE) is a revolutionary fluoropolymer with excellent properties such as high thermal, chemical and wear resistance, high anti-stiction properties, hydrophobicity and fracture toughness, and low coefficient of friction.^{1–3} Since its accidental discovery in 1938, PTFE has been widely used in many areas including household non-stick cookware, low friction ball bearings, pharmaceutical and biotechnology processing equipment, subcutaneous implants, and coaxial cables in aerospace applications.^{4–9} The annual worldwide PTFE production is approximately 200,000 tons and is expected to rise in the following decade.¹⁰

Despite the importance of this material, PTFE parts cannot be structured from its molten state due to its high melt viscosity.

11,12 Hence, most of the conventional manufacturing methods used for thermoplastic materials such as injection molding cannot be used for PTFE processing.

To overcome these major limitations, fabrication techniques based on compaction of PTFE powders followed by sintering, machining, and paste-extrusion are used to create PTFE parts.

13,14 However, these processes have high fabrication costs due to the need for custom tooling to manufacture parts such as dies and molds. These form-restrictive and slow processes directly impact design complexity, and certain designs are either impractical or not even possible to fabricate. Besides, the existing processes for PTFE also create large volumes of non-recyclable waste, and this adds to the high manufacturing costs of PTFE structures.

In the last decade, additive manufacturing (AM) has emerged as a revolutionary technology due to its ability to create complex and customizable shapes in a rapid manner. Widely used AM methods include fused filament fabrication (FFF),^{15–18} stereolithography (SLA),^{19–22} and direct ink writing (DIW)^{23–27} and they have been utilized in a variety of applications ranging from biomedical implants to soft robotics.^{28–31} While many polymers, can be used in AM approaches, and there have been limited reports of vat photopolymerization of

PTFE composite gels,^{32,33} the high melt viscosity of PTFE means that it is not possible to melt and extrude the material by nozzle based 3D printing approaches such as FFF.

Here, we describe the first demonstration of 3D printing of PTFE structures by a low temperature nozzle based DIW approach. Our innovation is based on a newly formulated shear thinning ink that combines an aqueous dispersion of surfactant stabilized PTFE nanoparticles with a binding additive. We show that the additives can be removed after printing using a multistage thermal treatment which also fuses the nanoparticles to obtain the final pure PTFE structures. We also demonstrate that PTFE parts with tunable mechanical properties can be achieved by tuning ink compositions and processing parameters. Our results suggest a versatile strategy to create complex PTFE structures using DIW which significantly enhances the design space and customization of PTFE structures of broad relevance.

MATERIALS AND METHODS

Materials

Gellan Gum (GG, G1910 GelzanTM CM) in powder form was obtained from Sigma Aldrich. An aqueous polytetrafluoroethylene dispersion (60 wt%) was obtained from Sigma Aldrich. The dispersion contained 220 nm diameter PTFE nanoparticles in water stabilized by the surfactant poly(oxy-1, 2-ethanediyl), α [3,5-dimethyl-1-(2-methylpropyl)hexyl]- ω -hydroxy. All materials were used as received without any modifications.

Ink preparation

The ink was prepared as follows. The aqueous surfactant stabilized PTFE dispersion was heated up to 50 °C and GG was added to the dispersion while mixing it with a magnetic stirrer (HI 190M, Hanna Instruments). The ink was then loaded into a planetary mixer (Mazerustar KK-250S, Kurabo Industry Ltd.) and was mixed at 3000 RPM for 90 seconds 2 times. Then, the ink was transferred to the cartridges and centrifuged at 1000 RPM for 60 seconds.

Ink Rheology

Rotational rheology measurements were performed on an Anton-Paar Instruments MCR-9 rheometer, using a plate-to-plate setup with a 1 mm gap. The temperature of the plate was kept at 23 °C. Ink viscosities were measured at shear rates ranging from 0.01 to 1000 s⁻¹. Oscillatory measurements of the storage and loss moduli were performed at a constant frequency of 1 Hz.

3D printing structures

Cartridges with inks were loaded into an air-driven 3D Printer (Inkredible⁺ 3D Bioprinter, Cellink). The structures were printed with an 18 G (0.8 mm) nozzle at pressure levels varying from 10 to 140 kPa. The structures were printed on a TeflonTM sheet to reduce sticking of the printed structures and removal from the substrate at room temperature.

Thermogravimetric analysis (TGA)

The thermal degradation characteristics of the inks were investigated with a thermogravimetric analyzer (TGA 8000TM, PerkinElmer). The samples were tested in a nitrogen environment.

Thermal treatment

The 3D-printed structures were removed from the Teflon substrate and placed onto a steel mesh to avoid any thermal stresses during treatment. Then, the structures were placed into a 1100 °C high-temperature box furnace (Model BF51700 Series, Lindberg/Blue) to facilitate the multistage thermal treatment shown in **Figure S3**.

Tensile testing

Computer-aided-design models for the microtensile test specimens were generated based on the ASTM D1708 (Standard test method for tensile properties of plastics by use of microtensile specimens). 3D-printed specimens were tested under quasistatic uniaxial loading with a tensile test machine (Instron E1000) with a 12 mm/min displacement rate. Force-displacement curves were recorded at 100 Hz with a 250 N load cell for all specimens.

Scanning Electron Microscope (SEM)

The SEM images were taken with the JEOL JSM IT100 scanning electron microscope, operated at 20 kV. The samples were sputter coated with a thin gold layer before imaging.

Fourier-transform infrared spectroscopy (FTIR)

Infrared spectra of the thermally treated PTFE samples were obtained using an FTIR spectrometer (Nicolet Nexus 670 FTIR).

Statistical analysis

A Taguchi design-of-experiments (DOE) analysis was used to quantify the effects of processing conditions. An L9 orthogonal array was utilized to study the parameter effects at three levels to include nonlinear effects. The Maximum thermal treatment temperature (T_{max}), cooling rate (CR) and gellan gum concentration (C_{GG}) were selected as DOE parameters while Young's modulus, yield strength, and water contact angle were chosen as the desired responses. All the statistical and Taguchi design-of-experiments analysis were carried out in JMP statistical analysis software (SAS Inc.). 95% confidence interval (p<0.05) was employed in all calculations.

RESULTS AND DISCUSSION

DIW is a 3D-printing technique that extrudes a paste-like ink through a nozzle and deposits the ink in a layer-by-layer manner using parameters that are directed by a computer-controlled system. One of the critical challenges in DIW is the need for inks that have shear-thinning characteristics, i.e. low viscosity during extrusion, but sufficiently high viscoelastic yield stress for shape retention after extrusion. Hence, the first hurdle that needed to be overcome was to create shear-thinning PTFE ink (**Figure 1a**). We combined an aqueous suspension of surfactant stabilized PTFE nanoparticles with a viscoelastic gum such that the resultant ink would exhibit shear-thinning properties while being able to retain its shape after extrusion. We evaluated different formulations of shear-thinning PTFE inks using a variety of commercially available natural gums including Gellan Gum (GG), Xanthan Gum and Agar. We

were particularly interested in formulations with the lowest gum concentration so that the resulting 3D-printed structures could be as close to pure PTFE as possible. We selected GG, a water-soluble anionic polysaccharide, due to its ability to form shear-thinning gels at lower concentrations compared to other gums considered.^{34–37} In our inks, GG also functions as a binding agent to carry the surfactant-stabilized PTFE nanoparticles during 3D printing while providing the required shear-thinning rheological properties. Further, we hypothesized that the additives such as the surfactant in the PTFE dispersion, GG, and water, in the 3D-printed structures could be removed with a thermal treatment while PTFE nanoparticles coalesced and fused to form the final structure (**Figure 1b**). Hence, we employed a multistage thermal treatment to solidify the structures printed with the PTFE inks developed. We discuss the details of the ink and the thermal treatment process below.

We first investigated the printability and viscoelastic properties of various ink compositions with PTFE nanoparticles and GG (See Note S1, Supporting Information). The printability of the inks was determined by printing test lines approximately 30 mm long while varying the extrusion pressure between 10 kPa and 140 kPa, and the GG weight concentration in the inks between 0.25% to 2.0%. We used a printing nozzle diameter of 0.8 mm in all these experiments. We observed that inks with GG concentrations between 0.5% and 1.5% were printable as these inks were able to retain their shape right after extrusion. Lines printed with inks with GG concentrations below 0.5% spread out and could not retain their shapes. In contrast, inks with GG concentrations above 1.5% showed high gelation and clogging of the nozzle, resulting in discontinuous printing (**Figure S1**). From this data, we complied a chart of feasible GG concentrations and pressure ranges for printing (**Figure 2a**). We also considered smaller nozzle diameters such as 0.4 mm to achieve higher resolution. However, smaller nozzle diameters resulted in extensive clogging for the pressure levels considered due to limitation of our relatively low-cost 3D printer. Hence, we employed a 0.8 mm diameter nozzle for all the

subsequent prints. We next characterized the viscosity and viscoelastic properties of the feasible ink compositions. **Figure 2b** shows the viscosity change of the inks as a function of the shear rate. All the PTFE inks with GG exhibited a shear-thinning behavior as seen by their decrease in viscosity with increasing shear rate. Further, inks with higher GG concentrations showed higher viscosity values across the range of shear rates.

We also characterized the oscillatory shear rheology of the inks to determine their viscoelastic properties (**Figure 2c**). This behavior is particularly critical in DIW since the inks need to have a high storage modulus (G') at low shear stresses such that they exhibit solid-like properties after printing and retain their printed shape.²⁵ In addition, the loss modulus (G') needs to be higher compared to G' at high shear stresses so that they show liquid-like properties during extrusion through the printing nozzle.^{38,39} We observed that all the ink compositions exhibited high G' values at lower shear stresses and high G'' at high shear stresses. These results suggest that all of these three ink formulations are printable.

With regard to the processing steps after printing, we noted that the non-PTFE additives in the inks - including water, surfactant, and GG - needed to be removed to obtain pure PTFE printed parts and thereby ensure the mechanical integrity of the final structures through the coalescence of the PTFE nanoparticles. We developed a process to remove these additives and simultaneously densify the printed structures by applying a thermal treatment similar to that used in conventional PTFE fabrication processes such as compaction molding (See Note S2). Even though the thermal processing conditions used in compaction molding for PTFE are well established, we needed to identify the effects of these processing parameters on the 3D-printed structures while simultaneously evaluating their impact on the mechanical properties. 12,40 We first investigated the thermal degradation of the materials used in the inks using thermogravimetric analysis (TGA) to ensure that we could remove the additives using a thermal treatment (Figure S2). We conducted TGA of the PTFE dispersion, pure GG, and the PTFE ink

with 1.5% GG concentration. Pure GG exhibited a large mass loss at approximately 250 °C. 41 Pure PTFE nanoparticles exhibited a mass loss around 550 °C which is due to the well-documented decomposition of PTFE to form carbonyl fluoride, hydrogen fluoride, and tetrafluoroethylene. 42,43 For the PTFE ink with 1.5% GG, we observed that there was an initial mass loss until 120 °C which we attributed to the evaporation of water followed by additional significant mass loss around 550 °C that was similar to the mass loss seen with pure PTFE nanoparticles. We attribute the absence of a peak corresponding to GG at about 250 °C to its very low concentration in the mixture.

We utilized a Taguchi design-of-experiments approach (DOE) to quantify the possible effects of the processing conditions on the mechanical and surface properties (See Note S3 for the application of Taguchi method). We considered three parameters: maximum temperature reached during thermal treatment (T_{max}), cooling rate (CR) and GG concentration (C_{GG}). T_{max} is an important parameter since both densification and fusion of the PTFE nanoparticles are highly dependent upon this temperature. We included CR as a parameter due to its documented effects on the crystallinity characteristics as PTFE solidifies from its molten state. We included C_{GG} as a parameter since the concentration can affect the microstructure of the 3D-printed structures leading to different mechanical properties. Each of the selected parameters were studied with three different values for the DOE study as shown in Table S1 (T_{max} : 340 °C, 380 °C and 420 °C; CR: 12 °C/hr, 60 °C/hr and 150 °C/hr; CGG: 0.5%, 1.0% and 1.5%).

We created a computer-aided model of a micro-tensile test specimen for quasistatic uniaxial tensile tests. The specimens were printed and exposed to specific thermal treatment profiles based on the L9 Taguchi array (**Figure 3a**, See Note S3 and Table S2). Each specimen was tested under quasistatic tensile loading with a universal tensile test machine to determine Young's modulus and yield strength. We also followed the same DOE procedure for the water

contact angle. We observed that all the tested specimens exhibited hydrophobic surfaces with contact angles larger than 120° (Figure S4a). The DOE results indicated the parameters studied did not have any significant influence on the contact angle (Figure S4). Figures 3b and 3c show the effects of the studied parameters and their relative contributions to Young's modulus, respectively. We observed that Young's modulus of the 3D-printed specimens decreased as C_{GG} increased (from level 1 to 3) and that this resulted in a negative effect. On the other hand, increasing CR and T_{max} had a positive effect on Young's modulus. C_{GG} was found to have the highest effect (87.3%) on Young's modulus followed by CR and T_{max} (Figure 3c). We found that C_{GG} also had the largest impact (92.3%) on the yield strength, such that increased concentrations reduced the yield strength of the 3D-printed specimens (Figures 3d and 3e). Moreover, we observed that the increased CR resulted in higher yield strength and an initial decrease followed by an increase in yield strength with increasing T_{max} . These results reveal potential routes for tuning mechanical properties of 3D-printed PTFE parts depending on applications.

We attribute the changes in the mechanical properties to the microstructure created during the thermal treatment. To confirm this rationale, we further investigated the large changes in the mechanical properties observed during the DOE study. We mainly focused on the lowest and highest Young's modulus cases determined from the DOE study (See Note S2 and Table S3 for the parameter levels used). We 3D printed, applied thermal treatment and freeze-fractured specimens for the two cases considered and imaged them using scanning electron microscopy (SEM, **Figure 4a**). We observed unique microstructures in both high and low Young's modulus specimens. The high modulus samples exhibited a uniformly distributed fibrillar microstructure. We attribute these distinct features to the fusion and coalescence of the PTFE nanoparticles during thermal treatment^{49,50} which was also confirmed by the SEM characterization of the thermally treated PTFE dispersion without any GG present (**Figure S5**).

In contrast, the low Young's modulus samples were heterogeneous with two distinct microstructures, one with fibrillar features similar to the high modulus samples but another highly porous region where the PTFE nanoparticles had not completely coalesced. We attribute the difference in the microstructure and low modulus values to the lower T_{max} (340 °C) and higher C_{GG} (1.5 %) used in the low Young's modulus samples resulting in high porosity compared to the high modulus samples.

We further investigated whether the GG was completely removed from the thermally treated samples for both low and high modulus printed structures, by using Fourier transform infrared (FTIR) spectroscopy (Figure S6). Both low and high modulus printed structures that were thermally treated had spectra similar to the control PTFE samples, and no OH peaks (~3200 cm⁻¹) from GG were observed. Although GG residuals cannot entirely be ruled out based on the thermal degradation profile of GG (Figure S2), this result suggests that the concentration is negligible and not detectible by FTIR. The FTIR spectra also indicates that the chemical composition of the printed PTFE constructs was similar to that of the PTFE nanoparticles. Additionally, we compared the mechanical response of both high and low moduli 3D-printed PTFE micro-tensile specimens to published data on molded PTFE (Figure 4b).³ High modulus specimens exhibited similar moduli to those of molded PTFE. On the other hand, low modulus specimens showed a more compliant response compared to the molded and high modulus PTFE specimens. We attribute the lower modulus in the low Young's modulus specimens to their unique porous microstructure. In addition, we observed that the ultimate tensile strength (UTS) of the high modulus specimens were comparable to reference PTFE while low modulus specimens showed lower UTS values (Figure S7). We also observed that the failure strains were higher for low modulus specimens compared to PTFE which may be desirable in high strain applications. Finally, we verified the chemical inertness of the 3D-printed PTFE structures under acidic and basic conditions by submerging them in concentrated hydrochloric

acid and sodium hydroxide at different temperatures for a week. We observed that the 3D-printed PTFE structures showed a negligible mass loss (less than 3.0%) under these extreme conditions as shown in **Figure 4c**.

One of the attractive features of the proposed AM process is the ability to create customizable complex PTFE parts. We designed a variety of 2D and 3D structures. The material composition and processing conditions for the high modulus case, as determined by the DOE study, were employed to 3D print these structures. **Figure 5a** is a 3D-printed PTFE honeycomb as a demonstration for structural application and illustrates the hydrophobic nature of the material as evidenced by the water droplet on its surface. We attribute this reduction to the removal of the water, surfactant, and GG as well as densification of the PTFE nanoparticles during thermal treatment. **Figure 5b** is a cylindrical tube with a diameter of 11 mm and length of 10 mm as a demonstration for fluidic applications. More complex and biomimetic structures included a propeller prototype containing twisting inner blades, and a bicuspid aortic valve (**Figures 5c and d**); it would be extremely challenging to make such convoluted shapes using conventional PTFE molding approaches. Additionally, the shape, size, and mechanical properties of such structures can be customized and tuned, all in the context of the other advantages of DIW processing.

CONCLUSIONS

In summary, we have described a new and versatile fabrication process for 3D printing PTFE parts using DIW. The fabrication method is enabled through the development of an innovative shear thinning ink combining an aqueous dispersion of surfactant stabilized PTFE nanoparticles and GG. Further, an appropriate thermal treatment process was identified such that surfactant and gum additives in the ink could be removed and mechanical and chemical properties similar to pure PTFE could be obtained. We have also explored the effects of the processing on the mechanical response of the 3D-printed PTFE and demonstrated possible

routes to tune the material properties which allow us to customize both geometry and mechanical properties depending on applications. From a practical perspective, the additive fabrication method enables a larger design space for PTFE while utilizing its unique properties such as hydrophobicity, chemical resistivity, and biocompatibility. We anticipate that our method for 3D printing PTFE with DIW will open a broad range of opportunities for PTFE applications and design customization due to low cost, low waste, scalability and complexity that far exceed the scope of conventional methods.

ASSOCIATED CONTENT

Supporting Information

Detailed methods for 3D printing and thermal treatment. Details of design of experiments, scanning electron microscopy, Fourier-transform infrared spectroscopy and mechanical characterizations. This material is available free of charge via Internet at http://pubs.acs.org.

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Z. J., O.E. and D.H.G. conceived the research design. D. H. G. and S. H. K. supervised the experiments L. H. R. and N. H. provided input and suggestions. Z.J., O.E., D.C. and W.X. conducted the experiments. O.E. and D.H.G. wrote the manuscript with input and edits from all authors. Z.J., O.E. and D.C. contributed equally to this work.

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Notes

A patent application has been filed.

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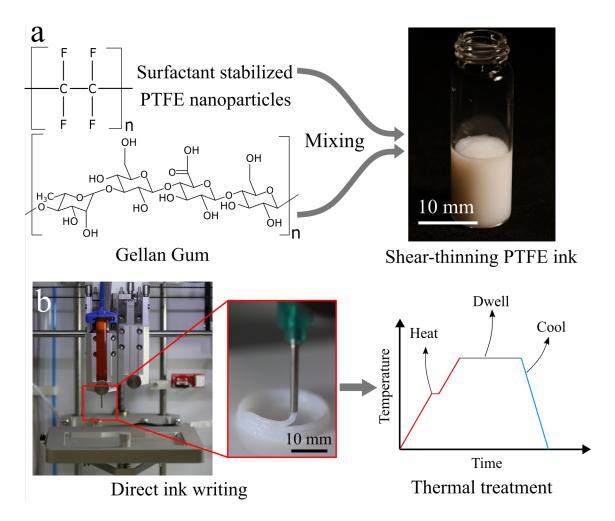


Figure 1. Schematics of molecular structure and processes developed to 3D print PTFE structures. **(a)** Schematic showing the molecular structure of the surfactant stabilized PTFE nanoparticles in an aqueous dispersion and GG, and the process used to make the shear-thinning ink for DIW along with the microstructure and a photograph of the ink. **(b)** Schematic showing the two-step fabrication process that combines DIW and thermal treatment that was used to 3D print PTFE structures.

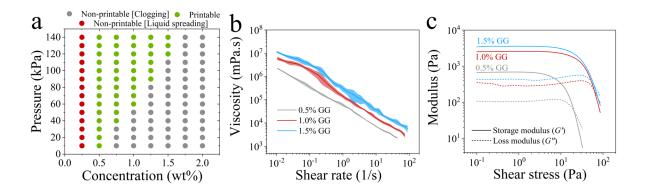


Figure 2. Characterization of the rheological and viscoelastic properties of the shear-thinning PTFE inks. (a) Graph showing printable ranges of the inks as a function of GG concentration (C_{GG}) and extrusion pressure. (b) Graph showing the viscosity of the PTFE inks as a function of shear rate. (c) Plot of the experimental averages of storage and loss modulus of the PTFE inks as a function of shear stress.

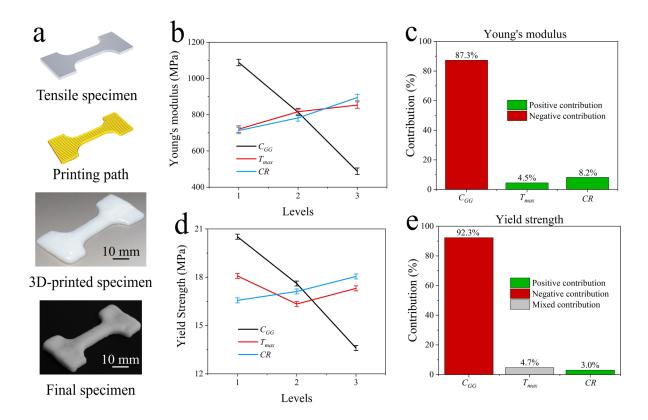


Figure 3. Investigation of the influence of the process parameters: maximum temperature reached (T_{max}) ; cooling rate (CR); and GG concentration (C_{GG}) ; on the tunable mechanical properties. (a) 3D-printed microtensile test specimens used in tensile tests. (b & c) Parameter effects and their relative contributions to Young's modulus. (d & e) Relative contributions of parameter effects to yield strength.

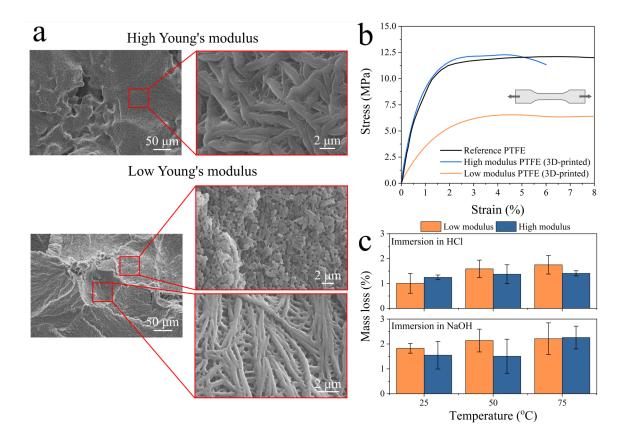


Figure 4. Characterization of the microstructure, modulus and chemical inertness of the 3D-printed structures. **(a)** Progressively zoomed-in SEM images indicating the microstructure of the low and high modulus samples determined from the DOE study. **(b)** Average stress-strain relationships measured using the 3D-printed PTFE and reference PTFE specimens. **(c)** Bar graph showing the mass percent loss for 3D-printed PTFE parts after immersion in hydrochloric acid (1M) and sodium hydroxide (3M) at three different temperatures for one week.

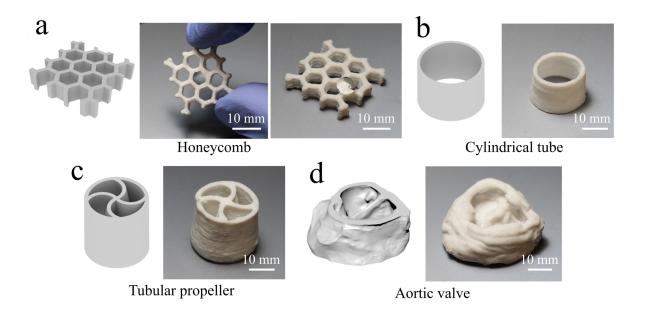


Figure 5. Examples of 3D renderings and printed structures. **(a)** Rendering and photograph of a 3D-printed honeycomb PTFE structure (left) and with a droplet of water (right) pinned on its surface illustrating its hydrophobic nature. 3D Rendering and photograph of 3D-printed **(b)** high-aspect ratio tube **(c)** tubular propeller, and **(d)** a bicuspid aortic valve, respectively. All scale bars are 10 mm.

TOC Figure

