

# Cryogenic behavior of NbO<sub>2</sub> based threshold switching devices as oscillation neurons

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# Cryogenic behavior of $\text{NbO}_2$ based threshold switching devices as oscillation neurons

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## AFFILIATIONS

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## ABSTRACT

This Letter investigates the cryogenic behavior of  $\text{NbO}_2$  threshold switching devices. Pt/ $\text{NbO}_2$ /Pt devices are demonstrated to be well functional as threshold switching devices at ultra-low temperature (4 K). When the temperature decreases, the OFF-state resistance of  $\text{NbO}_2$  increases and the switching voltage increases. With the extracted characteristics of  $\text{NbO}_2$  ranging from 4 K to 300 K, we continue to study the neuromorphic system using the crossbar array with resistive memories as resistive synapses and  $\text{NbO}_2$  as oscillation neurons at different temperatures through SPICE simulation. The simulation results show that the oscillation systems could still work properly at 4 K. The oscillation amplitude decreases as temperature increases. The oscillation frequency depends on both the temperature and the input voltage.

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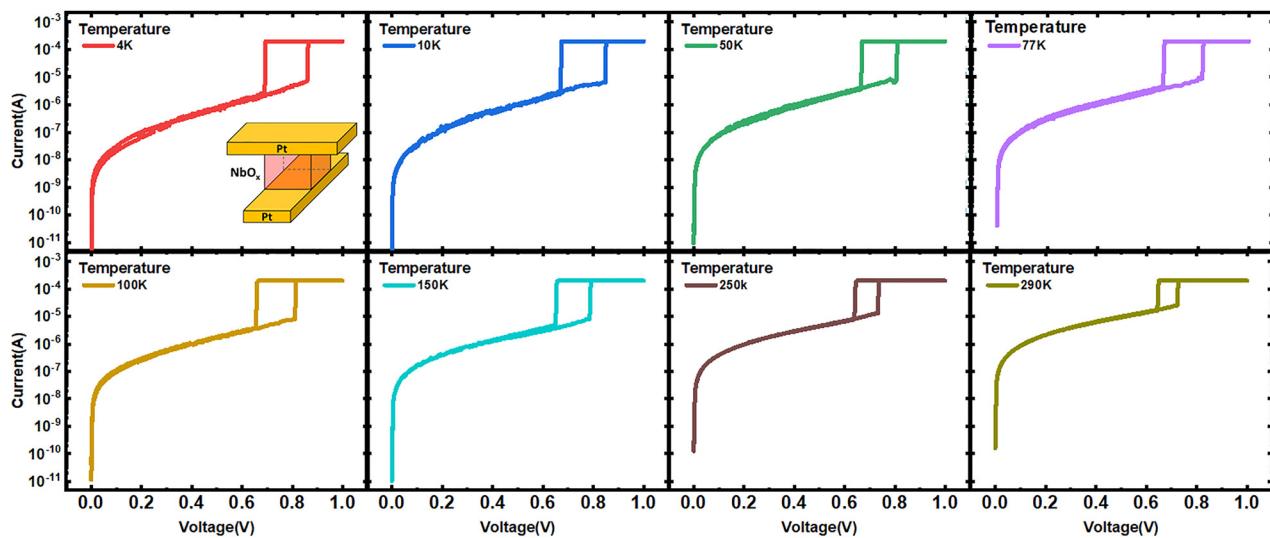
Deep neural networks (DNNs) have achieved great success in various intelligent tasks such as image classification, speech recognition, and object detection. However, DNNs heavily rely on a large amount of training data and complex networks with hundreds of millions of connections. The data communications between memory and the processor for traditional von Neumann based hardware limit the computing efficiency for DNNs. Therefore, compute-in-memory (CIM), where the computation is directly performed within memory, is proposed to accelerate computation. In this regard, the crossbar array with resistive memories (RRAM) has been proposed to implement the vector-matrix multiplication (VMM),<sup>1–7</sup> the most dominating operation in DNNs. When the input vector (voltage) is fed into the crossbar array, the weighted sum current will sink to the neuro node at the end of the column. Typically, the column current needs to be digitized through integrated-and-fire neurons or analog-to-digital converters (ADCs).<sup>8</sup> However, such circuits are complex and occupy a much larger silicon footprint than the column pitch of the crossbar array, and therefore, the neuron circuit needs to be shared among multi-columns, thereby reducing the computation parallelism.

Recently,  $\text{NbO}_2$  has attracted much attention due to its Metal-Insulator-Transition characteristic with potential application as the selector or oscillation neuron.<sup>9–12</sup>  $\text{NbO}_2$ -based compact threshold switch devices could potentially get rid of the complex CMOS neuron circuit, resulting in a reduced area of  $\sim 12.5 \times$  based on the previous circuit-level simulation study.<sup>13</sup> Moreover, previous works have experimentally demonstrated an integrated neuromorphic system with

RRAM as resistive synapses and  $\text{NbO}_2$  as oscillation neurons.<sup>14,15</sup> Previous work has also characterized the cryogenic behavior of  $\text{HfO}_2$ -based RRAM from 4 K to room temperature.<sup>16</sup> From a technology perspective, neuromorphic computing systems operating in all ranges between room temperature and 4 K are of significant interest both in aerospace electronics and in peripheral control for quantum computers. To date, there is no investigation of the cryogenic behavior of  $\text{NbO}_2$ -based threshold switch devices. Therefore, it is imperative to investigate the cryogenic behavior of  $\text{NbO}_2$ . In this Letter, we present a cryogenic characterization of Pt/ $\text{NbO}_2$ /Pt threshold switching devices. Furthermore, we incorporate the measured results with the RRAM cryogenic behavior<sup>16</sup> and evaluate a neuromorphic system using RRAM as resistive synapses and  $\text{NbO}_2$  as oscillation neurons at different temperatures with SPICE simulation.

The Pt/ $\text{NbO}_2$ /Pt devices were fabricated in the cross-point structure with an active area of  $10 \times 10 \mu\text{m}^2$ . First, Pt/Ti (25 nm/3 nm) was deposited by e-beam evaporation and patterned through lift-off. Then, a blanket  $\text{NbO}_2$  thin film (15 nm) was deposited by reactive sputtering with the Nb target in an  $\text{O}_2/\text{Ar}$  gas mixture at the ratio of 1/10 with the chamber pressure at 4 mTorr, the plasma power of 250 W, and the substrate temperature of 100 °C. The Pt (25 nm) top electrode was formed on the top of  $\text{NbO}_2$  by e-beam evaporation and lift-off. The bottom electrode pads were exposed by optical lithography and wet etching of the  $\text{NbO}_2$  layer.

The device was characterized in the LakeShore CRX-4K cryogenic probe station using a Keysight B1500 semiconductor device



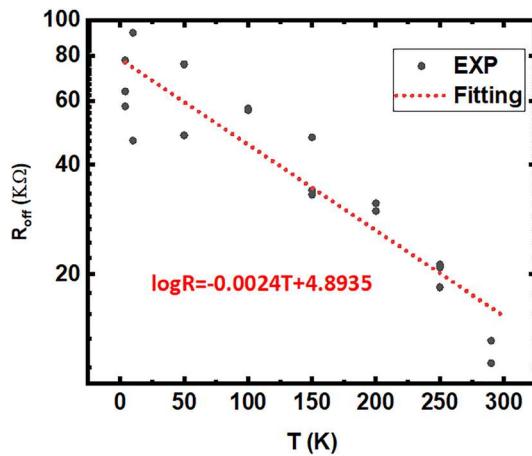
**FIG. 1.** Measured I-V threshold switching characteristics of the Pt/NbO<sub>2</sub>/Pt device at different temperatures down to 4 K. The inset shows the schematic of the fabricated Pt/NbO<sub>2</sub>/Pt device.

analyzer. Figure 1 shows the measured threshold switching I-V characteristics of the Pt/NbO<sub>2</sub>/Pt devices at different temperatures ranging from 4 K to 290 K. In all the temperature range, as the voltage swept from 0 V to 1 V with a current compliance of 0.2 mA, an abrupt increase in current was observed at the threshold voltage (V<sub>TH</sub>). While sweeping the voltage back from 1 V to 0 V, the current abruptly decreased to off-current at the hold voltage (V<sub>HOLD</sub>). This shows that NbO<sub>2</sub> still has exhibited the threshold behavior at 4 K.

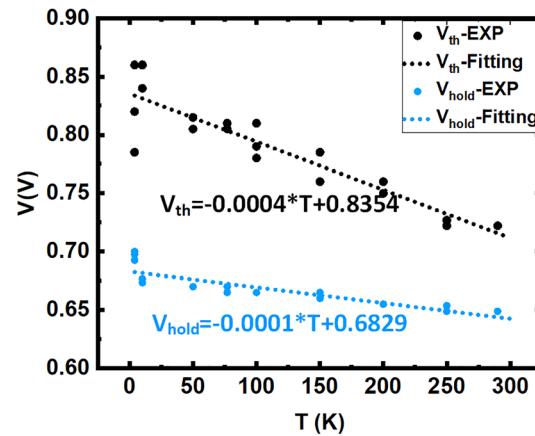
To investigate the application of NbO<sub>2</sub> as an oscillation neuron, we further extract its OFF-state resistance (R<sub>OFF</sub>) and switching voltages. NbO<sub>2</sub> will operate between V<sub>HOLD</sub> and V<sub>TH</sub> during the oscillation, and the OFF-Resistance of NbO<sub>2</sub> at 0.7 V is extracted as shown in Fig. 2. R<sub>OFF</sub> is reduced as the temperature increases from 4 K to 290 K. Previous work<sup>17</sup> showed that the conduction mechanism for Pt/NbO<sub>x</sub>/Pt below

the threshold voltage is mainly through Frenkel-Poole conduction. When the temperature decreases, the thermal excitation of electrons from traps into the conduction band reduces, thus increasing the resistance. The V<sub>TH</sub> and V<sub>HOLD</sub> values at different temperatures are shown in Fig. 3. Both V<sub>TH</sub> and V<sub>HOLD</sub> decrease when the temperature increases. The switching voltage almost decreases linearly with the temperature increasing from 4 K to 290 K, which agrees with the NbO<sub>2</sub> switching behavior around the room temperature range (242 K to 380 K) observed in the previous study.<sup>17</sup> Finally, we fit the log(R<sub>OFF</sub>)-T, V<sub>TH</sub>-T, and V<sub>HOLD</sub>-T curves with linear regression and sweep the temperature to obtain R<sub>OFF</sub>, V<sub>TH</sub>, and V<sub>HOLD</sub> parameters at different temperatures for SPICE simulation.

Combining the parameters for NbO<sub>2</sub> devices and RRAM resistance<sup>16</sup> ranging from 4 K to 300 K as shown in Table I, we continue to evaluate the neuromorphic systems using RRAM as resistive synapses



**FIG. 2.** The temperature dependence of NbO<sub>2</sub> OFF-state resistance. The resistance is read at 0.7 V.



**FIG. 3.** Temperature dependence of the threshold voltage (V<sub>th</sub>) and hold voltage (V<sub>hold</sub>) extracted from the current-voltage characteristic of Fig. 1.

TABLE I. Oscillation simulation parameters.

T(K)	R <sub>OFF</sub> (KΩ)	V <sub>TH</sub> (V)	V <sub>HOLD</sub> (V)	R <sub>RRAM</sub> (KΩ) <sup>16</sup>
4	76.57	0.8338	0.6823	33.0
10	74.12	0.8313	0.6815	33.0
50	59.64	0.8148	0.6761	31.8
100	45.53	0.7942	0.6694	29.4
150	34.65	0.7735	0.6627	26.6
200	26.41	0.7529	0.6559	23.1
250	20.13	0.7323	0.6492	20.4
300	15.33	0.7117	0.6425	18.1

and NbO<sub>2</sub> as oscillation neurons at different temperatures through SPICE simulation. The circuit configuration is shown in Fig. 4(a). The RRAM and NbO<sub>2</sub> are connected in series, and there is a parasitic capacitor at the neuron node. The parasitic capacitor is set to 100 fF in simulation, representing the column parasitic capacitance from the RRAM array.<sup>15</sup> The input voltage is applied through the top side of RRAM, and the output voltage is read from the neuron node. Initially, NbO<sub>2</sub> is in the OFF state; when the input voltage (V<sub>DD</sub>) is applied, the parasitic capacitor will be charged. According to the voltage divider rule, the neuron node should be charged up to V<sub>DD</sub> × R<sub>OFF</sub>/(R<sub>OFF</sub> + R<sub>RRAM</sub>). If the node voltage is larger than the threshold voltage, NbO<sub>2</sub> will be turned on and its resistance will be reduced to R<sub>ON</sub>. Then, the neuron node voltage will be reduced, resulting in capacitor discharge. The neuron node voltage will be discharged down to V<sub>DD</sub> × R<sub>ON</sub>/(R<sub>ON</sub> + R<sub>RRAM</sub>). Similarly, if this discharged voltage is less than V<sub>HOLD</sub>, NbO<sub>2</sub> will be turned off. Thus, the neuron node voltage oscillates between V<sub>HOLD</sub> and V<sub>TH</sub>. To achieve the oscillation, the NbO<sub>2</sub> device should be cycled multiple times between the OFF state and the ON state. NbO<sub>2</sub> threshold switching endurance testing showed that its switching characteristics were not degraded up to 10<sup>6</sup> cycles at room temperature,<sup>18</sup> which is sufficient for neuromorphic applications. Furthermore, the following requirements should be satisfied:

$$\frac{V_{DD} \times R_{OFF}}{R_{OFF} + R_{RRAM}} \geq V_{TH}, \quad (1)$$

$$\frac{V_{DD} \times R_{ON}}{R_{ON} + R_{RRAM}} \leq V_{HOLD}. \quad (2)$$

Since R<sub>ON</sub> (~1 KΩ) is much smaller than R<sub>RRAM</sub> (~30 KΩ), then R<sub>ON</sub>/(R<sub>ON</sub> + R<sub>RRAM</sub>) ≈ 1; therefore, requirement (2) can be easily

satisfied. It should be noted that R<sub>OFF</sub> < R<sub>RRAM</sub> is not a must. Even if R<sub>OFF</sub> > R<sub>RRAM</sub>, requirement (1) could still be satisfied with large enough V<sub>DD</sub>.

During the characterization, since the compliance current is set to avoid destroying the devices, the actual R<sub>ON</sub> is not obtained. However, during the oscillation, the charging is mainly through OFF-state NbO<sub>2</sub> and discharging is mainly through the RRAM since RRAM resistance is much larger than that of the ON-state NbO<sub>2</sub>. Therefore, the oscillation frequency mainly depends on R<sub>OFF</sub> and R<sub>RRAM</sub>. During the simulation, the R<sub>ON</sub> value is set to 1 KΩ, which will not affect the results. It should be noted that the ON/OFF ratio for NbO<sub>2</sub> is typically 100,<sup>19,20</sup> and the extracted highest R<sub>OFF</sub> is 76 KΩ at 4 K. Therefore, 1 KΩ is a reasonable value for R<sub>ON</sub>. During the SPICE simulation, NbO<sub>2</sub> is modeled with a Verilog-A behavior model that captures the switching characteristics with parameters such as the resistance in the ON/OFF state (R<sub>ON</sub>/R<sub>OFF</sub>), the threshold voltage (V<sub>TH</sub>), and the hold voltage (V<sub>HOLD</sub>).<sup>13</sup> The intrinsic transition time between the ON/OFF state is set to 10 ps.<sup>13</sup> Figures 4(b)–4(e) show the simulation results of the output voltage waveform for 4 K and 300 K at different V<sub>DD</sub> values. When the square pulse is fed into the input, the output neuron waveform oscillates. We sweep the temperature from 4 K to 300 K, the output oscillation frequency and oscillation amplitude are shown in Figs. 5 and 6, respectively. It shows that the oscillation amplitude is between V<sub>HOLD</sub> and V<sub>TH</sub> and it decreases when the temperature increases. Therefore, the oscillation amplitude is mainly determined by V<sub>HOLD</sub> and V<sub>TH</sub>. The oscillation amplitude modulation depends on NbO<sub>2</sub> device optimization such as NbO<sub>2</sub> film thickness tuning<sup>18</sup> and device structure engineering.<sup>19</sup> The oscillation frequency is related to not only temperature but also V<sub>DD</sub>. It can be further described analytically by solving the equation<sup>13</sup> based on Kirchhoff's Law on the configuration. The charging time t<sub>rise</sub> and discharging time t<sub>all</sub> are expressed by the following equations:

$$t_{rise} = R_{rise} C \times \log \frac{\frac{R_{rise}}{R_{RRAM}} - V_{HOLD}}{\frac{V_{DD}}{R_{RRAM}} - V_{TH}} \quad (3)$$

$$t_{all} = R_{all} C \times \log \frac{\frac{R_{all}}{R_{RRAM}} - V_{HOLD}}{\frac{V_{DD}}{R_{RRAM}} - V_{TH}} = R_{all} C \times \log A_{all}, \quad (4)$$

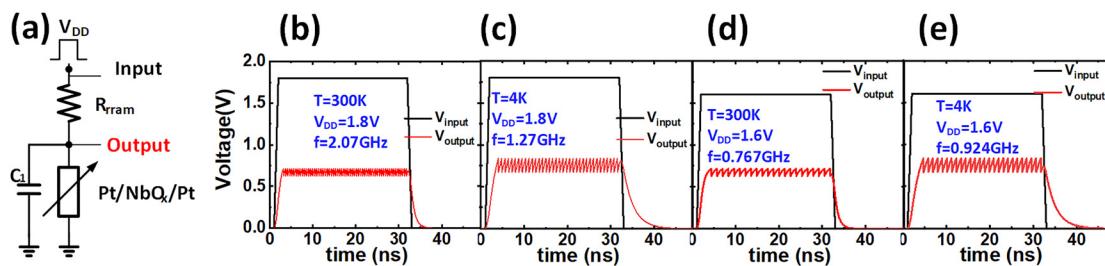


FIG. 4. (a) Circuit configuration of an oscillation neuron node with the Pt/NbO<sub>2</sub>/Pt device and a RRAM as synapse. Simulated oscillation waveforms with various V<sub>DD</sub> and temperature values: (b) V<sub>DD</sub> = 1.8 V and T = 300 K, (c) V<sub>DD</sub> = 1.8 V and T = 4 K, (d) V<sub>DD</sub> = 1.6 V and T = 300 K, and (e) V<sub>DD</sub> = 1.6 V and T = 4 K.

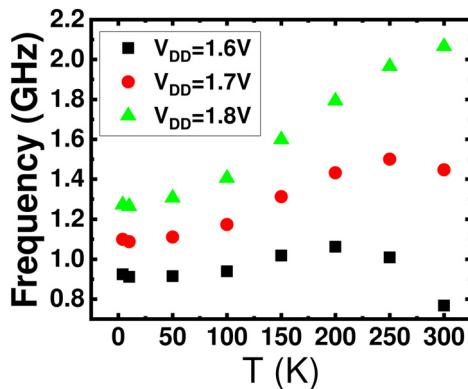


FIG. 5. Temperature dependence of the oscillation frequency with different input  $V_{DD}$  values.

where  $R_{rise} = R_{RRAM} \parallel R_{OFF}$  and  $R_{all} = R_{RRAM} \parallel R_{ON}$ . The rise time and fall time depend on both the RC product and the parameter  $A_{rise}/A_{all}$ . The RC product decreases when the temperature increases as  $R_{OFF}$  of  $NbO_2$  and  $R_{RRAM}$  decreases. However, the parameters  $A_{rise}/A_{all}$  will increase with the temperature. When  $V_{DD}$  is large,  $A_{rise}$  or  $A_{all}$  is close to 1, and the RC product will dominate the oscillation time. When  $V_{DD}$  is small (e.g.,  $V_{DD} = 1.6V$ ),  $A_{rise} = 1.53$  at  $T = 4K$  and  $A_{rise} = 4.01$  at  $T = 300K$ . Therefore, the final oscillation time depends on both the RC product and  $V_{DD}$ .

In summary, the cryogenic behavior of  $NbO_2$ -based threshold switching devices is characterized in this work. First, the device still has the threshold switching behavior at an ultra-low temperature of 4K, showing promise for cryogenic applications. Second, the resistance and switching voltages at different temperatures are extracted. The  $NbO_2$  resistance decreases and the switching voltages decrease when the temperature increases. Finally, with the extracted parameters, we evaluate the neuromorphic system using RRAM as resistive synapses and  $NbO_2$  as oscillation neurons at different temperatures through SPICE simulation. The neuron oscillation system still works at 4K with possibly lower oscillation frequency but higher oscillation amplitude. The oscillation amplitude is mainly between  $V_{HOLD}$  and

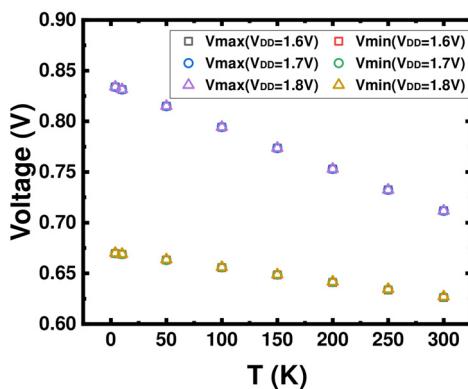


FIG. 6. Temperature dependence of the oscillation amplitude with different input  $V_{DD}$  values.

$V_{TH}$  depending on the temperature. The oscillation frequency depends on both the input voltage and the temperature.

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The data that support the findings of this study are available from the corresponding author upon reasonable request.

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