

Review

Building Brain-Inspired Logic Circuits from Dynamically Switchable Transition-Metal Oxides

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Processing, storing, and transmitting information accounts for ~10% of global energy use; projections suggest that computational energy demands will be 10x higher than the projected global energy supply by 2040. Realizing solid-state analogs of neural circuitry, using ‘neuromorphic’ materials, holds promise for enabling a new energy-efficient computing paradigm. The metal–insulator transitions (MITs) of electron-correlated transition-metal oxides provide an attractive vector for achieving large conductance switching with minimal energy dissipation. Here, we review current understanding of the mechanisms underpinning electronic instabilities, discuss methods for modulation of spiking behavior through tuning of atomistic and electronic structure, and highlight the need for establishing deterministic and independent control of transformation characteristics such as switching magnitude, energy thresholds, heat dissipation, hysteresis, and dynamics of relaxation.

Brain-like Computing with Transition-Metal Oxides

The primary driver of the electronics revolution of the past 40 years, the exponential improvement in silicon integrated circuits with time (known as Moore’s scaling), has saturated. This has occurred just as the era of ‘big data’ has arrived. We are now collecting more data than we can transmit, store, and analyze. Projections suggest that the demand for computation would be 10x higher than the projected global energy supply can support by 2040 [1]. Without exponential increases in computing power and efficiency, transformative visions such as the Internet of Things, autonomous transportation, and personalized medicine will be throttled by the inability of current computing technologies to handle the magnitude and complexity of human- and machine-generated data. The energy inefficiency of existing computing architectures derives from the fundamental constraints imposed by the physics of thermionic excitation of charge carriers across semiconductor conduction channels (Figure 1A) [2–4]. This translates to power demand and accompanying heat dissipation that no longer decrease with reduction in the size of active elements; in the modern ‘age of dark silicon’, a significant fraction of the chip must be left inoperative as a result of insurmountable power constraints [3].

The human brain processes complex information at least 10^4 times more efficiently than digital computing on silicon [5,6]. Neurons combine information received across synaptic connections with many other dendrites and encode output information through specifically timed trans-synaptic pulses, called ‘action potentials.’ Figure 1B shows the famous measurement by Hodgkin and Huxley across a giant squid axon [7]. Specific spike timings further enable the retention of multiple internal states (constituting memory), imbuing the ability to ‘learn’ through the evolution of internal neuronal weights [8]. Thus, unlike currently utilized **von Neumann architectures** (see **Glossary**), computing and memory functions are colocated in the human brain, enabling improved energy efficiency and reducing the need to shuttle data.

Highlights

The metal–insulator transitions of electron-correlated transition-metal oxides provide a remarkably sensitive and versatile vector for achieving large conductance switching with minimal energy dissipation. Such materials have been amenable to being fashioned into neuroemulative circuits.

Capturing the complexity of neurons requires independent control over multiple transformation characteristics: the magnitude and energy threshold of conductance switching, heat dissipation, switching time, relaxation dynamics, and number of accessible internal states.

RENI₃ and M_xV₂O₅ represent promising materials with expansive chemical design spaces and the potential for independent control of transformation characteristics.

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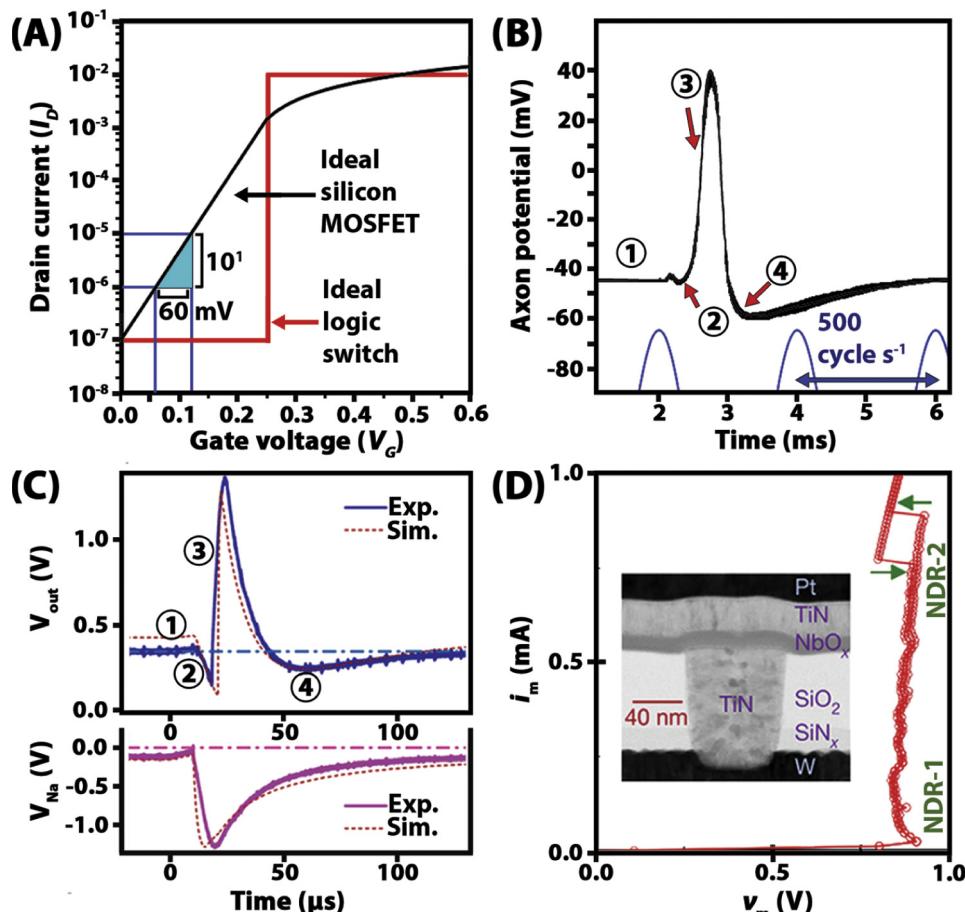


Figure 1. Contrasting Biological and Electronic Circuits. (A) Switching characteristics of workhorse silicon-based metal-oxide semiconductor field-effect transistors (MOSFETs) are shown in black; the Fermi-Dirac electron distribution of electron energies at room temperature limits the steepness of switching characteristics to 60 mV (V_G) per decade increase in current (I_D) across the transistor channel. Such characteristics embody the inefficiencies of digital computing [4]. An ideal logic switch with a much sharper slope is shown in red. (B) Action potential of a giant squid axon as originally recorded by Huxley and Hodgkin ('digitally remastered' using graph-digitizer software). Four distinct regions of the action potential are highlighted, comprising: (1) resting potential; (2) sodium-channel-driven hyperpolarization; (3) potassium-channel-driven depolarization; and (4) a refractory recovery period. (C) Neuromorphic action potential generated using a vanadium dioxide (VO_2) memristor maps to the biological action potential in (B) with high fidelity. This neuromorphic function is underpinned by the metal-insulator transition (MIT) of VO_2 and derives from the negative differential resistance (NDR) of the device. (D) Demonstration of NDR in a niobium dioxide (NbO_2) device. (B,C,D) adapted from [6,7,14], respectively.

Emulating Neuronal Circuitry Using Physical Devices

Neuromorphic computing aims to design logic circuitry that emulates neuronal logic and memory function. The possibility of emulating neuronal function using theorized circuit elements named '**neuristors**' was first proposed in 1962, but full realization of such a notion necessitates switching of the electrical conductance of solid-state compounds across orders of magnitude in an energy-efficient manner [9]. Initial approaches to neuromorphic computing utilized standard digital processors but were wasteful in terms of silicon area and power dissipation. Consequently, the next advance was to design new silicon-based chip architectures such as **complementary metal-oxide semiconductors (CMOSs)** explicitly for neural network applications [10,11],

Glossary

Complementary metal-oxide semiconductor (CMOS): a type of integrated circuit in which p- and n-channel MOSFET transistors are fabricated in close proximity on the same silicon substrate.

Hubbard 'U': the on-site Coulombic interaction between localized electrons; alternatively defined as the energy differential between the occupied (lower) and unoccupied (upper) Hubbard band.

Leaky integrate-and-fire (LIF) neuron: a model that attempts to account for neuronal dynamics,

comprising: (i) a linear differential equation to account for dynamical summation/integration of the membrane potential over time; and (ii) a well-defined threshold, which, when crossed, triggers a firing event.

Memristor: a nonlinear circuit element whose internal electrical resistance captures not just the present state of the system but also its history.

Mott-Hubbard bands: strong electron correlation results in splitting of half-filled bands and concomitant destabilization of upper unoccupied states (UH band) and stabilization of lower occupied states (LH band).

Mott transition: an abrupt electronic transition between a metallic and a nonmetallic state whereby electrons become localized in specific bands, resulting in diminished conductivity; the Mott transition marks a tipping point between the kinetic energy gained by delocalizing an electron across a band and the potential energy penalty extracted by the Coulombic repulsion between electrons.

Negative-charge-transfer insulator:

a class of charge-transfer insulators wherein the effective charge-transfer energy is less than 0 ($\Delta_{\text{eff}} < 0$) due to overlap of occupied ligand p states and unoccupied transition metal d states. The overlap of these states often leads to hybridization and the formation of a p/d-p/d pseudogap.

Negative differential resistance (NDR):

across some regions of a current-voltage curve, current decreases as voltage increases (i.e., $dV/dI < 0$); NDR can be either voltage controlled ('N'-type) or current controlled ('S'-type) as is the case for NbO_2 in Figure 1D.

Neuristor: a device first proposed by Hewitt Crane in the 1960s that represents the simplest possible

seeking to mimic the **leaky integrate-and-fire (LIF)** behavior of neurons [12,13]. Nevertheless, these attempts have failed to approach the energy efficiency of the human brain and are constrained by the thermodynamic limitations of free-carrier-generation mechanisms in electrostatically modulated semiconductors (Figure 1A). Another key limitation of neuronal architectures emulated on conventional chips is their inability to mimic the chaotic current dynamics observed in biological counterparts, which are key to the success of the latter in pattern recognition [6,14,15].

A Better Way to Emulate Neurons in the Solid State

For the abovementioned reasons, there is a clear need to design solid-state compounds that exhibit sharp spiking behavior characteristic of neurons; however, the palette of functional materials that exhibit intrinsic switchability of conductance to perform ‘brain-like’ computing tasks is sparse [16]. Emulating the complexity and diversity of neuronal characteristics requires independent control of multiple functional attributes of electronic phase transformations, including the magnitude and energy threshold of conductance switching, heat dissipation, hysteresis, the dynamics of relaxation between states, and the number of accessible internal states [6,17–25]. Recent demonstrations illustrate that electronic transitions within strongly correlated materials can be leveraged to emulate biological neurons (Figure 1C) [6,14,20]. These neuroemulative capabilities are underpinned by the tendency of such materials to manifest solid–solid metal–insulator transitions (MITs) in response to specific external stimuli such as temperature (T_C) or applied electric field. As exemplified in Figure 1D for niobium dioxide (NbO_2), MITs represent an energy-efficient class of ‘memristive’ behavior, where the resistance value at a given point in time captures both the present state of the system and its history, reflecting the capacity to evolve and learn [6,24,26]. Despite these recent successes [6,14,20], independent control of transformation characteristics remains to be established [17,27]. For instance, NbO_2 has too high a transition temperature (~ 1080 K) and thus consumes too much power [14,15,26], whereas vanadium dioxide (VO_2) has a transition temperature that is too low (~ 340 K) given the ~ 400 -K operating temperatures of modern computing architectures [6]. Here, four classes of MIT materials are discussed: VO_2 ; NbO_2 ; rare-earth nickelates (RENiO_3); and ternary vanadium oxides ($\text{M}_x\text{V}_2\text{O}_5$) [6,14,16,20]. In particular, we focus on exploring means of *a priori* design of free-energy landscapes (e.g., the landscape depicted in Figure 3D) to enable switching function depicted for the materials included in Figure 2D, Key Figure [28,29].

Unstable by Design: Electronic Transitions in Correlated Oxides

In strongly electron-correlated materials, electrons can no longer be considered as noninteracting, giving rise to complex many-body phenomena manifested as close coupling of structural, electronic, and spin degrees of freedom [16,30–36]. This often gives rise to materials that have localized charge carriers in the ground state but are at the cusp of transitioning to an itinerant state following: (i) structural transformations that alter the chemical bonding and degree of hybridization; or (ii) an increase in charge carrier density (e.g., through the application of an electric field, through thermal broadening of occupied Hubbard bands) such that electron–electron repulsions are screened. In contrast to systems where long-range ion diffusion or filament formation/dissolution underpins neuromorphic function [17,37], correlated oxides can exhibit relatively subtle structural transformations, resulting in intrinsically lower entropy production and thereby greater energy efficiency. The relative contributions of lattice distortions and electron correlation within such systems determine the energetic costs of transformations and govern the accessible dynamics. Electronic transitions within such compounds can be considered to derive from a convolution of structural (Peierls) and electronic (Mott) origins (Figure 2). In the Peierls case (Figure 2A), the equivalent of a dimerization gives rise to the improved overlap of electronic states across adjacent metal centers [38,39]. In the case of Mott insulators (Figure 2B), Coulombic repulsion

configuration capable of capturing the quintessential properties of a neuron, including integration of input signals and subsequent spiking above a ‘learned’ threshold.

Peierls’ transition: a type of structural transition deriving from Peierls’ theorem, which reasons that a 1D chain of equally spaced ions with one free valence electron each is unstable; as a consequence, the ions form dimers to lower the total energy of the system.

von Neumann architecture: the workhorse of modern computing comprising spatially separated central processing, memory, and input/output elements.

Key Figure

A Mechanistic View of Electronic Instabilities

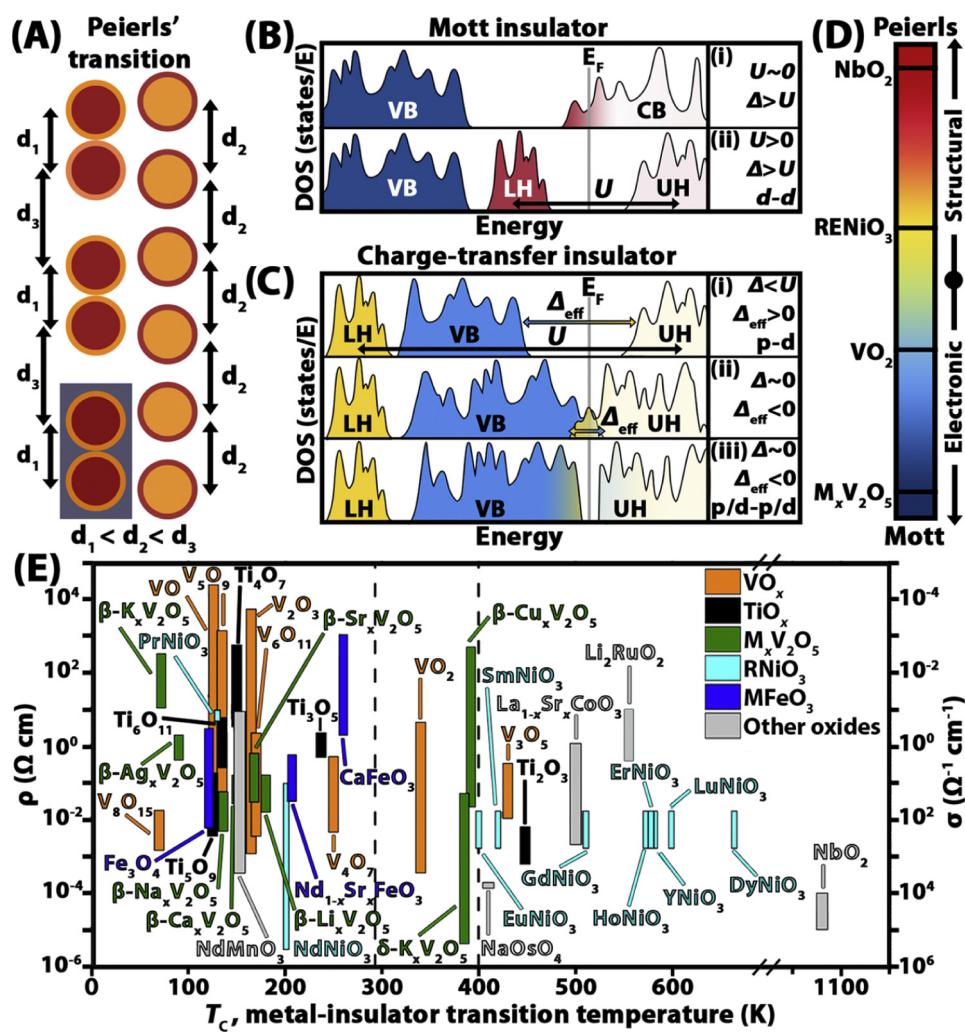


Figure 2. Metal-insulator transitions (MITs) can be conceptualized to arise from a weighted coupling of structural (i.e., Peierls) and electronic (i.e., Mott) instabilities. (A) Schematic representation of a **Peierls' transition** driven by dimerization of adjacent metal centers. (B) An example of a Mott-type transition where electron correlation (U) gives rise to upper (UH) and lower (LH) Hubbard bands. (C) An example of an electronic transition wherein increased transition metal 3d-O 2p hybridization at high temperature results in the closing of a p/d-p/d quasigap, as reported for rare-earth nickelates [41]. Panel (ii) provides a notional illustration of negative charge transfer before correlation drives opening of the p/d-p/d quasigap. (D) Representation of the Mott-to-Peierls spectrum that describes the mechanistic origin of MITs in the materials discussed here. (E) Graphical representation of the MIT behavior of several correlated oxide materials; the horizontal axis shows MIT temperatures (T_c , metal-insulator transition temperature (K)) and the vertical axes show reported changes in resistivity and conductance. The height of each floating bar corresponds to the change in resistivity/conductivity between the metallic (bottom) and insulating (top) phases. Note that the change in resistivity for RENiO_3 materials to the right of samarium nickelate (SmNiO_3) is held constant since, in the absence of high-quality crystals, the achievable magnitudes of conductance switching remain to be determined [30]. Vertical lines at room temperature (298 K) and at the typical operating temperature of a computing unit (~400 K) are shown. A break in the x-axis (650–1050 K) is further included in light of the sparse examples in this range. Data shown in (E) collated from [21–23,25,42,45–53,111].

between electrons causes splitting of a half- or partially filled band into an upper Hubbard (UH) and a lower Hubbard (LH) band separated by the **Hubbard 'U'**, defining an energy gap proportional to the on-site electrostatic repulsion and thereby lowering the overall energy of the system [16]. For Mott–Hubbard insulators, when the width of the Hubbard bands is less than U (as is the case in the ground state), insulating behavior is observed. Alternatively, when the width is greater than U , metallic behavior is observed. In purely electronic transitions, broadening of Hubbard bands can be affected through thermal broadening of the bandwidth [21,23] or the introduction of additional charge carriers that screen repulsive interactions [40]. Mott insulators are distinguished from charge-transfer insulators by the nature of their bandgap. In charge-transfer insulators, the LH band is situated below the ligand p-band ($U > \Delta$, the crystal field splitting) and the effective bandgap (charge-transfer energy, Δ_{eff}) is between the upper edge of the ligand p band and the lower edge of the unoccupied metal d band (for $\Delta_{\text{eff}} > 0$) or between correlation-split p/d hybridized states (for $\Delta_{\text{eff}} < 0$) (Figure 2C) [41,42]. Closing of the p–d or p/d–p/d gaps induced through alteration of bandwidths or filling of the bands and concomitant shifting of the Fermi level provides a means of inducing a MIT.

Although MITs have been evaluated in transition-metal chalcogenides, these materials tend to rely on electric field pulses and show degradation under field stress [12,43,44]. Their quasi-2D electronic structure nevertheless holds potential for establishing deterministic control of conduction pathways through surface functionalization. In this review, we focus on transition-metal oxides, which offer improved robustness and better compatibility with foundry processes, in addition to an extensive phase space where the extent of hybridization (bandwidths, overlap integrals) can be readily tuned [16,21–23,25,42,45–53]. The four materials classes discussed here have been approximately positioned along a ‘Mott–Peierls spectrum’ in Figure 2D, albeit that their specific positioning along this spectrum is not without controversy. This illustration highlights increasing realization of the importance of coupling between ionics, subtle structural distortions, and electron correlation in driving MITs. The massive phase space occupied by strongly correlated oxide materials is reflected in Figure 2E, which relays the critical transition temperatures (T_C) and the changes in resistivity of representative oxide materials. Many materials exhibit $T_C < 200$ K, a sparse few near-room temperature, and still fewer above the 400-K threshold imperative for computing. The lack of materials with $T_C > 400$ K underscores the need for mechanistic understanding and design of correlated systems. The four classes discussed here (Figure 2D) have been selected from among those shown in Figure 2E for the diversity of their MIT mechanisms, for recent demonstrations of their use as memory elements for brain-like computing, and for exhibiting compositional tunability of switching characteristics.

The Binary Oxides of Vanadium and Niobium

VO_2 and NbO_2 represent model MIT materials well separated along the Mott–Peierls spectrum with respect to the MIT mechanism (Figure 2D) and furthermore exhibit vastly different transition temperatures (Figure 2E) [54]. The structural instability underpinning the MIT in VO_2 spans a complex phase space (Figure 3D) [19,28,55,56]. Around $T_C = 340$ K, VO_2 undergoes a first-order diffusionless phase transformation from a high-symmetry rutile-type phase (>340 K) to one of several stable (M_1) or metastable (M_2/M_3) low-symmetry phases (<340 K), as shown in Figure 3A. The high-temperature rutile phase exhibits a singular V–V bond distance (2.851 Å), which is distinguished from the low-temperature phase that is characterized by V–V dimers, with alternating long (3.125 Å)–short (2.654 Å) bonds (M_1) or alternating dimerized/undimerized V–V chains (M_2/M_3), as shown in Figure 3D [57].

The degree of coupling between the electronic **Mott transition** and the structural transition (i.e., positioning on the Mott–Peierls spectrum) has been the subject of much controversy.

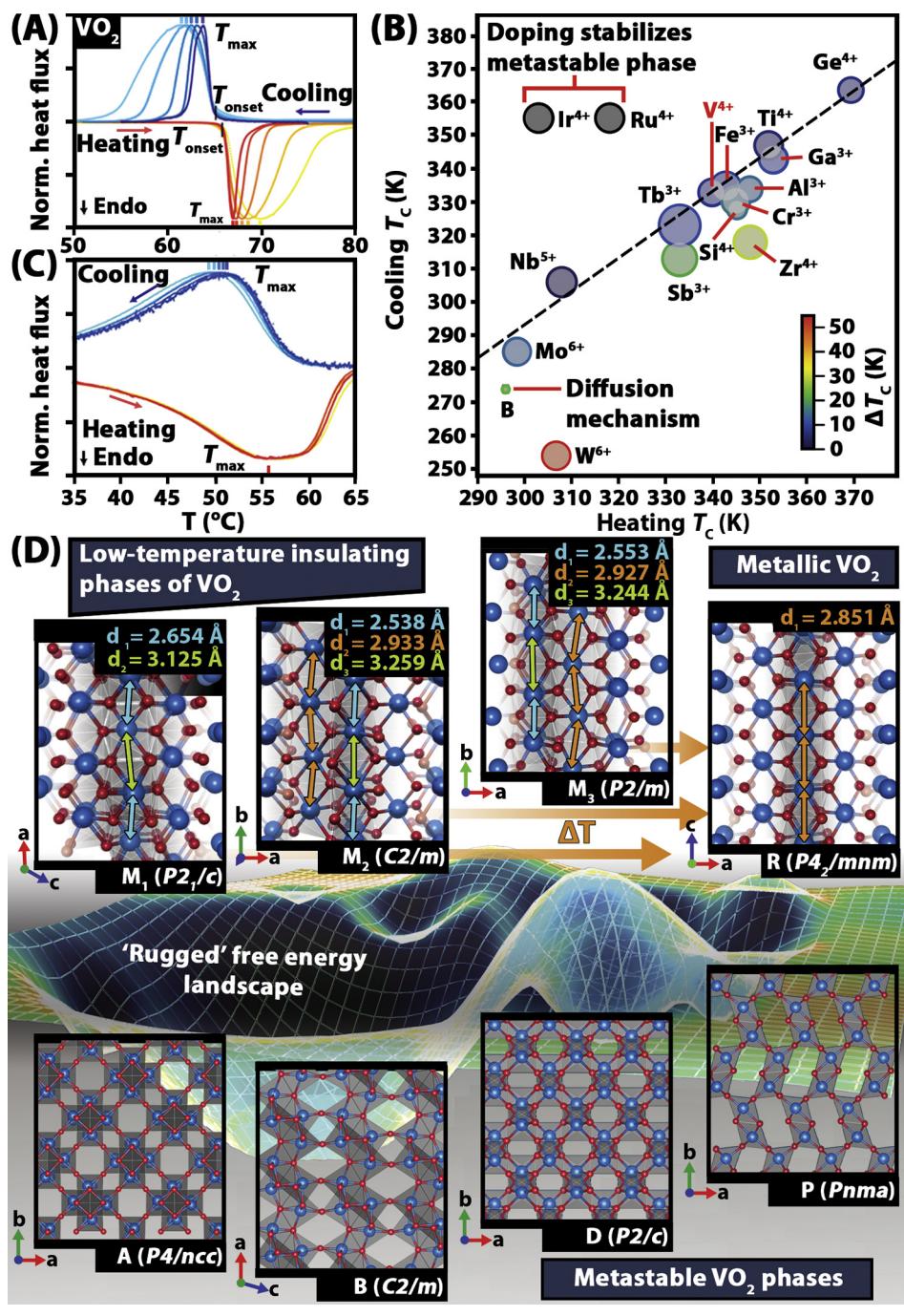


Figure 3. Metal-Insulator Transitions (MITs) in Vanadium Dioxide (VO_2). (A) Thermally induced MIT of VO_2 nanowires as measured by differential scanning calorimetry (DSC). The hysteresis becomes more pronounced with increasing ramp rate. (B) VO_2 can be doped with homovalent (e.g., Ti^{4+}) or aliovalent (e.g., W^{6+}) cations to alter the heating and cooling critical temperature (T_c) of the MIT. Reports of T_c modulation on the incorporation of various dopants is summarized [19,56,61–67,70]. Effort was made to obtain data only from DSC (powders) or electrical resistivity measurements (thin films) and they were limited to studies providing diffraction data verifying retention of the M_1/M_2 - (insulating) to-R (metallic)

(Figure legend continued at the bottom of the next page.)

Density functional theory (DFT) + dynamical mean-field theory (DMFT) calculations show that the MIT is impacted by correlation-driven effects that dictate intradimer exchange [39,58]. A recent neutron-scattering study provides a more quantitative accounting and suggests that phonons account for approximately two-thirds of the total increase in entropy at the MIT [59].

Approaches to Modifying the MIT Threshold in VO_2

Two aspects of VO_2 are particularly relevant to its use as a **memristor** in neuromorphic computing applications: the transition temperature, T_C , and the thermal hysteresis width ($\Delta T_C = T_{\text{Cooling}} - T_{\text{Heating}}$). Deterministic control over ΔT_C is particularly important since a smaller hysteresis is required to minimize energy dissipation and achieve fast dynamics, whereas a large hysteresis could enable nonvolatile on/off states [19,60]. Importantly, ΔT_C in VO_2 is critically dependent on both intrinsic (doping, point defect concentration, and grain size; Figure 3B) [19,56,61–67] and extrinsic (strain) considerations [68,69].

The influence of incorporating a range of dopants in VO_2 at vanadium sites, at oxygen sites, or in tetrahedral holes has been investigated (Figure 3B) [19,56,61–67,70]. The inclusion of dopant atoms modifies the ‘rugged’ free-energy landscape of VO_2 (Figure 3D) in terms of relative phase stabilities and transformation barriers thereby nontrivially altering the coupling of lattice/electronic/spin degrees of freedom. As an example, tungsten strongly depresses the MIT and broadens the hysteresis [19,67,71]. In undoped VO_2 , the thermal hysteresis is rate dependent and symmetric for both monoclinic \rightarrow rutile and rutile \rightarrow monoclinic transitions since the transitions appear to be nucleated at point defects (Figure 3A). On tungsten doping, VO_2 exhibits an asymmetric modulation of transition temperatures and dynamics (Figure 3C) [19,71]. Doping with tungsten [72] induces anisotropic lattice expansion and a local increase in symmetry around the dopant site [73], stabilizing M_2 domains (Figure 3D). The insulator \rightarrow metal transition in W-doped VO_2 is therefore facile, being nucleated at twin planes and M_1/M_2 stacking faults (wherein transformation dislocations can readily be stabilized) [29], whereas the reverse MIT originates at point defects such as oxygen vacancies that are suppressed on aliovalent tungsten doping. Tungsten doping thus functions similarly to tensile strain by enabling facile nucleation of rutile domains during the monoclinic \rightarrow rutile transformation but renders the reverse rutile \rightarrow monoclinic transformation more difficult, thereby engendering anisotropic hysteresis [72].

Alternatively, homovalent titanium doping raises the transition temperature and diminishes hysteresis width [74], which has been ascribed to the role of the dopant atoms in modifying the local lattice symmetry to mitigate lattice mismatch between the monoclinic and tetragonal polymorphs [63]. Germanium doping strongly increases the transition temperature, almost to 400 K (Figure 3B), which has been rationalized based on chemical pressure arguments, albeit that the local structure around the dopant Ge atom and its impact on the electronic structure of VO_2 remains to be elucidated [62]. Doping at the oxygen sites with fluorine or sulfur atoms is less explored and while modestly altering T_C appears to degrade the magnitude of the transition [75]. An intriguing report illustrates nearly complete elimination of hysteresis on simultaneous incorporation of Cr^{3+} and Nb^{5+} in VO_2 [64]. In still other examples, dopants (e.g., H^+ , Ir^{4+} , Ru^{4+}) [56,64,76]

transition. The broken line acts as a reference indicating symmetric change in the heating/cooling hysteresis (ΔT_C) relative to undoped VO_2 . The size and color of individual data points correspond to the crystal radius and the magnitude of hysteresis for each dopant, respectively. T_C values have been selected based on the maximum solubility reported for a given dopant. Several dopants (H^+ , Ir^{4+} , Ru^{4+}) stabilize insulating metastable polymorphs that do not transition to the metallic rutile phase [56,76]. (C) The intrinsic MIT properties of VO_2 can be modulated through dopant incorporation, which alters the relative thermodynamic stabilities and electronic structure of the insulating M_1 and metallic R phases. Tungsten doping has been shown to significantly lower the T_C of VO_2 and alter the hysteresis width. (D) Structural relationships between the various reported polymorphs of VO_2 are shown. (A,C) adapted from [19]. Data in (B) collated from [19,56,61–67,70]. Structures in (D) collated from [56,116–118].

stabilize altogether different polymorphs (Figure 3D). Finally, interstitial doping of elemental boron into tetrahedral holes of the M_1 phase of VO_2 has recently been demonstrated, providing a notable post-synthetic approach to tuning T_C and hysteresis of VO_2 [66]. Clear-cut design principles relating dopants to the transition temperature and hysteresis of VO_2 remain to be gleaned and will be pivotal to the utilization of VO_2 in neuromorphic computing.

NbO₂: An Unusual High-Temperature MIT Material

NbO₂ (Figure 4Ai) also exhibits a pronounced MIT, albeit at a much higher temperature in the range 1000–1100 K [15,77]. Despite striking similarities to VO_2 , a mechanistic understanding of the underlying MIT has emerged only recently [54,78,79]. On heating, NbO₂ transitions from a distorted rutile structure with dimerized Nb–Nb pairs (2.685 Å and 3.304 Å; Figure 4Ai,ii) to a high-symmetry rutile structure with uniform Nb–Nb distances (3.032 Å; Figure 4Aiii,iv) [77,79]. Thus, the low-temperature Nb–Nb dimers are decoupled across this phase transition (Figure 4A), resulting in quasilinear Nb chains and manifesting an order-of-magnitude spike in conductivity [15,54,79,80]. Electron correlation is expected to play a less-significant role in NbO₂ compared with VO_2 owing to the greater energy dispersion of 4d bands; Demkov has noted the emergence of soft phonon modes in the Brillouin zone, a hallmark of the Peierls instability [79]. Even so, a comparative DFT+DMFT investigation has demonstrated that electronic correlations, although less prominent, are non-negligible [54]. This is supported by observations of strong renormalization of the t_{2g} levels, concurrent with the emergence of Hubbard sub-bands in the metallic phases of both VO_2 and NbO₂ [54].

Given the strong parallels between NbO₂ and VO_2 , attempts to alloy VO_2 and NbO₂ to achieve a T_C intermediate between the two seems a straightforward solution. Doping NbO₂ with 5 at.% V results in a ~100-K decrease in T_C . However, the lattice incongruence, coupled with the large discrepancy in ionic radii, leads to the stabilization of a semiconducting phase (for $0.95 > x > 0.05$ in $Nb_{1-x}V_xO_2$), which does not undergo an MIT [77].

Leveraging the MIT in NbO₂ to Realize Brain-like Computing

Recent results for NbO₂ have highlighted its viability as a neuromorphic material [14,15,79,81], emphasizing the importance of ‘**negative differential resistance**’ (NDR) (defined as $dV/dI < 0$) behavior as a hallmark of memristive properties (Figure 1D) [24,26,82]. Unlike traditional circuit elements, voltage-driven memristors are nonlinear dynamical elements for which current (I) evolves with time (t) as

$$I(t) = G(\Theta, V)V(t) \quad [1]$$

and

$$d\Theta/dt = f(\Theta, V), \quad [2]$$

where V is the time-dependent input voltage and G is the conductance, which is a function of V and an internal state variable Θ (in this case temperature). The rate of change of the state variable depends on the value of the state variable (thus incorporating memory) and V [6,14,83].

Two distinct NDR regimes are observed in NbO₂ (Figure 1D) and are driven by nonlinear thermally activated conduction and the MIT, potentially allowing decoupling of the nonlinear conductance response from the atomic rearrangement. This, combined with the demonstration of ‘edge of chaos’ dynamics reminiscent of neuronal function [14], has generated much interest in NbO₂ as a neuromorphic computing material; however, the high transition temperature of NbO₂ (1050–1100 K) [15,77,80] and the dominance of the Peierls-type transition results in excessive

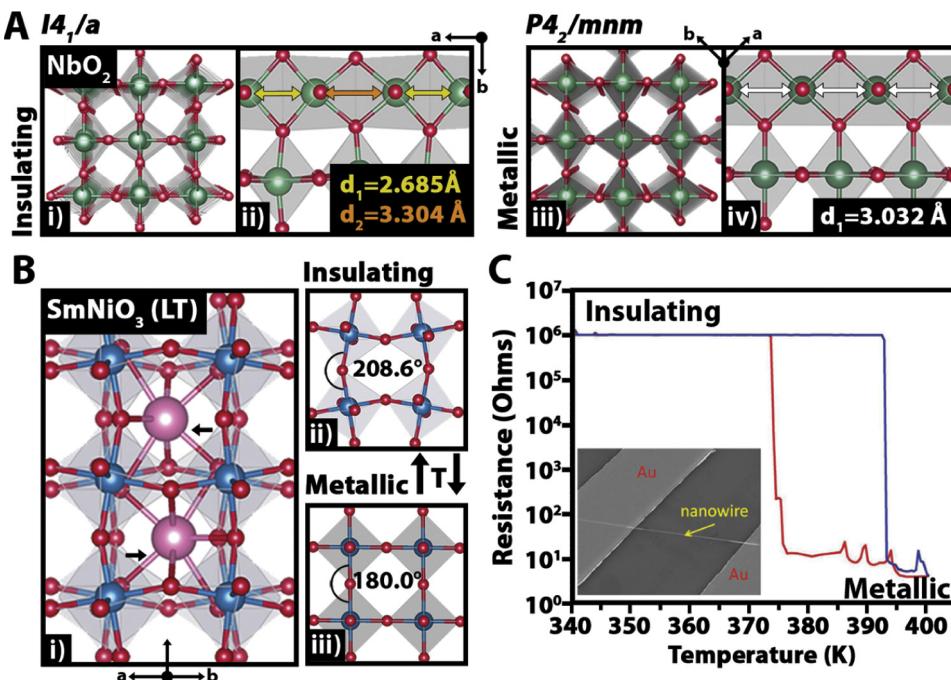


Figure 4. Metal–Insulator Transitions (MITs) in Niobium Dioxide (NbO_2), RENiO_3 , and $\text{M}_x\text{V}_2\text{O}_5$. (A) The MIT of NbO_2 is underpinned by a phase transition between low- and high-symmetry tetragonal distortions. The low-temperature ($I4_1/a$) phase of NbO_2 exhibits distinct Nb–Nb dimers (alternating 2.685- and 3.304-Å distances), whereas the high-temperature phase ($P4_2/mnm$) exhibits a singular Nb–Nb distance (3.032 Å). As discussed in the text, the structural transition plays a considerably greater role in the MIT of NbO_2 compared with vanadium dioxide (VO_2). (B) A simplified representation of structural perturbations observed in samarium nickelate (SmNiO_3) across its MIT, showing only an exaggerated change in the $\langle \text{O–Ni–O} \rangle$ bond angle across the MIT. Breathing distortions comprising alternating NiO_6 octahedra are omitted. (C) As an exemplar of the electronic instabilities of $\text{M}_x\text{V}_2\text{O}_5$ phases, the MIT in $\beta/\beta'\text{-Cu}_x\text{V}_2\text{O}_5$ is observed to occur with retention of the 1D tunnel framework [23,115]. (C) adapted from [23].

energy consumption. By comparison, VO_2 consumes just 16% of the energy per switch and can be switched 100 \times times faster than NbO_2 [6,14]. This is succinctly reflected in their respective volumetric free-energy cost to trigger an MIT (<1 fJ·spike $^{-1}$ for VO_2 and \sim 6 fJ·spike $^{-1}$ for NbO_2) [6,84]. Despite promising results for VO_2 , its T_C (\sim 340 K) is low, reinforcing the importance of understanding the mechanisms by which Ti, Ga, and Ge doping increase T_C and the identification of methods that allow amplification of this effect [62,63,74]. In light of energy-efficiency considerations, subsequent sections emphasize the design and stabilization of materials with greater electronic (rather than lattice) contributions.

Towards an Expanded Palette: Rare-Earth Nickelates and Mixed-Valence Vanadium Oxides as Neuromorphic Materials

Perovskites Take the Stage with Rare-Earth Nickelates

Rare-earth nickelates and ternary vanadium oxides provide a vastly expanded design space enabled by ternary and quaternary compositions, thereby expanding the scope for tuning electronic instabilities and thus transition temperatures [16,30,85,86]. Rare-earth nickelates (RENiO_3) belong to a family of oxide perovskites that adopt distortions from a nominally cubic ($Pm\bar{3}m$) structure [25,48,87]. With the exception of LaNiO_3 which is always metallic [87], RENiO_3 ($\text{RE} = \text{Pr, Nd, Sm, Eu, Gd, Dy, Ho, Er, Lu}$) compounds exhibit a sharp MIT that can be tuned across a wide range ($T_C = 130$ –599 K; Figure 2E) [25,30,88,89]. Strain in cubic perovskite structures can be

applied ‘chemically’ by varying the size of the rare-earth cation [48,90,91] or extrinsically by epitaxial mismatch [25,92–94]. Analogous to VO_2 and NbO_2 , the MIT of RENiO_3 is concomitant with a structural transition distinguished by a loss of symmetry from orthorhombic ($Pbnm$) in the metallic state to monoclinic ($P2_1/n$) in the insulating state [48,90,95–98]. Locally, the MIT is observed to coincide with a slight ‘breathing’ distortion that involves the concerted motion of nickel-centered octahedra, inevitably altering Ni 3d–O 2p hybridization [99] and stabilizing chains of alternating inequivalent Ni polyhedra with long and short Ni–O bonds [41,100,101]. An early proposed mechanism for the resistance-switching phenomenon invoked a charge disproportionation mechanism, wherein the ‘breathing’ lattice distortion was accompanied by disproportionation to a mixed Ni valence state [i.e., $2(\text{d}^7) \rightarrow \text{d}^{7+\delta} + \text{d}^{7-\delta}$] [91,97,100]. However, a definitive mechanism for the MIT in RENiO_3 has been difficult to ascertain.

Recent consensus delineates RENiO_3 materials as ‘**negative-charge-transfer**’ insulators (Figure 2C) whereby hybridization between occupied O 2p and unoccupied Ni 3d states give rise to a p/d–p/d quasigap [30,41,42,102]. Resonant inelastic X-ray scattering (RIXS) supported by many-body cluster and single-impurity Anderson model (SIAM) calculations point to a negative-charge-transfer scenario as the underlying electronic origin of the observed conductance modulation (Figure 2C) [41,42,102]. Interestingly, Bisogni and colleagues [41] have proposed the emergence of an O 2p–O 2p quasigap owing to an increased number of holes in the O 2p band, which deviates somewhat from the explicit hybridization of overlapping O 2p–Ni 3d states invoked in other studies [30,103,104]. In the insulating state, the migration of a Ni hole to hybridized oxygen 2p states is observed [41]. Another model suggests that a pair of ligand holes (L^2) strongly couples with the breathing-type lattice distortion by occupying alternating NiO_6 octahedra (bipolaron condensation) [101]. In this mechanism, Ni 3d electrons on one sublattice (3d^8) are decoupled from nearby octahedra undergoing the observed stretching motion ($3\text{d}^8\text{L}^2$) [105]. Each adjacent sublattice then distorts along specific phonon modes to maximize delocalization of the holes between the O 2p and Ni 3d states. The so-called ‘site-selective Mott transition’ mechanism has gained recent experimental support [41,102]. While the precise underlying mechanisms remain to be elucidated, these compounds illustrate a distinctive mode of coupling of electronic structure (negative charge transfer, charge disproportionation, site-selective Mott transitions) with lattice distortions (breathing distortions and octahedral rotations) corroborating the idea of a mechanistic spectrum spanning structural and electronic considerations coupled to different degrees [92,100–102].

The extent of perturbation of bond angles required to close the negative charge-transfer gap is in essence correlated with the Goldschmidt tolerance factors [48] of the perovskite structure and thus smoothly varies across the lanthanide series. Thus, T_C can be varied systematically either through chemical substitution at the rare-earth site or through epitaxial strain [89,92,93]. Despite the smooth variation of the MIT temperature across the lanthanide series and the generally high miscibility of lanthanides, the formation of solid solutions remains underexplored. Huang and co-workers have demonstrated the use of Sm and Nd miscibility to bridge the gap between the MITs of samarium nickelate (SmNiO_3) and NdNiO_3 [91]. Superlattices and random alloying on both rare-earth and nickel lattices warrant further exploration to establish independent control of transformation characteristics [91].

Alternatively, lattice mismatch by epitaxial growth of RENiO_3 thin films on different substrates provides a tool for tuning T_C and has been extensively explored for SmNiO_3 (Figure 4B). At relatively modest strains, a large diminution of T_C is observed, from 380 K to 140 K. Inducing a strain of $\varepsilon = -2.3\%$ by epitaxial growth on a yttrium orthoaluminate (YAlO_3) substrate completely suppresses the insulating phase within the measured temperature range ($T > 4$ K) [25,93]. While evidently powerful, modulation of the conductance response by epitaxial strain renders T_C static and the

use of epitaxial strain to increase T_C has not been demonstrated. By contrast, ionic liquid gating provides a means of dynamically modulating T_C [20,94,106]. Nickelates can reversibly accommodate large concentrations of oxygen vacancies [88,91]. Ramanathan has demonstrated that the creation of oxygen vacancies through ionic-liquid-induced gating affords deterministic control of the conductivity of SmNiO_3 transistors on the application of a gate voltage, thereby yielding a means of mimicking synaptic function with time-correlated spikes [20]. Despite much work devoted to tuning T_C in RENO_3 , little attention has been devoted to tuning hysteresis.

Ternary Vanadium Oxides as a Promising Design Space

Ternary vanadium oxides ($\text{M}_x\text{V}_2\text{O}_5$) crystallize in a variety of low-dimensional motifs including quasi-1D tunnels (Figure 5) and quasi-2D layered structures with varying stacking sequences and extents of condensation (single/double layered) [16,21,23,24,107]. $\text{M}_x\text{V}_2\text{O}_5$ are nominally mixed valence in the ground state; they are formed by the reductive intercalation of various cations that span the breadth of the periodic table (s-block, transition metal, p-block; Figure 5) as well as organic cations in different frameworks of V_2O_5 [21–23,107–111]. The rugged energy landscape of V_2O_5 is characterized by scores of possible metastable structures; upwards of six have been experimentally stabilized [28,86,109,112]. On intercalation, the V_2O_5 lattice is partially reduced, resulting in a mixture of $\text{V}^{4+}/\text{V}^{5+}$ oxidation states. Given the strong degree of electron correlation in V 3d bands, electrons tend to localize on vanadium sites adjacent to intercalating cations, forming charge-ordered patterns characterized by the formation of **Mott–Hubbard bands** rather than delocalized metals (Figure 1B) [113]. Many of these materials undergo a Mott MIT with only a slight structural contribution derived from ionic rearrangement of the intercalated cations or pinching of the V_2O_5 framework [21–23,107]. With increasing temperature, select bond distances are decreased, leading to increased electronic coupling between adjacent metal centers (Figure 2B). Thus, the MIT is critically dependent on V–V distances within the structure, the carrier density, and the positioning of intercalated-ion-derived ‘midgap’ states [85,107,108,114]. This is evidenced in the $\text{M}_x\text{V}_2\text{O}_5$ series for (M = alkali metals) wherein the transition temperature (T_C) monotonically increases with decreasing ionic radius (for similar values of x), which is correlated with the V–V bond distance along the *b*-axis [111]. The wealth of electronic phase transitions in $\text{M}_x\text{V}_2\text{O}_5$ systems has been widely demonstrated in the form of MIT [22,23,49,107,111,114,115] and semiconductor–semiconductor transitions [21] facilitated by Mott instabilities.

In contrast to the previous systems, the spin, orbital, and electronic degrees of freedom in $\text{M}_x\text{V}_2\text{O}_5$ compounds are to a greater extent independently tunable through selection of the intercalated cation (M) [21–23,49,107], its stoichiometry (x), and the vanadium–oxygen connectivity of the polymorph (single/double-layered, quasi-1D, etc.). The frameworks are furthermore characterized by distinctive interstitial sites that can accommodate specific cations, enabling stabilization of quaternary compositions with ordered arrays of different intercalated cations. Topochemical methods have been developed to extract ‘native’ cations, to stabilize metastable V_2O_5 polymorphs [28,86], and to insert altogether different ions to access compounds inaccessible from direct synthesis (Figure 5). β - $\text{M}_x\text{V}_2\text{O}_5$ (M = Mg, Sn, Co, Ni) [108,109] have been prepared by this route; p-block cations with stereoactive lone-pair electrons introduce a midgap state within the bandgap rendering these compounds amenable to voltage-induced MITs [107,114]. Recently, massive MITs have been observed for individual single-crystalline nanowires (Figure 4C) [21,22,49], albeit that the sensitivity to stoichiometry, x, makes it difficult to fully unravel the coupling of electronic structure and lattice distortions. One proposed mechanism suggests bandwidth broadening owing to anharmonic copper oscillations [23,115]. In the case of δ - $\text{Ag}_x\text{V}_2\text{O}_5$, high-resolution X-ray diffraction has been used to evaluate the structure above and below the MIT. Subtle changes in the V–V distance indicate increased V d_{xy} overlap as the origin of the MIT, consistent with an increase in the bandwidth of the LH band bridging the Mott gap [21].

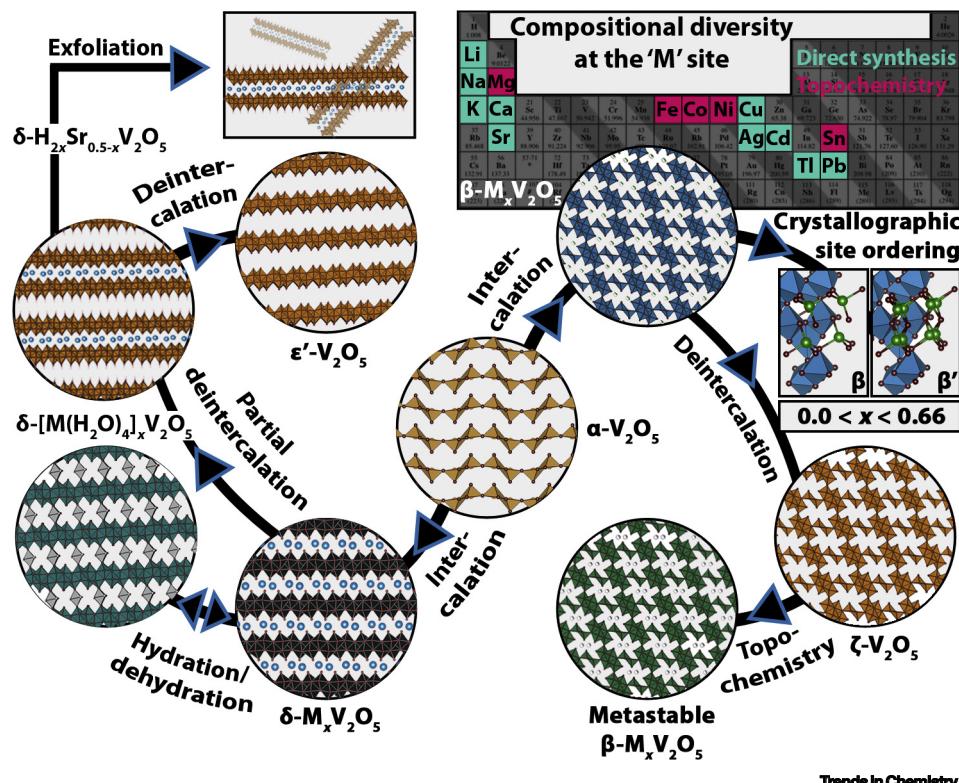


Figure 5. Intercalation-Induced Transformations in the $M_xV_2O_5$ Phase Space. Thermodynamically stable single-layered α - V_2O_5 (center) can be intercalated with alkali metals (Li, Na, K), alkaline metals (Mg, Ca, Sr), transition metals (Co, Ni, Cu, Zn, Ag), and p-block elements (Sn, Pb, Tl) to form ternary vanadium oxides ($M_xV_2O_5$) with substantially altered vanadium–oxygen frameworks. Depending on the size of the intercalating ion, M, and its stoichiometry, x, the framework rearranges to form ‘double-layered’ (left) or tunnel-structured (right) phases. Soft chemistry can be utilized to induce transformations that allow control over the extent of electronic coupling between adjacent vanadium metal centers. The layered δ - $M_xV_2O_5$ structures can be reversibly hydrated to form hydrated materials, δ - $[M(H_2O)_4]_xV_2O_5$, that have been shown to exhibit pinched I/V hysteresis loops characteristic of memristors. These materials can be partially leached and exfoliated to alter the extent of electronic coupling between adjacent V_4O_{10} double layers or fully leached to form a metastable V_2O_5 polymorph [86,110,112]. Alternatively, tunnel-structured β - $M_xV_2O_5$ phases can be stabilized (right) for several intercalants (light blue, Periodic Table) [21,107]. Topochemical modification enables control over the stoichiometry, x, and the crystallographic site (β/β') occupied by the metal cation and therefore the extent of charge ordering. The intercalated ions can be completely leached to stabilize a new metastable polymorph, ζ - V_2O_5 , that exhibits significantly different V–O hybridization compared with the thermodynamically stable α - V_2O_5 phase [109]. This empty polymorph can finally be re-intercalated with additional metals to form metastable ternary vanadium oxides (pink, Periodic Table), representing a method for installing specific electronic states [108,109]. Structures in (E) based on original data reported in [23,24,108–110,112].

Exfoliation of these layered quasi-2D $M_xV_2O_5$ materials has been explored and provides an additional knob for tuning electron correlation and bandgap by alteration of local symmetry [110,112]. The intercalated ions in such 2D systems can further be solvated to form materials with the composition δ - $[M(H_2O)_4]_xV_2O_5$ (M = Co, Ni, Zn), which have furthermore been shown to exhibit memristive behavior ascribed tentatively to proton diffusion [24]. The insertion of solvated ions further suggests that the discipline of coordination chemistry has much to contribute to controlling electron delocalization on the V_2O_5 framework.

Concluding Remarks

Recently, using only two VO_2 memristors, Yi and colleagues were able to simulate 23 distinct biological neuron spiking behaviors. By contrast, a CMOS artificial neuron made from 1300

Outstanding Questions

While considerable recent attention has focused on the use of AI to accelerate the design of novel materials, can novel neuromorphic materials accelerate the realization of the promise of AI?

How can fundamental descriptors of transformation characteristics be formulated in terms of intrinsic materials properties, mesoscale domain evolution, and the interaction of defects and domains with external fields?

How can transformation characteristics such as magnitude of conductance switching, threshold energy, heat dissipation, switching time, hysteresis, dynamics of relaxation, and number of accessible internal states be decoupled from each other and independently modulated?

Can the critical transition temperature of VO_2 be pushed above 400 K by amplifying the influence of specific dopants and coupling to external fields?

How can codoping schemes be developed to amplify or decouple transformation characteristics?

Can diffusive interstitial dopants introduced in close-packed structures be used to obtain strongly time-variant responses of the metal–insulator transition? Can electronic structure measurements of $M_xV_2O_5$ compounds performed as a function of composition (M), stoichiometry (x), and framework connectivity be used to benchmark and improve the theoretical treatment of electron correlation?

How best can the vast design space of $M_xV_2O_5$ compounds spanning composition, stoichiometry, and diverse crystallographic sites be navigated to arrive at compounds with the desired transformation characteristics?

Can solid solutions of RENiO₃ (e.g., $Nd_{0.5}Sm_{0.5}$, $Y_{0.3}Sm_{0.7}$) be stabilized across the entire phase space to tailor transition temperatures deterministically between the end members?

What intrinsic and extrinsic factors control hysteresis width and switching magnitudes in rare-earth nickelates?

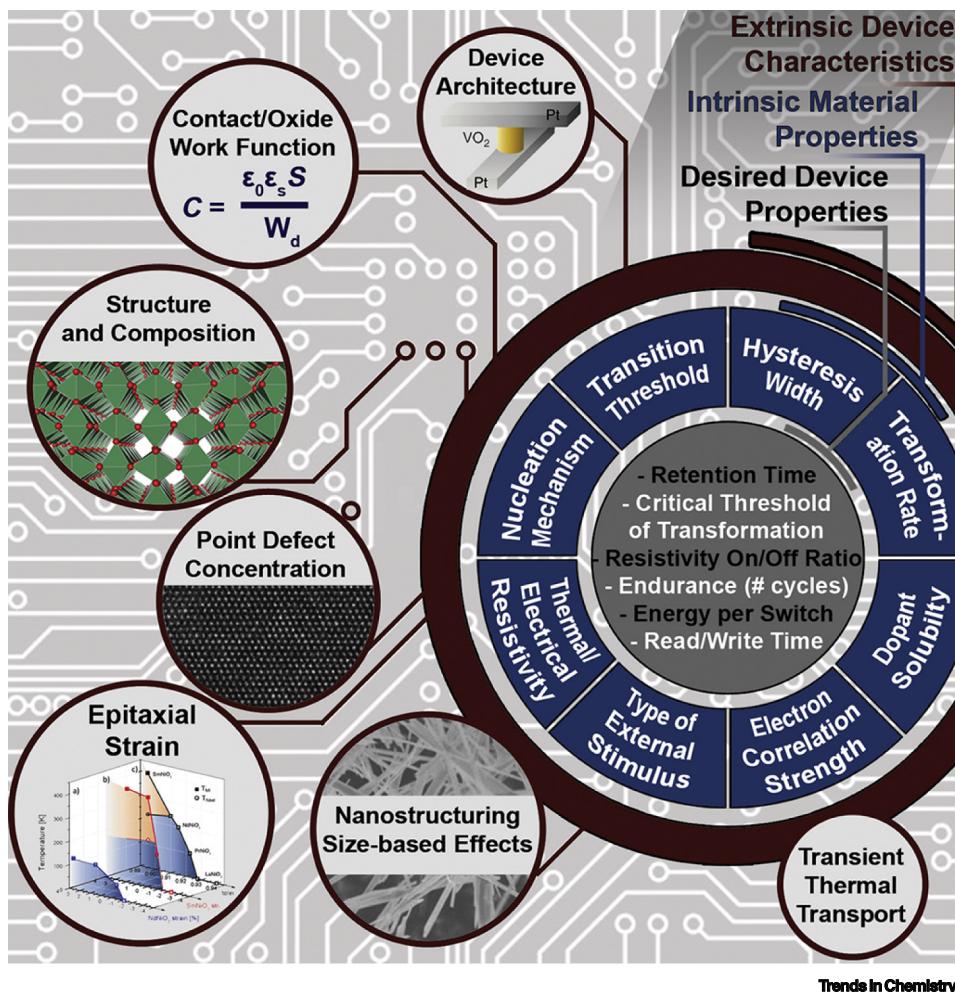


Figure 6. The Coupling of Intrinsic Chemical Properties and Extrinsic Characteristics in Determining Neuromorphic Function. Schematic delineating desired device characteristics (gray inner circle), tunable intrinsic material properties derived from composition and structure (blue circle), and tunable extrinsic device/materials characteristics (maroon circle, exterior circles). Images in maroon 'Extrinsic device characteristics' circles adapted in part from [6,19,24,25].

logic gates was able to simulate only 11 neuronal behaviors [6]. The realization of the full promise of neuromorphic computing requires the design of new dynamical materials and systems that directly emulate the functionality of neurons and synapses to weave memory, computation, and communication into one fabric. Rather than build 'better transistors', we need to develop novel dynamical materials with nonlinear conductance switching that directly emulate neuronal elements and to build entirely new circuit elements from such materials that can perform tasks that presently require hundreds to thousands of transistors. Such an advance will increase the energy efficiency of computing by orders of magnitude; this is furthermore required since most computation will need to be performed at 'the edge of the internet', in close proximity to where data are collected and where power availability is likely to be low and intermittent. Such high-fidelity neuronal emulation at 'the edge' will enable immediate system updating (learning) in response to external events, thereby enabling realization of the full promise of artificial intelligence (AI) to learn and respond in real time.

What is the mechanistic basis for the classical memristive behavior observed for $\delta\text{-}[\text{M}(\text{H}_2\text{O})_4]_x\text{V}_2\text{O}_5$ compounds?

Can machine learning methods enable accelerated evaluation of free-energy landscapes in search of proximate wells with tunable transformation barriers that can be utilized as neuromorphic vectors?

While considerable recent attention has focused on the use of AI to accelerate the design of novel materials and syntheses, novel compounds and syntheses are urgently needed to allow realization of the promise of AI in full measure. The design of materials that ‘turn on a dime’ requires the elucidation of design principles underpinning electronic instabilities as well as independent control of transformation characteristics such as the transition temperature, hysteresis width, magnitude of conductance switching, dynamics of relaxation between states, and number of accessible internal states (Figure 6). Deterministic control of conduction pathways is further an urgent imperative. The interplay between device properties, intrinsic chemical descriptors, and extrinsic tunable properties is illustrated in Figure 6. Please see the Outstanding Questions for a succinct summary of fundamental chemistry questions that must be addressed, practical challenges that must be met, and a summary of several promising and emerging directions that will play a role in addressing these issues. The challenges described here span the discovery of new compounds, synthetic approaches to stabilizing metastable compounds to obtain independent control of transformation characteristics, and the understanding of dynamical processes away from equilibrium, which represents a rich new frontier at the intersection of chemistry and information science.

Acknowledgments

S.B., J.L.A., and D.A.S. acknowledge partial support from the National Science Foundation under NSF grant DMR 1809866. The research was funded in part by award # A-1978-20190330 from the Welch Foundation. J.L.A. acknowledges support from a NASA Space Technology Research Fellowship under grant number 80NSSC17K0182.

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