

Redox-Channeling Polydopamine-Ferrocene (PDA-Fc) Coating To Confer Context-Dependent and Photothermal Antimicrobial Activities

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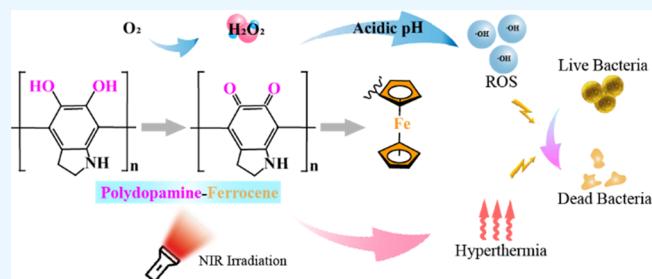
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ABSTRACT: Microbial disinfection associated with medical device surfaces has been an increasing need, and surface modification strategies such as antibacterial coatings have gained great interest. Here, we report the development of polydopamine-ferrocene (PDA-Fc)-functionalized TiO₂ nanorods (Ti-Nd-PDA-Fc) as a context-dependent antibacterial system on implant to combat bacterial infection and hinder biofilm formation. In this work, two synergistic antimicrobial mechanisms of the PDA-Fc coating are proposed. First, the PDA-Fc coating is redox-active and can be locally activated to release antibacterial reactive oxygen species (ROS), especially ·OH in response to the acidic microenvironment induced by bacteria colonization and host immune responses. The results demonstrate that redox-based antimicrobial activity of Ti-Nd-PDA-Fc offers antibacterial efficacy of over 95 and 92% against methicillin-resistant *Staphylococcus aureus* (MRSA) and *Escherichia coli* (*E. coli*), respectively. Second, the photothermal effect of PDA can enhance the antibacterial capability upon near-infrared (NIR) irradiation, with over 99% killing efficacy against MRSA and *E. coli*, and even suppress the formation of biofilm through both localized hyperthermia and enhanced ·OH generation. Additionally, Ti-Nd-PDA-Fc is biocompatible when tested with model pre-osteoblast MC-3T3 E1 cells and promotes cell adhesion and spreading presumably due to its nanotopographical features. The MRSA-infected wound model also indicates that Ti-Nd-PDA-Fc with NIR irradiation can effectively eliminate bacterial infection and suppress host inflammatory responses. We believe that this study demonstrates a simple means to create biocompatible redox-active coatings that confer context-dependent antibacterial activities to implant surfaces.

KEYWORDS: *implant, antibacterial, redox, polydopamine, hydroxy radical, photothermal, chemodynamic therapy*



1. INTRODUCTION

Bacterial contamination presents a serious ongoing problem in areas ranging from industrial operations (e.g., food manufacturing) to human health.¹ In particular, bacterial infections at the site of implanted medical devices may result in chronic diseases and even death of the patient.² Indeed, about 45% of all nosocomial infections are associated with medical devices.^{3,4} A common strategy to prevent contamination is to apply antimicrobial coatings to substrate surfaces,⁵ and therefore, the development of antimicrobial coatings is attracting significant attention.^{6–8}

Several types of antimicrobial coatings have been developed so far,⁹ which can be divided into two broad categories: anti-adhesion coating and bactericidal coating. Generally, anti-adhesion coatings are based on antifouling polymers, such as poly(ethylene glycol) (PEG) and its derivatives^{10,11} and zwitterion-containing polymers.¹² These coatings are capable of repelling bacterial adhesion and attachment through nonspecific interactions. Bactericidal coatings are designed to

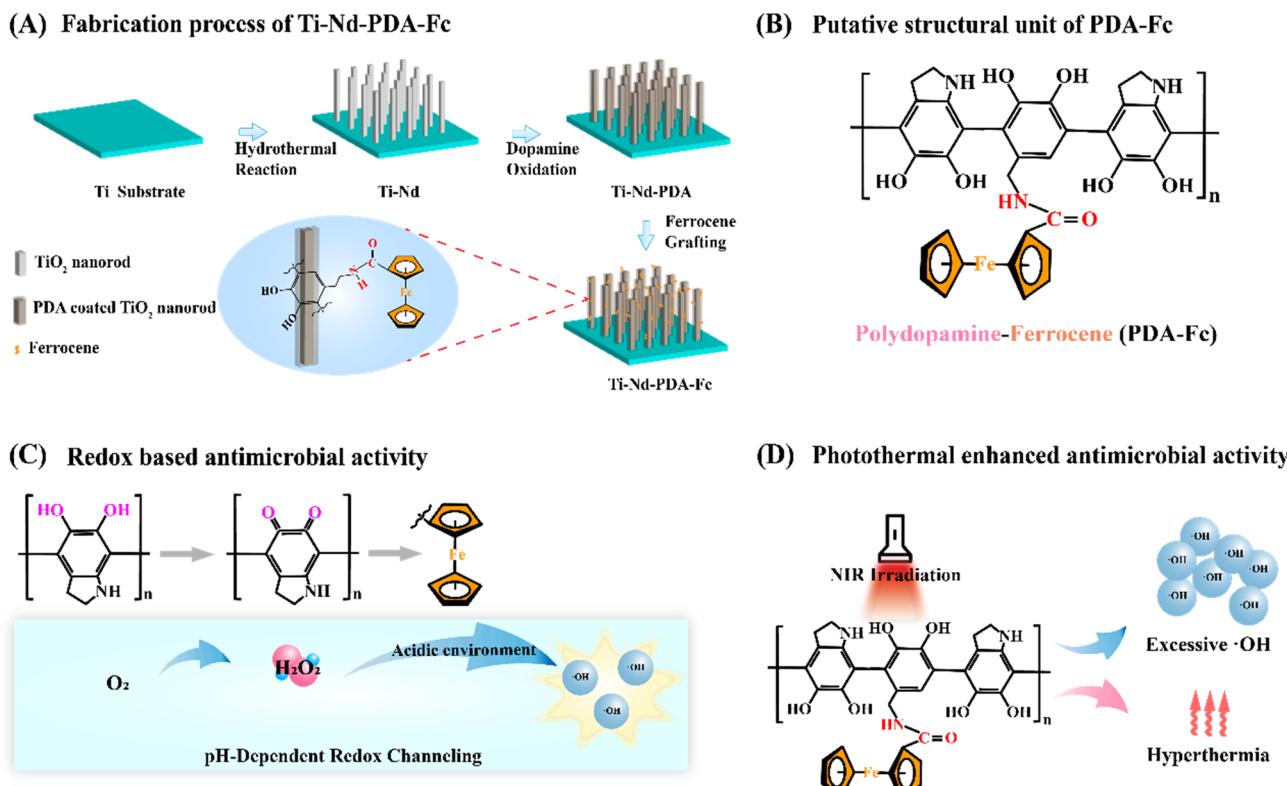
provide direct bacterial killing mechanisms and are usually preloaded with antibiotics,^{13,14} antibacterial peptides,¹⁵ enzymes,¹⁶ quaternary ammonium compounds (QACs),^{17–19} or metal nanoparticles.²⁰ Although numerous antimicrobial coating strategies have been proposed and demonstrate general effectiveness against both Gram-positive and Gram-negative bacteria, present antimicrobial coatings, however, still have some drawbacks. For instance, anti-adhesion coatings sometimes lack effective and stable antimicrobial activities, while bactericidal coatings may result in premature depletion of their active ingredient and induce adverse effects (e.g., tissue injury) or promote the emergence of drug resistance. In fact, the rise

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Scheme 1. Fabrication Process of Ti-Nd-PDA-Fc, Putative Structural Unit of PDA-Fc, Redox-Based Antimicrobial Activity, and Photothermally Enhanced Antimicrobial Activity^a



^a(A) Synthesis process of Ti-Nd-PDA-Fc on the Ti substrate. TiO_2 nanorods are grown on the Ti substrate by the hydrothermal reaction. PDA is derived from self-polymerization of dopamine in Tris-HCl buffer with pH 8.5. Also, ferrocene is covalently grafted on PDA coating through EDC-NHS chemistry. (B) Putative structural unit of PDA-Fc coating. (C) Redox-based antimicrobial activity by inducing $\cdot\text{OH}$ generation. (D) Photothermally enhanced antimicrobial activity through both excessive $\cdot\text{OH}$ generation and hyperthermia.

of multidrug-resistant bacteria is becoming a major clinical concern.²¹

A major biological approach to fight bacterial infections is the generation of reactive oxygen species [ROS; e.g., superoxide (O_2^-), hydrogen peroxide (H_2O_2), hydroxy radical ($\cdot\text{OH}$), and nitric oxide radical (NO^\cdot)],²² and ROS-based antibacterial therapies are attracting great attention.²³ Owing to the unique oxidative killing mechanism, ROS-based antimicrobial approaches have been reported to have higher efficacy with lower side effects compared with conventional treatments²⁴ and to be active against a wide spectrum of pathogens, including multidrug-resistant isolates.²⁵ Notably, ROS-releasing antimicrobial therapies can be designed to be activated locally by diverse stimuli, such as pH values,^{26,27} temperature,²⁸ and light,^{29,30} which makes them a good candidate for the “context-dependent” designs.

Here, we report a biomimetic redox-active antimicrobial coating capable of the *in situ* generation of reactive oxygen species (ROS), which has similarities to emerging chemodynamic anticancer therapies that employ nanomaterials to promote ROS generation.³¹ Titanium implant is one of the common medical devices³² and used as the model substrate in this work. Specifically, Scheme 1A illustrates that we prepared a nanostructured surface by generating TiO_2 nanorods (Ti-Nd) using a hydrothermal method because such nanostructured surfaces have been reported to suppress bacterial adhesion.³³ We then coated the Ti-Nd nanostructured surface by the self-polymerization of dopamine to make polydopamine

(PDA)-coated Ti-Nd (Ti-Nd-PDA). Because PDA coatings are simple to generate and relatively easy to functionalize, they have been applied for a wide range of applications,^{34–37} including the coating of implant surfaces to confer antimicrobial activities.^{38,39} Finally, Ti-Nd-PDA is functionalized by the covalent grafting of the iron (Fe)-containing organometallic compound ferrocene (Fc) to prepare PDA-Fc-coated Ti-Nd (Ti-Nd-PDA-Fc). The putative chemical structure of PDA-Fc coating on Ti-Nd-PDA-Fc is illustrated in Scheme 1B.

In terms of functionality, our previous study demonstrates that PDA nanoparticles are redox-active,⁴⁰ and Scheme 1C hypothesizes that the catecholic moieties of PDA coating will be redox-active and capable of donating electrons to O_2 to generate H_2O_2 .^{41,42} We propose that this H_2O_2 will be an intermediate that is “channeled” into the highly reactive $\cdot\text{OH}$ radical via a Fenton-like reaction by the Fc moiety.⁴³ Importantly, the conversion of H_2O_2 to $\cdot\text{OH}$ is promoted by acidic conditions that characterize the pH context associated with bacterial infections (Note that the pH value in the severe infection sites can be as low as 5.0–5.5, as previously reported).^{44,45} Although the hypoxia in the infection site is recently reported⁴⁶ and our ROS-generating approach requires O_2 , we should note that the absolute O_2 concentration in the infection site has only 20% decrease,⁴⁷ where the immune system can also utilize the O_2 -dependent antimicrobial mechanism to combat bacterial infection. On the other hand, we foresee that, due to the extreme toxicity, a small amount of

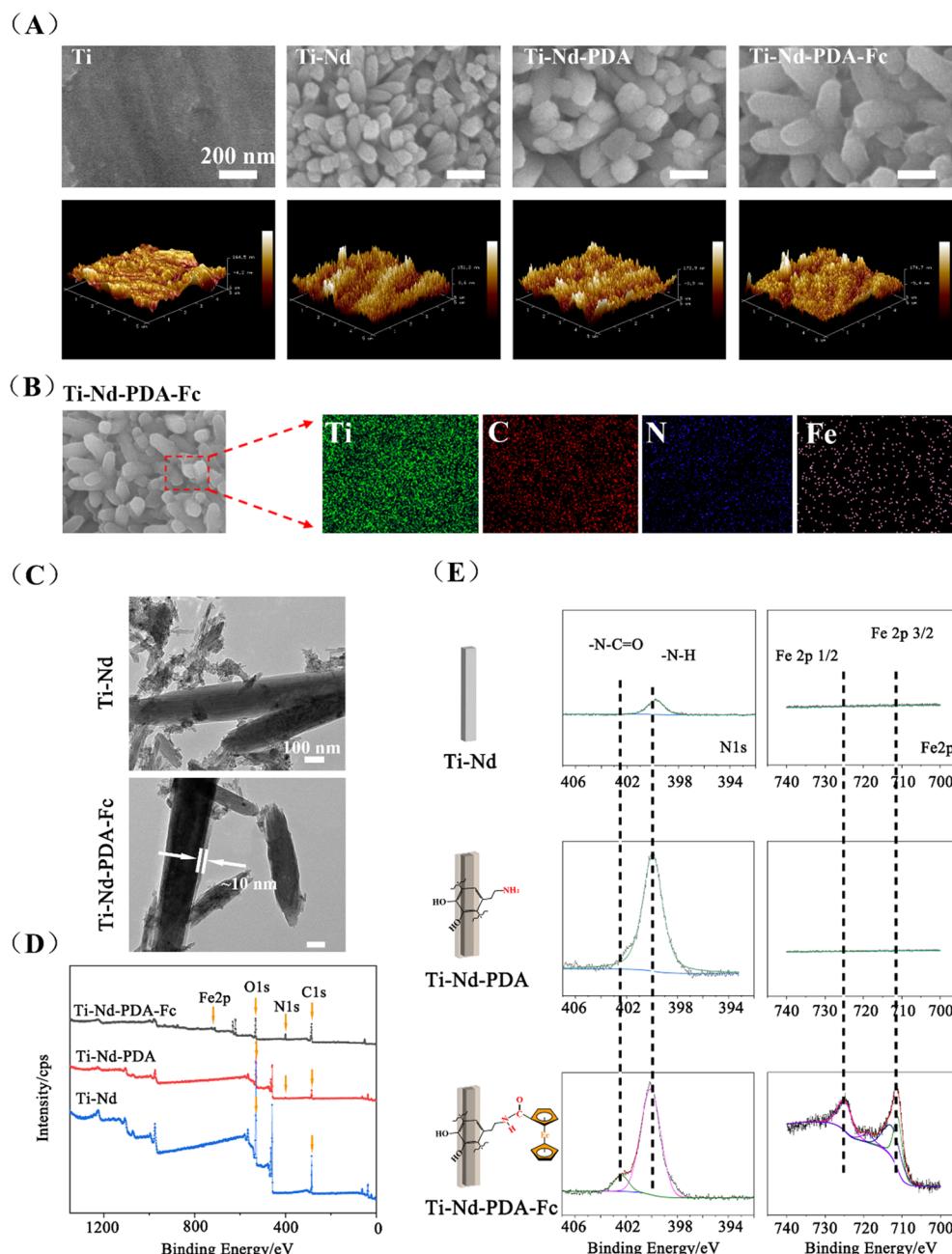


Figure 1. (A) FE-SEM and AFM images of different substrates. Scale bars are 200 nm. (B) EDS mapping (Ti, C, N, and Fe) images of Ti-Nd-PDA-Fc. (C) TEM images of Ti-Nd and Ti-Nd-PDA-Fc. Scale bars are 100 nm. (D) XPS survey spectra of Ti-Nd, Ti-Nd-PDA, and Ti-Nd-PDA-Fc surfaces. (E) High-resolution XPS spectra of N 1s and Fe 2p on Ti-Nd, Ti-Nd-PDA, and Ti-Nd-PDA-Fc surfaces.

OH free radicals derived from O_2 can also provide valid oxidative stress to bacteria.

In addition, **Scheme 1D** suggests that the PDA component of this coating may also confer photothermal properties that improve antimicrobial activities upon near-infrared (NIR) irradiation due to photothermal heating and potentially enhanced ROS generation.⁴⁸ Moreover, we provide chemical, cell, and animal studies to support the proposed functions of Ti-Nd-PDA-Fc.

We believe that this Ti-Nd-PDA-Fc antimicrobial design offers three important advantages. First, the PDA-Fc coating spatially localizes ROS generation to the implant surface. Second, it limits ·OH-generating activities to the acidic contexts that characterize bacterial infections. Third, it enables

the application of a second synergistic antimicrobial mechanism based on exogenously triggered photothermal hyperthermia.

2. RESULTS AND DISCUSSION

2.1. Fabrication and Characterization of Ti-Nd-PDA-Fc. Titanium nanorods were first prepared on the polished titanium substrates by a facile one-step hydrothermal method (150 °C; 2 h in acidic solution), as previously reported.⁴⁹ The as-synthesized titanium substrates with TiO₂ nanorods (Ti-Nd) were then gently incubated in 50 mL of dopamine hydrochloride solution (2 mg/mL; pH 8.5) overnight to prepare Ti-Nd-PDA substrates. After an ascorbate reduction

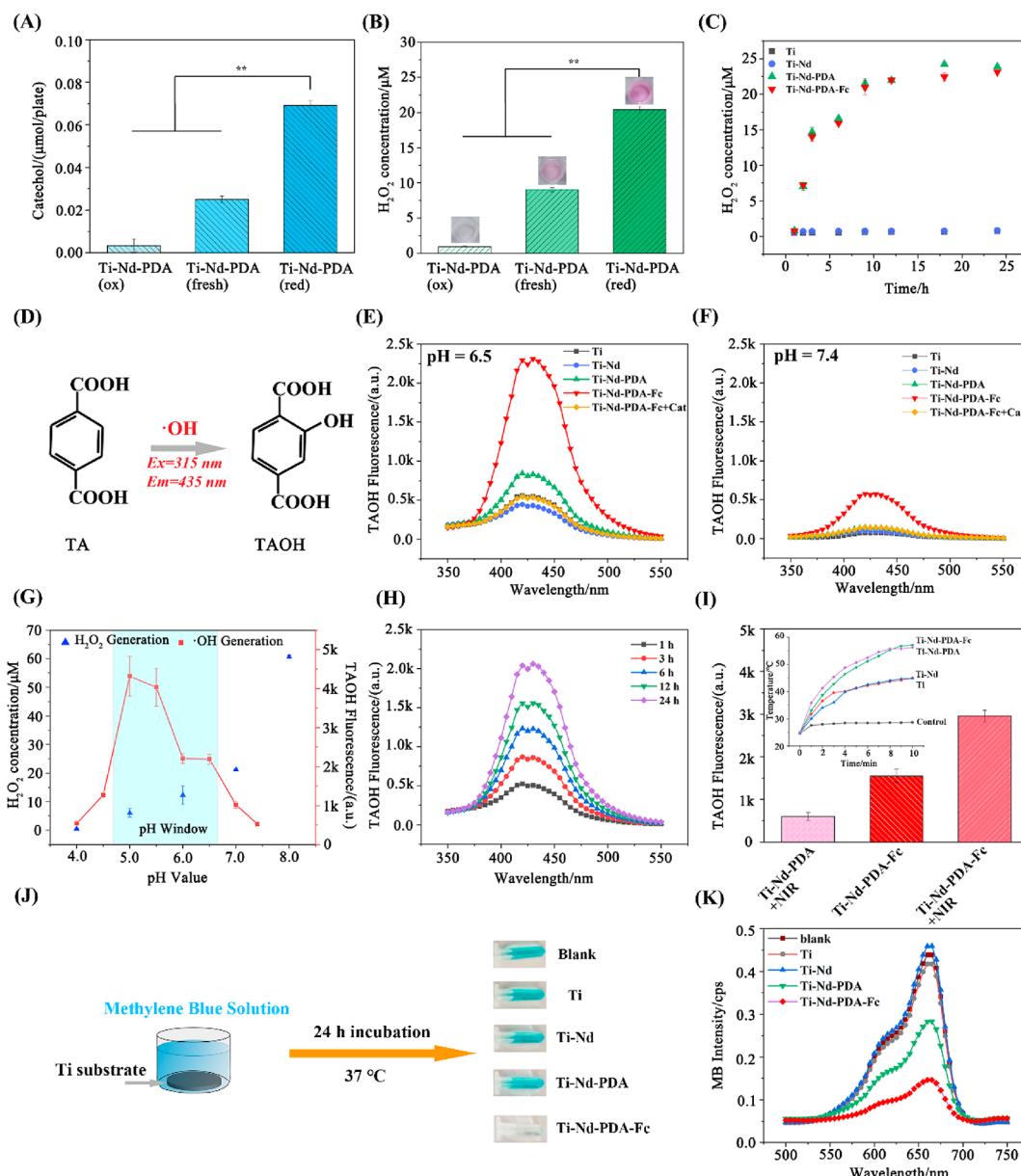


Figure 2. (A) Catechol content and (B) H_2O_2 generation of Ti-Nd-PDA at different redox states. (The color changes of Amplex Red indicator dye are inserted.) (C) H_2O_2 generation of Ti-Nd-PDA-Fc in 1 mL of PBS buffer (10 mM) for a determined time at pH 7.4. (D) Reaction between $\cdot\text{OH}$ and terephthalic acid (TA). (E, F) Fluorescence spectra of the TA solution with pH 6.5 and pH 7.4, respectively, co-incubated with Ti, Ti-Nd, Ti-Nd-PDA, Ti-Nd-PDA-Fc, and Ti-Nd-PDA-Fc + Cat for the 24 h reaction. “Cat” stands for catalase and was used to quench H_2O_2 . (G) Fluorescence spectra of the TA solution (50 mM) co-incubated with Ti-Nd-PDA-Fc and H_2O_2 generation of Ti-Nd-PDA for 24 h at different pH values. Blue area indicates the pH window. (H) Fluorescence spectra of the TA solution (pH 6.5, 50 mM) co-incubated with Ti-Nd-PDA-Fc for a determined time. (I) Fluorescence spectra of the TA solution (pH 6.5, 50 mM) co-incubated with Ti-Nd-PDA and Ti-Nd-PDA-Fc with or without NIR irradiation. The inset is the temperature changes of the substrates when exposed to NIR irradiation; PB buffer is used as the control group. (J) Schematic illustration of the MB degradation experiment where the Ti substrate is immersed in MB solution and photographs of degraded MB solution. (K) Degradation of MB (2 mg/mL, 2 mL) solution with adsorption peak at 665 nm in aqueous solution using different substrates for 24 h. Ascorbate-reduced Ti-Nd-PDA is used for the experiments in (C), unless otherwise mentioned.

step, ferrocene was covalently grafted on PDA coating through EDC-NHS chemistries.⁵⁰

Some methods were used for morphological characterization. Field-emission scanning electron microscopy (FE-SEM) and atomic force microscopy (AFM) in Figure 1A revealed that titanium nanorods were successfully synthesized, and the array-like morphology was composed of an individual nanorod vertically grown on the substrate, with an approximate diameter of 100 nm. The X-ray diffraction (XRD) spectrum in Figure S1a showed a titanium dioxide crystal diffraction

pattern with a rutile TiO_2 phase (JCPDS no. 21-1276).⁵¹ Compared with the standard pattern of titanium, the relative intensities of (101) and (002) peaks were significantly enhanced, confirming the existence of a single crystal of titanium dioxide. Figure 1B shows the energy-dispersive spectroscopy (EDS) mapping of Ti-Nd-PDA-Fc for Ti, C, N, and Fe elements. These results demonstrated that all the elements were distributed uniformly, confirming the existence of PDA coating and ferrocene on the surface of Ti-Nd-PDA-Fc. Transmission electron microscopy (TEM) images in

Figure S1b also demonstrated that the length of Ti-Nd was approximately 1 μm . Following the self-polymerization of dopamine and the subsequent grafting of ferrocene, Ti-Nd exhibited a slight increase in diameter, while the nanorod-shaped morphology was still retained. According to the TEM images in Figure 1C, the increase in thickness was about 10 nm, which explained the undeformed morphology.

Furthermore, X-ray photoelectron spectroscopy (XPS) analysis was carried out. The apparent N peak on Ti-Nd-PDA and Fe and N peaks on Ti-Nd-PDA-Fc are observed in the XPS survey spectra in Figure 1D. It was worth noting that the C peak on Ti-Nd might ascribe to the contamination during the hydrothermal process. Also, the high-resolution XPS spectra of both N 1s and Fe 2p in Figure 1E revealed that C–N and C=N peaks were detected in the Ti-Nd-PDA-Fc substrate at binding energies of 402 and 399.5 eV, respectively,⁵² indicating the presence of chemical bonds within the PDA coating. Meanwhile, the Fe 2p peaks were also characteristically observed at binding energies of 726 and 712 eV,⁵³ representing the Fe 2p_{3/2} and Fe 2p_{1/2} peaks, respectively, suggesting the successful grafting of ferrocene onto the PDA coating. The Fourier-transform infrared (FTIR) spectra of Ti-Nd-PDA and Ti-Nd-PDA-Fc in Figure S1c also confirm the amide linkage formed between polydopamine and ferrocene.

In addition, the contact angles in Figure S2 of Ti, Ti-Nd, Ti-Nd-PDA, and Ti-Nd-PDA-Fc were 99.75°, 53.33°, 37.50°, and 35.50°, respectively, demonstrating better hydrophilicity after surface modification.

2.2. Chemical Characterization of ROS Generation. As illustrated in Scheme 1C, one function of PDA component in the antimicrobial coating is to generate the intermediate H₂O₂. According to our previous work, H₂O₂ generation depends on the redox state of PDA. Thus, Ti-Nd-PDA substrates with different redox states were tested using the Folin–Ciocalteu reagent.⁵⁴ Specifically, we tested: as-prepared Ti-Nd-PDA substrates (designated Ti-Nd-PDA (fresh)), Ti-Nd-PDA substrates oxidized by air treatment at 60 °C for 2 days (designated Ti-Nd-PDA (ox)), and Ti-Nd-PDA substrates reduced by 100 mM ascorbate solutions for 30 min (designated Ti-Nd-PDA (red)). Figure 2A shows that the phenol content was obviously higher on Ti-Nd-PDA (red), suggesting its higher content of donatable electrons to oxygen for H₂O₂ generation.

In addition, we measured the H₂O₂ generation from Ti-Nd-PDA samples with different redox states. Specifically, H₂O₂ generation was measured by enzymatic (horse radish peroxidase, HRP) colorimetric assay with the Amplex Red indicator dye. In this assay, the dye is colorless but switches into a pink-colored product upon an HRP-catalyzed reaction with H₂O₂. Figure 2B shows that Ti-Nd-PDA (red) generated the highest concentration of H₂O₂, which is consistent with its higher level of donatable electrons. Finally, various substrates were incubated and then monitored for H₂O₂ generation over the course of 24 h. Figure 2C reveals that Ti-Nd-PDA and Ti-Nd-PDA-Fc had continuously increasing H₂O₂ levels during 24 h incubation, reaching values of about 25 μM H₂O₂. (Note that the pH of these solutions was 7.4, which precluded the Fc-catalyzed conversion of H₂O₂ into ·OH.) Even though H₂O₂ is clinically used for disinfection, H₂O₂ still has some limitations: the low efficiency and slow process. In fact, a burst release of medical concentrations of H₂O₂ (0.5–3%) usually do harm to normal tissues and hamper wound healing when treating

bacterial infections. In this work, H₂O₂, being an intermediate, is continuously generated via a redox reaction *in situ* and further channeled into much more toxic ·OH in the infection sites, thus developing the localized and instant antibacterial treatment.

The ability of Fc moiety to convert the H₂O₂ intermediate into ·OH was tested using terephthalic acid (TA) as a fluorescence probe for ·OH tracking. As illustrated in Figure 2D, the product 2-hydroxyl terephthalic acid (TAOH) was measured by its fluorescence emission at 435 nm.⁵⁵ When 24 h incubations were performed under mildly acidic conditions (pH 6.5), the emission spectrum observed in Figure 2E for the case of Ti-Nd-PDA-Fc indicated high levels of ·OH generation. This high fluorescence intensity was not observed when Ti-Nd-PDA-Fc was incubated with the catalase enzyme that could catalyze the degradation of the H₂O₂ intermediate. When Fc was not included in the coating or the Ti was uncoated, considerably less fluorescence was observed, which was consistent with the expectation that Fc was required to catalyze ·OH-generating Fenton-like reactions. The results in Figure 2F also indicated little ·OH generation by Ti-Nd-PDA-Fc at neutral pH (pH 7.4), which was consistent with the context-dependent (i.e., low pH-dependent) generation of ·OH.

The same ·OH and H₂O₂ generation experiment was performed at varying pH values. In detail, Ti-Nd-PDA substrates were used to observe the pH-dependent generation of H₂O₂, while Ti-Nd-PDA-Fc substrates were used to observe the pH-dependent generation of ·OH. Figure 2G shows that both ·OH and H₂O₂ generations were pH-dependent, with significant ·OH generation only being observed under a mildly acidic pH window. It is understandable that the Fenton-like reaction is activated in an acidic microenvironment to produce ·OH. But it is also noteworthy that H₂O₂ generation can be prohibited at a very acidic environment, which is also reported by Lee et al.⁴² Although it has not been fully understood, we hypothesize that the acidic environment may promote the protonation of the amino group, which further restrains the electrons transferred to O₂, thus resulting in the reduced generation of H₂O₂. Figure 2H reveals results from a time-dependent experiment in which the cumulative ·OH generation was observed over a 24 h period for the Ti-Nd-PDA-Fc substrate.

In addition to its H₂O₂ generation activity, the PDA component of the coating confers a second potential antimicrobial activity: the dissipation of near-infrared (NIR) radiation can lead to a significant photothermal effect. The inset in Figure 2I shows that NIR illumination results in a marked temperature rise for PDA-coated Ti substrates, while uncoated Ti substrates show a more modest temperature rise. The heating and cooling curve of Ti-Nd-PDA-Fc is also provided in Figure S3. To evaluate whether NIR illumination affects ·OH generation, we tested different substrates with or without NIR irradiation. As expected, ·OH generation after 24 h incubation was remarkably greater for the Ti-Nd-PDA-Fc substrate with NIR irradiation, compared with Ti-Nd-PDA with NIR irradiation and Ti-Nd-PDA-Fc without NIR irradiation.

A final demonstration of the generation of damaging reactive oxygen species was performed by incubating different substrates for 24 h in the presence of methylene blue (MB) and observing the degradation of MB by a loss of its characteristic color and its maximum adsorption peak at 665

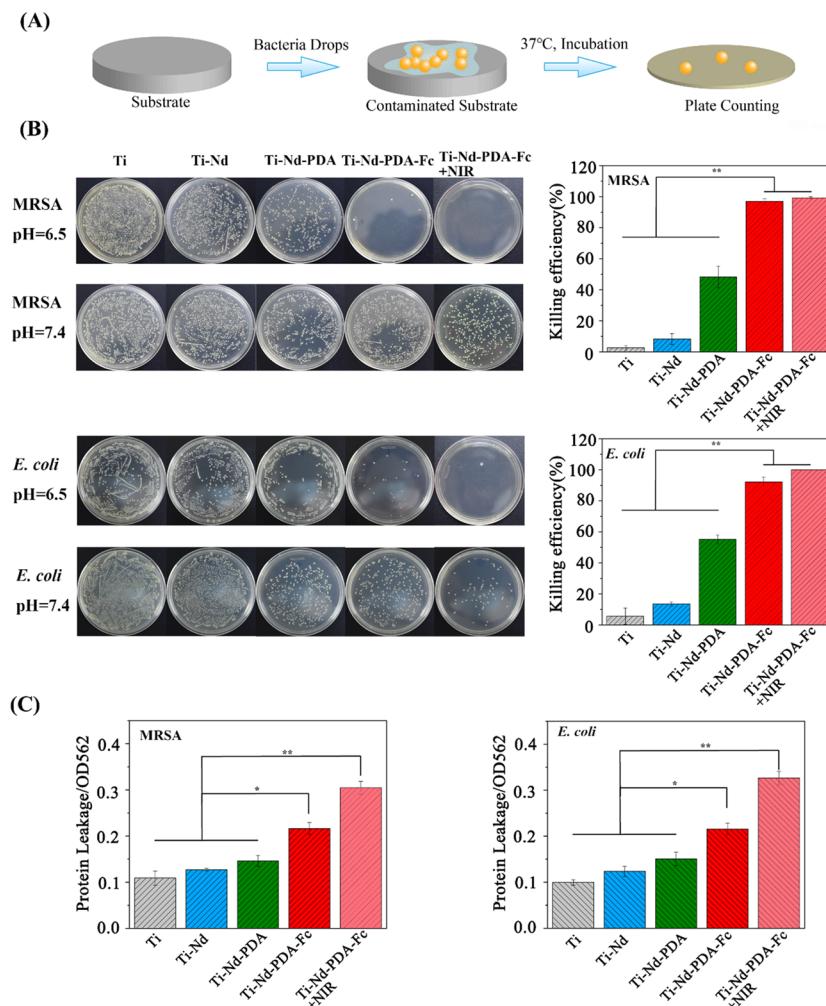


Figure 3. (A) Schematic illustration of the bacterial experiment where bacterial drops are applied on the substrate surfaces, and after determined time of incubation under 37 °C, the bacteria are collected for diluted plate counting. (B) Photographs of MRSA and *E. coli* colonies on the medium after incubation with different samples under acidic and neutral environments. Also, antibacterial activity of each substrate against MRSA and *E. coli* at pH 6.5. (C) Protein leakage of MRSA and *E. coli* on different substrate surfaces. The higher value of OD562 indicates higher protein leakage, which originates from cell membrane damage.

nm.⁵⁶ As illustrated in the photographs and UV–Vis spectra in Figure 2J,K, there was a negligible color change when MB was incubated with the Ti or Ti-Nd substrates. A partial color loss was observed when MB was incubated with the Ti-Nd-PDA substrate, which suggested that MB was partially degraded by H₂O₂ generated by PDA-containing coating. However, when MB was incubated with the Ti-Nd-PDA-Fc substrate, it displayed a nearly complete loss of color, which was consistent with the conclusion above stating that the Fc component of the coating converted the intermediate H₂O₂ into a more damaging oxidant (i.e., ·OH).

In summary, the results in Figure 2 provide chemical evidence that PDA-Fc coating can generate damaging reactive oxygen species, with the PDA component functioning to generate an H₂O₂ intermediate and the Fc component functioning to convert H₂O₂ into a more reactive ·OH radical. NIR illumination can also induce temperature increase and accelerate ·OH generation.

2.3. In Vitro Antimicrobial Activity. Initially, we envisioned two major antimicrobial mechanisms: ROS generation to kill bacteria and the NIR photothermal effect to enhance persistent (e.g., biofilm formation) infections.

Notably, the nanorod morphology had been reported with mild antibacterial adhesion.⁵⁷ Figure S4 of the Supporting Information demonstrates that nanostructuring offers moderate benefits in terms of suppressing bacterial attachment.

To examine the antimicrobial activities of our coatings, we prepared several substrates and “contaminated” them by adding bacterial drops containing 1 × 10⁶ CFU/mL bacteria, as illustrated in Figure 3A. Gram-positive methicillin-resistant *Staphylococcus aureus* (MRSA) and Gram-negative bacteria *Escherichia coli* (*E. coli*) were used in these antimicrobial experiments. After “contamination”, these substrates were incubated (37 °C) for 8 h, and then various methods were used to characterize antimicrobial activity. It is worth noting that the pH value in a severe infection site can be 5.0–5.5. But our strategy focuses more on the early antibacterial process, which is critical for successful antibacterial treatment.⁵⁸ The bacterial microenvironment at an early stage can be mildly acidic. So, we have adopted pH 6.5 in the following experiments as a pH trigger.

In one set of experiments, we performed plate counting to assess bacterial viability. The upper images in Figure 3B showed the results for MRSA. When MRSA bacteria were

incubated with Ti and Ti-Nd substrates, similar plate counts were observed, while incubation with the Ti-Nd-PDA substrate resulted in a somewhat lower plate count. In these three cases, the plate counts did not depend on the pH of incubation. When MRSA bacteria were incubated with the Ti-Nd-PDA-Fc substrate, nearly complete killing was observed under mildly acidic conditions, while relatively small killing was observed at neutral pH condition. The rightmost photo in Figure 3B showed results for the Ti-Nd-PDA-Fc substrate that was irradiated with NIR (808 nm for 10 min) after contamination. The results were similar to those with the nonirradiated Ti-Nd-PDA-Fc substrate. The lower photographs in Figure 3B revealed that *E. coli* responded similarly to Ti-Nd-PDA-Fc while showing a somewhat stronger response to NIR photothermal treatment, which possibly ascribed to their different cell wall structures. Quantification of these results is presented at the right part in Figure 3B.

Mechanistically, it is believed that ROS therapies and photothermal treatment kill bacteria through damage or destruction of the cell membrane, and such damage is assessed by measuring protein leakage associated with such permeability changes.^{28,59} According to Figure 3C, after NIR irradiation for 10 min followed by incubation for 8 h, MRSA and *E. coli* on Ti-Nd-PDA-Fc substrates exhibit the highest protein leakage than any other groups, demonstrating that the bacterial membrane in the Ti-Nd-PDA-Fc group with NIR irradiation has experienced the most severe damage.

In a second set of experiments, the bacteria were incubated for 8 h after which they were stained for viability using the fluorescence dyes SYTO 9 (live) and PI (dead). The confocal images in Figure 4A showed a large number of green (viable) bacteria on Ti, Ti-Nd, and Ti-Nd-PDA substrates, while a large

number of yellow or red (dead) bacteria were observed on the Ti-Nd-PDA-Fc substrate. In addition, it could also be seen that a large number of dead bacteria were observed when either MRSA or *E. coli* was tested with Ti-Nd-PDA-Fc substrates that were irradiated with NIR after contamination. Next, SEM images of MRSA and *E. coli* were photographed for their morphological analysis. Figure 4B demonstrates that MRSA had a smooth spherical surface on the pure Ti substrate, while a few structural perturbations were observed for these bacteria that were on Ti-Nd and Ti-Nd-PDA substrates. These results were consistent with relatively low toxicity of nanorods and PDA induced by H_2O_2 generation. Considerably greater structural distortions were apparent when MRSA bacteria were on Ti-Nd-PDA-Fc substrates or Ti-Nd-PDA-Fc substrates irradiated by NIR. For the case of *E. coli*, the SEM images in Figure 4B were similar to those for MRSA, and the structural disruptions appeared more profound when NIR was applied. The structural similarities might suggest the similar killing mechanism between MRSA and *E. coli*, which involved membrane disruption and structural deformation. In summary, the results in both Figures 3 and 4 provide valid evidence that PDA-Fc coating can confer antimicrobial activity to the Ti substrate and NIR irradiation provides synergistic antimicrobial activities.

2.4. Suppressing Biofilm Formation with Photothermal Effects. The formation of bacterial biofilms on implant surfaces presents a significant clinical challenge as biofilms can facilitate antibiotic resistance and promote persistent infections. In this experiment, we contaminated the substrates with MRSA and incubated them in nutrient-rich medium (3% TSB medium supplemented with 1% glucose) for 24 h. Semiquantitative biofilm assay was involved to stain the biofilm with crystal violet. The results in Figure 5A showed that different levels of biofilms were formed on all substrates except the Ti-Nd-PDA-Fc substrate with NIR irradiation. Interestingly, when the H_2O_2 -degrading enzyme catalase was included in the medium, there was significantly less antibiofilm activity, indicating that photothermally induced hyperthermia alone could not inhibit biofilm formation effectively. The above observation suggests that antibiofilm formation activity associated with NIR irradiation appears to result from both the generation of reactive oxygen species and a thermal effect.

Further evidence for the importance of NIR irradiation for combating extensive microbial contamination is provided by the SEM images in Figure 5B and live dead cell staining in Figure 5C. In both cases, MRSA bacteria were observed to extensively cover the substrates except for the case of NIR-irradiated Ti-Nd-PDA-Fc substrate, which showed a few viable bacteria and extensive cell debris.

2.5. Cell Viability. To be applicable clinically, the implanted materials should possess both high antimicrobial capability and good biocompatibility. The MTT measurement was applied to observe the cell viability. Specifically, the MC-3T3 E1 cells are incubated on the prepared substrates for a determined time at pH 7.4 for cell viability assay. Initial studies with pre-osteoblast MC-3T3 E1 cells in Figure 6A indicated that all the substrates were biocompatible. However, the cells' attachment behaved differently on different surfaces in Figure 6B. MC-3T3 E1 cells on the Ti substrate had a flat morphology and scatter unevenly on the surface. Zooming in on the edge of the cells, a round boundary can be observed, while conversely, the MC-3T3 E1 cells on Ti-Nd, Ti-Nd-PDA, and Ti-Nd-PDA-Fc substrates were more stereoscopic and

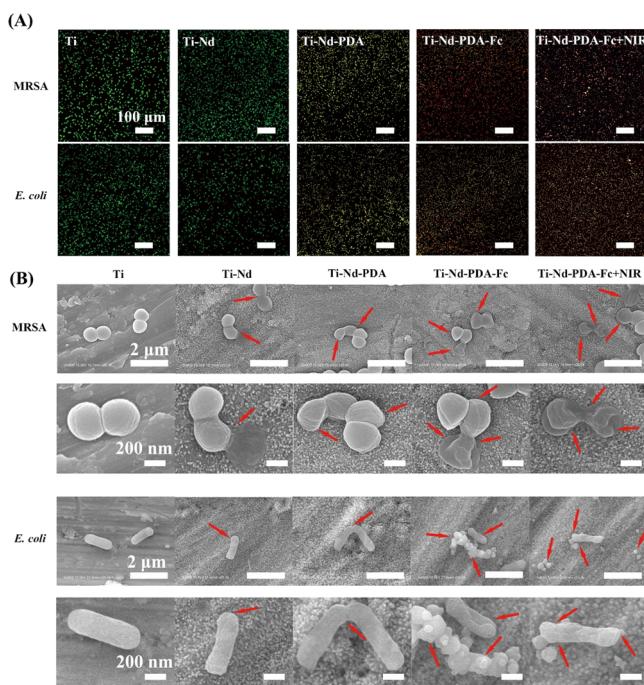


Figure 4. (A) Live and dead staining images of MRSA and *E. coli* on different substrate surfaces. Scale bars are 100 μ m. (B) FE-SEM images of MRSA and *E. coli* on the substrate of Ti, Ti-Nd, Ti-Nd-PDA, Ti-Nd-PDA-Fc, and Ti-Nd-PDA-Fc + NIR. Red arrows indicate the irregular morphology of bacterial cells. Scale bars are 2 μ m and 200 nm.

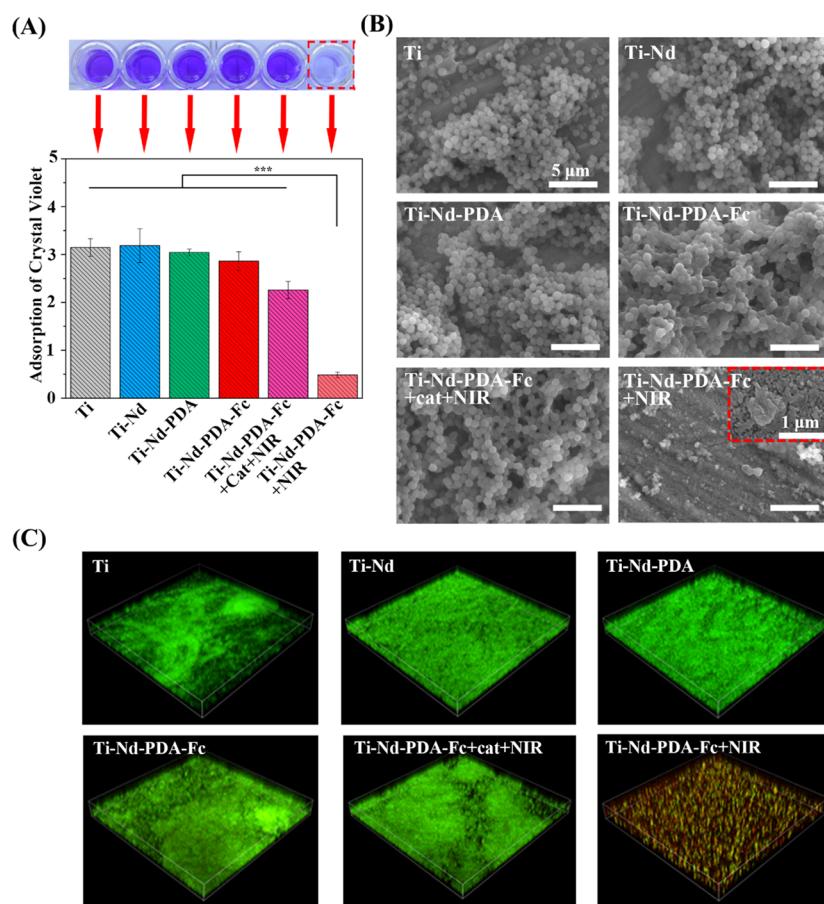


Figure 5. (A) Photographs of crystal violet-stained MRSA biofilms and their absorptions at 590 nm (OD590). (B) SEM images of the MRSA biofilm on different substrates. Scale bars are 5 μ m. The scale bar in the red block is 1 μ m. (C) 3D fluorescence images of the MRSA biofilm on different substrates: Ti, Ti-Nd, Ti-Nd-PDA, Ti-Nd-PDA-Fc, Ti-Nd-PDA-Fc + cat with NIR, and Ti-Nd-PDA-Fc with NIR.

homogeneously dispersed, indicating good cell attachment to the material surface. Protein adsorption of each substrate was investigated in Figure 6C, and the results implied that the nanostructured substrates had better protein adsorption compared with the untreated substrate, which was probably a reason for the better cell adhesion and attachment of MC-3T3 E1 cells on Ti-Nd, Ti-Nd-PDA, and Ti-Nd-PDA-Fc substrates.

2.6. In Vivo Antimicrobial Activity. To evaluate in vivo antimicrobial activity, we used an MRSA-infected rat model in which MRSA-contaminated substrates were implanted subcutaneously. The rats were randomly separated into six groups of three rats per group, and as illustrated in Scheme 2, each rat had two wounds with a single contaminated substrate implanted. After 3 days, the wounds were reopened, and the infection site was photographed. The white arrows in Figure 7A indicated visible suppurations and edemas for most of the wounds, indicating wound infection. The wounds with the implanted Ti-Nd-PDA-Fc substrate that was exposed to NIR (Figure S5) did not appear to have any edema or purulence, indicating less severe (or no) inflammation.

Each of the implanted substrates was carefully recovered, and the attached bacteria were collected and quantified by dilution plate counting. Figure 7B,C shows that the H_2O_2 -generating Ti-Nd-PDA substrate offered some antimicrobial activity. When the Ti-Nd-PDA substrate was exposed to NIR to confer photothermal effects, the antimicrobial activity was enhanced (compared to Ti-Nd-PGA without NIR). Similarly, when Fc was incorporated into the coating to enable the

conversion of H_2O_2 to $\cdot\text{OH}$, the antimicrobial activity was also enhanced (Ti-Nd-PDA-Fc vs Ti-Nd-PDA). When the implanted Ti-Nd-PDA-Fc substrate was treated with NIR, the MRSA antimicrobial activity was further enhanced as suggested by the synergistic effect of increasing temperature and $\cdot\text{OH}$ generation before. Histological evaluation of the wounds was also carried out by hematoxylin and eosin-stained sections (H&E staining). In Figure 7D, it was obvious that a large number of inflammatory cells, such as neutrophils, existed in the control groups, implying that the possible infection and immune response occurred. Instead, a significant decrease of inflammatory cells could also be observed in the Ti-Nd-PDA-Fc + NIR group. These results verified the strong antibacterial capability of Ti-Nd-PDA-Fc with NIR irradiation *in vivo*.

3. CONCLUSIONS

In summary, we report polydopamine and ferrocene (PDA-Fc)-functionalized TiO_2 nanorods (Ti-Nd-PDA-Fc) as an antimicrobial system that offers context-dependent $\cdot\text{OH}$ generation and photothermal heating in response to NIR illumination. We started with the simple “universal” polydopamine (PDA) coating system and enlisted PDA’s redox properties and photothermal effect for generation of a H_2O_2 intermediate and localized hyperthermia. Covalent incorporation of ferrocene (Fc) moieties into the coating enables the H_2O_2 intermediate to be “channeled” into a more reactive $\cdot\text{OH}$ radical. Importantly, $\cdot\text{OH}$ generation is maximal under the slightly acidic conditions that are typically the observed context

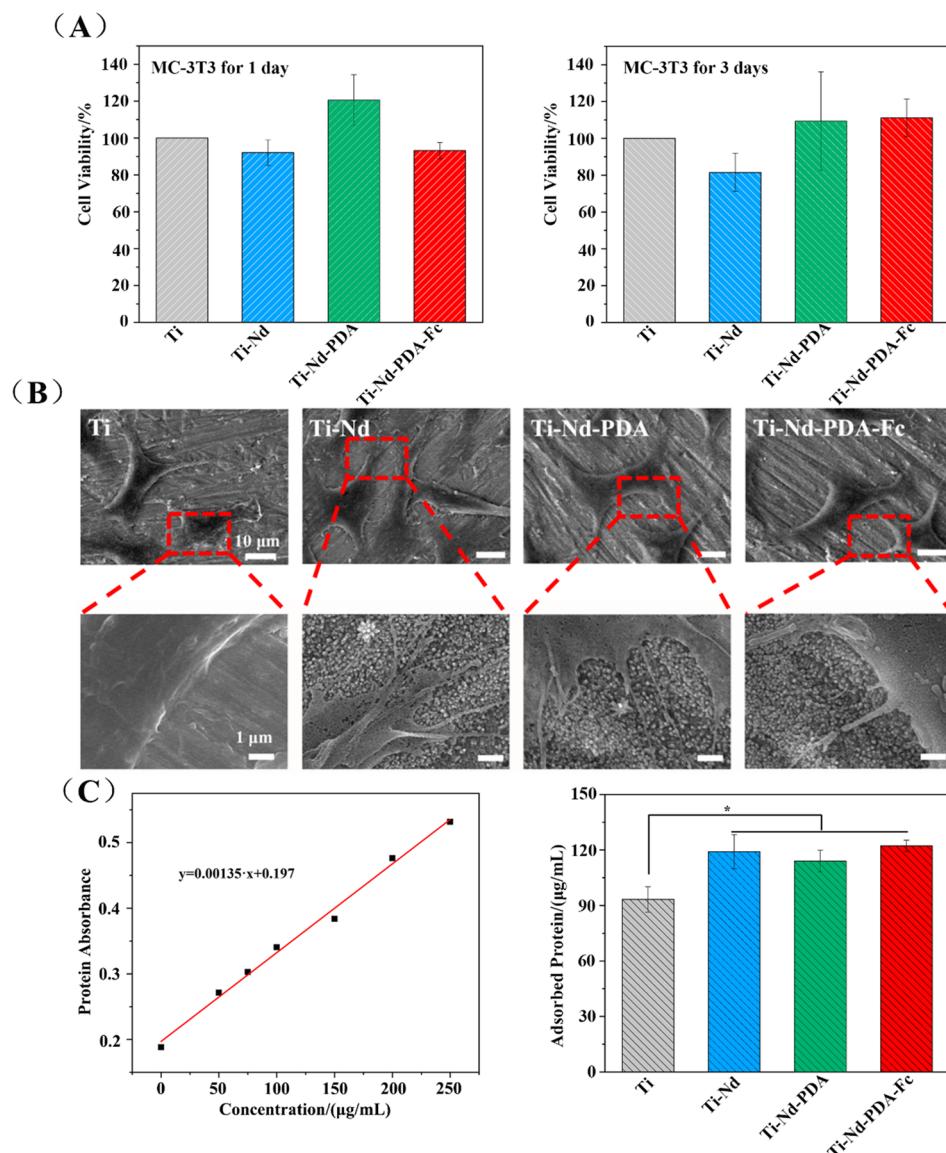
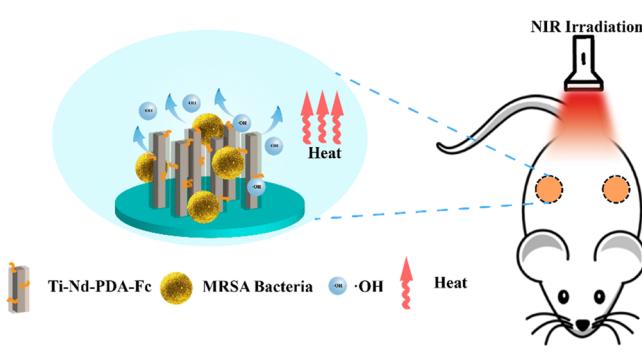


Figure 6. (A) MC-3T3 E1 cell viability on various substrates for 1 and 3 days. (B) FE-SEM images of MC-3T3 E1 cells on various substrates. Scale bars are 10 and 1 μm . (C) Standard protein adsorption curve of different protein concentrations and protein adsorption on various substrates for 24 h.

Scheme 2. Schematic Illustration of the Animal Experiment and Corresponding Antibacterial Activities at the Wound Sites



at infection sites. We demonstrate that both $\cdot\text{OH}$ generation and NIR photothermal effect can contribute antimicrobial activities *in vitro* and *in vivo*. We envision that these coatings,

coupling native antimicrobial mechanisms (e.g., the localized endogenous generation of ROS) with externally controllable interventions (e.g., NIR irradiation), can offer versatile means to combat bacterial infections for a range of medical applications, such as artificial vessels and antibacterial dressings.

4. MATERIALS AND METHODS

4.1. Materials. Titanium plates were purchased from Taizhou Huihuang Xincailiao Co., Ltd. Titanium butoxide (AR, $\geq 98.5\%$) was purchased from General Reagents Co., Ltd. Dopamine hydrochloride, ascorbate (reagent grade, 99%), and catalase (2000–5000 units/mg protein) were all purchased from Sigma-Aldrich. Ferrocenecarboxylic acid (reagent grade, 98%), *N*-(3-dimethylaminopropyl)-*N'*-ethylcarbodiimide hydrochloride (reagent grade, 98%), and *N*-hydroxysulfo-succinimide sodium salt (reagent grade, 98%) were obtained from Aladdin Co., Ltd. Live/Dead BacLight Bacterial Viability Kit was purchased from Invitrogen. 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) was purchased from Beyotime

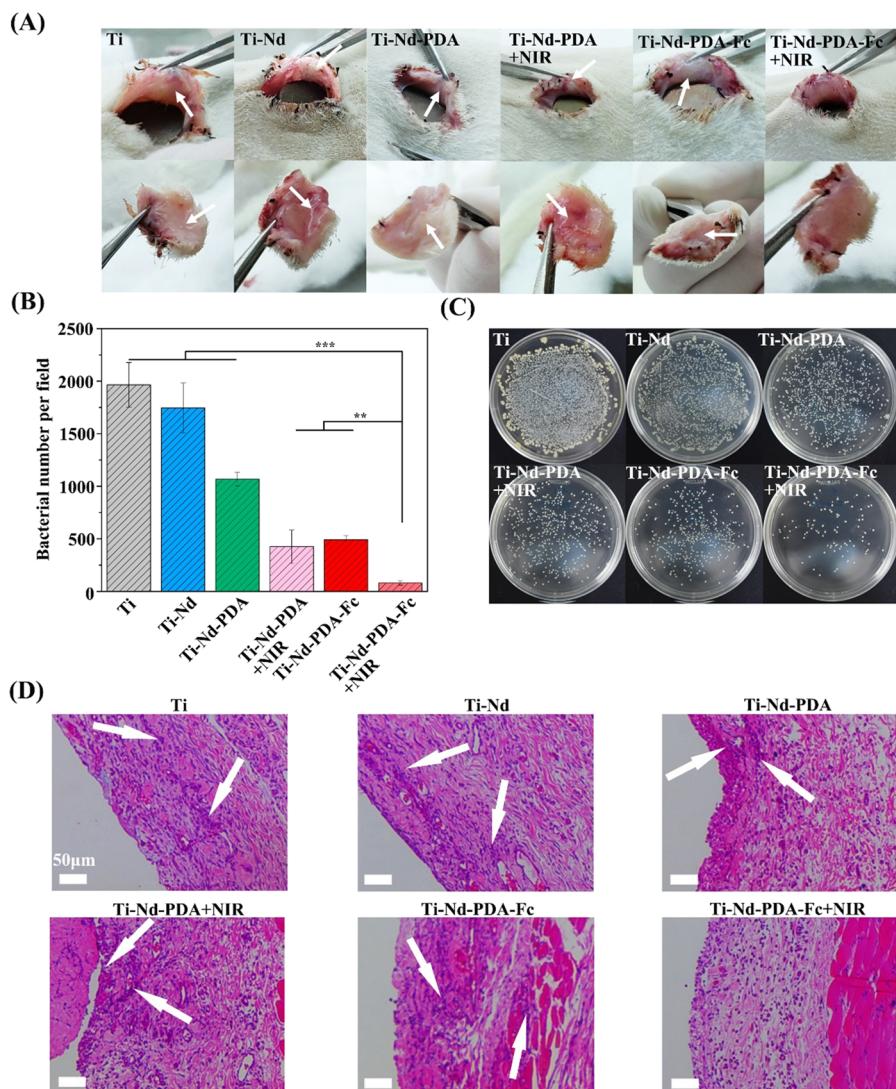


Figure 7. (A) Photographs of reopened incisions after 3 days of implantation. The substrates were preseeded with MRSA (10^7 CFU/mL, 10 μ L) and then embedded into the wound site. White arrows indicate the naked eye-visible suppurations and edemas. (B) Quantification of the surviving bacteria on the plates: Ti, Ti-Nd, Ti-Nd-PDA, Ti-Nd-PDA with NIR, Ti-Nd-PDA-Fc, and Ti-Nd-PDA-Fc with NIR. (C) Remaining bacterial plate counting after being exposed to Ti, Ti-Nd, Ti-Nd-PDA, Ti-Nd-PDA with NIR, Ti-Nd-PDA-Fc, and Ti-Nd-PDA-Fc with NIR. (D) Photomicrographs for the histological analysis of wound sites by H&E staining. The white arrows indicate the aggregation of neutrophils accompanied with the possible inflammations. Scale bars are 50 μ m.

Biotech Co., Ltd. All cell culture-related reagents were purchased from Gibco. The chemicals were used without further purification.

4.2. Synthesis of Ti-Nd-PDA-Fc. To synthesize titanium nanorod arrays, 10 mL of deionized water was mixed with 9 mL of concentrated hydrochloric acid (36.5–38% by weight) to reach a total volume of 19 mL in a Teflon-lined stainless-steel autoclave. The mixture was stirred at ambient conditions for 5 min before the addition of 0.5 mL of titanium butoxide. After stirring for another 5 min, four pieces of titanium plates were fixed on a Teflon substrate and placed vertically on the bottom of the container. The hydrothermal synthesis was conducted at 150 °C for 2 h in an electric oven. After the synthesis, the autoclave was cooled to room temperature under flowing water, which took about 15 min. The titanium plates were taken out for extensive rinsing with deionized water and allowed to dry in ambient air.

The synthesized titanium plates (Ti-Nd) were then gently agitated in 50 mL of dopamine hydrochloride solution (2 mg/mL) with pH 8.5 overnight to prepare Ti-Nd-PDA plates. After the coating of PDA, the substrates were rinsed and immersed in 100 mM ascorbate solution for 30 min to endow PDA a better reduced state (PDA in Ti-Nd-PDA-Fc was all in the reduced state, unless otherwise mentioned).

Ferrocene was dissolved in MES solution (pH 6.0) and stirred with EDC and NHS reagents for 3 h under 500 rpm before it was grafted on Ti-Nd-PDA. Next, Ti-Nd-PDA plates were co-incubated in the ferrocene-containing MES solution for another 2 h to graft ferrocene. Finally, Ti-Nd-PDA-Fc plates were washed three times with deionized water and subsequently stored in the refrigerator to prevent its slow oxidation in the air.

4.3. Characterization. Images of size and morphology of the samples were obtained by a field-emission electron microscope (S-4800 Hitachi), atomic force microscope (Veeco/DI), and JEM-2100 (JEOL) high-resolution transmission electron microscope. XRD measurement was carried out on a D/max2550VB (Bruker) using Cu K α radiation. Chemical analysis of the products was performed on an X-ray photoelectron spectrometer (ESCALAB 250Xi) and UV-Vis spectrophotometer (SpectraMax M2, USA). The FTIR spectra were recorded by an FTIR spectrometer (Thermo Nicolet 6700) equipped with the DTGS detector. The water contact angle was measured by a sessile drop method on a contact-angle meter (Dataphysics, OCA20). The volume of each droplet was set as 1 μ L.

4.4. Detection of PDA Redox States, H₂O₂, and Hydroxyl Radical (·OH) Generation. To characterize the redox state of Ti-

Nd-PDA, Folin–Ciocalteu colorimetric assay was employed for characterization of the oxidative property of Ti-Nd-PDA. Briefly, each substrate was first immersed in 1.5 mL of water solution with 0.125 mL of Folin–Ciocalteu solution for 4 min, and then Na_2CO_3 solution (0.875 mL; 20.0%, w/v) was added in the dark. After 1 h co-incubation, the total solution was collected for UV–Vis analysis with absorbance at 760 nm. Next, H_2O_2 generation of Ti-Nd-PDA with different redox states was measured by horseradish peroxidase enzyme-mediated hydrogen peroxide assay kit (Amplite Fluorimetric Hydrogen Peroxide Assay Kit, AAT Bioquest). Then, to quantify H_2O_2 generation of Ti-Nd-PDA-Fc, the substrates were incubated with 1 mL of air-saturated water for a determined time. Then, an aliquot of the solution was diluted and tested by the method mentioned above.

The generation of $\cdot\text{OH}$ was evaluated by monitoring the change in fluorescence of 2-hydroxy terephthalic acid (TAOH) due to the oxidation of terephthalic acid (TA) in aqueous solution. As previously reported, $\cdot\text{OH}$ can reduce TA into TAOH with the maximum fluorescence peak at 435 nm. In detail, the investigated substrates were incubated in 2 mL of TA solution (50 mM) at different pH values for different times. The mixture was gently shaken and stored at 37 °C in the dark, and then changes in the fluorescence emission peak were recorded. The generation of H_2O_2 on Ti-Nd-PDAs was also detected at different pH values. The $\cdot\text{OH}$ generation under NIR irradiation was tested by the above method, and the temperature curve was recorded by a thermal sensor.

Methylene blue (MB) dye was selected to demonstrate the oxidative capability of $\cdot\text{OH}$. In general, the substrates were incubated in 2 mL of MB (2 mg/mL) dye at 37 °C for 24 h in the dark. Next, the MB dye was collected for photograph and UV–Vis adsorption analysis in the range of 500–700 nm.

4.5. Bacterial Culture and Preparation. Bacteria such as Gram-negative bacteria *E. coli* (ATCC 25922) and Gram-positive bacteria methicillin-resistant *Staphylococcus aureus* (MRSA) were acquired from Second Affiliated Hospital of Zhejiang University. Single colonies of Gram-negative and Gram-positive bacteria were grown in the corresponding media, Luria–Bertani (LB) and Tryptic Soy Broth (TSB) for Gram-negative and Gram-positive bacteria, respectively, for 8 h in a 37 °C shaker. The bacterial suspensions were subjected to centrifugation and washing with 0.9% physiological saline to completely remove the bacterial culture media. Finally, the bacterial pellets were resuspended in 0.9% physiological saline at a certain concentration for further use.

4.6. Anti-Adhesion Test and ROS-Based Antibacterial Activity Assay. For the anti-adhesion activity assay of titanium nanorods (Ti-Nd), polished titanium substrates and Ti-Nd substrates were prepared and then placed in 24-well plates. Bacteria were allowed to adhere on the surface of the substrates for 2 h in a bacterial incubator at 37 °C. After incubation, the substrates were rinsed twice with PBS to remove nonadherent bacteria and placed in a new 24-well plate. Also, 1 mL of PBS and 0.5 mL of TSB mixture were added into each well and then incubated at 37 °C for 8 h. Next, a certain concentration of bacterial suspension was obtained after vigorous stirring of the samples. One hundred microliters of the bacteria suspension of each substrate was used for the optical density test at 600 nm (OD600). For the plate counting method, the acquired bacteria suspensions were separately diluted 10,000 times with PBS. Afterward, 100 μL of the diluted bacterial suspensions were spread on the solid medium and incubated at 37 °C for 12 h. The number of bacteria colonies was finally recorded. The rest of bacteria were carefully collected for TEM observation.

Antibacterial assay was conducted through co-incubation of the substrates with bacteria suspensions. Five groups of as-prepared products were used: (1) Ti, (2) Ti-Nd, (3) Ti-Nd-PDA, (4) Ti-Nd-PDA-Fc, and (5) Ti-Nd-PDA-Fc + NIR. The volume and concentration of bacteria suspension were 300 μL and 1×10^6 CFU/mL, respectively. After 8 h incubation, the bacteria suspensions were diluted 100 times, and then the plate counting method was utilized as mentioned before. The killing efficiency of both bacteria

was calculated using the determined dilution for plate counting. Antibacterial efficiency was calculated by the following formula:

$$\begin{aligned} \text{antibacterial efficiency (\%)} \\ = & (\text{number of bacterial colonies on blank control} \\ & - \text{number of bacterial colonies on substrates}) \\ & /(\text{number of bacterial colonies on blank control}) \times 100\% \end{aligned}$$

Protein leakage assay was conducted through co-incubation of the substrates with bacteria suspensions. Five groups of as-prepared products were used: (1) Ti, (2) Ti-Nd, (3) Ti-Nd-PDA, (4) Ti-Nd-PDA-Fc, and (5) Ti-Nd-PDA-Fc + NIR. The volume and concentration of bacteria suspension were 300 μL and 1×10^7 CFU/mL, respectively. After 8 h incubation, the bacteria suspensions were collected and centrifuged at 8000 rpm for 5 min. The protein concentration in the supernatant was finally determined by a BCA protein kit (BCA assay, Beyotime).

Live/Dead BacLight Bacterial Viability kits were conducted in the dark for 15 min and then imaged by confocal laser scanning microscopy. For SEM images, bacteria of corresponding groups were collected and fixed with 2% glutaraldehyde for 2 h. The bacteria were further dehydrated using 30, 50, 70, 90, and 100% ethanol. Eventually, the air-dried bacteria were observed under field-emission electron microscopy after 40 s of sputter coating with gold.

4.7. Inhibition of Biofilm Formation. A common experimental approach to create a model biofilm in vitro is to inoculate a surface with a high concentration of bacteria and provide these bacteria with nutrient-rich conditions to promote their growth.²⁷ For antibiofilm formation assay, 1 mL of MRSA solution (10^6 CFU/mL, 3% TSB medium, 1% glucose) was added into the substrate well and incubated at 37 °C. After incubation for 24 h, each substrate was taken out and gently washed three times with PBS. Then, all the substrates were characterized by crystal violet staining assay. The remaining crystal violet-stained biofilms were photographed and quantified by adding 100% ethanol and measuring the OD590 value of the homogeneous suspension. To visualize the biofilms on each substrate by confocal laser scanning microscopy (CLSM), biofilms with different treatments were incubated with SYTO 9 and PI (3 $\mu\text{L}/\text{mL}$) for 15 min at 37 °C in the dark and then observed by CLSM at the same parameters. SEM images of each biofilm were also obtained through the methods mentioned before.

4.8. Cell Viability Experiments. Mouse MC-3T3 E1 cells were employed for investigation of cell experiments. In vitro cytotoxicity was measured by quantitative MTT assay. First, the modified titanium substrates were carefully placed on the bottom of each well, and then MC-3T3 E1 cells were seeded with a density of 4×10^4 cells per well. After a predetermined incubation time, the cell activity of each group was carried out by the MTT method. Protein adsorption was carried out by a protein adsorption method, as previously reported.⁶⁰ All samples were first soaked in Dulbecco's modified Eagle medium with 50% fetal bovine serum at 37 °C for 24 h. Then, each sample was rinsed with PBS and incubated in 1% sodium dodecyl sulfate (SDS) for 15 min. The protein adsorption on SDS was finally determined by a BCA protein kit (BCA assay, Beyotime). In addition, CLSM and FE-SEM images were used for observation of cellular viability and morphology.

4.9. In Vivo Rat Wound Model. To assess the antibacterial ability of Ti-Nd-PDA-Fc with NIR irradiation for wound disinfection application, we successfully built the rat wound model. Male Sprague–Dawley (SD) rats (6–8 weeks) were divided into six groups: (1) Ti, (2) Ti-Nd, (3) Ti-Nd-PDA, (4) Ti-Nd-PDA + NIR, (5) Ti-Nd-PDA-Fc, and (6) Ti-Nd-PDA-Fc + NIR. Each group consists of three rats. Two wounds with 1–2 cm in length were made on the back of each rat. The samples were applied with MRSA bacteria (1×10^7 CFU/mL, 10 μL) and then dried in the air for 10 min for bacteria adhesion. Afterward, each sample was embedded subcutaneously into a random wound site. The wound sites were subsequently sutured after the implantations. The rats were euthanized with an inhalant anesthetic after 3 days of embedding.

All the wounds and contaminated tissues were photographed. Also, each substrate was removed, and the bacteria on the samples were quantified by diluted plate assay. All animal experiments adhered to the NIH Guidelines for Care and Use of Laboratory Animals (NIH publication no. 85-23 Rev. 1985) and were approved by the Research Center for Laboratory Animals of Shanghai University of Traditional Chinese Medicine.

4.10. Histology. For histology analysis, the wounds of rat were excised on the determined time and fixed in 10% formaldehyde, then embedded in paraffin, cut into sections, and finally stained with H&E dye.

4.11. Statistical Analysis. All data were expressed as means and standard deviation (SD) and analyzed using one-way ANOVA with post hoc tests. Significance was set at $p < 0.05$ ($***p < 0.001$, $**p < 0.01$, and $*p < 0.05$), while $p > 0.05$ was considered to be statistically nonsignificant (N.S.).

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsami.9b22339>.

XRD spectra, TEM images, FTIR spectra, water contact angle test, heating and cooling curve of Ti-Nd-PDA-Fc, anti-adhesion test, and digital photos of NIR irradiation on the wound sites ([PDF](#))

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Author Contributions

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Author Contributions

J.S. and H.L. designed the experiments, analyzed the data, and prepared the manuscript. M.L. and H.T. contributed to the characterization analysis. Z.C. and A.A. contributed to the cell and animal experiments. G.F.P., X.Q., and C.L. contributed to the preparation and discussion of the manuscript. All authors commented on the manuscript.

Notes

The authors declare no competing financial interest.

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