

# CdSe Quantum Dot Sensitized Molecular Photon Upconversion Solar Cells

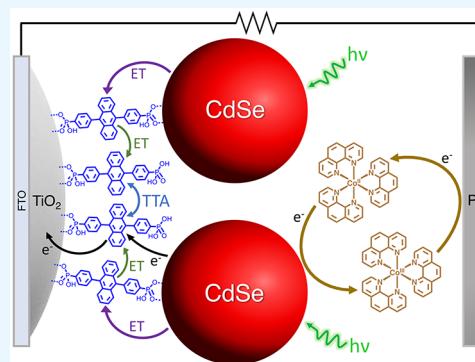
Drake Beery,<sup>1</sup> Jonathan P. Wheeler,<sup>1</sup> Ashley Arcidiacono, and Kenneth Hanson\*<sup>1</sup>

Department of Chemistry & Biochemistry, Florida State University, Tallahassee, Florida 32306, United States

 *Supporting Information*

**ABSTRACT:** Incorporating photon upconversion, via triplet–triplet annihilation (TTA-UC), directly into a solar cell is an intriguing strategy for harnessing sub-band gap photons and surpassing the Shockley–Queisser limit. A majority of TTA-UC solar cells to date rely on difficult to synthesize and expensive platinum and/or palladium porphyrin sensitizers. Here, we present, as far as we know, the first TTA-UC solar cell that integrates quantum dot (QD) sensitizers directly into the photocurrent generation mechanism. The photoanodes are composed of a nanocrystalline  $\text{TiO}_2$  substrate, 4,4'-(anthracene-9,10-diyl)bis(4,1-phenylene)diphosphonic acid (A) as the annihilator molecule, and CdSe QDs as the sensitizer in an inorganic–organic-inorganic layered architecture ( $\text{TiO}_2$ -A-QD). The  $\text{TiO}_2$ -A-QD devices generate a photocurrent that is more than 1.4 times the sum of its parts and does so via a TTA-UC mechanism as demonstrated by intensity dependence, IPCE, and spectroscopic measurements. The maximum efficiency onset threshold (i.e., the  $I_{\text{th}}$  value) of  $0.9 \text{ mW cm}^{-2}$  ( $1.9 \times 10^{15} \text{ ex s}^{-1} \text{ cm}^{-2}$ ) is below solar flux and on par with some of the lowest  $I_{\text{th}}$  values reported to date. However, the  $J_{\text{sc}}$  for the QD sensitized device ( $29 \mu\text{A cm}^{-2}$ ) is still lower than comparable molecular sensitized devices ( $185 \mu\text{A cm}^{-2}$ ) due in part to lower sensitizer surface loadings, less than unity energy transfer yields (~40–80%), slow regeneration kinetics, and competitive QD\* quenching by the  $\text{Co}^{\text{II/III}}(\text{phen})_3$  redox mediator. Nonetheless these results demonstrate that multilayer assemblies containing QD sensitizers is an effective strategy to harness UC in a TTA-UC solar cell.

**KEYWORDS:** *quantum dot, bilayer, triplet–triplet annihilation, photon upconversion, solar energy conversion, energy transfer, solar cell*



## 1. INTRODUCTION

Photon upconversion by way of triplet–triplet annihilation (TTA-UC) is an intriguing strategy to increase theoretical solar cell efficiencies beyond the Shockley–Queisser limit<sup>1</sup> from ~34% to >43%.<sup>2–4</sup> This efficiency increase is achieved by combining two previously transmitted, sub-band gap, low energy photons into one higher energy excited state that can then be harvested in the solar cell.<sup>5–7</sup> Photocurrent enhancements due to TTA-UC have been demonstrated with both optical (i.e., a standard solar cell combined with a UC filter)<sup>6,8–10</sup> and electronic (i.e., TTA-UC is integrated into the solar cell)<sup>7,11,12</sup> coupling schemes. For the latter, combining TTA-UC sensitizer and acceptor pairs on a metal oxide substrate<sup>13,14</sup> is a popular means of directly extracting charge from the UC state.<sup>12,15</sup> Of the various molecular assembly strategies<sup>16</sup> (e.g., heterogeneous,<sup>12</sup> codeposition,<sup>17</sup> and MOFs,<sup>18</sup>) metal ion linked multilayers<sup>19</sup> have been particularly successful,<sup>15,20,21</sup> recently demonstrating photocurrent enhancements up to  $315 \mu\text{A cm}^{-2}$ .<sup>22</sup> Despite this progress there is much room for improvement in terms of both device optimization and sensitizer–acceptor selection.<sup>7</sup>

Nearly all the integrated TTA-UC solar cells reported to date rely on platinum and/or palladium porphyrin sensitizers.

This choice is driven by their well-behaved photophysics, near unity intersystem crossing yields, and previous success in TTA-UC materials.<sup>5,23</sup> However, these porphyrin sensitizers require multistep syntheses, contain rare earth elements, and suffer from inherent energy losses due to the >300 meV  $S_1$ – $T_1$  gap.<sup>24</sup> Recently, quantum dots (QDs) have emerged as an alternative triplet sensitizer that can transfer QD-localized triplet excitons to surface bound or solution organic molecules with near-quantitative efficiency.<sup>25–27</sup> These QD sensitizers have been effectively implemented as the low energy absorber in TTA-UC schemes<sup>28–31</sup> and have even been shown to facilitate TTA-UC and charge separation at a metal oxide interface.<sup>32</sup> In contrast to Pt and Pd porphyrins, QDs are appealing as triplet sensitizers because they are relatively easy to synthesize, contain more earth abundant elements, have small to no  $S_1$ – $T_1$  gap (<15 meV),<sup>33</sup> and their energetics can readily be tuned<sup>34,35</sup>

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to match both the acceptor molecule energetics and the solar spectrum.

Here, we incorporate CdSe QDs as the sensitizer in an integrated TTA-UC solar cell. The photoanode consists of a semiconducting metal oxide, phosphonated acceptor molecules, and CdSe QD sensitizers in a layered inorganic-organic-inorganic architecture as depicted in Figure 1. Device

Solaronix. Micro glass cover slides (18 × 18 mm) were obtained from VWR.

**2.3. Device Assembly.**  $\text{TiO}_2$  films were cast using the doctor blade method (3 M Scotch tape) onto a FTO-glass substrate and then sintered in an oven at 500 °C for 15 min.<sup>41</sup> The resulting films were then immersed into an 200  $\mu\text{M}$  solution of A or B in DMSO for 48 h, then rinsed with methanol, and dried under a stream of air. Next, the films were immersed into an 50  $\mu\text{M}$  solution of CdSe QDs in DCM for 24 h, rinsed with DCM, dried under an  $\text{N}_2$  stream, etched to a 1 × 1 cm active area, and used as the anode. The Pt cathode was prepared by drop-casting  $\text{H}_2\text{PtCl}_6$  solution in ethanol (50  $\mu\text{L}$ , 5 mM) that was heat-dried at 400 °C for 20 min. The films were then sealed together using Melatonix thermoplastic. The sandwiched cells were then transferred to a glovebox where dry and oxygen-free MeCN containing 0.2 M/0.02 M  $\text{Co}^{\text{II}}/\text{Co}^{\text{III}}$  tris(1,10-phenanthroline) was injected using a Vac'n Fill Syringe (Solaronix), and the solvent injection hole was sealed using thermoplastic and a small glass coverslip.

**2.4. Characterization.** 2.4.1. *Instrumentation.* UV-vis absorption, current density–voltage (J-V), incident photon to current efficiency (IPCE), amperometric current–time (*i*-*t*), intensity dependence, steady-state and time-resolved emission, and transient absorption measurements were performed on previously reported instrumentation (see the [Supporting Information](#) for more details).<sup>15,42</sup>

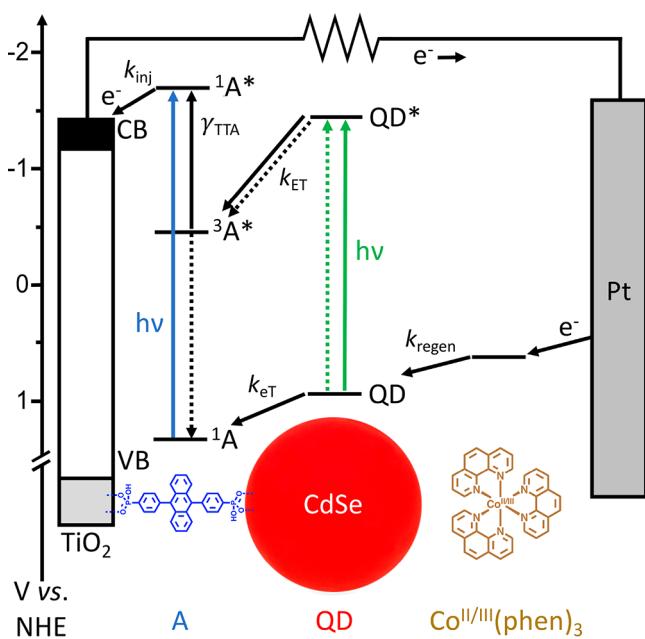
**4.2.4. Surface Coverages.** Surface coverages ( $\Gamma$  in  $\text{nmol cm}^{-2}$ ) were estimated with the expression  $\Gamma = (A(\lambda_{\text{abs}})/\varepsilon(\lambda))/1000$ ,<sup>43</sup> where  $A(\lambda)$  is the absorbance of each component on the fully loaded films and  $\varepsilon$  is the molar extinction coefficient of A ( $\varepsilon_{397 \text{ nm}} = 1.2 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) and QD (Table S1) in DMSO and DCM, respectively.

### 3. RESULTS AND DISCUSSION

**3.1. Multilayer Assembly.** The photoanode used in this study is composed of nanocrystalline  $\text{TiO}_2$ , 4,4-(anthracene-9,10-diyi)bis(4,1-phenylene) diphosphonic acid (A), and cadmium(II) selenide quantum dots (QD) as depicted in Figure 1. A was selected as the triplet acceptor (a.k.a., emitter, annihilator) because it is our prototype annihilator for our multilayer TTA-UC solar cells<sup>36</sup> and is a derivative of the well-studied 9,10-diphenylanthracene.<sup>5</sup> The geometrically opposed phosphonate groups are critical for binding to both  $\text{TiO}_2$ <sup>44</sup> and QDs.<sup>41</sup>

Cadmium(II) selenide was selected as the QD of interest because it is a known sensitizer of DPA and its derivatives,<sup>25</sup> and was readily synthesized, with an oleic acid capping ligand, using a previously published procedure.<sup>41</sup> The reaction was monitored with UV-vis absorption spectroscopy, and the QD size was controlled via reaction quenching time in order to maximize absorption at 532 nm to coincide with the frequency doubled Nd:YAG laser excitation line (Figure 2b). From the crossing point between absorption and emission (Figure S1), an  $E_{0-0}$  of 2.3 eV was calculated and corresponds to a CdSe OD diameter of  $\sim$ 2.7 nm.<sup>45</sup>

The multilayer films were prepared using a stepwise soaking procedure (Figure 2a) by first submerging the 4  $\mu\text{m}$  thick nanocrystalline  $\text{TiO}_2$  films in a 200  $\mu\text{M}$  solution of A in DMSO, followed by a 50  $\mu\text{M}$  solution of QD in DCM (Figure S2). The as-synthesized QDs are functionalized with an oleic acid capping ligand.<sup>41</sup> During bilayer formation there is likely ligand exchange at the site of A binding, but we anticipate that a majority of the oleic acid capping ligand is retained in the film. This is worth noting as the alkyl capping ligand may serve as a hindrance toward electron transfer from the redox mediator in the electrolyte solution (*vida infra*). In line with previous reports,<sup>46</sup> minimal QD adsorption on  $\text{TiO}_2$  was



**Figure 1.** Integrated TTA-UC solar cell architecture with energetics and dynamics, as well as the structure of the molecules studied here ( $k_{\text{inj}}$  = electron injection,  $\gamma_{\text{TTA}}$  = second-order rate constant for TTA,  $k_{\text{ET}}$  = energy transfer,  $k_{\text{ET}}$  = electron transfer, and  $k_{\text{regen}}$  = regeneration rate constants).

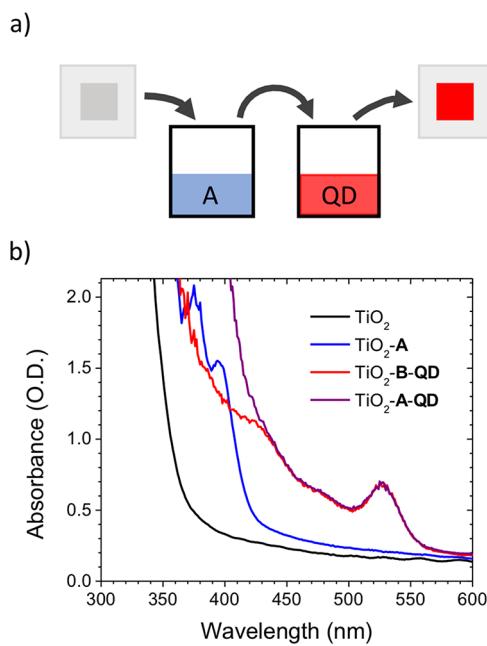
measurements indicate that the photocurrent generated from low energy light absorption by the QD is due to a TTA-UC mechanism. Further, spectroscopic techniques were used to show that the device performance is limited by low QD loadings, less than unity energy transfer efficiency, and slow QD regeneration kinetics.

## 2. EXPERIMENTAL SECTION

**2.1. Chemicals.** Cadmium oxide (Sigma-Aldrich), oleic acid (Sigma-Aldrich), Se powder (Acros Organics),  $H_2PtCl_6$  (Alfa Aesar), and polyethylene glycol bisphenol A epichlorohydrin copolymer (Sigma-Aldrich) were purchased from their respective suppliers, in parentheses, and used as received.  $4,4'$ -(Anthracene-9,10-diyl)bis(4,1-phenylene)diphosphonic acid (A),<sup>36</sup> triphenyl-4,4'-diphosphonic acid (B),<sup>37,38</sup>  $Co^{II}/Co^{III}$  tris(1,10-phenanthroline),<sup>39</sup> and  $TiO_2$  sol-gel<sup>40</sup> were synthesized according to previous procedures (see the Supporting Information for more details). Octadecene used in QD synthesis was dried and degassed prior to use. All other reagents and solvents (analytical reagent grade) were purchased and used without further purification from Sigma-Aldrich.

**2.2. Materials.** Oleic acid capped CdSe quantum dots were synthesized according to a previous procedure<sup>41</sup> with three purification cycles of DCM and ethanol washes (see the [Supporting Information](#) for more details). The product was obtained through centrifugation, dried under vacuum overnight, and stored under N<sub>2</sub> at 2 °C.

Fluorine-doped tin oxide (FTO)-coated glass (sheet resistance 15  $\Omega \square^{-1}$ ) was purchased from Hartford Glass Co. Meltonix film (1170-25) and the Vac'n Fill Syringe (65209) were purchased from



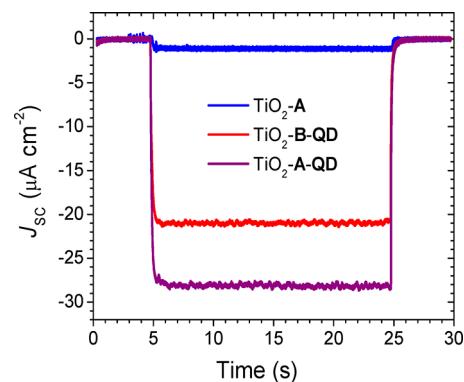
**Figure 2.** (a) Stepwise soaking procedure and (b) UV-vis absorption spectra of  $\text{TiO}_2$ , monolayer, and bilayer films.

observed in the absence of a surface bound phosphonate molecule (Figure S3).

As can be seen in Figure 2b, the multilayer assembly is the summed absorption of the constitute components. From the deconvoluted absorption spectra, surface coverages of  $127 \text{ nmol cm}^{-2}$  and  $9 \text{ nmol cm}^{-2}$  were obtained for **A** and **QD**, respectively, corresponding to an acceptor to sensitizer ratio of  $\sim 15:1$ . On a per sensitizer basis, the **QD** loading is notably lower than our previously reported  $\text{TiO}_2\text{-A-Zn-PtP}$  (**PtP** = Pt(II)tetrakis(4-carboxyphenyl)porphyrin) multilayer films (3:1) at similar **A** surface coverages.<sup>20</sup> Similar decreased loading has been observed in **QD**-based dye-sensitized solar cells and is attributed to the relatively large size of the quantum dots hindering diffusion of the sensitizer within the pores of the mesoporous metal oxide film.<sup>46</sup> Attempts to increase pore diameters and **QD** loadings via changing the  $\text{TiO}_2$  preparation procedure (i.e., polymer concentration and autoclave temperature)<sup>47</sup> were moderately successful (Figure S4) but only resulted in a maximum surface loading ratio of  $\sim 15:1$ . The use of nanorods,<sup>48</sup> inverse opals,<sup>49</sup> or other metal oxide architectures may be necessary to increase **QD** loadings and maximize light harvesting efficiencies.

**3.2. Photovoltaic Characterization.** The multilayer films were incorporated into a sandwich solar cell architecture with the  $\text{TiO}_2$ -dye and Pt on FTO glass as the anode and cathode, respectively, with a 0.2 M/0.02 M  $\text{Co}^{\text{II}}/\text{Co}^{\text{III}}$  tris(1,10-phenanthroline) redox mediator (Figure 1).  $\text{Co}^{\text{II/III}}(\text{phen})_3$  was chosen as a redox mediator because of previous success in integrated TTA-UC solar cells,<sup>21</sup> and the more traditional dye-sensitized solar cell mediator,  $\text{I}_3^-/\text{I}^-$ , is known to react with  $\text{CdSe}$  QDs.<sup>50,51</sup> The photoanodes of interest were the **A-QD** bilayer ( $\text{TiO}_2\text{-A-QD}$ ) as well as the anthracene only ( $\text{TiO}_2\text{-A}$ ) and the **QD** only ( $\text{TiO}_2\text{-B-QD}$ ) control films. The latter sample contains the photophysical and electrochemically inert triphenyl-4,4'-diphosphonic acid bridging molecule (**B**) that has a similar structure and binding groups to those of **A** but without concerns of light absorption or energy/electron transfer to **B**.<sup>42</sup>

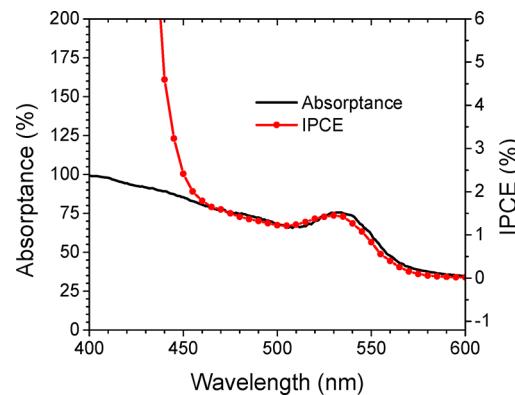
The short circuit photocurrent density ( $J_{\text{sc}}$ ) with respect to time under solar irradiance (AM1.5) can be seen in Figure 3. A



**Figure 3.** Amperometric  $i$ - $t$  curves under AM1.5 solar irradiation passed through a 455 nm long pass filter ( $V = 0 \text{ V}$ ; light on at 5 s, light off at 25 s).

455 nm long pass filter was used to selectively monitor photocurrent generation in the absence of direct excitation and electron injection from  $^1\text{A}^*$ . The less than  $1 \mu\text{A cm}^{-2}$  photocurrent from  $\text{TiO}_2\text{-A}$  under these conditions suggests that the contribution from direct excitation of **A** is negligible. In contrast, the  $\text{TiO}_2\text{-A-QD}$  device generates  $29 \mu\text{A cm}^{-2}$  photocurrent under 1 sun intensities. Despite having the same absorption cross-section as the  $\text{TiO}_2\text{-A}$  and  $\text{TiO}_2\text{-B-QD}$ , the  $J_{\text{sc}}$  for the  $\text{TiO}_2\text{-A-QD}$  device is  $\sim 1.4$  times the sum of its parts, suggesting an enhanced photocurrent generation mechanism. In line with our previous bilayer devices,<sup>21,42</sup> we tentatively assign this mechanism as the excitation of **QD**, energy transfer from **QD**\* to **A**, triplet-triplet annihilation between two adjacent  $^3\text{A}^*$ , and, finally, electron injection from the  $^1\text{A}^*$  state (Figure 1). With that said, it is important to note that the  $21 \mu\text{A cm}^{-2}$  for  $\text{TiO}_2\text{-B-QD}$  suggests that, following excitation, **QD**\* can directly inject electrons into the conduction band of  $\text{TiO}_2$ .

The incident photon-to-current efficiency (IPCE) and absorptance spectrum for a  $\text{TiO}_2\text{-A-QD}$  device can be seen in Figure 4. The low energy feature of the IPCE spectrum directly coincides with the  $\sim 530 \text{ nm}$  transition of the **QD**, indicating that it is involved in the photocurrent generation pathway. When overlaying the 530 nm feature of the spectra in Figure 4, at  $< 450 \text{ nm}$  there is a notable deviation between the



**Figure 4.** IPCE and absorptance spectrum for a  $\text{TiO}_2\text{-A-QD}$  integrated TTA-UC solar cell.

absorptance and IPCE. For a solar cell where every absorbed photon is harvested with the same efficiency, one would expect direct agreement between the IPCE and absorptance spectrum.<sup>52</sup> For the TiO<sub>2</sub>-A-QD device, the deviation can be rationalized by the inherently lower efficiency of TTA-UC ( $\Phi_{\text{max}} < 50\%$ ) than direct excitation and electron injection ( $\Phi_{\text{max}}$  up to 100%).<sup>53</sup> That is, below 450 nm, photocurrent generation from direct excitation and injection from  $\text{A}^*$  is more efficient than at >450 nm where QD excitation is followed by energy transfer, TTA, and electron injection.

To gain additional insights into the photocurrent generation mechanism we monitored the  $J_{\text{sc}}$  for TiO<sub>2</sub>-B-QD and TiO<sub>2</sub>-A-QD with respect to excitation intensity, and the log-log plot of the results are shown in Figure 5. For the QD only control

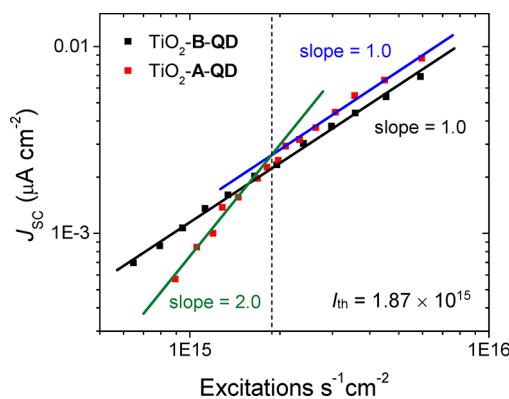


Figure 5.  $J_{\text{sc}}$  with respect to excitation rate ( $\lambda_{\text{ex}} = 532$  nm).

(TiO<sub>2</sub>-B-QD) there is a linear response (slope = 1.0) that is consistent with excitation and direct electron transfer from QD\* to TiO<sub>2</sub>. In contrast, for the TiO<sub>2</sub>-A-QD bilayer there is a transition from quadratic (slope = 2.0) to linear (slope = 1.0) dependence at low and high intensity excitation, respectively. This quadratic-to-linear transition is symptomatic of a TTA-UC photocurrent generation mechanism.<sup>54,55</sup> Additionally, the sharp  $J_{\text{sc}}$  decrease and slope of 2.0 at low excitation intensities suggests that the photocurrent contribution from direct QD\* to TiO<sub>2</sub> electron transfer is negligible. If QD\* to TiO<sub>2</sub> electron transfer were a major contributor to the TiO<sub>2</sub>-A-QD device performance, we would expect (1) a photocurrent comparable to the TiO<sub>2</sub>-B-QD device and (2) a subquadratic response in the low intensity regime. The lack of these observations indicates that in the bilayer QD\* to A energy transfer is faster than QD\* to TiO<sub>2</sub> electron injection. Unfortunately, one way to interpret this result is that at low intensities the incorporation of an acceptor molecule serves as an energy trap for a less efficient photocurrent generation pathway. Nonetheless, in the linear regime, TTA-UC gives a photocurrent enhancement.

The crossover point between quadratic and linear regimes (i.e., the  $I_{\text{th}}$  value) is the minimum excitation intensity necessary for TTA-UC to reach its maximum efficiency in a given system.<sup>54,55</sup> For the TiO<sub>2</sub>-A-QD device under 532 nm excitation, the  $I_{\text{th}}$  value is 0.9 mW cm<sup>-2</sup>, which is below AM1.5 solar flux integrated from 480 to 535 nm (6 mW cm<sup>-2</sup>) and is on par with some of the lowest  $I_{\text{th}}$  values reported to date. To facilitate comparisons between different sensitizer systems, excitation wavelengths, and absorption profiles, we also calculated the  $I_{\text{th}}$  value in excitations per second (photons s<sup>-1</sup> cm<sup>-2</sup> × absorptance) to be  $1.87 \times 10^{15}$  ex s<sup>-1</sup> cm<sup>-2</sup>.

Given their surprising similarity but also notable differences, it is worth comparing the QD sensitized device reported here (TiO<sub>2</sub>-A-QD) to our prototypical PtP sensitized device (TiO<sub>2</sub>-A-Zn-PtP).<sup>21</sup> Despite the QD films having significantly lower sensitizer loadings (15:1 vs 3:1, *vida supra*), both films exhibit similar low light absorption (~0.7 O.D.) which can be attributed to the ~4-fold larger extinction coefficient for QD ( $6.9 \times 10^4$  M<sup>-1</sup> cm<sup>-1</sup> at 532 nm) than that of PtP ( $1.7 \times 10^3$  M<sup>-1</sup> cm<sup>-1</sup> at 532 nm).<sup>15</sup> Remarkably, when expressed in excitations per second (ex s<sup>-1</sup>), both the QD and PtP sensitized films have similar  $I_{\text{th}}$  values of  $1.9 \times 10^{15}$  ex s<sup>-1</sup> cm<sup>-2</sup> and  $2.1 \times 10^{15}$  ex s<sup>-1</sup> cm<sup>-2</sup>, respectively.<sup>22</sup> The parameters dictating the  $I_{\text{th}}$  value are described in eq 1:

$$I_{\text{th}} = \frac{1}{\Phi_{\text{ET}} \times \alpha(E) \times (\tau_A^3)^2 \times \gamma_{\text{TTA}}} \quad (1)$$

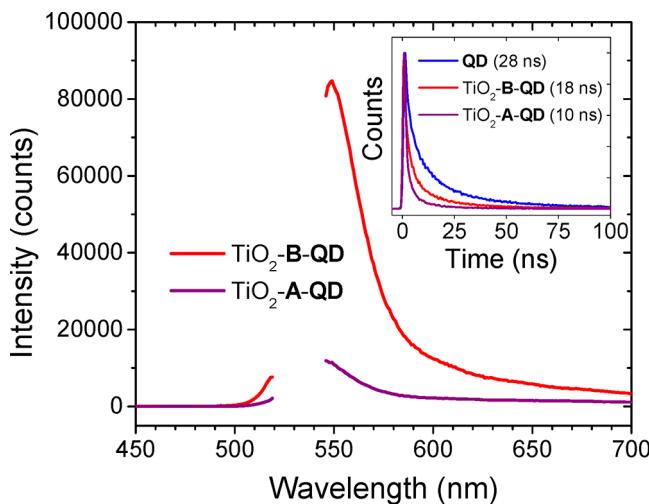
where  $\tau_A^3$  is the triplet excited state lifetime of A,  $\Phi_{\text{ET}}$  is the QD\* to A ET efficiency,  $\alpha(E)$  is the sensitizer absorption cross-section, and  $\gamma_{\text{TTA}}$  is the second-order rate constant for TTA.<sup>55</sup> Because the films have similar  $\alpha(E)$  and  $\Phi_{\text{ET}}$  (*vida infra*) values, the similarity in the  $I_{\text{th}}$  value suggests that the choice of sensitizer has minimal influence on  $\tau_A^3$  or  $\gamma_{\text{TTA}}$ . These properties may be entirely dictated by the TiO<sub>2</sub>-A interface and not by subsequent metal ion or sensitizer layers. In contrast, it has previously been shown that using the same sensitizer but with variations in the surface binding motif of the anthracene monolayer results in an increase or decrease of  $\gamma_{\text{TTA}}$  by more than an order of magnitude.<sup>58,59</sup> Collectively, these results indicate that if one could increase the QD loading and select the appropriate A monolayer, then a lower  $I_{\text{th}}$  value and higher photocurrent could be achieved.

Despite the similarities noted above, the  $J_{\text{sc}}$  for the TiO<sub>2</sub>-A-QD device (29  $\mu\text{A cm}^{-2}$ ) is more than 6-fold lower than for TiO<sub>2</sub>-A-Zn-PtP (185  $\mu\text{A cm}^{-2}$ ).<sup>21</sup> Since the absorbance and  $I_{\text{th}}$  values are similar, the dramatically lower photocurrent implies that events after TTA-UC (i.e., back singlet energy transfer, fast charge recombination, and/or slow regeneration) are hindering the total photocurrent generation in the TiO<sub>2</sub>-A-QD device.

**3.3. Energy and Electron Transfer Dynamics.** To gain insights into the QD\* to A energy transfer process we performed steady-state and time-resolved emission measurements on TiO<sub>2</sub>-B-QD and TiO<sub>2</sub>-A-QD films, and the results can be seen in Figure 6. In both films, emission from QD is observed from 500 to 600 nm, indicating that, unlike dyes directly bound to the surface,<sup>60</sup> QD\* to TiO<sub>2</sub> electron transfer is not an efficient excited state quenching process. Also, in comparison to TiO<sub>2</sub>-B-QD, there is a nearly 8-fold decrease in emission intensity from the TiO<sub>2</sub>-A-QD film. If we assume that the only additional quenching mechanism introduced by A is energy transfer, then quenching equates to an ~85% QD\* to A energy transfer efficiency ( $\Phi_{\text{ET}}$ ).

As can be seen in the time-resolved emission data (inset Figure 6), there is a corresponding decrease in QD emission lifetime from TiO<sub>2</sub>-B-QD (18 ns) to TiO<sub>2</sub>-A-QD (10 ns). Again, assuming the decrease in emission lifetime is due to QD\* to A energy transfer, then an energy transfer rate ( $k_{\text{ET}}$ ) of  $4 \times 10^7$  s<sup>-1</sup> can be calculated using eq 2

$$k_{\text{ET}} = \frac{1}{\tau_{\text{A-QD}}} - \frac{1}{\tau_{\text{B-QD}}} \quad (2)$$



**Figure 6.** Steady-state emission for  $\text{TiO}_2\text{-B-QD}$  and  $\text{TiO}_2\text{-A-QD}$  films in MeCN ( $\lambda_{\text{ex}} = 532$  nm; data from 520 to 540 nm were omitted due to scatter from the excitation source). Inset: time-resolved decay traces for QD in toluene and both films in MeCN ( $\lambda_{\text{ex}} = 445$  nm,  $\lambda_{\text{em}} = 545$  nm).

where  $\tau_{\text{A-QD}}$  and  $\tau_{\text{B-QD}}$  are the emission lifetimes for  $\text{TiO}_2\text{-A-QD}$  and  $\text{TiO}_2\text{-B-QD}$ , respectively.<sup>42</sup> Likewise, an ET efficiency ( $\Phi_{\text{ET}}$ ) of 45% can be estimated using eq 3.

$$\Phi_{\text{ET}} = 1 - \frac{\tau_{\text{A-QD}}}{\tau_{\text{B-QD}}} \quad (3)$$

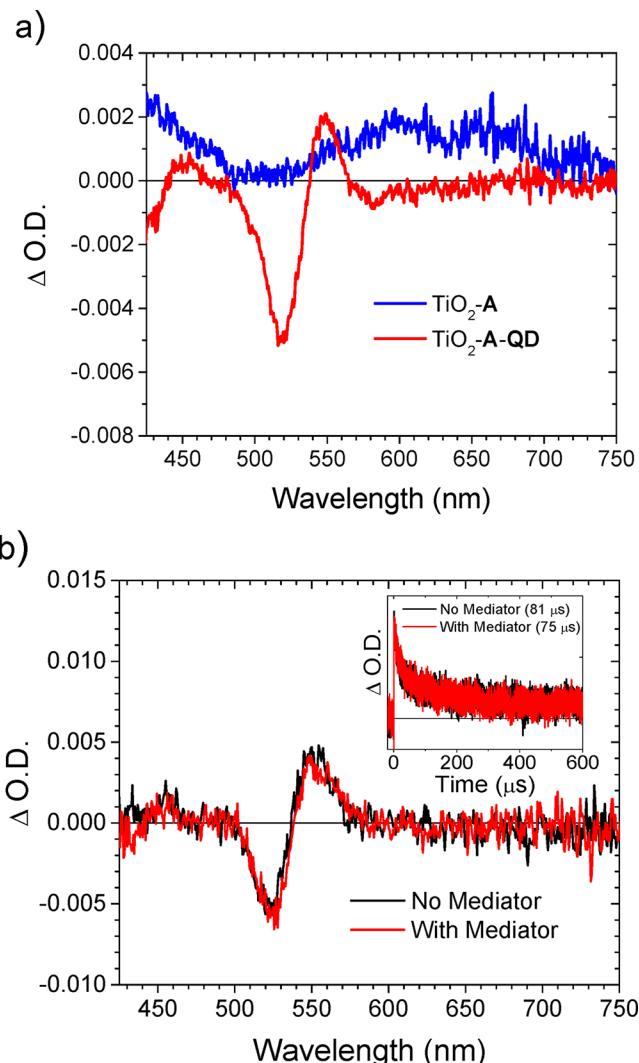
The discrepancy between the  $\Phi_{\text{ET}}$  from steady-state (85%) and time-resolved (45%) emission measurements suggests that there are subnanosecond quenching dynamics occurring within our instrument response function ( $\sim 1$  ns) and that  $4 \times 10^7 \text{ s}^{-1}$  is a low-end estimate of  $k_{\text{ET}}$ . This time-resolution limitation suggests that steady-state emission provides a more accurate representation of the true energy transfer yield but with the disclaimer that the sample to sample variation for steady-state emission is much larger (5–10 percentage points) due to light scatter and film inhomogeneities. Ultimately, directly measuring ultrafast excited state dynamics will be key to determining the true energy transfer rate and yield.

As a side note, there is a decrease in emission lifetime from the QD in solution ( $\tau_{\text{QD}} = 28$  ns) to in the  $\text{TiO}_2\text{-B-QD}$  bilayer film (18 ns). Assuming the decrease in emission lifetime is exclusively due to electron transfer quenching by  $\text{TiO}_2$ , then a low-end estimate of the electron injection rate ( $k_{\text{inj}}$ ) of  $2 \times 10^7 \text{ s}^{-1}$  can be calculated using eq 4.<sup>38,61</sup>

$$k_{\text{inj}} = \frac{1}{\tau_{\text{B-QD}}} - \frac{1}{\tau_{\text{QD}}} \quad (4)$$

In agreement with the conclusion from the intensity dependent data in Figure 5, the two-times larger  $k_{\text{ET}}$  than  $k_{\text{inj}}$  suggests that direct  $\text{QD}^*$  to  $\text{TiO}_2$  electron injection has minimal contribution to the photocurrent generation from the  $\text{TiO}_2\text{-A-QD}$  film.

In the proposed mechanism,  $\text{QD}^*$  to A energy transfer is followed by TTA between two  $^3\text{A}^*$  and electron injection from  $^1\text{A}^*$  into  $\text{TiO}_2$ , resulting in the formation of  $\text{A}^+$ . To follow the fate of the cation, we turned to transient absorption (TA) spectroscopy, and the results can be seen in Figure 7a. For the films without QD ( $\text{TiO}_2\text{-A}$ ), direct excitation of A at 360 nm is followed by electron injection from  $^1\text{A}^*$  into  $\text{TiO}_2$  and with  $\text{A}^+$



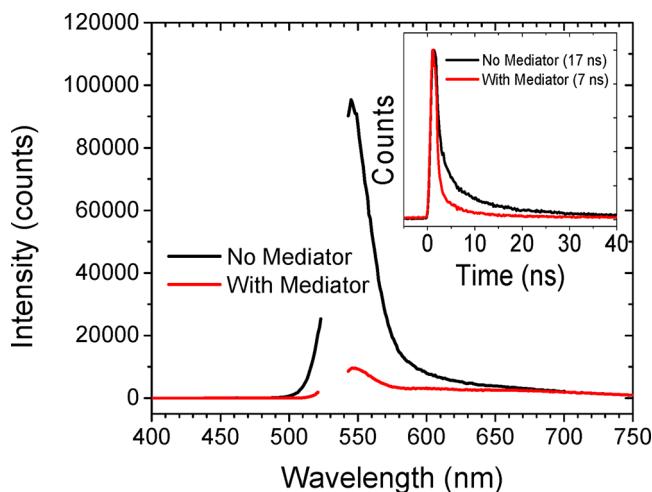
**Figure 7.** Transient absorption spectra of (a)  $\text{TiO}_2\text{-A}$  and  $\text{TiO}_2\text{-A-QD}$  in MeCN at 10 ns after 360 nm excitation. (b)  $\text{TiO}_2\text{-B-QD}$  films with and without  $\text{Co}^{\text{II/III}}(\text{phen})_3$  redox mediator in MeCN at 100  $\mu\text{s}$  after 455 nm excitation (inset: kinetic decay trace of the same films at 545 nm).

formation indicated by the positive absorption feature from 500 to 750 nm.<sup>42</sup> In contrast, the TA spectrum for  $\text{TiO}_2\text{-A-QD}$ , excited at 360 nm for preferential excitation of A, has distinct spectral features including a bleach from 485 to 530 nm and positive absorption from 530 to 560 nm. These spectral features agree with those found for  $\text{TiO}_2\text{-B-QD}$  excited at 455 nm (Figure 7b; i.e.,  $\text{QD}^+$  formation from direct  $\text{QD}^*$  to  $\text{TiO}_2$  electron transfer) as well as the previous reported CdSe cation ( $\text{QD}^+$ ).<sup>32</sup> The absence of any spectral features for  $\text{A}^+$  at 10 ns indicates that  $\text{QD}$  to  $\text{A}^+$  electron transfer ( $k_{\text{et}}$ ) is faster than  $1 \times 10^8 \text{ s}^{-1}$ . Interestingly, this result is in contrast to the anthracene–CdSe system reported by Shan et al., where no spectral features for  $\text{QD}^+$  (i.e.,  $-\Delta A$  from 485 to 530 nm and  $+\Delta A$  from 530 to 560 nm) were observed (see Figure 3 from ref 32).<sup>32</sup> While the energetics are similar to the assembly reported here, the primary difference is their use of a carboxylated anthracene dye that may slow or hinder electron transfer for reasons unknown to us.

Following  $\text{QD}^+$  formation, electron transfer from the mediator in solution to the oxidized dye is a key step in

generating sustained photocurrent (i.e., regeneration or  $k_{\text{regen}}$  in Figure 1). To monitor the  $\text{QD}^+$  regeneration process we performed transient absorption on  $\text{TiO}_2\text{-B-QD}$  films with and without the redox mediator solution, and the results can be seen in Figure 7b. As noted above, for  $\text{TiO}_2\text{-B-QD}$  under direct  $\text{QD}$  excitation, spectral features consistent with  $\text{QD}^+$  are observed. These features persist for  $>500\ \mu\text{s}$  but gradually return to the baseline due to recombination between  $\text{QD}^+$  and the previously injected electron in  $\text{TiO}_2$ . Interestingly, upon the addition of  $\text{Co}^{\text{II/III}}(\text{phen})_3$  redox mediator there were no changes in the spectral features and minimal changes in the  $\text{QD}^+$  lifetime, indicating that  $k_{\text{regen}}$  is a slow process ( $<1 \times 10^4\ \text{s}^{-1}$ ) likely due to either slow diffusion of the mediator within the pores or slow  $\text{Co}^{\text{II}}$  to  $\text{QD}^+$  electron transfer kinetics. Similar slow regenerate kinetics by cobalt tris-polypyridyl mediators have been observed in  $\text{CdSe}$  QD-dye sensitized solar cells.<sup>62</sup> Presumably the oleic acid capping group inhibits close contact between  $\text{QD}^+$  and the  $\text{Co}^{\text{II}}$  mediator. Regardless of the cause, this slow regeneration is likely responsible, at least in part, for the low photocurrent from the  $\text{TiO}_2\text{-A-QD}$  device as compared to our previously reported  $\text{TiO}_2\text{-A-Zn-PtP}$  system.

In addition to serving as a productive electron donor, we have previously shown that the  $\text{Co}^{\text{II/III}}$  mediators can also act as a quencher for triplet excited states in the TTA-UC scheme.<sup>21,63,64</sup> To probe the possible quenching of the  $\text{QD}$  excited state by the mediator, we performed steady-state and time-resolved emission on  $\text{TiO}_2\text{-B-QD}$  films with and without  $\text{Co}^{\text{II/III}}(\text{phen})_3$ , and the results can be seen in Figure 8. There is



**Figure 8.** Emission spectra of  $\text{TiO}_2\text{-B-QD}$  films in MeCN with and without  $0.2/0.02\ \text{M}$   $\text{Co}^{\text{II/III}}(\text{phen})_3$  ( $\lambda_{\text{ex}} = 532\ \text{nm}$ ). Inset: time-resolved decay traces for  $\text{QD}^*$  with and without mediator ( $\lambda_{\text{ex}} = 445\ \text{nm}$ ,  $\lambda_{\text{em}} = 545\ \text{nm}$ ).

a nearly 10-fold decrease in emission intensity and 2-fold decrease in excited state lifetime for the  $\text{QD}$  upon the addition of  $\text{Co}^{\text{II/III}}(\text{phen})_3$ . Using slight variations of eqs 2 and 3,  $8 \times 10^7\ \text{s}^{-1}$  and  $\sim 60\%$ , were determined as the rate and efficiency for quenching of  $\text{QD}^*$  by  $\text{Co}^{\text{II/III}}(\text{phen})_3$ , respectively. This observation indicates that excited state quenching of  $\text{QD}^*$  by  $\text{Co}^{\text{II/III}}(\text{phen})_3$  is an additional competitive quenching pathway that can hinder photocurrent generation in the device.

**3.4. QD Size Dependence.** One distinct advantage of QDs over molecular sensitizers is that their energetics can readily be tuned by varying the size of the QDs. With this in mind we generated three different sizes of  $\text{CdSe}$  QDs (2.3, 2.7, and 3.1 nm) by varying the reaction quenching times (see the Supporting Information for details). The photophysical properties and their performance in the  $\text{TiO}_2\text{-A-QD}$  bilayer were measured, and the results are summarized in Table 1.

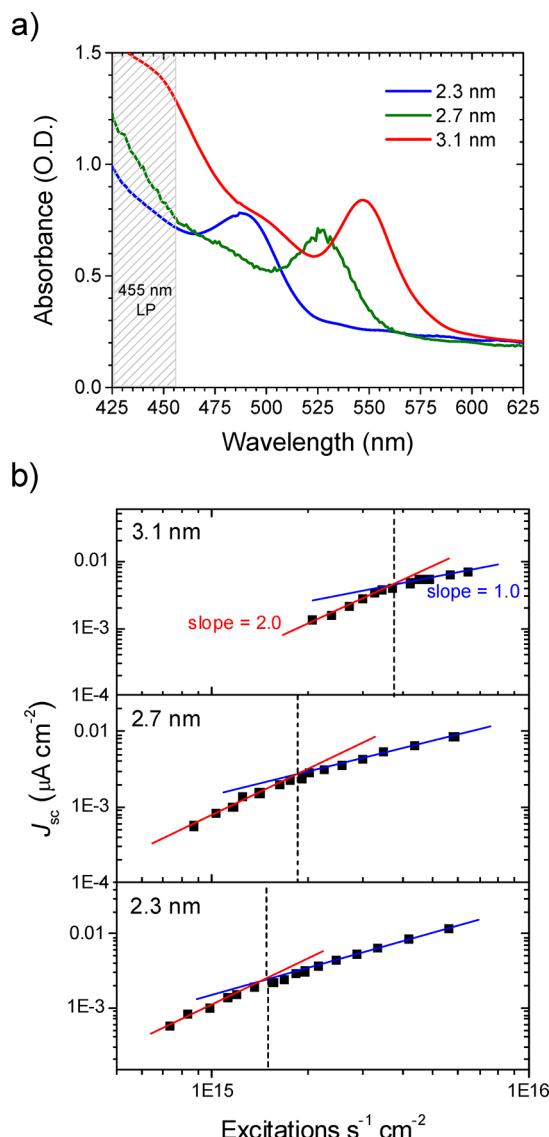
As the size increases, the surface coverage of  $\text{QD}$  in the  $\text{TiO}_2\text{-A-QD}$  bilayer decreases from  $17.0$  to  $8.7$  to  $7.3\ \text{nmol cm}^{-2}$  which is perhaps not surprising given the pore size limitations mentioned above. However, in terms of light harvesting efficiency, the decreased surface coverage is partially offset by the increased extinction coefficient and lower energy absorption onset of the larger QDs (Figure 9a). Interestingly, the energy transfer efficiencies were reasonably similar (27–44%) with no obvious correlation between  $\Phi_{\text{ET}}$  and QD size. In all cases  $\text{QD}$  ( $>2.2\ \text{eV}$ ) to  $\text{A}$  ( $\sim 1.8\ \text{eV}$ )<sup>36</sup> energy transfer is favorable by  $>400\ \text{meV}$  and any decrease in driving force for the larger particles is likely offset by the increased excited state lifetime for the larger  $\text{QD}$  (Table S1). It is worth noting that the energy transfer efficiency is limited by the spatial separation (i.e., the phenyl phosphonate) between the  $\text{QD}$  and the anthracene as was previously observed by Li et al.<sup>65</sup>

When incorporated into the device, all three  $\text{TiO}_2\text{-A-QD}$  films generate  $>20\ \mu\text{A cm}^{-2}$  and exhibit quadratic to linear intensity dependence consistent with a TTA-UC mechanism (Figure 9b).<sup>54,55</sup> Correcting for absorption differences at the laser excitation wavelength, there was a trend of increasing  $I_{\text{th}}$  value with particle size with a more than 2-fold difference between the 2.3 nm ( $1.5 \times 10^{15}\ \text{ex s}^{-1}\ \text{cm}^{-2}$ ) and the 3.1 nm ( $3.8 \times 10^{15}\ \text{ex s}^{-1}\ \text{cm}^{-2}$ ) QD films. If we assume that  $\tau_{\text{A}}^3$  is the same across all  $\text{A}$  monolayers, and since the energy transfer yields are similar in both films ( $\sim 30\%$ ), then the 2-fold increase in  $I_{\text{th}}$  may point to differences in  $\gamma_{\text{TTA}}$ . In these films,  $\gamma_{\text{TTA}}$  is dictated by both cross surface triplet migration and the rate of TTA between adjacent  ${}^3\text{A}^*$ . If TTA is limited by triplet diffusion, then the increase in  $I_{\text{th}}$  for the films containing larger QDs can be rationalized by the more than 2-fold decrease in surface coverage, increased intersensitizer distance, and slowed rate of  ${}^3\text{A}^*$  contact. Direct monitoring and modeling of the  ${}^3\text{A}^*$  random walk hopping rate is necessary to further support this hypothesis.

**Table 1. Properties and Device Performance Metrics for  $\text{TiO}_2\text{-A-QD}$  Films Containing QDs of Different Diameters**

$D\ (\text{nm})^a$	$E_{0-0}\ (\text{eV})$	$\epsilon\ (\text{M}^{-1}\ \text{cm}^{-1})^a$	$\Gamma\ (\text{nmol cm}^{-2})$	$\Phi_{\text{ET}}\ (\%)$	$I_{\text{th}}\ (\text{mW cm}^{-2})^e$	$I_{\text{th}}\ (\text{ex s}^{-1}\ \text{cm}^{-2})^e$	$J_{\text{sc}}\ (\mu\text{A cm}^{-2})^f$
2.3	2.5	50500 <sup>b</sup>	17.0	32	0.8	$1.5 \times 10^{15}$	20
2.7	2.3	77800 <sup>c</sup>	8.7	44	0.9	$1.9 \times 10^{15}$	29
3.1	2.2	115600 <sup>d</sup>	7.3	27	1.7	$3.8 \times 10^{15}$	23

<sup>a</sup>Calculated from the absorbance according to ref 44, <sup>b</sup> $\lambda_{\text{abs}} = 490\ \text{nm}$ , <sup>c</sup> $\lambda_{\text{abs}} = 527\ \text{nm}$ , <sup>d</sup> $\lambda_{\text{abs}} = 550\ \text{nm}$ , <sup>e</sup> $\lambda_{\text{ex}} = 455\ \text{nm}$  for the 2.3 nm QDs and  $\lambda_{\text{ex}} = 532\ \text{nm}$  for the 2.7 and 3.1 nm QDs, and <sup>f</sup>under AM1.5 solar flux passed through a 455 nm long pass filter. Photocurrents were reproducible across three independent samples with a standard deviation  $\pm 3\ \mu\text{A cm}^{-2}$ .



**Figure 9.** (a) Absorption spectra with light blocked by a 455 nm LP filter (shaded gray) and (b)  $J_{sc}$  with respect to excitations  $s^{-1} \text{ cm}^{-2}$  for  $\text{TiO}_2\text{-A-QD}$  films containing 2.3, 2.7, and 3.1 nm CdSe QDs.

Regardleses of the differences in  $I_{th}$ , all three devices are reaching their maximum efficiency threshold (i.e., the  $I_{th}$  value) at  $<2 \text{ mW cm}^{-2}$ , which is below AM1.5 solar flux at the excitation wavelength ( $>2 \text{ mW cm}^{-2}$ ).<sup>15</sup> Since they are all operating in the linear regime, have similar energy transfer yields, and have comparable integrated absorption rates ( $1.44$ ,  $1.35$ , and  $1.94 \times 10^{28} \text{ photons s}^{-1} \text{ cm}^{-2}$  for 2.3, 2.7, and 3.1 nm QD films, respectively), then the similarity in  $J_{sc}$  ( $20\text{--}30 \mu\text{A cm}^{-2}$ ) is not surprising. It is, however, worth noting that direct injection from QD\* in the TiO<sub>2</sub>-B-QD devices was strongly dependent on particle size with a photocurrent of  $<2 \mu\text{A cm}^{-2}$  for the 3.1 nm film (Figure S9). This observation agrees with the trend of decreasing conduction band energy (i.e., excited state reduction potential) with increasing size of the QDs (Figure S11),<sup>45</sup> and further supports a TTA-UC photocurrent generation mechanism.

#### 4. CONCLUSIONS

CdSe QDs were successfully used as the sensitizer in an integrated TTA-UC solar cell.  $J_{sc}$  enhancements greater than

1.4 times the sum of the individual components were achieved using inorganic-organic-inorganic ( $\text{TiO}_2\text{-acceptor-QD}$ ) layered photoanodes. The proposed TTA-UC photocurrent generation mechanism (i.e., QD excitation, QD\* to A energy transfer, TTA between two  $^3\text{A}^*$ , and electron injection from  $^1\text{A}^*$ ) was supported by a quadratic to linear photocurrent dependence on excitation intensity. The maximum efficiency onset intensity (i.e., the  $I_{th}$  value) was found to be below the solar flux and, in terms of  $\text{ex s}^{-1} \text{ cm}^{-2}$  ( $\sim 2.0 \times 10^{15}$ ), was on par with the previously reported molecular sensitized systems despite having a 15-fold lower surface coverage. Regardless of the similarities, the  $J_{sc}$  for the QD sensitized device ( $29 \mu\text{A cm}^{-2}$ ) was more than six times lower than for the previously reported molecular sensitized devices ( $185 \mu\text{A cm}^{-2}$ ). Time-resolved spectroscopic measurements indicate that less than unity energy transfer yields ( $\sim 40\text{--}80\%$ ), slow regeneration kinetics, and competitive QD\* quenching by the  $\text{Co}^{\text{II/III}}(\text{phen})_3$  redox mediator are partially responsible for the decreased performance of the QD sensitized device. Regardless, these results demonstrate that QD sensitized upconversion can be harnessed in an integrated TTA-UC solar cell. Increasing QD surface loadings, shifting UC QD-A pairs to the near-IR, increasing energy transfer yields, and speeding up regeneration kinetics are necessary steps to fully realize the potential for QD sensitized TTA-UC solar cells.

#### ASSOCIATED CONTENT

##### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: [10.1021/acsael.9b01765](https://doi.org/10.1021/acsael.9b01765).

Experimental details, photophysical properties of QD, device measurement data, and transient absorption spectra (PDF)

#### AUTHOR INFORMATION

##### Corresponding Author

\*E-mail: [hanson@chem.fsu.edu](mailto:hanson@chem.fsu.edu).

##### ORCID

Drake Beery: [0000-0001-9605-1803](https://orcid.org/0000-0001-9605-1803)

Jonathan P. Wheeler: [0000-0002-8737-0834](https://orcid.org/0000-0002-8737-0834)

Kenneth Hanson: [0000-0001-7219-7808](https://orcid.org/0000-0001-7219-7808)

##### Notes

The authors declare no competing financial interest.

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