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5 **Perspectives of CRISPR/Cas-mediated *cis*-engineering in horticulture:**
6 **unlocking the neglected potential for crop improvement**
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9 Qiang Li^{1,2}, Manoj Sapkota², Esther van der Knaap^{2,3,4}
10

11 1 College of Horticultural Science and Engineering, Shandong Agricultural University, Tai'an, China;
12 2 Institute for Plant Breeding, Genetics and Genomics, University of Georgia, Athens, GA, United States;
13 3 Center for Applied Genetic Technologies, University of Georgia, Athens, GA, United States
14 4 Department of Horticulture, University of Georgia, Athens, GA, United States
15

16 Qiang Li

17 qiangli@uga.edu

18 Manoj Sapkota

19 manoj.sapkota@uga.edu

20 Correspondence: Esther van der Knaap

21 vanderkn@uga.edu
22

23 **Running title:** CRISPR/Cas-mediated *cis*-engineering for horticultural crops improvement
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Abstract

Directed breeding of horticultural crops is essential for increasing yield, nutritional content and consumer-valued characteristics such as shape and color of the produce. However, limited genetic diversity restricts the amount of crop improvement that can be achieved through conventional breeding approaches. Natural genetic changes in *cis*-regulatory regions of genes play important roles in shaping phenotypic diversity by altering their expression. Utilization of CRISPR/Cas editing in crop species can accelerate crop improvement through introduction of genetic variation in a targeted manner. The advent of CRISPR/Cas-mediated *cis*-regulatory region engineering (*cis*-engineering) provides a more refined method for modulating gene expression and creating phenotypic diversity to benefit crop improvement. Here, we focus on the current applications of CRISPR/Cas-mediated *cis*-engineering in horticultural crops. We describe strategies and limitations for its use in crop improvement, including *de novo cis*-Regulatory Element (CRE) discovery, precise genome editing and transgene-free genome editing. In addition, we discuss the challenges and prospects regarding current technologies and achievements. CRISPR/Cas-mediated *cis*-engineering is a critical tool for generating horticultural crops that are better able to adapt to climate change and providing food for an increasing world population.

1 Introduction

Horticultural crops comprise vegetables, fruits, ornamental flowers as well as aromatic and medicinal plants, thereby providing essential resources to society. For example, the availability and consumption of a wide variety of vegetables and fruits allow us to meet our daily dietary needs. Moreover, we enlighten our days with the abundance of floriculture products for aesthetic uses and visual enjoyment. Collectively, horticultural crops make essential contributions to humankind while also providing the economic engines that drive the success of societies all over the world¹.

Despite their collective importance, the improvement of many horticultural crops has lagged behind most agronomic crops such as rice, corn and soybean. Yet, improvement of horticultural crops for traits such as resistance to biotic and abiotic stresses, yield and health-related nutrients

would benefit the entire sector. Genetic diversity is a critical source for crop improvement. However, this diversity is often limiting especially for certain species². The limited genetic diversity could result in significant obstacles for further improvement by conventional breeding approaches. Research in several crops has demonstrated that much of the genetic changes underlying traits of economic importance reside in the *cis*-regulatory regions of genes^{3,4}. These changes appear to have been selected during domestication, resulting in desirable traits caused by altered gene expression^{3,5}. The CRISPR/Cas-based platform offers a powerful tool by engineering *cis*-regulatory regions (*cis*-engineering) to introduce genetic diversity that could potentially accelerate crop improvement⁶⁻¹². Despite the importance of regulatory changes in genes, the application of CRISPR/Cas-mediated *cis*-engineering has only been explored sporadically. The genome sequence for at least 181 horticultural species is available¹³ and genome editing has been used to generate primarily knockout mutations in at least 25 of them¹⁴. These achievements demonstrate the feasibility of applying CRISPR/Cas-mediated *cis*-engineering to expand the phenotypic diversity of many horticultural crops.

2 Natural variation in *cis*-regulatory regions resulting from the domestication of horticultural crops

Cis-regulatory regions are non-coding DNA sequences that control the transcription of genes¹⁵. These *cis*-regulatory sequences consist of combinations of CREs that affect gene expression level often in a spatiotemporal manner¹⁵⁻¹⁷. Single Nucleotide Polymorphism (SNPs), insertions, deletions, inversions and epigenetic variations are the most common natural variation in *cis*-regulatory regions that are associated with domestication. Some examples from horticultural crops are discussed below.

2.1 SNPs

Genomic studies in horticultural crops have generated insights into the role of SNP in shaping phenotypic diversity among individuals¹⁸. During tomato (*Solanum lycopersicum*) domestication, selection frequently occurred for fruit size and shape, traits that show extensive variation and

94 large increases over that of the wild relatives⁴. Increases in fruit weight are thought to be
95 controlled by SNPs in the promoter of *FW2.2* (*SICNR*) and *FW3.2* (*SIKLUH*)^{4,19,20}. The *lc* allele
96 contains two SNPs in a 15-bp repressor element downstream of tomato *WUSCHEL* (*SIWUS*).
97 The SNPs are proposed to prevent the binding of the MADS box transcription factor
98 AGAMOUS which is required to recruit the repressive Polycomb proteins to shut down *SIWUS*
99 expression thereby ultimately resulting in larger fruits^{4,21,22}. In another example in tomato, two
100 SNPs in the promoter of *Slcyc-B* are highly associated with high β -carotene content²³.
101 In citrus (*Citrus reticulata*), a recent report found a SNP in a miniature inverted-repeat
102 transposable element (MITE) in the promoter of *CAROTENOID CLEAVAGEDIOXYGENASE 4b*
103 (*CCD4*) to be sufficient to increase the expression of this gene, resulting in red coloration of fruit
104 peel²⁴. In pepper (*Capsicum chinense*), a SNP in the promoter of *MYB31* is associated with a
105 hyperfunctional W-box in the promoter leading to stronger binding of WRKY9. This stronger
106 binding is associated with enhanced expression of *MYB31* resulting in extremely pungent
107 peppers²⁵.

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109 2.2 Insertions

110 Insertions are sources of genetic diversity that can alter gene expression by introducing new or
111 disrupting existing CREs. Especially transposable elements (TEs) play important roles in
112 creating genomic variation by altering gene regulation^{26,27}. TE-induced variations in *cis*-
113 regulatory region are also important in the shaping of domestication-related phenotypes in many
114 horticultural crops. One example is the tomato fruit shape gene *SUN*. The transposition event at
115 the *sun* locus mediated by the *Rider* retrotransposon placed a copy of *SUN* in addition to *Rider*
116 itself in the intron of *DEFL1*. The ancestral copy of *SUN* on chromosome 10 is lowly expressed
117 but its derived copy on chromosome 7, where the *sun* locus maps, is highly expressed²⁸. The
118 high expression of *SUN* on chromosome 7 is thought to be from the promoter of *DEFL1* that
119 would now serve as an enhancer of *SUN*, leading to the elongated tomato fruit²⁹. Another *Rider*
120 insertion in the first intron of *SEPALLATA4* (*SEP4*) leads to a jointless pedicel, reduced fruit
121 dropping, which facilitates mechanical harvesting³⁰. In grape (*Vitis vinifera*), the insertion of the
122 *Gret1* retrotransposon in the *VvMYBA1* promoter leads to its inactivation resulting in a white
123 berry phenotype³¹. In blood oranges (*Citrus sinensis*), the insertion of a *Copia*-like
124 retrotransposon controls the expression of *Ruby* and the cold-dependency of anthocyanin

production in the fruit³². In cauliflower (*Brassica oleracea* var *botrytis*), a 695-bp *Harbinger* DNA transposon insertion in the *MYB2* promoter increases expression of this gene and resulting in a purple phenotype³³. Additionally, the differentiation of winter and spring genotypes in rapeseed (*Brassica napus* L.) primarily arose from a MITE transposon insertion in the upstream region of *BnFLC.A10*³⁴.

Other examples of insertions that are possibly associated with TE activity are found as well. For instance, *ej2^w* (*enhancer-of-jointless 2*) is a weak loss-of-function allele, which was selected during tomato domestication and caused by a 564-bp insertion in the 5th intron of tomato *EJ2*. The mutation results in unbranched inflorescences with exceptionally large sepals³⁰. In tomato, an 8-bp insertion in the promoter of *bHLH59* significantly increased its expression in accessions producing high-Ascorbic acid levels³⁵. In apple (*Malus × domestica*), multiple repeats of a 23-bp motif in the promoter of *MYB10* generate an autoregulatory locus, which is sufficient to account for increased expression and ectopic accumulation of anthocyanins in red-fleshed apples³⁶. Another example from apple is that a 36-bp insertion in *MdSAUR37* promoter contributed to high fruit malate content³⁷. In cucumber (*Cucumis sativus* L.), a 10-bp fragment was replaced by an 812-bp fragment in the promoter of *CsHDZIV11/CsGL3* at the *few spines 1 (fs1)* locus, giving rise to higher expression of *CsGL3* and fewer fruit spines³⁸.

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2.3 Deletions and Inversions

Deletions are common genetic changes that provide a wealth of domesticated-related phenotypic diversity. One remarkable example is a 31 kb deletion upstream of tomato *OVATE Family Protein 20 (SIOFP20)*. The deletion is associated with reduced expression of *SIOFP20* and contributes to natural fruit shape variation in the tomato germplasm³⁹. A 3-bp deletion in the promoter of tomato *AI-ACTIVATED MALATE TRANSPORTER9 (Sl-ALMT9)* was selected during tomato domestication. The deletion disrupts the repression of *Sl-ALMT9* by Sl-WRKY42. This results in increased *Sl-ALMT9* gene expression levels thereby conferring high fruit malate contents and aluminum tolerance in tomato⁴⁰. Flowering time is an important trait for cucumber domestication. A 39.9-kb deletion and a 16.2-kb deletion located 16.5-kb upstream of cucumber *FLOWERING LOCUS T (CsFT)* are both associated with higher *CsFT* expression levels and earlier flowering⁴¹. The *CsFT* locus was selected during cucumber domestication and has been

important in its adaptation to higher latitudes for cultivation⁴¹. Therefore, deletions can confer desirable traits through either decreased gene expression by removing enhancers and binding sites of activators; or increased gene expression by removing repressors and binding sites of repressors.

Genomic inversions also play a role in plant domestication as they could have widespread *cis*-regulatory effects⁴². One of the remarkable examples of variation in locule number is controlled by a nearly 300 kb inversion at the *fasciated* (*fas*) locus in tomato. The *fas* locus is characterized by disruption of the promoter region of tomato *CLAVATA 3* (*SICLV3*), leading to downregulation of the gene and larger fruit with increased number of locules^{22,43}.

2.4 Epigenetic variations

Natural epigenetic variations contribute to heritable phenotypic diversity that is not caused by modification in the DNA sequence⁴⁴⁻⁴⁷. One of the best examples of an epiallelic variant that impacts an important agronomical trait is the *Colorless Non-Ripening* (*cnr*) allele in tomato. The epiallele of *LeSPL-CNR* is responsible for colorless fruits with a substantial loss of cell-to-cell adhesion⁴⁸. In *cnr* mutants, hyper-methylation was found along a 286 bp CRE located approximately 2.4 kb upstream from the first ATG of *LeSPL-CNR*. This change in methylation status likely explains the reduced expression level of *LeSPL-CNR* and the ripening defects in *cnr* fruits⁴⁸. Another epigenetic mutation is found in the promoter of the tomato *SITAB2* gene. The mutation controls pigment production in tomato leaves that are affected by DNA methylation level in the promoter of the gene⁴⁹. *Vitamin E 3* (*VTE3*) is another natural occurring epiallele controlling vitamin E accumulation in tomato fruits⁵⁰. The *VTE3* expression in fruits is regulated by DNA methylation in the promoter region of the gene⁵⁰. Additional examples include the control of anthocyanin accumulation in apple and pear (*Pyrus communis*) fruit skin⁵¹⁻⁵³ and sex determination in melon (*Cucumis melo*)⁵⁴. There is also increasing evidence that promoter DNA methylation plays an important role in regulating tomato fruit ripening^{55,56}. Notably, the tomato *DML2* is critical for tomato fruit ripening by mediating DNA hypomethylation in promoters of hundreds of genes during development⁵⁶.

Taken together, these studies highlight the importance of genetic and epigenetic divergence in *cis*-regulatory regions, including the upstream regions, introns and downstream regions of genes. Therefore, natural genetic variants, epialleles and functional CREs in *cis*-regulatory regions are excellent genome editing targets to create novel variants for the improvement of horticultural crops.

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3 Recent progress in CRISPR/Cas-mediated *cis*-engineering in plants

So far, the most frequent application of CRISPR/Cas has been to target coding sequences with the goals to create null alleles^{57,58}. Although this application greatly facilitates heritable alleles for reverse genetics studies, selection of loss-of-function mutations in coding regions may result in pleiotropic or deleterious effects^{43,59,60}. Compared to coding sequences, modulating gene expression by *cis*-engineering is more likely to benefit crop improvement with less detrimental pleiotropic effects^{3,11,17,57,61}.

To date, at least twelve articles described successful CRISPR/Cas-mediated *cis*-engineering via genome editing for 14 genes in seven plants species, including seven genes in three horticultural crops (Fig. 1A). In addition, CRISPR/Cas-mediated *cis*-engineering also been achieved to edit the epigenome. However, only handful cases have been described in Arabidopsis that show epigenome editing by altering DNA methylation^{62,63} and histone acetylation⁶⁴. Because of the few examples in epigenome editing, the following sections will only describe the applications of *cis*-engineering of DNA.

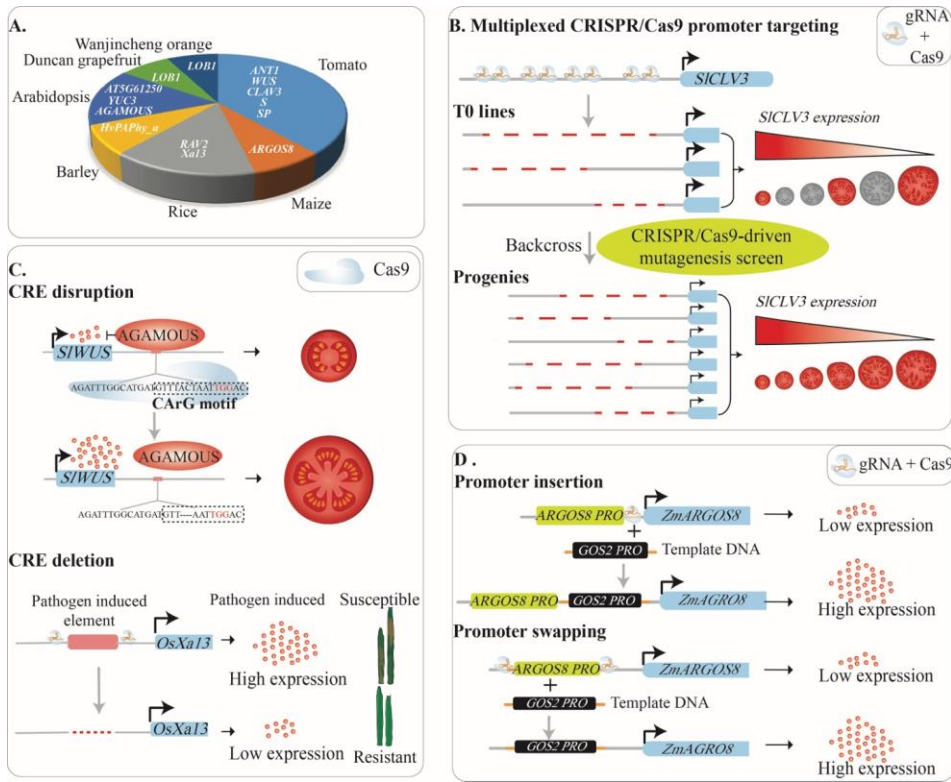


Figure 1 Current applications of CRISPR/Cas-mediated cis-engineering.

(A) Summarization of current applications of CRISPR/Cas-mediated *cis*-engineering in plants;
 (B) A continuum of phenotypic variation can be achieved by multiplexed CRISPR/Cas9 promoter targeting and sensitized genetic screen;
 (C) Disruption of CREs with genome editing can generate gain-of-function and reduced or loss-of-function alleles;
 (D) HDR-mediated promoter insertion/swapping conferring higher gene expression resulting in desirable traits.

LOB1, *LATERAL ORGAN BOUNDARIES 1*; *YUC3*, *YUCCA3*; *ARGOS8*, *Auxin-Regulated Gene Involved in Organ Size 8*; *ANT1*, *Anthocyanin 1*; *WUS*, *WUSCHEL*; *CLV3*, *CLAVATA3*; *S*, *COMPOUND INFLORESCENCE*; *SP*, *SELF PRUNING*; CRE, *Cis-Regulatory Element*; *PRO*, promoter.

3.1 Promoter disruption

In tomato, a multiplexed CRISPR/Cas9 targeted the promoters of genes that control fruit size, inflorescence branching and plant architecture¹¹. Importantly, the editing of promoters did neither exploit nor require prior knowledge regarding the structure of promoters and other regulatory sequences. Therefore, *cis*-engineering is generally applicable for diverse genes and traits in many crops. Notably, a CRISPR/Cas9-driven sensitized genetic screen approach can recover a collection of *cis*-regulatory alleles with a continuum of phenotypic effects¹¹ (Fig. 1B), providing an avenue for expanding genetic diversity in crops.

3.2 CRE disruption/deletion

Functional CREs in *cis*-regulatory regions are obvious targets for expanding genetic diversity. However, only handful of cases have been reported in plants in which the CRE disruption/deletion was successfully applied to regulate target gene expression.

The rice *RAV2* gene is transcriptionally regulated by high-salinity. CRISPR/Cas *cis*-engineering was used to target the GT-1 element in the promoter of *OsRAV2* and the results strongly indicate that the GT-1 element controls the salt response of this gene⁶⁵. In barley (*Hordeum vulgare*), the promoter of *HvPAPhy_a*, was targeted for three CREs, namely GCN₄, Sk_{n-1} and RY⁶⁶. The lines with mutations in the targeted region showed a significant reduction in phytase activity, indicative of the importance of these CREs for the expression of the gene. Similarly, the edited deletion of a 149 bp regulatory fragment containing a pathogen-induced element in the promoter of *Xa13* improved rice disease resistance without affecting rice fertility⁶⁰ (Fig. 1C). This result is advantageous compared to the knockout mutant of *Xa13* that showed a sterile phenotype, which is obviously undesirable in crop improvement. Three recent studies in Duncan grapefruit (*Citrus paradisi* Macf.) and Wanjincheng orange (*Citrus sinensis* Osbeck) reported that canker-resistant plants were created through CRISPR/Cas editing of the PthA4 effector binding CREs in the promoter of *Lateral Organ Boundaries 1 (LOB1)*⁶⁷⁻⁶⁹.

The CRISPR/Cas-mediated *cis*-engineering was also utilized to modify known CREs in introns and downstream of genes. The disruption of the CARG element including the two causative SNPs downstream of *SIWUS* is the one of the remarkable examples recreating gain-of-function alleles^{11,70} (Fig. 1C). In Arabidopsis, a CTCTGYTY motif in the intron of *YUCCA3 (YUC3)* was

identified by ChIP-seq and is crucial for recruiting RELATIVE OF EARLY FLOWERING 6 (REF6) to its target loci⁷¹⁻⁷³. The deletion of four repeats of this motif leads to diminished binding of REF6 at the mutant loci. In addition, a 450 bp CRE in the 2nd intron of Arabidopsis *AGAMOUS* was deleted by CRISPR/Cas9 and verified as the activator of *AG* gene expression. The deletion of this CRE resulted in early flowering because of a 40 % decrease in its expression⁷⁴.

3.3 Promoter insertion/swapping

Promoter insertion and swapping can be achieved by homology-directed repair (HDR) with potentially great importance to crop improvement (Fig. 1D). However, HDR has been challenging due to its low efficiency in higher plants^{58,75}. So far only three cases have been reported in which the promoters were accurately inserted or swapped by CRISPR/Cas9-mediated HDR⁷⁶⁻⁷⁸. A 35S promoter was inserted upstream of *Anthocyanin 1 (ANT1)*, resulting in enhanced anthocyanin accumulation and intensely purple tomato tissues⁷⁶. In maize, the HDR pathway was used to insert as well as swap the native *GOS2* promoter in the 5'-untranslated region of the native *ARGOS8*. The edited plants show increased expression of *ARGOS8* and higher grain yield under drought stress conditions in field trials⁷⁷. Additionally, glyphosate tolerant cassava (*Manihot esculenta*) was generated by a promoter swap of the 5-*enolpyruvylshikimate-3-phosphate synthase (ESPS)* gene⁷⁸.

These encouraging achievements show the potential for using CRISPR/Cas-mediated *cis*-engineering to improve crop yield, quality and stress resistance.

4 Strategies for application of *cis*-engineering in horticultural crops improvement

4.1 *de novo* CRE discovery

Prior knowledge of CREs in *cis*-regulatory region is helpful to apply *cis*-engineering in crop improvement. Many previously described CREs, especially transcription factor binding sites (TFBSs), in plant promoters can be identified by submitting sequences to various databases

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including TRANSFAC⁷⁹, PLACE⁸⁰, PlantCARE⁸¹, JASPAR Core PLANTAE⁸², PlantTFDB⁸³ and Plant Regulomics⁸⁴. After the TFBSs have been predicted, the regions can be validated by either *in vitro* methods based on DNA-protein interaction, such as DNA electrophoretic mobility shift assay (EMSA), DNA pull-down and yeast one hybrid (Y1H) assays, or *in vivo* Chromatin immunoprecipitation (CHIP)-based methods, e.g. CHIP with DNA microarray (CHIP-chip) and CHIP-sequencing (CHIP-seq).

However, the vast majority of CREs are unknown or poorly characterized, highlighting the pressing need for *de novo* CRE discovery. The availability of genomic and transcriptomic data for many horticultural crops allows the identification of novel CREs using bioinformatics-based and experimental approaches^{13,85,86}. The *de novo* CRE discovery is based on sequence conservation that exists among groups of genes that are co-expressed as well as gene families within a single genome, and among orthologs of multiple species⁸⁶⁻⁸⁸.

Genes that show similar expression patterns or are in the same gene family are likely to be tightly co-regulated and/or functionally related. Therefore, clustering co-expressing genes and identification of gene families are helpful to explore conserved CREs and uncover their functions for transcriptional regulation. The shared CREs can be identified by the well-established methods such as Multiple Em for Motif Elicitation (MEME)⁸⁹ and eXhaustive evaluation of matriX motifs (XX motif)^{90,91}. An ensemble strategy was used for *de novo* soybean cyst nematode (SCN)-inducible motif discovery in the upstream regulatory sequences of 18 co-expressed genes⁹². Another strategy to identify conserved CREs is by comparing promoter sequences of orthologous genes from different species. Phylogenetic footprinting and variations of the technique are designed for the CRE discovery approach⁹³⁻⁹⁷. mVISTA is a commonly used tool for comparative analysis of genomic sequences⁹⁸. The comparison of the *CLV3* promoters in tomato with three other *Solanaceae* species, *S. pennellii*, potato (*S. tuberosum*) and pepper (*C. annuum*) was performed using mVISTA. This resulted in the identification of three putative CREs between tomato and pepper, and four CREs between tomato and potato¹¹. Complementary to bioinformatics-based approaches are experimental approaches, e.g. deconstructive and reconstructive approaches, by which numerous inducible and tissue specific CREs are characterized^{85,99}.

4.2 Choice of appropriate approach for CRISPR/Cas-mediated *cis*-engineering

CRISPR/Cas-based technologies offer multiple strategies to engineer *cis*-regulatory regions according to the prior knowledge of the target region or given purpose. If no prior knowledge of the target region exists, multiplexed CRISPR/Cas-mediated promoter targeting can be applied to putative ‘negative regulators’ of the desirable traits by creating a collection of reduced-function alleles (Fig. 1B). In addition, a well-defined promoter can be exchanged with the promoter of the gene of interest to increase expression level or change temporal/spatial expression pattern of the gene (Fig. 1D). For a given CRE in a target region of interest, the CRE can be disrupted or deleted on the basis of the random indel mutations introduced by NHEJ repair pathway^{11,60,66-68,70,73,74} (Fig. 1C).

CRISPR/Cas-mediated point mutations and CRE swaps are also important approaches to manipulate gene expression (Fig. 2). Apart from the above-mentioned SNPs that underlied the domestication of crops, numerous studies also documented that single nucleotide alterations in regulatory sequences can be sufficient to produce substantial effects on gene expression^{24,100-102}. For example, in soybean, nucleotide mutations in the core and flanking sequences of G-box element lead to both increases and decreases in gene expression in both native and synthetic promoters¹⁰³. In apple, the presence of R6 motif, a binding site of *MdMYB10*, in the promoter of *MdMYB10* results in auto-activation of the gene and elevated anthocyanins³⁶. The synthetic promoters of pear *MYB10* and *Arabidopsis MYB75* harboring the R6 motif significantly increase the expression of these genes leading to elevated anthocyanin levels in transgenic plants of pear and *Arabidopsis*¹⁰⁴. Moreover, the insertion of the R6 motif into the promoter of the gene encoding an anthocyanin biosynthetic enzyme F3'5'H and a vitamin C biosynthesis gene *GDP-L-Galactose phosphorylase (GGP)* of kiwifruit (*Actinidia eriantha*) altered the anthocyanin profile and increased vitamin C content in a *MYB10*-dependent manner, respectively¹⁰⁴. Therefore, the R6 motif can be harnessed to generate new diversity in many horticultural species to increase anthocyanin content (Fig. 2B).

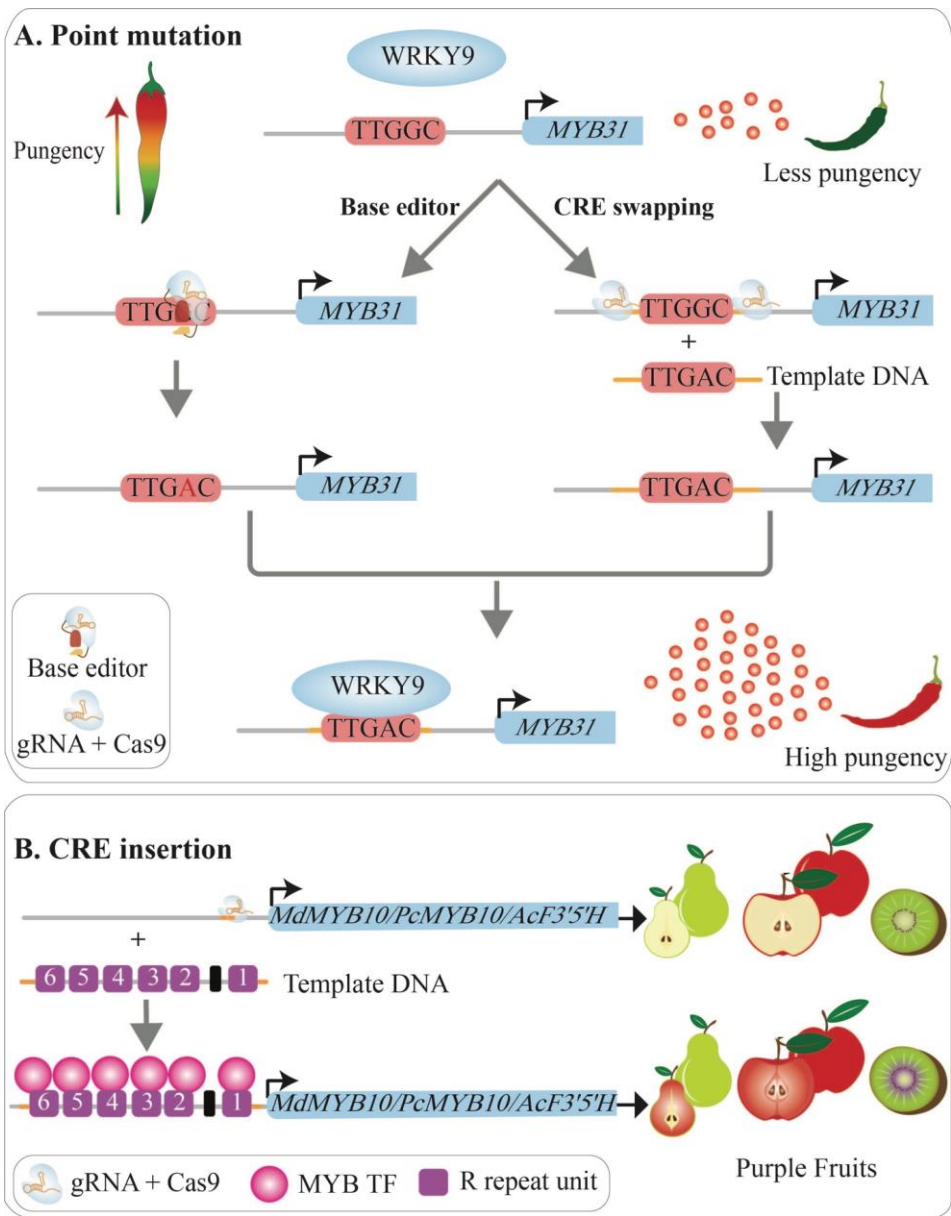


Figure 2 Examples of the potential applications of CRISPR/Cas-mediated *cis*-engineering in horticultural crops

(A) CRISPR/Cas-mediated point mutations can be achieved by base editor or HDR-mediated CRE swapping; In some *Capsicum* species, a mutated W-box in the *MYB31* promoter is not recognized by the activator WRKY9. Base editor and CRE swapping can change the motif TTGGC to W-box (TTGAC), which can be bound by WRKY9, resulting in increased expression of *MYB31* and higher pungency level.

(B) The R6 motif insertion mediated by HDR confers trans-regulation by flavonoid-related MYBs, which can bind the R6-containing promoters of the genes encoding enzymes of the anthocyanin biosynthetic pathway, resulting in enhanced expression of these genes and higher anthocyanin levels. CRE, *Cis*-Regulatory Element; *F3'5'H, flavonoid 3'5'-hydroxylase*.

4.3 Transgene-free genome editing

Transgene-free genome editing is the preferred choice for the application of *cis*-engineering for crop improvement and commercialization of genome-edited crops. Genome editing with stable expression of CRISPR/Cas DNA involves the integration of the construct into the host genome, raising concerns about undesirable off-target changes and biosecurity^{58,105-107}. Genetic segregation by selfing or crossing can be used to obtain transgene-free edited plants. Recently, several strategies have been developed to accelerate the removal of transgene components while retaining the desired mutations. These strategies include the integration of fluorescent markers^{108,109}, herbicide-dependent isolation system¹¹⁰ and the programmed self-elimination system¹⁰⁶.

An alternative approach for creating transgene-free edited plants is transient expression of CRISPR/Cas DNA as have been reported in many crops including wheat^{105,111}, barley¹¹², tetraploid potato^{113,114}, tomato¹¹⁵ and cotton¹¹⁶. Compared to stable transformation of CRISPR/Cas DNA, transient expression is especially useful in certain horticultural crops that are vegetatively propagated, self-incompatible, polyploid and/or have long juvenile stages¹¹⁷.

Given that traditional breeding, including chemical and physical induced mutagenesis, and DNA-based genome-editing may introduce off-target mutations, editing in a DNA-free manner via preassembled Cas9 protein-gRNA ribonucleoproteins (RNPs) is an increasingly popular approach due to higher specificity, and low off-target mutations further alleviating public

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concerns¹¹⁸⁻¹²¹. RNPs have been adopted in the transformation of protoplasts in some horticultural crops, such as lettuce (*Lactuca sativa* L.)¹²², *petunia*¹²³, apple and grape¹²⁴ and potato¹²⁵. However, regeneration of mature plants from the edited protoplasts is still challenging for most of the horticultural crops.

Currently, available transgene-free genome editing approaches are primarily conducted through

traditional transformation methods that require tissue culture which is a labor-intensive process.

Therefore, tissue culture-free methods are highly desirable and necessary for transgene-free genome editing. *In planta* transformation takes advantage of natural biological processes, which makes it a valuable alternative to *in vitro* tissue culture and regeneration^{126,127}. Various plant cells or tissues can be the ideal transformation targets, especially germline or meristematic cells, axillary or apical buds. Recently, *in planta* particle bombardment (iPB) has been used to deliver CRISPR/Cas9 DNA into shoot apical meristems (SAMs) resulting in transgene-free homozygous mutated wheat plants¹²⁸. Moreover, recent efforts have been made to deliver RNPs into embryo cells in maize¹²⁹ and wheat¹⁰⁵ by particle bombardment and into zygotes by PEG-Ca²⁺-mediated transfection in rice¹²¹.

5 Challenges and prospects

5.1 Genome complexity of horticultural crops

The genome sizes of horticultural crops are diverse, ranging from ~200Mb in some crops, e.g. peach (*Prunus persica*), to over 30 Gb in garlic (*Allium sativum*) and onion (*Allium cepa*)¹³. Many horticultural crops underwent polyploidy, posing extra challenges for genome editing using CRISPR/Cas technologies. Genome editing of polyploid crops requires increased efficiency to edit multiple alleles simultaneously. Even so, CRISPR/Cas technologies have been successfully applied in many polyploid crops due to continuous improvements including highly active Cas nuclease, multiplex genome editing and efficient expression systems^{59,130,131}. In case of octoploid and highly heterozygous cultivated strawberry (*Fragaria × ananassa* cv. Camarosa), all five alleles of *FaTM6* were successfully edited using CRISPR/Cas9-mediated dual sgRNA approach¹³². Although the genome of *F. × ananassa* is not yet available, the diploid wild strawberry *F. vesca* reference genome was employed to analyze the allelic variation in the

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421 *FaTM6* locus. In this regard, a workflow has been proposed for CRISPR/Cas-mediated
422 mutagenesis for plant species that lack genome sequence information, or feature high
423 heterozygosity or ploidy levels¹³³. This workflow could be also applicable for many horticultural
424 crops.

425
426 **5.2 High-throughput *de novo* discovery of CREs in their native context**

427
428 Currently, experimental validation of predicted CREs largely rely on *in vitro* techniques that are
429 low accuracy and slow throughput. In recent years, new applications such as DNase-seq (DNase
430 I hypersensitive sites sequencing), ATAC-seq (Assay for Transposase-Accessible Chromatin
431 using sequencing) and CHIP-seq, have significantly increased our understanding of
432 transcriptional regulatory elements¹⁰². However, these techniques only provide circumstantial
433 evidence and cannot assess the function of CREs in their native context¹⁰². As a complementary
434 approach, CRISPR/Cas-based tiling screen approach was developed in mammalian cells to
435 pinpoint functional CREs in their endogenous context¹³⁴. The strategy is to densely tile gRNAs
436 across a *cis*-regulatory region to map functional regulatory elements¹³⁵⁻¹³⁹. Although the
437 CRISPR/Cas-based tiling screen approach hasn't been applied for pinpointing CREs at a large
438 scale in plants, its feasibility was demonstrated in tomato by Rodríguez-Leal, et al.¹¹.

439
440 **5.3 Efficient and precise genome editing**

441
442 Efficient precise genome editing is required to achieve *cis*-engineering at the nucleotide level.
443 Base editors, including cytidine base editors (CBEs) and adenine base editors (ABEs), are
444 efficient tools for introducing base substitutions at target sites beyond double-strand breaks
445 (DSBs)^{12,140,141}. Until now, only CBEs have been optimized and applied for gene function studies
446 in horticultural crops, including tomato^{115,142}, potato^{114,115} and watermelon¹⁴³. Although base
447 editors are good alternatives to HDR-mediated point mutations, it has been challenging to
448 achieve knock-in and replacement of desired CREs in plants. Much efforts have been made to
449 improve the efficiency of HDR through donor design and modulating repair pathways¹³¹.
450 Recently, a fast and precise multiplexing genome editing method was developed in moss
451 (*Physcomitrella patens*)¹⁴⁴. Co-transformation of CRISPR/Cas9 and oligonucleotide templates

introduced various mutations into the moss genome with high accuracy and efficiency. It will be interesting to apply such a fast and efficient technology in crops.

5.4 Epigenome editing

The natural epimutations in plants illustrate the potential to further generating phenotypic variation⁴⁴. However, only a small number of natural epialleles have been described in horticultural crops^{48,50-54}. Fortunately, nuclease-dead Cas (dCas)-mediated epigenome editing technologies hold great promise to expand phenotypic diversity in crops^{44,45}. While some epialleles can be stably inherited over several generations, others epialleles are transient^{48,145-147}. Thus, the stable transmission of editing induced epigenetic changes to the offspring remains unclear^{44,148}. In addition, the expression of CRISPR components may be needed to maintain the trait in the offspring, limiting its application for crop improvement. Further development of CRISPR-based editing tools and the identification of valuable epialleles in horticultural crops will contribute to the application of epigenome editing for expanding phenotypic diversity.

6 Concluding remarks

We need to continuously improve horticultural commodities to meet the rising demand for food and ornamental production. The widespread applications of CRISPR/Cas technologies in horticultural crops opens the possibility for accelerating new variety development^{6-10,14}. Engineering *cis*-regulatory regions using CRISPR/Cas allows the creation of novel variants resulting in quantitative variation and thus holds great potential for creating phenotypic diversity. However, *cis*-engineering is in the beginning stages, and complex relationships between regulation of gene expression by different CREs and the resulting phenotypic changes remains to be fully elucidated^{11,15,149}. Therefore, using these CRISPR/Cas techniques to screen for desirable traits at the phenotypic level rather than detecting gene expression differences is practical for crop improvement (Fig. 3). Although challenges remain, the application of CRISPR/Cas-mediated *cis*-engineering for horticultural crops improvement will further enhanced breeding efforts to improve crop yield, resilience and commercially desirable traits.

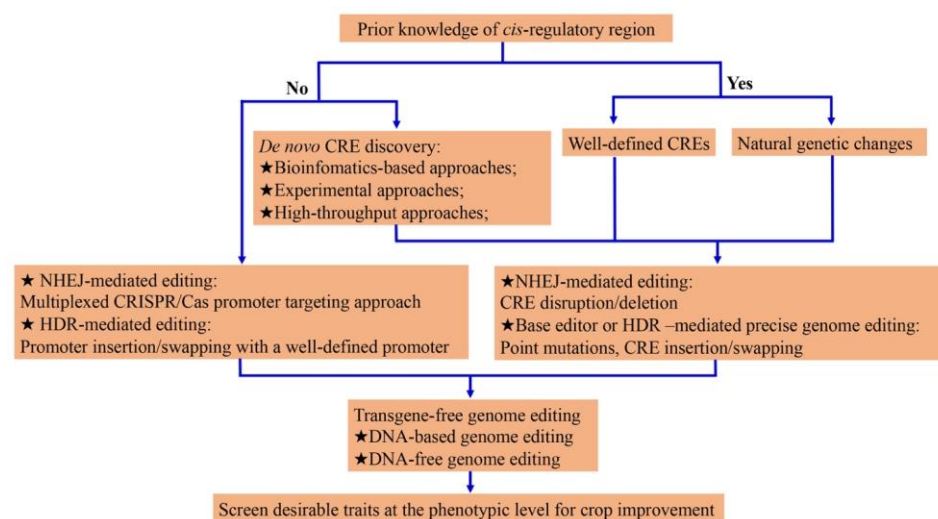


Figure 3 Strategies for applying CRISPR/Cas-mediated *cis*-engineering via genome editing in horticultural crops.

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Author's contributions

QL and EvdK wrote the review and MS prepared the figures and edited the manuscript.

Conflict of interest

The authors declare that they have no conflict of interest

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