



What Drives Diversity in Social Recognition Mechanisms?

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Recognition allows animals to categorize social partners and differentiate among them in adaptive ways. Recognition systems are a fundamental component of social interactions, and a major goal for behavioral and evolutionary ecology is to understand the factors that influence the diversity of traits involved in social recognition across species and contexts. Here we argue that recognition is best understood as the interaction between a population of diverse senders and receivers with different perspectives and experiences. Receivers vary in the extent to which they agree on the category membership of senders and this variation is a key parameter that may explain the diverse evolutionary pressures shaping recognition systems. High receiver agreement (e.g., sex recognition) should favor uniformity in signals and innate recognition templates in receivers, while low receiver agreement (e.g., neighbor recognition) should tend to favor diversity in signals and flexible learning in receivers. Further, variation in how specifically receivers categorize senders may constrain the evolution of signals that need to function for multiple audiences. It remains an open question how receivers integrate multiple signals of different types of social categories. By framing recognition systems in a population context we hope this perspective will help spur new efforts to model and empirically investigate the mechanisms underlying the diversity of recognition systems across animals.

Keywords: individual recognition, class-level recognition, template, signal evolution, communication, social cognition, phenotypic diversity

INTRODUCTION

Animals need to categorize individuals they encounter to navigate their social environments. They do so using signals or cues produced by those individuals, and a social category consists of all of the individuals that are grouped together by a receiver. Examples of social categories include “my offspring” or “my territory neighbor.” While receivers of a given species often have shared social categories of interest, they may disagree on who belongs in those categories (e.g., different territory holders will have different neighbors). They may also differ in which social categories they attend to at any given time. Our argument in this paper is that variation in receiver agreement about sender categorization has the potential to explain a great deal of the diversity in mechanisms of social recognition, in terms of variation in signals, and the mechanisms by which receivers classify signal variation.

A recognition system involves an interaction between a sender and a receiver of a communication signal or cue (Box 1; Sherman et al., 1997; Mateo, 2004). Recognition occurs when a receiver’s perception of a signal or cue matches an internal representation (“template”) of that signal or cue in the receiver’s nervous system (Stoddard, 1996; Ryan and Rand, 2001; Bee, 2006). The receiver responds based on how it has categorized the signal, and the nature of the receiver’s

BOX 1 | Components of recognition systems.

- 1) **Production:** senders produce a signal or cue
- 2) **Perception:** receivers perceive a signal or cue and compare it to a template of that signal or cue in their nervous system.
 - a) *Template acquisition:* templates of signal or cue properties are either innate or learned
 - b) *Categorization:* the cognitive association of templates with relevant social categories
- 3) **Action:** receivers respond appropriately based on whether perception of a signal or cue matches their template.

response enacts fitness consequences for both the sender and the receiver (Reeve, 1989; Sherman et al., 1997; Liebert and Starks, 2004). Diverse mechanisms enable recognition across animal species, reflecting a variety of evolutionary trajectories of recognition system evolution. For example, signals vary from relatively uniform to highly variable among individuals (e.g., Buckley and Buckley, 1970; Gerhardt, 1991; Tibbetts, 2004). Further, receivers vary in the extent to which they rely on learned vs. innate templates in recognizing and responding to signals, and they vary in the specificity and complexity of their cognitive representations of social partners (Tibbetts and Dale, 2007; Miller and Bee, 2012; Wiley, 2013; Yorzinski, 2017). A remaining challenge for research on social recognition is to identify sources of selection that shape the evolution of traits that compose recognition systems.

Historically, research on social recognition has focused on the function and contexts of recognition, as well as the cognitive abilities of receivers in recognizing social partners (**Box 2**). Theoretical treatments of the evolution of recognition signals often consider average receivers in specific social contexts (e.g., Crozier, 1986; Beecher, 1989; Johnstone, 1997; Dale et al., 2001; Sheehan et al., 2017), but social recognition occurs among populations of diverse senders, and receivers with different experiences and motivations. This population perspective is important because receivers often vary in their responses based on, for example, morphology (Gill et al., 2013) or experience (Tanner et al., 2019), and this variation can impact signal evolution. Here we take a recognition systems approach (**Boxes 1,2**) to explore how variation in receiver agreement about how to categorize senders provides an important and over-arching framework for explaining the diversity of recognition traits in both senders and receiver across a range of social and sexual contexts. Specifically, the extent to which receivers agree on how to categorize sender phenotypes is a key variable that may explain similarities and differences among diverse recognition systems.

RECEIVER AGREEMENT FRAMEWORK

We consider two axes of variation in agreement of sender categories for populations of receivers. First, receivers vary in the extent to which they agree on the category membership of

BOX 2 | Frameworks for classifying diversity in social recognition.**Context approaches**

Recognition is commonly classified based on context or function. There are many examples of terms used to classify recognition based on context, including recognition of *neighbors*, *nest-mates*, *group members*, *parent-offspring*, *mates*, *kin*, *castes*, etc. Researchers using this terminology are often interested the adaptive value and social context of recognition. Kin recognition, in particular, has its own rich history of research and terminology for different mechanisms of recognition (reviewed in Penn and Frommen, 2010).

Cognition-based approaches

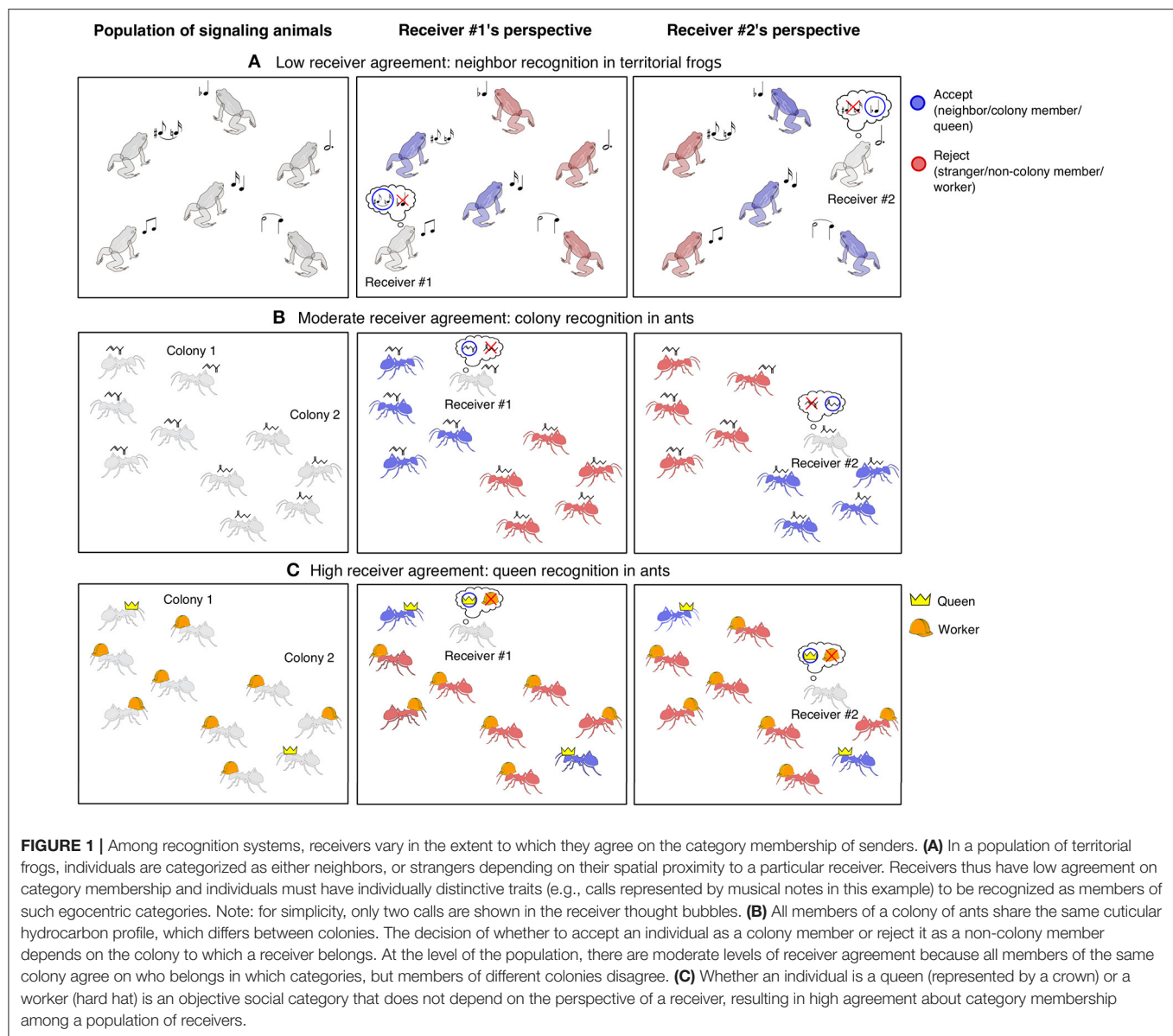
These approaches are primarily motivated by an interest in understanding receiver cognitive abilities and social intelligence. A point of considerable discussion and disagreement in this literature is the criteria needed to demonstrate *individual recognition* as opposed to a more generalized *class-level recognition* (e.g., Halpin, 1986; Gheusi et al., 1994; Tibbetts and Dale, 2007; Johnston, 2008; Steiger and Müller, 2008). The distinction hinges on the specificity and complexity of an animals' cognitive representations of social partners and often seems aimed at finding examples that most closely approach our own capacities for individual recognition as humans. Class-level recognition is generally defined as occurring when a receiver recognizes a sender as belonging to a particular "class" or social category (e.g., neighbor vs. stranger, offspring vs. un-related young), but does not discriminate between individuals within a class (e.g., if a parent cares for all of its offspring equally). Individual recognition is commonly defined as when receivers can discriminate between individuals, even within a class, however varying definitions of individual recognition persist.

Recognition systems approaches

Recognition involves communication between a sender and a receiver of a communication signal or cue (see **Box 1**). Classifying the diversity in traits that underlie recognition can allow for the identification of recognition mechanisms that are shared across contexts and taxa as well as the evolutionary forces that shape these traits (Sherman et al., 1997; Tibbetts and Dale, 2007).

senders. In other words, do all receivers in a population agree that senders expressing trait X belong to category Y? At one extreme, all receivers will agree on the category membership of senders. For example, adults of sexually dimorphic species express traits that are indicative of male or female. Red cardinals can be unambiguously classified as males by conspecifics. Though male and female cardinals may differ in how they respond to the category of "male," and females could be further interested in assessing fine variation in red coloration, the category of "male" is agreed upon. Situations in which receivers have high agreement on membership in social categories would include recognition of species, sex, age-class, and caste (**Figure 1**). These are objective social categories in that membership in such categories is intrinsic to senders and not dependent on receiver experience.

At the other extreme, receivers have low to no agreement on the category membership of senders. Consider a neighborhood where many individuals are defending territories across a landscape. Territory holders often recognize neighbors and only respond aggressively to strangers, producing a phenomenon called the "dear enemy effect" (Fisher, 1954; Wilson, 1975; Tumulty, 2018). Even if every territory holder recognizes neighbors, they won't agree on which individuals belong to this social category. In other words, one receiver's neighbor is



another receiver's stranger. Therefore, a population of receivers will have low agreement on which members of the population belong in the neighbor vs. stranger categories. As such, it is not possible for a single phenotype to be associated with "neighbor" or "stranger" (Figure 1). Situations with low receiver agreement include recognition of mates, parents, offspring, and neighbors. These are egocentric social categories because assignment to a particular category depends on the experience of a particular receiver and not on intrinsic features of the sender.

The second axis of variation we explore is the specificity of categories that receivers attend to. The specificity of social categories can be considered hierarchically based on the number of individuals that belong to categories (Wiley, 2013). The most general category includes all individuals of a given species,

while the most specific is a single individual. Intermediate levels of specificity range from "my offspring" which may include a handful of individuals, to "colony member" which may include hundreds or thousands of individuals in the case of social insects. Different receivers in a population may be interested in different levels of this continuum simultaneously. For example, a parent may need to categorize its offspring as individuals, or perhaps more generally as "my offspring" vs. "not my offspring." While this need for categorization may be true of all parents in a population, non-parent receivers would not need to know whose offspring are whose, but they may still care to know that a sender is immature. In this example, a given juvenile may be categorized as "my offspring" by its parent, "not my offspring" by an unrelated parent, or more generically as "juvenile" depending on the receiver in question. Senders may produce signals or

cues that allow for recognition and categorization across multiple hierarchical layers due to the variation in specificity of recognition among receivers within a population.

IMPLICATIONS TO THE EVOLUTION OF RECOGNITION MECHANISMS

Understanding the extent to which receivers agree about the categorization of senders provides a novel framework for thinking about both the mechanisms and evolution of recognition systems. Here, we focus on situations in which senders and receivers have shared evolutionary interests, but we also briefly highlight how conflicting interests between senders and receivers may shape recognition systems.

Receivers Vary in the Extent to Which They Agree on Category Membership Consequences for Senders

When receivers agree on categorization, similarity among senders should be favored as uniformity within a category will facilitate recognition across receivers (**Figure 2**). For signals with a genetic basis, such uniformity can be the result of stabilizing selection within categories and divergence between categories. There are countless examples of signals that have likely been shaped by recognition in this way, including calls used for species recognition in gray treefrogs (*Hyla versicolor*, Gerhardt, 1991), throat color signals of mating strategy in side-blotched lizards (*Uta stansburiana*, Sinervo and Lively, 1996), visual signals of sexual receptivity in female sticklebacks (*Gasterosteus aculeatus*, Rowland et al., 1991), pheromones used for sex recognition in crayfish (*Procambarus clarkii*, Ameyaw-Akumfi and Hazlett, 1975; Hazlett, 1985), and cuticular hydrocarbons used for caste recognition in ants (*Aphaenogaster senilis*, Ruel et al., 2013). For signals that are more plastic, uniformity can be achieved through homogenization among category members. Colony-specific odors used for nest-mate recognition in social insects develop in just this way (Gamboia et al., 1986; Breed et al., 1988; Breed and Stiller, 1992). Both of these processes would result in senders within a category having similar traits (i.e., homogenous subgroups; Barrows et al., 1975). While there may be many inadvertent cues of category membership that are produced because of a shared genetic or developmental basis of particular categories (e.g., males and females often differ in body size for reasons unrelated to sex recognition), if senders benefit from being recognized, selection should favor the elaboration of signals that facilitate recognition.

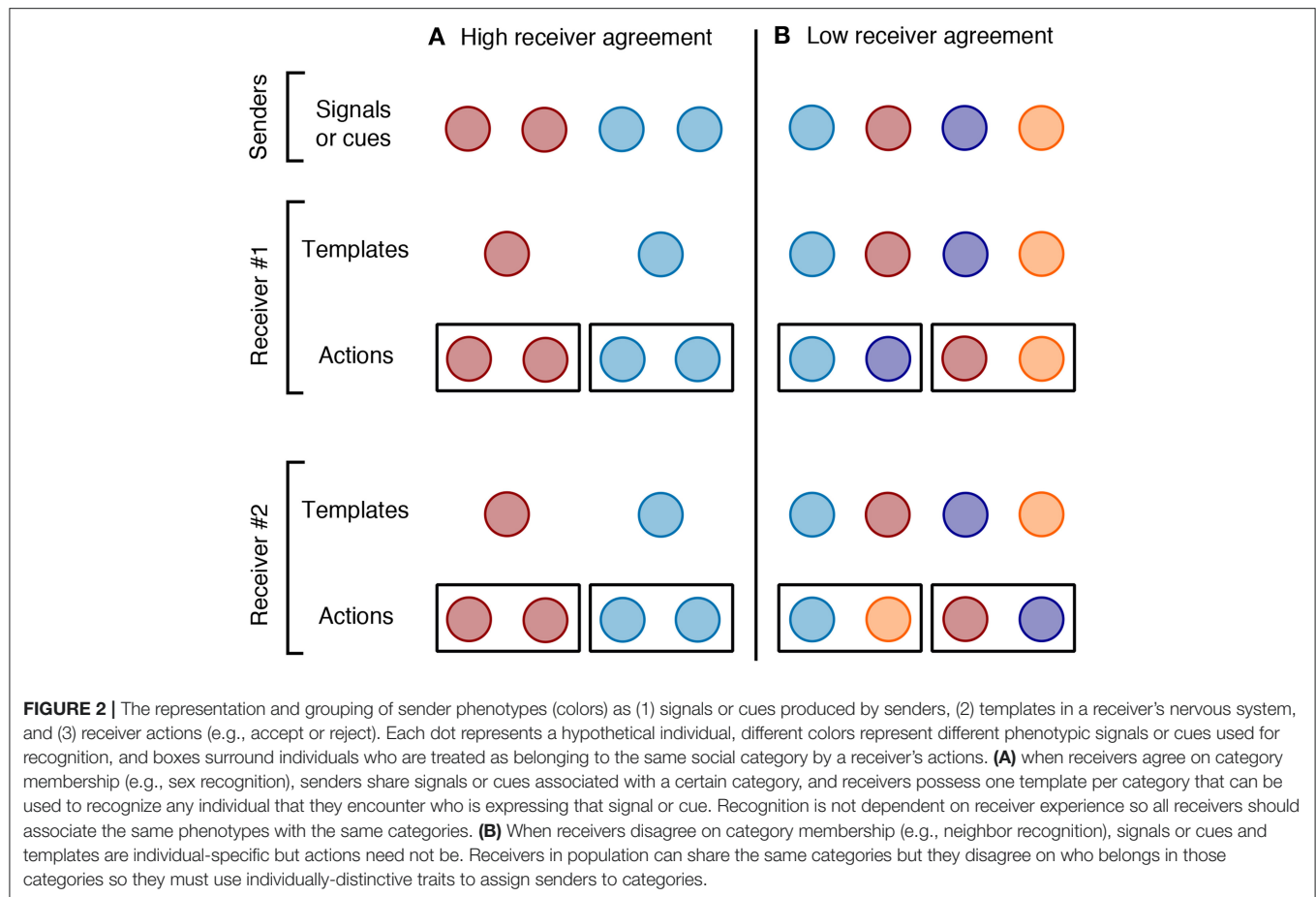
If receivers do not agree on which individuals belong to categories, they must recognize social partners using individually distinctive phenotypic traits and associate these traits with social categories (**Figure 2**). For example, as discussed above, there cannot be inherent phenotypic traits associated with categories such as “neighbor” or “stranger,” because such categories are egocentric and dependent on receiver experience. Very few territory owners will share the same neighbors, so if territory owners are to recognize neighbors, they must do

so using individually distinctive phenotypic traits of neighbors (**Figure 1**). Individuals may often vary due to genetic and developmental differences unrelated to recognition, but provided being recognized is beneficial, selection should favor greater phenotypic diversity in senders when receivers do not agree on category membership. Such diversity can result from negative frequency dependent selection in which individuals with rare, recognizable phenotypes have higher fitness than individuals with common phenotypes (Sheehan and Tibbetts, 2009; Tibbetts et al., 2017). Signals in paper wasps (*Polistes fuscatus*; Sheehan and Tibbetts, 2010), house mice (*Mus musculus*; Sheehan et al., 2016), and humans (*Homo sapiens*; Sheehan and Nachman, 2014) show patterns of selection for identity signaling, as do signals across species of swallows (Medvin et al., 1993), bats (Wilkinson, 2003), marmots (Pollard and Blumstein, 2011), and penguins (Aubin and Jouventin, 2002).

Consequences for Receivers

Similarity among senders means that receivers can have shared templates that are used to recognize members of relevant categories (**Figure 2**). Where template similarity is favored, innate templates are possible. This is because the meaning of a signal of category membership is stable across generations. In such situations, learning is not required each generation and coevolution between senders and receivers can select for signals that are reliably associated with category membership and templates that enable receivers to respond appropriately to such signals. Innate templates of agreed-upon categories are likely extremely common in nature. Some examples include recognition of cues of sexual receptivity in rats (*Rattus norvegicus*, Landauer et al., 1977) and mammary pheromones in newborn rabbits (*Oryctolagus cuniculus*, Schneider et al., 2016).

Recognition templates must be learned when receivers disagree on category membership of senders. This is because such categories are egocentric with respect to a particular receiver (**Figure 2**). Templates could be acquired through imprinting if senders are encountered early in life in a predictable context and their membership in that category does not change within a lifetime (e.g., parent imprinting in ducks and geese, reviewed in Shettleworth, 2009). However, if category membership changes throughout a lifetime, templates must continue to be acquired, or updated. For example, the identity of a neighbor is seldom fixed during a lifetime and is determined based on where an animal establishes a territory and who settles nearby. Further, territory occupancy may change over time, and residents often have multiple neighbors (Stoddard, 1996; Wiley, 2013). In these situations, animals must be able to form multiple recognition templates over the course of their lives, and potentially update and modify these templates. For example, territorial white-crowned sparrows (Brooks and Falls, 1975; Zonotrichia albicollis, Baker et al., 1981), and bullfrogs (*Rana catesbeiana*, Bee and Gerhardt, 2002) likely learn to recognize neighbors by habituating to their neighbors’ vocalizations and territory locations, allowing them to discriminate between multiple neighbors and create new neighbor templates if new neighbors arrive.



When Senders and Receivers Have Conflicting Interests

The predictions outlined above are for situations in which senders and receivers both benefit from recognition. However, when senders do not benefit by being recognized, we would generally expect the opposite patterns to emerge. When receivers agree, selection may favor diversity in senders to inhibit recognition and favor learning in receivers. This process seems to underlie apostatic selection as prey evolve polymorphisms to avoid detection by predator search images (Bond and Kamil, 1998). Conversely, when receivers disagree about category membership, senders could cause confusion by evolving uniformity. This may be important in instances of paternity confusion. Fathers are interested in differentiating their own offspring from other offspring, but detection would be costly to extra-pair offspring, selecting against identity signaling by chicks (Kempnaers and Sheldon, 1996).

Receivers Vary in the Specificity of Categorization

Consequences for Senders

A population of receivers that are interested in different levels of social categories may constrain the evolution of signals. Many receivers attending to and responding to signals or cues associated with social categories impose selection on senders,

and the benefit of communicating information that all receivers could agree upon at one level (e.g., “male of my species”) could favor uniformity that may then constrain the diversity of traits at another level of categorization (e.g., “individual” or “neighbor”). Senders can potentially solve this problem in two ways. First, senders can adjust the types of signals they provide in different contexts or life stages if the relative importance of different groups of receivers and the costs and benefits of recognition also vary across life stages and contexts. The plumage patterns of royal terns (*Thalasseus maxima*) provides an interesting example. Mobile young are reared in large colonies making it potentially challenging for parents to locate their offspring. Chicks have highly variable plumage coloration and patterning (Buckley and Buckley, 1970) that facilitates offspring recognition by parents (Buckley and Buckley, 1972). However, at later life stages, juveniles, non-breeding adults, and breeding adults all have distinct plumage patterns that are associated with their age and breeding status (Buckley and Buckley, 2002). Whereas, receiver disagreement appears to be an important force shaping plumage identity signals in young terns, adult breeding plumage is relatively uniform among senders within a given social category, suggesting that the receiver agreement in categorization is high at later life stages. The second potential solution is to use multiple signals components or modalities to convey information. We would expect this solution to arise in situations

where different receivers are interested in different levels of categorization simultaneously. This solution is analogous to the “multiple messages” hypothesis in animal communication (Gerhardt, 1992; Johnstone, 1996), which posits that different signal components convey different types of information about the sender. For example, many vocalizations encode information about identity, age, and sex, such as alarm calls of yellow-bellied marmots (*Marmota flaviventri*, Blumstein and Munos, 2005) or bleats of giant pandas (*Ailuropoda melanoleuca*, Charlton et al., 2009). Scent marks also frequently contain multiple tiers of social information, as has been shown for black rhinoceros (*Diceros bicornis*, Linklater et al., 2013) and house mice (*Mus musculus*, Hurst and Beynon, 2004; Sheehan et al., 2019). The role that populations of receivers play in shaping these signals by responding to different levels of social information in these signals simultaneously is very much an open question.

Consequences for Receivers

Because senders are evolving to provide information to a population of receivers that will vary in extent of interest and agreement on categorization, receivers should be equipped to assess a wide range of sender phenotypes that will correspond to both innate and learned templates. This raises the question of how receivers integrate information that corresponds to multiple templates and categories when assessing a sender. An individual's identity is made up of many different categories that vary in specificity, including species, sex, and age. As reviewed above, these features can often be encoded within a single complex trait such as a vocalization, scent, or color pattern. It is clear that receivers can extract information at different levels of specificity from the same signals. For example, field sparrows use variation in song frequency to recognize both species and individuals (*Spizella pusilla*, Nelson, 1989). Further, experiments in domestic horses (*Equus caballus*, Proops et al., 2009) and rhesus macaques (*Macaca mulatta*, Sliwa et al., 2011) demonstrate that receivers sometimes integrate signals from different modalities (visual and auditory) to recognize individuals. But how do receivers integrate information about different levels of specificity in recognition? For example, when a receiver recognizes a sender as an individual, do the features that make the sender recognizable as part of broader, agreed-upon categories like species and sex form part of the template for that individual? Or are templates for different levels of specificity distinct, with receivers using simpler decision rules for discriminating broader categories and attending to more specific levels of categorization only when it is beneficial to do so? Understanding the hierarchy of receiver categorization is important to uncovering the mechanisms by which recognition systems work in the complex social settings found in real animal populations. This is currently an unresolved question, but its answer will reveal how receivers integrate information across levels of social categories.

IMPLICATIONS FOR OTHER CONTEXTS

Recognition is a fundamental component of animal lives beyond their social interactions and variation in receiver agreement

may have implications to other contexts as well. Here we briefly discuss some of these potential implications. First, foraging is a context that is often mediated by communication (Bradbury and Vehrencamp, 2011). For example, aposematic animals benefit by advertising their toxicity to would-be predators and predators benefit by recognizing aposematic signals as indicators of toxicity (Rojas et al., 2015). Müllerian mimicry, whereby two different toxic species converge on the same aposematic phenotype (e.g., Kapan, 2001; Symula et al., 2001), may represent an example of selection for uniformity driven by high receiver agreement since receivers (predators) possess or develop one template for the objective category “toxic prey” and thereby impose selection on senders that are members of this category to label themselves as such with shared signals. Pollination syndromes—similar suites of shared floral traits among different species that attract the same pollinators (Fenster et al., 2004)—represent a similar example of convergent evolution of signals in response to shared receiver templates. Examples of receiver disagreement in foraging contexts are perhaps less common because there are fewer opportunities for repeated interactions between the same individuals. But, by generalizing our framework to repeated interactions that an individual has with members of a given species that it forages on, floral constancy may represent an example of low receiver agreement. Floral constancy occurs when pollinators demonstrate short-term learned preferences for certain flowers as a result of associating a reward (nectar) with signals from that particular flower (e.g., color, odor) (Schiestl and Johnson, 2013). This preference is egocentric since not all foragers will express the same preference (Heinrich, 1976), and it is thought to be a source of selection for floral distinctiveness (Schiestl and Johnson, 2013). A second context in which this receiver agreement framework seems relevant is in the importance of learning mate choice preferences. When preferences are genetically determined, a population of receivers agree on the traits that indicate high quality mates, and thus impose stabilizing or directional selection on those traits. However, in some cases, receivers can have low preference agreement if preferences for traits that are experienced early in life are learned, a phenomenon termed “sexual imprinting” (Verzijden et al., 2012). This phenomenon can be a source of selection for signal diversity, for example, by helping to maintain reproductive isolation between closely related sympatric species (Verzijden and Ten Cate, 2007) or leading to the stable coexistence of polymorphisms under some conditions (Yang et al., 2019).

CONCLUSIONS AND FUTURE DIRECTIONS

Here, we have argued that recognition is a multiparty process in which senders and receivers communicate with each other in a population. We argue for taking into account the diversity of receiver perspectives, as doing so can provide new insights into the evolution of traits mediating recognition. Notably, this perspective applies to a range of social categorization

and recognition contexts, providing an overarching framework to consider how receiver behavior and sender phenotypes interact and coevolve. Whether or not receivers agree on how to categorize a sender should determine the selection pressures shaping patterns of diversity in signals. At the same time, agreement among receivers opens of the possibility of innate templates, whereas disagreement requires learned templates. Not all receivers will be concerned with identifying senders based on the same sorts of social categories, which may constrain the evolution of sender traits. This framework highlights the need for future models of recognition systems to consider the diversity of receiver perspectives and for empirical studies to probe the constraints on sender traits and receiver integration of innate and learned templates when assessing senders.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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