



## Facile Synthesis of Ceria Nanocrystals with Tuneable Size and Shape

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### ABSTRACT

Ceria ( $\text{CeO}_2$ ) possesses a distinctive redox property due to a reversible conversion to its nonstoichiometric oxide and has been considered as a promising catalyst in the oxidative coupling of methane. Since a heterogeneously catalytic process usually takes place only on the surface of catalysts, it is reasonably expected that the performance of a catalyst, such as  $\text{CeO}_2$ , highly relies on its size- and shape-dependent surface structure. We report our recent progress in achieving exclusive crystal facet-terminated  $\text{CeO}_2$  nanocrystals using a shape-controlled synthesis protocol in a one-pot colloidal system. We modified a two-phase solvothermal approach to fabricate cubic and truncated octahedral  $\text{CeO}_2$  nanocrystals with a size-control. During the two-phase solvothermal process, we propose that the Ce-precursors transfer from the aqueous layer to the interface of the organic phase, promoted by the capping ligands (as known as phase-transfer catalysts), for the oxidation and nucleation, and subsequently form  $\text{CeO}_2$  nanocrystals in the organic layer. As different capping ligands favor binding on diverse crystal facets, tuning the composition of the capping ligand with a precise control could generate nanocrystals that are dominated by a single type of facets with a relatively narrow size distribution.

## INTRODUCTION

As a most abundant rare earth element, cerium is now widely used in various fields, such as magnetics and catalysis[1-6]. Its oxide, ceria ( $\text{CeO}_2$ ), is mainly utilized as a catalyst support or a catalyst directly. The typical examples include applications in three-way catalysts, fuel cell catalysts, solar cell media, and the methanol couple oxidation catalysts[6-11].  $\text{CeO}_2$ , with a cubic crystal structure ( $Fm\bar{3}m$ , 225), is also one of the most common catalysts for the oxidative coupling of methane. In order to enhance the size- and shape-dependent catalytic performance of  $\text{CeO}_2$  nanocrystals, the development of an improved synthetic strategy with a surface character-control is potentially significant and has drawn growing attention recently[5, 12, 13].

In this study, we designed and fabricated size-controlled cubic, truncated octahedral and octahedral  $\text{CeO}_2$  nanocrystals by adopting a robust two-phase solvothermal method with essential modifications. In these syntheses, the capping ligands (also known as phase-transfer catalysts) play a key role to control the size and morphology. We demonstrated that both the size and shape of the  $\text{CeO}_2$  nanocrystals could be tuned by adjusting the functional group of a capping ligand. For instance, the presence of carboxyl groups (-COOH) within the stabilizing agents promoted the generation of {100}-facets to yield  $\text{CeO}_2$  nanocubes, whereas the P=O derived functional group facilitates the formation of {111}-facets to produce  $\text{CeO}_2$  nano-octahedra.

## EXPERIMENT

### Synthesis of $\text{CeO}_2$ nanocubes

The synthesis of {100}-dominated  $\text{CeO}_2$  nanocubes was conducted using a method reported previously[12]. Typically, 7.5 mL of cerium nitrate ( $\text{Ce}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ , Alfa Aesar, 99.5%) aqueous solution (16.7 mM) was added into a 20 mL Teflon-lined stainless-steel autoclave. 7.5 mL of toluene, 0.75 mL of oleic acid (OA, Sigma-Aldrich, 90%,) and 75  $\mu\text{L}$  of *tert*-butylamine (TBA, TCI, >98%) were transferred into this autoclave, respectively. The sealed autoclave was then placed in a preheated oven at 180 °C and kept there for 24 h. After the autoclave was cooled to room temperature, the brownish and turbid upper organic layer was separated using a separatory funnel and collected. The products were then isolated by centrifugation after a sufficient amount of ethanol was added into the organic suspensions. The products (designed as “ $\text{CeO}_2$  OA-nanocubes”) were further purified by adding a mixture of hexane and ethanol (1:2 by vol.), ethanol (200 proof), and centrifugation in sequence for several cycles, and dried in a vacuum oven.

By replacing 0.75 mL of OA with 1216.0 mg of stearic acid (SA, TCI, >98%), uniform  $\text{CeO}_2$  nanocubes could be fabricated. The rest of the recipe, synthetic procedure, and purification process were similar to those for  $\text{CeO}_2$  OA-nanocubes. The final products are labeled as “ $\text{CeO}_2$  SA-nanocubes”.

### Synthesis of $\text{CeO}_2$ nano-octahedra and truncated nano-octahedra

The {111}-terminated  $\text{CeO}_2$  nano-octahedra were synthesized using a method reported previously[5,13]. Specifically, 2.5 mg of tri-potassium phosphate ( $\text{K}_3\text{PO}_4$ , Alfa Aesar, 97%) was dissolved in 15.0 mL of deionized water and 214.5 mg of  $\text{Ce}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$  was dissolved in 2.5 mL of deionized water, respectively. Both solutions were mixed and transferred into a 50 mL Teflon-lined stainless-steel autoclave. The

autoclave was then placed into a preheated oven at 180 °C and kept there for 12 h. The products (denoted as “CeO<sub>2</sub> nano-octahedra”) were collected by adding a sufficient amount of ethanol into the resultant white and turbid suspensions, followed by centrifugation. The products were further purified by washing with a mixture of de-ionized water and ethanol (1:1 by vol.) and centrifugation in sequence for several cycles, dried in a vacuum oven.

To prepare CeO<sub>2</sub> truncated nano-octahedra, the synthetic recipe for CeO<sub>2</sub> OA-nanocubes was adopted while OA was replaced by trioctylphosphine oxide (TOPO, Sigma-Aldrich, 99%). In a typical synthesis, 7.5 mL of toluene, 75 µL of TBA and 835.0 mg of TOPO were added into a 20 mL Teflon-lined stainless-steel autoclave that contained 7.5 mL of Ce(NO<sub>3</sub>)<sub>3</sub> solution (16.7 mM). The sealed autoclave was transferred to a preheated oven at 180 °C and kept there for 24 h. The generated brown and turbid upper layer was isolated using a separatory funnel and precipitated by adding a sufficient amount of ethanol, followed by centrifugation. The resultant products (acronymized as “CeO<sub>2</sub> truncated nano-octahedra”) were re-dispersed in hexane and further washed using a mixture of oleic acid-hexane-ethanol (1:99:200 by vol.) for several cycles, and dried in a vacuum oven.

### **Characterization methods and preparation**

X-ray diffraction (XRD) patterns were collected using a PANalytical X'pert X-ray powder diffractometer equipped with a Cu K $\alpha$ 1 radiation source. XRD samples were prepared by drop-casting concentrated nanocrystal suspensions of hexane onto a surface-polished Si holder for several times. An FEI Tecnai Spirit TEM operated at 120 kV was used for TEM imaging. To prepare the TEM samples, the CeO<sub>2</sub> nanocrystals were re-dispersed into hexane under an ultrasonication. One drop of as-prepared suspensions was drop-cast onto a Cu-based TEM grid (Tel Pella 01801) and dried naturally under ambient condition.

## **RESULTS AND DISCUSSION**

### **Synthetic mechanism of CeO<sub>2</sub> nanocrystals**

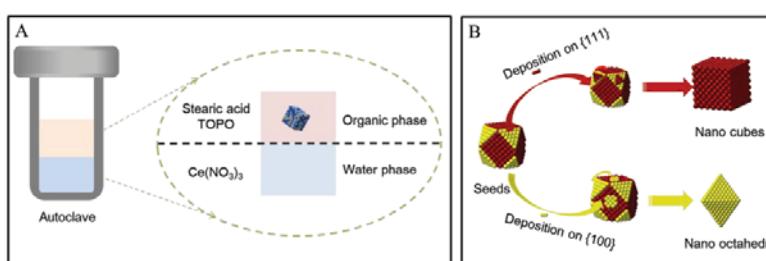
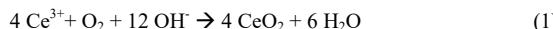


Figure 1. Illustration of the one-pot solvothermal synthesis process (A) and the shape-controlled synthetic mechanism of CeO<sub>2</sub> nanocrystals (B).

A two-phase solvothermal synthesis approach was utilized to synthesize CeO<sub>2</sub> OA-nanocubes, CeO<sub>2</sub> SA-nanocubes, and CeO<sub>2</sub> truncated nano-octahedra, as illustrated

in Figure 1A. The top layer is an organic phase containing toluene as the organic solvent, whereas the bottom layer consists of water as the hydrophilic solvent.  $\text{Ce}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$  was initially dissolved in the bottom layer to serve as the metal precursor. In this elevated-temperature process, it is believed that TBA can be hydrolyzed[12] gradually in the aqueous phase to generate an alkaline solution. It is also known that Ce(III) ions in such a basic environment could be converted to  $\text{Ce}(\text{OH})_3$ [14, 15]. The generated  $\text{Ce}(\text{OH})_3$  can be further oxidized into  $\text{Ce}(\text{OH})_4$  by the dissolved  $\text{O}_2$  from the air as indicated elsewhere[15-17]. Considering that in water the solubility of  $\text{Ce}(\text{OH})_4$  is much less than that of  $\text{Ce}(\text{OH})_3$  ( $K_{\text{sp}}^{\circ}: 2.0 \times 10^{-48}$  vs.  $1.6 \times 10^{-20}$ ) [18] and no  $\text{CeO}_2$  product was harvested from the aqueous phase in this two-phase system, we would surmise that the oxidation would take place after the  $\text{Ce}(\text{OH})_3$  clusters migrate to the phase interface from the aqueous layer facilitated by the organic capping ligands[19, 20] (also known as phase transfer catalysts) and the hydrophobic  $\text{CeO}_2$  nano-seeds should be generated and further evolved in the organic phase. Although the literature indicates that  $\text{CeO}_2$  might be directly yielded[21] from the oxidation of  $\text{Ce}^{3+}$  under a high-pH condition in a single-phase aqueous solution as indicated in equation (1),



we propose that even if  $\text{Ce}^{3+}$  ions were directly oxidized into  $\text{CeO}_2$  in the two-phase system, it should likewise proceed on the interface between the aqueous and organic layers by taking the same facts into account. In the case of  $\text{CeO}_2$  nano-octahedra,  $\text{K}_3\text{PO}_4$  creates an alkaline environment for the oxidation of  $\text{Ce}^{3+}$ .

As shown in Figure 1B, the  $\text{CeO}_2$  clusters/seeds, after migrating to the organic phase during the initial nucleation stage, would experience a subsequent growth in the presence of various functional capping ligands which have different binding affinities on a crystal facet. For example, OA or SA with a carboxyl functional group has a strong binding affinity to  $\{100\}$ -facets of  $\text{CeO}_2$ . These  $\{100\}$ -facets capped with OA or SA molecules reduce the growth rate along the direction of  $\langle 001 \rangle$  and are stable, while other facets (such as  $\{111\}$  and  $\{110\}$ ) are associated with relatively high growth rates along with their normal directions and rapidly eliminated during the crystal evolution stage. Thus, the functional capping ligands protect and preserve the  $\{100\}$ -facets, facilitating the formation of cubic nanocrystals. In the case of  $\text{CeO}_2$  nano-octahedra, as another example, the  $\text{PO}_4^{3-}$  group promotes the binding on  $\{111\}$ -facets, resulting in octahedral nanocrystals.

### Shape-controlled synthesis of $\text{CeO}_2$ nanocubes

OA was adopted as the phase-transfer catalyst and capping ligand for the synthesis of  $\text{CeO}_2$  OA-nanocubes using a reported recipe[12]. The nanocubes were collected from the organic phase without further size-selection. Figure 2A presents a TEM image, showing that the as-prepared  $\text{CeO}_2$  OA-nanocubes have a perfect cubic morphology but present a broad size distribution (20-200 nm in size). Additional results (not shown here) also indicate that the size of the nanocubes could be sensitively affected by other experimental factors such as the water/toluene ratio, the concentration of cerium precursor, and the amount of OA as well as TBA in the case of  $\text{CeO}_2$  OA-nanocubes. Based on the observation in the product purification process, black and oily stuff was identified from the as-yielded products and it was difficult to be removed from the nanocrystals. This indicates that the C=C bonds from some OA molecules were possibly polymerized during the synthesis process, leading to a decrease of the OA fraction. It is believed that the large-size  $\text{CeO}_2$  nanocubes could be formed through growth with an oriented aggregation of the crystal nuclei[12]. The insufficient OA as a capping ligand

could cause such aggregation of the crystal nuclei, generating products in broader size distribution.

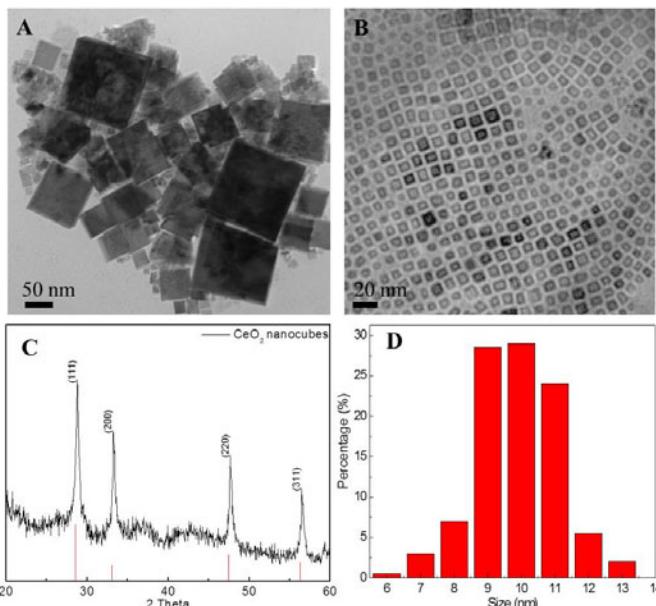


Figure 2. TEM images of CeO<sub>2</sub> OA-nanocubes (A), and CeO<sub>2</sub> SA-nanocubes (B), XRD pattern of the synthesized CeO<sub>2</sub> SA-nanocubes (C), and TEM-based size-distribution histogram of CeO<sub>2</sub> SA-nanocubes (D). The vertical lines on the bottom of (C) show standard XRD patterns of CeO<sub>2</sub> (ICDD PDF cards 34-0394).

To tackle this issue, OA was replaced by SA that contains the same carbon number and carboxyl group without the unsaturated bond. With SA, the size distribution of CeO<sub>2</sub> OA-nanocubes (average size: 10 nm) was indeed improved as shown in Figure 2B and 2D. The structure of CeO<sub>2</sub> SA-nanocubes was further examined using an XRD technique. Figure 2C presents a typical XRD pattern recorded from the CeO<sub>2</sub> SA-nanocubes. The four peaks in the XRD pattern are assigned to the diffraction planes of (111), (200), (220), and (311) from the ceria, respectively, which matches the standard CeO<sub>2</sub> XRD pattern very well (ICDD PDF cards 34-0394). It is worth pointing out that the intensity ratio between (200) and (111) peaks in Figure 2C is apparently higher than that from the standard XRD patterns (~0.68 vs. ~0.30). This is because some of the {100}-facets are perfectly aligned in this multi-layer XRD sample, leading to an enhancement of the (200) diffraction intensity.

#### Shape-controlled synthesis of CeO<sub>2</sub> nano-octahedra and truncated nano-octahedra

CeO<sub>2</sub> nano-octahedra were prepared using a conventional hydrothermal method[5,13] with a typical feature of large-scale production. The basic environment was originated from the hydrolysis of K<sub>3</sub>PO<sub>4</sub>, whereas PO<sub>4</sub><sup>3-</sup> serves as a capping ligand to stabilize {111}-facet of CeO<sub>2</sub> nanocrystals. As shown in Figure 3A, the average size of CeO<sub>2</sub> nano-octahedra is ~200 nm. Even though the morphology and size-distribution are

fairly uniform, the large size usually makes a catalyst difficult to be applied in an effectively catalytic process due to its low specific surface area.

To reduce the size, the two-phase solvothermal approach was applied to the  $\text{CeO}_2$  octahedral synthesis. As  $\text{PO}_4^{3-}$  ligand played a key role in the aforementioned preparation, a replacement of  $\text{PO}_4^{3-}$  with organic phosphine or phosphate may act with a similar function in the organic phase. Trioctylphosphine, trioctylphosphate, and TOPO were investigated as the potential capping ligands to validate the shape-control effect. It was identified that TOPO is the best one to leverage the size- and shape-control of  $\text{CeO}_2$  octahedra. As shown in Figure 3B, most of the  $\text{CeO}_2$  nanocrystals exhibit a truncated-octahedral morphology with a size-distribution of  $20 \pm 2$  nm. The  $\text{CeO}_2$  truncated nano-octahedra are composed of both  $\{100\}$  and  $\{111\}$  facets, indicating that TOPO is able to stabilize both  $\{111\}$  and  $\{100\}$  facets. The structure of the  $\text{CeO}_2$  truncated nano-octahedra was further confirmed by XRD (Figure 3C). All of the detectable peaks were identified as diffractions from  $(111)$ ,  $(200)$ ,  $(220)$ , and  $(311)$  planes of the cubic phase  $\text{CeO}_2$ , respectively.

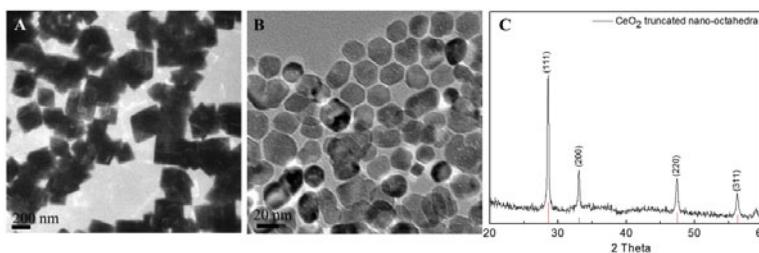


Figure 3. TEM images of  $\text{CeO}_2$  nano-octahedra synthesized using  $\text{K}_3\text{PO}_4$  (A),  $\text{CeO}_2$  truncated nano-octahedra synthesized using TOPO (B), and XRD pattern of  $\text{CeO}_2$  truncated-octahedra (C). The vertical lines on the bottom of (C) show standard XRD patterns of  $\text{CeO}_2$  (ICDD PDF cards 34-0394).

## CONCLUSIONS

In this work,  $\text{CeO}_2$  nanocubes, truncated nano-octahedra, and nano-octahedra were synthesized using two-phase solvothermal and conventional hydrothermal approaches. We have demonstrated that the capping ligands with different functional groups play diverse roles in nanocrystal growth-control and shape-evolution. We further propose that the  $\text{Ce}^{3+}$  oxidation process wouldn't take place in the bulk aqueous phase in the two-phase syntheses. The crystal facet-tailored  $\text{CeO}_2$  nanocrystals are inspired by the investigation in the oxidative coupling of methane for improved selectivity and C2 yield.

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