

1 **Farming Decisions in a Complex and Uncertain World: Nitrogen Management in**  
2 **Midwestern Corn Agriculture**

3  
4 **ABSTRACT**

5 Excess agricultural nitrogen in the environment is a persistent problem in the US and other regions of  
6 the world, contributing to water and air pollution as well as climate change. Efforts to reduce nitrogen  
7 from agricultural sources largely rely on voluntary efforts by farmers to reduce inputs and improve  
8 uptake by crops, yet research has failed to comprehensively depict farmers' nitrogen decision making  
9 processes, particularly as it engages with uncertainty. Through analysis of in-depth interviews with US  
10 corn growers, this study reveals how farmers experience and process numerous uncertainties associated  
11 with nitrogen management, such as weather variability, crop and input price volatility, lack of  
12 knowledge about biophysical systems, and the possibility of under- or over-applying. Farmers used one  
13 of two general decision making management strategies to address these uncertainties: heuristic-based  
14 or data-intensive decision making. Heuristic-based decision making involves minimizing sources of  
15 uncertainty and reliance on heuristics and personal previous experiences, while data-intensive decision  
16 making is the increased use of field- and farm-scale data collection and management, as well as  
17 increased management effort within a given growing season.

18 **Keywords:** heuristic; nutrient management; agro-ecosystems; risk; weather

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20

21 **INTRODUCTION**

22 Synthetic nitrogen (N) fertilizer is a crucial chemical input of modern industrial agriculture. Global inputs  
23 of N have grown dramatically in the past century, to more than three times pre-industrial inputs  
24 (Galloway et al. 2008; Vitousek et al. 2012). Due in part to the rapid increases in input levels, excess N in  
25 the environment now ranks among the most severe environmental consequences of industrial  
26 agriculture (Conley et al. 2009; Davidson et al. 2012; Davidson et al. 2015). Excess environmental N  
27 contributes to impairment of water quality in freshwater and coastal zones (Conley et al. 2009), and  
28 climate change through the production of N<sub>2</sub>O gas (IPCC 2007; Robertson 2012).

29         Researchers, conservation practitioners, and policy makers have long sought to ameliorate  
30 these intractable environmental challenges. Policy based efforts include, for instance, USDA’s “avoid,  
31 control, and trap” strategy (Osmond et al. 2015) and recent state-based efforts, such as Iowa’s Nutrient  
32 Reduction Strategy, which set nutrient reduction targets and provide voluntary tools to farmers to meet  
33 those targets (Lawrence 2014). The private sector has also taken a larger role, with agricultural firms  
34 offering a growing range of fertilizer products, additives, and management tools. The most notable of  
35 these efforts is the 4Rs of Nutrient Stewardship strategy—right place, right time, right amount, right  
36 rate—developed by the fertilizer industry, which promotes nutrient management strategies to improve  
37 overall N use efficiency (NUE; IFA 2009). Researchers have encouraged methods to increase NUE—the  
38 proportion of total N applied taken up by the crop produced—and offered perspectives on their  
39 potential implementation in cropping and livestock systems (Galloway et al. 2004; Robertson and  
40 Vitousek 2009).

41         Although efforts to improve system-wide NUE have met with varying levels of success (Davidson  
42 et al. 2012), given inherent complexity of modern farming systems and the role of fertilizers within those  
43 systems, improved management of N could significantly reduce the negative environmental externalities  
44 of N fertilizer application (Davidson et al. 2012). Despite these benefits, Ribaud et al. (2011) report

45 that nearly two thirds of U.S. cropland does not meet the three criteria for optimal N management: (1)  
46 the use of appropriate fertilizer rate, (2) timing of application close to greatest crop need, (3) and  
47 incorporation of fertilizer into soil.

48 In response to the persistent state of low NUE, a growing number of studies have attempted to  
49 characterize and understand farmer adoption of nutrient management practices, particularly focused on  
50 how farmer or farm level characteristics influence adoption (Osmond et al. 2015; Weber and McCann  
51 2015). This literature may benefit from a broader understanding of how farmers make N management  
52 decisions, especially farmers' cognitive process of sorting and synthesizing information, as few studies  
53 have attempted to depict farmer nutrient decision-making in this way. Such a depiction is especially  
54 fruitful to offer a more nuanced understanding of Midwestern farmers' N management decision-making  
55 processes.

56 The research we present here provides such a description. We explore N management decisions  
57 using 148 interviews with corn growers in the U.S. Midwest. Corn production is the primary target of N  
58 fertilizer use in the U.S., particularly in the Midwest (Robertson and Vitousek 2009; Millar and Robertson  
59 2015). We focus on the social and ecological factors producing uncertainties associated with  
60 determining appropriate N input levels in their operations and how farmers navigate these  
61 uncertainties. After reviewing past literature, we outline forms of uncertainty likely to be influential  
62 during farmers' decision making processes. Next, we introduce our data sources and analysis methods,  
63 followed by the major forms of uncertainty that emerged from interviews with farmers. We then  
64 provide a discussion of two major strategies farmers use for addressing uncertainty inherent to N  
65 management: heuristic (or hands-on) and data-intensive. Last, we offer some concluding thoughts about  
66 how our findings impact outcomes of on-farm N management and potential outreach and education  
67 strategies.

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70 ***Past Literature on N Management Decision-making***

71 Farmers have many decisions to make regarding N use. These decisions include determining when in  
72 the season N should be applied, where it should be applied relative to the corn plant, the type of N  
73 fertilizer to apply, and the rate at which the N is applied (Robertson et al. 2012). There are numerous  
74 options for all of these decision points. For instance, farmers can choose to apply N once a season or  
75 multiple times including fall, spring and mid-summer. Many farmers split their N application between  
76 planting and post-emergence, targeting part of their N application to match the period of rapid crop  
77 growth (Robertson and Vitousek 2009). USDA data indicate that in 2013, nearly 32% of crop acres  
78 received some nitrogen application post-planting (USDA 2018). Numerous chemical additives can be  
79 added to N fertilizers to slow biophysical processes that result in loss or conversion of N in soil, including  
80 nitrification and urease inhibitors (Ribaudo et al. 2011). These additives extend the timeframe in which  
81 N is available for uptake by plants, reducing loss to the environment. Application rates can vary across  
82 each time period in the crop growth cycle, or with the use of variable rate technology (VRT) farmers can  
83 choose to use multiple rates within or across their fields. Improved data collection and management  
84 technologies can also assist farmers in understanding the nutrient dynamics within their fields. Yield  
85 monitors, soil testing, plant and tissue testing, in-field sensors, and remote sensing technologies ; all  
86 increase the amount of data available to farmers (Gebbers and Adamchuck 2010; Mulla 2013; Shaver et  
87 al. 2011; Zhang and Kovacs 2012). However, it remains unclear to what extent these technologies have  
88 been incorporated into crop production systems and how the information gathered feeds back into  
89 decision making (Tey and Brindal 2012).

90 A seasonal N management strategy emerges through farmers' selection and adoption of a given set  
91 of practices from these options. Much of the literature examining farmer decision-making focuses on  
92 how demographic factors like farmer's age, education level, tenure and income and farm characteristics

93 like farm size influence the adoption of given practices from these options (Baumgart-getz et al. 2012;  
94 Caswell 2001; Weber and McCann 2015). The directional influence of these variables is mixed; for  
95 example, age and educational attainment are often found to be correlated with practice adoption  
96 (negatively and positively, respectively), though in some studies there are inverse relationships, or no  
97 relationship (Baumgart-getz et al. 2012). Other studies find social-psychological factors, such as farmers'  
98 environmental and risk attitudes, to matter, with positive environmental attitudes often associated with  
99 greater adoption of nutrient conservation practices (Reimer et al., 2012), though farmers' attitude-  
100 informed behavior might be limited by external processes is noted in other work (e.g. Stuart and Gillon  
101 2013). Some scholarship has emphasized economic dimensions of farmers' decisions (Babcock 1992;  
102 Sheriff, 2005; Osmond et al. 2015), including input and crop prices (Davidson et al. 2015; Williamson  
103 2011) and the competitive economic nature of contemporary agriculture (Stuart et al. 2014). This work  
104 has generally emphasized that the low price of N relative to corn encourages farmers to apply in excess  
105 to 'insure' profitable crop yield (e.g. Sherriff 2005), with some research indicating that high N prices  
106 reduce how much farmers apply (Williamson 2011). Finally, a growing literature examines the influence  
107 of farmers' information sources, such as university extension or fertilizer dealers, related to N  
108 management (Stuart et al. 2014; Osmond et al. 2015; Weber and McCann 2015). These studies have  
109 largely demonstrated that farmers seek information on N management from private sector sources,  
110 including crop advisors and retailers, potentially leading to higher N application rates (Stuart et al. 2014).

111 Along with these social factors, biophysical processes also likely influence farmers' N decision-  
112 making (Stuart et al. 2015). For instance, N cycling within soil and cropping systems is influenced by  
113 biophysical factors including precipitation, temperature, and microbial action (Millar and Robertson  
114 2015). To ensure profitable crop yields, it is likely that farmers' N management strategies occur both in  
115 reaction to or in anticipation of these biophysical processes, although relatively little research to date  
116 has focused on how biophysical factors influence farmers' decisions. One recent study highlights the

117 complex interactions of corn farmers' values, attitudes, and dynamic biophysical environment with  
118 regard to views of soil management (Roesch-McNally et al. 2018). Similarly, other studies have  
119 demonstrated that uncertainty over the direction and magnitude of climate change and climate  
120 variability is influenced by lack of information and undermines adaptive action (Morton et al. 2017).  
121 Uncertainty and risk associated with complex socio-ecological feedbacks then has significant  
122 implications for medium- and long-term farm management (including soil and nutrient management  
123 domains) and how farmers respond to climate change-related risks.

124 The above evidence indicates numerous psychological, social, economic and biophysical factors  
125 influence farmers' seasonal N management decisions. However, to date the literature has largely  
126 focused on the influence of these factors on what decisions farmers make. How farmers make  
127 management decisions has yet to be depicted. This literature does indicate that this decision-making  
128 process takes place in the context of complex socio-ecological systems with significant uncertainty and  
129 risk. Our primary concern in this study is to offer exploratory insight into farmers' decision making  
130 related to addressing these social and biophysical processes, especially given significant uncertainty  
131 associated with the biophysical dimension of farm management. Consequently, we specifically analyze  
132 two questions: (1) how do social, economic and biophysical factors contribute to uncertainty in farmers'  
133 N management decision-making; and (2) in what ways do farmers engage with these uncertainties to  
134 determine a seasonal N management strategy? Through exploring answers to these questions, we draw  
135 from work on uncertainty to offer a depiction of farmers' N management decision-making pathways.  
136 Our goal is to identify and describe farmer perceptions of uncertainty and decision-making strategies to  
137 address these uncertainties. This analysis uses qualitative methods to explore farmers' decision making  
138 strategies in a deep and rich way. Qualitative methods have been used in other studies within the  
139 human dimensions of agriculture to explore conservation practice adoption (Reimer et al. 2012a)  
140 conservation attitudes (Reimer et al. 2012b), cover crop adoption (Arbuckle and Roesch-McNally 2015),

141 and views of soil stewardship (Roesch-McNally et al. 2018). The use of an extensive set of interviews  
142 allows for a deep exploration of the perspectives and behaviors of individual farmers regarding nitrogen  
143 management, allowing the researcher to go beyond describing what farmers are doing to elaborate on  
144 the why's and how's of decision making.

#### 145 ***Decision-making under Uncertainty***

146 Many decisions humans make may not result in an intended outcome due to uncertainties. Prior  
147 social science work distinguishes two broad categories: *aleatory* and *epistemic uncertainty* (Weber and  
148 Johnson 2009). *Aleatory uncertainty* is the result of inherent uncertainties associated with stochastic  
149 dynamics of complex systems, while *epistemic uncertainty* stems from lack of knowledge about the  
150 processes or functions associated with the systems involved (Weber and Johnson 2009). Some aspects  
151 of systems, especially the types of biophysical systems associated with agriculture, are outside of human  
152 control and subject to significant stochasticity. One example is weather, where temperature and  
153 precipitation patterns exhibit annual variability and have significant impacts on crop production. As a  
154 result, in determining their N management strategies, farmers likely face many *aleatory* (unpredictable)  
155 uncertainties, such as weather and other unknowable or unpredictable biophysical processes, which  
156 prevent one uniform decision from being appropriate in all contexts but do reflect the unique  
157 confluence of events and information for that farmer at that specific time.

158 There are also uncertainties stemming from a lack of understanding of the biological, chemical,  
159 and physical dynamics involved in crop production. For instance, farmers may be unsure about the exact  
160 amount of N produced by a bushel of soybeans, or how much N is needed to produce a bushel of corn.  
161 These sources of *epistemic uncertainty* are not encountered by farmers alone; there are significant  
162 aspects of nutrient cycling, microbial community structure and function, and plant-soil-water  
163 interactions that remain subjects of intense empirical scrutiny by scientists (Galloway 2008). These  
164 knowable but unknown or misunderstood processes and factors are also likely sources of uncertainty in

165 farmers' N application decisions. The uncertainties associated with decision-making result in unknown  
166 probabilities of desired outcomes resulting from a given decision.

167         Previous research outlines two distinct decision-making pathways based on predetermined  
168 factors and experience, one of which is analytical and rule-based and one that is experiential and  
169 associative (Weber and Johnson 2009; Kahneman 2003). This dual-processing is particularly relevant in  
170 decision-making under uncertainty. Experiential processing and learning is closely tied with strong  
171 sentiment, and often plays a larger role in decision-making, especially in uncertain situations  
172 (Loewenstein et al. 2001; Slovic et al. 2007). The role of individual risk preferences yields useful insights  
173 for this study. First, decision-makers routinely evaluate choices and outcomes relative to each other. In  
174 particular, people frequently compare the outcome of their chosen option with the outcome they could  
175 have achieved with another possible option (Landman 1993; Kahneman 2003). Given this relative  
176 comparison of choices and the powerful motivation of loss aversion (Camerer 2005), individuals will  
177 often minimize the potential for regret when making decisions by biasing toward choices with better  
178 potential outcomes. Individuals also show a preference for reduced uncertainty where individuals will  
179 selectively process information (i.e. 'edit' it) by eliminating or ignoring aspects of the choices to reduce  
180 complexity in decision-making. Importantly, however, precisely how people use these cognitive  
181 processes to simplify decisions is not well understood (Weber and Johnson 2009).

182         The complexity of the biophysical systems at work in converting N into crop yield, along with  
183 social and economic factors, complicates choices about fertilizer, including application rate, timing, and  
184 placement. This discussion suggests that these factors produce multiple types of uncertainty in farmers'  
185 N management decision processes, and that farmers will inevitably encounter ambiguity in decision-  
186 making. We anticipate that farmers use multiple strategies for addressing uncertainty, including use of  
187 shortcuts or heuristics to streamline decision-making by incorporating multiple experiences and decision  
188 factors into single guidelines, information seeking behavior that seek to reduce *epistemic* uncertainties,

189 and more intense incorporation of data in management efforts to exert more control over *aleatory* (i.e.,  
190 unpredictable environmental) uncertainties. How farmers respond to uncertainty is also likely to result  
191 in different decisions with regard to on-farm fertilizer practices, as decision-making may become more  
192 analytical or experiential. In the N management context, analytical decision-making is likely to result in  
193 information seeking and management intensification, while experiential decision-making relies more  
194 heavily on past experience and selective processing of certain forms of uncertainty (such as weather or  
195 prices outside of the farmer's control). Moreover, it is likely that farmers do not exclusively follow an  
196 experiential or analytical decision-making pathway for all decisions, but rather choose from a range of  
197 strategies based on social or biophysical context.

198

## 199 **METHODS**

200 We investigate uncertainty in farmer decision-making through analysis of qualitative data.  
201 Between May and December 2014, we conducted interviews that focused on N application decision-  
202 making with farmers in three states located in the U.S. Midwest: Indiana, Iowa, and Michigan. These  
203 states contain extensive lands devoted to row-crop agriculture, though this amount differs from state to  
204 state, with over 65% of Iowa planted in either corn or soybeans, while only 12% of Michigan is devoted  
205 to these crops. Cumulatively, these three states reflect over a quarter of U.S. corn production in 2015  
206 (USDA-NASS 2016). Our data presented here comes from interviews with 148 farmers, with 51 Iowa  
207 farmers, 51 from Indiana, and 46 in Michigan<sup>1</sup>. Interviews were conducted in-person with the farm's  
208 primary decision-maker by a member of our research team.

209 To gather participants for these interviews, we sought initial contact information for farmers  
210 through university extension offices in each state, as well through other farmer organizations. Of the

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<sup>1</sup> Six farmers either were not asked this question (for various reasons) or their answer could not be transcribed. In consequence, our total responses equal 148.

211 154 interviewed farmers, 48% were recruited through university extension and another 16% of our  
212 contacts came from other state resource professionals including voluntary conservation associations.  
213 The remainder of contacts comprising the sample (35%) were recruited via snow-ball sampling  
214 technique (Coleman 1958), where the initial respondents recruit secondary participants by  
215 recommending acquaintances as contacts. Snowball sampling is appropriate for contacting interview  
216 subjects, such as farmers, who may be difficult to access (Faugier and Sargeant 1997). Farmers in our  
217 sample typically grew at least 100 acres of corn per year (range from 170 to 14,000 acres). Average farm  
218 sizes of farmers we interviewed in each state are 1,236 acres in Iowa, 1,529 acres in Indiana, and 2,216  
219 acres in Michigan. These farm sizes are substantially larger than the mean farm size according to US  
220 Department of Agriculture statistics (Table 1). These wide differences are possibly due to our sampling  
221 efforts targeting full-time, commercial corn growers, which operate larger size farms. Iowa farms were  
222 located primarily in the eastern and central parts of the state. Indiana farms were primarily located in  
223 the northwest, northeast, and central regions, while Michigan farms were concentrated in south-central  
224 Michigan, with a few farms in the southwest and southeast parts of the state. While we did not  
225 specifically ask participants their age or when they began farming, the majority indicated through the  
226 interviews that they had been farming for multiple decades.

227         Qualitative methods are ideal at providing insights into little studied topics (Kreuger and Casey  
228 2009) and these methodological benefits have been noted in past work in the agricultural context  
229 (Prokopy et al. 2017). Accordingly, we used interviews to capture in detail the range of decision-making  
230 processes of full-time commercial corn producers, and our results should therefore be viewed as  
231 providing exploratory insight into this yet to be well-documented process. The sample size in this  
232 research represents a small fraction of the overall farm population in the study states (less than 0.5%,  
233 Table 1), but is in line with previous qualitative research studies (e.g. Arbuckle and Roesch-McNally,  
234 2015; Reimer et al., 2012a). Our techniques to gather contacts for these interviews may lead to a sample

235 that over-represents farmers who use university extension or other agricultural organizations in their  
236 nutrient decision-making. Previous research has demonstrated that farmers rely more heavily on  
237 university extension for information about conservation practices (Mase et al., 2015), so farmers in our  
238 study could be more conservation-oriented in their management decisions. Our analyses of interviews  
239 show that farmers in our sample expressed diverse opinions on N management, particularly related to  
240 where they sought information to inform their decisions on this matter.

241 We used a semi-structured interview guide for our interviews, which included prompts and  
242 opportunities for open-ended responses on defined topics. Participants were asked to provide basic  
243 information about their farm operations and use of N fertilizers. In addition, farmers were asked about  
244 their use of N efficiency practices, sources of information about N fertilizers, influence of policy and  
245 market drivers, the influence of private companies, views of climate change, and perceptions of  
246 environmental problems related to use of N fertilizers. Informed consent was obtained from all  
247 individual research participants included in this study, and all interviews were recorded with permission  
248 of the respondent. Upon completion, interviews were transcribed verbatim and analyzed via NVivo  
249 software (QSR 2018).

250 Qualitative data analysis methods have been developed extensively in many social science fields  
251 (Corbin and Strauss 2008). Qualitative research approaches value rich forms of data to develop theory  
252 that are “grounded” in the data (Charmaz 2006). As with quantitative research, methods exist for  
253 ensuring reliability and validity (Whittemore et al. 2001). Whittemore et al. (2001) highlight several  
254 aspects of validity in qualitative research that are important: credibility, authenticity, criticality, and  
255 integrity. Findings should come from an accurate interpretation of the data (credibility), which in turn  
256 accurately reflect the experience and views of participants (authenticity). Research should involve a  
257 systematic approach that critically evaluates data (criticality) and ensure the integrity of the researcher

258 as an impartial observer. For clarity, we have quantified responses in each theme to support our  
259 qualitative findings (table 2).

260 In this study, we used Braun and Clarke's (2006) thematic analysis approach, providing criticality  
261 and integrity to the research approach. Initial coding of interviews was done by question category.<sup>2</sup>  
262 Coded question-categories most relevant to the N decision-making processes were discussed and  
263 determined among members of the research team in a subsequent round of analysis (e.g. questions  
264 pertaining to use of various efficiency practices, sources of information about N management, and the  
265 difficulty of making management decisions with regard to N). Within these determined question-  
266 categories, we identified emergent themes representing phenomena that spanned the selected  
267 interview questions. These themes encompassed (1) the factors that imposed uncertainty and risk in N  
268 management and (2) how farmers discussed strategies for addressing these factors. Thematic groupings  
269 for these factors and strategies were determined between coders. In the Results and Discussion, we  
270 provide supporting quotes for each theme elaborated, providing authenticity from our data.

## 271 **RESULTS AND DISCUSSION**

### 272 ***Uncertainty in N Management***

273 Farmers' N management decisions are made in response to many social and ecological factors.  
274 The sources of uncertainty were the most clear cross-cutting themes represented in responses to  
275 questions throughout the interviews, from farmer perceptions and use of decision support tools,  
276 external sources of information, and spatial and annual variation in management practices. How farmers  
277 perceive these uncertainties influenced decision-making strategies and on-farm practices. In this section

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<sup>2</sup> To establish a strategy for coding interviewees' responses that varied considerably from the question category, or were perceived as more applicable to another question category, a small sample of interviews were coded by three team members independently. Upon completion of coding, the interviews were checked for consistency. When inconsistency in coding emerged, they were discussed until a question category could be mutually agreed upon. This strategy established the boundaries of particular question categories that were used throughout the remainder of the coding process.

278 we outline factors farmers commonly deemed important in determining an N management strategy and  
279 describe how these factors contributed difficulty and uncertainty to the decision process. The sources of  
280 uncertainty we describe below are not mutually exclusive and farmers often mentioned multiple. Table  
281 2 includes counts of the number of farmers who made statements reflecting a given uncertainty theme,  
282 as well as a categorization by decision-making process (more on this below). This quantification does not  
283 reflect an exhaustive representation of farmer views of these themes. Rather, the count data in table 2  
284 are presented to support the qualitative findings and reflect the general emphasis interviewed farmers  
285 place on each theme in decision making.

286 [Table 2]

287 **Weather:** The most commonly mentioned factor in farmers' N decision-making was seasonal  
288 weather (79/148, or 53% of respondents; table 2). Farmers frequently discussed the inherent variance of  
289 weather, often in response to interview questions concerning the difficulty of determining application  
290 rates. As one Iowa farmer said: "*You don't know what the weather is going to be like during the growing*  
291 *season.*" Weather's variability created uncertainty in farmers' seasonal N management decisions in  
292 three aspects: 1) application rate, 2) application method, and 3) timing of application.

293 Agro-ecological research has demonstrated that the variability and stochasticity in weather  
294 patterns increases the challenge for farmers of matching N application to crop needs throughout the  
295 growing season (Millar and Robertson 2015). Suboptimal conditions could reduce yield potential, as  
296 heavy rainfall post-application of N can increase denitrification and N soil leaching, consequently  
297 reducing N available for crop needs (Robertson and Groffman 2007; Robertson et al. 2012). Weather  
298 conditions can also create optimal growing conditions for the crop, leading to high yield-potential  
299 conditions that increase crop need for N. However, since a farmer cannot predict the exact seasonal  
300 weather they will experience, this unknowable variability contributed to uncertainty in farmers' N  
301 application rate decisions, since an ideal rate could not be predetermined. For instance, an Iowa farmer

302 discussed ideal N application as a “moving target” because, in his words, “[N application] is dependent  
303 on the kind of weather you’ve had.”

304 In addition to application rate, farmers’ determination of the appropriate method and timing of  
305 their N applications was related to another weather-based complexity. One farmer commented on how  
306 the effectiveness of pre-plant application method depends on rain: “Our biggest concern is when you  
307 put the pre-plant [N] on it can lay on top of the ground, before it rains, and we can lose some of it from  
308 volatilization.” Farmers in our sample did not perceive a single solution as correct, or even the “best  
309 choice” for addressing this issue. For instance, in response to weather variability one farmer had  
310 abandoned side-dress N application (in which fertilizer is applied during the growing season alongside  
311 the plant post emergence): “When you want to be doing it [applying N], you’re doing other things; or the  
312 weather turns against you and you get a few days of rain and then it gets too big on you and then you’re  
313 making a mess. So I’ve pretty much abandoned side-dressing on corn.” Other farmers, like one from  
314 Michigan, considered side-dress as a solution to the issue: “We try [to apply N] half pre-plant and half  
315 side-dress just to help get the acres covered, but this year it was mostly side dress because it was too wet  
316 earlier on.” Many farmers expressed the complex effect of weather on the timing and method (e.g.,  
317 broadcast vs. incorporation or injection) of N application.

318 It should be noted that these complexities related to weather often come together  
319 simultaneously in farmers’ N management decisions. That is, they must choose N application rates  
320 alongside timing and method of application, all of which weather’s variable influence makes more  
321 complex and uncertain. As one Indiana farmer described:

322 “The most difficult decision to make on nitrogen is what the crop is going to . . . end up being. If  
323 you’ve got a 120-bushel corn crop and you put it [N fertilizer] on in May and said well, we were  
324 hoping for a 200 [bushel yield], well you lost money really on that, you spent more money than  
325 you should have, and that’s what I like about our crop decision [with side-dressing], we can still

326            *go and put an application of [N] on if we think we need it. So I think the main thing we have to*  
327            *look at is the timing and what you feel like Mother Nature is going to give us for the year. That's*  
328            *the biggest decision we have to make."*

329            This quote illustrates how seasonal weather's variability, especially related to precipitation,  
330            creates uncertainty in two ways. First, it affects when and how N is available to plants and farmers'  
331            capacity to operate machinery in fields, which affects timing and method of application. Second, it  
332            impacts crop growth, which impacts how much total N is needed to maximize yield given growing  
333            conditions in a given year. As seasonal weather is inherently variable, farmers can make no single "right"  
334            decision in response to or anticipation of it. The biophysical contexts that define "right" shift with the  
335            variations in weather. Consequently, weather represents a source of *aleatory* or random uncertainty in  
336            farmers' N management decisions.

337            **Time Lags:** In addition to weather's *aleatory* (or random) uncertainty, several farmers (n=7, 5%  
338            of sample) expressed the impact of time lags on decision-making. Crop production occurs over the  
339            course of several months and is influenced by weather, N application rate and timing, and agronomic  
340            decisions (e.g. seed selection, tillage, and soil management practices). Most decisions influencing that  
341            season's yield are made at the beginning of the production year, or even the preceding year. In this  
342            sense, crop yield is "locked in" well ahead of harvest, meaning farmers have made all management  
343            decisions with regard to nitrogen well ahead of harvest. As one Iowa farmer put it: "*Nitrogen is probably*  
344            *one of the most complicated things we do. Nobody knows in April what we should do and in October it*  
345            *would be fairly easy to know what you should have done."* Another similarly said: "*[N management is] a*  
346            *shot in the dark. You never know until 9 months later what you should have done"*. In other words, the  
347            effectiveness of given N management decision relies on pre- and in-season variables. Many of these  
348            factors, like weather, are outside of a farmer's control and temporally distant from when a management  
349            decision must be made. Consequently, the time-lag between decision points and important variables

350 influencing the effectiveness of said decision adds another layer of unpredictability and thus further  
351 contributes to *aleatory* uncertainty in decision-making. Mentions of time-lags by interviewed farmers  
352 were limited. This is in part because of the use of in-season N application equipment among farmers in  
353 our sample (e.g. side-dress) that enables them to adjust N management in response to weather shifts  
354 (see more below). However, our results suggest that time-lags between decisions and outcomes  
355 contribute increased difficulty in making initial management decisions for some, especially those that  
356 apply N only prior to the season.

357         **Crop and Input Prices:** As economic operations, farms are subject to market forces, including  
358 the cost of inputs such as N fertilizers and the price they can receive for commodities produced. Prices  
359 for both fluctuate within a season and between seasons, which introduced additional ambiguity to  
360 farmer management decisions. Prices had an influence on farmers' decisions about how much fertilizer  
361 to apply in a given growing season (n=35, 23.6% of sample). Both high and low crop prices also  
362 influenced decisions. For instance, when crop prices are high, there can be increased incentive to push  
363 yields by adding more fertilizer. Several farmers expressed this motivation. When talking about what  
364 helps him make decisions about how much to apply, an Iowa farmer said: *"Well, economics. If corn is \$8*  
365 *(per bushel), you put a lot of N on. If corn is \$4, you may not make the most money by putting the [same*  
366 *amount on]."* An Indiana farmer more directly expressed the relationship between crop price and N rate:  
367 *"When you're talking five-dollar corn, you can't dicker around and short yourself on nitrogen."* Others  
368 noted how fluctuations in crop and input prices (e.g. N) across seasons leads to uncertainty: *"The market*  
369 *is so volatile between fertilizer prices and crop inputs versus commodity prices; it's so volatile between up*  
370 *and down and backwards and forwards it's harder to predict, it's harder to make [N management]*  
371 *plans."*

372         When the price ratio between input and crop prices are low, margins on crop production are  
373 squeezed. Some farmers reduced N application rate in response. As one Iowa farmer said: *"Sometimes*

374 *it's dollars and cents . . . if your margins are minimal, the one thing you can probably cut is your fertility.*  
375 *Long term you'll have a problem, but for a year or two, you're gonna chop something."* Others saw  
376 maintaining their N rate as necessary and instead opted for changing application method. An Indiana  
377 farmer put it this way: *"If the corn price is where it's at [i.e. low] and the inputs are staying up there,*  
378 *yeah, you've got to cut back somewhere,"* emphasizing a moment later that application method, rather  
379 than rate, must be what is changed, *"I mean, as the yields keep increasing you really can't back down*  
380 *your nitrogen. You just gotta find a more economical way to go about getting it on."*

381 While fluctuations in crop and input prices impacted many farmers' N management decisions, a  
382 few indicated their management was not highly price-dependent. One Indiana farmer said: *"Corn takes*  
383 *so much nitrogen to raise a bushel and that's what I apply."* Though prices were a concern for many,  
384 some farmers were far more price sensitive than others when it comes to affecting management  
385 decisions. For those who did attempt to respond to them, crop price changes seemed to influence  
386 decision-making between seasons or over multiple years, rather than to inspire management changes  
387 within a season. Farmers were more likely to make changes from year to year based on anticipated price  
388 changes rather than modifying decisions within a growing season. While farmers make pre-season  
389 decisions in reaction to expected future crop prices, expectations do not always match actualities.  
390 Therefore, changing crop prices introduce some *aleatory* uncertainty to the decision-making process;  
391 there is no correct anticipatory N management response to unknowable end-of-season corn prices.  
392 Consequently, fluctuating crop prices tended to introduce challenges to the decision process of  
393 determining the most appropriate seasonal N management, as farmers risked failing to maximize their  
394 potential profits.

395 **Balancing Economic and Environmental Risks:** Failure to achieve consistent profitability could  
396 lead to losing one's farm, or a significant portion of it, thus having major implications for their  
397 livelihoods greater than their bottom line of profitability. As N has been relatively cheap when compared

398 to potential profits per bushel for corn, high application rates are a common means to mitigate  
399 economic-related risks—often referred to as an “insurance” N application rates (Sheriff 2005; Stuart et  
400 al. 2014). The tendency to apply high rates to reduce the chances of insufficiently profitable yields was  
401 reflected among farmers in our sample (n=15, 10.1% of sample). Farmers wanted to ensure they were  
402 not under-applying N, clearly stating that having enough N to achieve the desired yield was the most  
403 important consideration. One farmer in Iowa put it this way: *“I never want to be short on nitrogen, let’s*  
404 *put it that way. You don’t want nitrogen to be your limiting factor, you want something else to be your*  
405 *limiting factor. And I know that’s not a good answer, but it’s a truthful answer.”* For most farmers,  
406 success in N management was determined primarily on the basis of ensuring sufficient supply; as one  
407 Indiana farmer put it: *“We haven’t really seen too many signs of nitrogen deficiency showing up, so we*  
408 *feel like we’re getting enough on.”* High application rates, then, are a means of insuring sufficient  
409 profitability and thus reducing the risk of losing your farm.

410           However, this means of mitigating the risk of incurring significant financial losses and potentially  
411 losing one’s farm increases the chance of incurring another risk. If the application was more than  
412 needed given growing conditions, then the farmer over-applied, meaning the farmer over-spent on  
413 fertilizer and increased the chances of causing unintended pollution. An Indiana farmer expressed this  
414 potential of high N rates: *“[N management is] definitely difficult because put on too much and you’ve*  
415 *wasted a lot of money, sent nitrogen down the creek that doesn’t need to be. Don’t put on enough, your*  
416 *yields suffer.”* Farmers cannot afford to be short on N due to the financial consequences, nor do they  
417 wish to contribute to environmental pollution by using “insurance” N rates. These dual negative  
418 consequences associated with either low or high N rates contribute the element of risk to farmers’ N  
419 management decisions: their decisions are made in response to the potential for negative  
420 consequences. Though not specific to uncertainty, these risks are embedded within uncertain processes.  
421 The thresholds defining unprofitably low- or environmentally harmful high-application rates are in part

422 based on the uncertain processes discussed above: weather and crop prices. The uncertainty of these  
423 processes limits the potential for a prescribed N rate, thus creating the potential for over- and under-  
424 application and the risks associated with these dimensions of N use. As farmers consider these risks and  
425 their relationship to uncertain economic and biophysical processes when determining N management  
426 strategies, they appear to be highly relevant dimensions of farmers' decision-making under uncertainty.

427 **Information Sources:** Previous studies have shown farmers utilize numerous venues and means  
428 to gather information and recommendations for how to manage N fertilizer application (Daberkow and  
429 McBride 1997; Weber and McCann 2015; Stuart et al. 2018). In line with prior work, almost every farmer  
430 in our sample indicated they utilized information sources to gather recommendations for how to  
431 manage their N application (8 farmers relied only on personal experience). *Information sources* refer to  
432 social sources who directly recommend management strategies to farmers, such as university extension,  
433 private sector crop advisors, and seed and fertilizer dealers (Stuart et al. 2018). Most interviewees  
434 (n=103, 69.6% of sample) were actively using two or more sources to make seasonal N decisions,  
435 especially with regard to fertilizer rate and the mean number of sources used across our sample was 2.2.  
436 The types of recommendations offered and how farmers use different sources is outside the scope of  
437 this paper, but generally aligns with recent research on this topic (Stuart et al. 2018).

438 Farmers differed, however, in which sources were perceived as credible. For instance, an Iowa  
439 farmer expressed a common sentiment that sources associated with fertilizer dealers were  
440 untrustworthy: *"What the guy selling my anhydrous tells me, I give that all the weight its worth knowing*  
441 *that he's trying to sell me something..."* Others trusted their dealer's information: *"I feel very trustful of*  
442 *our local [fertilizer dealer's] guy. A lot of people say 'I'm not going to use my fertilizer guy's agronomist.*  
443 *Well, frankly we don't feel that way."* Farmers' opinions differed similarly on the reliability of another  
444 common source of recommendations, universities. Some farmers perceived universities' pace of  
445 releasing novel information as old-fashioned: *"People sometimes say 'Oh you know the university is too*

446 *slow getting [new information out].” Others expressed alternative positions: “I place a lot of value in*  
447 *those university research trials. They’re not trying to sell anything.”*

448         Rarely, if ever, did recommendations from these various sources converge into a comprehensive  
449 and coherent management strategy, however. Consultation of multiple information sources among  
450 farmers in our sample was common practice but did not necessarily reduce the challenges or  
451 uncertainty inherent in N management decisions. Indeed, farmers often realized that due to the  
452 complexity of the biophysical factors that influence N use, information sources themselves suffer from  
453 *epistemic* uncertainty; even the “experts” cannot have all the answers. As one Iowa farmer put it: “*This*  
454 *whole soil science thing, we just don’t understand how the soil works.*” Overall, farmers appeared to be  
455 deeply aware that there may be no single “right answer” due to lack of knowledge of the complex  
456 processes at work in N cycling in crop production systems (*epistemic* uncertainty), but rather that  
457 decisions may be appropriate or not in a given circumstance based on a wide range of biophysical and  
458 agronomic factors. In short, farmers’ use of information sources provided knowledge related to making  
459 annual N management decisions, but these information sources offered farmers no conclusive long-  
460 term answer to N management.

461

#### 462 ***Decision-Making Practices***

463         The findings presented above suggest that farmers encounter numerous factors that increase  
464 the difficulty and uncertainty in determining the optimal N management strategy given economic and  
465 biophysical considerations. While farmers widely agreed that there is much uncertainty in N  
466 management, and the inherent risk involved when making decisions on such an important aspect of  
467 crop production, farmers we interviewed diverged in their assessment of the difficulty of making N  
468 management decisions. How farmers respond to both the uncertainties inherent to management  
469 decisions and a decision-making space with an overwhelming amount of information also diverged. We

470 found that when faced with uncertainty, farmers generally followed one of two routes: heuristic-based  
471 decision-making and data-intensive decision-making (figure 1). We see the divergence of these  
472 strategies as related to two fundamentally distinct (but not mutually exclusive) manners of cognitively  
473 processing the numerous uncertainties associated with N management decisions, which can be  
474 understood in the context of experiential and analytical pathways of decision-making (Weber and  
475 Johnson 2009). It is important to note that the reliance on certain tools that characterize one pathway  
476 (e.g., application rate heuristics) does not necessarily preclude the use of tools that characterize the  
477 other pathway (e.g., use of side-dress application), and that farmers may also use different decision-  
478 making pathways for addressing diverse sources of uncertainty. Below we outline these two  
479 conceptually interlinked strategies.

480         **Heuristic-based decision-making:** When reflecting on the difficulty of determining an  
481 appropriate N application rate, most farmers indicated they did not find determining application rates to  
482 be difficult (N=104, 70.3% of sample). This often came directly after the farmer had talked at length  
483 about the uncertainties associated with weather, prices, and conflicting recommendations, reflecting an  
484 important insight into how farmers perceive and incorporate uncertainty in decision-making. Despite  
485 acknowledging the inherent uncertainties associated with N management in their farm operations, most  
486 farmers had incorporated strategies that alleviated the difficulties in decision-making. Roughly two  
487 thirds of those interviewed largely relied on three primary interrelated factors for decision-making: use  
488 of heuristics, reliance on past experience, and minimizing sources of uncertainty when making decisions.

489         Many farmers we interviewed relied heavily on heuristic decision making tools, especially with  
490 regard to determining their application rate. Many farmers expressed that their corn crop needed N at a  
491 certain ratio of N to corn yield, commonly expressed in terms of pounds of N per bushel of corn yield.  
492 For instance, if a farmer was aiming for a yield goal of 200 bushels of corn per acre on a given field, and  
493 were relying on a 1:1 ratio, they would apply 200 lbs of N/acre. These heuristic rates or rules of thumb

494 were prevalent throughout many of our interviews, with many expressing these as common knowledge.  
495 As one from Indiana put it: *"I'm well convinced from what I've read over the years, it's going to take 1.1*  
496 *pounds of nitrogen to make a bushel of corn."* Given other variables involved in determining actual crop  
497 need, including uncertainties associated with weather, the effects of timing, formulation, and  
498 placement, it is difficult to say that there is an agronomically "correct" rate. Many farmers used these  
499 simple heuristics when making decisions, however, though the heuristics they used differed widely.  
500 Some used ratios as high as 1.2:1 (which could potentially result in greater loss of N into the  
501 environment), while others used far smaller ratios (e.g. 0.8:1).

502           The reliance on heuristics allowed farmers to be confident in their nitrogen management  
503 decisions, despite the inherent uncertainties. For these farmers, there was a "right" rate they had  
504 figured out based on past experience. Those who relied on heuristics did not follow them exactly; the  
505 ratios would be used to determine a general application target, which the farmer would adjust up or  
506 down slightly based on past experience, field conditions, and other management decisions like use of  
507 inhibitor additives designed to slow loss of N in soil, etc. While certainly analytical, many farmers also  
508 attached affective elements and emotive language to their decisions, including emphasizing the role of  
509 "gut-feelings."

510           Farmers relied on ratio heuristics to simplify decision-making, particularly related to how much  
511 uncertainty farmers expressed surrounded application decisions. Farm management requires numerous  
512 decisions, which have attending levels of risk and uncertainty associated with them; nutrient  
513 management decisions are important for any crop production decision but are not the only choices that  
514 farmers must make. To balance the cognitive burden of making these numerous decisions, farmers must  
515 find ways to make decision-making more efficient. Heuristics are one of the main tools that farmers rely  
516 on to ease this cognitive burden and allow farmers to reduce ambiguity and complexity in decision-  
517 making. However, while these heuristics simplify the decision-making process at the point of making an

518 individual decision-making, they are often derived through complex and lengthy processes of  
519 observation and reflection. These heuristics serve as a lens through which farmers can view years of  
520 experience and information, including external recommendations and the impact of various practices  
521 they have trialed. For many farmers, these observations, often made holistically, are incorporated into  
522 operations through heuristic tools.

523           Past personal experience and observation played a large role in helping many farmers make  
524 decisions about N management. Many indicated that they had largely used the same application rates  
525 year after year. As one Michigan farmer said: *“Well, it’s not a difficult decision. I think it becomes easier  
526 every year. I mean, you might change things a little bit... but it’s pretty straightforward if you’ve got  
527 years of good information to work with.”* Similar sentiments were expressed by many farmers we  
528 interviewed, who felt that after years of following similar practices, they had largely “figured out” what  
529 the correct management strategy was for their operations. Indeed, many responded to a question about  
530 what information influenced their N management decisions with responses indicating that their  
531 personal experience was the most important factor.

532           Although all farmers identified sources of uncertainty in N management, many indicated they  
533 largely ignored these when making decisions, particularly regarding weather. While variability in  
534 weather can have significant ramifications for N uptake by crops and cycling within the soil, many  
535 farmers simply did not attempt to account for weather when determining application rates and other  
536 aspects of management. As one farmer in Iowa said: *“You gotta put so much out there,”* indicating that  
537 his fertilizer rates did not vary much from year to year based on weather predictions. People are often  
538 averse to ambiguity and react differently when situations are perceived to be risky or uncertain  
539 (Kahneman 2003; Weber and Johnson 2009). In such situations, many farmers may seek to reduce  
540 uncertainty by minimizing important sources of *aleatory* uncertainty, sources outside of their control.

541           The reliance on heuristics and their own past experience may have ramifications for decision-  
542 making. Heuristics can lead to sub-optimal decision-making, though this is not always the case  
543 (Gigerenzer and Gaissmaier 2011). With N management, this may or may not be the case. Further, U.S.  
544 corn farmers using no sources of information are less likely to adopt a number of best management  
545 practices (Weber and McCann 2015), which implies that relying on personal experience may reduce  
546 NUE. Generally, evidence indicates Midwestern corn farmers are not efficiently applying N (Ribaud et  
547 al. 2011), but determining the appropriateness of the application rates used by research participants is  
548 outside the scope of this study. As farmers themselves emphasize in our interviews, N needs in cropping  
549 systems are incredibly complex and affected by numerous variables. Without extensive soil and crop  
550 testing and agronomic modeling of each farm field, which is outside of the capacities of most farmers, it  
551 is not possible to determine exact crop nutrient needs. Even then, the effect of unpredictable weather  
552 and time lags between decisions and outcomes make it nearly impossible to determine exact needs in a  
553 given growing season. Reliance on heuristics and/or continual reuse of the past-season's practices  
554 allows farmers to minimize or even largely ignore this complexity and uncertainty and more rapidly  
555 arrive at a cognitively efficient decision.

556           **Data-intensive decision making:** While a large number of farmers interviewed used strategies to  
557 simplify their decision-making around N management, some farmers took a different approach. Some  
558 farmers (N=44, 29.7% of sample) indicated that they found N management decisions difficult. This  
559 position may be exemplified by one Iowa farmer's comment: *"To me, [determining N management*  
560 *strategies] is just about next to being an ulcer type of decision."* Another, speaking somewhat  
561 hyperbolically, stated that making the annual N management decision is "impossible." Such farmers  
562 engaged fully with the wide range of potential economic, political and ecological variables that could  
563 influence their annual crop production and N requirements and consequently employed agricultural  
564 techniques. Where farmers using a heuristics-based strategy ignored sources of uncertainty, relied on

565 past experience, and used heuristics for decision-making, farmers following a data-intensive decision  
566 making pathway relied on two key strategies: increasing information collected and increasing the  
567 number of decision points. To accomplish these, data-based managers commonly discussed two key  
568 tools: 1) improved data collection and management and 2) increasing the number of N applications they  
569 were using in their operations. Both strategies can decrease the uncertainty associated with N  
570 management.

571 Data-intensive decision makers acknowledge uncertainty and seek to better understand the N  
572 cycling processes that create this uncertainty. Compared with farmers who relied on heuristics, data-  
573 based farmers were focused on increasing their use of information collected and incorporated in their  
574 operations. One Michigan farmer collected a wide range of information about N in his farm  
575 management, including nutrient analysis of applied hog manure, pre-sidedress nitrate testing, yield  
576 monitoring, and extensive use of test plots to trial new fertilizer formulations and additives. This farmer  
577 said that intensifying data collection had reduced the uncertainty out of N management: *"I would say*  
578 *it's not difficult because we have all that information available . . . when you've got a lot of data it kind*  
579 *of makes it easier; we're not guessing. So in that respect it doesn't seem hard as far as what to use."*

580 Where a heuristic simplifies an application rate to a ratio of fertilizer to anticipated yield, increased data  
581 collection allows this group of information-seeking farmers to better estimate nutrient availability within  
582 their fields. Due to improvements in technology and nutrient cycling models, farmers can collect data on  
583 crops, soil, and N availability at increasingly fine spatial and temporal scales. Such data can help farmers  
584 reduce the uncertainty in crop nutrient needs throughout the growing season.

585 Data collection also allowed some farmers to utilize variable rate N application, where N is  
586 applied in different amounts across a given field based on yield potential, soil quality, and other  
587 agronomic conditions. Although this practice was not commonly used among our interview participants,  
588 it was generally viewed as an emerging technology. Farmers who were utilizing variable rate application

589 tended to be data-intensive nutrient managers, collecting yield data, soil and plant tissue tests,  
590 practicing mid-season (side-dress) application, and high population rate planting (using a larger amount  
591 of seed in a given area). Variable rate management was often done on a zone management system,  
592 where fields were categorized into management zones based on past yield data and soil testing. Often  
593 these farmers had used these management zones to manage other nutrients (phosphorus, potassium,  
594 lime, etc.) and seeding rate and had recently begun using these zones for nitrogen management. In this  
595 sense, zone management and variable rate application are practices that can be trialed before being  
596 fully adopted in an operation, which can be an important characteristic leading to increased adoption of  
597 conservation practices (Reimer et al. 2012a).

598           The amount of data available for farmers to collect was also seen by some as another form of  
599 complexity, leading to increased difficulty in making decisions. One Iowa farmer reflected on the  
600 difficulties brought by data management: *“[N management is] hard since we have the variable rate*  
601 *technology, you do all of this reading, all of this research, have your maps and then you’re sitting in the*  
602 *fields and you say, I think we’ll put a little less on here, or maybe we’ll put a little more on. So it is very*  
603 *hard, and you’ve really got to trust, when you’re sitting in the field, that your research up until then is*  
604 *sound.”* Recent advances in decision support systems (DSS) have the potential to alleviate some of this  
605 complexity. For example, the Adapt N system developed at Cornell University uses soil and management  
606 data along with local weather data to generate recommendations for within-season nitrogen  
607 application. Field trials have shown the Adapt N tool to be very effective at reducing total nitrogen  
608 application without decreases in yield (Sela et al. 2016). These types of DSS tools are potentially more  
609 effective than regionally based tools or generalized management strategies (such as the 4Rs approach)  
610 because they include inputs specific to the farmer’s location and provide dynamic support for decision  
611 making (Sela and van Es 2018). In our sample however, only a few farmers were actively using some  
612 form of DSS like the Adapt N program. The farmers using these tools were enthusiastic about their

613 impact on nitrogen management, but the majority were not currently using these systems. For farmers  
614 seeking to use data-intensive strategies, these DSS tools have the potential to both reduce uncertainty  
615 while reducing the time and effort needed to incorporate various forms of information into  
616 management decisions.

617           Splitting N application allows farmers to adjust N levels during the growing season to account  
618 for weather. Mid-season application in the form of side-dress application reduces the chances of losing  
619 N from the soil profile due to leaching or denitrification processes, an important source of uncertainty in  
620 N management. An Iowa farmer talked about the benefits of using side-dress application compared with  
621 fall application: *“I think you’ll see more split applications, cause to put on 100 percent of your nitrogen in  
622 the fall, when you don’t even need half of it until the corn tassels, that’s a long time for that nitrogen to  
623 sit there.”* Side-dress application does have associated costs however, including increased time and  
624 effort required of farmers for being in the field and determining how much and when to apply, as well as  
625 the need for appropriate equipment. Despite associated costs, side-dress was one of the more popular  
626 management practices used by those wanting to decrease the uncertainty in N management. For these  
627 farmers, the costs of split application were paid back in greater yields and decreased input costs. As one  
628 Indiana farmer remarked: *“I like spoon feeding it, getting at the three or four times on there, or two or  
629 three, and so that’s... I feel that’s the best way and with my yields I think I’ve proven that, they’ve been  
630 increasing consistently.”*

631           While split application can reduce the uncertainty associated with weather variability, it is only  
632 one tool. Farmers using side-dress application often use this practice in conjunction with other practices,  
633 including data management technologies. One Iowa farmer talked about the interplay of data collection,  
634 in his case optical sensors that assess vegetative condition from the applicator equipment, and side-  
635 dress application: *“If we have time, if we have the environmental conditions of rainfall or lack of rainfall,  
636 we could adjust our side-dressing, but generally on the fields we can, we just let these, the optics run*

637 *through there and decide.*” This farmer also expressed that side-dress does not eliminate the uncertainty  
638 associated with weather but just reduces it: *“Typically side-dressing is a little early to make a final*  
639 *determination on where you want to be. This buys us more time to get later in the season and get a*  
640 *better handle on where we’re at... [but] you don’t know what’s gonna happen.”*

641 Farm structural variables, especially farm size, did appear to have some impact on use of data-  
642 intensive management strategies. Mid-sized (1,000-1,800 acres) appear to be somewhat more likely to  
643 rely on more data collection and timing modification practices (35% of mid-sized farms) than smaller  
644 (24%) or larger farmers (30%) in our sample. Many of the farmers using split application and more  
645 adaptive application within the growing season had operations between 1,000 and 1,800 acres, though  
646 a few farmers with smaller or larger operations were also using data-intensive strategies. In contrast,  
647 the smallest farm operators seem to rely more on heuristics, in particular rate recommendations and  
648 past experience. These trends are likely do to timing and financial barriers, including off-farm jobs,  
649 which make it difficult for smaller farm operators to take advantage of more intensive management  
650 strategies. Large acreages under management create management challenges as well, creating barriers  
651 to the use of some more intensive management practices, especially sidedress application.

652 While data intensification strategies cannot completely eliminate uncertainty, they serve as  
653 valuable tools for dealing with the wide range of *aleatory* and *epistemic* uncertainty in N management.  
654 Data-intensifying farmers were focused on increasing control and the ability to adjust practices mid-  
655 season. These farmers appeared to be more technology-oriented in other aspects of their operations as  
656 well, and many were implementing data collection and management systems throughout their  
657 operations. Farmer personality traits and competencies may drive their decision-making into these two  
658 pathways. Previous research has demonstrated that competency and cognitive ability can lead to  
659 greater reliance on analytical decision making processes (Weber and Johnson 2009). Risk preferences  
660 also vary between individuals and situations, which could influence how farmers view the risks

661 associated with various management practices and affect their decisions. More simply, farmers with  
662 greater knowledge of the biophysical aspects of N management (less *epistemic* uncertainty) and greater  
663 comfort with technology are more likely to feel comfortable increasing management intensity to reduce  
664 *aleatory* uncertainty. While a few producers spoke about how they began using certain tools or data-  
665 intensive methods, it is unclear from our cross-sectional study approach how or if farmers have  
666 transitioned from heuristics to more data-intensive strategies. The producers relying most heavily on  
667 data-intensive strategies may have at one time relied more on heuristics, or may have always been  
668 predisposed to relying on data-intensive strategies, even if the various tools or technologies have  
669 changed over time.

670           Many producers fell along a spectrum with their use of data-intensive strategies. Some  
671 incorporated some form of soil or plant tissue testing (such as a pre-sidedress nitrate test) within a  
672 growing season but still relied on a basic ratio heuristic to arrive at their desired application rate. For  
673 these producers, soil testing reduced some uncertainty and helped them make more informed decisions  
674 but did not fully replace the heuristic-based approach. Many farmers were using some mixture of data-  
675 informed decision making and heuristics, either based on past experiences or recommendations from  
676 external sources. These past experiences in particular were powerful guides for farmers as a way to  
677 reduce decision-making time and perceived uncertainties, even if these past experiences were being  
678 supplemented by data-based tools.

679

## 680 **CONCLUSIONS**

681 Farm management is complex, involving interactions of overlapping biological, chemical, and social  
682 systems. This complexity can lead to high levels of uncertainty and create associated risks, which are  
683 perceived by farmers and, in turn, affect their decisions. Nitrogen management provides a key example  
684 of this complexity and uncertainty. There are high levels of *epistemic* uncertainty associated with soil

685 and biogeochemical sciences, and the complexity of interacting systems leads to high cognitive load for  
686 farmers trying to analyze available information and make decisions. This complexity can lead to  
687 decision-making with incomplete information, especially in the terms of attempts to minimize the  
688 environmental impact of a farming operation while maintaining profitability. Farmer nutrient  
689 management decisions can be appropriate or inappropriate depending on the specific context (i.e. soil  
690 characteristics and weather in a given growing season), though we did not evaluate the appropriateness  
691 of individual decisions in this research. Rather, we sought to improve understanding of farmer decision-  
692 making in situations of high uncertainty.

693 Farmers widely acknowledge the primary sources of uncertainty, including their own lack of  
694 knowledge about the biophysical processes involved in N cycling through cropping systems. Farmers  
695 appear to diverge, however, in how they address these uncertainties. In our sample, about two thirds of  
696 farmers streamline the decision-making process by discounting or minimizing sources of uncertainty in  
697 planning, relying on previous experience and heuristics. These represent cognitive editing of the  
698 variables involved in N management, which leads to more efficient and less burdensome decision-  
699 making (regardless of whether the actual decisions are optimal from an agronomic or economic  
700 perspective). Other farmers more actively account for uncertainty by intensifying their N management  
701 through increased data collection and decision focus, though less than a third of our sample generally  
702 fall in this category. This data-informed decision-model involves increasing information in the decision-  
703 making process to reduce *epistemic* uncertainty and increasing the number of decision-making points  
704 throughout the production cycle to reduce *aleatory* uncertainty associated with weather and soil  
705 conditions.

706 These findings have implications for outreach and education on N management. Many farmers  
707 rely heavily on heuristics in decision-making, especially application rate ratios. These rate heuristics  
708 serve as a useful common language, through which researchers and advisors can communicate with

709 farmers. While these heuristics greatly simplify complex biophysical systems and may be overly  
710 reductionist, changing farmer reliance upon them is unlikely in the short term. Outreach professionals  
711 should recognize the importance of these heuristics and use them as a launching point for improving  
712 farmer understanding of complex biophysical processes. For example, explanations for nutrient cycling  
713 and nutrient use efficiency could be framed in terms of their impact on key heuristics, such as  
714 application rate to yield percentages.

715           Outreach professionals should also recognize that not all farmers appear willing or able to  
716 intensify management, not only for technological or knowledge reasons, but due to cognitive burdens  
717 associated with decision-making in a complex area such as N management. Decision support system  
718 (DSS) tools that simplify natural processes and provide recommendations that account for both *aleatory*  
719 and *epistemic* uncertainty are potentially useful but may be met with skepticism by farmers who rely  
720 heavily on their own personal experience for management decisions. Despite this potential skepticism,  
721 these dynamic tools allow farmers to incorporate data specific to their situation to inform nitrogen  
722 decisions in ways that account for variable and uncertain local conditions, while also offering the  
723 potential for reducing management effort, an important consideration for the farmers we interviewed.  
724 As researchers, outreach professionals, and commercial advisors continue to develop these tools, it is  
725 important to recognize how farmers make decisions and how information is incorporated into decision-  
726 making. Decision support tools should distinguish between types of uncertainties (e.g. *aleatory* or  
727 random and *epistemic* or relating to knowledge), use language and information with which farmers are  
728 familiar, and offer multiple options, so farmers can weigh choices against each other and their previous  
729 experiences.

730

731 **References**

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899

900 Figure Captions

901 Fig. 1: Two primary pathways through which farmers make decisions for complex and uncertain nutrient

902 management.

903

904 Table 1. Farms and mean farm size in the interview sample compared with state level statistics (USDA-  
905 NASS 2016)

<i>State</i>	<b>Sample</b>		<b>AgCensus</b>	
	Number of Farms	Average Farm Size	Number of Corn Farms	Average Farm Size
<i>IA</i>	51	1,236	47,477	345
<i>IN</i>	51	1,529	22,985	215
<i>MI</i>	46	2,216	13,907	191

906

907

908 **Table 2. Farmer expression of uncertainty themes and N decision making processes. Note: each cell**  
 909 **presents the number of farmers who made at least one statement on a given theme, or the**  
 910 **categorization of farmer by decision process (as coded by the researchers).**

<i><b>Uncertainty Theme</b></i>	<b>Farm Size (acres)</b>						<b>Total</b>	<b>Proportion of Sample</b>
	<b>170-999</b>	<b>Proportion of Sample</b>	<b>1,000-1,799</b>	<b>Proportion of Sample</b>	<b>1,800-14,000</b>	<b>Proportion of Sample</b>		
<i>Weather</i>	23	15.5%	24	16.2%	33	23.3%	79	53.4%
<i>Time lags</i>	2	1.4%	1	0.7%	4	2.7%	7	4.7%
<i>Crop Prices</i>	11	7.4%	9	6.1%	15	10.1%	35	23.6%
<i>Balancing Econ &amp; Environmental Risks</i>	2	1.4%	3	2.0%	10	6.8%	15	10.1%
<i><b>Decision Processes</b></i>	<b>Farm Size (acres)</b>						<b>Total</b>	
	<b>170-999</b>		<b>1,000-1,799</b>		<b>1,800-14,000</b>			
<i>Heuristic-based</i>	35	23.6%	30	20.3%	39	26.4%	104	70.3%
<i>Data-intensive</i>	11	7.4%	16	10.8%	17	11.5%	44	29.7%
<i>Combined</i>	46	31.1%	46	31.1%	56	37.8%	148	100.0%

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