

## RESEARCH ARTICLE



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# Optical properties of undoped, $\text{Eu}^{3+}$ doped and $\text{Li}^+$ co-doped $\text{Y}_2\text{Hf}_2\text{O}_7$ nanoparticles and polymer nanocomposite films†

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Desirable phosphors for lighting, scintillation and composite films must have good light absorption properties, high concentration quenching, high quantum efficiency, a narrow color emission, and so forth. In this work, we first show that undoped yttrium hafnate  $\text{Y}_2\text{Hf}_2\text{O}_7$  (YHO) nanoparticles (NPs) display dual blue and red bands after excitation using 330 nm light. Based on density functional theory (DFT) calculations, these two emission bands are correlated with the defect states arising in the band-gap region of YHO owing to the presence of neutral and charged oxygen defects. Once doped with  $\text{Eu}^{3+}$  ions (YHOE), the YHO NPs show a bright red emission, a long excited state lifetime and stable color coordinates upon near-UV and X-ray excitation. Concentration quenching is active when  $\text{Eu}^{3+}$  doping reaches 10 mol% with a critical distance of  $\sim 4.43$  Å. This phenomenon indicates a high  $\text{Eu}^{3+}$  solubility within the YHO host and the absence of  $\text{Eu}^{3+}$  clusters. More importantly, the optical performance of the YHOE NPs has been further improved by lithium co-doping. The origin of the emission, structural stability, and role of  $\text{Li}^+$ -co-doping are explored both experimentally and theoretically. DFT calculation results demonstrate that  $\text{Li}^+$ -co-doping increases the covalent character of the  $\text{Eu}^{3+}-\text{O}^{2-}$  bonding in the  $\text{EuO}_8$  polyhedra. Furthermore, the YHOE NPs have been dispersed into polyvinyl alcohol (PVA) to make transparent nanocomposite films, which show strong red emission under excitation at 270 and 393 nm. Overall, we demonstrate that the YHO NPs with  $\text{Eu}^{3+}$  and ( $\text{Eu}^{3+}/\text{Li}^+$ ) doping have a high emission intensity and quantum efficiency under UV and X-ray excitation, which makes them suitable for use as phosphors, scintillators and transparent films for lighting, imaging and detection applications.

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## 1. Introduction

White light emitting diodes (LEDs) are among the most demanded lighting sources owing to their high power output, long-term low cost, long lifespan and environmental benignity.<sup>1</sup>

Currently available white LEDs, which use YAG:Ce yellow phosphors combined with a blue emitting GaN semiconductor single crystal, have issues related to their high color temperature and low color rendering index.<sup>2,3</sup> This is mainly due to the lack of desirable red phosphors which possess a low correlated color temperature (CCT) and improved color rendering index.<sup>4–6</sup>

Researchers exploring and studying optical materials are continually searching for good candidates for X-ray scintillation, X-ray based luminescence deep-tissue imaging (DTI), and photodynamic therapy (PDT).<sup>7–10</sup> The advantage of using X-rays for DTI and PDT is that it allows deep tissue penetration, negligible X-ray scattering in tissue and negligible background for optical imaging owing to the low auto fluorescence.<sup>11</sup> For all these applications, single crystals, bulk ceramic materials and glasses are most commonly explored as efficient X-ray-phosphors.<sup>7,12–15</sup> There are lots of issues with these materials, such as the high cost and the long synthesis and processing time, the lack of optical tunability and the low scintillation efficiency.

In the phosphor library, there are efficient blue ( $\text{BaMgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$ ) and green ( $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$ ,  $\text{Ba}_2\text{SiO}_4:\text{Eu}^{2+}$ )

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†Electronic supplementary information (ESI) available: Experimental information consisting of the synthesis, instrumentation and theoretical methodology, DFT calculation bond lengths, cell volumes and band gaps, XRD patterns, lattice parameters and crystallite sizes, Raman, SEM, PL decay curves ( $\lambda_{\text{ex}} = 393$  nm and  $\lambda_{\text{em}} = 612$  nm), plots of the decay lifetime as a function of the  $\text{Eu}^{3+}$  concentration of the YHOE NPs, lifetime values of the YHOE NPs, PL excitation spectra of the YHOE-0.5 NPs at different  $\text{Li}^+$  co-doping concentrations, and PL excitation spectra of the YHOE NPs at different  $\text{Eu}^{3+}$  concentrations with the same  $\text{Li}^+$  concentration of 2.0% for the YHOE NPs. See DOI: 10.1039/c9qi01181a

phosphors.<sup>16,17</sup> Finding efficient and inexpensive red phosphors is still difficult, especially those with a good thermal stability, high concentration quenching, low defect density, and so forth. One of the major problems of achieving a high emission efficiency from red phosphors designated for warm white LEDs and X-ray scintillators is their low quenching concentration of dopants.<sup>18,19</sup> Concentration quenching is a long-standing problem that hinders the quest for highly efficient luminescent materials. Dexter and Schulman proposed that considerable fluorescence quenching takes place in bulk materials when the activator or dopant concentration reaches  $10^{-3}$ – $10^{-2}$  M.<sup>20</sup> This problem poses serious hindrance in achieving a high luminescence intensity for their applications.

Selection of compatible hosts plays a very important role in designing desirable red phosphors. Yttrium hafnate  $\text{Y}_2\text{Hf}_2\text{O}_7$  (YHO) is one of the most important pyrochlore materials for advanced technological applications such as enhancing the structural and thermal properties of metals,<sup>21</sup> scintillators,<sup>22</sup> computer tomography (CT) and positron emission tomography (PET),<sup>23</sup> order-disorder phase transitions,<sup>24</sup> and so forth. YHO is also known to exhibit excellent thermal stability, radiation stability, the ability to accommodate rare earth ions at both  $\text{Y}^{3+}$  and  $\text{Hf}^{4+}$  sites, and so on. This makes  $\text{Y}_2\text{Hf}_2\text{O}_7$  an excellent host for rare earth-based phosphors and scintillators.

$\text{Eu}^{3+}$  ion has been chosen as a dopant ion in this study owing to its narrow red emission, high color purity, symmetry sensitive red/orange emission, and so forth. Moreover, among the various methods explored to enhance the luminescence efficiency of bulk and powder phosphors,<sup>25</sup>  $\text{Li}^+$  co-doping has been found to enhance their optical properties by modifying the local crystal field around the activator ions. With its small radius,  $\text{Li}^+$  ions can be easily incorporated into the host lattice. However, to the best of our knowledge, there is no report on the luminescence properties of undoped YHO,  $\text{Eu}^{3+}$ -doped YHO (YHOE), and Eu, Li co-doped YHO for photo/radioluminescent phosphors, and in particular, no experiment reports on  $\text{Li}^+$  doped YHO nanoparticles (NPs) and the corresponding luminescence enhancement.<sup>26–28</sup> Therefore, in this work, we have synthesized YHO NPs and red light emitting YHOE NPs using a molten salt synthesis (MSS) method. We have also demonstrated that the former has dual blue and red emission bands and the latter has high concentration quenching of 10% and a quantum efficiency of 12%. Intense radioluminescence from the YHOE NPs highlights their potential as X-ray scintillators. Co-doping with a  $\text{Li}^+$  ion demonstrated a further improved emission output, quantum efficiency and excited state lifetime for the YHOE NPs.

To support our experimental results, we have performed density functional theory (DFT) based calculations to consider the different charge states of the oxygen vacancies (0, +1 and +2) by identifying their effects on the luminescence properties of YHO.<sup>29–32</sup> Moreover, oxygen defect formation energies were calculated to show the formation feasibility of these defects. The DFT studies confirmed the presence of neutral and ionized oxygen vacancies in the bandgap of the YHO NPs and the emission was correlated with these defect states. Furthermore, to explore the origin of the emission, structural stability, and the

role of  $\text{Li}^+$ -co-doping theoretically, we have used DFT calculations to demonstrate that  $\text{Li}^+$ -co-doping increases the covalent character of the  $\text{Eu}^{3+}$ – $\text{O}^{2-}$  bonding in the  $\text{EuO}_8$  polyhedra.

Polymer nanocomposites provide a potential solution to meeting the technological requirements in view of the unique properties of NPs, with the processability, structural flexibility, and thermal, mechanical and optical properties of polymers, while simultaneously preventing the agglomeration of NPs.<sup>33,34</sup> Incorporation of YHOE NPs in a polymer system can significantly increase the physical parameter range beyond that of the host polymer and the NPs. In this work, we added the YHOE NPs into polyvinyl alcohol (PVA) and made nanophosphor-polymer thin films (NPTFs) which exhibit a high transparency and uniform red emission.

## 2. Experimental

The YHO, YHOE and Li-co-doped YHOE NPs were synthesized using an MSS method using  $\text{KNO}_3$ – $\text{NaNO}_3$  as the reaction medium at 650 °C.<sup>35–38</sup> The details of the synthesis method have been explained in the ESI as S1.† Moreover, the preparation of the NPTFs employing the YHOE NPs and PVA has also been discussed in detail in S1.†

The undoped sample is designated as YHO, whereas the doped samples with  $\text{Eu}^{3+}$  concentrations of 0.5, 1.0, 2.0, 5.0, 7.5, 10.0, 12.5, and 15.0% are designated as YHOE-0.5, YHOE-1.0, YHOE-2.0, YHOE-5.0, YHOE-7.5, YHOE-10.0, YHOE-12.5, and YHOE-15.0, respectively. Similarly, the  $\text{Li}^+$  co-doped YHOE-0.5 NPs with  $\text{Li}^+$  concentrations of 0.5, 1.0, 1.5, 2.0, 2.5 and 3.0% are designated as YHOE-0.5-Li0.5, YHOE-0.5-Li1.0, YHOE-0.5-Li1.5, YHOE-0.5-Li2.0, YHOE-0.5-Li2.5 and YHOE-0.5-Li3.0, respectively, and the 2.0%  $\text{Li}^+$  co-doped YHOE NPs with  $\text{Eu}^{3+}$  concentrations of 0.5, 1.0, 2.0, 5.0, 7.5, 10.0, 12.5 and 15.0% are designated as YHOE-0.5-Li2.0, YHOE-1.0-Li2.0, YHOE-2.0-Li2.0, YHOE-5.0-Li2.0, YHOE-7.5-Li2.0, YHOE-10-Li2.0, YHOE-12.5-Li2.0 and YHOE-15.0-Li2.0 NPs, respectively.

The instrumental details of the various characterization techniques such as X-ray diffraction (XRD), field emission scanning electron microscopy (FESEM), Raman spectroscopy, energy dispersive spectroscopy (EDS), X-ray photoelectron spectroscopy (XPS), photoluminescence (PL), radioluminescence (RL) and time resolved emission spectroscopy (TRES) are described in the ESI as S2.† The theoretical methodology adopted in this work for the DFT calculations are mentioned in the ESI as S3.† The DFT calculated equilibrium volumes and bond-lengths found using GGA/LDA (generalized gradient approximation/local density approximation) and the bandgap, found using the HSE06 (Heyd-Scuseria-Ernzerhof) method are provided in Table S1 (ESI).†

## 3. Results and discussion

### 3.1. Materials characterizations: XRD, Raman and SEM

Both the XRD patterns (Fig. S1a†) and Raman spectra (Fig. S1b†) confirm the synthesized YHO and YHOE (0.5–15%)

NPs have a cubic fluorite structure. The EDS and XPS spectra were recorded on one of the representative samples, for example YHOE-5, and the corresponding data are shown in Fig. S1c and S1d,<sup>†</sup> respectively. The calculated crystal size of these NPs (Table S2<sup>†</sup>) is  $\sim 30$  nm, while the particle size estimated from the SEM images (Fig. S2<sup>†</sup>) ranges from 82 to 47 nm. The special quasi-random structure of YHO considered for the DFT simulations in the disordered fluorite structure is shown in Fig. S1e.<sup>†</sup> The blue, black and red spheres represent the Y, Hf and O atoms, respectively.

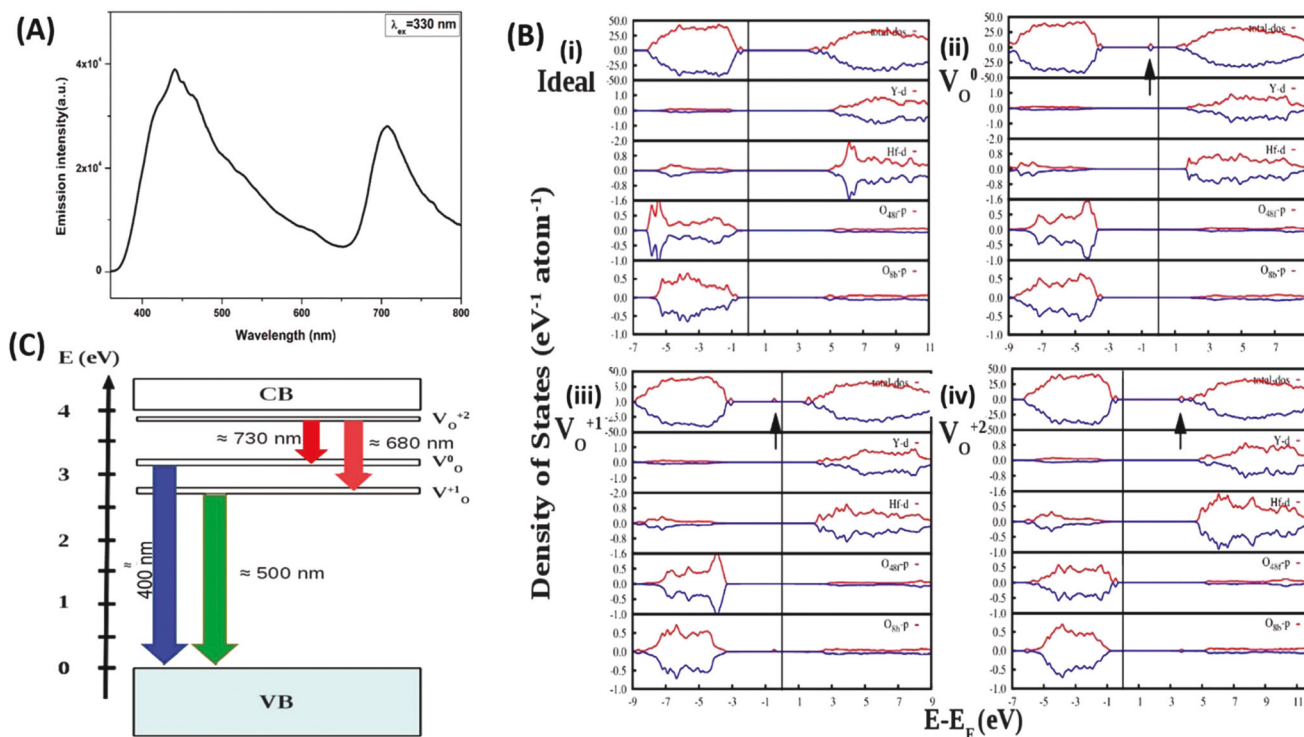
### 3.2. YHO NPs

**3.2.1 PL properties.** The emission spectrum of the YHO NPs (Fig. 1A) under excitation at 330 nm displays two bands in the ranges of 400–500 nm and 680–730 nm corresponding to bluish-green and deep red emissions, respectively. We could not find a significant difference with the 285 nm excitation except for a slight reduction in the emission intensity of both bands. This multicolor emission is typical in the presence of defect states in the band gap of materials, specifically oxygen vacancies (OVs), internal defects and self-trapped excitons (STEs).<sup>39–42</sup> OVs can be neutral, singly ionized and doubly ionized. In the YHO NPs, there are possibly two kinds of structural defects contributing to the PL emissions. Their origins could be different based on the different excitation spectra observed under emission wavelengths of 445 and 710 nm

(Fig. S3a<sup>†</sup>).<sup>43,44</sup> The color coordinate calculated for the YHO NPs confirms the bluish-white emission (Fig. S3b and c<sup>†</sup>).

**3.2.2 DFT calculations.** To probe the exact electronic transitions involved in such emissions from the YHO NPs, we employed DFT based HSE06 simulations to calculate the electronic density of states (DOS) of ideal YHO and the change in the electronic DOS in the presence of neutral ( $V_{O^0}$ ), +1 ( $V_{O^{1+}}$ ) and +2 ( $V_{O^{2+}}$ ) charged OVs. To generate a defect structure, an oxygen atom was removed from an 88 atom special quasirandom structure (SQS) supercell. The generated defect structures were thoroughly optimized with respect to volume (or lattice parameter) and atomic positions (ESI S3, Table S1<sup>†</sup>). Therefore, the defect concentration in our calculation was 1/88 or 1.136%.

The total and orbital angular momentum resolved DOS of YHO with the Fermi level set to 0 eV (Fig. 1B, i) shows that the electronic states below the Fermi level (or the valence band (VB)) are dominated by p–d bonding between the O 2p and Hf 5d states. The conduction band (CB) is mainly composed of the 4d states of Y and anti-bonding states of Hf 5d states. Our HSE06 calculated electronic bandgap of 3.98 eV demonstrates the insulating character of YHO. The total and angular momentum decomposed DOS owing to the presence of a neutral O vacancy ( $V_{O^0}$ ) (Fig. 1B, ii) demonstrates that the overall nature of the VB remains unaltered, but an impurity band appears 3.4 eV ahead of the VB maximum in the



**Fig. 1** (A) Emission spectrum ( $\lambda_{ex} = 330$  nm) of the YHO NPs, (B) HSE06 calculated total and angular momentum decomposed DOS of: (i) ideal defect free YHO and YHO with (ii) a neutral oxygen vacancy ( $V_{O^0}$ ), (iii) an oxygen vacancy of charge +1 ( $V_{O^{+1}}$ ), and (iv) an oxygen vacancy of charge +2 ( $V_{O^{+2}}$ ). Defect states are marked by an arrow in the bandgap. The vertical lines at 0 eV represent the Fermi energy. (C) Summary of the defect state distribution within the band gap of YHO.

bandgap and just below the Fermi level. This impurity state is doubly occupied and is mainly contributed to by the La-d/f states and O-p states. The total and angular momentum decomposed DOS owing to the presence of an O vacancy with a charge +1 ( $V_{O^{1+}}$ ) (Fig. 1B, iii), which means that the  $V_{O^0}$  becomes  $V_{O^{1+}}$  by trapping a hole from its surroundings. The overall nature of the VB remains unaltered, but singly occupied impurity states appear 3.0 eV ahead of the VB maxima. This impurity state is generated because the spin-up component is filled with electrons as it is situated below the Fermi energy. The impurity levels are composed of Hf-d states and O-p states. The total and angular momentum decomposed DOS owing to the presence of an O vacancy with a charge of +2 ( $V_{O^{2+}}$ ) (Fig. 1B, iv) shows that the overall nature of the VB remains unaltered, but an impurity band appears below the CB minimum in the bandgap. The Fermi level is situated above the VB maximum. The impurity levels are composed of Hf-d states and O-p states in both the spin-up and spin-down components.

Based on our DFT-HSE06 calculations, we have summarized the location of the defect states in the band-gap region of YHO (Fig. 1C). The broad emission peak around 400–500 nm from the YHO NPs corresponds to an optical emission between the states situated 3.0–2.5 eV from each other, that is the optical emission between the defect states of  $V_{O^0}$  and  $V_{O^{1+}}$  to VB (marked by the blue and green arrows). In YHO, the defect states close to 4.0 eV consist of  $V_{O^{2+}}$ . The broad emission peaks around the 680–730 nm region, which are situated 1.7–1.8 eV away from each other, originate from the transition between the  $V_{O^{2+}}$  defect state  $\rightarrow (V_{O^0}, V_{O^{1+}})$  (marked by the red and deep red arrows). Therefore, our DFT-HSE06 results qualitatively provide an explanation for the origins of the broad emission peaks from the YHO NPs.

The DFT-GGA calculated oxygen defect formation energies in the dilute limit for neutral ( $V_{O^0}$ ) and charged ( $V_{O^{1+}}$  and  $V_{O^{2+}}$ ) oxygen defects (Fig. S3c†) indicate that the formation of  $V_{O^{2+}}$  defects is most favored close to the VB compared to those of the  $V_{O^{1+}}$  and neutral oxygen defects and that the oxygen vacancies have a tendency to donate electrons.<sup>45</sup> The formation of a neutral oxygen vacancy is energetically less favorable compared to that of the charged oxygen defects. The vacancy formation energies of the 1+ and 2+ oxygen defects become close with a difference of 0.5 eV at the Fermi level. With the increasing Fermi energy, the vacancy formation energy of the  $V_{O^{1+}}$  defect becomes most favorable compared to those of the  $V_{O^0}$  and  $V_{O^{2+}}$  defects. As the vacancy formation energies of the  $V_{O^0}$ ,  $V_{O^{1+}}$  and  $V_{O^{2+}}$  defects become closer, the oxygen defects are expected to strongly participate in the PL emission from the YHO NPs.

### 3.3. YHOE NPs

**3.3.1 Excitation PL spectra.** In the PL excitation spectra (Fig. 2a), the broad band in the range of 240–310 nm can be attributed to the  $O^{2-} \rightarrow Eu^{3+}$  charge transfer (CT) transition corresponding to the electron transfer from the filled 2p shell of the  $O^{2-}$  ions to the empty 4f shell of the  $Eu^{3+}$  ions. There is no regular trend for the energy of the CT band or its full width

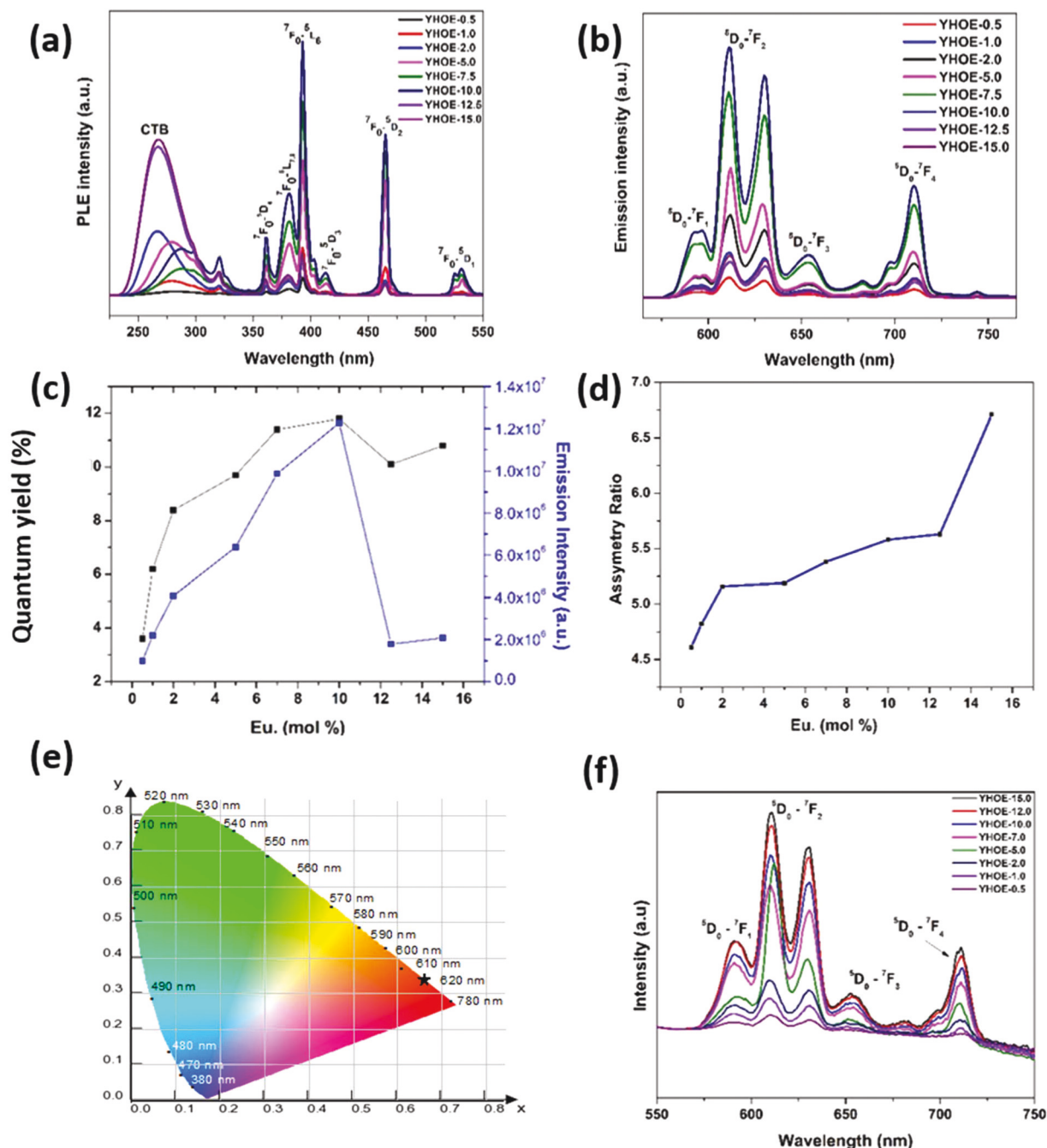
half maximum (FWHM) as a function of the  $Eu^{3+}$  concentration. The sharp peaks in the range of 350–550 nm are related to the interconfigurational  $(Xe)4f^6 \rightarrow (Xe)4f^6$  transitions of the  $Eu^{3+}$  ions. The most attractive features of the excitation spectra are the highly intense near UV (393 nm) and blue (465 nm) bands due to the  ${}^7F_0 \rightarrow {}^5L_6$  and  ${}^7F_0 \rightarrow {}^5D_2$  transitions, which indicate that the YHOE NPs can serve as color converters for LED applications because of their high spectral overlap cross section with an emission spectra for efficient LEDs.

**3.3.2 Emission PL spectra.** The YHOE NPs show bright red luminescence when excited with a UV lamp (Fig. 2b) with characteristic  $Eu^{3+}$  spectral features of  ${}^5D_0 \rightarrow {}^7F_J$  transitions ( $J = 1-4$ ). Specifically, the emission peaks at 592, 612, 653, and 710 nm are from the  ${}^5D_0 \rightarrow {}^7F_1$ ,  ${}^5D_0 \rightarrow {}^7F_2$ ,  ${}^5D_0 \rightarrow {}^7F_3$  and  ${}^5D_0 \rightarrow {}^7F_4$  transitions, respectively. Among them, the 592 nm peak is the magnetic dipole  ${}^5D_0 \rightarrow {}^7F_1$  transition (MDT) which is independent of the chemical environment surrounding the  $Eu^{3+}$  ions. The most intense band at 612 nm is ascribed to the hypersensitive electric dipole  ${}^5D_0 \rightarrow {}^7F_2$  transition (EDT), which is normally observed when the  $Eu^{3+}$  ions are localized at sites without a center of inversion ( $C_i$ ). The YHOE NPs have a highly disordered fluorite structure as confirmed by XRD and Raman spectroscopy (Fig. S1†). Therefore, most  $Eu^{3+}$  ions are localized in a highly disordered fluorite structure which lacks inversion symmetry. Consequently, the highly intense red emissions from the electric dipole transitions of  ${}^5D_0 \rightarrow {}^7F_2$  and  ${}^5D_0 \rightarrow {}^7F_4$  are observed and the intensity of the EDT peak is higher than that of the MDT peak. Based on these concentration dependent emission profiles, the dominance of the EDT over the MDT at all  $Eu^{3+}$  doping concentrations indicates the majority of  $Eu^{3+}$  ions have an asymmetric local surrounding. Fig. S4a, b and c† shows the HSE06 calculated DOS of  $Eu^{3+}$  doped YHO (in  $Y^{3+}$  site) in a system containing  $V_{O^0}$ ,  $V_{O^{1+}}$  and  $V_{O^{2+}}$ , respectively. The overall DOS is similar to their  $V_{O^0}$ ,  $V_{O^{1+}}$  and  $V_{O^{2+}}$  counterparts. The  $Eu^{3+}$  doping at the  $Y^{3+}$  site lifts the degeneracy in the defect states and generates shallow defect states close to the CB minima. The Eu-d states are present throughout the VB and CB energy region of YHO and good matching in the distribution of the Eu-d states can be found with the VB/CB energy region of the YHO. This allows for a good energy transfer efficiency from the host to the  $Eu^{3+}$  ion.

The PL emission intensity of the YHOE NPs is strongly governed by the dopant concentration (Fig. 2c). The integral emission intensity ( $\phi$ ) from 600 to 640 nm corresponding to the  ${}^5D_0 \rightarrow {}^7F_2$  transition progressively increases as the  $Eu^{3+}$  doping concentration increases from 0.5 to 10.0 mol% (Fig. 2c). For  $Eu^{3+}$  doping concentrations higher than 10.0%, the corresponding  $\phi$  decreases, which is attributed to the concentration quenching phenomenon. Therefore, the critical quenching concentration of our YHOE NPs is 10.0%, which is high enough to produce efficient nanophosphors.

For dopant-based phosphors, the critical quenching concentration strongly depends on the solubility of the dopant ions in the host matrix which depends on the selection of the dopant and host. In most phosphors, low solid solubility of dopant ions leads to their segregation in the host lattice,





**Fig. 2** (a) PL excitation spectra ( $\lambda_{em} = 612$  nm), (b) PL emission spectra ( $\lambda_{ex} = 393$  nm), (c) quantum yield and integrated PL emission intensity of the  $^5D_0 \rightarrow ^7F_2$  transition ( $\lambda_{ex} = 393$  nm) as a function of the  $\text{Eu}^{3+}$  doping concentration, (d) asymmetry ratio, (e) chromaticity diagram (of the YHOE-10.0 NPs), and (f) RL spectra of the YHOE NPs.

which results in concentration quenching at low doping concentrations. As listed in Table S3,<sup>†</sup> when the trivalent  $\text{Eu}^{3+}$  dopant occupies divalent and/or tetravalent sites, charge compensating defects lead to high strain which limits the quenching concentration to low values. Concentration quenching occurs when the excitation energy ( $E_{exc}$ ) migrates and reaches the quenching center, in which  $E_{exc}$  is lost nonradiatively rather than being converted into the radiative emission of a

photon. High concentration quenching relies on the intrinsic crystal structure of the hosts and large nanocrystals can accommodate more active doping ions compared to bulk materials. Moreover, high concentration quenching of the YHOE NPs indicates trivalent europium ions with a red emission. For our YHOE NPs,  $\text{Eu}^{3+}$  and  $\text{Y}^{3+}$  ions have the same ionic charge and similar ionic size, which results in a high solid solubility and uniform dispersion of  $\text{Eu}^{3+}$  ions in the YHO matrix, even at

high doping concentrations. The nanocrystalline nature of the synthesized YHOE NPs also helps to achieve the high quenching concentration. Improvements in the quenching concentrations were also observed when going from the bulk to nano in other RE doped nanophosphors as well.<sup>46,47</sup> This is attributed to the low density of the traps in the nanosystems owing to the limited primitive cells per particle.<sup>47</sup> Also the doping uniformity in nanomaterials minimizes the segregation of ions and prevents local concentration quenching.<sup>48</sup>

One can calculate the minimum distance between the dopant ions and quenching centers which facilitates non-radiative energy transfer and leads to a decrease of the emission intensity using an empirical relation given by Blasse:<sup>49</sup>

$$r_c = 2 \left( \frac{3V}{4\pi X_c N} \right)^{\frac{1}{3}} \quad (1)$$

In which  $r_c$  is the critical distance between the dopant ion and quenching center,  $V$  is the volume of the unit cell of the host matrix,  $X_c$  is the critical concentration of the dopant ions after which concentration quenching takes place, and  $N$  is the number of cations per unit cell of the host lattice. For a YHO host lattice,  $V = 145.83 \text{ \AA}^3$ ,  $N = 32$  (four formula units per unit cell), and  $X_c = 0.1$ , therefore the calculated critical distance is  $4.43 \text{ \AA}$ . In other words, the  $\text{Eu}^{3+}$ – $\text{Eu}^{3+}$  distance in the YHOE NPs is smaller than  $10 \text{ \AA}$ . Therefore, for the concentration quenching of our YHOE NPs, exchange interaction is the main mode of non-radiative energy transfer among the  $\text{Eu}^{3+}$  ions in the YHO host.

**3.3.3 Asymmetry ratio.** The integral intensity ratio of the EDT ( $^5\text{D}_0 \rightarrow ^7\text{F}_2$ ) and MDT ( $^5\text{D}_0 \rightarrow ^7\text{F}_1$ ) peaks, known as the asymmetry ratio ( $R_{21}$ ), defines the structural distortion around the  $\text{Eu}^{3+}$  ions in the host lattice. The  $R_{21}$  of the YHOE NPs increases with increasing  $\text{Eu}^{3+}$  dopant concentration progressively (Fig. 4d). This trend suggests a more favorable asymmetric environment of  $\text{Eu}^{3+}$  ion in the YHO lattice with the increasing doping level, which indicates a more disordered YHO matrix lattice at a higher doping concentration. Therefore, the electric dipole induced emission ( $^5\text{D}_0 \rightarrow ^7\text{F}_2$ ) is the most intense peak from the YHOE NPs under near UV excitation. Correspondingly, the asymmetry ratio of the YHOE-15.0 NPs is the highest, which is close to 7. Based on Table S4,† the YHOE NPs display the highest asymmetry ratio compared to the reported  $\text{Eu}^{3+}$  doped pyrochlore phosphors, which suggests that they have a high red color purity for achieving a desirable performance in phosphor driven white LEDs.

**3.3.4 Quantum yield.** As an important parameter for commercial and practical purposes, the quantum yield (QY) values of the YHOE NPs were measured and calculated using the following equation:<sup>50</sup>

$$\text{QY} = \frac{\int F_s}{\int L_R + \int L_S} \quad (2)$$

where  $F_s$  represents the emission spectrum of a sample,  $L_R$  is the spectrum of the excitation light from the empty integrated sphere (without sample), and  $L_S$  indicates the excitation spec-

trum of the excited sample. The experiments were performed in a similar way to our previous publications.<sup>51</sup> The QY value of the YHOE NPs increases from 3.5% to 12% as the  $\text{Eu}^{3+}$  doping concentration increases from 0.5% to 10.0% and then slightly reduces (Fig. 2c). The latter is again attributed to the non-radiative energy transfer among the  $\text{Eu}^{3+}$  ions at a high doping concentration causing concentration quenching. Among several reported  $\text{Eu}^{3+}$  doped pyrochlore phosphors, the QY of the YHOE NPs is good (Table S5†).

**3.3.5 Colorimetric performance.** As there is no obvious spectral profile change for the YHOE NPs as a function of the  $\text{Eu}^{3+}$  doping concentration, the Commission Internationale de l'Eclairage (CIE) chromaticity coordinates were evaluated for the YHOE-10.0 NPs. The corresponding CIE index diagram shows that the YHOE NPs emit intense red light with CIE coordinates of 0.665 and 0.343 (Fig. 2e), which are in close agreement with the international standard chromaticity coordinates of the commercial red phosphors:  $\text{Y}_2\text{O}_2\text{S}:\text{Eu}^{3+}$  ( $x = 0.642$  and  $y = 0.341$ ) and  $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$  ( $x = 0.650$  and  $y = 0.356$ ).<sup>30,35,36,44,52</sup>

**3.3.6 Lifetime spectra.** All of the YHOE NPs exhibit biexponential decay under 393 nm excitation and 612 nm emission wavelengths (Fig. S5a†) and can be fitted using the equation:

$$I(t) = A_1 \exp(-t/\tau_1) + A_2 \exp(-t/\tau_2) \quad (3)$$

In which  $I(t)$  is the PL emission intensity at time  $t$ ,  $A_1$  &  $A_2$  are residual weighting factor of each lifetime,  $t$  is the time of measurements, and  $\tau_1$  and  $\tau_2$  are the lifetime values of the first and the second components, respectively. The biexponential PL decay behavior can be caused by different amounts of energy transfer and nonradiative decay of dopant ions localized near the surface and the core of the NPs and the non-uniform distribution of dopant ions in the host matrix.<sup>53</sup> For our defect fluorite structured YHOE NPs, there are two cation polyhedra: an ideal cube-like  $\text{YO}_8$  and a highly distorted  $\text{HfO}_6$ . Usually the long lifetime component ( $\tau_2$ ) is attributed to the lanthanide dopants located at the symmetric environment as f–f transitions are forbidden by the La-Porte selection rule.<sup>54,55</sup> On the other hand, the short lifetime component ( $\tau_1$ ) is assigned to the lanthanide dopants localized in the asymmetric environment. In our case, the  $\tau_2$  component (2.0–2.6 ms) is attributed to the  $\text{Eu}^{3+}$  ions occupying the highly symmetric  $\text{YO}_8$  cube owing to the closeness of the ionic radii and the matching trivalent charge of the  $\text{Eu}^{3+}$  and  $\text{Y}^{3+}$ . The  $\tau_1$  component (0.56–1.05 ms) is attributed to the  $\text{Eu}^{3+}$  ions occupying the distorted  $\text{HfO}_6$  octahedra.

The average lifetime value of the YHOE NPs increases from 1.78 to 2.02 ms with the increasing  $\text{Eu}^{3+}$  doping concentration from 0.5% to 10% and then decreases with a further increase of  $\text{Eu}^{3+}$  (Table S6 and Fig. S5b†), similar to the observed trends in their PL intensity and QY (Fig. 2c). Moreover, the fraction of the long-lived species situated at the  $\text{Y}^{3+}$  site is much higher than the short-lived species localized at the  $\text{Hf}^{4+}$  site (Table S6†).<sup>30,35,36,38,44,56,57</sup> The lifetime values for our YHOE NPs are similar to those reported for other  $\text{Eu}^{3+}$  doped pyrochlore phosphors (Table S7†).

**3.3.7. Structural stability of  $\text{Eu}^{3+}$  dopant ions in the YHO host.** To understand the structural stability of the  $\text{Eu}^{3+}$  dopant ions in the YHO host, the cohesive energies of the  $\text{Eu}^{3+}$ -doped YHO were calculated for  $\text{Eu}^{3+}$  doping at the  $\text{Y}^{3+}$  site and  $\text{Hf}^{4+}$  sites separately using DFT based calculations. These DFT based calculations have been used to predict the structural stability of the dopant ions in other  $\text{A}_2\text{M}_2\text{O}_7$  compositions, particularly for hafnate and zirconate based systems.<sup>30,35,36,44</sup> This is done by calculating the cohesive energy of  $\text{Eu}^{3+}$  at the  $\text{A}^{3+}$  and  $\text{M}^{4+}$  sites. The one with the minimum cohesive energy has a higher structural stability and *vice versa*.<sup>30,35,44</sup> In our DFT calculations, a doping level for the  $\text{Eu}^{3+}$  ions of 1/88 (1.136%) in YHO was considered and full structural relaxations were performed. The Eu–O bond distances when  $\text{Eu}^{3+}$  was doped at the  $\text{Y}^{3+}/\text{Hf}^{4+}$  sites are shown in Table 1. From this table it can be clearly seen that the distribution of the Eu–O bond lengths is smaller when the  $\text{Eu}^{3+}$  is doped at the  $\text{Y}^{3+}$  site compared to the  $\text{Hf}^{4+}$  site, which signifies that the  $\text{Eu}^{3+}$  substitution is favorable at the  $\text{Y}^{3+}$  site considering the same charge state and closeness in ionic sizes. Therefore, from these DFT calculations we can conclude that the  $\text{Y}^{3+}$  site is energetically more favorable compared to the  $\text{Hf}^{4+}$  site implying the preferential occupation of the  $\text{Eu}^{3+}$  ions at the  $\text{Y}^{3+}$  site.

Moreover, our DFT calculation results are in agreement with the experimentally measured lifetime values, which shows that the fraction of the long-lived species occupying the  $\text{Y}^{3+}$  site is much greater than that of the short-lived species occupying the  $\text{Hf}^{4+}$  site.

**3.3.8 Radioluminescence.** When ionizing radiation is absorbed by a sample, electron–hole pairs are created following a typical scintillation mechanism. The emitted light is intense if the band gap between the excited state and the highest component of the ground state multiplet is large. RL light is generated when the host absorbs X-ray photons and electrons are promoted to the excitation level  $^5\text{D}_0$  of  $\text{Eu}^{3+}$ , wherein they become activated. This is followed by the recombination of the activated electrons and holes, which finally transfer and recombine energy to the emitting centers ( $\text{Eu}^{3+}$  ions in this case). The room temperature RL emission spectra of the YHOE NPs (Fig. 2f) show similar profiles to their PL spectra. Both types of spectra exhibit bands centered at 592 nm ( $^5\text{D}_0 \rightarrow ^7\text{F}_1$ ), 613 nm ( $^5\text{D}_0 \rightarrow ^7\text{F}_2$ ), 653 nm ( $^5\text{D}_0 \rightarrow ^7\text{F}_3$ ) and 702 nm ( $^5\text{D}_0 \rightarrow ^7\text{F}_4$ ) of  $\text{Eu}^{3+}$  ions. Moreover, the intensity of the hypersensitive EDT peak is much higher than that of the MDT peak under X-ray excitation too, which indicate that the majority of  $\text{Eu}^{3+}$  ions are localized in a highly asymmetric environment lacking a center of symmetry. This confirms that

X-ray irradiation can generate a similar emission spectrum as UV light. However, the RL emission intensity is lower than the PL emission intensity, which could be due to the lower power density of the applied X-ray under normal conditions compared to that of the UV excitation source ( $5 \text{ mW cm}^{-2}$ ). Unlike the observed PL concentration quenching at the 10%  $\text{Eu}^{3+}$  doping level, in the case of PL, no RL quenching was observed from our YHOE NPs with the highest  $\text{Eu}^{3+}$  doping level of 15% in this study. For efficient scintillators, host materials containing heavy elements are crucial for the photoelectric absorption of X-rays. With the heavy element constituent of Hf ( $Z = 72$ ) and the intrinsic defect emission, YHO serves as an efficient X-ray antenna by absorbing X-ray photons and transferring them to  $\text{Eu}^{3+}$  activators. Thus, our YHOE NPs exhibits highly efficient X-ray excited luminescence compared to the other scintillators without a heavy atomic component. The mechanism of the X-ray excited luminescence in the YHOE NPs is explained in the ESI, file S7,<sup>†</sup> along with schematic diagram shown in Fig. S6.<sup>†</sup>

### 3.4. Li Co-doped YHOE NPs

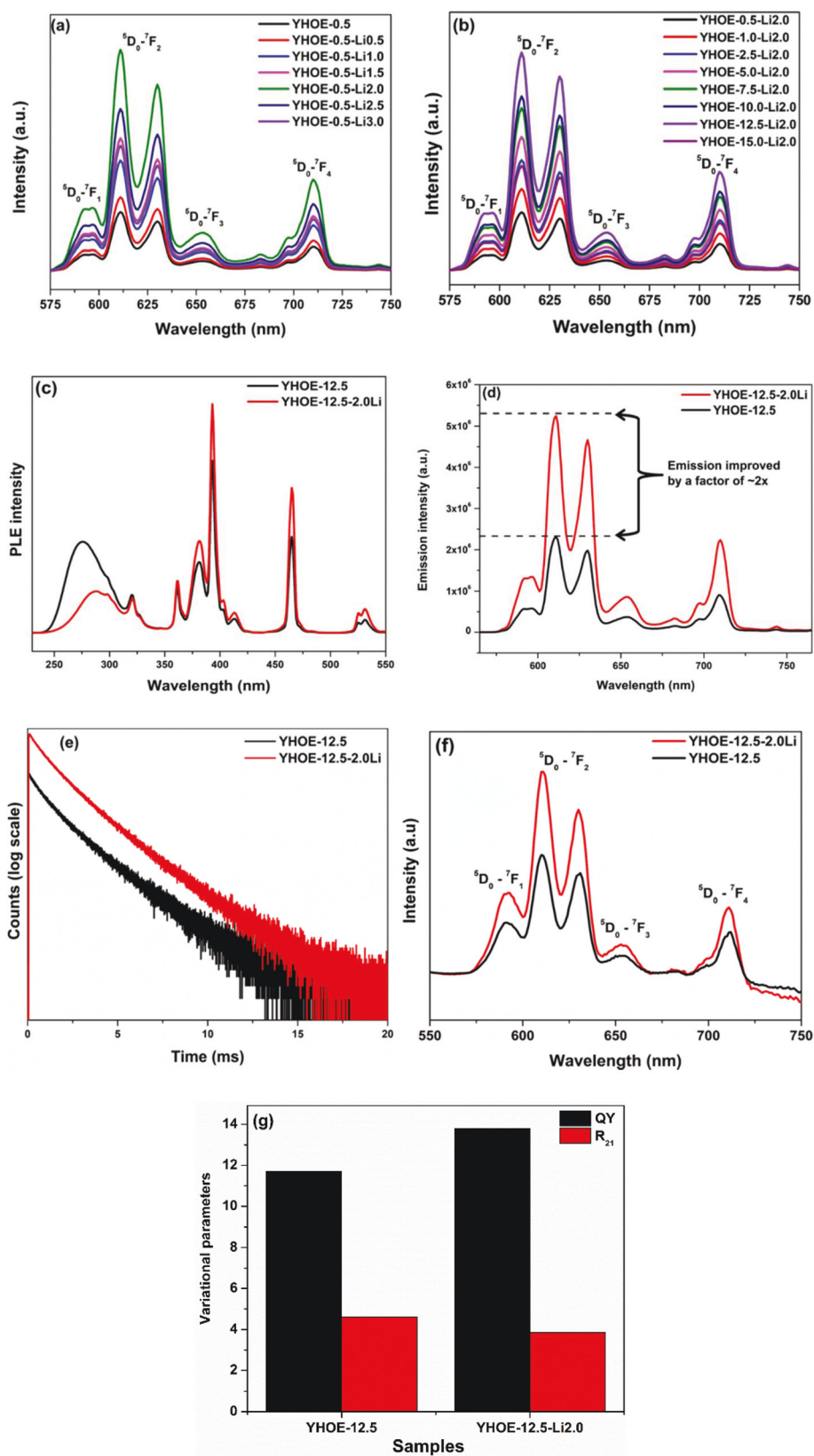
**3.4.1 Luminescence spectroscopy studies.** To study the co-doping effect of  $\text{Li}^+$  on the luminescence performance of the YHOE NPs, we first kept the  $\text{Eu}^{3+}$  concentration at 0.5% and varied the  $\text{Li}^+$  concentration between 0 and 3.0%. From the PL emission spectra of the  $\text{Li}^+$  co-doped YHOE-0.5 NPs (Fig. 3a), the optimal co-doping concentration of  $\text{Li}^+$  with a maximum PL emission output is 2.0%, this is mainly due to the enhanced radiative transition rate from the  $\text{Li}^+$  co-doping.<sup>58</sup> The excitation spectra from the same series of samples also show similar trends (Fig. S7a<sup>†</sup>). There are several factors responsible for the PL enhancement of the  $\text{Li}^+$  co-doping of phosphors, including modification of the symmetry of the primary dopant ions, reduced defects, charge compensation and the introduction of OV into the host crystals.<sup>59</sup> After the optimum  $\text{Li}^+$  co-doping concentration of 2.0%, the created oxygen vacancies and other defects cause suppression of the PL emission output by providing non-radiative pathways.<sup>60</sup>

With the optimum  $\text{Li}^+$  co-doping concentration of 2.0%, the quenching concentration was found to be 12.5% of  $\text{Eu}^{3+}$  ions in the YHO host NPs (Fig. 3b), a 2.5% increase from the YHOE NPs without  $\text{Li}^+$  co-doping (Fig. 2c). It is believed that this increase provides better control over the defects by means of  $\text{Li}^+$  co-doping.<sup>61</sup> Similarly, the PL excitation spectra of the  $\text{Li}^+$  co-doped YHOE NPs show similar trends (Fig. S7b<sup>†</sup>).

Fig. 3c compares the PL excitation spectra of the YHOE-12.5 NPs before and after 2.0%  $\text{Li}^+$  co-doping. There are some interesting features observed after  $\text{Li}^+$  co-doping. First, the Charge Transfer Band (CTB) energy becomes lower after co-doping, whereas the reverse is true for the f–f transitions at 395 and 465 nm. The former can be attributed to a reduction in the ionic character of the YHO host in the presence of the highly electropositive  $\text{Li}^+$  ion. The  $\text{Li}^+$  co-doping may also enhance the covalency of the  $\text{Eu}^{3+}\text{--O}^{2-}$  bond and the coordination environment of the  $\text{Eu}^{3+}$  ion site to reduce the charge transfer energy.<sup>52</sup> Overall,  $\text{Li}^+$  co-doping improves the absorption of the

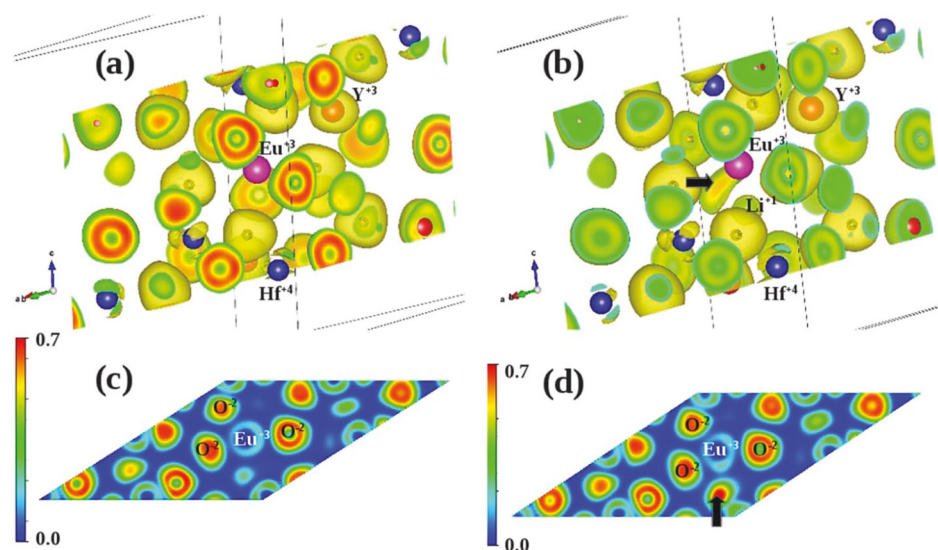
**Table 1** DFT calculated Eu–O bond distances when  $\text{Eu}^{3+}$  is doped at the  $\text{Y}^{3+}/\text{Hf}^{4+}$  site in YHOE NPs

	Eu–O bond distances							
Eu doped at $\text{Hf}^{4+}$ site	2.29	2.29	2.41	2.41	2.43	2.44	2.60	2.72
Eu doped at $\text{Y}^{3+}$ site	2.30	2.35	2.35	2.40	2.44	2.50	2.51	2.56



**Fig. 3** (a) PL emission spectra of the YHOE-0.5 NPs with different  $\text{Li}^+$  co-doping concentrations. (b) PL emission spectra of the YHOE NPs with a 2.0%  $\text{Li}^+$  co-doping concentration with various  $\text{Eu}^{3+}$  concentrations. Comparison of the YHOE-12.5 NPs before and after 2.0%  $\text{Li}^+$  co-doping: (c) excitation spectra, (d) emission spectra, (e) luminescence decay profiles, (f) RL spectra, and (g) QY and asymmetry ratio.

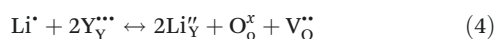




**Fig. 4** Electron localization function (ELF) plots of (a)  $\text{Eu}^{3+}$  substituted in a  $\text{YO}_8$  polyhedra and (b) Li co-doped (in an  $\text{O}^{2-}$  site) and  $\text{Eu}^{3+}$  substituted in a  $\text{YO}_8$  polyhedra (Eu in pink, Y in red, Hf in blue, O in black, and Li atom in green). The 2-dimensional charge density distribution (in  $\text{e} \text{ \AA}^{-3}$ ) plots in the (002) plane for (c) the  $\text{Eu}^{3+}$  substituted and (d) Li co-doped and  $\text{Eu}^{3+}$  substituted cases.

YHOE NPs in the region of 350–550 nm, which is important for their commercial applications from the perspective of near UV and blue light excitations.

From the PL emission spectra of the YHOE-12.5 NPs before and after 2.0%  $\text{Li}^+$  co-doping, we can see that the emission intensity doubles (Fig. 3d). A similar enhancement in the PL emission has been reported from a few  $\text{Eu}^{3+}$  doped phosphors in the literature, although quantification of the extent of enhancement is lacking.<sup>58–60</sup> There is an enhancement in the decay lifetime of the YHOE NPs from 2.02 to 2.43 ms upon  $\text{Li}^+$  co-doping (Fig. 3e).  $\text{Li}^+$  co-doping has also been explored to enhance the emission output of the lanthanide doped phosphors using non-optical excitation processes such as cathodoluminescence or X-ray excitation.<sup>62</sup> Upon  $\text{Li}^+$  co-doping, the RL intensity of the YHOE-12.5 NPs is enhanced (Fig. 3f). A similar RL intensity enhancement was also obtained from  $\text{Y}_2\text{O}_3\text{:Eu}$  NPs upon  $\text{Li}^+$  co-doping.<sup>63</sup> These observations correlate with the decrease of the asymmetry ratio  $R_{21}$  (from 4.62 to 3.85) and the increase of the QY (from 12 to 13.6%) (Fig. 3g). Small ions, such as  $\text{Li}^+$ , can readily enter the interstitial sites of the YHO host to reduce the crystal field symmetry around the  $\text{Eu}^{3+}$  ions. Lattice alteration is induced by the formation of  $\text{Eu}\cdots\text{O}\cdots\text{Li}$  types of moiety, wherein the local surrounding of the  $\text{Eu}^{3+}$  ions becomes modified owing to the redistribution of the electron density around the oxygen ions, therefore more electrons are pulled toward the  $\text{Eu}^{3+}$  ions.<sup>64</sup> Moreover, incorporation of the  $\text{Li}^+$  ions at the  $\text{Y}^{3+}$  site leads to the generation of oxygen vacancies through the following reaction:



The  $\text{V}_\text{O}^{\bullet\bullet}$  defects act as the sensitizer for the  $\text{Eu}^{3+}$  ions and cause a very efficient radiative energy transfer to  $\text{Eu}^{3+}$  ions

owing to the strong mixing of CTB, thus leading to an increase in the emission intensity.<sup>65</sup> After  $\text{Li}^+$  co-doping, the reduced  $R_{21}$  of the YHOE-12.5 NPs indicates a more symmetrical environment around the  $\text{Eu}^{3+}$  ions for a longer decay lifetime. This aspect is highly useful in exploring NPs for fluoroimmunoassays. Moreover,  $\text{Li}^+$  incorporation improves the crystallinity of the phosphor host,<sup>62</sup> reduces the surface defects, enhances the phonon–electron interaction, reduces the internal reflections and decreases the non-radiative transitions,<sup>66,67</sup> thus the improvement in the emission intensity.<sup>68,69</sup>

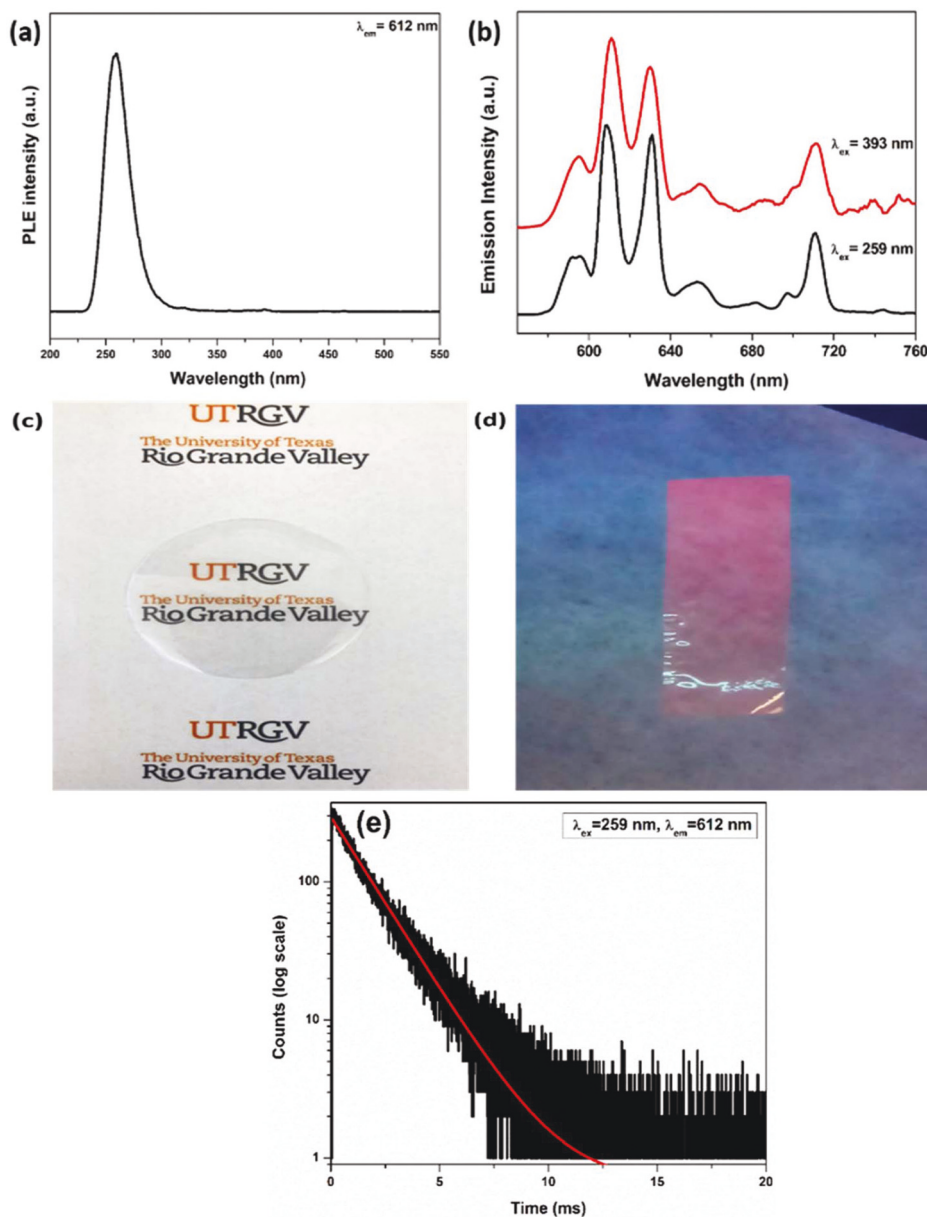
**3.4.2 DFT studies on the role of  $\text{Li}^+$  co-doping.** To further understand the effects of Li co-doping on the YHOE NPs, two separate DFT calculations were performed. In one calculation, a single  $\text{Eu}^{3+}$  ion substituted an  $\text{Y}^{3+}$  site of an 88-atom SQS cell to form the  $\text{EuO}_8$  polyhedra. In another calculation, a single Li atom was substituted in the  $\text{EuO}_8$  polyhedra in place of an oxygen atom. These two 88 atom SQS cells were optimized with respect to the volume and atomic positions. Fig. 4a and c clearly show that the electron clouds are distributed around the O atoms non-spherically, indicating the  $\text{Eu}^{3+}\text{--O}^{2-}$  bonding has an ionic and covalent character. Upon  $\text{Li}^+$  co-doping, an additional electron cloud appears in the  $\text{Li}^+$  co-doped  $\text{EuO}_8$  polyhedra marked by the black arrow (Fig. 4b and d). As a result, after  $\text{Li}^+$  co-doping, an almost spherical charge distribution around the  $\text{Eu}^{3+}$  ion becomes distorted and elongated, which implies an increase in the covalent character of the  $\text{Eu}^{3+}\text{--O}^{2-}$  bonding (Fig. 4c vs. d). This phenomenon agrees with our PL excitation spectral data which suggested a reduction in the CTB energy upon  $\text{Li}^+$  co-doping owing to the increasing covalent character of the  $\text{Eu}^{3+}\text{--O}^{2-}$  bond. Fig. S8† shows the HSE06 calculated DOS of the  $\text{Eu}^{3+}$  doped and Li co-doped YHO (in a  $\text{Y}^{3+}$  site) in a system containing  $\text{V}_\text{O}^\bullet$ ,  $\text{V}_\text{O}^{1+}$  and  $\text{V}_\text{O}^{2+}$ , respectively. Li co-doping generates shallow defect states

close to the CB minima and the Li-p states contribute at the defect states in all oxygen vacancy types. Therefore, it is expected that the Li co-doping with  $\text{Eu}^{3+}$  doping will influence the defect related emission in the YHOE and may improve the emission intensity and quantum efficiency.

### 3.5 Nanophosphor-polymer thin films

The excitation spectrum of the NPTFs under 612 nm emission (Fig. 5a) displayed similar features to that of the YHOE NPs. There is a broad peak around 259 nm corresponding to  $\text{O}^{2-} \rightarrow \text{Eu}^{3+}$  CT along the f-f bands in the range of 350–550 nm similar to those of the YHOE NPs. On the other hand, the emission spectra (Fig. 5b) shows a typical  $\text{Eu}^{3+}$  profile under

259 and 393 nm excitations. The peak positions of the  $^5\text{D}_0 \rightarrow ^7\text{F}_2$  and  $^5\text{D}_0 \rightarrow ^7\text{F}_1$  transitions are unaltered after the YHOE NPs are dispersed in a PVA matrix. The relative excitation and emission intensities of the NPTFs are lower than those of the YHOE NPs because of the presence of a reduced number of  $\text{Eu}^{3+}$  ions per unit volume. In addition, the NPTFs are highly transparent (Fig. 5c) allowing various potential applications in the development of optoelectronic devices. A uniform red emission is also observed from the NPTFs (Fig. 5d) confirming the homogeneous distribution of the YHOE NPs in the PVA matrix. The luminescence decay profile (Fig. 5e) displays monoexponential behavior with a lifetime value of 1.73 ms. This is different from the YHOE NPs themselves which dis-



**Fig. 5** The YHOE NPs-containing NPTFs: (a) excitation spectrum, (b) emission spectra ( $\lambda_{ex} = 259$  and 393 nm), (c) photograph, (d) corresponding red emission under near UV excitation, and (e) luminescence decay profile.

played biexponential decay. This can be ascribed to the more homogenous environment offered by dispersing the YHOE NPs in the polymeric PVA matrix.

## 4. Conclusions

In this work, YHO, YHOE ( $\text{Eu}^{3+} = 0.5\text{--}15\%$ ) and  $\text{Li}^+$ -co-doped YHOE NPs were synthesized at  $650^\circ\text{C}$  using molten salt synthesis. The undoped YHO NPs displayed violet and blue emissions under 330 nm excitation, which have been attributed of the presence of ionized oxygen vacancies in the bandgap based on DFT calculations.  $\text{Eu}^{3+}$  doping leads to an intense red emission at 612 nm, a long luminescence decay time and high concentration quenching. As  $\text{Eu}^{3+}$  and  $\text{Y}^{3+}$  have the same ionic charge and a similar ionic size,  $\text{Eu}^{3+}$  ions exhibit high solubility in the YHO host. The higher affinity of  $\text{Eu}^{3+}$  for  $\text{Y}^{3+}$  is also justified using the DFT calculated cohesive energy at both the  $\text{Y}^{3+}$  and  $\text{Hf}^{4+}$  site. This results in the uniform dispersion of the  $\text{Eu}^{3+}$  ions in the YHO matrix, even at high doping concentrations. Co-Doping  $\text{Li}^+$  ions in the YHOE lattice enhances the quantum efficiency and the excited state lifetime of the YHOE NPs. The DFT results show that  $\text{Li}^+$  co-doping increases the covalent character of the  $\text{Eu}^{3+}\text{--O}^{2-}$  bonding in the  $\text{EuO}_8$  polyhedra. Our  $\text{Li}^+$ -co-doped YHOE NPs display optimum PL and RL output, which highlights their application potentials in solid state lighting and X-ray phosphors. In summary, our work demonstrates a highly efficient material for optoelectronics and scintillator applications with high concentration quenching, high color purity and a long lifetime. The YHOE NPs were also explored for making NPTFs which exhibited good emission properties, high transparency and a uniform red emission. They have potential applications in life sciences and optoelectronics.

## Conflicts of interest

The authors declare no competing financial interests.

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