

## Disentangling contributions to small-system collectivity via scans of light nucleus-nucleus collisions

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The observation of multiparticle azimuthal correlations in high-energy small-system collisions has led to intense debate on its origin and the possible coexistence from two competing theoretical scenarios: one based on initial-state intrinsic momentum anisotropy, and the other based on final-state interaction model (FSM) collective response to the collision geometry. To complement the previous scan of asymmetric collision systems ( $p+Au$ ,  $d+Au$ , and  $He+Au$ ), we propose a scan of small symmetric collision systems at the Brookhaven National Laboratory Relativistic Heavy Ion Collider, such as  $C+C$ ,  $O+O$ ,  $Al+Al$ , and  $Ar+Ar$   $\sqrt{s_{NN}} = 0.2$  TeV, to provide further insights in disentangling contributions from these two scenarios. These symmetric small systems have the advantage of providing a better controlled initial geometry dominated by the average shape of the overlap region as opposed to fluctuation-driven geometries in asymmetric systems. A transport model is employed to investigate the expected geometry response in the FSM scenario. Different trends of elliptic flow with increasing charge particle multiplicity are observed between symmetric and asymmetric systems, whereas triangular flow appears to show a similar behavior. Furthermore, a comparison of  $O+O$  collisions at  $\sqrt{s_{NN}} = 0.2$  TeV and at  $\sqrt{s_{NN}} = 2.76 - 7$  TeV as proposed at the CERN Large Hadron Collider, provides a unique opportunity to disentangle the collision geometry effects at the nucleon level from those arising from subnucleon fluctuations.

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In high-energy proton-proton ( $pp$ ), proton-nucleus ( $p+A$ ), and nucleus-nucleus ( $A+A$ ) collisions, particle correlations are important tools to study multiparton dynamics of quantum chromodynamics in the strongly coupled nonperturbative regime [1]. Measurements of azimuthal correlations reveal a strong harmonic modulation of particle densities  $dN/d\phi \propto 1 + 2 \sum_{n=1}^{\infty} v_n \cos n(\phi - \Phi_n)$  [2–4], where  $v_n$  and  $\Phi_n$  represent the magnitude and phase of the  $n$ th-order harmonic and are often denoted by flow vector  $V_n = v_n e^{in\Phi_n}$ . The azimuthal correlations are found to be collective, involving many particles over a wide pseudorapidity range. The collectivity in  $A+A$  collisions is successfully described as a hydrodynamic response of the produced system to shape fluctuations in the initial state [5]. However, such interpretation is challenged in small-system collisions, such as  $pp$  and  $p+A$  where the small size and short lifetime might prevent the system to thermalize and evolve hydrodynamically. Instead, collectivity arising either from initial momentum correlation [4] or via a few scatterings among partons (without hydrodynamization) [6–8] has been proposed as an alternative source of collectivity in small systems. Lots of experimental and theoretical efforts have been devoted to the study of collectivity in small-system collisions with the goal of understanding the timescale and origin for the emergence of collectivity and the mechanism for early-time thermalization in large collision systems.

One key feature that distinguishes initial-state momentum correlation models (ISM) from final-state interaction models [(FSM), including hydrodynamics or a few scatterings, denoted as FSM-hydro or FSM-tran.] is the relation between the initial-state geometry and the final-state collectivity [9]. In FSM, the collectivity is a geometrical response to initial shape fluctuations, i.e.,  $v_n$  is approximately proportional to the  $n$ th-order initial-state eccentricity  $\varepsilon_n$  [10]. In ISM, such a geometrical response is expected to be absent [11]. One idea to distinguish these two scenarios is to perform a geometry scan by colliding systems with different spacial eccentricities and see if the measured  $v_n$  is correlated with the change in  $\varepsilon_n$  between different systems [12].

Several studies of elliptic flow ( $v_2$ ) and triangular flow ( $v_3$ ) based on such a geometry scan have been performed at Brookhaven National Laboratory Relativistic Heavy Ion Collider (RHIC) with  $p+Au$ ,  $d+Au$ , and  $^3He+Au$  [13–17]. In high-multiplicity events,  $\varepsilon_2$  was predicted to be larger than in  $p+Au$  whereas  $\varepsilon_3$  is comparable [12]. Therefore, a similar hierarchy is expected for  $v_2$  and  $v_3$  in FSM as observed experimentally [14]. However, ISM based on a particular implementation of gluon saturation physics could produce large momentum anisotropy in these systems [11]. The situation is more challenging in the understanding of collectivity involving heavy quarks, such as a  $D$  meson or  $J/\Psi$  in  $p+Pb$  collisions [18–20]: FSM presently significantly underestimates the  $v_2$  for  $D$  and  $J/\Psi$  [21], whereas an ISM-based approach is able to describe the data [22]. The relative

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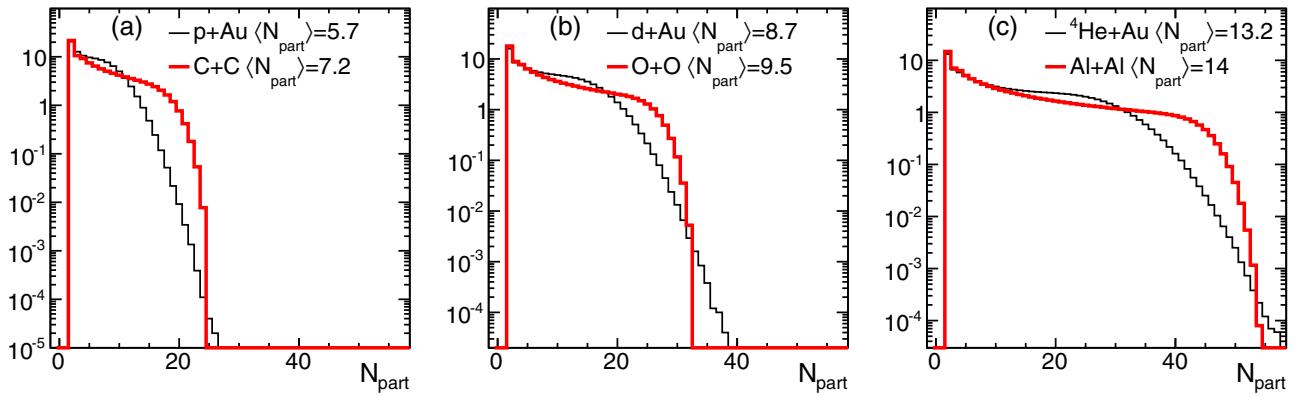


FIG. 1. Distributions of  $N_{\text{part}}$  for three pairs of symmetric and asymmetric collision systems with similar  $\langle N_{\text{part}} \rangle$ :  $p+\text{Au}$  vs  $\text{C}+\text{C}$  (left),  $d+\text{Au}$  vs  $\text{O}+\text{O}$  (middle), and  ${}^4\text{He}+\text{Au}$  vs  $\text{Al}+\text{Al}$  (right).

contribution of FSM vs ISM for the  $v_n$  data in small systems is an area of intense ongoing debate [23,24]. Even in FSM, there are uncertainties in modeling the initial-state geometry due to different treatments of subnucleonic fluctuations, which are expected to play an important role especially in small asymmetric systems. Furthermore, experimental studies from a previous  $p/d/{}^3\text{He}+\text{Au}$  scan at the RHIC were limited by detector capabilities: (1) Most measurements were based on two-particle correlations with incomplete understanding of nonflow systematics (e.g., results depend strongly on the nonflow estimation method), (2) the nature of longitudinal decorrelations of collectivity and its effects on the measurements were poorly understood, (3) a large class of multiparticle observables, demonstrated to be very insightful at the CERN Large Hadron Collider (LHC) [25], were only partially explored (multiparticle  $v_2$  has been measured in  $d+\text{Au}$  [26] but without  $p_T$  differential information).

In this Rapid Communication, an extended scan of small-system collisions at the RHIC is proposed, taking advantage of the newly completed detector upgrades at the STAR Collaboration and future detector capabilities at the STAR/sPHENIX Collaboration (a case at the LHC is studied in Ref. [27] for a few collision species). We note that the RHIC and LHC have collided many systems, but there is a large gap between the largest small system  ${}^3\text{He}+\text{Au}$  and smallest large system  $\text{Cu}+\text{Cu}$ . We propose additional system scans to fill the gap between  $pp$  and  $\text{Cu}+\text{Cu}$ , in particular, symmetric collision systems, such as  $\text{C}+\text{C}$ ,  $\text{O}+\text{O}$ ,  $\text{Al}+\text{Al}$ , and  $\text{Ar}+\text{Ar}$ . Since the system created in  $\text{Cu}+\text{Cu}$  collisions clearly exhibits final-state effects associated with the quark-gluon plasma, such as collective flow [28,29] and jet quenching [30,31], a scan of smaller symmetric systems could help to establish at which system size initial-state effects become subdominant compared to final-state effects as well as provide an important level arm to disentangle between the two final-state scenarios: FSM-hydro vs FSM-tran. Furthermore, the roles of  $\varepsilon_2$  and  $\varepsilon_3$  in small  $A+A$  systems are very different from those in  $p/d/{}^3\text{He}+\text{Au}$ :  $\varepsilon_2$  has a significant average geometry component in small  $A+A$  systems, whereas it is dominated by fluctuations in  $p/d/{}^3\text{He}+\text{Au}$  systems. Therefore, different centrality dependence of  $v_2$  is expected for symmetric and asymmetric systems. As argued in Ref. [25], symmet-

ric systems also have better centrality resolution and, therefore, less centrality bias compared to asymmetric systems, thanks to a broader distribution in the number of participating nucleons  $N_{\text{part}}$ .

We consider four symmetric collision systems,  ${}^{12}\text{C}+{}^{12}\text{C}$ ,  ${}^{16}\text{O}+{}^{16}\text{O}$ ,  ${}^{27}\text{Al}+{}^{27}\text{Al}$ , and  ${}^{40}\text{Ar}+{}^{40}\text{Ar}$  and compare with three asymmetric systems,  $p+\text{Au}$ ,  $d+\text{Au}$ , and  ${}^4\text{He}+\text{Au}$ . Figure 1 shows  $N_{\text{part}}$  distributions compared among these systems. For systems with approximately the same  $\langle N_{\text{part}} \rangle$ , the symmetric system has a flatter shoulder than that for the asymmetric system, which is expected to be less sensitive to experimental centrality resolution effects.

To estimate the behavior of geometry-driven final-state collectivity in these small symmetric systems, a multiphase transport model (AMPT) [32] is employed. The AMPT model has been successful in describing many features of collectivity in small- and large-system collisions at the RHIC and the LHC over a wide range of nucleus species and energies [33–36]. The AMPT starts with Monte Carlo Glauber initial conditions.<sup>1</sup> The system evolution is modeled with strings that first melt into partons, followed by elastic partonic scatterings, parton coalescence, and hadronic scatterings. The collectivity is generated mainly through elastic scatterings of partons, which leads to an emission of partons preferable along the gradient of the initial-state energy density distribution, in a manner that is similar to hydrodynamic flow. Following Refs. [34,35], we use the AMPT model v.2.25 with a string-melting mode and hadronic rescatterings included. The partonic cross section of 1.5 mb is used. About  $20 \times 10^6$  AMPT events are generated for each collision system at each energy.

We compute the eccentricity vector  $\mathcal{E}_n = \varepsilon_n e^{in\psi_n}$  in each event from initial-state coordinates  $(r_i, \phi_i)$  of participant nucleons as

$$\mathcal{E}_n = -\frac{\langle r^n e^{in\phi} \rangle}{\langle r^n \rangle}, \quad n = 2, 3. \quad (1)$$

<sup>1</sup>We verified with the PHOBOS Collaboration Glauber model that varying parameters, such as the nucleon cross section, the diffuseness parameter only has small influence on  $\varepsilon_n$ . The influence of  $\alpha$  clustering in O was also found to be small [16].

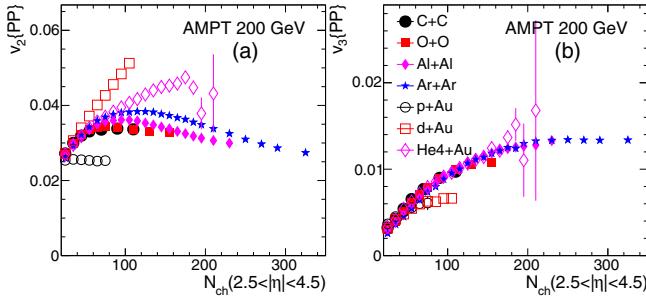


FIG. 2. The  $v_2\{\text{PP}\}$  (left) and  $v_3\{\text{PP}\}$  (right) as a function of  $\langle N_{\text{ch}} \rangle$  in four symmetric and three asymmetric small collision systems.

The phase of the eccentricity vector  $\Psi_n$  is known as the participant plane (PP).

The harmonic flow coefficients  $v_2$  and  $v_3$  are calculated for charged particles in  $0.2 < p_T < 3 \text{ GeV}/c$  and  $|\eta| < 2.0$  using two methods. In the PP method, the anisotropy coefficients  $v_n$  are calculated from the  $\phi$  angles of charged hadrons relative to the  $\Psi_n$ , then averaged over events,

$$v_n\{\text{PP}\} = \langle \cos n(\phi - \Psi_n) \rangle. \quad (2)$$

This method has the advantage of avoiding correlations from jets and resonance decays since they are uncorrelated with  $\Psi_n$ . It is used mainly to establish baseline features of  $N_{\text{ch}}$  dependence of  $v_n$  without the complication of nonflow. Since  $\Psi_n$  is not experimentally accessible and is generally different from the event plane for the final-state particles, we also calculate  $v_n$  using the standard two-particle correlation (2PC) technique commonly employed in experimental measurements. In this method, harmonic coefficients are calculated from the relative azimuthal angle  $\Delta\phi = \phi_i - \phi_j$  of pairs of charged particles as  $v_{n,n}\{\text{2PC}\} = \langle \cos n(\Delta\phi) \rangle$ . A pseudorapidity gap of  $|\Delta\eta| > 1.5$  between the pairs is required to suppress short-range correlations. To suppress nonflow correlations from back-to-back jets, a peripheral subtraction procedure, similar to that used in Ref. [37], is employed to obtain the final flow coefficients,

$$v_n^2\{\text{2PC, sub}\} = v_{n,n}\{\text{2PC}\} - \frac{\langle N_{\text{ch}} \rangle_{\text{pp}}}{\langle N_{\text{ch}} \rangle} v_{n,n}\{\text{2PC, pp}\}, \quad (3)$$

where  $\langle N_{\text{ch}} \rangle_{\text{pp}}$  and  $v_{n,n}\{\text{2PC, pp}\}$  are the average charged particle multiplicity and harmonic coefficient from  $pp$  collisions, respectively. This subtraction method was shown to work reasonably well for the  $p_T$ -integrated correlation measurement (but underestimate the flow signal for  $p_T > 1$  to  $2 \text{ GeV}/c$ ) [38].

The  $v_n\{\text{PP}\}$  and  $v_n\{\text{2PC, sub}\}$  are calculated as a function of centrality, which are determined based on either  $N_{\text{part}}$  or the number of charged particles  $\langle N_{\text{ch}} \rangle$  in the forward rapidity region  $2.5 < |\eta| < 4.5$ . In each case,  $v_n\{\text{PP}\}$  and  $v_n\{\text{2PC, sub}\}$  are calculated in the unit  $N_{\text{part}}$  or  $\langle N_{\text{ch}} \rangle$  bin and then averaged to obtain results in larger centrality ranges.

Figure 2 shows  $v_n\{\text{PP}\}$  as a function of  $\langle N_{\text{ch}} \rangle$  in four symmetric and three asymmetric small systems. For symmetric systems, the  $v_2\{\text{PP}\}$  values increase and then decrease with increasing  $\langle N_{\text{ch}} \rangle$ , and the peak positions in  $\langle N_{\text{ch}} \rangle$  increase slightly for larger systems. This behavior has been observed

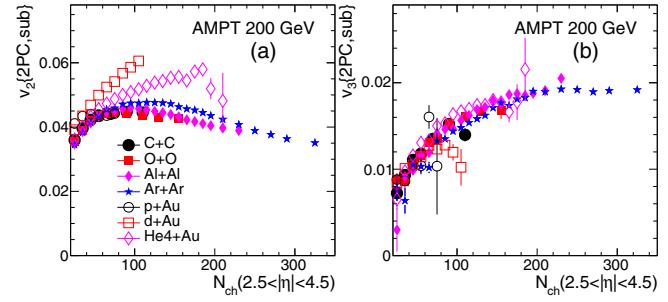


FIG. 3.  $v_2\{\text{2PC, sub}\}$  (left) and  $v_3\{\text{2PC, sub}\}$  (right) as a function of  $\langle N_{\text{ch}} \rangle$  in four symmetric and three asymmetric small collision systems.

in larger systems [29,39–42] and is consistent with the expectation that  $\varepsilon_2$  is driven by the average shape the overlap region [42]. The  $v_3\{\text{PP}\}$  values for different symmetric systems tend to follow a common increasing trend as a function of  $\langle N_{\text{ch}} \rangle$ . A similar observation has been made in Cu+Cu, Au+Au, and U+U collisions at the RHIC [42] and in  $p+\text{Pb}$  and peripheral Pb+Pb collisions at the LHC [43,44]. Based on an independent source picture and a simple conformal scaling argument [45], this scaling behavior is expected since  $\varepsilon_3$  is driven by random fluctuations of the positions of participating nucleons.

Figure 2 also shows that the  $v_2\{\text{PP}\}$  values from asymmetric systems follow different trends: The  $v_2\{\text{PP}\}$  in  $d/{}^4\text{He} + \text{Au}$  increase with  $\langle N_{\text{ch}} \rangle$ , whereas it is relatively constant in  $p+\text{Au}$ . The  $v_3\{\text{PP}\}$  values show a similar  $\langle N_{\text{ch}} \rangle$  dependence as symmetric systems, except for  $d+\text{Au}$  which deviates from the common trend at large  $\langle N_{\text{ch}} \rangle$ . Therefore, in a final-state driven model, we expected a clear difference between  $d/{}^4\text{He} + \text{Au}$  and  $A+A$  for  $v_2$  but relatively similar behavior for  $v_3$ .

Figure 3 shows the same results for  $v_n\{\text{2PC, sub}\}$ . The overall trends are similar to  $v_n\{\text{PP}\}$  in Fig. 2. The larger values of  $v_n\{\text{2PC, sub}\}$  are possibly due to contributions from initial momentum anisotropy that may survive to the final state in small systems as well as possible dynamical flow fluctuations generated by final-state interactions [46] both of which are uncorrelated with the PP.

Since a geometry response picture is absent for pure initial momentum anisotropy models, several behaviors of  $v_2$  discussed in Figs. 2 and 3 are not naturally expected, including the  $\langle N_{\text{ch}} \rangle$  dependence and the differences between asymmetric and symmetric systems. Therefore, measurements of centrality dependence of  $v_2$  and  $v_3$  and comparison with large  $A+A$  systems at similar  $\langle N_{\text{ch}} \rangle$  can provide strong constraints on whether the observed anisotropy is dominated by initial- or final-state effects.

In a recent yellow report for the future LHC heavy-ion physics program, the possibility for smaller  $A+A$  collisions is discussed [25]. This includes a possible  ${}^{16}\text{O} + {}^{16}\text{O}$  run at  $\sqrt{s_{\text{NN}}} = 2.76\text{--}7 \text{ TeV}$  in 2022–2023, and other light-ion species, such as Ar+Ar beyond 2028. The main argument for the O+O run at the LHC is that it allows a better control of  $N_{\text{part}}$ ,  $\varepsilon_n$  and the hard-scattering rate via number

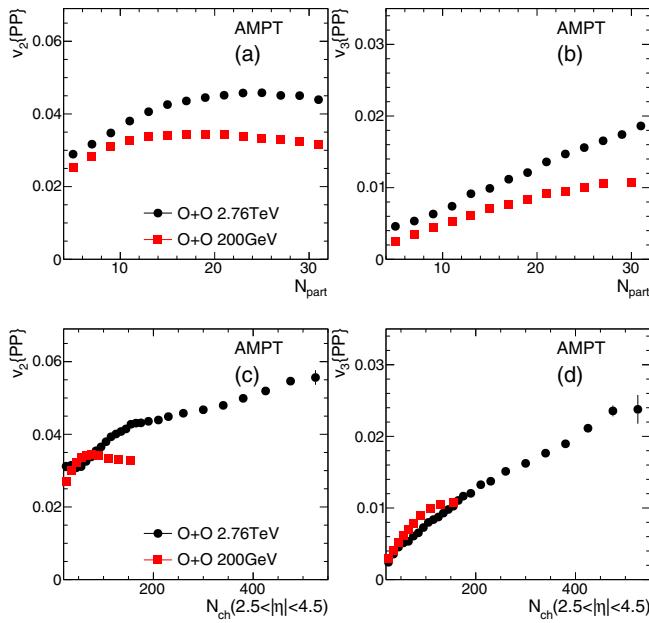


FIG. 4.  $v_2\{\text{PP}\}$  (left) and  $v_3\{\text{PP}\}$  (right) as a function  $N_{\text{part}}$  (top) or  $\langle N_{\text{ch}} \rangle$  (bottom) for O+O collisions compared between  $\sqrt{s_{\text{NN}}} = 0.2$  and 2.76 TeV.

of nucleon-nucleon collisions  $N_{\text{coll}}$  [25]. An O+O run at the RHIC right after BES-II would provide an unprecedented and timely comparison of the same small system at very different collision energies (0.2 TeV vs 2.76–7 TeV). This “RHIC-LHC energy scan” provides a unique opportunity to study systems with nearly identical nucleon geometry but very different subnucleon fluctuations and particle production mechanism with different saturation scale and minijet productions in the initial state. The large lever arm in collision energy should provide new insights on the onset behavior of collectivity, jet quenching, or any other final-state effects in small systems: Any model has to describe results at both energies, which naturally leads to better understandings of results at each energy.

The top panels of Fig. 4 compare the AMPT model prediction of  $v_2\{\text{PP}\}$  and  $v_3\{\text{PP}\}$  as a function of  $N_{\text{part}}$  in O+O collisions at 0.2 and 2.76 TeV. The  $v_n\{\text{PP}\}$  values are larger at 2.76 TeV, but the shape of the  $N_{\text{part}}$  dependence is rather similar between the two energies.

The bottom panels of Fig. 4 show  $v_n\{\text{PP}\}$  as a function of  $\langle N_{\text{ch}} \rangle$ . The results for 2.76 TeV span about a factor of 2.5 larger  $\langle N_{\text{ch}} \rangle$  range than those for 0.2 TeV, due to a larger multiplicity at a higher collision energy. More interestingly, the shape of the  $\langle N_{\text{ch}} \rangle$  dependence of  $v_2\{\text{PP}\}$  is qualitatively different from its  $N_{\text{part}}$  dependence:  $v_2$  increases with  $\langle N_{\text{ch}} \rangle$ , reaching a plateau, then increases again towards higher  $\langle N_{\text{ch}} \rangle$ . The increase at larger  $\langle N_{\text{ch}} \rangle$  resembles the behavior of  $v_3\{\text{PP}\}$  at large  $\langle N_{\text{ch}} \rangle$ . To offer a plausible explanation, we note that the particle production depends on fluctuations in  $N_{\text{part}}$  and fluctuation of the number of partons within each participant, and the higher end of the  $p(N_{\text{ch}})$  distribution at 2.76 TeV is dominated by the fluctuation of particle production in each participant. Due to this, the  $\varepsilon_n$  value is nearly constant

for  $\langle N_{\text{ch}} \rangle > 300$  at 2.76 TeV (Fig. 1 in the Supplemental Material [47]), whereas it decreases continuously with  $\langle N_{\text{ch}} \rangle$  at 0.2 TeV. Since viscous damping effects are reduced for events with the same  $\varepsilon_n$  but higher multiplicity, this leads to an increase in  $v_2$  with  $\langle N_{\text{ch}} \rangle$  at 2.76 TeV in AMPT.

To further motivate the synergy between the RHIC and the LHC for the small system scan program, Fig. 5 compares the  $v_n(p_{\text{T}})$  data for  $n = 2, 3$  at two energies in a large  $A+A$  system and a  $p+A$  system. It is well known that  $v_n(p_{\text{T}})$  for charged hadrons has very little  $\sqrt{s_{\text{NN}}}$  dependence from the RHIC to the LHC [48] as well as from 39 to 200 GeV at the RHIC [49,50], this is confirmed by the left panel which compares Pb+Pb [51] with Au+Au data [52] in 30–40% centrality. However, a comparison of  $v_n(p_{\text{T}})$  between  $p+\text{Pb}$  [53] and  $p+\text{Au}$  [14] central data suggests a very different story. The  $v_2(p_{\text{T}})$  values are more or less in agreement, but the  $v_3$  at the RHIC is lower by more than a factor of 2, and the relative difference shows no apparent  $p_{\text{T}}$  dependence. In the FSM picture, this observation suggests a large change in the initial eccentricities or viscosity damping effects between the two collision energies, but the exact origin is not clear. However this observation is contested by a recent preliminary measurement from the STAR Collaboration [54]. It would be vital to see whether the strikingly different  $\sqrt{s_{\text{NN}}}$  dependence for  $v_2$  and  $v_3$  in  $p+A$  collisions also persists in small  $A+A$  systems, such as O+O collisions between the RHIC and the LHC.

The large gap between  $pp$  and  $\text{Cu}+\text{Cu}$  is one of the last unexplored frontiers at the RHIC,<sup>2</sup> and now is the best time to fill it. Since the last RHIC  $p/d/\text{He}+\text{Au}$  scan, the STAR Collaboration experiment has completed several detector upgrades that extend  $p_{\text{T}}$  and particle identification to  $|\eta| < 1.5$  and provides centrality and event plane determination in  $2 < |\eta| < 5$ , an ongoing forward upgrade to instrument  $2.5 < \eta < 4$  region with tracking detector and calorimeter is expected to complete in 2021 [55]. A 1-week 200-GeV O+O run was recently proposed by the STAR Collaboration for 2020 or 2021 [56], which is expected to provide  $400 \times 10^6$  minimum bias events and  $200 \times 10^6$  0–5% central events. This dataset would enable detailed measurements of multiparticle correlations and rare particles, such as  $\phi$  meson with decent precision [47]. A forward upgrade has also been planned for the sPHENIX Collaboration experiment [57]. The extended detector capability should allow a full exploration of collectivity using all the observable and methods developed for large systems at the RHIC/LHC. We will have much better control of the nonflow systematics, understanding the multiparticle nature of the collectivity and the longitudinal correlations to constrain the full three-dimensional initial condition.

To summarize, we propose a scan of small  $A+A$  systems at the RHIC top energy  $\sqrt{s_{\text{NN}}} = 200$  GeV to understand the timescale for the emergence of collectivity and early thermalization mechanisms in nucleus-nucleus collisions. Comparing

<sup>2</sup>The RHIC has no practical limitation on small  $A+A$  systems based on a private communication with W. Fischer.

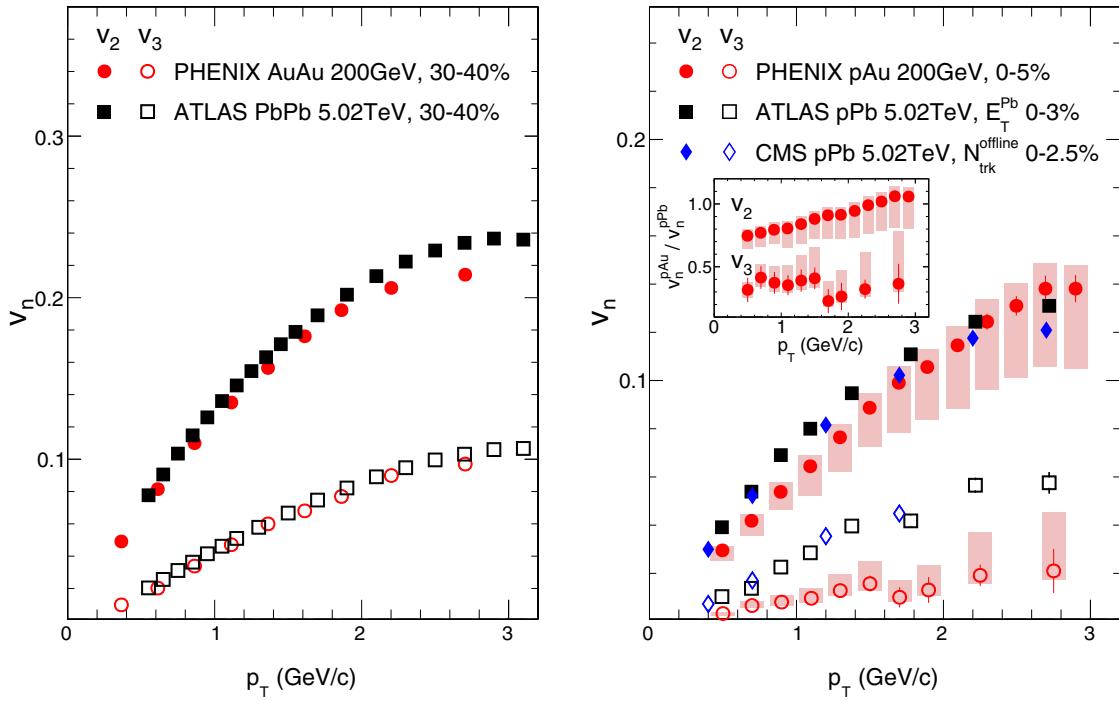


FIG. 5. Comparison of  $v_2$  and  $v_3$  between Pb+Pb and Au+Au in 30–40% centrality (left) and between high-multiplicity  $p$ +Pb and  $p$ +Au (right). The inset panel shows the ratio of  $v_n$  between  $p$ +Au and  $p$ +Pb.

to asymmetric systems, such as  $p/d$ /He+Au with similar  $N_{\text{part}}$ , the symmetric systems have different initial geometry fluctuations and less bias on the centrality selection. A scan of both symmetric and asymmetric systems provide an opportunity to disentangle contributions to collectivity from initial momentum anisotropy, preequilibrium, and late-time hydrodynamics as well as to study the onset of other final-state effects, such as jet quenching. An O+O run at the RHIC to match an already planned LHC O+O run around 2021–2022 will, for the first time, probe the nature of collectivity with the

same nucleon geometry and size but very different subnucleon fluctuations and space-time evolution due to the  $\times 13 - 30$  difference in the collision energy.

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