

Dynamic Communities on the Mesa Verde Cuesta

Kelsey M. Reese , Donna M. Glowacki, and Timothy A. Kohler

This article systematically and quantitatively characterizes interaction dynamics and community formation based on changes in spatial patterns of contemporaneous households. We develop and apply a geospatial routine to measure changing extents of household interaction and community formation from AD 600 to 1280 on the Mesa Verde cuesta in southwestern Colorado. Results suggest that household spatial organization was shaped simultaneously by the maintenance of regular social interaction that sustained communities and the need for physical space among households. Between AD 600 and 1200, households balanced these factors by forming an increased number of dispersed communities in response to population growth and variable environmental stressors. However, as population rebounded after the megadrought of the mid-1100s, communities became increasingly compact, disrupting a long-standing equilibrium between household interaction and subsistence space within each community. The vulnerabilities created by this change in community spatial organization were compounded by a cooler climate, drought, violence, and changes in political and ritual organization in the mid-1200s, which ultimately culminated in the complete depopulation of the Mesa Verde cuesta by the end of the thirteenth century.

Keywords: Mesa Verde, least-cost analysis, community, interaction, spatial patterns

Este artículo investiga las dinámicas de la formación comunitaria a través de un análisis de los cambios diacrónicos en los patrones espaciales de las viviendas contemporáneas. Desarrollamos y aplicamos una rutina geoespacial para medir las extensiones y los patrones de interacción entre las viviendas desde dC 600 hasta 1280 en la cuesta Mesa Verde en el sudoeste de Colorado. Los resultados implican que la organización espacial entre las viviendas fue determinada simultáneamente por el mantenimiento de la interacción social habitual que sostenía las comunidades y por la separación espacial suficiente para la subsistencia de los grupos domésticos. Entre dC 600 y 1200, los grupos domésticos mantuvieron el equilibrio entre estos dos factores a través la formación de comunidades adicionales con asentamientos dispersos para mitigar varias presiones demográficas y ecológicas. Sin embargo, con el resurgimiento de la población después de la megasequía a mediados del siglo XII, las comunidades se volvieron cada vez más concentradas, alterando en cada comunidad el equilibrio existente entre la interacción de los grupos domésticos y sus espacios de subsistencia respectivos. Este cambio de la organización espacial de las comunidades generó vulnerabilidades que iban agravándose por los factores de un clima más frío, la sequía, la violencia, y otros cambios en la organización política y ritual a mediados del siglo XIII. La interacción entre estas presiones sociales y ambientales desembocó en la despoblación total de la cuesta Mesa Verde antes del fin del siglo XIII.

Palabras clave: formación comunitaria, análisis de menor costo, análisis geoespacial, interacción, región de Mesa Verde

Communities are social groups formed through interpersonal interaction and occur in all human societies (Murdock 1949). They may be variously described as bands, neighborhoods, or villages. In middle-range societies, community-level changes in social organization and interaction result from continuously negotiated, dynamic relationships

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through time (Pauketat and Alt 2003; Stone 2016). Demonstrating singular interpersonal interactions that constitute these communities in the archaeological record is difficult (Joyce and Hendon 2000; Yaeger and Canuto 2000; but see Peeples 2018), but repetitive behaviors and practices can generate a physical record of interactions between people in “complex environmental, social, and historical contexts” (Stone 2016:58).

The potential for and frequency of interaction, however, are affected by the physiographic setting of a community and the spatial distance between residences (Rohn 1977; Varien and Potter 2008; Yaeger and Canuto 2000). This relationship between interaction potential and spatial distance means “it is reasonable to expect that . . . patterns of interaction, and thus social communities, will be broadly reflected in patterns of spatial distributions of residence” (Peterson and Drennan 2005:6, 2011). Resulting residence locations in the archaeological record are the “fundamental physicality of human agency” (Stone 2016:61; see also Joyce and Hendon 2000; Pauketat and Alt 2005) that represents repetitive decisions made by each household to participate in its immediate social community (Murdock 1949; Yaeger and Canuto 2000). The communities formed through these repetitive processes make up social and analytical units through which we can define decision-making processes, identify patterns of household aggregation and dispersal, and infer changes in social organization through time.

Here, we introduce a geospatial routine that provides a systematic empirical approach accounting for the dynamism of community constitution through time. This method enables us to identify groups of households and the distances members of those households might have traveled to construct and maintain a community. When applied through time, this method allows us to develop snapshots of change in community organization in any study area. To demonstrate the potential of this geospatial routine, we use a site database of all residences occupied between AD 600 and 1280 (Schwindt et al. 2016) on the Mesa Verde cuesta within Mesa Verde National Park in southwestern Colorado (Figure 1). We use a detailed analysis of occupation through

time paired with spatial distributions to produce a cost-distance analysis that clusters contemporaneous households based on access to shared spaces for interaction.

We build on previous analyses using cost distances to categorize relationships between households and villages (also called “community centers”; e.g., Varien 1999) by incorporating a computational means of determining the cost distance at which communities were likely maintained. Our method produces an emergent maximum cost-distance extent that households likely traveled to maintain local communities and is derived from a systematic assessment of changes in residential spatial organization through time. The results characterize dynamic social processes by calculating cost distances between households most likely to interact on a daily basis and reveal resilient structures in community spatial organization. We demonstrate that community size correlates in highly patterned ways with changes in regional population and the larger political landscape.

Habitation on the Mesa Verde Cuesta

The Mesa Verde cuesta, the physiographic feature that includes Mesa Verde National Park (Figure 1), consists of alternating mesas and canyons that range in elevation from 1,600 to 2,600 m. The geographic and environmental characteristics of the cuesta provided an ideal balance of growing season, precipitation, and soil quality (Benson 2011; Schwindt et al. 2016; Varien 2002) that resulted in a productive maize growing niche in “more than 90% of years over the last two millennia” (Bocinsky and Kohler 2014:4). Consequently, the Mesa Verde cuesta was occupied by ancestral Pueblo people between 6500 BC and AD 1300 (Lipe et al. 1999) and was the most densely populated area in the northern San Juan region throughout the twelfth and thirteenth centuries (Glowacki 2015).

Our analysis uses “households” as the analytical unit to measure spatial patterning because decisions made at this scale are archaeologically represented by the placement of residential architecture. However, residential architecture in the Mesa Verde region changed through time,

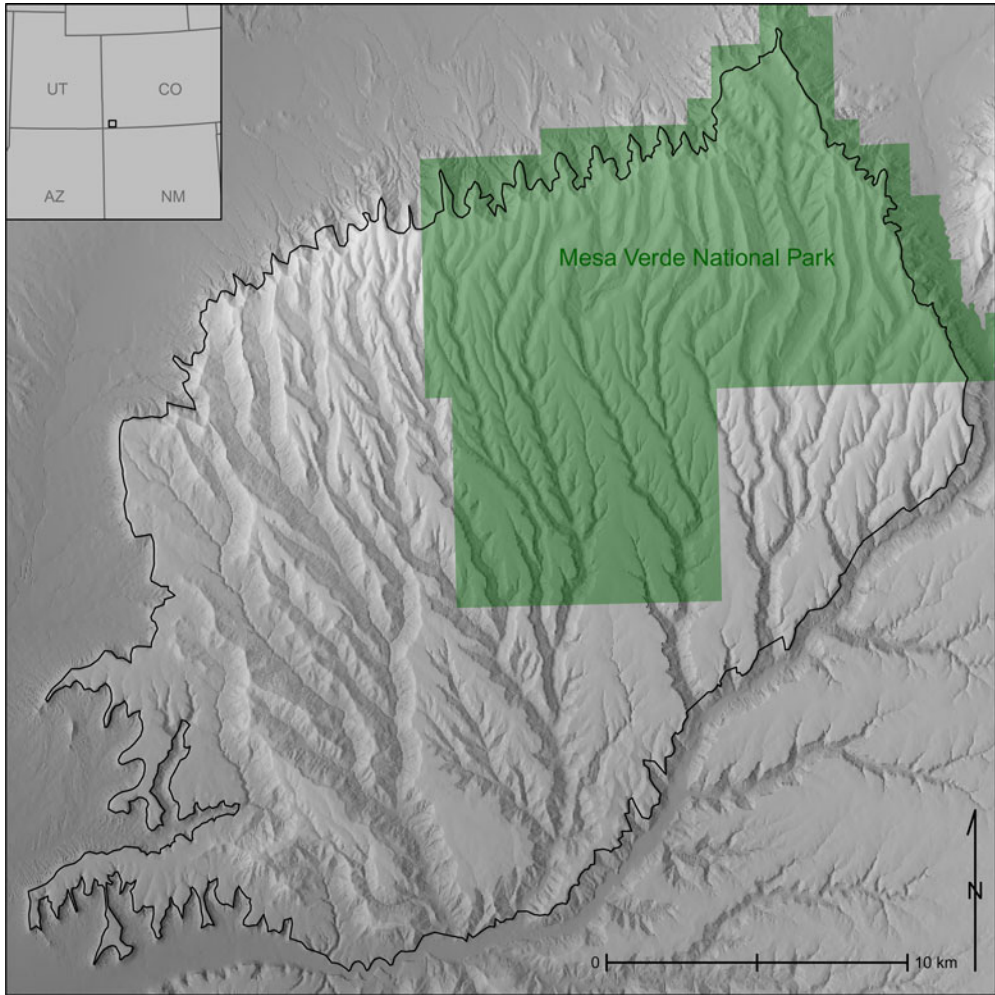


Figure 1. The study area in Southwest Colorado. The Mesa Verde cuesta is outlined in black, and the extent of Mesa Verde National Park is shaded for reference.

which directly affects how we implement our analysis. Basketmaker III (AD 500–700) households lived in subterranean pit structures, and household groups episodically aggregated throughout the period (Wilshusen 2018). Pueblo I (AD 700–890) households typically lived in clusters—known as “unit-type” pueblos (Lipe 1989)—of small masonry structures with one large front room, two small storage rooms, and a subterranean pit structure to the south. Pueblo II (AD 890–1145) and Pueblo III (AD 1145–1280) residential architecture was built using increasingly shaped stone to construct uniform room blocks and masonry pit structures, both

of which lasted longer than their earlier counterparts (Varien et al. 2007). The construction material used during each period directly correlates with the use life of residential structures through time. As the use of masonry increased, the use life of structures increased. For example, Basketmaker III architecture is estimated to have had a use life of eight years, whereas Pueblo III architecture was likely used for at least 45 years (Varien et al. 2007: Table 3). We account for the discrepancy between the length of time and use life by “momentizing” household population (Varien et al. 2007:280–282), a process explained below.

Community in the Mesa Verde Region

In middle-range societies, the interaction between households—“the minimal social units that form the building blocks for higher-order social groups” (Lightfoot 1994:12)—defines both residential and nonresidential relationships. Residential groups consist of kin-based relationships and act as intermediaries between the individual and the nonresidential community (Ware 2014). Nonresidential groups “draw their members from multiple residential groups . . . [resulting in] a degree of community integration” (Ware 2014:26) influenced through ritual structures by which “many basic social principles and divisions are constituted, transmitted, and reinforced” (Schachner 2001:168). A community of households, therefore, represents layers of residential and nonresidential groups that constitute interactive and exchange networks providing material and emotional support to people within the network (Milardo 1992).

In the U.S. Southwest, community is most often defined as “a group of people who lived in close enough proximity that individuals would (or could) come into face-to-face contact with each other on a regular, and possibly daily, basis” (Fast 2012:17; see also Adler 1990; Lipe and Hegmon 1989; Mahoney et al. 2000; Rohn 1977; Varien 1999; Wills and Leonard 1994). A community created through repeated interaction among households is the smallest scale at which we can identify interresidential relationships in the archaeological record. Changes in these relationships through time can help us understand how a population responded to internal social and external environmental changes, reveal underlying organizational structures, and identify the consequences of disrupting those organizational structures. When embedded in larger polities, communities are presumably an essential intermediate level of interaction between the household and the polity.

Previous studies have quantified the proximity at which households likely interacted with one another on a regular basis by examining settlement patterns using least-cost analysis algorithms (e.g., Varien 1999). Least-cost analysis is based on the principle of least effort, which assumes individuals use all available knowledge

of an area or task to economize the behavior taken for a given action (Zipf 1949). When this principle is applied to distributions of archaeological residences, a “cost” of interaction between households can be calculated by determining difficulty of movement using Tobler’s hiking function (Tobler 1993) on a digital elevation model (e.g., Herzog 2013; Kantner 2004; Surface-Evans and White 2012). Tobler’s hiking function calculates cost, measured in time of travel between two points at a walking velocity dependent on variation in elevation across a landscape. Variation in elevation causes a least-cost path to deviate from a straight line to find the path of least resistance (Surface-Evans and White 2012). Results of this analysis are given in “cost kilometers” (cost km), which represent the equivalent distance an individual can travel on an established, level walking path given an identical amount of travel time.

Varien (1999) examined the spatial relationship of contemporaneous large, aggregated community centers and defined three cost km catchments: (1) a 2 cost km radius to capture “intensive cultivation and regular interaction among community members” (155), (2) a 7 cost km radius used to procure wild food and nonfood resources, and (3) an 18 cost km radius identifying the maximum extent of regular round trip travel by a household to a community center for religious and economic activity. Recent literature has primarily focused on the 2 cost km extent to assess social relationships in the upland U.S. Southwest (Bernardini and Peeples 2015; Coffey 2010; Crabtree 2015; Hill et al. 2015; Lipe and Ortman 2000; Mahoney et al. 2000; Murrell and Unruh 2016; Varien and Potter 2008). This catchment size is useful for understanding potential social relationships that existed between clusters of households, but the measurement is a necessarily static number representing what was inevitably a dynamic process (Schachner 2015). The spatial proximity of households within a social community would have continuously changed through time and fluctuated across space with varying topographies and sociopolitical pressures.

The Village Ecodynamics Project (VEP)—a multiyear, multi-institution project funded by the National Science Foundation (Kohler and Varien 2012; Kohler et al. 2010)—developed a

Bayesian analysis to produce a demographic reconstruction that included the Mesa Verde cuesta from AD 600 to 1280 (Ortman et al. 2007; Schwindt et al. 2016; Varien et al. 2007). The Bayesian analysis uses tree-ring dates from excavated sites, where they can be paired with detailed ceramic and architectural data, to estimate periods of residential site occupation for surveyed sites with surface ceramics and architectural features (Ortman et al. 2007). The strong temporal correlation among changes in ceramic wares, design motifs, and residential architecture makes the Bayesian analysis an effective means of assigning occupation periods to all residences across a study area. The power of the Bayesian method is that it allows for a systematic assignment of occupation periods for residential sites across the cuesta using standardized lines of evidence; however, as is true with all archaeological analyses, some judiciousness in interpretation is required. Using the proportion of identified ceramic wares and other field observations, the VEP has estimated the probability each known archaeological residence was occupied within every period for six strata within the VEP II north study area (Schwindt et al. 2016), including Mesa Verde National Park. The data used here are a spatial subset of the Mesa Verde National Park stratum to include only residential sites located on the Mesa Verde cuesta (Figure 1). Table 1 summarizes the VEP periods and total number of known residences on the cuesta most likely occupied at some point during the associated period. The total number of residences, each representing one household, are “momentized” by dividing the average use life of residential architecture by the total length of each VEP period and then multiplying that proportion with the total number of residences assigned to the corresponding period (see Varien et al. 2007:282 for more detail). The resulting numbers of momentized households are used in the following analysis (Table 1).

Calculating Communities

Although the elevation and aspect of the cuesta were advantageous for maize production, its topography limited areas suitable for agriculture and settlement and affected ease of travel. As

Table 1. Momentized Household Population on the Mesa Verde Cuesta by Period.

VEP Period (AD) ^a	Total Residences ^b	Residence Use Life in Years ^c	Momentized Households
600–725	975	8	62
725–800	478	13	83
800–840	420	18	189
840–880	601	18	270
880–920	154	18	69
920–980	288	18	86
980–1020	620	18	279
1020–1060	578	21	303
1060–1100	801	21	421
1100–1140	362	40	362
1140–1180	292	40	292
1180–1225	243	45	243
1225–1260	378	35	378
1260–1280	430	20	430

^aOrtman et al. 2007:250.

^bSchwindt et al. 2016.

^cVarien et al. 2007:282.

Rohn (1977:1) noted following a multiyear survey in Mesa Verde National Park, “The canyons do not prevent travel across them [but] several times as much time and energy is required to cross a canyon than to cover an equal distance on the mesa top.” The topography of the Mesa Verde cuesta clearly requires an approach to identifying communities that accounts for landscape influence on settlement patterns, subsistence, and interaction. To do this, we make three assumptions: (1) architectural remains reflect household agency (Stone 2016), (2) intent to interact is reflected in residential location (Canuto and Yaeger 2000; Peterson and Drennan 2005, 2011), and (3) nearby households are more likely to interact on a daily basis than more distant ones (Varien 1999).

To infer communities based on household proximity, we use all known residences on the Mesa Verde cuesta that had at least one occupied residence in any of the VEP periods from AD 600 to 1280 with a posterior probability equal to 1 as compiled by Schwindt and colleagues (2016). For sites with multiple households, each household is considered, but all residences within a site share the location information. Although specific location information for each residence within a multi-household site would be ideal, such specificity is not necessary given the spatial scope of this project. Ultimately, households within the same site

cluster within the same community at the end of the cost-distance and cluster analyses described below, just as would be expected if unique sets of coordinates for each household within the site were used.

For each period before AD 1100, a set of momentized households were randomly selected from all residences likely occupied during a given period (Table 1). In these cases, the cost-distance and cluster analyses described below were repeated five times on different momentized samples, and the arithmetic mean of these iterations is presented to best capture residential spatial patterns within each period. Although selecting a random subset of households for each iteration may not accurately reflect the actual residences occupied at any given time, it is an unbiased selection. The same analyses were applied to post-AD 1100 periods, but in these cases, each analysis was run only once because all residences are assumed to have been occupied for the entire length of each period. This method for determining changes in household interaction and organization through time removes researcher bias and subjectivity since it depends entirely on results from calculated cost-distance and cluster analyses. The methods described in the following sections are applicable to any study area with complete or nearly complete survey coverage.

Null Model Cost Distance

The elevation variability on the Mesa Verde cuesta drastically influences the cost distance of travel between households and, therefore, our ability to identify changes in aggregation and dispersal over time. To address this concern, a null model of household spatial distribution was calculated by randomly sampling 1,000 geographic coordinates from within the same extent of the cuesta for which we have empirical household location information. The cost-distance values between all pairs of coordinates in the null model are calculated and represent the expected distribution of interhousehold cost-distances if the landscape were randomly settled (Figure 2a). The same null model distribution was used for all periods because differences in the results of repeated sampling and cost-distance calculations were negligible.

Cost-Distance Comparison

Next, for each period, the cost-distance values between all pairs of contemporaneous households are calculated to determine the actual distribution of interhousehold cost distances, including any exaggeration as a byproduct of the landscape (Figure 2b). The null distribution of random interhousehold distances is then subtracted from the empirical cost-distance distribution for each period, as illustrated in Figure 2c for the AD 1100–1140 period. This difference (Figure 2c) represents the cost-distance distribution, freed from any topographic costs, of households in each period. We refer to the point at which households move from more aggregated (positive difference) to less aggregated (negative difference) than expected—when the difference between the null model and the empirical distribution equals zero (marked by the vertical line in Figure 2d)—as the “null difference.” Cost distances shorter than the null difference represent the distances at which households in each period are more aggregated than if the area were randomly settled. Since this process removes the topographic effects, we assume social factors can explain these proximities. Therefore, the null difference value is used to help create the similarity matrix for each corresponding period that ultimately informs the cluster analysis.

Null Difference Cost Distance

The overarching goal of this analysis is to calculate an emergent extent of potential regular household interaction, controlling for the topographic variability across a given landscape. Interaction between households, however, is not limited to occurring only at residential locations and can occur in any shared space. Therefore, households are clustered into potential communities based on similarity of travel costs to landscape cells across the cuesta. The following points summarize our study:

- The corresponding set of momentized households appropriate to each period (Table 1) is randomly selected from the total number of households for that period. For post-AD 1100 periods, all households in the corresponding period are selected because expected

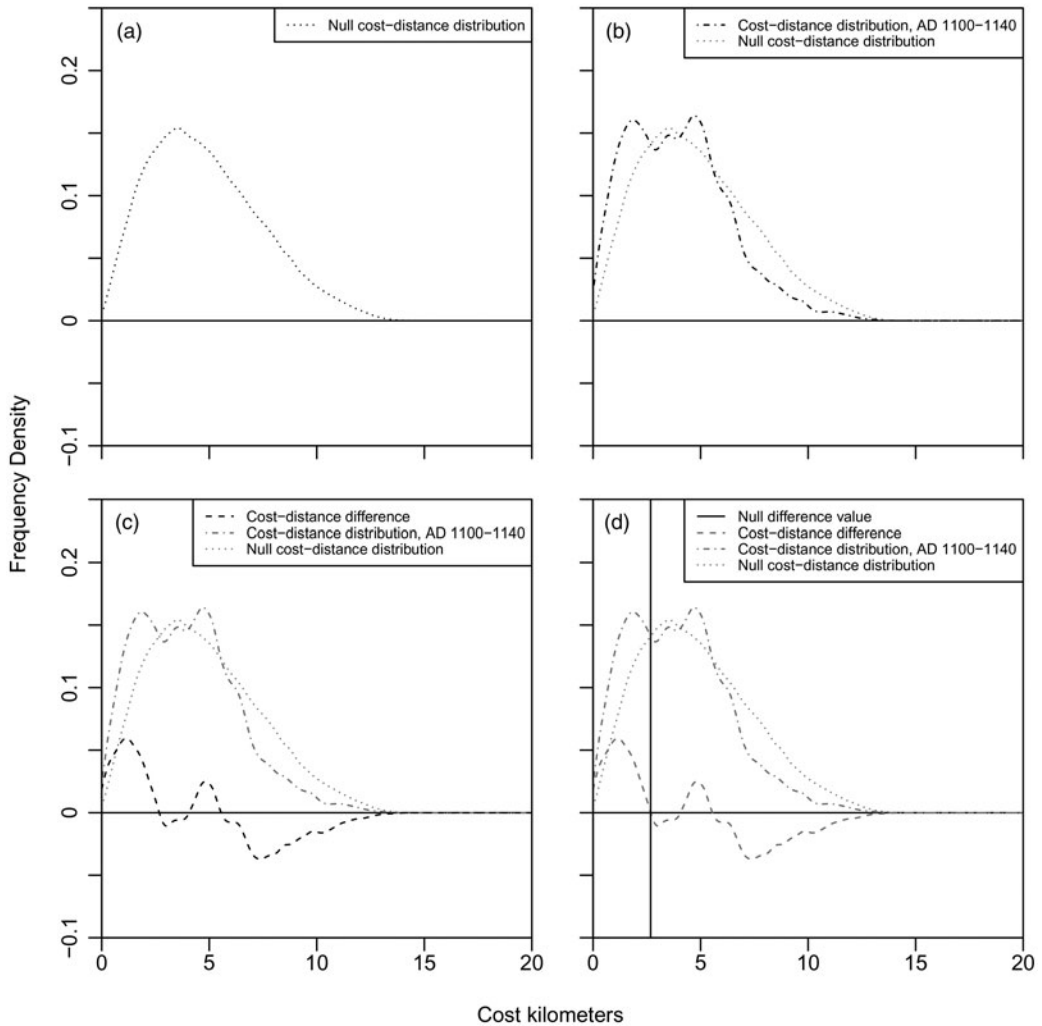


Figure 2. (a) Null cost-distance distribution; (b) household cost-distance distribution for the AD 1100–1140 period; (c) difference between the null cost-distance distribution and household distribution; (d) the null difference value for AD 1100–1140. Each plot shows the frequency density, rather than a numerical count, along the y-axis of cost-distance distributions at each cost km along the x-axis.

- residence use life is greater than or equal to the period length (Figure 3a).
- A random sample of 1,000 coordinates is selected from the spatial extent of Mesa Verde National Park on the Mesa Verde cuesta (Figure 3b).
- The cost distance is calculated from each household to each randomly sampled landscape cells. The cost distances are recorded in a matrix where each column represents a household and each row, a sampled landscape cell.
- The cost-distance results are then converted to a presence value, 1, if the cost of travel from the corresponding residence to a landscape cell is less than the null difference for that period or to an absence value, 0, if the cost is greater than the null difference. Figure 3c illustrates this process as if it were taking place for a single site location, marked in white. This process is then repeated for all other contemporaneous households to the same set of randomly sampled landscape cells, which creates the similarity matrix

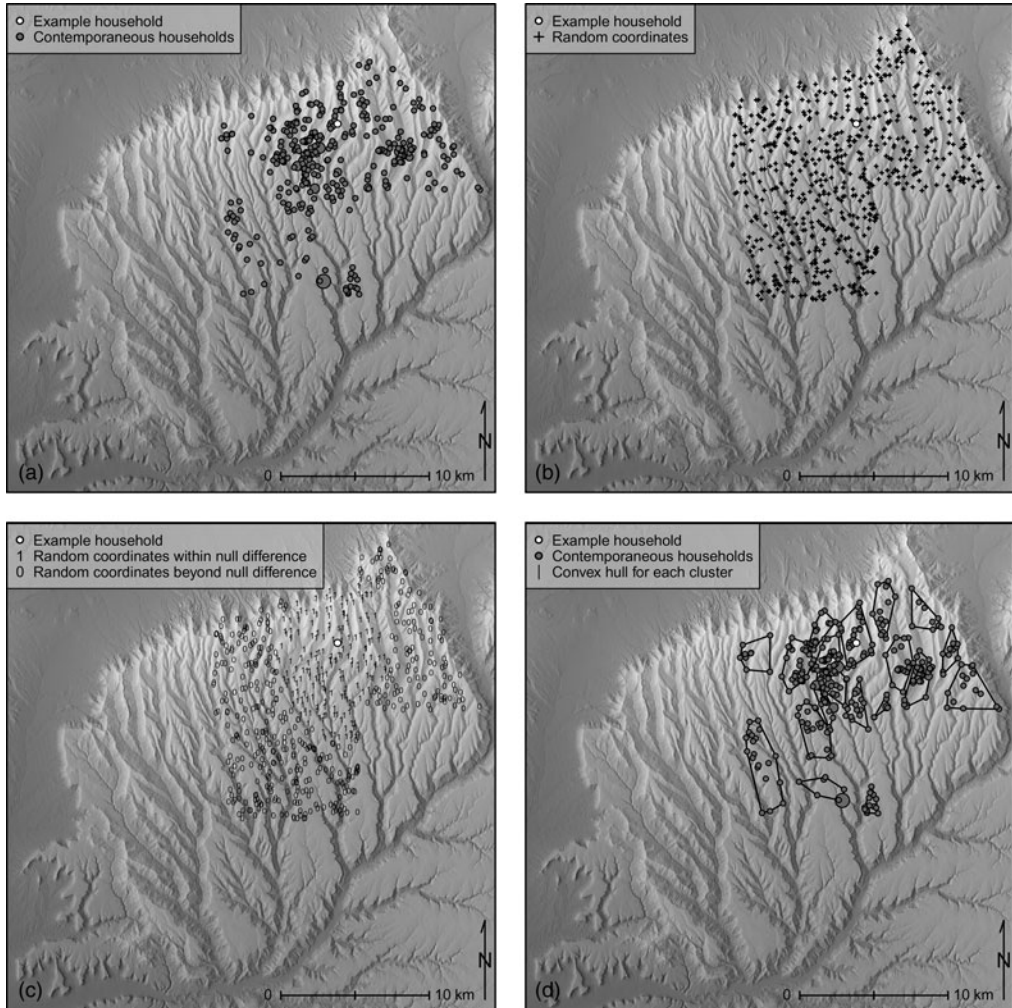


Figure 3. (a) All households occupied from AD 1100–1140 (the size of points are proportional to number of households at each site); (b) the locations of 1,000 random coordinates within Mesa Verde National Park on the Mesa Verde cuesta; (c) for a single example location, shown in white, all landscape cells within the null difference cost distance for this period are assigned a one (1), whereas cells outside this range are assigned a zero (0). This process is repeated for all contemporaneous sites before the cluster analysis is run, creating a similarity matrix where each row represents every sampled landscape cell within and beyond the null difference for a particular site, and each column represents every momentized household used in that particular cluster iteration; (d) results of the cluster analysis, which groups households based on the similarity in cost distances to landscape cells. (All maps of the clusters calculated for each period are available online as Supplemental Figures 1–50.)

used to group households in the following cluster analysis.

Cluster Analysis

We use affinity propagation cluster analysis (Bodenhof et al. 2011; Frey and Dueck 2007, 2008) to group households into potential communities.

This algorithm determines the optimal number of clusters for a dataset through an iterative process that creates the minimum possible sum of squared errors (Kintigh and Ammerman 1982). Ultimately, each household is assigned the cluster to which it most likely belongs based on similarities in cost-distance proximity to landscape cells with other households within the same cluster. It

is also possible for a household to be isolated if doing so minimizes the sum of squared errors (an example can be seen in Supplemental Figure 3). This approach removes the need for the researcher to predetermine the desired number of clusters, removing researcher bias and enhancing reproducibility. The resulting clusters from the analysis are our candidate communities (Figure 3d; maps for all periods are available online as Supplemental Figures 1–50).

Results: Characterizing Communities

The communities produced by the cluster analysis were characterized along several dimensions to identify changes in social organization and responses to environmental variability through time (Figure 4). The averages for each metric are used throughout the results, although medians were also calculated and are available in Supplemental Table 1. Each metric used in Figure 4 is discussed briefly below.

- Number of households: the total momentized number of households (Table 1) likely occupied at any time in each period on the cuesta. Conventionally, archaeologists assume that each household represents 5–7 people (e.g., Lightfoot 1994), which likely represents the maximum for any household in its development cycle, given that simulation suggests an average momentary household size of approximately 3.3 people (Kohler 2012). Figure 4a shows three cycles of population expansion and contraction on the Mesa Verde cuesta. Major episodes of population decline on the Mesa Verde cuesta began with periods of maize niche constriction (Bocinsky and Kohler 2014) and decreased building activity (Bocinsky et al. 2016).
- Null difference: the cost-distance spatial extent used in the cluster analysis (Figure 4b). The null difference provides a general idea of household aggregation and dispersal for each period at the landscape scale. A larger null difference means households were generally more aggregated at closer cost distances across the landscape than expected by the null model.
- Number of communities: the optimal number of communities determined by the cluster analysis, given similarities in the cost-distance spatial proximity of contemporaneous households to a random sample of landscape cells under the influence of the null difference for each period (Figure 4c).
- Households per community: the average number of households assigned to each community in each period (Figure 4d).
- Area per community: the average area in square kilometers within the “convex hull” enclosing all households in each community (Figure 4e). The convex hull is the minimum area required to encompass all points in a spatial dataset or, in this case, all households within a community (Jarvis 1973; see example in Figure 3d).
- Area per household: the average area, in square kilometers, of land in the community convex hull divided by the number of households in that community (Figure 4f).
- Mean cost distance: the average cost distance between all pairs of households within each community (Figure 4g). For reference, 1 cost km represents approximately 12 minutes of travel time.
- Average maximum cost distance: the average maximum cost distance between households in each community (Figure 4h); the smaller the average maximum cost distance, the more compact the community.

The results in Figure 4 show considerable variability in community organization and spatial relationships among households through time. Most importantly, the results indicate changes in structural elements, some of which reinforce our prior archaeological knowledge whereas others were unanticipated. Results from the first VEP period (AD 600–725; Basketmaker III) are shown in Figure 4 and reported in Table 2 but are not discussed because of the substantial disparity between the population of households and the comparatively small number of households after momentizing, which likely dramatically decreases the precision of our results for this period. We begin by discussing results most evident in period-to-period comparisons and conclude by examining results best perceived by looking at the entire temporal ensemble.

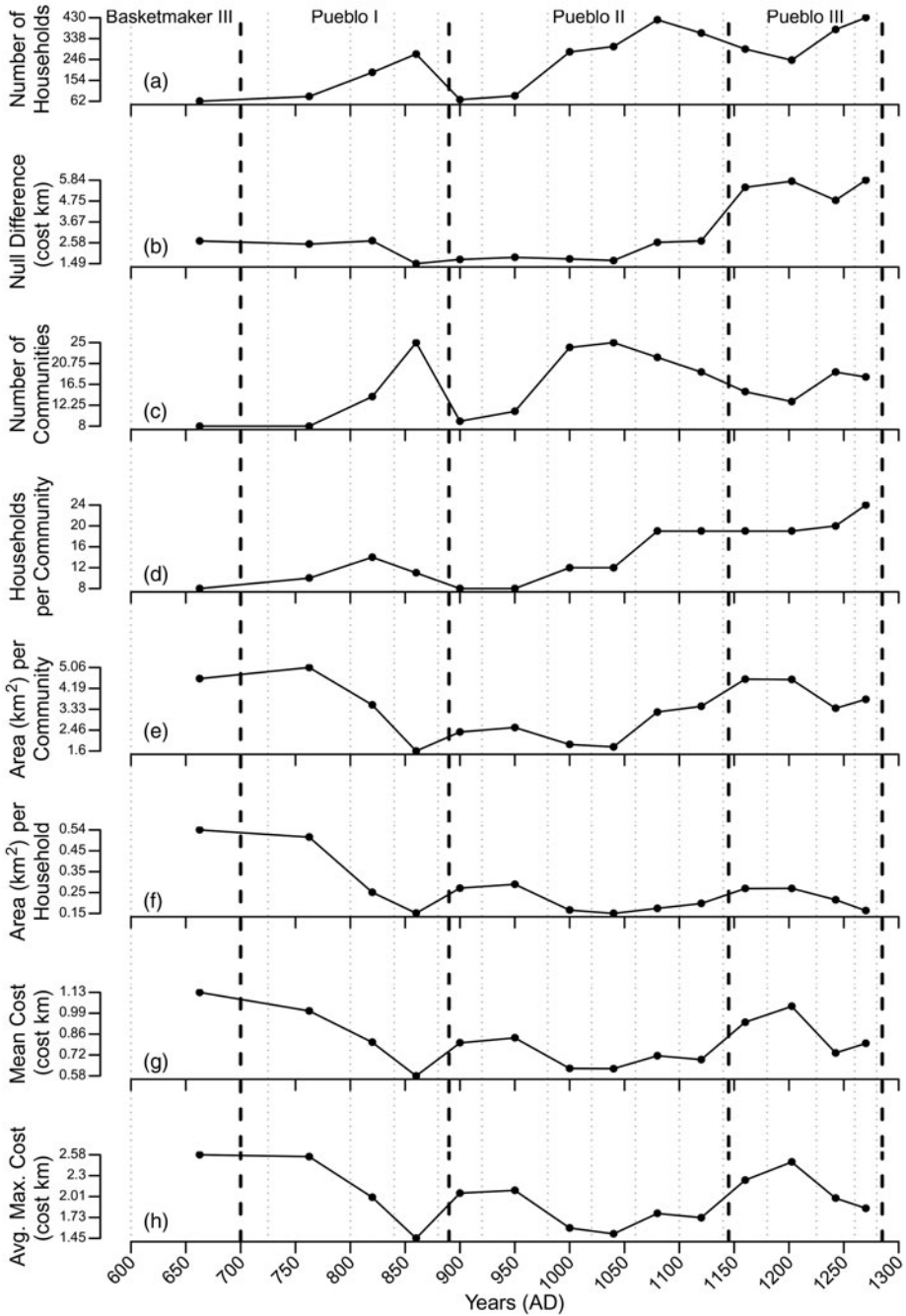


Figure 4. The averages of metrics calculated from household clusters through time. Gray dotted lines mark boundaries of VEP periods. Black dashed lines mark Pecos period boundaries as derived by Bocinsky and colleagues (2016). The mean, median, and standard deviation for all periods are available online as Supplemental Table 1.

Pueblo I (AD 700–890)

The late Pueblo I period on the Mesa Verde cuesta was characterized by a large population

with inter- and intracommunity aggregation previously noted across the central Mesa Verde (CMV) region (e.g., Wilshusen and Ortman

Table 2. Community Cost-Distance Results.

VEP Period (AD) ^a	Average Households per Community	Standard Deviation of Average Households	Average Household Cost Distance	Average Maximum Household Cost Distance
600–725	8	4	1.129	2.578
725–800	10	5	1.008	2.553
800–840	14	6	0.803	2.004
840–880	11	7	0.584	1.450
880–920	8	4	0.800	2.061
920–980	8	4	0.832	2.098
980–1020	12	7	0.633	1.589
1020–1060	12	9	0.631	1.510
1060–1100	19	9	0.715	1.785
1100–1140	19	9	0.690	1.729
1140–1180	19	10	0.935	2.236
1180–1225	19	12	1.038	2.480
1225–1260	20	14	0.734	1.992
1260–1280	24	14	0.796	1.856

^aOrtman et al. 2007:250.

2012). This aggregation is indicated by a simultaneous increase in the number of households and communities and a decrease in the mean and average maximum cost distance between households within the same community. As the Pueblo I period ended, the number of households and communities on the Mesa Verde cuesta declined as the maize dry farming niche contracted and annual growing conditions became increasingly variable (Schwindt et al. 2016). This decrease in the number of households and communities also corresponds with a decrease in tree-ring cutting date frequency, a proxy for building activity, throughout the upland U.S. Southwest (Bocinsky et al. 2016). The remaining households on the cuesta during the transition between the Pueblo I and Pueblo II periods (AD 880–920) were living in more dispersed communities characterized by an increase to mean cost distance, area per community, and area per household.

Pueblo II (AD 890–1145)

As the population began to increase during the first half of the AD 1000s, the number of communities increased dramatically (Figure 4c), as did their degree of aggregation at the household (Figures 4g and 4h) and community levels (Figure 4e). Simultaneously, an increase in the number of communities resulted in a number of households per community similar to that in

Pueblo I, suggesting a return to organizational structures that were previously employed with a comparable household population.

As the number of households continued to increase in the late AD 1000s, the number of communities decreased, but remaining communities grew as the households per community and area per community increased. Communities were large; nonetheless, households continued to settle at relatively consistent cost distances that facilitated regular interaction with other households within each community (Figure 4g). These results suggest that households in the late AD 1000s were strongly influenced by the need to be close to existing residential clusters instead of settling in distant or open areas on the cuesta. This trend toward aggregation also reflects the characterization of the late Pueblo II period as the Chaco Phase of community-center construction in the Mesa Verde region, with intensive development of public architecture in highly aggregated communities (Glowacki and Ortman 2012:Table 14.2). Communities also became increasingly standardized on the cuesta from AD 1060 to 1140 as households per community, area per household, and cost of interaction were mostly constant.

Pueblo III (AD 1145–1285)

Trends from late Pueblo II continued into early Pueblo III communities, but major changes

began to appear in the early to mid-1200s. Communities became highly aggregated as the total cuesta population increased to its all-time maximum, resulting in a sharp decrease in the average maximum cost of interaction. Between AD 1240 and 1280, however, the mean cost of interaction increased even though more households were occupying smaller spaces. This discrepancy between aggregation and cost of interaction could be the result of some households moving into alcove settings. Households would have been increasingly aggregated within an area, but the cost of interaction between households would be disproportionately greater because of the cost to travel to and from alcove settings. Furthermore, the eastern portion of the cuesta was depopulating as households generally moved into steeper, alcove-containing canyons and mesas more centrally situated on the cuesta (Glowacki 2015, 2019), which also may have contributed to the increase in intracommunity cost distances. The 1200s were also the only time when a significant population increase coincided with a decrease in community area and, consequently, in area per household between AD 1260 and 1280 (Figure 4f). This fundamental organizational shift markedly changed the social landscape and may have destabilized social interaction, as the cuesta was completely depopulated by the end of the thirteenth century. Communities never returned to earlier, more dispersed organizational patterns but rather continued aggregating as the population on the cuesta continued to increase.

Macroscale Patterns

Cost-Distance Interaction. As noted earlier, the average maximum cost distance is the most comparable metric to the 2 cost km catchment used in previous studies. Our results generally support the use of a 2 cost km extent for the late Pueblo II and Pueblo III periods to examine household interaction (Table 2). In his analysis of intracommunity interaction from AD 1051 to 1290 in the CMV, Varien (1999) identified community catchments based on residential spatial patterning surrounding community centers, and he supported his results by comparing them to ethnographic examples of 2 km extents of interaction from around the world. Our results for

the same interval average to 1.94 cost km (Table 2). The agreement between Varien's (1999) ethnographically supported measure and our results for the same period suggests the cluster analysis is accurately identifying overarching patterns of social interaction based on the placement of residential architecture. At the same time, our results improve on the established metric because they reflect the dynamic processes inherent in household relationships within the corresponding AD 1040–1280 period, extend the application of cost km community extents to the Basketmaker III through early Pueblo II periods (AD 600–1040), and are the emergent result of a computational process designed to remove researcher biases. We propose that our results can serve as updated community catchment sizes specifically tailored to periods in the CMV (Table 2). Researchers can also follow the protocol developed here to derive cost-distance estimates for regular interaction tailored to their specific areas of interest.

Structural Elements. An unexpected result is the relative stability of the area per household within communities after AD 900 (Figure 4f). The area per household is calculated by using a convex hull; however, our interpretations do not assume that households were limited to the imaginary boundaries created in the cluster analysis or that the land within these boundaries was wholly arable. However, a strong spatialized pattern was established by the mid-800s that continued throughout the rest of the study period: land per household in each community ranged from 0.15 to 0.27 km². Studies on maize production and yield in the Mesa Verde region and broader U.S. Southwest (Benson 2011; Bocinsky and Varien 2017; Ermigiotti et al. 2018; Sherman 2014) suggest that households must plant an average 0.14 km² of land to reliably produce adequate amounts of maize to support their annual caloric needs.¹ Our results suggest intracommunity household spacing allowed households the necessary area to produce enough maize to sustain themselves for one to two years. Additionally, our research suggests it is likely that primary maize fields were located within the convex hull defining each community because an adequate area is maintained within the average community to satisfy

the annual needs of each household through time. By the AD 1260–1280 period, however, there were more households on the *cuesta* than ever before living in communities that were organized differently than ever before, and the decrease in area per household to just 0.16 km² suggests maize production from farms within the convex hull of the community was likely insufficient to meet the needs of all households within a community as a “major pan-regional drought” occurred in the late 1200s (Bocinsky and Kohler 2014:2; Wright 2010).

Community Dynamics and the Environment. To better conceptualize the experience of households in communities on the *cuesta*, we examine the relationships between population, community size, and number of communities through time. Each variable in Figures 5 and 6, recast into *z*-score terms for each figure, has previously been defined except for the red-to-green color ramp. The color ramp represents the proportion of study area that falls within the maize growing niche, as calculated by Bocinsky and Kohler (2014), for each year from AD 600 to 1280. The maize growing niche is smoothed in Figures 5 and 6 using a lagged 20-year moving average to best reflect the remembered experience of people on the *cuesta* at any given time. The results are shown on a red (low productivity) to green (high productivity) spectrum.

Figure 5 shows the relationship between average community area and average community population through time. The bubble size represents total momentized household population on the *cuesta* in each period (period midpoints are plotted in Figures 5 and 6). Figure 5 also displays, in horizontal dotted lines, a community population of 50 (lower) and 150 (upper) recast to the *z*-score metric of the *y*-axis. This plot reveals a changing relationship between community area and population through time that may be generally related to the Dunbar thresholds. The horizontal lines at 50 (lower) and 150 (upper) in Figure 5 are two of Dunbar’s “magic numbers” (Dunbar 1992). Dunbar considers the upper threshold to be the maximum number of individuals “with whom any one person can maintain stable relationships [which in turn] is a direct function of relative neocortical size” (Dunbar 1993:691) and was found to be the

mean size of bands and villages in modern hunter-gatherer groups (Dunbar 1993: Table 1). In an analysis of settlement size and structure among contemporary New Guinea cultivators, Forge (1972) also argued that 150 was a key threshold in community size beyond which basic relationships of kinship and affinity were insufficient to maintain social cohesion. Community sizes never exceeded 150 people even as the overall population on the *cuesta* continued to grow through time (Figures 4 and 5).

Figure 5 reveals two major organizational variants: one dominant from AD 600 to 1060 and the second from AD 1060 to 1260. A final organizational variant, from AD 1260 to 1280, is semi-distinct. Transitions among low population periods from AD 600 to 1060 generally are pictured horizontally in Figure 5, which suggests community area and population were mostly independent in this early period. Household organization shifted from being highly dispersed with a very low population (AD 600–800) to being highly aggregated with a growing population (AD 840–1060). The AD 800–840 period is transitional between these organizational patterns, reflecting the aggregation that occurred in the study area as a result of emerging Pueblo I villages (Kohler and Reed 2011).

Prior to AD 1060, communities did not grow proportionally in area as they increased in population. One possible reason for this trend is that farming before AD 1060 was more extensive than in later periods—farming that occurred not very near the residence and perhaps outside the community area—in addition to potential intensive farming near the residence. Kohler and Matthews (1988) suggested this farming strategy was also used during the Basketmaker III through Pueblo I periods in the nearby Dolores Archaeological Program study area. An extensive farming component may have been possible because of the generally productive maize growing niche during this early period on the Mesa Verde *cuesta* (there is variability but no extended periods of poor productivity) that would have prompted resource competition among neighboring communities. Alternatively, given the greater importance of wild foods and hunting for subsistence in this area during that time, we could infer that hunting and collection areas before AD 1060 were at least

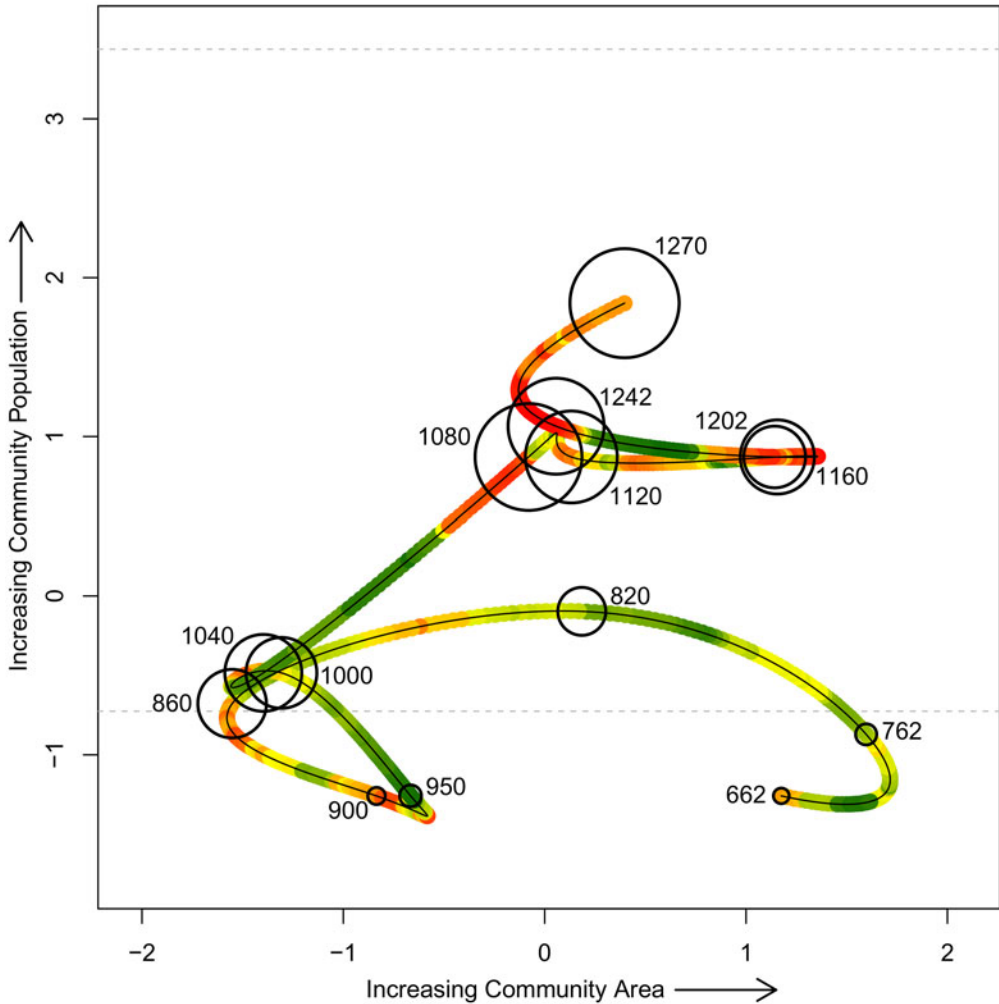


Figure 5. The relationship between community area and population through time, shown in z-score terms across all periods. Each point is plotted at VEP period midpoints (AD), and the size of each point is proportional to the total momemtized household population on the cuesta at that time. Community population is calculated as people per household times the number of households, using an estimate of 4.65 people per household (the mean of 3.3 [from simulation reported by Kohler 2012] and 6 [a number commonly used in the Southwest, derived in part from Lightfoot 1994]). A 20-year smoothed maize productivity niche is shown on a red (low productivity) to green (high productivity) spectrum.

partially outside community boundaries, allowing community populations to grow or shrink without having much effect on community area.

Communities from AD 1060 to 1260 had a considerably larger population and were constrained to the middle range of variability in community area (Figure 5). The transition from the earlier AD 600–1060 organizational schema to the AD 1060–1260 period is diagonal, and we infer that community population could no longer

expand without increasing the community area. It is also notable that the two main excursions into larger community areas were in the contexts of poor maize growing conditions. The dramatic shift between community organization from AD 600 to 1060 and AD 1060 to 1260 suggests a different sociopolitical environment among communities, and there likely were also different mechanisms for negotiating social and economic relationships within communities.

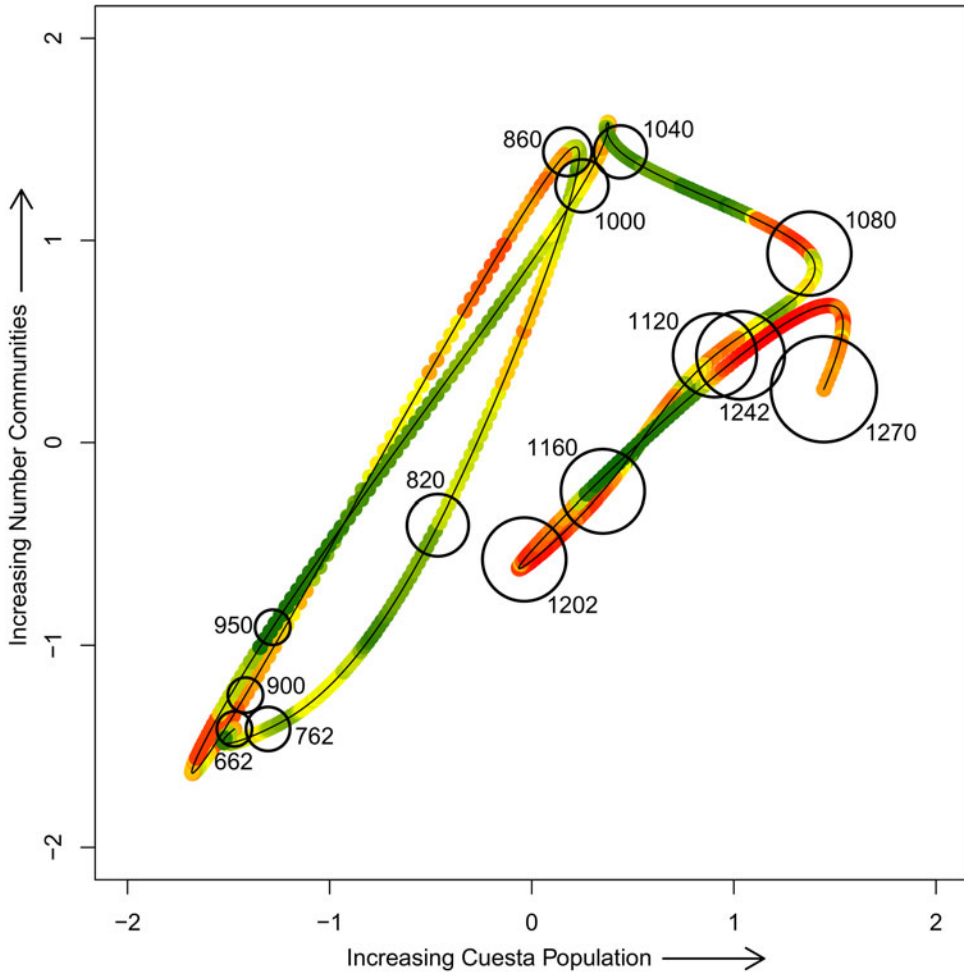


Figure 6. The relationship between number of communities and cuesta population through time shown in z-score terms across all periods. Each point is plotted at VEP period midpoints (AD), and the size of each point is proportional to average community population on the cuesta at that time. A 20-year smoothed maize productivity niche is shown on a red (low productivity) to green (high productivity) spectrum.

The AD 1260–1280 period is markedly different than any other during the occupation of the cuesta. Yet the organizational transition between the AD 1060–1260 period and the AD 1260–1280 period is reminiscent of the transition that occurred between the AD 600–1060 period and the AD 1060–1260 period. A significant population increase created another diagonal shift in [Figure 5](#) that had not been explored by previous local societies. As in the previous shift, this suggests that a larger population in each community could not be accommodated without increasing the community area. The increase in both average

community population and community area in the context of poor maize growing conditions suggests unprecedented, and likely stressful, social and economic conditions.

In [Figure 6](#), we plot the number of communities against the total momentized household population on the cuesta through time, and the bubble size represents average community population. As we did in [Figure 5](#), we interpret [Figure 6](#) as showing two main variants of community organization with the final period being semidistinct from the second group. Prior to AD 1060, the number of communities and total

cuesta population oscillated, and additional people on the cuesta were mainly accommodated by the formation of more communities. This pattern implies that the social and political organizations at the time could not accommodate larger community populations.

This circumstance changed soon thereafter, when cuesta population and community size made a correlated shift, but the simultaneous change in number of communities was negligible. Thereafter, community size remained large, and variability in cuesta population was once again accommodated by changing the number of communities. (The slope of the relationship between cuesta population and number of communities is similar to that in the earlier period, but the intercept is higher.) As in [Figure 5](#), we infer that this change marks a shift in the sociopolitical environment from the AD 600–1060 period to the AD 1060–1280 period. In particular, it seems likely that the earlier societies did not share some aspects of organizational structures required to make these larger communities work. As seen in [Figure 5](#), [Figure 6](#) shows societies in the final period moving into a previously unexplored portion of the phase space, facing a likely uncomfortable combination of large community size, large cuesta population, and low maize production.

We suggest the organizational shift that occurred between the AD 600–1060 and the AD 1060–1280 periods signals a switch from communities as the largest social grouping to communities as an intermediate social level embedded within polities that included several communities. Our results follow, though they are slightly delayed relative to results from the simulation of polity formation in the VEP I study area—directly adjacent to but northwest of the cuesta—reported by Crabtree and colleagues (2017). From AD 600 to 980, the territory sizes of polities modeled by Crabtree and colleagues were log-normal in distribution, which is expected when territory size was not disproportionately advantageous for further growth. Following that, from AD 980 to 1260, simulated territory sizes were distributed according to a power law. This pattern is expected when the largest entities in the previous time step were the most likely to grow even larger, as in polity-

formation processes when competition among social groups leads to the largest groups incorporating the smaller. These patterns are consistent with our findings, though we see this organizational shift occurring on the cuesta at AD 1060, not 980. This delay in sociopolitical reorganization is also evident in demographic and settlement changes as noted by Glowacki (2015, 2019). It is interesting that even after this happened, as we can see from the results of this paper, communities remained a strongly identifiable element on the landscape. The resiliency of communities as a social unit of less than 150 people suggests this level of social organization was fundamental in these societies.

Discussion and Conclusions: Dynamic Communities

We have presented a geospatial analytic method that minimizes researcher biases when tracking changes in spatial organization and reveals underlying structural changes in communities through time that had not been previously identified. The results show the enduring importance of maintaining regular interaction among contemporaneous households and of the community in social organization even as the demographic, economic, and political contexts in which they are embedded were transformed.

Our method also reveals the average and maximum travel costs households likely invested through time to maintain relationships with neighboring households. The mean cost distance between households ranged from 0.58 to 1.13 cost km during the AD 600–1280 period ([Table 2](#)). In practice, these cost distances suggest that household members within the same community were traveling an average of 7–13 minutes to interact. The average maximum cost distance between households within the same community ranged from 1.45 to 2.58 cost km ([Table 2](#)), or 17–31 minutes of travel time, suggesting local and extended social networks could be maintained through regular interaction among neighboring households across the cuesta with relatively little time investment. However, we recognize household interaction and relationships were not limited to the clusters created in this analysis. The communities reconstructed

here would have been nested in extended social networks (Milardo 1992) that reached beyond the local community, and at times, as argued above, these communities would likely have been nested in larger polities.

Maintaining proximity between households to sustain social communities coincided with the importance of access to subsistence resources for each household within a community. In our case, community spatial organization responded to an increasing population on the *cuesta* in the AD 1200s by including more households in smaller communities that had less area per household (Figure 4), even as production conditions remained very poor. Households were “packing” into communities (Schwindt et al. 2016), making it “very difficult, if not impossible, to implement an agricultural strategy” (Cordell et al. 2007:386). The nearly vertical line connecting the 1240s with 1270 in Figure 5 shows that these communities were forced to accommodate more people without being able to appreciably expand their areas.

The decrease in both number of communities and *cuesta* population between 1160 and the early 1200s (Figure 6) may reflect the stress accompanying poor maize production and the (likely linked) breakdown of the Chaco regional system (Glowacki 2015; Kohler et al. 2014; Lipe 2004). Household population, however, increased on the *cuesta* throughout the 1200s (Figure 6). Elsewhere, Spielmann and colleagues (2016) have noted increasing variation in village configuration and settlement sizes during this period. They consider this increased variation in settlement size—quantified here by an increase in standard deviation of average households per community (Table 2)—to be an early warning signal of social transformation (Spielmann et al. 2016), to which persistently poor growing conditions (Bocinsky and Kohler 2014) and increasing violence in the late 1200s (Kohler et al. 2014) contributed. Moreover, even as households were beginning to leave the CMV in the mid-1200s, people continued to arrive on the *cuesta* (Cameron 2010; Glowacki 2015; Schwindt et al. 2016). The Mesa Verde *cuesta* was the last best refuge—but still not good enough.

The results of this analysis show the dynamic but enduring nature of household relationships

within communities. Residential spatial patterning that simultaneously facilitates face-to-face interaction between households but affords sufficient space for subsistence agriculture is integral to the creation and preservation of local communities in middle-range societies. Deleterious changes to this balance destabilize the underlying structures that simultaneously satisfy the social and agricultural needs of all households within a community.

The method presented in this article offers an approach to measuring changes in household relationships and community organization that does not require an assumption of community population, area, or total number of communities across a landscape. This method also provides a way to account for the dynamism of social relationships, increasing our ability to understand the experience of social actors in the archaeological record. By applying this geospatial protocol to other archaeological residential assemblages, we can explore the continuum of relationships between households within communities—and better understand the factors acting on and constraining these relationships—in diverse social, political, ritual, and environmental contexts.

Note

1. Benson (2011) examined the soil nutrients available in Morefield Canyon on the Mesa Verde *cuesta*—which we assume to be similar across the *cuesta*—and determined that the soil quality in this area could have supported repeated maize plantings of 2,470 bushels per km² (bu/km²) for consecutive years. A bushel is a standardized measurement equal to 25.4 kg. Contemporary varieties of Hopi blue corn have a caloric yield of approximately 3,500 cal/kg (Sherman 2014). This means that one bushel of Hopi blue corn yields approximately 88,900 calories. The average person required the consumption of 746,589.3 calories per year (Sherman 2014), and 70% of these calories would have come from maize (Bocinsky and Varien 2017), or 522,612.3 calories from maize per person per year. This is equal to the number of calories in 5.88 bu, or 100% yield from 0.0024 km². The Pueblo Farming Project (Ermigiotti et al. 2018) recorded maize yields from experimental farming fields over 10 years. The yields of Hopi blue corn over the experimental period averaged a yield of 295 bu per km², or 26,225,500 calories per year. If the average person required 522,612.3 calories per year, 0.020 km² would need to be planted to have a realistic yield that would support one person for one year. If we assume a maximum number of seven people per household (Lightfoot 1994), then each household would require 0.14 km² of farmland to reasonably expect enough maize yield to support their caloric needs for one year.

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Data Availability Statement. Spatial data used in this project were reported in Schwindt and colleagues (2016). Reproducible R code is available on GitHub at [kmreese-io/Reese_et_al_2019](https://github.com/kmreese-io/Reese_et_al_2019).

Supplemental Materials. For supplementary material accompanying this paper, visit <https://doi.org/10.1017/aaq.2019.74>.

Supplemental Figures 1–50. Results of the cost-distance and cluster analyses for all time period iterations. The averaged metrics of these cluster communities are presented in Figures 4–6.

Supplemental Table 1. Mean (Avg.), Median (Med.), and Standard Deviation (SD) for All Metrics.

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