

# Classifying fishing behavioral diversity using high-frequency movement data

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**Effective management of social-ecological systems (SESs) requires an understanding of human behavior. In many SESs, there are hundreds of agents or more interacting with governance and regulatory institutions, driving management outcomes through collective behavior. Agents in these systems often display consistent behavioral characteristics over time that can help reduce the dimensionality of SES data by enabling the assignment of types. Typologies of resource-user behavior both enrich our knowledge of user cultures and provide critical information for management. Here, we develop a data-driven framework to identify resource-user typologies in SESs with high-dimensional data. To demonstrate policy applications, we apply the framework to a tightly coupled SES, commercial fishing. We leverage large fisheries-dependent datasets that include mandatory vessel logbooks, observer datasets, and high-resolution geospatial vessel tracking technologies. We first quantify vessel and behavioral characteristics using data that encode fishers' spatial decisions and behaviors. We then use clustering to classify these characteristics into discrete fishing behavioral types (FBTs), determining that 3 types emerge in our case study. Finally, we investigate how a series of disturbances applied selection pressure on these FBTs, causing the disproportionate loss of one group. Our framework not only provides an efficient and unbiased method for identifying FBTs in near real time, but it can also improve management outcomes by enabling ex ante investigation of the consequences of disturbances such as policy actions.**

fisheries | human mobility | movement ecology | natural resource management | resilience

**B**etter understanding of human behavior is now an important component of the natural-resource management toolbox (1). The big data revolution that is taking place across multiple social systems and scales holds great promise for incorporating human behavioral data into management. Fisheries in particular have seen enormous growth in the routine gathering and curating of management-relevant data, allowing analysts to measure and understand behaviors at spatial and temporal scales that were previously unthinkable (2–4). A major challenge in the brave new world of big data is how to collapse complex and burgeoning data streams into useable information. In natural resource management, one solution to this dilemma is offered by the behavioral typologies paradigm.

As with many natural resource users, fishers often exhibit consistent behavioral types (5–9), referred variously as personality types, fishing strategies, or fishing styles (Fig. 1). The diversity of types is attributed to personality and character, but also to factors such as vessel characteristics (6). Much research has been devoted to understanding and classifying the behavior of fishers based on sociodemographic and psychological characteristics, and to explaining why fishers make different choices in similar circumstances and how they are likely to respond to disturbances and management interventions (5–9). Typologies are simplifications of complex human behaviors and, as with all summaries, some information loss is inevitable. However, the

fishing typology concept allows high-dimensional data to be collapsed into tractable units, which facilitates incorporating fleet-wide behavioral information into management and policy analyses. To date, research on FBTs has been based largely on interviews, which provide valuable data but require considerable resources to obtain and update, and they rely on self-reported information. We offer a complementary approach, whereby fisheries-dependent data that are routinely collected by many agencies can be used in a framework to characterize discrete FBTs using data science tools. Defining FBTs using fisheries' big data offers a generalizable, transferable, and cost-effective approach that can be deployed across entire fleets, arming policymakers, planners, and resource managers with near-real-time behavioral representation of a given fishery to aid in decision making and socioeconomic monitoring.

Many descriptors of fishing behaviors employed in previous research (Fig. 1) can be quantified using extant fisheries-dependent data, which may include trip logbooks and fisheries observer program reports, as well as linked geospatial records from vessel monitoring systems (VMSs). Logbook data have been collected by many fisheries agencies for decades, usually providing trip-level catch and effort data, and often supplemented with economic information such as market prices and fuel costs. Logbooks often record fishing location data too, but these are commonly reported in coarse statistical areas. Recently, the development and deployment of VMS infrastructure has allowed automated tracking of vessel positions. Linking effort, landings, and economic information collated in logbooks to the geospatial information in the VMS datasets not only allows finer-scale analysis of fishing location data for stock assessment (10), but promises new insights when movements are considered as the spatial manifestation of fishers' decisions and behaviors. In the growing field of movement ecology,

## Significance

**Effective fisheries management is needed to rebuild overfished stocks and prevent future overfishing, and doing so requires an understanding of fishers' behavior. We offer an approach where "big data" routinely collected by many fisheries agencies can be used in a data-driven framework to classify fishers into discrete behavioral types, refining the *métier* concept and facilitating the inclusion of behavioral information into near-real-time fisheries management.**

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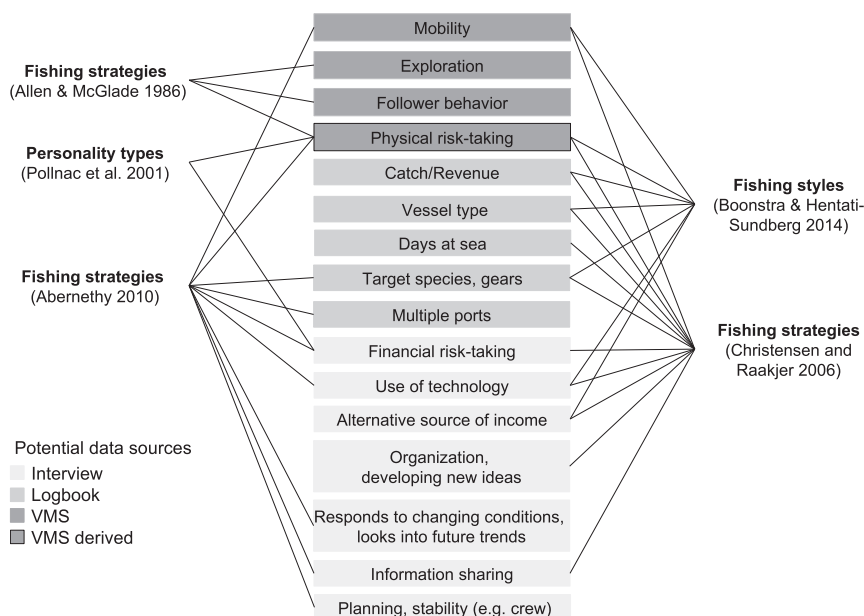
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**Fig. 1.** Characteristics used in the literature to define fishing behavioral types (also known as fishing strategies, personality types, or styles) based on interview data. Darker shading indicates additional data sources that could be used to quantify and refine these characteristics using near-real-time data-driven approaches.

high-resolution tracking data are now successfully being used to characterize animal behaviors and even personalities (11). Although vessel movement paths have to date been used mostly to map activities (e.g., fishing, searching, and cruising, ref. 12) or characterize fishing practices (13), these data also provide insights to spatial behaviors, such as the tendency to explore new grounds (14) or to fish in rough seas, indicating tolerance to risk (15, 16).

Leveraging existing data for the bottom longline fleet participating in the Gulf of Mexico Grouper-Tilefish fishery, we develop an approach to quantifying behavioral and vessel characteristics in fisheries-dependent data and then use clustering to classify these into discrete FBTs. We find that clear and intuitive types emerge from the data and that these agree well with typologies derived from interview data in other studies (5, 7, 17). We go on to demonstrate a management application of our framework by investigating how the FBTs in our case study responded to a series of disturbances that took place in rapid succession. These include the BP *Deepwater Horizon* oil spill, an emergency closure triggered by the Endangered Species Act, the introduction of individual fishing quotas, and a performance-related reduction of the fleet. Preemptive application of our framework would allow managers to explore consequences of many policy interventions prior to implementation.

## Results

We quantified 9 characteristics to describe each vessel: mobility, exploration, physical risk taking, revenue (mean and SD), vessel type, days at sea (mean and SD), and use of multiple ports. Our analyses incorporated all of the behavioral characteristics that could be quantified using the fisheries-dependent data listed in Fig. 1, with the exception of: 1) target species and gear, which is less relevant within a species-and-gear-defined métier such as the Grouper-Tilefish longline fleet, and 2) follower behavior, which at this point in time was not possible to quantify (see *Materials and Methods*). Use of multiple ports was found to be highly correlated with mobility and was dropped to avoid skewing the clusters.

Once quantified across the fleet, the vessel characteristics underwent clustering (or unsupervised classification, ref. 18), resulting in 3 well-defined behavioral types (Fig. 2). The characteristics most strongly defining the clusters were exploration (20%), mobility (18%), and variability both in days at sea (16%) and revenue (14%, Fig. 3), with the 3 emergent FBTs being characterized by consistent combinations of these characteristics. FBT1 are fishers with low mobility and less explorative behavior who are risk averse and carry out short trips. Conversely, FBT2 and FBT3 have high mobility and more explorative behavior, are more risk tolerant, and conduct longer trips. These latter groups differ in that FBT3 have higher variability in trip duration and revenue than FBT2. FBT1 coincides well with what Allen and McGlade (5) call “cartesians,” with FBT2/ FBT3 being typical “stochasts.” While cartesians fish in the same locations and are risk averse, stochasts are more risk tolerant and explore with the intention of improving catches (5).

There was a clear change in behavioral types after disturbance. FBT3 vessels mostly exited the fishery (50%), and most of those that remained evolved to become FBT1 or FBT2 (47%). A large proportion of FBT1 vessels exited the fishery (58%), but most vessels that remained active did so by remaining in their own group (32%). FBT2 was the most resilient group to disturbance, with vessels largely remaining in the group after the disturbance (48%; Fig. 4).

## Discussion

The empirical study of fisher behavior is an underdeveloped area of research (19). This is partially due to the difficulty and expense of obtaining behavioral information and collapsing rich but complex data into information that is tractable for fleet-level analyses (20). By offering a data-driven, near-real-time and cost-effective approach to complement analyses based on interviews as carried out widely within this research area, our study adds to the body of knowledge typifying fisher behavior (5–9).

The FBTs that emerge from our clustering agree well with the cartesian and stochast typologies that have been found by other authors (5, 7, 17) and our results indicate that a series of disturbances drove the selection of these typologies in the bottom longline Grouper-Tilefish fleet in the Gulf of Mexico. Fishers





in fleets over time (e.g., refs. 33 and 34). Adding simplified behavioral information, such as FBTs, as an additional layer of information would underpin a more complete characterization of the fishery (6, 33) and would aid in policy analyses such as scenario planning and management tasks such as socioeconomic monitoring. Our approach is data-driven, cost-effective, does not require collecting additional data, is replicable in time and transferable to other locations, and can produce easily interpreted outputs for swift inclusion into management and policy (9). Including fisher behavior into routine fisheries analyses can help produce tailored management strategies, or even predict which groups are likely to respond negatively to a management intervention. Doing so would likely improve management success, increase compliance, and reduce enforcement costs (9).

## Materials and Methods

**Datasets.** The majority of longline vessels engaged in the GoM Grouper-Tilefish fishery are converted shrimp trawlers, ranging in length from 10 to 22 m within our dataset. The longline gear consists of a line of baited hooks deployed along the seabed in reef areas of the Gulf of Mexico, which remains in position for a number of hours before being recovered, at which point the fish are removed, the hooks are rebaited, and the line is redeployed (35). This fishery submits logbooks to the Southeast Fisheries Science Center (SEFSC) in Florida, with VMS data being collected by the National Oceanic and Atmospheric Administration (NOAA) Office of Law Enforcement and observer data being collected by the SEFSC office in Galveston, Texas.

All commercial fishing vessels owning a federal reef fish permit are required to complete trip reports on catch and effort information using the Southeast Coastal Fisheries Trip Report logbook. In the present study, a logbook dataset from 103 bottom longline vessels was analyzed.

VMS transponders sending hourly or better reports (pings) have been mandated on commercial reef-fish fishing vessels in the GoM since 2006 and were available for all vessels in the Grouper-Tilefish fishery by early 2007. Each ping consists of the current latitude and longitude of a vessel along with a timestamp, allowing vessel tracks to be mapped with high spatiotemporal resolution.

Preprocessing of the data included the deletion of pings with GPS coordinates from outside the GoM. Ping timestamps were converted to POSIX objects with coordinated universal time (UTC) time zone to match VMS data recording protocol. To derive vessel movement speeds, the interval between each ping's timestamp and the preceding timestamp was obtained, the distance between successive pings was calculated, and then speed was expressed as a linear distance over time ( $\text{ms}^{-1}$ ). Derived vessel speeds above an arbitrary threshold of  $20 \text{ ms}^{-1}$  were assumed to result from errors and were deleted. Detailed information on the VMS data analysis protocol is available in the literature (2).

The disturbance experienced in the GoM was a combination of spatial closures, effort, and gear restrictions during May–October, 2009 triggered by excessive bycatch of sea turtles (36) and several spatial closures during May 2010–April 2011 triggered by the explosion of the *Deepwater Horizon* offshore drilling rig on April 20, 2010 (37). Here the bottom longline fishery was assessed 2 y before (from May 18, 2007 to May 18, 2008) and 2 y after (from April 19, 2011 to April 19, 2013) the sequence of disturbances. The dataset includes 102 vessels before and 54 vessels after the disturbance.

**Input Variables.** Each vessel was characterized using 9 behavioral characteristics inferred from logbooks and VMS data: vessel type, use of multiple ports, revenue (mean and SD), days at sea (mean and SD), exploration, mobility, and physical risk taking. Our analysis incorporates all of the behavioral characteristics that can be quantified using fisheries-dependent data listed in Fig. 1, with the exception of follower behavior and target species and gears. Although in principle follower behavior could be described using positional data (11, 38), the methods to do so are challenging and computationally costly, including the classification of multidimensional time series within an unknown lag window.

Vessel type, port use, revenue, and days at sea were extracted from logbook data. Vessel type was described by the length of the vessel in feet, given that longline fishing boats are otherwise relatively similar. The Shannon diversity index (39) was used as a metric to describe port use, by

quantifying how many different ports and how evenly they are used by each vessel. We used both mean and SD of trip revenue and trip duration per vessel, as reported in logbooks, to describe revenue and days at sea.

Exploration, mobility, and physical risk taking were extracted from VMS data on a trip basis. Exploration was described using mean cumulative entropy for each trip. Information entropy (40) measures the predictability of a time series and has been used as a metric of exploration (14, 41). Mean home range was used as a metric to describe mobility. A home range is the area in which an individual lives and moves on a regular basis. Different behavioral attitudes toward fishing result in different use of space and home ranges. For each trip, home range was calculated using the method of minimum convex polygon, enclosing all vessels' positions with the exception of the 5% more extreme ones (42). Risk taking was described by the propensity to fish in high-wind conditions (15). To do so, wind speed data were extracted for each VMS position, and then each trip was characterized by its extreme wind conditions (95% percentile of wind speed). The Blended Sea Winds dataset was used as the source of wind data (43). The study data were obtained under a contractual agreement with the US National Marine Fisheries Service (NMFS). The agreement prevents distribution of personally identifiable information, including variables directly included in the analysis. These data are archived at NOAA's Southeast Fisheries Science Center. Researchers under a contractual agreement with NMFS can access the data provided a nondisclosure agreement is signed.

**Analyses.** A data-driven, objective, and repeatable approach was used to quantify behavioral characteristics, identify distinct behavioral types, and determine what variables define them. Variables found to be highly correlated, with a variance inflation factor value larger than 3 (44), were removed (port diversity was highly related to exploration). Input variables were rescaled between 0 and 1 prior to all analyses to avoid scale-dependent clustering artifacts.

To identify natural groups in the dataset, data were clustered using hierarchical clustering and the Ward method, which minimizes the total within-cluster variance. The optimal number of clusters was identified using an ensemble approach and majority vote from 26 clustering indices. Clustering results were visualized using a dendrogram and principal component analysis.

The relative importance of input variables to defining behavioral types was identified using random forest (45), whereby the vessels were labeled according to the cluster into which they fell, and the labels were then used to perform a supervised classification and determine which variables contributed most to each cluster. Variable importance was quantified as the increase in percent of times a case is misclassified when the variable is permuted (46).

To quantify changes among behavioral types before and after disturbance, a confusion matrix was built which expresses change between types as a percentage of the number of vessels in each type. This information was visualized using a transition graph, where the size of the circles is relative to the number of vessels in each type before the disturbance and the line thickness changes according to the proportion of exchanges.

All data analyses were conducted in R (ver. 3.4.3: 21). The R package *rerdap* (47) was used to extract wind speed data from NOAA's ERDDAP server. The package *geosphere* was used to calculate distances between VMS pings (48). The packages *vegan* (49) and *adehabitatHR* (42) were used to calculate port diversity and home range. Finally, *NbClust* (50) was used to perform clustering, *factextra* (51) to identify the optimal number of clusters, and *randomForest* (46) to calculate the relative importance of input variables.

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