

1 A DOSE OF EXPERIMENTAL HORMESIS:
2 WHEN MILD STRESS PROTECTS AND IMPROVES ANIMAL PERFORMANCE

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18 **ABSTRACT**

19 The adaptive response characterized by a biphasic curve is known as hormesis. In a
20 hormesis framework, exposure to low doses leads to protective and beneficial responses while
21 exposures to high doses are damaging and detrimental. Comparative physiologists have studied
22 hormesis for over a century, but our understanding of hormesis is fragmented due to rifts in
23 consensus and taxonomic-specific terminology. Hormesis has been and is currently known by
24 multiple names; preconditioning, conditioning, pretreatment, cross tolerance, adaptive
25 homeostasis, and rapid stress hardening (mostly low temperature: rapid cold hardening). These
26 are the most common names used to describe adaptive stress responses in animals. These
27 responses are mechanistically similar, while having stress-specific responses, but they all can fall
28 under the umbrella of hormesis. Here we review how hormesis studies have revealed animal
29 performance benefits in response to changes in oxygen, temperature, ionizing radiation, heavy
30 metals, pesticides, dehydration, gravity, and crowding. And how almost universally, hormetic
31 responses are characterized by increases in performance that include either increases in
32 reproduction, longevity, or both. And while the field can benefit from additional mechanistic
33 work, we know that many of these responses are rooted in increases of antioxidants and
34 oxidative stress protective mechanisms; including heat shock proteins. There is a clear, yet not
35 fully elucidated, overlap between hormesis and the preparation for oxidative stress theory; which
36 predicts part of the responses associated with hormesis. We discuss this, and the need for
37 additional work into animal hormetic effects particularly focusing on the cost of hormesis.

38

39 Keywords: dose response, antioxidants, life history, trade-offs, POS hypothesis.

40 INTRODUCTION

41 The notion in the field of toxicology that the dose makes the poison, referencing dose
42 responses and lethal effects, dates back to Paracelsus more than 500 years ago (Mattson and
43 Calabrese 2010), and it is at the heart of the concept known as hormesis. The idea behind the
44 term hormesis dates back to the 1800s when Hugo Schulz first described a low dose stimulatory
45 response associated with a toxic agent; sodium hypochlorite (Calabrese and Baldwin 2000). But
46 the term itself was first used to describe a low dose excitatory response of fungi to red cedar tree
47 extracts (Southam and Ehrlich 1943, Calabrese 2014). As we use the term today, hormesis is
48 defined as an adaptive biphasic dose response where low doses result in protective effects that
49 can lead to improved organismal performance while high doses result in detrimental effects that
50 lead to negative performance and fitness consequences (Calabrese and Baldwin 2001, Calabrese
51 et al. 2007, Mattson 2008, Calabrese 2016a,b). In this framework, mild exposure to chemical,
52 biotic, or abiotic stressors elicits an adaptive response that elevates cellular defenses and protects
53 the organism. This protection is accompanied by a boost in performance that goes beyond that
54 seen in untreated individuals as seen in our own hormetic dose response curve of *Drosophila*
55 *melanogaster* exposed to x-ray irradiation (Fig. 1). Beyond toxicology and stress physiology,
56 interest in this protective mechanism is growing in regard to human aging, the treatment of
57 disease (Calabrese and Blain 2005, Calabrese et al. 2012), and exercise physiology (Ji et al.
58 2010, Ji et al. 2016). In this review, we will focus on how comparative animal physiologists have
59 studied hormesis in non-human animals, and why there is additional need for mechanistic work
60 elucidating how hormesis affects animal performance, as well as, the cost associated with this
61 adaptive protective response.

62 In the comparative physiology literature, hormesis is known by different names and one
63 of the biggest disconnects in stress physiology is the challenge that biological stress response
64 terminology represents (Calabrese et al. 2007). Different subdisciplines focus on their own
65 terminology to the disadvantage of an overall and universal term such as hormesis. Adaptive
66 response and cross tolerance are the most common terms that displace hormesis in the literature.
67 The term adaptive response refers to a plastic response, that occurs after exposure to mild doses
68 of a toxic agent, aimed at the restoration of homeostasis (Samson and Cairns 1977). Similarly,
69 adaptive homeostasis is used to explain the increased or decreased seen in the homeostatic range
70 of an animal exposed to sub-lethal conditions (Davies 2016). While the term cross tolerance
71 refers to the animal's ability to defend against damage caused by stressor B after a brief exposure
72 to stressor A (Gruber and Keyser 1945). All four terms fall under the banner of hormesis, as
73 hormesis is an adaptive response to stress that elevates cellular defenses targeting the restoration
74 of homeostasis; those elevated defenses can then provide additional protection from a second,
75 often more challenging stressor (Mattson 2008). The terms preconditioning or pretreatment are
76 also occasionally encountered in the comparative physiology literature. Under pretreatment,
77 preconditioning, or conditioning a mild/brief stressor is applied to the animal followed by a
78 stronger stressor or cellular damaging event. The pretreatment is meant to elevate the cellular
79 defenses to offer protection from the stronger stressor. The way we define and use pretreatment
80 and preconditioning is functionally no different than the usage of cross tolerance. Catfish
81 pretreated with hypoxia (low oxygen) being able to survive higher temperatures (Burleson and
82 Silva 2011) and anoxia protecting flies from gamma radiation (Fig. 2; López-Martínez and Hahn
83 2012, 2014) are just a couple of examples of this type of hormesis. What clusters these
84 approaches together is that they rely on the adaptive response to low doses that is hormesis.

85 One of the central tenets of hormesis is that it allows for cellular protection to build up
86 during the mild dose exposure, and these defenses are present for some time following the end of
87 the exposure (López-Martínez and Hahn 2012). It is these defenses that prevent the accumulation
88 of stress-induced damage and therefore improve organismal performance over time. At the core
89 of this mechanism of cellular protection is the mitochondria. It is hypothesized that reactive
90 oxygen species (ROS) are disproportionately generated during bouts of stress (Halliwell and
91 Gutteridge 1993), and during mild stress, these ROS serve as signaling molecules that promote
92 normal functioning (Ristow and Schmeisser 2014, Sies 2017). In fact, it may be small amounts
93 of ROS production that are a crucial part of the adaptive response we call hormesis (Huang et al.
94 2019). These ROS are thought to signal that the resumption of normal metabolism will be
95 accompanied by higher and damaging levels of ROS production, and the ensuing oxidative
96 damage, thus activating cellular defenses. It is this mechanism that is described as the
97 Preparation for Oxidative Stress (POS) hypothesis (Hermes-Lima et al. 1998, Hermes-Lima and
98 Zenteno-Savin 2002, Giraud-Billoud et al. 2019). And because there is ample evidence that
99 animals prepare for oxidative stress when exposed to mild stress (Storey 1996, Giraud-Billoud et
100 al. 2019), there is an overlap between the POS hypothesis and hormesis that is not yet currently
101 fully understood.

102

103 **OXYGEN HORMESIS**

104 Low oxygen has been one of the leading stressors known to confer hormetic effects
105 dating back at least 45 years (Robinson 1975). The benefits of low oxygen are mechanistically
106 rooted in the POS hypothesis (Giraud-Billoud et al. 2019), where the mitochondria upon
107 experiencing a decrease in oxygen, prepares for the ensuing oxygen reperfusion by elevating

108 cellular defenses; primarily oxidative stress defenses (Hermes-Lima et al. 1998, 2001). These
109 defenses often exceed what is required to compensate for oxygen reperfusion damage and the
110 animal benefits from the additional protective boost. In addition to the multiple scenarios where
111 an animal may encounter hypoxia in their habitat (under water, underground, inside hosts, high
112 altitudes, etc.), many animals possess varying degrees of tolerance to a total lack of oxygen;
113 anoxia (Storey 1996). The bulk of the low oxygen hormesis work has focused in anoxia and it is
114 connected to the remarkable tolerance that some animals have to an oxygen free environment.
115 Vertebrates do not have the robust tolerance for long periods in anoxia at physiological-relevant
116 temperatures (above 20°C) that invertebrates have (Storey 1996), still we find that poikilothermic
117 vertebrates are able to survive prolonged periods of anoxia (i.e. more than 24hrs), if the exposure
118 occurs at low temperatures normally associated with overwintering responses. When
119 temperatures range from 3 to 5°C, red-sided garter snakes, *Thamnophis sirtalis parietalis*, and
120 leopard frogs, *Rana pipiens*, can survive several days of anoxia (Churchill and Storey 1992,
121 Pinder et al., 1992), while red-eared slider turtles, *Trachemys scripta elegans*, experience anoxia
122 for three to four months during their overwintering period (Hermes-Lima and Zenteno-Savín
123 2002). This tolerance to an oxygen free environment for such a long period is connected to
124 increased activities of various antioxidant enzymes (Hermes-Lima and Zenteno-Savín 2002). An
125 impressive anoxic response is seen in the goldfish, *Carassius auratus*, where individuals can
126 survive an eight-hour exposure at physiologically relevant temperatures (20°C; Lushchak et al.
127 2001). On the other hand, invertebrates encounter anoxia at higher degrees due to their soil-
128 dwelling stages and their semi-aquatic ecologies, and they have evolved adaptations to prevent
129 damage from oxygen deprivation (Storey 1996, Harrison et al. 2006). Because of this,
130 invertebrates can tolerate longer periods of anoxia at physiological temperatures. The Caribbean

131 fruit fly, *Anastrepha suspensa*, can survive upwards of 50 hours at 25°C without negatively
132 impacting flight performance (López-Martínez and Hahn 2012). On the more extreme side,
133 larvae tiger beetles in the genus *Cicindela* can survive more than six days in anoxia, which is
134 usually accompanied by being submerged in water (Hoback et al. 1998). For both invertebrates
135 and vertebrates, survival of prolonged periods of anoxia is a remarkable physiological feat,
136 nevertheless, most of this work has focused on extended anoxia tolerance and not on hormesis.
137 While these animals may gain survival, longevity, and/or higher reproductive outputs from their
138 anoxia exposure; whether these exposures lead to hormesis remains largely unexplored. In a
139 hormetic framework, it is short bouts of anoxia exposure (minutes to a few hours) that will
140 trigger protective mechanisms that confer defense and boost performance.

141 Most of what we know about anoxia hormesis comes from cross tolerance experiments
142 where anoxia is used as a preconditioning treatment prior to exposure to sub-lethal or lethal
143 doses of stress. In the locust, *Locusta migratoria*, thermotolerance increases after a short (1 hr.)
144 exposure to anoxia (Wu et al. 2002). Locust that experienced anoxia can survive up to 1.5 hours
145 at 53°C; a benefit that is connected to the long flights experienced during migration that are
146 accompanied by increased oxygen demand and high temperatures. On the other temperature
147 extreme we have house flies, *Musca domestica*, that are able to survive at -7°C after a short
148 exposure (40 minutes) to anoxia (Coulson and Bale 1992). In this context, anoxia acts as the mild
149 temperature pretreatment that triggers the adaptive hormetic response known as rapid stress
150 hardening (RSH; described in the next section). Anoxia hormesis can also protect from
151 additional exposure to anoxia. In *Anastrepha suspensa*, anoxia experienced during development
152 triggers the reallocation of stored lipids and changes the dynamics of recovery by reducing the
153 oxygen debt, without decreasing adult fecundity and longevity (Visser et al. 2018).

154 When it comes to anoxia hormesis, the most understood protective effects that boost
155 animal performance come from work that examines the effects of anoxia when combined with a
156 strong oxidizing event; ionizing radiation. Whether using gamma radiation or X-rays, short
157 exposure (1 hr.) of anoxia prior to irradiation leads to significant improvements in organismal
158 performance. The first recorded evidence of this was in codling moths, *Cydia pomonella*, where
159 an oxygen free environment led to survival of normally lethal gamma radiation doses (Robinson
160 1975). This prompted an interest in exploring whether hypoxia could have similar protective
161 effects and in fact low oxygen is results in improved treatment survivorship, flight performance,
162 and mating competitiveness in Mediterranean fruit flies, *Ceratitis capitata* (Hooper 1971,
163 Ohinata et al. 1977, Nestel 2007). In another fruit fly, *A. suspensa*, the mechanism of anoxia
164 hormesis involves the upregulation of various antioxidant enzymes (mitochondrial and cytosolic
165 SODs and glutathione peroxidase), which help lower radiation-induced oxidative stress and
166 increase flight ability, starvation resistance, mating success, and longevity (López-Martínez and
167 Hahn 2012, 2014). The increases in longevity were significant, allowing flies that would die in a
168 matter of hours to live for weeks (Fig. 2). This mechanistic finding of how anoxia confers its
169 hormetic benefits also provides additional support to the preparation for oxygen stress hypothesis
170 as the same mitochondrial protection mechanisms are involved in both responses, providing
171 further evidence for the link between hormesis and the POS hypothesis (Giraud-Billoud et al.
172 2019, Geihs et al. 2020). While most of these anoxia hormetic effects are male-specific, females
173 survive higher doses of gamma radiation when combined with anoxia conditioning (López-
174 Martínez and Hahn 2012). The benefits extend into mating, where male mating success is higher
175 in anoxia-irradiated males at the peak of sexual maturity (10 days after treatment), but the males
176 remain sexually competitive into old age (30 days); mating at a higher rate (19:1 at 30 days vs

177 3:1 at 10 days) than there non-hormetic counterparts (López-Martínez and Hahn 2014). Similar
178 effects were recorded in the cactus moth, *Cactoblastis cactorum*, where anoxia prior to X-ray
179 irradiation improves flight performance (López-Martínez et al. 2014). These male moths were
180 more likely to fly, flew for longer periods of time, and for further distances. Additionally, the
181 male moths had increased antioxidant capacity, which was linked with increased mating success,
182 living longer, and higher F₁ progeny hatching. In *Trichoplusia ni* moths, anoxia hormesis rescues
183 gamma radiation induced mortality (López-Martínez et al. 2016b).

184 Most of these anoxia hormetic effects were male-specific and the likely reason is that
185 females bear a strong cost of hormesis that has been harder to quantify in males. Dating back to
186 Robinson's work, he found that the anoxia-mediated survival of lethal gamma radiation doses
187 experienced by the moths came with a reduction of F₁ offspring (~10% less; Robinson 1975).
188 Even though he did not present his findings in a hormesis context, this represents the first
189 recorded cost of anoxia hormesis and one of the first ever recorded costs associated with any
190 type of hormesis. Since then, other groups have found that the cost of anoxia hormesis is
191 connected to reproduction. In the cabbage looper moth *T. ni*, females receiving anoxia hormesis
192 (in the absence of cross tolerance), experienced a significant decreased in the number of eggs
193 laid (~60%), and an additional decrease in the number of laid eggs that hatched (~70%; López-
194 Martínez et al. 2016b). This reduction in fecundity and fertility associated with anoxia hormesis
195 was also recently found in the mealworm beetle, *Tenebrio molitor*. Female beetles that lived
196 longer and were more active during old age as a result of anoxia hormesis, experience a total
197 reproduction output decline of 40% (De La Torre and López-Martínez unpublished). This
198 suggests that the anoxia hormesis protective response operates under a classic life history trade-
199 off response (Stearns 1989). Quantifying the costs of hormesis is crucial given the increased

200 performance experienced by the animals that received it, and these benefits extend beyond the
201 parental generation and provide transgenerational protection. In cactus moths, there was higher
202 pupation and adult emergence of F₁ offspring (López-Martínez et al. 2014). And the offspring
203 that experienced higher rates of survival were more readily able to build protective webs upon
204 hatching, in the absence of food (López-Martínez et al. 2016a). An additional and usual
205 protective effect of anoxia was the rescue of X-ray and gamma radiation-induced sterility in
206 cactus and cabbage looper moths (López-Martínez et al. 2016a,b); indicating that at least a
207 fraction of the radiation-induced sterility normally associated with ionizing radiation sterilization
208 is related to oxidative damage to DNA that can be prevented with anoxia hormesis.

209

210 **TEMPERATURE HORMESIS**

211 Given the connection between seasonality and temperature, we find a lot of the work on
212 temperature hormesis primarily focusing on low temperature as it relates to overwintering
213 survival strategies (Storey and Storey 1988, Denlinger and Lee 2010). A common type of animal
214 temperature hormesis is rapid cold hardening (RCH). First studied in flies (Lee et al. 1987),
215 under RCH a brief (1 to 2hr) exposure to non-freezing low temperatures (2 to 5°C) triggers an
216 adaptive response that provides protection from lower temperatures and freezing injury (Chen et
217 al. 1987). The literature on RCH is extensive with at least 120 papers that carry rapid cold
218 hardening in the title and at least an additional 100 that deal, in part, with the phenomena. The
219 known short-term effects of RCH include increased survival of sub-zero exposures (Denlinger
220 and Lee 2010), decreased chill-coma inducing temperature (Kelty and Lee 1999), decreased
221 lower freeze tolerance limit (Lee et al. 2006), decreased water loss rates (Yoder et al. 2006,
222 Wada and Matsukura 2011), and increased cell viability protecting against apoptosis (Yi et al.

223 2007). Investigations into RCH have mostly focused on the short-term survival benefits of this
224 type of hormesis, but studies into the long-term benefits have revealed that this type of hormesis
225 is not just about short-term stress survival. Some of the long-term effects are connected to fitness
226 as RCH preserves courtship and mating performance in flies (Shreve et al. 2004), increases
227 fecundity and longevity in aphids (Powell and Bale 2005), prevents the disruption of
228 learning/conditioning in flies (Kim et al. 2005), and preserves flight ability in butterflies (Larsen
229 and Lee 1994). Mechanistically, sugar alcohols and trehalose are involved in this type of
230 hormesis, alongside heat shock proteins and membrane restructuring genes. Transcriptomic
231 analysis have revealed that redox signaling is also a part of this type of hormesis response
232 (MacMillan et al. 2016).

233 As in most types of hormesis, very little work exists quantifying the cost of RCH. It has
234 been found that in certain species, like *Musca domestica*, the increased cold tolerance associated
235 with RCH can lead to shorter lifespan, reduced oviposition, and lower F_1 emergence rates
236 (Coulson and Bale 1992), but this was not the case in *Drosophila melanogaster* (Kelty and Lee
237 1999) or *Sarcophaga crassipalpis* were RCH rescues cold-shock induced losses in fecundity in
238 both sexes (Rinehart et al. 2000). It is conceivable that the reduction in reproduction could be
239 masked by the increased performance in the short term, but it would be made apparent in the
240 long-term. It stands to reason that a certain tradeoff between performance and reproduction must
241 be present in this hormetic scenario (Stearns 1989), and previous studies have focused more on
242 the strong and broad short-term response than the less visible long-term effects, including the
243 cost of RCH. Rapid stress hardening (RSH) responses are mostly studied in low temperature
244 (RCH), but there is some work indicating that rapid heat hardening (RHH) also confers
245 protection from lethal high temperatures. A brief (1 hr.) pretreatment at 37°C showed improved

246 survival at 43°C in the codling moth, *Cydia pomonella* (Chidawanyika and Terblanche 2011) but
247 this was not the case in hematophagous bed bugs, *Cimex lectularius* (Benoit et al. 2009a). In
248 other systems, like the channel catfish *Ictalurus punctatus*, a preconditioning low oxygen
249 treatment triggers an RHH response that increases their CT_{max} (Burleson and Silva 2011). This
250 indicates that hormetic responses to temperature, just like those to anoxia, are widespread among
251 animals and may be triggered by short/sublethal temperature exposures or other stressors such as
252 variations in oxygen concentration.

253

254 **IONIZING RADIATION HORMESIS**

255 Ionizing radiation (ultraviolet, gamma rays, and X-rays) may be one of the first non-
256 chemical stressors used to investigate low dose protective effects. This work dates back over one
257 hundred years when low dose X-ray experiments first showed lifespan extension in animals
258 (Davey 1917, 1919). At a time that predates much of our understanding of oxidative stress,
259 antioxidant biology, and hormesis; it was clear that X-rays had a protective effect in flour
260 beetles, *Tribolium confusum*, with potential to stimulate cell proliferation and immune function
261 (Calabrese 2013). Much of what we know about X-ray hormesis comes from insects, and a large
262 proportion of the work relates to radiation-based control strategies like the sterile insect
263 technique (SIT; Klassen and Curtis 2005) and phytosanitary irradiation (IPT; Hallman 2011);
264 where high doses are used to achieve pest control through sterility or death. However, in a
265 hormesis context, we are interested in the effect that low doses have at providing protection and
266 ionizing radiation-induced lifespan extensions have been observed in flies, mosquitoes, moths,
267 crickets, beetles, and wasps (Calabrese 2013). In addition to finding the appropriate hormetic
268 dose that can induce protection and boost performance, the age of the individual at the time of

269 treatment can have dramatic effects on hormesis. A few days can strongly influence response
270 outcomes between a treatment being hormetic, and extending lifespan, or becoming lethal in
271 beetles (Ducoff 1975) and caterpillars (López-Martínez et al. 2016b). Exposure to low dose
272 gamma radiation shortened embryogenesis time and led to heavier larvae that produced more silk
273 in their pupal cocoons in the silkworm, *Bombyx mori* (Yusifov et al. 1990, Shibamoto et al.
274 2017). This represents a strong hormetic effect that improved multiple life history traits in these
275 animals, but without lifespan information we do not know the full extent of the benefit to the
276 silkworms. These hormetic effects of low dose ionizing radiation are not limited to invertebrates,
277 and low dose gamma radiation is known to extend the lifespan of laboratory mice by about 20%
278 (Caratero et al. 1998).

279 While the mechanism behind low dose X-rays life extension has not been completely
280 elucidated (see Table 1), there seems to be a connection to the mitochondria and energetics as
281 seen in *Drosophila* where starvation plays a role in their increase in longevity (Lamb 1964).
282 These flies live longer when low dose radiation is combined with starvation. The redox signaling
283 connection is deepened when *Drosophila* mutants for antioxidant genes, heat shock proteins, and
284 DNA repair genes had reduced activity and shorter lifespans when exposed to low dose X-rays
285 (Moskalev et al. 2006, Moskalev et al. 2009). Whether looking at males or females, low dose
286 gamma radiation extends lifespan in *Drosophila*, and that hormetic effect is connected with the
287 elevated expression of stress genes including heat shock proteins (Zhikrevetskaya et al. 2015).
288 Additionally, expression of antioxidant enzymes and oxidative stress response genes seem to be
289 a crucial component of a proposed mechanism for lifespan extension in response to X-ray
290 hormesis (Seong et al. 2011). This mechanistic explanation has gathered support from a
291 vertebrate model where low-dose X-rays trigger increases in glutathione, catalase, and

292 glutathione-S-transferase in the tissues and blood of female Wistar rats (Sharma et al. 2019).
293 This increase in antioxidants led to a decrease of oxidative damage to the liver, kidney, and brain
294 in these animals. And while oxidative damage in the blood was not decreased as it was in tissues,
295 there was an increase of immune cells (lymphocytes and eosinophils) in response to low dose
296 radiation indicating a level of protection being activated in the blood (Sharma et al. 2019).

297 Another type of ionizing radiation, ultraviolet radiation (UVR), is more pervasive across
298 animal taxa because it reaches the earth's surface and penetrates deeply into the waters (UVA-
299 320-400nm; Misra et al. 2002), some of it penetrates through regions with thinner ozone layers
300 (UVB-(290-320nm; McKenzie et al. 2011), but fortunately its most energetic and damaging form
301 is absorbed by the atmosphere (UVC-200 -290nm; Schuch et al. 2017). UVA incidence is
302 naturally much higher than UVB in any given latitude in the northern and southern hemispheres
303 (Schuch et al. 2017), but UVB represents a challenge for polar animals (López-Martínez et al.
304 2008). Increases in ROS production and the ensuing oxidative stress are associated with
305 increases in the activity of various antioxidant enzymes (Hermes-Lima et al. 1998, Agnez-Lima
306 et al. 2012, Won et al. 2014). This response is mediated in part by transcription factors that
307 stimulate antioxidant gene expression (Cadet et al. 2005, Agnez-Lima et al. 2012). The
308 combination of the production of ROS and the increase in antioxidant enzymes suggests that
309 UVR hormesis would likely occur given the appropriate level of exposure, nonetheless, little
310 experimental data exists. Most data on UVR hormesis comes from plants, where UVR promotes
311 growth (Tezuka et al. 1993). There is recent data showing that marine copepods exposed to non-
312 lethal UVR doses, will have larger first clutches of eggs (Heine et al. 2019) and we have data
313 indicating that UVR exposure in *Drosophila* flies improves performance (Berry III and López-
314 Martínez unpublished data). These are the first steps in trying to quantify UVR hormetic effects,

315 and if these effects follow the same pattern as other types of ionizing radiation then they would
316 also be rooted in redox signaling and the POS hypothesis.

317

318 **CHEMICAL HORMESIS**

319 Although comparative physiology hormesis work largely focuses on environmental
320 abiotic factors and how they lead to hormetic effects on animals, studies featuring environmental
321 toxins and pesticides continue to increase in prevalence because of the potential exposure of
322 animals to low doses of these chemicals and the effect of those exposures can have on their
323 performance and survival. Heavy metals like arsenic and cadmium are dangerous soil
324 contaminants but low doses of arsenite prolongs lifespan in nematodes (Schmeisser et al. 2013).

325 Arsenite triggers the production of ROS which in turn activates antioxidant defenses. These
326 defenses are linked to the longer life experienced by the worms, similarly to other types of
327 hormesis (Fig. 3). Low doses of cadmium increase growth rate in American toads (*Bufo*
328 *americanus*, James and Little 2003) and leopard frogs (*Rana pipiens*, Gross et al. 2007), and
329 result in body size gains and faster metamorphic rates in Chinese toads (*Bufo gargarizans*, Ya et
330 al. 2019). Additionally, low doses of cadmium elicit a protective heat shock protein response in
331 mouse cells (Damelin 2000).

332 Another big class of environmental contaminants where hormesis has been found is
333 pesticides. At low doses, pesticides can increase fecundity and reproductive output by increasing
334 the net reproductive rate (NRR); a measure of how well females are being replaced in subsequent
335 generations. Neonicotinoids, like clothianidin, increase fecundity and NRR in black cutworm
336 moths (*Agrotis ipsilon*, Ding et al. 2018). Imidacloprid, another neonicotinoid, leads to faster
337 development and higher NRR in thrips (*Frankliniella occidentalis*, Cao et al. 2019), increased

338 fecundity in *Paederus fuscipes* beetles (Feng et al. 2019), increased reproductive output and
339 survival under stress in green peach aphids (*Myzus persicae*, Rix et al. 2016), and increased
340 survival when challenged with higher doses in *M. persicae* (Rix and Cutler 2018). The
341 pyrethroid Lambda-cyhalothrin increases fecundity and NRR in *Mythimna separata* moths (Li et
342 at. 2019), and the pyrethroid deltamethrin increases NRR in maize weevils (*Sitophilus zeamais*,
343 Guedes et al. 2010), while cyantraniliprole, a ryanoid insecticide, increases fecundity in
344 *Drosophila suzukii* (Shaw et al. 2019). Even glyphosate, known to have hormetic effects in
345 plants (Brito et al. 2018), was found to make earthworms grow heavier and live longer when
346 exposure was combined with warmer soil temperatures; possibly a type of cross tolerance
347 hormesis (Pochron et al. 2019). Because of concerns over off-target effects of insecticides and
348 colony collapse disorder, Cutler and Rix (2015) reviewed whether pesticide hormesis was
349 recorded in honeybees. Caffeine and nicotine were shown to positively impact long-term
350 memory and retention (Wright et al. 2013), and improved olfactory learning (Thany and
351 Gauthier 2005). The effects of chemical hormesis in honeybees were not originally reported as
352 hormesis but it was the efforts of additional investigation that revealed them as such (Cutler and
353 Rix 2015). It is likely that there are more pesticide hormetic effects in the literature, as these
354 effects are not reported as hormetic but rather as failures of pesticide efficacy. Pesticide hormesis
355 is not just limited to invertebrates, wood frogs survive exposure from certain insecticides after
356 experiencing prior exposure to different insecticides (Hua et al. 2013). Thus, it is foreseeable that
357 pesticides trigger a type of rapid stress hardening hormetic response that allows for additional
358 protection.

359

360 **OTHER TYPES OF HORMESIS**

361 Hormesis has also been investigated in a variety of systems and in response to stressors
362 that are far less understood than oxygen, temperature, and chemicals. Mild (small amounts of
363 water loss) or slow (water loss over a longer period) dehydration is associated with hormetic
364 effects in a cross-tolerance framework. Dehydration (mild or slow) leads to increased
365 pupariation, increased cell viability, and faster recovery from low temperature exposure in flesh
366 flies, *Sarcophaga bullata* (Yi et al. 2017). In a polar insect, *Belgica antarctica*, slow mild
367 dehydration leads to greater survival when exposed to -14°C (Benoit et al. 2009b, Kawasaki et
368 al. 2019); and that slow rate of dehydration also increases survival to lethal high temperatures
369 (30 °C; Benoit et al. 2009b). There seems to be a type of pathway cross talk between low doses
370 of anoxia, temperature, and dehydration which allows these stressors to induce rapid stress
371 hardening responses and protect against lethal temperatures. Additionally, these three stressors
372 are linked through shared metabolomic profile responses (Michaud et al. 2008). Slow
373 dehydration is associated with increased expression of heat shock proteins, antioxidant enzymes,
374 and membrane remodeling genes (López-Martínez et al. 2009). Even when that dehydration
375 comes about from exposure to hyperosmotic sea water, it results in higher freezing tolerance
376 survival in *B. antarctica* (Elnitsky et al. 2009). This effect of dehydration extends to *D.*
377 *melanogaster* flies that can recover faster from chill coma if they were previously selected for
378 dehydration resistance, although there is evidence that this hormetic effect might not be entirely
379 related to the selection event (Sinclair et al. 2007).

380 A stressor that is far less understood than most is gravity and there is strong evidence that
381 hypergravity (3 or 5 g) has hormetic effects in *Drosophila* flies (Le Bourg et al. 2004). Two
382 weeks at hypergravity at a young age increased longevity in males (Le Bourg et al. 2004).
383 Hypergravity also had additional protective effects as it triggered a rapid stress hardening

384 response that increased survival time at 37°C. But these improvements in longevity and survival
385 are not related to the antioxidants enzymes (Le Bourg and Fournier 2004), which indicates that
386 this type of hormesis does not align with the POS hypothesis and likely results from a completely
387 different mechanism. Hypergravity also has an effect in cichlid fish, *Oreochromis mossambicus*,
388 where otoliths growth was decreased but overall size was not (Anken et al. 2001). It is unclear
389 whether this otolith growth response represents a hormetic effect and if so what the magnitude of
390 that effect might be, but responses to hypergravity are not restricted to invertebrates and lower
391 vertebrates and have also been recorded in pregnant Sprague-Dawley rats (Plaut et al. 2003). In
392 the mammary tissues of these rats, the metabolic rate was decreased as a response of gravity
393 manipulation as gravitational load increased; an effect similar to the response of flies to repeated
394 anoxia (Visser et al. 2018).

395 A recent hormetic effect has been associated with crowding during development. In the
396 larvae of *Drosophila*, crowding increases survival time at low (-3°C) and high (38°C)
397 temperatures (Youn et al. 2018). Higher density crowded larvae emerged as adults faster and
398 lived longer (Lushchak et al. 2019). It is clear from these two studies that certain stress genes
399 (i.e. HSP70) are involved in this adaptive stress response but the role of antioxidant enzymes and
400 oxidative stress protection genes is not as clear. Larval crowding leads to competition and the
401 reduction of high-quality food, and in addition to the stresses associated from a larger group of
402 animals present (i.e. temperature and water balance), starvation leads to mitochondrial efficiency
403 differences. These mitochondrial differences are linked to ROS production and likely play a role
404 in this rather unique type of hormesis.

405

406 **THE MECHANISM OF HORMESIS**

407 In the last ten years, we have seen nearly a doubling in the number of hormesis
408 publications that aim at understanding the mechanisms of these adaptive responses (Calabrese et
409 al. 2016A). The mechanistic underpinnings for the extensive performance effects seen in animals
410 as a result of hormesis range from the quantification of specific polyols to genome-wide analysis
411 highlighting specific pathways (Table 1). There are specific key players that are consistently
412 linked to hormetic responses throughout the animal kingdom. Genes related to redox signaling,
413 such as antioxidant enzymes and non-enzymatic antioxidants, whose role is the
414 prevention/reduction of oxidative damage are involved nearly everywhere they are investigated
415 (Table 1). Whether it is gene expression (Moskalev et al. 2009, Seong et al. 2012), protein
416 expression (Yi et al. 2007), or enzyme activity (Hermes-Lima et al. 1998, Hermes-Lima and
417 Zenteno-Savín 2002, López-Martínez and Hahn 2012), antioxidant mechanisms that reduce
418 oxidative damage play a pervasive role in hormesis. Stress genes, like heat shock proteins, are
419 also involved along with genes involved in DNA repair and apoptosis. To date, the data
420 published indicates that redox and stress signaling play a central role in most hormetic responses,
421 indicating a potential universal mechanism for hormesis. However, given the wide array of
422 stressors that animals endure, there are stress-specific responses that widen the mechanistic
423 targets of hormesis. Such are the cases of RCH and hypergravity. Under rapid cold hardening,
424 multiple low temperature mechanisms (homeoviscous adaptation, increase polyol concentration,
425 and freezing resistance) are activated in this response; in addition to the expected hormesis
426 genes. Hypergravity exposure seems to be independent of the involvement of the main
427 antioxidant enzymes, which challenges the notion of a universal hormetic mechanism. Our own
428 ongoing *Drosophila* transcriptomic work comparing different hormesis treatments (low oxygen,
429 low temperature, x-ray irradiation, UV irradiation) points toward general and unique responses

430 for each condition. We are emboldened by recent research in this area and we encourage more
431 mechanism studies that compare contextual factors in stress response to further our
432 understanding of the role hormesis plays in animals.

433

434 **FUTURE DIRECTIONS**

435 We highlighted studies that show some of the remarkable protective effects that hormesis
436 can have in animals across the spectrum from tiny invertebrates to mammals. These effects can
437 be short and long-term, and whenever a broad time scale is used, hormesis is found to even have
438 protective transgenerational effects. Short-term effects include treatment survival, improved
439 performance, and increased mating success while long-term effects range from increasing
440 longevity and performance at old age to improved offspring performance and starvation
441 resistance. While we are hopeful and encouraged by the recent uptake in the study of hormesis in
442 animals, we want to highlight the need for studies that deal with the mechanism of different
443 types of hormesis, as well as, the cost of this adaptive response. Hormesis is rooted in the
444 preparation for oxygen stress hypothesis, but there is evidence that hormetic benefits extend
445 beyond antioxidant and oxidative stress, and into membrane remodeling and other aspects of
446 animal physiology. The cost of hormesis to the parental and subsequent generations remains
447 elusive with just a handful of studies aiming to quantify it. Understanding the cost of hormesis
448 will allow us to elucidate how hormetic mechanisms evolved, which selection pressures drove
449 and continue to drive these responses, and what might be the full range of these adaptive
450 responses. There is a strong link between hormetic effects and the age of application, and a clear
451 connection exists when these hormetic treatments are applied early in life leading to long-lasting
452 effects present at advanced age (Le Bourg 2005, López-Martínez and Hahn 2014, López-

453 Martínez et al. 2014, López-Martínez et al. 2016a,b, Visser et al. 2018). The studies outlined in
454 this review reinforce the need for additional hormesis investigation into how small doses of
455 chemical, biotic, and abiotic stressors can dramatically improve organismal performance in non-
456 human animals.

457

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464 Physiology Laboratory.

465

466 **FIGURE CAPTIONS**

467

468 Figure 1. X-ray irradiation dose response curve showing the effect of increasing doses on the
469 flight ability of *Drosophila melanogaster*. The increased performance as a result of hormesis is
470 seen at the lowest doses as a hormesis model would predict. Three quantitative effects of
471 hormesis (zero equivalent point, maximum response, and no observed adverse effect level) are
472 labelled based on Calabrese et al. 2012, and show the control effect, the hormetic effect, and the
473 point where negative effects begin, respectively.

474

475 Figure 2. Anoxia hormesis protects from irradiation-induced oxidative damage in a cross
476 tolerance hormetic framework. The strong protective effect of anoxia was evident at all doses (B,
477 C, & D) tested but was more dramatic at 400 Gy (D), where the irradiated control flies die within
478 24 hrs. but anoxia hormesis flies live more than two months. Sex differences can be seen at all
479 doses and females tend to live longer at higher doses (F; Figure 2 from López-Martínez and
480 Hahn 2014).

481

482 Figure 3. Chemical hormesis (arsenite) triggers an increase in the production of reactive oxygen
483 species (ROS; A & B). The presence of ROS triggers an increase in antioxidants, both enzymes
484 (D & E) and non-enzymatic ones (F). That strong protective response leads to an increase in
485 longevity (I & J) for the arsenite treated worms (Figure 3 from Schmeisser et al. 2013).

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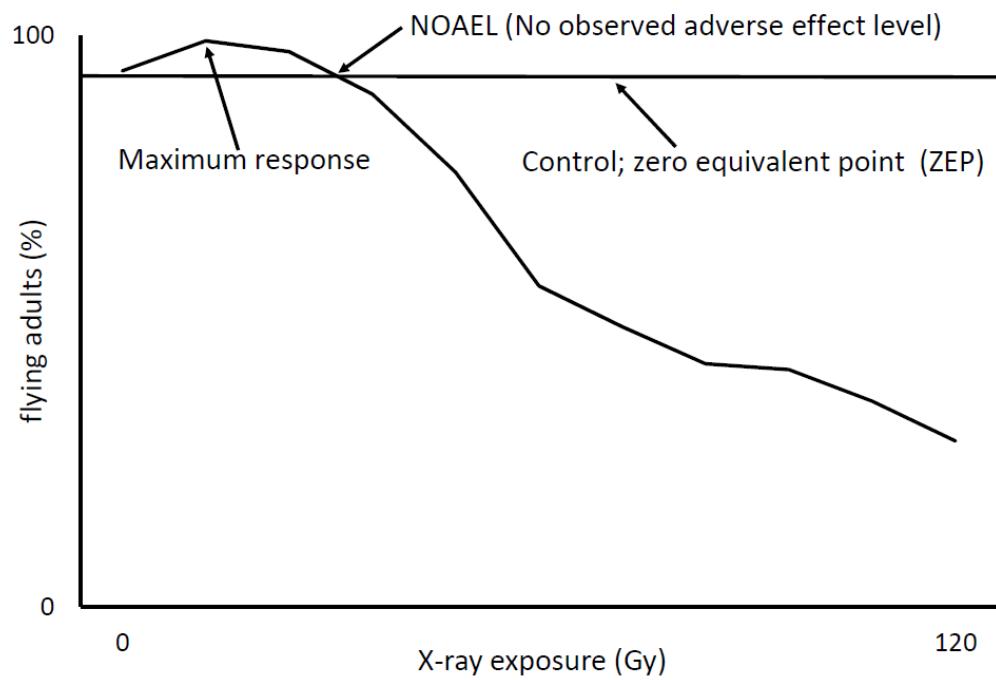
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498 **Figure 1**

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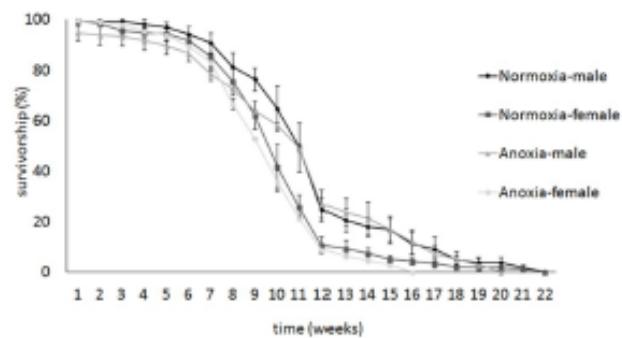
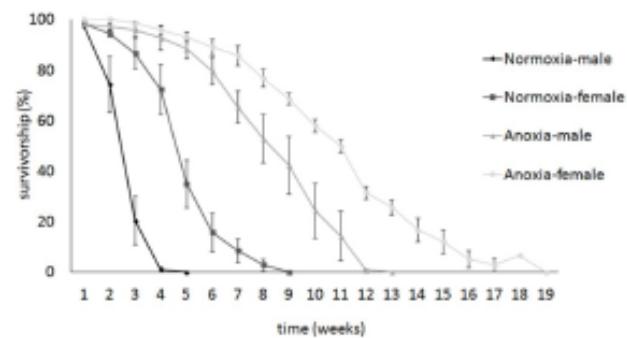
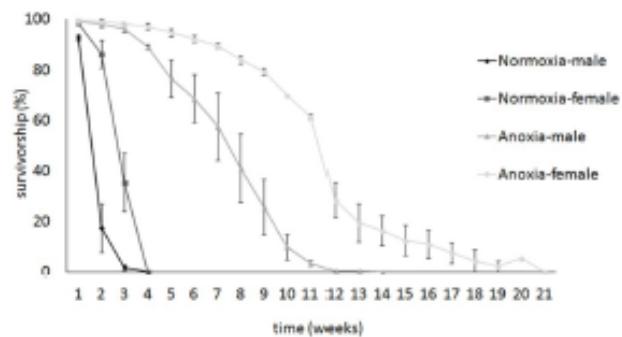
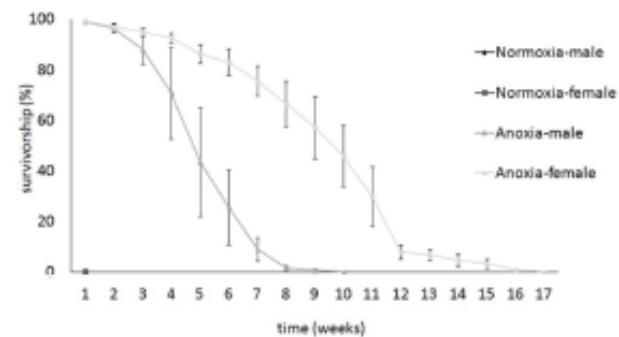
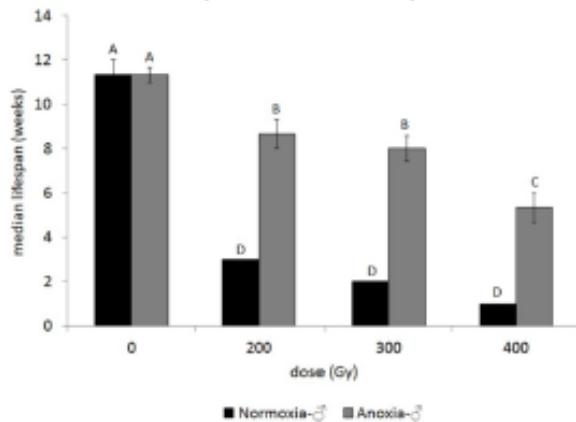
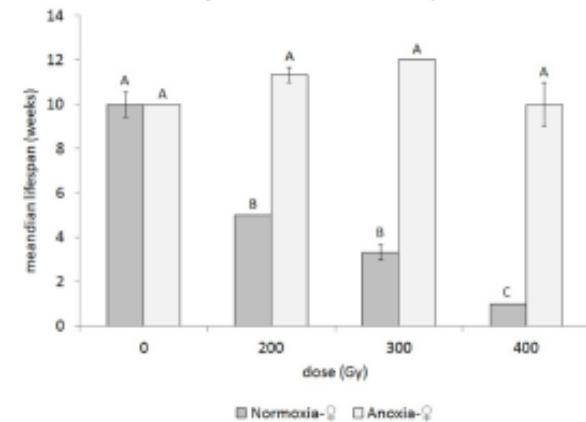
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508 **Figure 2**

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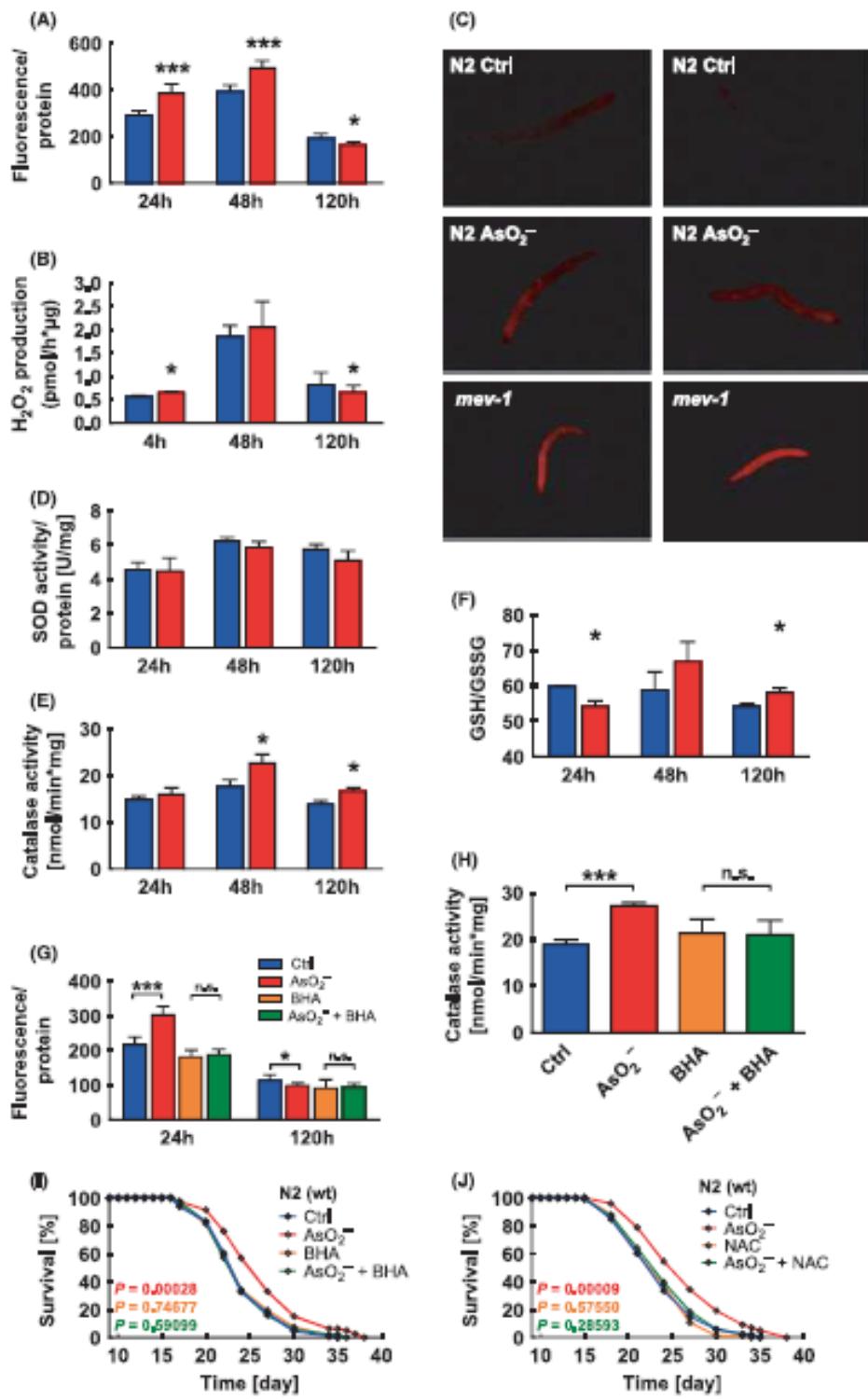
A) Fly longevity - no radiation**B) Fly longevity - 200 Gy****C) Fly longevity - 300 Gy****D) Fly longevity - 400 Gy****E) Median male lifespan****F) Median female lifespan**

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512 **Figure 3**

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514

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