

# OH-Initiated Reactions of *para*-Coumaryl Alcohol Relevant to the Lignin Pyrolysis. Part II. Kinetic Analysis

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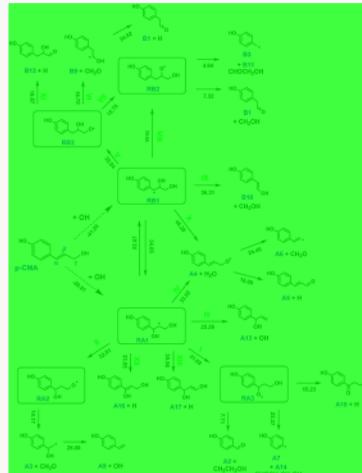
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**ABSTRACT:** Monolignols are precursor units and primary products of lignin pyrolysis. The currently available global (lumped) and semidetailed kinetic models, however, are lacking the comprehensive decomposition kinetics of these key intermediates in order to advance toward the fundamentally based detailed chemical-kinetic models of biomass pyrolysis. *para*-Coumaryl alcohol ( $\text{HOPh}-\text{CH}=\text{CH}-\text{CH}_2\text{OH}$ , *p*-CMA) is the simplest of the three basic monolignols containing a typical side-chain double bond and both alkyl and phenolic type OH groups. The two other monomers additionally contain one and two methoxy groups, respectively, attached to the benzene ring. Previously, we developed a detailed fundamentally based mechanism for unimolecular decomposition of *p*-CMA (as well as its truncated allyl and cinnamyl alcohol models) and explored its reactivity toward H radicals generated during pyrolysis. The reactions of *p*-CMA with pyrolytic OH radicals is another set of key reactions particularly important for understanding the formation mechanisms of a wide variety of oxygenates in oxygen-deficit (anaerobic) conditions and the role of the lignin side groups in pyrolysis pathways. In Part I of the current study (*J. Phys. Chem. A*, 2019, 123, 2570–2585), we reported a detailed potential energy (enthalpy) surface analysis of the reaction  $\text{OH} + \text{p-CMA}$  with suggestions for a variety of chemically activated, unimolecular, and bimolecular reaction pathways. In Part II of our work, we provide a detailed kinetic analysis of the major reaction channels to evaluate their significance and possible impacts on product distributions. Temperature- and pressure-dependent rate constants are calculated using the quantum Rice–Ramsperger–Kassel method and the master equation analysis for falloff and stabilization. Enthalpies of formation, entropies, and heat capacities are calculated using density functional theory and higher-level composite methods for stable molecules, radicals, and transition-state species. A significant difference between well depths for the chemically activated adduct radicals,  $[\text{p-CMA-OH}]^*$ , is found for the  $\alpha$ - and  $\beta$ -carbon addition reactions to generate the 1,3- and 1,2-diol radicals, respectively. This is due to the synergistic effect from conjugation of the proximal radical center with the aromatic ring and the strong H-bonding interaction between vicinal OH groups in the  $\beta$ -adduct (1,2-diol radical). Both adducts undergo isomerization and low-energy transformations, however, with different kinetic efficiencies because of the difference in stabilization energies. Reaction pathways include dissociation, intramolecular abstraction, atom and group transfers, and elimination. Of particular interest is a roaming-like low-energy dehydration reaction to form O-centered intermediate radicals. The kinetic analysis demonstrated the feasible formation of various products detected in pyrolysis experiments, suggesting that the gas-phase reactions of OH radicals can be a key process to form major products and complex oxygenates during lignin pyrolysis. Our preliminary experiments involving pyrolysis of the vaporized monomers support this basic statement. A novel mechanism for the formation of benzofuran, identified in experimentation, is also provided based on the potential conversions of hydroxyphenylacetaldehyde and corresponding isomers, which are kinetically favored products.



## 1. INTRODUCTION

Lignin, a major component of lignocellulose, is a highly cross-linked macromolecule produced by radical polymerization of three phenylpropanoid monomers (monolignols), the cinnamyl alcohol (CnA) derivatives (Figure 1): *p*-coumaryl alcohol (*p*-CMA), coniferyl alcohol (CFA), and sinapyl alcohol (SPA).<sup>1–4</sup> These monolignols are connected through a complex network of ether and carbon–carbon bonds, primarily via  $\beta$ -O-4,  $\alpha$ -O-4, 4-O-5, 5-S, and  $\beta$ - $\beta$  linkages, as well as benzodioxocin and spirodionene units.<sup>5–7</sup> Depending on the origin of lignin

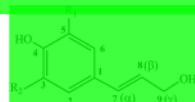
macromolecules, three types of aromatic nuclei are distinguished to define various types of lignin: 4-hydroxyphenyl (H), syringyl (S, 3,5-dimethoxy-4-hydroxyphenyl), and guaiacyl (G,

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**Figure 1.** Structure of monolignols and abbreviations utilized in this analysis: *p*-CMA:  $R_1 = R_2 = H$ , CFA:  $R_1 = H$ ,  $R_2 = OCH_3$ , SPA:  $R_1 = R_2 = OCH_3$ , CnA: no additional ring substituents, AA: no ring, side chain only.

4-hydroxy-3-methoxyphenyl) derived from the above monolignols. Although lignin comprises almost 30% of wood (by weight) and is an important resource of renewable fuels, precursor for high-value chemicals, and renewable feedstock for production of aromatic compounds, its robust and irregular structure poses many challenges for its utilization.

Pyrolysis is a major depolymerization technique to process lignin. Two main classes of reactions are common to consider for lignin pyrolysis as a function of temperature: (a) primary processes, which typically occur between 200 and 350 °C to produce volatile compounds and char components and (b) secondary processes, involving a variety of isomerization/decomposition and bimolecular reactions. At temperatures above 400–450 °C, methoxy group-related reactions are emerging, where catechols are formed at 550–600 °C (perhaps, mostly from G- and S-lignins bearing both phenolic hydroxyl and methoxy groups), accompanied by increased gasification (formation of noncondensable gases such as CO and  $CO_2$ ). At elevated temperatures above 700 °C, various conjugated polycyclic aromatic hydrocarbons are generated with most phenols and *o*-cresols still being stable.

Monolignols are the most important primary products of lignin pyrolysis.<sup>11,15,17–20</sup> Their primary formation and decomposition are currently the dominating view on the decomposition mechanisms and depolymerization of lignin during fast pyrolysis.<sup>11,15,17–20</sup> This makes the pyrolysis of monolignols a very challenging process to be studied kinetically. A fundamentally based pyrolysis mechanism of these key intermediates is necessary to develop detailed kinetic models of lignin pyrolysis so as to comprehend the kinetic models of biomass pyrolysis because the pyrolysis kinetics of other two biomass components, *viz.*, cellulose and hemicellulose is much more developed (see, *e.g.*, refs 34–36).

There are several kinetic models in the literature for lignin depolymerization, primarily involving empirical lumped-reaction schemes and rate estimation rules, as well as a few semidetailed kinetic models incorporating fundamentally based submechanisms.<sup>11,15,17–20</sup> To the best of our knowledge, there is no first-principle-methods-based kinetic model for lignin pyrolysis, as opposed to the simpler cellulose and hemicellulose models, not to mention the highly developed kinetic models for traditional fuel components.

Detailed kinetic models for substituted phenols seem to be the most relevant submodels for lignin pyrolysis.<sup>11</sup> For instance, the recent model by Ranzi and co-workers<sup>11</sup> includes various phenols substituted by methyl, methoxy, and aldehyde groups—the anisole, catechol, benzaldehyde, guaiacol, salicylaldehyde, and the largest one—vanillin containing both methoxy and aldehydic groups. Note that primarily considered sets of products formed at temperatures near 600 °C did not include more intricate low temperature intermediates—oxygenates. On the other hand, the addition of monolignols, containing a large reactive side chain attached to the phenol moiety, to this list, advocated by Norinaga and co-workers,<sup>37</sup>

is an important step toward the development of a fundamentally based kinetic model of biomass. These partial improvements already included some of the recently emerged fundamental mechanistic results on pyrolysis of monolignols and low-energy pathways.

The prevailing formation of monolignols during primary pyrolysis is also validated by the similarity of the pyrolysis products from hardwood lignin at 200–350 °C with those from the pyrolysis of G- and H-monomers (here, CFA and SPA derived from the G-lignin and S-lignin, respectively).<sup>11,15,17–20</sup> However, the detection of these compounds is not straightforward because they undergo secondary transformations or repolymerize to contribute to the char formation. Other researchers also suggested the initial formation of monomers. Earlier, Evans et al.<sup>38</sup> have found that the *para*-coumaryl alcohols (along with vinyl phenol) are the most abundant volatile products of herbaceous biomass.

An alternative hypothesis<sup>39–41</sup> considers a thermal ejection mechanism for the lignin pyrolysis and suggests the formation of the liquid intermediate phase at the early stage of lignin pyrolysis and subsequent vaporization of the oligomeric compounds as aerosols, known as “pyrolytic lignin” (thus oligomers might be considered to be primary pyrolysis products). Based on the high dissociation energies of the lignin structural units (even the weakest C–O etheric interunit bonds) and in support of the primary formation of monomers during pyrolysis, Yang et al.<sup>42</sup> suggested that the formation of aromatic compounds during pyrolysis primarily occurs from light hydrocarbons, rather than directly from original aromatic lignin structures.

To additionally verify our calculation results involving also chemically activated processes, which typically occur in gas-phase conditions, with no solid-phase or solvent effects included, we have started a series of experiments where the monolignol reagent is in vaporized conditions. The preliminary results are generally consistent with previous conventional and fractional pyrolysis data quoted here, refs 11 and 20.

Primary pyrolysis of lignin (typically from 200 to 400 °C) produces characteristic low-molecular mass compounds, mostly phenolics.<sup>11,15,17–20,34–36</sup> Aromatic compounds produced during the primary pyrolysis of G-lignins, for instance, are predominantly 4-substituted guaiacols (2-methoxy phenols), whereas S-lignins are represented by syringol (2,6-dimethoxyphenol) derivatives.<sup>11,15,17–20,34–36</sup> The primary pyrolysis reactions, mainly studied on dimer models, are believed to occur via homolytic bond cleavage processes followed by secondary radical reactions, as well as concerted decomposition pathways such as MacColl and retroene pericyclic intramolecular-type rearrangements.<sup>11,21,22,34–36</sup> Other alternative routes have not been ruled out, such as the one noted by Elder and Beste<sup>43</sup> for dimer models containing aliphatic  $\gamma$ -OH groups (see also a series of works by Kawamoto reviewed in ref 11). Further complications of decomposition patterns and product distributions arise from the highly reactive side groups.<sup>11,21,22,34–36</sup>

The propanoid side groups of the monolignols’ allyl alcohol (AA) moiety ( $-\text{CH}=\text{CHCH}_2\text{OH}$ ), combined with phenolic OH and variably present methoxy groups, are also common features in lignin end groups typically accounting for diversity of the pyrolysis products. Hence, a detailed exploration of the pyrolysis reactions of monomers, their thermochemical properties, including the stability of intermediate species, and detailed chemical kinetics is important to provide mechanistic insights

into lignin depolymerization and valorization. There is also a recondensation (repolymerization) tendency, often to form diverse species with novel functionalities, which further diversifies the role of the side groups in lignin pyrolysis.<sup>10,11,12</sup>

The characteristic features of *para*-coumaryl alcohol (*p*-CMA, Figure 1) also allow it to serve as a relevant model for biologically important unsaturated alcohols involving aromatic groups and polyhydric moieties to generate a variety of oxygenates abundant in nature.

The typical light ("pool") radicals, H, OH, CH<sub>3</sub>, and CH<sub>3</sub>O, are believed to be largely generated during lignin pyrolysis to trigger chain reactions and to modify ("enrich" by hydrogen and oxygen) the intermediate products of the "hydrogen-lean" and "oxygen-deficit" side groups.

Our recent fundamentally based theoretical and low-temperature-matrix-isolation–electron paramagnetic resonance spectroscopy (LTMI-EPR) studies of various CnAs highlighted the role of propanoid side chain reactivity in diversity of pyrolysis products.<sup>13–16</sup> We also presented a network of the chemically activated H + *p*-CMA reactions to explain the formation of key products and regeneration of radical species in pyrolysis of *p*-CMA.<sup>17</sup> Because the highly reactive hydroxyl radicals generated during pyrolysis can also trigger a cascade of free-radical pathways, we developed a detailed potential energy (enthalpy) surface (PES) for the reactions of hydroxyl radicals with *p*-CMA in Part I of this study.<sup>18</sup> Various density functional theory (DFT) and *ab initio* protocols were employed with a focus on addition–elimination reactions of the side chain double bond in *p*-CMA, including isomerization through hydrogen atom shifts, dehydration, and formation of various open- and closed-shell products.

In this Part II of our study, we continue the exploration of the pyrolysis mechanisms of lignin models and provide a comprehensive kinetics analysis of the reactivity of *p*-CMA toward hydroxyl radicals, which can be a key issue in the formation and interconversions of oxygenated compounds during lignin pyrolysis—in anaerobic conditions. Our previous PES for the OH + *p*-CMA system has been expanded and updated with refined energies of stationary points at a higher CBS-QB3 level of theory.

The readers are referred to Part I of our study<sup>18</sup> (and preceding papers<sup>13–17</sup>) for a detailed overview and discussion of *p*-CMA reactivity and pyrolysis reactions, as well as the experimental and theoretical analysis of the pyrolysis of other relevant models, including widely studied dimers containing primarily  $\alpha$ -O-4 and  $\beta$ -O-4 linkages (see also refs 13,16,19,20,31–33,37,49–51,55,57–59). A few important points are highlighted below.

**1.1. Contracted Models.** Detailed analyses of the reactivities of contracted lignin models, including *ortho*-quinone methide (QM),<sup>22,23,28</sup> anisole,<sup>24</sup> and catechol,<sup>25–27</sup> which are believed to be intermediates in lignin thermolysis and char formation, are available in the literature for comparisons. There are also a variety of studies on the reactions of OH radicals with simple alkenes and unsaturated model compounds such as C<sub>2</sub>H<sub>4</sub><sup>28</sup>–<sup>30</sup> acrolein (CH<sub>2</sub>=CH-CHO),<sup>31</sup> enols (albeit more focused on further combustion reactions),<sup>31,32</sup> styrene,<sup>33</sup> aldehydes,<sup>34–36</sup> and unsaturated alcohols<sup>37–40</sup> to represent the side-chain double bond of the lignin and monolignols.

The most authentic model of the hydroxylpropenyl side groups of monolignols is AA (CH<sub>2</sub>=CHCH<sub>2</sub>OH). The reaction of AA with OH radicals is well explored<sup>41–44</sup> particularly in our most recent theoretical study.<sup>18</sup> Various

isomerization and unimolecular decomposition channels have also been studied by Zhang et al.<sup>45</sup> at the G3MP2 level of theory, involving the formation and decomposition of 1,3- and 1,2-diol radical adducts of the OH addition to the double-bonded carbon atoms of the substrate. Bond fissions of the radicals are found to be the most affordable reactions. Kinetic analysis revealed that the bimolecular H-abstraction reactions can prevail only at high temperatures, whereas the formation of the CH<sub>2</sub>CH(OH)CH<sub>2</sub>OH adduct (1,2-diol radical) from collisional stabilization is dominant at 200–400 K temperatures and atmospheric pressure.<sup>46</sup> That contrasts with the conclusions from Atkinson and co-workers,<sup>47</sup> who considered a bimolecular H-abstraction of  $\alpha$ -hydrogen of AA by OH radicals to form water and  $\alpha$ -hydroxyl radicals (analogous to the monolignol radical A4 presented in Figure 2) as an only pathway to generate the main product acrolein. Because no potential product formation channels were explored in ref 46, including dehydration of activated radical adducts, we further provided a detailed mechanistic analysis of this reaction (which also relates to the roaming phenomenon in chemically activated processes) and proposed a low-energy roaming dehydration mechanism for energized adducts, leading to key products.<sup>18</sup> Taking into consideration that bimolecular reaction channels dominate only at elevated temperatures, as noted above,<sup>46</sup> the roaming of OH radicals (reactions via roaming-like TS) in chemically activated diol radical intermediates<sup>48</sup> could be considered the only alternative to explain the low-energy formation of the main detected product acrolein observed by Atkinson et al.<sup>46</sup>

The functional groups of side chains, primarily hydroxyl groups, provide strong electronic and steric effects on the reactivity of lignin.<sup>31,36,40,41,46</sup> The effect of OH substituents at  $\alpha$ - and  $\gamma$ -carbon positions (see Figure 1) of lignin model dimers has been particularly assigned to a stabilization via an initial hydrogen bonding.<sup>49</sup> In addition, the two isolated OH groups can be involved in low-energy dehydration reactions through roaming, as follows from Part I of this study.<sup>18</sup>

It is also known that the rate coefficients of the OH + unsaturated alcohol reactions are only weakly dependent on the chain length of the alcohol.<sup>50</sup> De Bruycker<sup>51</sup> reported a significant reactivity difference in the C=C double bond in the  $\beta$ - and  $\gamma$ -positions to the hydroxyl group for the pyrolysis and oxidation processes for the unsaturated prenol and *iso*-prenol alcohols. Prenol also represents a relevant model of lignols because it includes an extended AA moiety of the *p*-CMA, albeit with two H atoms of the C3-terminal position that are replaced by two CH<sub>3</sub> groups.

As noted above, the side-chain double bonds in monomers, intermediates, and the major products such as 4-vinylphenol can also account for the repolymerization processes, along with generated monomeric radicals<sup>52</sup> (Kawamoto particularly suggested a vinyl-condensation-type mechanism for repolymerization<sup>53</sup>).

Few studies have been completed on the polymerization of monolignols, unlike its dimerization. A transition-state structure for dimerization of *p*-CMA via the *p*-quinone methide intermediate has been proposed by Shigematsu et al.<sup>54</sup> The activation enthalpy of 9.8 kcal/mol, calculated at the semi-empirical PM5 level, appears to be close to the activation energies of radical polymerization of common vinyl polymers (7–8 kcal/mol for propagation of vinyl monomers for polystyrene<sup>55</sup>) as opposed to the activation energies for decomposition of common radical initiators, which are much

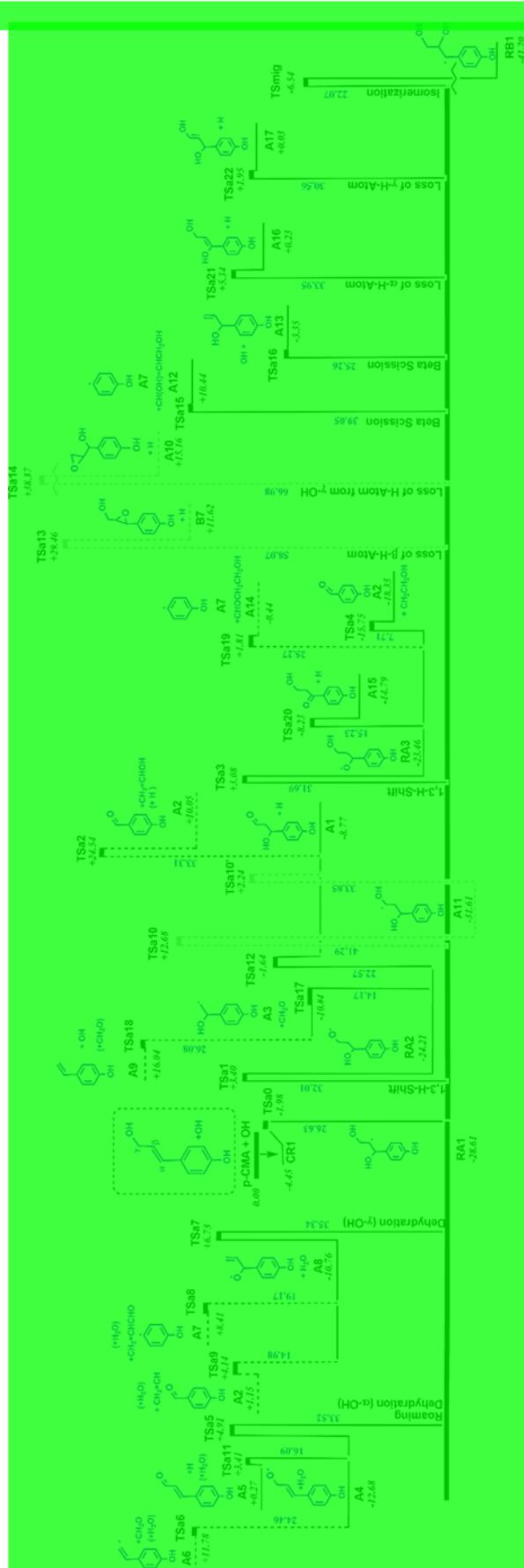


Figure 2. Enthalpy diagram (kcal/mol) for OH addition to the C7( $\alpha$ )-center of the side-chain double bond in *p*-CMA (Figure 1—boxed)

**Figure 2. continued**

outline), calculated at the CBS-QB3 level. Solid lines represent most important (mostly low-energy) pathways. Black dashed lines represent pathways that were shown by chemical activation analysis to be important at high temperatures and pressure. Gray dashed lines are pathways shown to not be significant by chemical analysis.

higher energy (e.g., 26–34 kcal/mol for 2,2'-azo-bis-isobutyronitrile and benzoyl peroxide). More advanced theoretical approaches were also utilized to explore the coupling of monolignols and relevant models.

**1.2. Monolignols.** The pyrolysis of monolignols as aromatic nuclei, mimicking the primary products of the lignin pyrolysis as well as the structural motifs of the lignin side groups, is actively explored only in recent years. In our previous studies, we have shown that the pyrolysis of *p*-CMA and its bare model CnA generates a large variety of phenolic compounds and benzene derivatives such as phenol, *p*-cresol, 4-vinylphenol, indene, styrene, benzaldehyde, 1-propynyl benzene, and 2-propenyl benzene and corresponding *para*-hydroxy-derivatives depending on pyrolysis conditions by utilizing both conventional and fractional pyrolysis approaches. Remarkably, the yields of a small set of phenolics in low-temperature fractional pyrolysis of *p*-CMA constitute as high as 70% of the total gas-phase products.

The conventional pyrolysis of the solid *p*-CMA reagent, performed by Akazawa et al.<sup>19</sup> at 600 °C, also showed products of phenol, *p*-cresol, 4-vinylphenol, (*E*)-4-(1-propenyl)phenol, and dihydro-*p*-coumaryl alcohol, as the major identified products. Notably, observed in this study is 4-vinylphenol, which has not been detected in the fractional pyrolysis experiments.<sup>20</sup> This was explained by possible further polymerization processes during fractional pyrolysis conditions similar to what occurs with monolignols (because of the higher reactivity of the vinyl group of the 4-vinylphenol in fractional pyrolysis conditions) and to contribute to the char formation and to end-up in the solid residues. Perhaps, at higher temperatures and resident times, 4-vinyl derivatives also can participate in chemically activated addition–elimination reactions such as the one discussed below. Notably, the reaction of OH + styrene is shown to proceed via addition of OH to the vinyl carbons of the styrene molecule to further support chain development by alkyl radical additions.<sup>21</sup>

Aldehydes are another set of abundant products in pyrolysis of monolignols. The dominant formation of cinnamaldehyde was detected by Khachatryan et al. during the conventional pyrolysis of CnA, along with significant amounts of dihydro- and vinyl-derivatives, as well as complex oxygenates and dimers at temperatures below 400 °C. The formation of cinnamaldehyde supported our initial proposal on the involvement of the certain free radicals, particularly O-linked conjugated radicals detected experimentally using the LTMI-EPR technique in low-temperature pyrolysis. The radical processes are essential for monomer-aldehyde formation because the alternative concerted dehydrogenation of CnA forming cinnamaldehyde is a high-energy demanding process with an activation barrier as high as 79 kcal/mol.

The monomer-aldehydes are also major products in pyrolysis at 400–600 °C of other phenylpropanols studied by Akazawa et al.,<sup>14</sup> including basic cinnamyl, sinapyl, and CFAs. Masukawa<sup>15</sup> and Harman-Ware<sup>16</sup> also reported the dominant formation of

coniferyl aldehyde (CFA-aldehyde) in pyrolysis of CFA at 200–275 and 650 °C, respectively. Kawamoto et al.,<sup>14</sup> on the other hand, reported that the cinnamaldehyde is a major product formed during pyrolysis of CFA at typical conditions for lignin primary-pyrolysis conditions (temperature range 200–400 °C).

Intriguingly, no *p*-coumaraldehyde [A5, 3-(4-hydroxyphenyl)-2-propenal] was detected in our fractional pyrolysis experiments;<sup>15</sup> this is explained by its possible rapid secondary transformations typical for fractional pyrolysis. However, the similar phenomenon has been observed by Akazawa at (400–600 °C) temperatures from conventional pyrolysis of *p*-CMA.<sup>16</sup> Notably, *p*-coumaraldehyde was not detected also from the lignin pyrolysis itself, unlike other vastly present monomer-aldehydes such as CFA-aldehyde and SPA-aldehyde and substituted benzaldehydes.<sup>17–19</sup> Thus, the detection of *p*-CMA-aldehyde is challenging, and a more detailed analysis, including kinetics, is necessary.

Akazawa et al.<sup>16</sup> postulated some tentative reaction schemes to explain their experimental results, assuming that the homolytic bond cleavages and tentative (albeit only bimolecular H-abstraction) reactions of H and OH radicals are dominant processes.

A detailed fundamentally based PES analysis was performed by Asatryan et al.,<sup>20</sup> and they proposed a set of reaction pathways for unimolecular decomposition of *p*-CMA and its chemically activated reactions with pyrolytic H radicals. The rate constants for a few selected channels from this set of reactions were evaluated by Norinaga and co-workers,<sup>21</sup> along with additional rate constants for decomposition of three lignin pyrolysis products and intermediates HHPP, HPPD, and HPP advocated by Hough et al. (*vide infra*).<sup>22,23</sup> Selected from ref 21, channels for *p*-CMA included the elimination of terminal CH<sub>2</sub>OH group and an allylic H atom at C9, as well as H addition to C7 forming a β-carbon radical with further elimination of CH<sub>2</sub>OH group to form 4-vinylphenol (*p*-hydroxystyrene).<sup>24</sup> However, the latter process is energetically feasible and can occur at low temperatures, as discussed in ref 21; the direct cleavages of C8–C9 and terminal H–O bonds, leading eventually to the phenol formation via hydroxystyryl radical, are energy-demanding processes, which can hardly occur at mild conditions, hence, the alternative pathways revealed in ref 21 and further developed here should be included.

It is interesting to highlight the *ipso* addition (an intriguing issue in reactivity of phenols<sup>25,26</sup>) of H radical to the C4-carbon center of HPP followed by elimination of the phenolic hydroxyl group provided by Furutani et al.<sup>27</sup> (channels 40, 41 in ref 21 analogous to the H → OH exchange in phenols<sup>28,29</sup>), which appears to be remarkably feasible here, as it occurs through the barriers of only 9 and 19.8 kcal/mol heights, respectively. This pathway can serve as an important source of the OH radicals during lignin pyrolysis alongside with some other channels reported in this paper to further justify the necessity to explore our titled reaction system OH + *p*-CMA.

OH radicals can be easily generated by the removal of phenolic hydrogen to form a resonance-stabilized (quinoidal) radical O=Ph=CH–CH<sup>•</sup>–CH<sub>2</sub>OH (analogous to breaking of a β-O-4 linkage in lignin), which simply decomposes into conjugated char component O=Ph=CH–CH=CH<sub>2</sub> and OH radicals.

Dehydration is one of the key yet not well explored processes in biomass pyrolysis.<sup>30,31,32</sup> Dehydration caused by

nascent hydroxyl radicals could be expected to occur via a direct H-abstraction of the alkyl/phenolic hydroxyl groups or a skeletal carbon atom of the lignols. However, as noted above, the direct H-abstraction is not sufficiently fast and typically occurs at higher temperatures (above 500 K). This is particularly shown for the OH + AA model reaction<sup>33</sup> and well known for reactions of OH radicals with simple alkenes,<sup>34–36</sup> as opposed to some other reactions.<sup>37</sup>

It is also noteworthy that the H-abstraction of phenolic hydroxyl groups generates quinoidal intermediates, leading to the formation of the conjugated and fused products.<sup>38–41</sup> These products typically end up in the residual pyrolysis fractions, and thus, their origins are not well studied and are beyond the scope of this paper. Most of them are energy-demanding processes, such as the seemingly affordable pathway involving β-scission of the energized adduct, leading to the QM formation as largely quoted in the literature. We find that this requires an activation energy as high as ca 18 kcal/mol above the entrance channel. Therefore, the main focus of the current paper is on the formation mechanisms of the observed major products, primarily phenolics. For the same reason, the *ipso* OH addition to the benzene ring of *p*-CMA leading to the ring opening processes and formation of linear-conjugated compounds, shown particularly in our previous studies on pyrolysis of catechol models,<sup>42</sup> is also not explored.

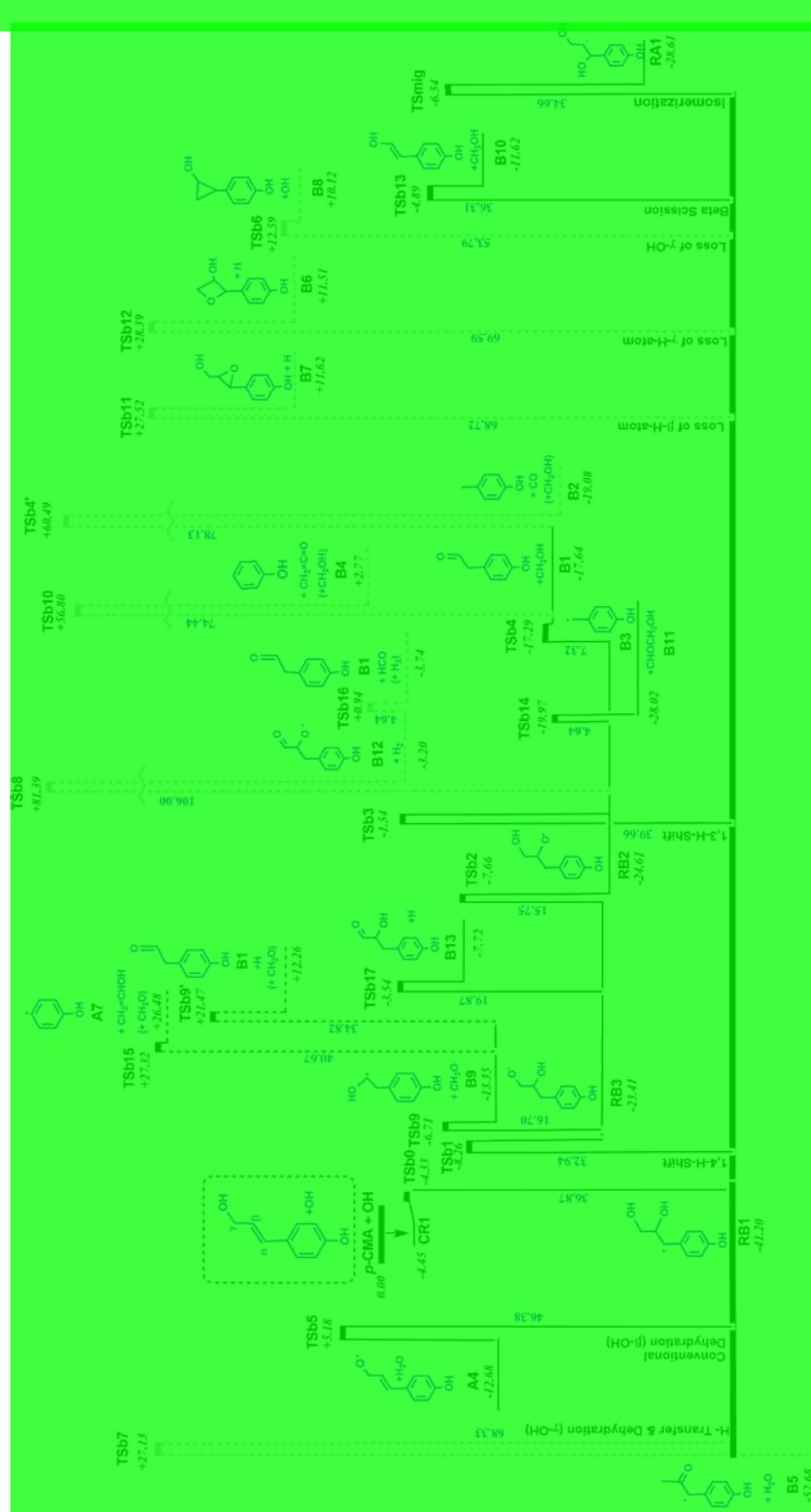
On the other hand, OH addition to the double bond of the unsaturated alcohols opens up a variety of alternative low-energy reaction channels because of the chemical activation, as shown in Part I.<sup>43</sup> In the same vein, we have recently introduced a novel roaming-like mechanism for the low-energy dehydration of diol radicals,<sup>44</sup> which could account for the generation of certain C- and O-centered intermediate radicals and products. This mechanism was further confirmed in the case of the more complex reaction of OH + *p*-CMA in Part I of this study;<sup>45</sup> however, the kinetic significance of this novel mechanism remains to be examined and is addressed in the current paper.

To summarize, there is a variety of OH-initiated reaction pathways to generate reactive intermediates and relevant products, particularly complex oxygenates during pyrolysis of *p*-CMA. To evaluate their relative contributions and kinetic significance, one needs to systematize and analyze the pressure and temperature-dependent rate coefficients of the corresponding pathways.

The paper at hand combines a modified version of the PES for the chemically activated reactions of *p*-CMA with OH-radicals, previously developed to study the reactivity of the side-chain double bond of *p*-CMA, and incorporates a detailed kinetic analysis of the major reaction channels. It involves OH addition to both carbon centers of the double bond in *p*-CMA and compares various isomerization and product formation pathways, particularly those leading to the major products observed in both conventional and fractional experiments and generally confirmed by vaporized monolignols pyrolysis experiments.

## 2. METHODOLOGY

A detailed analysis of the *p*-CMA + OH PES is performed initially using the *ω*B97XD<sup>46</sup> dispersion- and long-range corrected hybrid DFT method from the Head-Gordon group with the moderate Pople-type basis set 6-31+G(d,p)<sup>47</sup> augmented with diffuse and polarization functions.<sup>48,49</sup> All stationary points are reoptimized with the extended triple-ζ



**Figure 3.** Enthalpy diagram (kcal/mol, ZPE-corrected electronic energies) for OH addition to the C8( $\beta$ )-center of the side-chain double bond in *p*-CMA (Figure 1) calculated at the CBS-QB3 level. Solid lines represent most important low-energy pathways. Black dashed lines represent pathways that were shown by chemical activation analysis to be important at high temperatures and pressure. Gray dashed lines are pathways shown to not be significant by chemical analysis.

def2-TZVP basis set<sup>125</sup> where transition states have one characteristic negative eigenvalue of Hessian (force constant) matrices. The absence of this imaginary frequency verifies that the structure is at a true minimum. GaussView was utilized to visualize the intrinsic reaction coordinate analysis, which is

performed at the  $\omega$ B97XD/def2-TZVP level, and to verify the mode of vibrations. To ensure the connectivity of stationary points, the final point geometries at both sides of the TS were additionally optimized. Optimized geometry parameters, as well as moments of inertia and vibrational frequencies from the

$\omega$ B97XD/def2-TZVP level of theory, are presented in the Supporting Information.

High-level CBS-QB3<sup>120–127</sup> composite calculations are performed for all species identified on the PES to obtain more accurate energies. This method uses geometries and frequencies from the B3LYP/6-31G(2d,d,p) level with additional single-point energy calculations at the CCSD(T), MP4SDQ, and MP2 levels and a final CBS extrapolation. It also combines a correction for spin contamination (proportional to  $\langle S^2 \rangle$ ) and a size-consistent higher-order empirical correction to predict molecular energies to around 1–3 kcal/mol accuracy ( $\pm 3$  kcal/mol for enthalpies of formation reported by Simmie from benchmark analysis against the Active Thermochemical Tables, refs 128 and 129). All PES calculations are performed using the Gaussian 16 program (Revision A.03).<sup>130</sup>

For the calculation of  $\Delta H_f^{\circ}$ <sub>298</sub> values, atomization reactions from higher-level CBS-QB3 calculations are utilized where only the balancing of the target species with its constituent atoms is necessary (see ref 131 for methodological details). The reference  $\Delta H_f^{\circ}$ <sub>298</sub> gas-phase values are accurately known and are  $171.29 \pm 0.11$ ,<sup>132</sup>  $52.103 \pm 0.001$ ,<sup>133</sup> and  $59.567 \pm 0.0005$  kcal/mol for C, H, and O atoms, respectively. These CBS-QB3 calculated  $\Delta H_f^{\circ}$ <sub>298</sub> values are then utilized to calculate the barrier heights in Figures 2 and 3 and are within close proximity to our previous  $\omega$ B97XD results reported in Part I.

As pointed out previously, all employed methods in our analysis are well tested in the literature, including our previous studies on various open-shell and molecular systems.<sup>13,29,108,120,121,134–138</sup> Notably, the same  $\omega$ B97XD/6-31+G(d,p) method has been successfully utilized by Matsugi<sup>139</sup> recently for the evaluation of the roaming dynamics in dissociation of C<sub>2</sub>H<sub>5</sub> radicals, a phenomenon also pertinent to our systems (*vide infra*). He also calculated the reaction energy and reverse barrier heights for the C<sub>2</sub>H<sub>5</sub>  $\rightarrow$  C<sub>2</sub>H<sub>3</sub> + H<sub>2</sub> reaction similar to those predicted by QCISD(T) at the complete basis set (CBS) limit. The minimally augmented basis set (equivalent to Karlsruhe def2-SVP and ma-SVP) employed above has also been recommended by Truhlar et al. as the best affordable basis set for the exploration of reaction barriers in large molecular systems.<sup>140</sup>

Because the next level models of the highly irregular lignin structures include even larger molecules—dimers and oligomers, the selection of a relatively simple and reliable method is essential here (quoted above comprehensive analysis by Simmie<sup>127,128</sup> can be well recommended for the choice of reliable methods and molecule size/method scaling and the number of calculations to be performed). For comparison purposes, the well depths of the OH addition to the double bond of *p*-CMA are additionally calculated using other well-tested DFT functionals, M06-2X<sup>141</sup> and B3LYP,<sup>142–144</sup> with results being fairly close to each other.

Entropy and heat capacities are calculated using the rigid-rotor harmonic-oscillator approximation for contributions from translations, vibrations, and external rotations using the Statistical Mechanics for Heat Capacity and Entropy (SMCPS) program.<sup>145</sup> This program includes input parameters for geometry, vibrations, and moments of inertia from the  $\omega$ B97XD/def2-TZVP calculations as well as mass, electronic degeneracy, symmetry, and number of optical isomers for each species. Visual inspection of each molecule in GaussView was used for determining the symmetry and optical isomers. Zero-

point vibration energies are scaled by 0.975 as recommended by Alecu et al.<sup>146</sup>

Single-bond internal rotations are calculated using the B3LYP<sup>147–149</sup> method with the 6-31+G(d,p) basis set. Potential energy (PE) curves for these rotations are created and those which fall below 5 kcal/mol are replaced with corrections to internal rotor torsional frequencies from the program ROTATOR.<sup>150</sup> This program expands the hindrance potential in a Fourier series, calculates the Hamiltonian matrix in the basis of wave functions of free internal rotor, and finally calculates energy levels by direct diagonalization of the Hamiltonian matrix. A truncated Fourier series calculates the internal rotor potential at discrete torsion angles

$$V(\varphi) = a_0 + \sum a_i \cos(i\varphi) + b_i \sin(i\varphi),$$

where  $i = 1 – 7$

where the coefficients ( $a_0$ ,  $a_i$ , and  $b_i$ ) are calculated to provide the minima and maxima of the torsion potentials with allowance for a shift of the theoretical extreme angular positions. The summation of the SMCPS and ROTATOR contributions provide the total entropy and heat capacities for each species.

High-pressure rate constants,  $k(T)$ , are calculated for the 300–2000 K temperature range using the canonical transition-state theory, where degeneracy is considered in the symmetry of reactants and products:

$$k(T) = \frac{k_B T}{h} \exp\left(\frac{\Delta S_c^{\ddagger}}{R}\right) \exp\left(\frac{-\Delta H^{\ddagger}}{RT}\right) \left(\frac{RT}{P^0}\right)^{\Delta n^{\ddagger}}$$

The rate constants<sup>151–153</sup> were corrected utilizing the Eckart asymmetric model<sup>154–156</sup> for tunneling and then fitted to a modified form of the Arrhenius equation to determine elementary rate parameters ( $A'$ ,  $n$ ,  $E_a$ )

$$k(T) = A' T^n \exp\left(\frac{-E_a}{RT}\right)$$

For positions involving the C9 hydrogens, such as A4  $\rightarrow$  A5 + H<sub>2</sub>O, the rates were doubled to account for the equivalent hydrogens at that location.

Temperature- and pressure-dependent rate constants are calculated using the multichannel, multifrequency quantum Rice–Ramsperger–Kassel (QRRK) analysis for  $k(E)$  with master equation for falloff and stabilization as implemented in the CHEMASTER code.<sup>149,150</sup> The code also includes input parameters for temperature and pressure ranges, mass of the species, Lennard-Jones transport parameters for the collider molecule, third-body bath gas, reactants, and a reduced set of three representative vibrations and their degeneracies. To correctly account for product distribution from chemically activated reactions, energy dependence of the rate constant,  $k(E)$ , must be considered. The steady-state assumption is applied to the energized adduct where both forward and reverse reaction paths are calculated. In comparison, the formation of products is not reversible, only adjacent product formation is considered, and subsequent unimolecular dissociations are handled separately.

### 3. RESULTS AND DISCUSSION

Representations of the species in this study are provided in Figures 1 and 2, as well as the PE diagrams (enthalpy profiles) in Figures 2 and 3. Calculated  $\Delta H_f^{\circ}$ <sub>298</sub> values from CBS-QB3

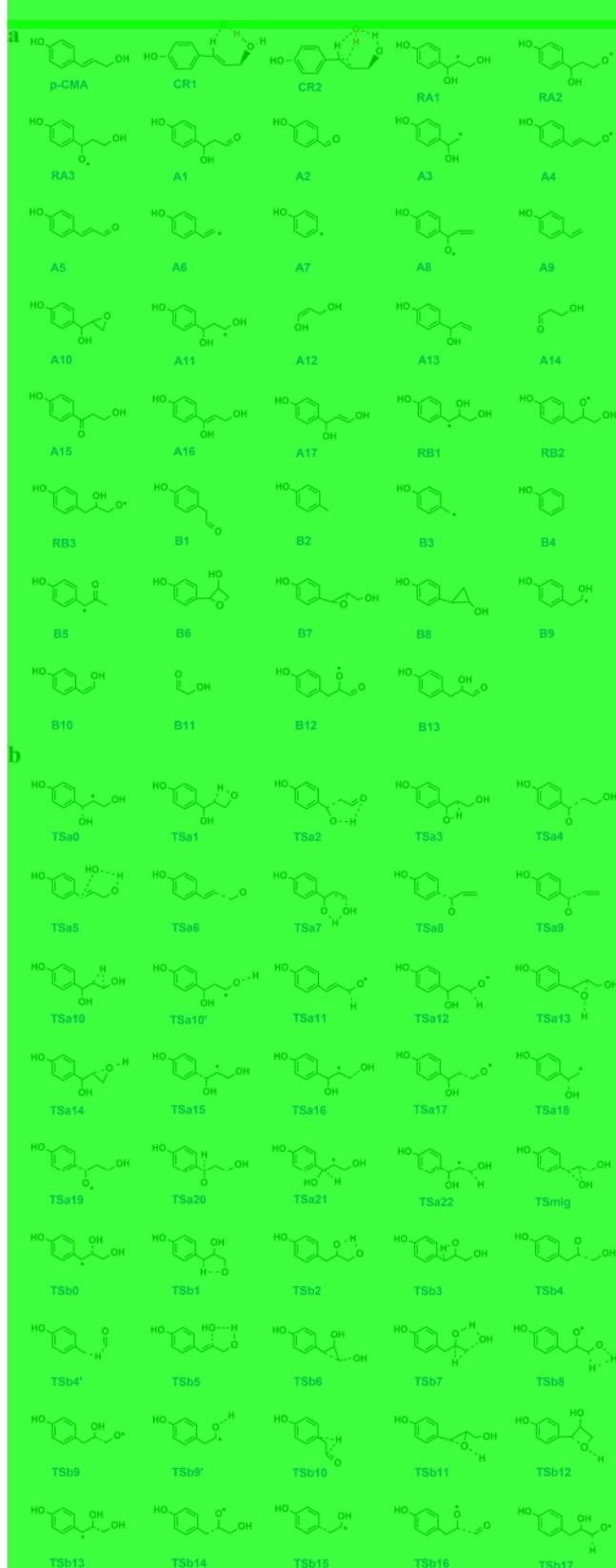


Figure 4. (a) Structures of reagents, complex reactants, and products in the *p*-CMA + OH system. (b) Structures of transition states in the *p*-CMA + OH system.

and  $S_{298}^0$  and  $C_p(T)$ , for  $300 \leq T \leq 1500$  K, from  $\omega$ B97XD/def2-TZVP calculations are presented in the Table S3. Entropy and heat capacities values are the combination of the

contributions from the translations, vibrations, and external rotations with corrections for single bond rotations with barriers below 5 kcal/mol. Optimized geometries, moments of inertia, and vibrational frequencies are included in the Supporting Information. Other employed small species not calculated in this study, such as H, H<sub>2</sub>, OH, H<sub>2</sub>O, CO, CH<sub>2</sub>O, HCO, CH<sub>2</sub>=CH, CH<sub>2</sub>OH, CH<sub>2</sub>=C=O, CH<sub>2</sub>=CHOH, CH<sub>2</sub>CH<sub>2</sub>OH, and CH<sub>2</sub>=CHCHO, are also listed in Table S3, taken primarily from Goldsmith et al.,<sup>152</sup> NIST-JANAF Thermochemical Tables,<sup>153</sup> and Active Thermochemical Tables (ATCT).<sup>153</sup>

### 3.1. Addition of OH Radicals to the Double Bond.

Hydroxyl addition to *p*-CMA generates chemically activated adduct radicals which can further isomerize, decompose to form products, or become stabilized through collisions, as it occurs with simpler reactions of OH radicals,<sup>154–157,160–162</sup> thus to create also some of the polyhydric alcohols found in nature. Scheme 1 shows these reactions considered in our current analysis, where corresponding energetic diagrams are depicted from CBS-QB3 energies in Figures 2 and 3. Structures of the reagents, products, and transition states are shown in Figure 4.

Addition at the *ipso*-carbon position of the benzene ring could lead to ring-opening products such as dienones,<sup>158–160</sup> but our current analysis does not consider this scenario because the aromatic ring is conserved in the major phenolic products both under our fractional<sup>16</sup> and conventional<sup>16</sup> pyrolysis conditions. The same also occurs during the pyrolysis of CFA, which follows from our initial results on pyrolysis of vaporized reagents, as well as from those reported by Kawamoto et al.<sup>16</sup> and Masuku<sup>16</sup> at primary pyrolysis temperatures and by Harman-Ware et al.<sup>16</sup> at 650 °C.

A prereaction complex can form before reactions of *p*-CMA + OH occur. Even though knowledge of the exact nature of the prereaction complex is not essential from a kinetic point of view, its identification is necessary to understand the mechanism of the addition reactions.<sup>163–165</sup> The prereaction complexes involve weak intermolecular forces which stabilize and lower the energies before the reaction takes place. This is similar to results observed in the model OH + AA precursor reaction.<sup>166</sup> Prereaction complexes for hydroxyl addition to the double bond are known not only for AA<sup>167–169</sup> but also for model reactions with vinyl alcohol<sup>169</sup> and imidazole.<sup>168</sup>

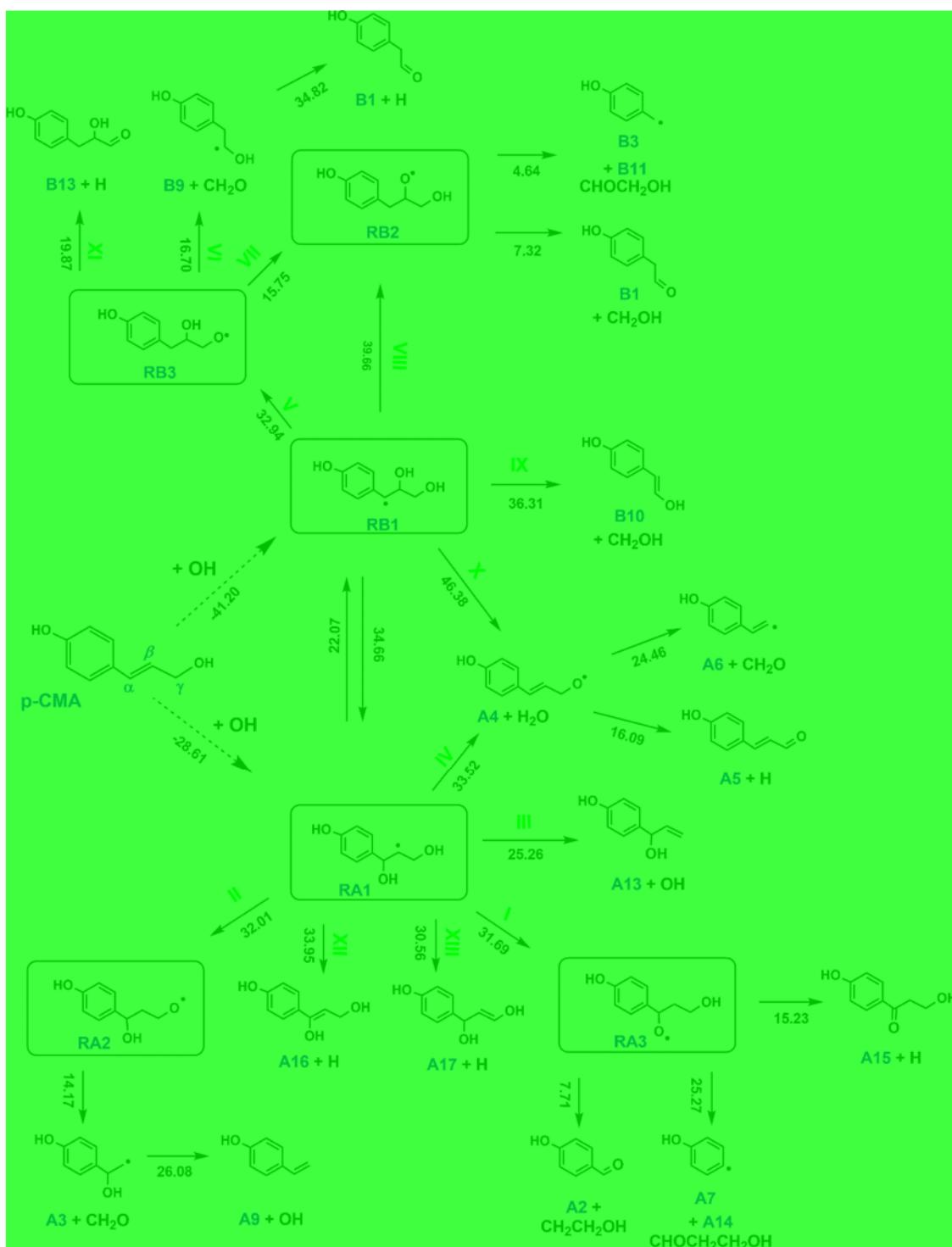
Our analysis considered the reaction of *p*-CMA and hydroxyl radicals as an initial two-step reaction utilizing the method proposed by Alvarez-Idaboy et al.,<sup>154,155</sup> which has been implemented in similar reactions.<sup>160,161,163–165</sup> As seen in Scheme 1, the bimolecular reaction quickly forms an equilibrium, with a forward ( $k_1$ ) and reverse ( $k_{-1}$ ) rate constant and a complex reactant (CR) followed by the irreversible formation for the products,  $k_2$  rate constant. This allows for the following relationship

$$k = \frac{k_1 k_2}{k_{-1}} = K_c k_2$$

where  $K_c = k_1/k_{-1}$  is defined as the equilibrium constant between the *p*-CMA and OH reactants and the individual complex reactants (CR1 and CR2). Subsequent reactions from these complex reactants produce products, including RA1 and RB1, which will then each have an individual rate constant, denoted  $k_2$ .

For the addition of OH to *p*-CMA, two types of prereaction complexes are identified in this work, CR1 and CR2. They both

**Scheme 1. Reaction Pathways Triggered by OH-Addition to the C7( $\alpha$ )- and C8( $\beta$ )-Atoms of the Double Bond in p-CMA, Calculated at the CBS-QB3 Level**



<sup>a</sup>Data on arrows represent corresponding enthalpy barrier heights in kcal/mol. Reaction Channel (ChN) notation is given in Roman Numerals (for N).

involve interactions between O and H atoms of the nascent OH radical with H and O atoms of the terminal OH group, as well as the  $\pi$ -cloud of the double bond, respectively, using the unrestricted  $\omega$ B97XD/6-31G(d,p) method. However, only one of those is an actual CR (*vide infra*, Figure 5a,b).

The first complex, CR1, involves two classical H-bonding interactions. One bond occurs between the hydroxyl group and

a hydrogen on C7 while a second bond occurs between the hydroxyl radical and the terminal oxygen of the hydroxyl group on C9. The second complex, denoted as CR2, involves a single hydrogen bond between the hydroxyl radical and the hydrogen of the terminal hydroxyl group on C9. A second interaction also exists between the proton of the OH radical and  $\pi$ -electrons of the double bond between C7 and C8. In Part III, our analysis

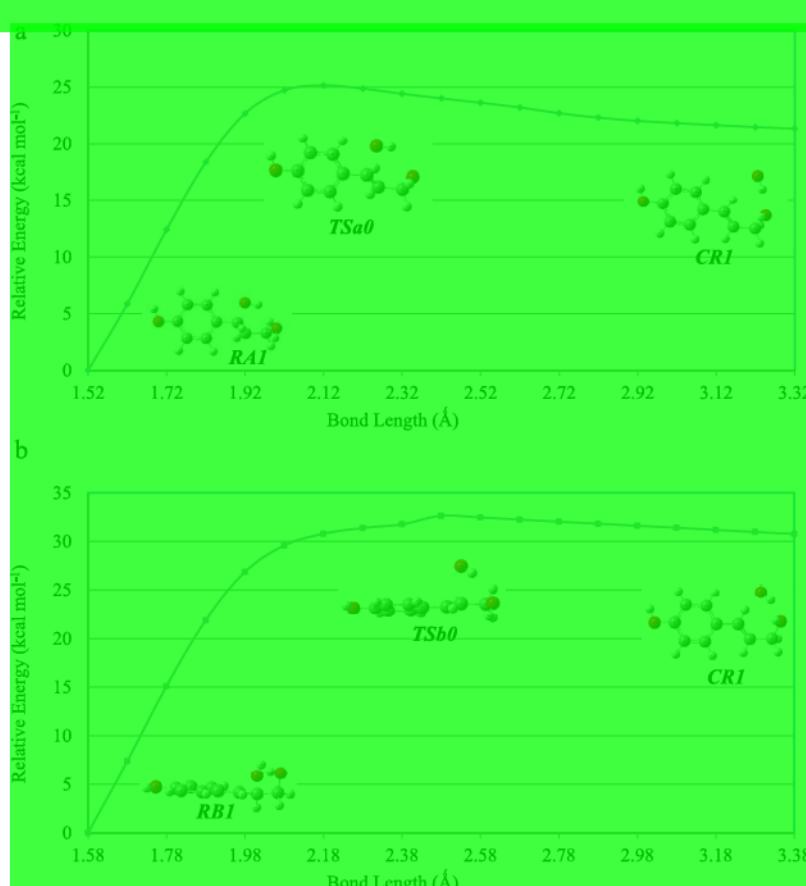


Figure 5. (a) Bond scan of CR1 to RA1 via TSa0. (b) Bond scan of CR1 to RB1 via TSb0.

will focus on chemical kinetics of H-abstractions from *p*-CMA where we will detail more H-abstraction prereaction complexes.<sup>38</sup>

Bond length relaxed scans are performed, Figure 5a,b, from the more stable and directional CR1 complex to both RA1 and RB1 adducts over distances of 1.52–3.32 and 1.58–3.38 Å, respectively. These scans located corresponding transition states, denoted as TSa0 for OH addition to C7(α) and TSb0 for OH addition to C8(β), to the adduct using  $\omega$ B97XD with the moderate 6-31G(d,p) basis set. Geometries were reoptimized at  $\omega$ B97XD/def2-TZVP, converging on bond lengths from OH to C7(α) and C8(β) of 2.12 (TSa0) and 2.76 Å (TSb0), respectively. The TSa0 bond length of 2.12 Å is in line with transition states determined for OH addition to the double bond in AA,<sup>39</sup> vinyl alcohol,<sup>40</sup> and styrene<sup>41</sup> at distances of 2.03–2.25 Å. TSb0 has a longer bond length because of the proximity and interaction of the terminal hydroxyl group while the hydrogen bond created from the hydrogen of the hydroxyl group added and the terminal oxygen of the hydroxyl group are at 2.14 Å.

The well depths for OH + *p*-CMA to form RA1 and RB1 calculated in Part I ( $\omega$ B97XD/def2-TZVP values) were 27.3 and 39.7 kcal/mol compared with CBS-QB3 values of 28.6 and 41.2 kcal/mol, respectively, provided here. As mentioned above, these values are consistent with well depths of 30.7 and 42.0 kcal/mol and 31.1 and 42.2 kcal/mol, respectively, evaluated with the  $\omega$ B97XD and M06-2X methods with the minimally augmented basis set 6-31+G(d,p). It is noteworthy that in all cases, the chemically activated RB1 adduct is preferred thermodynamically to RA1 by approximately 12 kcal/mol,

mol, regardless of the method employed. The preferred formation of the α-radical adduct can be explained by the synergistic effect of the conjugation with the aromatic ring proximal radical center along with the strong H-bonding interaction between vicinal OH groups of the β-adduct (1,2-diol radical). A consistent result from the composite method further verifies applying DFT methods for these types of reactions.

In addition to all of the species described in Part I, 27 novel transition states and 17 stable species were also added into our analysis to depict this system more accurately, as shown in Figures 2 and 3. Some of these transition states were determined to replace single-step dissociation limits utilized in Part I with the exception of RA1 to A1 and RB3 to B1 + CH<sub>2</sub>O + H. In these cases, a single-step TS could not be located; thus, multiple steps were employed. β-Scission reactions (TSa15, TSa16, TSb13) and the hydroxyl group transfer from RA1 to RB1 (TS mig) are now included in our analysis.

However, for further kinetic analysis, only the most affordable (lowest energy) pathways were selected and analyzed (defined by solid lines in Figures 2 and 3). Our analysis was focused on the formation mechanisms of more intricate oxygenated products observed in different experiments. This includes initial results on vaporized reagents pyrolysis, particularly producing increased amounts of benzofuran (BF) and acetophenone derivatives understudied in the literature (partly in catalytic processes<sup>42</sup>), in addition to the typical major products formed from conventional and fractional pyrolysis of *p*-CMA described above (provided in refs 38 and

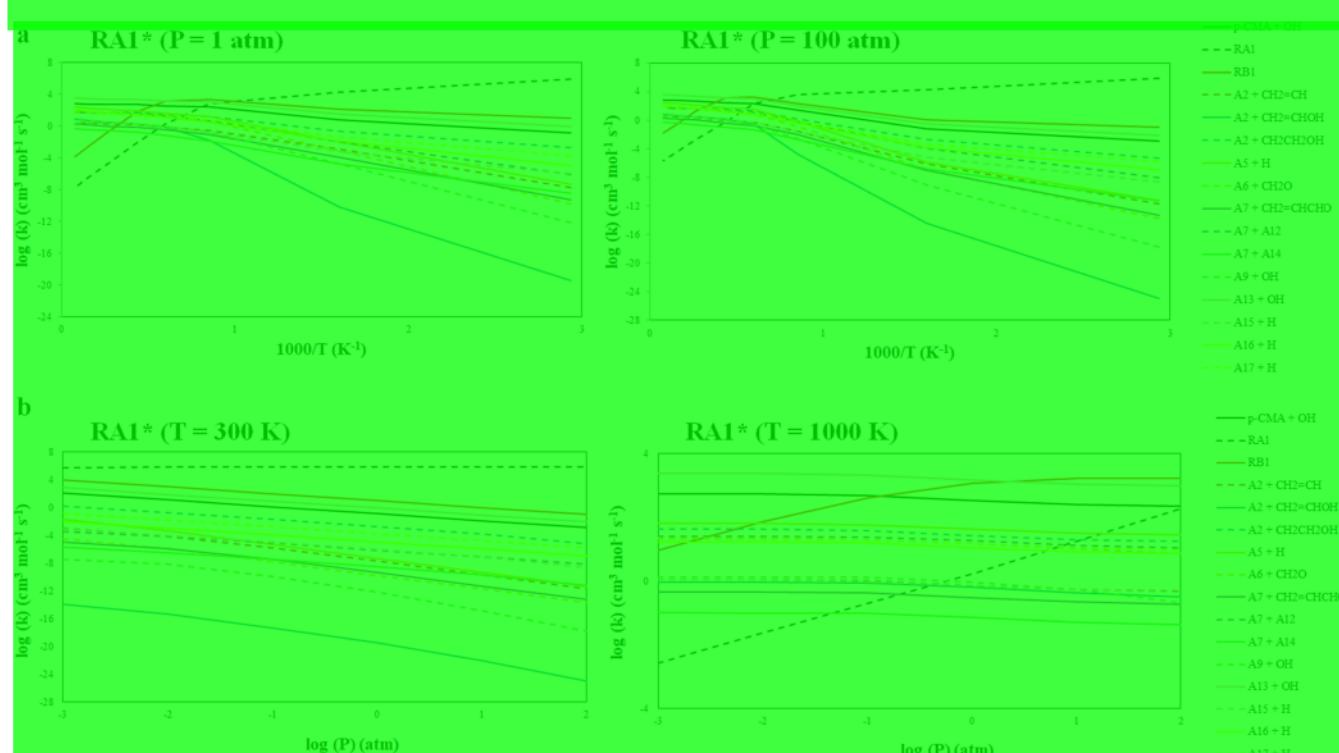


Figure 6. (a) Chemical activation rate constants vs temperature for the  $\text{RA1}^*$  radical at pressures of 1 and 100 atm. (b) Chemical activation rate constants vs pressure for the  $\text{RA1}^*$  radical at temperatures of 300 and 1000 K.

), such as phenol, *p*-cresol, 4-vinylphenol, dihydro-*p*-CMA, and *p*-CMA-aldehyde.

**3.1.1. Addition-Elimination and Isomerization Reactions at  $C_\alpha$ .** From the PE (enthalpy) diagrams, the addition of OH radical to the  $C_\alpha$  atom of the double bond forms an energized adduct  $\text{RA1}^*$  (Figure 2) with a well depth of 28.61 kcal/mol. The \* (star) denoting an activated state is mostly omitted in further discussions for brevity. The  $\text{RA1}^*$  radical can be further converted into other intermediates and products through different channels. In Figures 2 and 3, the relative energy barriers for the energized adducts, chemically activated by hydroxyl addition to *p*-CMA, are generally affordable, producing a variety of isomerization and decomposition products. We hopefully provide the important pathways in this analysis.

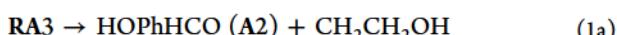
Two simple 1,3-hydrogen shift reactions constitute channels I and II, shown in Scheme 1, leading to the generation of two main isomers of the  $\text{RA1}$  adduct, viz.,  $\text{RA2}$  and  $\text{RA3}$ . Scheme 1 has the reaction channel (ChN) notation where the pathway (N) is given in Roman Numerals.

Channel I: The first pathway in Scheme 1 is for  $\text{RA1}$  to undergo a 1,3-hydrogen shift to convert to  $\text{RA3}$  over a 31.69 kcal/mol barrier for  $\text{TSa3}$  given in eq 1.

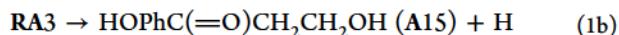


$\text{RA3}$  is then able to further react via several other pathways

ChIa: A low-energy  $\beta$ -scission over  $\text{TSa4}$  (7.71 kcal/mol) to form one of the main pyrolysis products hydroxy-benzaldehyde ( $\text{A2}$ ) and generate the  $\text{CH}_2\text{CH}_2\text{OH}$  radical (eq 1a). These products sit at  $-18.35$  kcal/mol below the initial entrance channel.

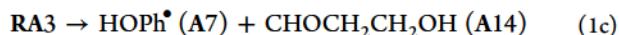


ChIb:  $\beta$ -Scission in  $\text{RA3}$  to eliminate a H atom at C7 (eq 1b) to form a complex oxygenate hydroxymethyl-hydroxyphenyl ketone,  $\text{A15}$  (Figure 4a), over  $\text{TSa20}$  (15.23 kcal/mol).



$\text{A15}$  represents one of key lignin pyrolysis products HHPP (*vide infra*).  $\text{A15}$  consists of an acetophenone core amended by a  $\text{CH}_2\text{OH}$  group at the methyl terminus.

ChIc: A bond dissociation over  $\text{TSa19}$  (25.27 kcal/mol) forms hydroxyphenyl radical ( $\text{HOPh}^*$ ,  $\text{A7}$ ), a precursor of the various substituted C1-phenols, along with hydroxypropionaldehyde ( $\text{CHOCH}_2\text{CH}_2\text{OH}$ ,  $\text{A14}$ ) better known as the antimicrobial compound reuterin (eq 1c).

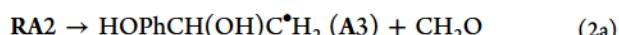


Channel II: The isomerization of  $\text{RA1}$  radical to  $\text{RA2}$  radical intermediate occurs via H-transfer from the  $\gamma$ -OH group through the barrier of  $\text{TSa1}$  of 32.01 kcal/mol height (eq 2) and Figure 2.



This  $\text{TSa1}$  barrier is also somewhat (3.40 kcal/mol) higher than the energy of the free reactants (entrance channel), used as a reference level. The  $\text{RA2}$  isomer radical is stable—approximately at the same well depth of  $\text{RA1}$  at  $-24.21$  kcal/mol.

ChIIa: A low-energy (14.17 kcal/mol) pathway generates products  $\text{CH}_2\text{O}$  and  $\text{A3}$  intermediate carbon-centered radical (eq 2a) from  $\text{RA2}$ . It further decomposes into a main pyrolysis product 4-vinylphenol (A9, aka hydroxystyrene) and OH requiring ca. 26 kcal/mol energy in eq 2b.



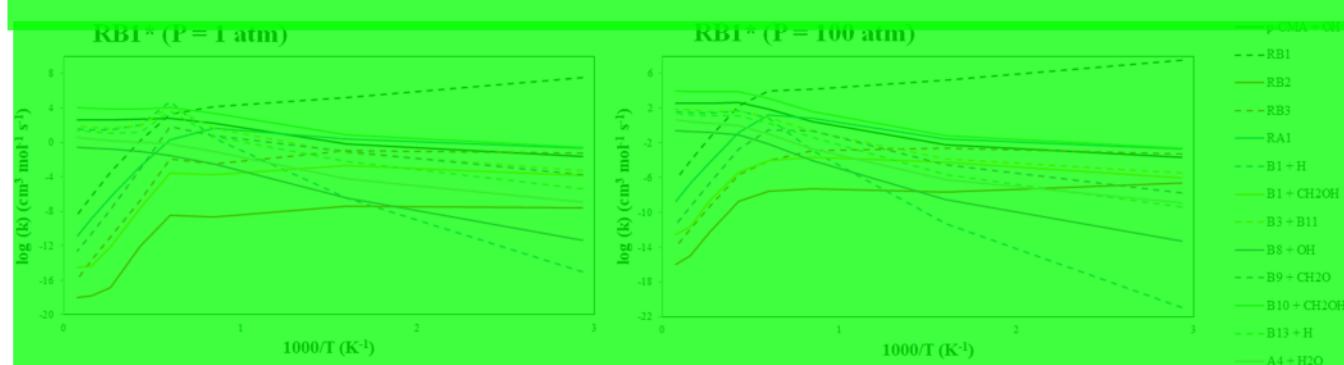


Figure 7. Chemical activation rate constants vs temperature for the RB1\* radical at pressures of 1 and 100 atm.

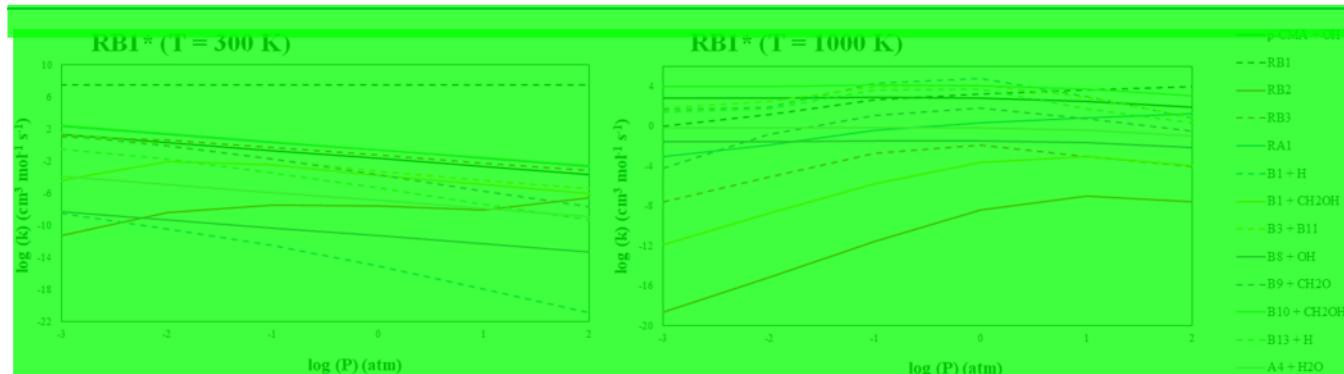


Figure 8. Chemical activation rate constants vs pressure for the RB1\* radical at temperatures of 300 and 1000 K.

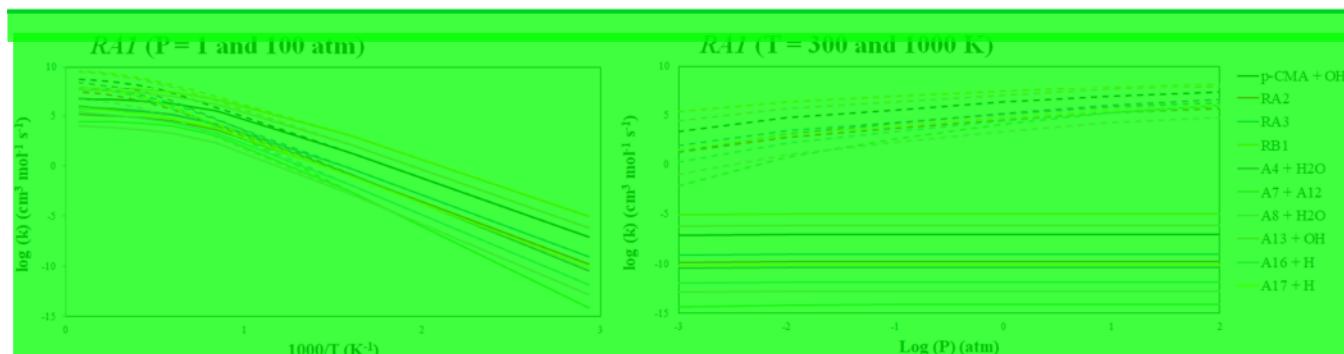
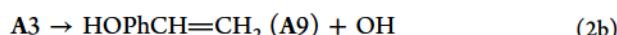


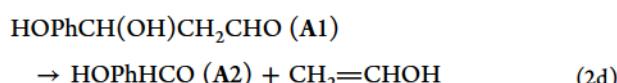
Figure 9. Rate constants vs pressure (1 and 100 atm, solid and dashed lines, respectively) and vs temperature (300 and 1000 K, solid and dashed lines, respectively) for RA1 isomerization and dissociation reactions.



ChIIB: The loss of a H atom from the C $\gamma$ -position of RA2 (eq 2b) generates an  $\alpha$ -hydroxy-isomer of coumaraldehyde (A1) ( $\Delta E^\ddagger = 22.57$  kcal/mol while still below the entrance level). It also contributes to the regeneration of H atoms, one of the reactive “pool radicals.”

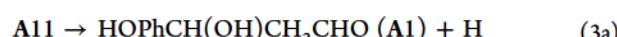
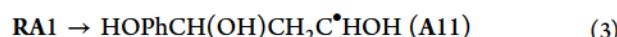


ChIIC: A barrier energy of 33.31 kcal/mol representing the C $\alpha$ –C $\beta$  bond breaking to form the A2 product with the CH $_2$ =CHOH radical in eq 2c.

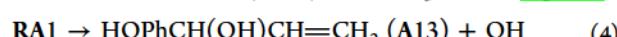


Channel II': A secondary pathway, eqs 3 and 3a, to generate the same products as in eq 2c involves RA1 with an initial H atom transfer from the C $\gamma$ -position to the C $\beta$ -position over a

41.29 kcal/mol energy barrier height (via TSa10), leading to the formation of HOPhCH(OH)CH $_2$ C $^\bullet$ HOH (A11)  $\gamma$ -radical species approximately 3 kcal/mol lower in energy than RA1. A11 can then lose the H atom from the  $\gamma$ -OH group over a 33.85 kcal/mol energy barrier to form OH-substituted hydroxyphenyl  $\alpha$ -hydroxy propionaldehyde A1 and H atom (eq 3a).



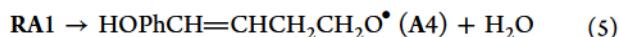
Channel III: A more favorable and lower energy pathway (eq 4) exists for  $\beta$ -scission of the terminal OH group of C $\gamma$ , creating 4-(1-hydroxy-2-propenyl)phenol (HPP), HOPhCH(OH)CH=CH $_2$  (A13), and  $\alpha$ -hydroxy isomer of p-CMA (Figure 4).



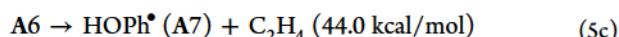
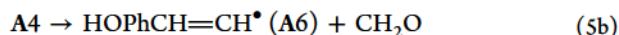
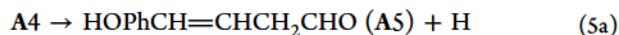
The formation of A13 + OH via  $\beta$ -scission of RA1 (eq 4) is one of the lowest barrier pathways (25.26 kcal/mol barrier over

TSa16)—the second lowest energy only to RB1 formation over TS<sub>1</sub>g, 22.07 kcal/mol. Chemical activation (Section 3.2.1) and the isomerization and dissociation of RA1 (Figure 9) both show the importance of this pathway with the only initial barrier below the entrance level by 3.35 kcal/mol. Figure 9 also depicts how dominating these pathways are, including the reformation of *p*-CMA + OH, for RA1 isomerization and dissociation.

Channel IV: The activation of readily available hydroxyl groups in lignin and lignols can particularly provide opportunities for the intramolecular dehydration processes. Although molecular dehydration (even that catalyzed by water<sup>10</sup>) is an energy-demanding process,<sup>10,11–16</sup> the dehydration of intermediate radicals is more favorable energy-wise<sup>10,17</sup> to generate various radical intermediates and products. Dehydration by nascent OH radicals can involve both alkyl and phenolic types of OH groups, as well as skeletal H atoms (eq 3).



*ChIVa* and *ChIVb*: Dehydration involving  $\alpha$ -OH of RA1 via roaming-like TSa5 (located 4.91 kcal/mol above the entrance channel) produces O-centered radical intermediate A4 (eq 3), which decomposes further to form *p*-CMA-aldehyde (A5) and H atom (eq 5a, *ChIVa*) or, alternatively, A6 + CH<sub>2</sub>O (eq 5b, *ChIVb*). Chemical activation shows that A5 formation is favorable at <500 K. A6 can add up an H atom from a donor to form an abundant pyrolysis product 4-vinylphenol, whereas its dissociation is of higher energy and requires 44.0 kcal/mol energy (eq 5c).<sup>21</sup>



A4 is a key radical intermediate identified in our earlier study on H + *p*-CMA, denoted as R(O9),<sup>22</sup> to generate the controversial (for *p*-CMA, *vide supra*) product A5 representing *p*-CMA-aldehyde.

One of the major focal points of Part I of our analysis was the OH roaming-like dehydration transition states and their ability to offer lower energy barriers for *p*-CMA + OH reactions. A roaming-like dehydration mechanism was proposed previously while analyzing the OH + AA model reaction and how it provides a low-energy dehydration pathway to explain various experimental data.<sup>10</sup> A low-energy roaming pathway was also identified in Part I for the reaction of OH with *p*-CMA monomer (TSa5).<sup>23</sup>

In Part I of our analysis, the pure DFT  $\omega$ B97X-D/def2-TZVP level, which additionally involves corrections for dispersion and long-range interactions, predicted a roaming-like transition state (TSa5), generating a barrier energy of 25.54 kcal/mol which was the lowest energy pathway from RA1, being 1.73 kcal/mol below the entrance level. In contrast, in our current analysis, we note that the roaming dehydration pathway does not provide the lowest energy barrier originating from the RA1 adduct using the CBS-QB3 methodology utilizing the B3LYP functional for geometry optimizations with modest characterization of dispersion interactions. The transition states for both 1,3-H shift reactions (viz., TSa1 and TSa3 in Figure 2) are located 1–2 kcal/mol lower than TSa5. TS for  $\beta$ -scission of OH radical (TSa16) to form A13 is even lower at *ca.* 3.35 kcal/mol below the entrance channel.

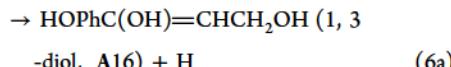
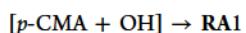
The comparison of the corresponding TSa5 geometries by two DFT-based methods, however, does not show significant differences. Both resemble H-abstraction TSs; however, because of the flatness of the PES region, a full downward optimization leads to the 1,3-diol adduct rather than the isolated reagents, as would be expected for a classical bimolecular reaction. This is similar to what was observed for the OH + AA model reaction.<sup>10</sup> In the meantime, a group of DFT methods involving Grimme's D3-dispersion corrections (such as B97D3, BP86-D3, and N11-D3) predict a saddle point with typical roaming features (loose structures, the energies below the reagents asymptote, etc.).

In contrast to the primarily DFT-based results in smaller model OH + AA analysis (see the Supporting Information in ref 102 for an extended discussion), a typical roaming-like TS structure was identified also at MP2<sup>24</sup> *ab initio* level for OH + *p*-CMA system on a flat PE surface region.

The roaming features in OH + AA and OH + *p*-CMA are similar to that found for the CH<sub>2</sub>CH<sub>2</sub>OH adduct of the OH + C<sub>2</sub>H<sub>4</sub> reaction by Kamarchik et al.,<sup>25</sup> based on a large series of comprehensive experimental and theoretical studies.

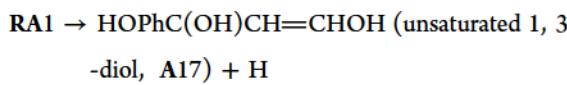
Dehydration can also occur via direct H-abstraction by H atoms generated during pyrolysis. The kinetics of all possible H-abstraction reactions (direct dehydration channels as well as other abstraction via pool radicals) will be detailed in a separate publication on kinetics, including analysis of a prereaction complex (CRs) formation. Comparisons to available resulting products including 4-vinylphenol (A9), HPP, O=Ph=CH—CH=CH<sub>2</sub>, and A4 will be discussed.

Channel XII: The  $\beta$ -Scission of RA1 adduct leads to the formation of 1,3-diol molecule (A16) + H via TSa21. In fact, this is an exchange of the  $\alpha$ -H atom of *p*-CMA by nascent OH radical (eq 6a).



The overall process is almost thermoneutral (exothermic by only 0.23 kcal/mol, Figure 2), however, there is a substantial barrier located above the entrance channel by 5.34 kcal/mol for the formation of A16. On the other hand, the barrier for OH + *p*-CMA addition is located below the entrance level via the formation of a prereaction complex (*vide supra*). The relatively low dissociation barrier is due to the formation of a strong hydrogen bond in the resulting diol molecule. Because dissociation is favored by entropy, the analysis of the kinetics of this reaction in detail is important (see, Section 3.2).

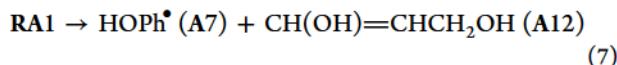
Channel XIII:  $\beta$ -Scission of the RA1 adduct leads also to the formation of an unsaturated 1,3-diol molecule (A17) + H via TSa22 and the loss of a  $\gamma$ -H atom from RA1 (eq 6b).



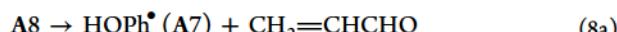
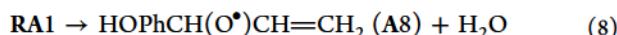
The overall process is, again, thermoneutral (endothermic with an overall product energy of 0.03 kcal/mol) with an energy barrier, TSa22, of 30.56 kcal/mol height.

Channel XIV: Some higher-energy pathways leading to the key pyrolysis products, as well as species determined to be important through chemical activation analysis such as phenol radical (A7) and RA1 dehydration product HOPhCH(O<sup>•</sup>)-CH=CH<sub>2</sub> (A8), are also analyzed.

*ChXIV'*: The phenol radical (A7) can be directly generated through  $\beta$ -scission of RA1 over TSa15 (+39.05 kcal/mol) to additionally form  $\text{CH}(\text{OH})=\text{CHCH}_2\text{OH}$  (A12) according to eq 7.



Channel XIV": *ChXIV" a*: Conventional dehydration through TSa7 (35.34 kcal/mol) involving the  $\gamma$ -OH group (eq 8) to form A8 followed by bond cleavage over TSa8 (19.17 kcal/mol) to generate A7 radicals to contribute to the main product phenol formation + acrolein,  $\text{CH}_2=\text{CHCHO}$  (eq 8a).



*ChXIV" b*: A8 can also split into benzaldehyde (hydroxy-derivative, A2) via TSa9 (eq 8b).



Note that a lower energy competitive reaction via TSa4 to form A2 +  $\text{CH}_2\text{CH}_2\text{OH}$  is also available to produce A2 (*ChI*, eq 8).

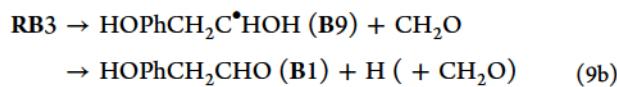
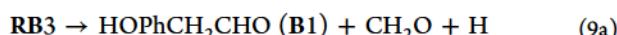
**3.1.2. Addition-Elimination and Isomerization Reactions at  $\text{C}_\beta$** : The addition of OH radical to the  $\text{C}_\beta$  generates RB1\* to trigger a variety of chemically activated pathways:

Channel V: Isomerization of RB1\* is most energetically favored channel via TSb1 located 8.26 kcal/mol below the entrance level to form RB3 intermediate radicals (eq 9)



Further reactions form RB3 via *ChV* are, however, somewhat complicated because of the variety of other emerging pathways described further as *ChVI*, *ChVII*, and *ChXI* channels.

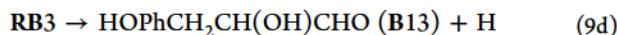
There is an entropically favored option for direct decomposition of the RB3 isomer via  $\beta$ -scission. A straightforward pathway to form B1 involving concerted H-elimination of the OH group at  $\text{C}_\beta$  (eq 9a) requires only 35.67 kcal/mol energy (*ChVI*). There is an alternative to the stepwise decomposition (eq 9b) through transition-state TSb9 and B9 intermediates.



RB3 can also isomerize to RB2 via TSb2 (eq 9c), to make available low-energy pathways via TSb4 and TSb14 (*vide infra*, *ChVII*).

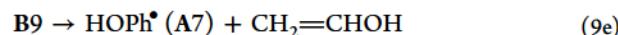


The final pathway is for H atom elimination on the  $\text{C}_9$  position of the RB3 radical over TSb17 forming B13 (*ChXI*, eq 9d).



Channel VI: *ChVIa*: The  $\text{C}_8-\text{C}_9$  bond in RB3 is broken during the first step over TSb9 at an energy cost of 16.70 kcal/mol. This creates formaldehyde and  $\text{HOPhCH}_2\text{C}^\bullet\text{HOH}$  (B9) intermediate radical, which then further reacts over a larger 34.82 kcal/mol energy barrier (TSb9'), representing the loss of the H atom from the terminal hydroxyl group creating B1 (eq 9e).

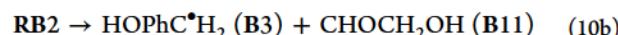
B9 can also undergo another  $\beta$ -scission (eq 9e) to form *para*-hydroxy phenyl radicals (A7) and vinyl alcohol ( $\text{CH}_2=\text{CHOH}$ ) over TSb15 with a higher energy requirement of 40.67 kcal/mol.



Channel VII: *ChVIIa*: As was pointed out in Part I of our analysis, an alternative to straightforward B1 formation (*vide infra*), the isomerization of RB3 to RB2 can occur over TSb2 (15.75 kcal/mol height)—almost isoenergetic to the TSb9 transition state, followed by a small 7.32 kcal/mol energy barrier TSb4 for  $\text{C}_\beta-\text{C}_\gamma$  bond fission to form 4-hydroxyphe-nylacetaldehyde (B1) as seen in eq 10a.



*ChVIIb*: An even faster process triggered by RB2 can occur over the remarkably low-energy barrier TSb14 (4.64 kcal/mol height), leading to the formation of B3 (eq 10b), the radical precursor of *p*-cresol, one of the most abundant products of *p*-CMA pyrolysis, and B11.



*ChVIIc*: Direct decomposition ( $\beta$ -scission) of the RB2 isomer also is a straightforward pathway to form B1 via H-elimination of OH group at the terminal  $\text{C}_\gamma$ -atom. This kinetically favored pathway also requires a low energy of 36.87 kcal/mol (eq 10c).

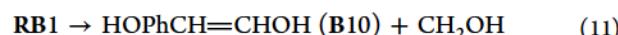


Channel VIII: There is an alternative isomerization channel for the RB1 adduct to form RB2 radicals via (eq 11) to play an important role following a direct decomposition and two low-barrier product formation pathways.



Isomerization of RB1\* to form RB2 (eq 11) over the 39.66 kcal/mol energy barrier (1.54 kcal/mol below the entrance level) for TSb3 is an energetically favored process. Further reaction pathways (*ChVIIIa,b*) overlap with the *ChVIIa,b*, leading to two low-energy barrier reactions via TSb4 and TSb14 according to eqs 10a and 10b.

Channel IX:  $\beta$ -Scission of RB1 between the  $\text{C}_\beta-\text{C}_\gamma$  bond (eq 11) has a barrier height of 36.31 kcal/mol over TSb13 to create  $\text{HOPhCH}=\text{CHOH}$  (B10) and an active radical  $\text{CH}_2\text{OH}$ , which can further develop the chain reactions. This is the most energetically preferred reaction initiated from RB1 with the barrier below the entrance channel by 4.89 kcal/mol, while also being entropically preferred. The chemical activation analysis shows that these products are the fastest reaction triggered by RB1\* across the temperatures and pressures considered (see Section 3.2.2).



The  $\beta$ -scission reaction of RB1 over TSb13 has a barrier height similar to that of RA1 formation over TSmig. B10 formation is shown to be the fastest triggered by the RB1 reaction, although the isomerization to RA1 is close, but as the temperature and pressure increases, the B10 formation dominates overall.

Channel X: As was seen with RA1, dehydration reactions are kinetically important to the *p*-CMA + OH reaction scheme presented. The conventional dehydration of RB1 to form A4 +  $\text{H}_2\text{O}$  via TSb5 is located 5.18 kcal/mol above the entrance

Table 1. Summary of Elementary High-Pressure Rate Parameters for Reactions Studied in the *p*-CMA + OH System<sup>a</sup>

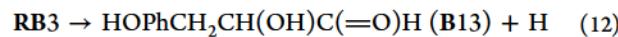
reactions	forward			reverse		
	<i>A'</i>	<i>n</i>	<i>E<sub>a</sub></i>	<i>A'</i>	<i>n</i>	<i>E<sub>a</sub></i>
<i>p</i> -CMA + OH → RA1	$2.71 \times 10^{-9}$	3.43	-8.1	$1.45 \times 10^{12}$	0.37	27.1
RA1 → RA2	$2.84 \times 10^8$	1.17	28.7	$2.84 \times 10^8$	1.35	24.3
RA1 → RA3	$1.40 \times 10^9$	1.10	28.3	$1.54 \times 10^8$	1.49	23.2
RA1 → RB1	$2.98 \times 10^{10}$	0.86	22.2			
RA1 → A4 + H <sub>2</sub> O	$1.52 \times 10^9$	1.45	31.8	$7.22 \times 10^{-2}$	3.55	14.9
RA1 → A7 + A12	$4.42 \times 10^{14}$	0.06	39.7			
RA1 → A8 + H <sub>2</sub> O	$5.32 \times 10^8$	1.14	33.4	$4.81 \times 10^{-1}$	2.97	14.6
RA1 → A10 + H	$2.14 \times 10^9$	1.16	65.5			
RA1 → A11	$9.16 \times 10^5$	2.01	37.2	$1.19 \times 10^6$	2.06	40.1
RA1 → A13 + OH	$7.75 \times 10^{10}$	0.89	25.5			
RA1 → A16 + H	$5.34 \times 10^6$	2.09	32.6			
RA1 → A17 + H	$8.54 \times 10^5$	2.22	28.9			
RA1 → B7 + H	$1.57 \times 10^8$	1.39	56.2			
RA2 → A1 + H	$6.63 \times 10^9$	1.31	21.8	$6.18 \times 10^7$	1.71	6.5
RA2 → A3 + CH <sub>2</sub> O	$1.80 \times 10^{12}$	0.44	14.7	$1.67 \times 10^{-1}$	3.16	-1.4
RA3 → A2 + CH <sub>2</sub> CH <sub>2</sub> OH	$6.02 \times 10^{11}$	0.49	7.9			
RA3 → A7 + A14	$8.57 \times 10^{11}$	0.65	24.7			
RA3 → A15 + H	$4.02 \times 10^6$	2.04	13.6			
A1 → A2 + CH <sub>2</sub> =CHOH	$5.39 \times 10^6$	1.72	31.0			
A3 → A9 + OH	$4.30 \times 10^9$	1.15	25.5			
A4 → A5 + H	$4.48 \times 10^6$	1.86	14.4			
A4 → A6 + CH <sub>3</sub> O	$2.01 \times 10^{12}$	0.46	25.0			
A8 → A2 + CH <sub>2</sub> =CH	$8.58 \times 10^{11}$	0.47	15.0			
A8 → A7 + CH <sub>2</sub> =CHCHO	$1.12 \times 10^{12}$	0.61	19.4			
A11 → A1 + H	$6.14 \times 10^8$	1.42	32.1	$4.16 \times 10^6$	1.95	9.4
<i>p</i> -CMA + OH → RB1	$5.17 \times 10^{-11}$	3.97	-10.9	$2.02 \times 10^{12}$	0.44	37.4
RB1 → RB2	$1.02 \times 10^4$	2.67	35.0	$3.21 \times 10^3$	2.99	18.3
RB1 → RB3	$2.35 \times 10^3$	2.51	27.9	$6.62 \times 10^2$	2.69	10.1
RB1 → RA1	$2.43 \times 10^{12}$	0.40	35.2			
RB1 → B5 + H <sub>2</sub> O	$1.61 \times 10^8$	1.25	66.9			
RB1 → B6 + H	$2.81 \times 10^3$	2.49	64.8			
RB1 → B7 + H	$5.77 \times 10^8$	1.28	66.9			
RB1 → B8 + OH	$1.46 \times 10^{11}$	0.56	53.7			
RB1 → B10 + CH <sub>2</sub> OH	$2.07 \times 10^{10}$	1.40	35.9			
RB1 → A4 + H <sub>2</sub> O	$7.24 \times 10^3$	2.56	41.5			
RB2 → B1 + CH <sub>2</sub> OH	$9.04 \times 10^{11}$	0.56	7.5	$2.55 \times 10^{-1}$	3.01	-0.6
RB2 → B3 + B11	$4.97 \times 10^{11}$	0.51	4.8			
RB2 → B12 + H <sub>2</sub>	$6.98 \times 10^4$	2.65	101.7	$2.19 \times 10^1$	3.06	80.2
RB3 → RB2	$1.08 \times 10^8$	1.24	12.0	$1.25 \times 10^8$	1.38	13.1
RB3 → B9 + CH <sub>2</sub> O	$3.48 \times 10^{12}$	0.34	17.3	$1.65 \times 10^2$	2.43	6.3
RB3 → B13 + H	$4.23 \times 10^9$	1.32	19.2			
B1 → B2 + CO	$1.71 \times 10^4$	2.93	73.4			
B1 → B4 + CH <sub>2</sub> =C=O	$4.28 \times 10^{10}$	0.63	74.7			
B9 → B1 + H	$2.12 \times 10^7$	2.06	32.5			
B9 → A7 + CH <sub>2</sub> =CHOH	$6.56 \times 10^{12}$	0.38	41.0			
B12 → B1 + HCO	$1.26 \times 10^{12}$	0.55	4.3			

<sup>a</sup>Units: *A'* (mol cm<sup>-3</sup> s<sup>-1</sup>), *E<sub>a</sub>* (kcal mol<sup>-1</sup>).

level. Subsequent further decomposition of A4 into A5 and H atom, as seen in RA1 dehydration, could then occur (see ChIV).

The dehydration barrier (TSb5, 46.38 kcal/mol) is higher than that from RA1 (TSa5, 33.52 kcal/mol). This energy difference can be explained by the formation of a strained five-membered ring TSs in the TSb5 structure, leading to increased activation energy, unlike in the case of the 1,3-dehydration in RA1, which involves a more relaxed six-membered ring TSa5. The effect of TS ring-size on barrier heights is also observed and analyzed in the simpler OH + AA model reaction.<sup>10</sup>

Channel XI: A low-energy process from RB3, see ChVI and ChVII, can occur via elimination of the allylic hydrogen (at C9) to form an experimentally significant product B13: HOPhCH<sub>2</sub>CH(OH)C(=O)H (B13). BDE for this reaction is only 15.68 kcal/mol, whereas the barrier height constitutes 19.87 kcal/mol ( $\Delta E_{\text{rev}}^{\#} = 4.18$  kcal/mol)



Channel XV': The last important (although potentially insignificant) reaction pathway for RB1\* at mild conditions is loss of the  $\gamma$ -OH over TSb6 with an energy barrier of 53.79

Table 2. CHEMASTER Input Rate Constant Parameter Modifications for Well Species for the *p*-CMA + OH System<sup>a</sup>

reactions	original A'	modified A'	notes
A1 + H → RA2	$6.18 \times 10^7$	$1.00 \times 10^0$	lower A'
A1 → A2 + CH <sub>2</sub> =CHOH	$5.39 \times 10^6$	$5.07 \times 10^6$	$C_p$ ratio = 0.94
A3 → A9 + OH	$4.30 \times 10^9$	$3.61 \times 10^9$	$C_p$ ratio = 0.84
A4 → A5 + H	$4.48 \times 10^6$	$3.94 \times 10^6$	$C_p$ ratio = 0.88
A4 → A6 + CH <sub>2</sub> O	$2.01 \times 10^{12}$	$1.77 \times 10^{12}$	$C_p$ ratio = 0.88
A8 → A2 + CH <sub>2</sub> =CH	$8.58 \times 10^{11}$	$7.55 \times 10^{11}$	$C_p$ ratio = 0.88
A8 → A7 + CH <sub>2</sub> =CHCHO	$1.12 \times 10^{12}$	$9.86 \times 10^{11}$	$C_p$ ratio = 0.88
A1 + H → A11	$4.16 \times 10^6$	$1.00 \times 10^0$	lower A'
B12 + H <sub>2</sub> → RB2	$2.19 \times 10^1$	$1.00 \times 10^0$	lower A'
B9 + CH <sub>2</sub> O → RB3	$1.65 \times 10^2$	$1.00 \times 10^0$	lower A'
B1 → B2 + CO	$1.71 \times 10^4$	$1.35 \times 10^4$	$C_p$ ratio = 0.79
B1 → B4 + CH <sub>2</sub> =C=O	$4.28 \times 10^{10}$	$3.38 \times 10^{10}$	$C_p$ ratio = 0.79
B9 → B1 + H	$2.12 \times 10^7$	$1.80 \times 10^7$	$C_p$ ratio = 0.85
B9 → A7 + CH <sub>2</sub> =CHOH	$6.56 \times 10^{12}$	$5.58 \times 10^{12}$	$C_p$ ratio = 0.85
B12 → B1 + HCO	$1.26 \times 10^{12}$	$1.15 \times 10^{12}$	$C_p$ ratio = 0.91

<sup>a</sup>Units: A' (mol cm<sup>-3</sup> s<sup>-1</sup>).

kcal/mol to form B8 with a cyclopropane side chain. The structure of TSb6 forming a three-membered ring is the reason for the high energy barrier, but in comparison to the three- and four-membered oxygen containing ring (oxirane and oxetane, respectively) formed in TSb11 and TSb12 with an accompanying 15 kcal/mol energy increase, this is feasible. However, further transformations to form such structures are not ruled out.

**3.2. Kinetic Analysis.** Reactions of the chemically activated RA1\* and RB1\* adducts, formed from *p*-CMA + OH association, at their initial formation have approximately 29 and 41 kcal/mol of excessive energy from the new bond formed, respectively. These adducts can undergo isomerization and dissociation reactions or become deactivated through collisional stabilization. The elementary high-pressure rate parameters for the forward and reverse reactions studied in the *p*-CMA + OH system are listed in Table 1. The calculated rate constants, as a function of temperature for all reactions, are given in the Supporting Information.

Temperature- and pressure-dependent rate constants are calculated using the multichannel, multifrequency QRRK analysis for  $k(E)$  with master equation for falloff and stabilization as implemented in the CHEMASTER code.<sup>49</sup> Each well species has a calculated reduced set of three representative vibrations, derived from the full set of  $3n - 6$  vibrations, that reproduces the calculated heat capacity, including one external rotation, and they have been shown to compare well to direct count methods.<sup>50</sup> These reduced vibrations, as well as other specific CHEMASTER input parameters, are listed in the Tables S5 and S6.

For correct input into the CHEMASTER program, several well species had to be modified to accurately model the chemical activation product distribution. Table 2 has the modifications made to the high-pressure rate parameters for these well species. In these cases, the well species formed are products (A1, A3, A4, A8, B1, B9, and B12), but the cyclic components undergo further reactions to additional products (H, H<sub>2</sub>, H<sub>2</sub>O, CH<sub>2</sub>O, and CH<sub>2</sub>OH). Because the cyclic portions of these well species are losing a portion of their available energy, the A' factor has been decreased by the average difference of the heat capacities, from 300 to 1500 K, of the individual cyclic species to the combined sum of the cyclic component and product in these wells. A detailed description of

the procedure for calculating the heat capacity ratio for each of the seven well species is provided in the Supporting Information. Also as seen in Table 2, the A' factors for the reverse reactions, which are relatively low as seen in Table 1, were set at  $1.00 \times 10^0$  to ensure that the reverse reaction would be unfavorable to better represent the chemical activation product distribution. No modifications were done to the  $n$  or  $E_a$  parameters.

**3.2.1. Chemically Activated Processes Involving the RA1\* Adduct.** Chemical activation rate constants versus pressure (1 and 100 atm.) and temperature (300 and 1000 K) for generation, isomerization, and dissociation of the RA1\* chemically activated adduct formed from the combination of the *p*-CMA + OH reagents (Figure 2) are illustrated in Figure 6. Because of the large number of species included in the RA1 chemical activation analysis, several less favorable species or species whose products are important at elevated temperatures or pressures (pathways represented by dashed lines in Figure 2), including RA2, RA3, A1 + H, A3 + CH<sub>2</sub>O, A4 + H<sub>2</sub>O, A8 + H<sub>2</sub>O, A10 + H, A11, and B7 + H, were removed for clarity. As seen in Figure 2, these channels have relatively high energy barriers. Although there are higher energy barriers, they are entropy-controlled pathways, which are included in Figure 6 such as the formation of A2, A6, A7, and A9. These formations become more favorable at increasing temperatures and pressures. The Supporting Information includes the rate constants versus temperature and pressure for all chemical activation RA1\* species.

Results of the chemically activated kinetic calculations for RA1\* suggest several favored product formation channels, discussed in Sections 3.1.1 and 3.1.2. In general, for the species included in Figure 6, more favorable conditions are observed at higher temperatures (e.g., 1000 K) across the 0.001–100 atm compared with 300 K with some deviations also being reflected in these figures.

Of the 25 potential products in this RA1\* chemical activation analysis, the most favorable 16, because of the closeness in the rate constants for several species <500 K, are listed in Table 3 and for the different temperature ranges. Three separate temperature ranges are considered, <500, 500–1000, and >1000 K at a pressure of 1 and 100 atm because temperature variation showed preference for different species formation. All

Table 3. Favorable Product Species of RA1\* Chemical Activation Reactions Based on Their Calculated Rate Constants ( $\text{cm}^3 \text{mol}^{-1} \text{s}^{-1}$ ) at a Pressure of 1 atm

<500 K		500–1000 K		>1000 K	
log( $k$ )	species	log( $k$ )	species	log( $k$ )	species
5.860	RA1	3.170	A13 (+OH)	3.373	A13 (+OH)
0.973	RB1	3.080	RB1	2.685	<i>p</i> -CMA (+OH)
-0.104	A13 (+OH)	2.535	<i>p</i> -CMA (+OH)	2.144	A5 (+H)
-0.914	<i>p</i> -CMA (+OH)	1.688	A5 (+H)	1.981	A17 (+H)
-2.734	A2 (+CH <sub>2</sub> CH <sub>2</sub> OH)	1.462	A17 (+H)	1.728	A2 (+CH <sub>2</sub> CH <sub>2</sub> OH)
-3.483	A17 (+H)	1.436	A2 (+CH <sub>2</sub> CH <sub>2</sub> OH)	1.625	A16 (+H)
-3.540	RA2	1.261	A7 + A12	1.608	A7 + A12
-3.671	A4 (+H <sub>2</sub> O)	1.049	A16 (+H)	1.345	A6 (+CH <sub>2</sub> O)
-4.224	A3 (+CH <sub>2</sub> O)	0.951	A6 (+CH <sub>2</sub> O)	0.499	A15 (+H)
-4.980	A16 (+H)	0.228	RA1	0.481	A2 (+CH <sub>2</sub> =CHOH)
-5.774	A8 (+H <sub>2</sub> O)	-0.026	A9 (+OH)	0.316	A9 (+OH)
-6.016	A15 (+H)	-0.133	A2 (+CH <sub>2</sub> =CH)	0.258	A2 (+CH <sub>2</sub> =CH)
-6.130	A7 + A12	-0.147	A15 (+H)	-0.017	A7 (+CH <sub>2</sub> =CHCHO)
-6.798	RA3	-0.182	A2 (+CH <sub>2</sub> =CHOH)	-0.607	A7 + A14
-7.008	A5 (+H)	-0.512	A7 (+CH <sub>2</sub> =CHCHO)	-0.755	RB1
-7.210	A1 (+H)	-1.150	A7 + A14	-4.526	B7 (+H)

<sup>a</sup>Rate constants provided are from 300 K. <sup>b</sup>Rate constants provided are from 1000 K. <sup>c</sup>Rate constants provided are from 1500 K.

temperature and pressure ranges for chemical activation are presented in the Supporting Information.

The calculated rate constants at representative temperatures of 300, 1000, and 1500 K for these temperature ranges are provided to gauge the important products. As seen in Tables 3 and 4, *p*-CMA (+OH), RA1, RB1, A2 (+CH<sub>2</sub>CH<sub>2</sub>OH), A5 (+H), A7 + A12, A13 (+OH), A15 (+H), A16 (+H), and A17 (+H) are important products over all of the temperature and pressure conditions analyzed. In general, the chemical activation analysis shows that *p*-CMA (+OH) and the  $\beta$ -scission product from RA1, A13 (+OH), are the most important products formed, and these also increase in importance with increasing temperature. The formation of A2 (+CH<sub>2</sub>CH<sub>2</sub>OH), via RA1 and RA3, is also another very important route which also shows a slight increase in importance with temperature. RB1 is another important product. It shows a maximum between 800 and 1000 K at 1 atm but slightly decrease in importance with increasing temperature. The formation, in general, depicts a decrease and general increase, for 300 and 1000 K, respectively, between the 0.001 and 100 atm pressure range. The A5 (+H) formation via A4 (roaming dehydration) from RA1 increases rapidly up through 1000 K. The  $\beta$ -scission RA1 products of A7 + A12 as well as the products formed from H atom loss from RA1 and RA3, of A15, A16, and A17, increase with temperature to about middle of the list in Tables 3 and 4. RA1, as would be expected, shows drastic decreases at 1 atm. compared to 100 atm primarily because of the increasing importance of subsequent products formed from RA1. Also, as seen in Figure 6, the formation of RA1 across the 0.001–100 atm pressure range is favored at the lowest considered temperature (300 K). As will be shown later, the same also occurs in RB1\* analysis for RB1. These products in the *p*-CMA + OH are the most favorable in the chemical activation analysis.

Different species become favorable at points across the three temperature ranges considered. These include RA2, RA3, A1 (+H), A3 (+CH<sub>2</sub>O), A4 (+H<sub>2</sub>O), and A8 (+H<sub>2</sub>O) that are also seen to be important under 500 K. As the temperature increases up to 1000 K, A4 (+H<sub>2</sub>O), although decreasing with increasing temperature, is still important, while A2 (+CH<sub>2</sub>=CH, +

CH<sub>2</sub>=CHOH), A6 (+CH<sub>2</sub>O), A7 (+CH<sub>2</sub>=CHCHO), and A9 (+OH) are now favorable. Above 1000 K, A7 + A14 and B7 (+H) only at 100 atm are at the low levels of the important species. A11 formation is only favorable below 500 K at 100 atm.

The kinetic analysis is primarily focused on the most important products and intermediates (formed at lowest energies) with an emphasis on the variety of oxygenated compounds resulting from the OH addition, as described in Sections 3.1.1 and 3.1.2. The relevant experimental data are discussed in the context of their kinetic significance.

The main species of interest from experimental data on pyrolysis of *p*-CMA at different conditions mentioned above are gas-phase phenolics, particularly phenol (B4, or its precursor radical A7 in PES), *p*-cresol (B2, or its precursor radical B3), hydroxy-styrene, aka 4-vinylphenol (A9, or its radical A6), aldehydes (primarily benzaldehyde, A2, and *p*-CMA-aldehyde, A5), and various alkyl- and alkenyl-phenols. More complex oxygen-rich compounds, such as 4-(1-hydroxy-2-propenyl)-phenol (A13)—an isomer of *p*-CMA, as well as acetophenone, propiophenone, and BF derivatives related to A15, A16, A17, B1, and B10 species, are also important in the experimental data. The discussed BF formation pathways are also considered.

It should be emphasized that, formation mechanisms of a significant amount of other understudied products are challenging—among others, a variety of oxygenated species, such as 2,3-dihydrodibenzofuran (8.1% at 325 °C), ethanone, 2-(2-hydroxyphenoxy)-1-(3-methylphenyl) (3.6%), acetophenone derivative ethanone, 1-(2,5-dimethylphenyl) (0.7%), and substituted benzopyren (1.2%), PES analysis of the OH + *p*-CMA reaction could explain the formation of some of the nontraditional products—mostly oxygenates. Additional experiments are underway to provide data for the determination of gas-phase elementary reaction mechanisms and identify actual reaction intermediates; these will use shorter resident times and vaporized reagent pyrolysis approach.

3.2.1.1. Chemical Activation Channels (Product Distribution).

**Table 4.** Favorable Product Species of RA1\* Chemical Activation Reactions Based on Their Calculated Rate Constants ( $\text{cm}^3 \text{mol}^{-1} \text{s}^{-1}$ ) at a Pressure of 100 atm

<500 K		500–1000 K		>1000 K	
log(k)	species	log(k)	species	log(k)	species
5.860	RA1	3.220	RB1	3.370	A13 (+OH)
-1.027	RB1	3.001	A13 (+OH)	2.683	<i>p</i> -CMA (+OH)
-2.104	A13 (+OH)	2.359	<i>p</i> -CMA (+OH)	2.143	A5 (+H)
-2.914	<i>p</i> -CMA (+OH)	2.278	RA1	1.979	A17 (+H)
-4.877	RA3	1.505	A5 (+H)	1.727	A2 (+CH <sub>2</sub> CH <sub>2</sub> OH)
-5.285	A2 (+CH <sub>2</sub> CH <sub>2</sub> OH)	1.278	A17 (+H)	1.622	A16 (+H)
-5.459	RA2	1.259	A2 (+CH <sub>2</sub> CH <sub>2</sub> OH)	1.605	A7 + A12
-5.483	A17 (+H)	1.043	A7 + A12	1.330	A6 (+CH <sub>2</sub> O)
-5.671	A4 (+H <sub>2</sub> O)	0.851	A16 (+H)	1.246	RB1
-6.979	A16 (+H)	0.656	A6 (+CH <sub>2</sub> O)	0.497	A15 (+H)
-7.769	A8 (+H <sub>2</sub> O)	-0.328	A2 (+CH <sub>2</sub> =CH)	0.484	A2 (+CH <sub>2</sub> =CHOH)
-8.016	A3 (+CH <sub>2</sub> O)	-0.340	A15 (+H)	0.255	A2 (+CH <sub>2</sub> =CH)
-8.129	A7 + A12	-0.509	A2 (+CH <sub>2</sub> =CHOH)	0.247	A9 (+OH)
-8.698	A15 (+H)	-0.572	A4 (+H <sub>2</sub> O)	-0.021	A7 (+CH <sub>2</sub> =CHCHO)
-10.466	A11	-0.678	A9 (+OH)	-0.609	A7 + A14
-11.007	A5 (+H)	-0.728	A7 (+CH <sub>2</sub> =CHCHO)	-2.595	A11

<sup>a</sup>Rate constants provided are from 300 K. <sup>b</sup>Rate constants provided are from 1000 K. <sup>c</sup>Rate constants provided are from 1500 K.**Table 5.** Favorable Species of RB1\* Chemical Activation Reactions Based on Their Calculated Rate Constants ( $\text{cm}^3 \text{mol}^{-1} \text{s}^{-1}$ ) at a Pressure of 1 atm

<500 K		500–1000 K		>1000 K	
log(k)	species	log(k)	species	log(k)	species
7.489	RB1	4.664	B1 (+H)	3.884	B10 (+CH <sub>2</sub> OH)
-0.572	B10 (+CH <sub>2</sub> OH)	4.013	B10 (+CH <sub>2</sub> OH)	2.592	<i>p</i> -CMA (+OH)
-0.650	RA1	4.009	B3 + B11	1.635	B3 + B11
-1.238	RB3	3.849	B13 (+H)	1.358	B1 (+H)
-1.678	<i>p</i> -CMA (+OH)	3.196	RB1	1.284	B13 (+H)
-3.270	B3 + B11	3.035	A7 (+CH <sub>2</sub> =CHOH)	0.240	A4 (+H <sub>2</sub> O)
-3.740	B9 (+CH <sub>2</sub> O)	2.796	<i>p</i> -CMA (+OH)	0.057	A7 (+CH <sub>2</sub> =CHOH)
-3.839	B1 (+CH <sub>2</sub> OH)	1.715	B9 (+CH <sub>2</sub> O)	-0.772	B8 (+OH)
-5.091	B13 (+H)	0.250	RA1	-3.144	B7 (+H)
-6.924	A4 (+H <sub>2</sub> O)	-0.182	A4 (+H <sub>2</sub> O)	-3.475	RB1
-7.576	RB2	-1.530	B8 (+OH)	-3.794	B5 (+H <sub>2</sub> O)

<sup>a</sup>Rate constants provided are from 300 K. <sup>b</sup>Rate constants provided are from 1000 K. <sup>c</sup>Rate constants provided are from 1500 K.**Table 6.** Favorable Species of RB1\* Chemical Activation Reactions Based on Their Calculated Rate Constants ( $\text{cm}^3 \text{mol}^{-1} \text{s}^{-1}$ ) at a Pressure of 100 atm

<500 K		500–1000 K		>1000 K	
log(k)	species	log(k)	species	log(k)	species
7.489	RB1	3.945	RB1	3.884	B10 (+CH <sub>2</sub> OH)
-2.572	B10 (+CH <sub>2</sub> OH)	3.084	B10 (+CH <sub>2</sub> OH)	2.592	<i>p</i> -CMA (+OH)
-2.650	RA1	1.893	<i>p</i> -CMA (+OH)	1.642	B3 + B11
-3.235	RB3	1.217	RA1	1.360	B1 (+H)
-3.678	<i>p</i> -CMA (+OH)	0.887	B3 + B11	1.284	B13 (+H)
-5.433	B3 + B11	0.764	B1 (+H)	0.239	A4 (+H <sub>2</sub> O)
-6.018	B1 (+CH <sub>2</sub> OH)	0.539	B13 (+H)	0.113	A7 (+CH <sub>2</sub> =CHOH)
-6.572	RB2	-0.566	B9 (+CH <sub>2</sub> O)	-0.775	B8 (+OH)
-7.731	B9 (+CH <sub>2</sub> O)	-0.650	A7 (+CH <sub>2</sub> =CHOH)	-1.387	RB1
-8.924	A4 (+H <sub>2</sub> O)	-1.013	A4 (+H <sub>2</sub> O)	-3.148	B7 (+H)
-9.081	B13 (+H)	-2.139	B8 (+OH)	-3.797	B5 (+H <sub>2</sub> O)

<sup>a</sup>Rate constants provided are from 300 K. <sup>b</sup>Rate constants provided are from 1000 K. <sup>c</sup>Rate constants provided are from 1500 K.

1. The initially preferred species from RA1\*-adduct are RA1, RB1, A13 (+OH), and *p*-CMA + OH for temperatures below 500 K at 1 and 100 atm. As the

temperature increases from 500 K up past 1000 K at these pressures, RA1 and RB1 formation decreases while A13 and *p*-CMA + OH are still significant.

## 2. Channel III.

The formation of 4-(1-hydroxy-2-propenyl)phenol (HPP),  $\text{HOPhCH(OH)CH=CH}_2$  (A13) + OH via  $\beta$ -scission of RA1, over a 25.26 kcal/mol barrier through TSa16, in ChIII is predicted to be one of, if not the most, important paths over the studied temperatures and pressures in the RA1\* analysis. In Figure 6, it is seen that the rate constant gradually increases with temperature, which also occurs over the 300–2100 K range for the 0.001–100 atm pressures considered in our analysis. This pathway is the second lowest energy from RA1 to RB1 formation over TS mig (22.07 kcal/mol) and in direct comparison to reformation of CR1 over TSa0 (26.63 kcal/mol). This is the fastest process triggered from RA1 with the only initial barrier to form a product, below the entrance level by 3.35 kcal/mol. Note that later in our RA1 isomerization and dissociation analysis (Figure 6), it is shown that the preference of A13 + OH and RB1 formations are preferred as well over other pathways.

Figures 6–8 and Tables 3–6 illustrate data for similar important reactions of isomerization of (to RB1) and  $\beta$ -scission (A13 + OH) that occur for RB1 conversion to RA1 and B10 +  $\text{CH}_2\text{OH}$ . It is seen, and discussed fully later, that these are important competing reactions for RB1\* below. The rate constant patterns of isomerization to RA1/RB1 are optimal between roughly 500 and 1200 K, while  $\beta$ -scission to A13/B10 increases with temperature. The low-energy TS mig barrier at lower temperatures is important as a competing reaction for  $\beta$ -scission.

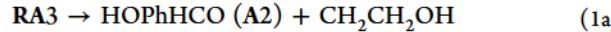
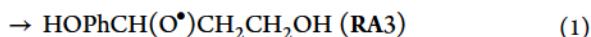
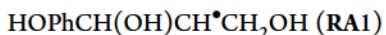
We note that the product A13 per se is not identified in both fractional and conventional experiments with *p*-CMA at 400 °C and above, this could be related to its higher reactivity. Some uncertainty in identification of complex mixtures is inevitable, as it occurs with disputed identification of pyrolytic BF versus 4-vinyl phenol with close GC–MS characteristics (*vide infra*), one could expect the overlap of the A13 spectra with that from its isomers, particularly from recovered *p*-CMA. Intriguingly, 2,3-dihydro-2-methyl-benzofuran product identified in fractional pyrolysis (8.1% at 350 °C) could also represent 4-(1-hydroxy-2-propenyl)phenol—product A13 with similar structural features.

We note that a keto-derivative ( $\text{HOPhC(=O)CH=CH}_2$ , HPP) of product A13 and another  $\alpha$ -hydroxy derivative ( $\text{HOPhCH(OH)CH}_2\text{CH}_2\text{OH}$ , HPPD) are among the key products of lignin pyrolysis. There is another HPP precursor, A8, as well on the PES of *p*-CMA + OH (Figure 2) generated via TSa7, which will be discussed below.

## 3. Channels Ia, IIc, and XIV"b.

Aldehydes are the major products in pyrolysis of lignin, its model compounds, and monomers under various conditions. Hydroxy-benzaldehyde (A2) observed in pyrolysis of *p*-CMA can be formed via several reaction pathways (ChIa, ChIIc, and ChXIV"b) involving isomerization through TSa4, TSa2, and TSa9 transition states followed by various transformations.

A simple way to create an aldehyde group from *p*-CMA attached to the benzene ring at the C4-position is OH addition to the  $\alpha$ -C atom of the side chain to form RA1, which further dissociates via ChIa (eqs 1 and 2).



The formation of A2 +  $\text{CH}_2\text{CH}_2\text{OH}$  also becomes more favorable as temperature and pressure are increased. This product formation occurs in two steps (see, Section 3.1.1): first involves the 1,3-hydrogen atom shift of RA1 to RA3 over the 31.69 kcal/mol energy barrier (TSa3) followed by a low-energy (7.71 kcal/mol TSa4) carbon–carbon bond breaking to the A2 +  $\text{CH}_2\text{CH}_2\text{OH}$  products. These products are 18.35 kcal/mol below the initial entrance channel.

4-Hydroxylbenzaldehyde (A2) can also be formed via other less significant channels ChIIc and ChXIV"b only above 500 K in Tables 3 and 4. The losses of  $\text{CH}_2=\text{CH}$  from A8 and  $\text{CH}_2=\text{CHOH}$  from A1, as seen in Figure 6, are above the entrance channel by 1.15 and 10.05 kcal/mol, respectively, and are slower processes as seen in the rate constants in Figure 6a,b.

Decomposition of A8 (a precursor of HPP, *vide supra*) generated via TSa7 (eq 3) can breakdown into A2 as described above, as well as form phenolic radical (A7) and acrolein ( $\text{CH}_2=\text{CHCHO}$ ) via TSa8. The barrier heights for these reactions, 14.90 and 19.17 kcal/mol, respectively, correlate to the calculated rate constants in Tables 3 and 4 with the lower energy barrier pathway favorable.

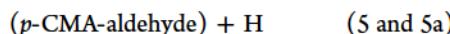
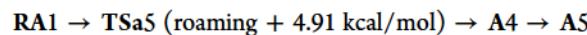
The kinetics for reactions *p*-CMA + OH show relatively slow formation of benzaldehyde (Figure 6) relative to RA1, RB1, and A13 at temperatures below 500 K. This is in agreement with experiments, where the detected amount of pure hydroxy-benzaldehyde in fractional pyrolysis, constitutes only 0.9%, in accord with literature data (0.34%). However, larger amounts of other benzaldehyde derivatives are also formed during pyrolysis (e.g., 4.9% at 425 °C, Table 1, ref 21).

Channel II also involves the generation of the  $\alpha$ -hydroxy derivative of coumaraldehyde (A1) and H atom through the loss of a H atom from the C<sub>7</sub>-position of RA2—ChIIb. Note that it is another  $\beta$ -hydroxy isomer—(B13), that is formed from the RB1 adduct.

## 4. Channel IVa.

One of the major focal points of Part I in our analysis involves OH roaming dehydration transition states and their ability to offer lower energy barriers for *p*-CMA + OH reactions.

Both the dehydration of  $\alpha$ -OH (roaming) and dehydration of  $\gamma$ -OH (conventional) are important kinetic pathways for RA1 as seen in Tables 3 and 4 below 500 K with 33.52 (TSa5) and 35.34 (TSa7) kcal/mol energy barriers, respectively.



For comparison, the energy barrier for conventional dehydration from RB1 to the same A4 +  $\text{H}_2\text{O}$  products is about 10 kcal/mol higher than RA1 over TSb5 (46.38 kcal/mol).

A4 is a key radical intermediate identified in our earlier study on H + *p*-CMA [denoted as R(O9)]. Chemical activation shows that A4 from RA1\* is important below 500 K but decreases in importance with increasing temperature as seen in Tables 3 and 4. From RB1\*, the A4 formation is among the lowest of the important intermediates, but the rate constants increase with increasing temperatures as seen in Tables 3 and 4.

The chemical activation analysis <500 K, Tables 3 and 4, does show slight preference toward A4 formation versus A8, as well as subsequent A5 + H as favorable product formation from A4. As temperature increases, A4 and A8 drop off in preference

in favor of A5/A6 and A2/A7 product formation with a preference for the former as seen in Figure 2a,b.

Intriguingly, no *p*-coumaraldehyde [A5, 3-(4-hydroxyphenyl)-2-propenal] was detected in our fractional pyrolysis experiments. This is explained by its possible rapid secondary transformations typical for fractional pyrolysis (particularly surface-mediated reactions). It is noted that the same phenomenon has been observed by Akazawa at (400–600 °C) from conventional pyrolysis of *p*-CMA. Akazawa detected only small amounts of benzaldehyde (cf. Figure 3 and Table 1 of ref 2, where *p*-CMA is labeled as M2). This suggests a possible rapid degradation of the generated *p*-coumaraldehyde in conventional pyrolysis conditions. Notably, the *p*-coumaraldehyde was not detected also from the lignin pyrolysis itself, unlike some other vastly present monomer-aldehydes such as CFA-aldehyde, SPA-aldehyde, and substituted benzaldehydes. This is also in contrast to our conventional CnA-pyrolysis results, where cinnamaldehyde constitutes a major product, spanning the large temperature range of 400–800 °C.

*A priori*, the presence of a phenolic OH group sterically hindered by a proximal methoxy substituent could be the reason for such a striking difference in reactivity of *p*-CMA-aldehyde versus CFA- and SPA-aldehydes. These aldehydes involve strong H-bonding between OH and methoxy groups to prevent the QM formation and a bare CnA-aldehyde, lacking a phenolic OH. The reactivity of an aldehyde could be increased when it contains a sterically not hindered phenolic OH group. Thus, CFA-aldehyde and SPA-aldehyde consistently constitute major products, whereas *p*-CMA-aldehyde is consistently absent in both our<sup>2</sup> and others' experiments (Kawamoto<sup>2</sup> at 200–350 °C; Akazawa,<sup>2</sup> Harman-Ware<sup>2</sup> at 650 °C; Masuku<sup>2</sup> at 200–275 °C). The *p*-CMA-aldehyde formation is, however, feasible as predicted by theory<sup>2</sup> with no rate constants being reported (*vide infra*).

The high reactivity of aldehydes with species such as OH radicals is well known, even at low temperatures, and well-studied on simple models (primarily for reactions OH + CH<sub>2</sub>O and acetaldehyde<sup>2</sup>). The preferred reaction here is the abstraction of aldehydic H atom, leading to negative activation energy (NTC phenomenon), as opposed to the OH + olefins reactions,<sup>2</sup> whereas the addition-barrier to C=O bond is considerable (5–15 kcal/mol).

Note also that *p*-CMA-aldehyde can hardly be formed at low temperatures via often postulated concerted molecular dehydrogenation because of the high barrier of activation; the calculated barrier for cinnamaldehyde dehydrogenation, for instance, is as high as 79 kcal/mol.<sup>2</sup>

The R(O9)–A4 radical, the precursor of *p*-CMA-aldehyde radical A5, can be generated via bimolecular H-abstraction by a pool radical (a detailed account will be reported elsewhere).

### 5. Channels IIa, IVb, and X.

Another typical product of the *p*-CMA pyrolysis is 4-vinylphenol (A9, 4-hydroxystyrene, 4VP) and its derivatives are produced here via ChIIa and ChIVb, as well as ChX from activated RB1\* channels—*vide infra*. Direct production of A9 (+OH) from ChIIa via A3 + CH<sub>2</sub>O (Eqs 2a and 2b) becomes more important at higher temperatures as seen in Tables 3 and 4.

In addition direct production, 4VP can also be formed from pathways generated by OH + *p*-CMA, such as dehydration of the RA1-adduct via direct H-abstraction or addition-

elimination reaction involving a roaming channel ChIVb via TSa5 and decomposition of A4 (Figure 2), albeit to a lesser amount. A similar increasing production of A6 (+CH<sub>2</sub>O) from ChIVb via A4 + H<sub>2</sub>O (Eq 5b) and A4 + H<sub>2</sub>O from RB1 in ChX serve as precursors to A9 production as was outlined in Sections 2 and 3.

4-Vinylphenol can also be formed from A6 precursor with the addition of an H atom from a donor where a competing dissociation requires higher energy of 44.0 kcal/mol energy (Eq 5c) in Figure 2.

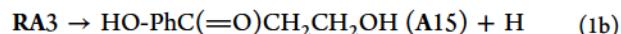
The modest reaction rates for the formation of A5/A6 via these channels suggests dominant production of 4-vinylphenol at higher temperatures, as observed in the fractional pyrolysis experiments. A significant amount of unsubstituted styrene was observed at 900 °C along with BTX components benzene and toluene, suggesting the effective truncation of 4VP during pyrolysis. Although no 4-vinylphenol was identified in fractional pyrolysis below 500 °C temperatures,<sup>2</sup> it is the most abundant product of the conventional pyrolysis of *p*-CMA even at lower temperatures (400 °C)<sup>2</sup> probably because of the condensation processes and surface-mediated conversions attributed to the fractional pyrolysis.<sup>2</sup> Notably, the OH + styrene product set is fairly fast ( $k = 5.8 \times 10^{11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  at 298 K) where the addition of OH occurs to the vinyl carbons of the styrene molecule,<sup>2</sup> to account for such reactions.

The formation of significant amounts of 4-vinylphenol in conventional conditions<sup>2</sup> can be explained by faster H + *p*-CMA reactions as suggested in ref 21 based on PES cross sections and confirmed by corresponding rate constant calculations by Furutani et al.<sup>21</sup>

### 6. Channel Ib.

3,4'-Dihydroxypropiophenone HOPh(C=O)CH<sub>2</sub>CH<sub>2</sub>OH (A15) is another species which chemical activation has predicted to be consistent across all temperatures and pressures in Tables 3 and 4. A15 is also a key product in pyrolysis of lignin and other models,<sup>2</sup> known as 3-hydroxy-1-(4-hydroxyphenyl)propan-1-one, HHPP. This product formation shows rate-constant trends similar to other H atom elimination products, A5, A16, and A17, where increasing temperature correlates to increasing rate constants and importance for RA1\* chemical activation.

As seen in Figure 2, the formation of A15 can occur in the ChI pathway according to Eq 1b where the H atom is eliminated from RA3, over a 15.23 kcal/mol TSa20 transition state.

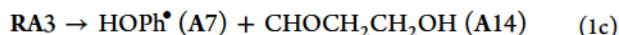


Related to A15 products with C=O group in the  $\alpha$ -position (Figure S2a in ref 2) are derivatives of acetophenone, PhC(=O)CH<sub>3</sub>, observed in fractional pyrolysis experiments—described as ethenone-derivatives in Table 1 ref 21. At 500 °C, some 4-hydroxy-2-methyl-acetophenone is also formed, whether originated from 2,3-dihydro-BF present at 350 °C or via a separate channel.

### 7. Channels Ic, VI, XIV', and XIV''.

Phenol (B4) is a major and most common product of lignin-monomer pyrolysis. 19.2 and 10.5% unsubstituted phenol was enumerated in fractional pyrolysis of *p*-CMA at 325 and 425 °C, respectively.<sup>2</sup> B4, along with its *para*-hydroxyphenyl radical A7 (*p*-HOPh<sup>•</sup>). It is formed via several, typically higher energy, channels ChXIV', ChXIV'', and ChVI.

The *ChIc* channel is a kinetically important pathway involving A7 precursor radical (*p*-HOPh<sup>•</sup>) formation through C6–C7 bond dissociation. It involves a hydrogen shift in RA1 to RA3 over TSa3 (31.69 kcal/mol) and then to a carbon–carbon bond dissociation over TSa19 (25.27 kcal/mol) to form A7 + A14 which, overall, is less than 1 kcal/mol below the *p*-CMA + OH entrance channel.



The aforementioned *p*-CMA conventional dehydration *ChVI* channel over TSa7 (35.34 kcal/mol) followed by bond C1–C7 breaking over TSa8 (19.17 kcal/mol) is an added pathway to form A7 + CH<sub>2</sub>=CHCHO (eq 8a) as well.

New alternative pathways have also been considered for A7 formation in our analysis, including a  $\beta$ -scission side-chain reaction *ChXIV'*, where RA1 undergoes  $\beta$ -scission of *Ca*, creating phenol radical (A7) and CH(OH)=CHCH<sub>2</sub>OH (A12) over TSa15 with a barrier height of +39.05 kcal/mol. Although this is a relatively high-energy process with an overall energy of +10.44 kcal/mol above the entrance channel, chemical activation predicts this to be a very significant path across all temperatures and pressures analyzed, as seen in Figure 4a,b. Above 500 K, A7 + A12 formation is near the top of the lists in Tables 2 and 3. It is important to note also that the overall energy of +10.44 kcal/mol is similar to the A7 + CH<sub>2</sub>=CHCHO product formation from A8 at +8.41 kcal/mol in *ChXIV'*. Finally, as will be seen in the RB1\* analysis, A7 + CH<sub>2</sub>=CHOH (*ChVI*) can be formed at a much higher overall energy of +26.48 kcal/mol above the entrance channel over TSb15. This pathway is shown to be important at temperatures about 500 K for RB1\*.

A competitive reaction could also occur via *ChIV* through the further loss of C<sub>2</sub>H<sub>4</sub> from A6. The oxygen-centered radical A4–R(O<sub>9</sub>) is an alternative source of the phenol precursor radicals A7 because of its achievable defragmentation to form 4-hydroxyphenylvinyl radical A6 ( $\Delta E^{\#}$ =24.5 kcal/mol) and subsequent acetylene elimination ( $\Delta E^{\#}$ =44.0 kcal/mol).

The overall reaction from dehydrated *p*-CMA would be A4 → A6 + CH<sub>2</sub>O → HOPh<sup>•</sup> (A7) + C<sub>2</sub>H<sub>4</sub>.

Thus, the stepwise pathway through two consecutive barriers of 24.5 and 44.0 heights, respectively, is preferred energetically over the direct A4 → A7 process, which encounters a significantly higher barrier of 68.6 kcal/mol.

This variety of A7 formation pathways is of significant importance. Nevertheless, the simple phenol is a major product of *p*-CMA pyrolysis, which can also be formed from further decomposition of substituted primary products. Its production is easier from the *p*-CMA + H reactions. The A7 (HOPh<sup>•</sup>) radical with an open (free radical) para-position will obviously also serve as a precursor to form mixed phenolic compounds substituted at C4-position observed in experiments, such as *para*-hydroxybiphenyls (1.7%) and various dimerization products (~5%).

#### 8. Channels IIb, II', XIII, and XII.

Nontypical product formation channels include the formation of  $\alpha$ -hydroxy-derivative of *p*-CMA-aldehyde (A1) via *ChIIb* and *ChII'* and H-replacement products A16 and A17 from channels *ChXII* and *ChXIII*, respectively.

These are mostly oxygenated products, which can serve as intermediates to produce simpler homologues. Channels XII and XIII are direct decomposition channels involving energized

RA1\* adduct to provide formation mechanisms for (A16) and (A17) unsaturated 1,3-diols.

The formation mechanisms are challenging for a significant amount of other understudied products also identified in experiments—among others, a variety of oxygenated species such as 2,3-dihydrodibenzofuran (8.1% at 325 °C), ethanone, 2-(2-hydroxyphenoxy)-1-(3-methylphenyl) (3.6%), acetophenone derivative ethanone, 1-(2,5-dimethylphenyl) (0.7%), and substituted benzopyren (1.2%), PES analysis of OH + *p*-CMA reaction could explain the formation of diverse oxygenates.

Additional experiments are underway to determine gas-phase elementary reaction mechanisms and identify actual reaction intermediates using shorter residence times and *vaporized* reagent pyrolysis approach.

**3.2.2. Chemically Activated Processes Involving the RB1\* Adduct.** As described above, the well depth for the RB1\*-activated adduct radical is significantly deeper than that for RA1\*, meaning it can be formed with significantly more excessive energy to react further. However, the isomerization barriers are somewhat higher than those originating from RA1\*. In contrast to RA1\*, the PES for RB1\* in Figure 3 depicts several barriers located below the entrance level, suggesting access to higher energy for fast processes comparatively speaking.

Chemical activation rate constants versus pressure (1 and 100 atm) and temperature (300 and 1000 K) for generation, isomerization, and dissociation of the stabilized RB1\*-activated adduct are illustrated in Figures 7 and 8. As was done with the RA1\* analysis, several less favorable species (relatively higher energy barriers as seen in Figure 3) including B1 + HCO, B2 + CO, B4 + CH<sub>2</sub>=C=O, B5 + H<sub>2</sub>O, B6 + H, B7 + H, B12 + H<sub>2</sub>, and A7 + CH<sub>2</sub>=CHOH were removed for clarity. The Supporting Information includes the rate constants versus pressure and temperature for all chemical activation RB1\* species.

A similar chemical activation analysis was completed for RB1\* but with a smaller number of reported species in Tables 5 and 6 because of a large range in variety of the calculated rate constants, under the same temperature ranges. The dominating product formation across all temperature and pressure ranges are *p*-CMA (+OH), RB1, B3 + B11, B10 (+CH<sub>2</sub>OH), B13 (+H), and A4 (+H<sub>2</sub>O). As was seen with RA1\*, *p*-CMA + OH increases in preference with increasing temperatures, increasing more at 100 atm compared to 1 atm, where it drops slightly in the 500–1000 K range.

The highest rate process over the entire temperature and pressure range, relating to the most important species formed, are the  $\beta$ -scission of RB1 forming B10 (+CH<sub>2</sub>OH) and the eventual formation of B3 + B11 via RB2. B13 formed from the loss of a hydrogen from C9 of RB3 is also an important product, which increases with temperature. A4 (+H<sub>2</sub>O) formed from the dehydration of RB1 is one of the lesser of the important species formed but does slightly increase over this temperature range. Finally, as was also seen in RA1, the formation of RB1 decreases over these temperature ranges because of increasingly important subsequent reaction steps of the RB1 formed.

As was noted for RA1\*, there are various conditions where additional species are important. The RB1 isomers, RB2, RB3, and RA1, as well as B1 (+CH<sub>2</sub>OH) are important species, primarily below 500 K, in addition to the above preferred products. As the temperature increases, their formation decreases as the other pathways from these primary products increase in importance. These four species have consistent rate

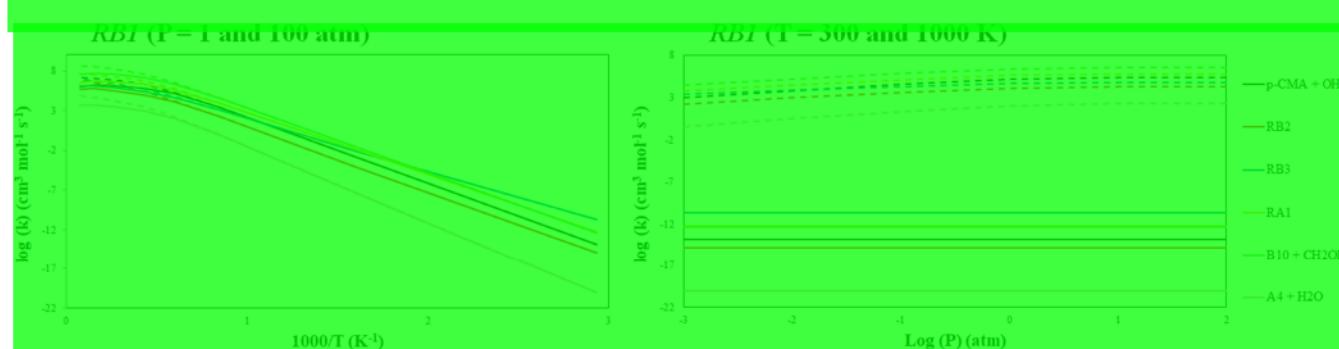


Figure 10. Rate constants vs pressure (1 and 100 atm, solid and dashed lines, respectively) and vs temperature (300 and 1000 K, solid and dashed lines respectively) for RB1 isomerization and dissociation reactions.

constants from 300 K up to about 1000 K at which point they fall off. Also, as the temperature increases, B9 (+CH<sub>2</sub>O) formation is important below 1000 K, but not above this temperature as shown in Figures 7 and 8. B1 (+H), B8 (+OH), and A7 (+CH<sub>2</sub>=CHOH) are shown not to be important below 500 K but are increasingly important as the temperature increases. B1 (+H) is the most preferred species between 500 and 1000 K at 1 atm as well as one of the most preferred above 1000 K at 100 atm. Finally, B5 (+H<sub>2</sub>O) and B7 (+H) occupy the lower range of the more important species list above 1000 K at both 1 and 100 atm.

### 3.2.2.1. Chemical Activation Channels (Product Distribution).

1. The initially preferred species from the RB1\* adduct are *p*-CMA + OH, RB1, RB3, RA1, and B10 + CH<sub>2</sub>OH for temperatures <500 K at 1 and 100 atm. As the temperature increases, the rate constants for RB1 and RA1 are consistently large up to about 1000 K while RB3 drops quickly. On the other hand, the rate constants for *p*-CMA + OH and B10 + CH<sub>2</sub>OH are consistently the highest in the RB1\* analysis. Like RA1, the isomerization and dissociation analysis of RB1 shows preferred formation of B10, A4 (via roaming and conventional dehydrations), and RB2 (leading to B3 + B11 and B1 + CH<sub>2</sub>OH) as seen in Figure 10.

### 2. Channel IX.

The  $\beta$ -scission reaction of RB1 over TSb13 to form 4-hydroxyethyl phenol, HOPhCH=CH-OH (B10) + CH<sub>2</sub>OH is the fastest, or second highest process, as seen in Tables 5 and 6. It is within 2 kcal/mol of the barrier energy to that of RA1 formation over TS<sub>5</sub>ig, which is also an important pathway. However, as seen in Figure 8, the formation of RA1 is optimal between 500 and 1200 K where, in contrast, B10 formation increases with the temperature. The preference for RB1 formation over TS<sub>5</sub>ig is also seen in comparing these rate constants.

The isomerization and dissociation of RB1 in Figure 10 shows that the rate constants for B10 formation are preferred over the temperatures 500–2100 K for 1 and 100 atm as well as at 1000 K from 0.001 to 100 atm. For the  $\beta$ -scission RB1  $\rightarrow$  B10 + CH<sub>2</sub>OH reaction over TSb13, which is 4.89 kcal/mol below the entrance channel, this helps to explain why this pathway is important to RB1\*.

The  $\beta$ -scission of RB1 between the C $\beta$ -C $\gamma$  bond has a barrier height of 36.31 kcal/mol over TSb13 to create 2-(4-hydroxyphenyl)ethenol HOPhCH=CHOH (B10) and a reactive CH<sub>2</sub>OH radical. Chemical activation analysis predicts

that this is the fastest reaction initiated from RB1 to products with the barrier 4.89 kcal/mol below the entrance level, which would trigger further chain reactions. Across all temperatures and pressures in Tables 5 and 6, this formation is paramount.

Intriguingly, the simple structure of the (B10), HOPhCH=CH-OH, is not listed in the NIST database among compounds with molecular formula C<sub>8</sub>H<sub>8</sub>O<sub>2</sub>. The product B10 has also not been identified in pyrolysis experiments, rather its structural varieties are highlighted. Perhaps, it could be converted at applied temperatures and resident times to form BF, acetophenone derivatives, or other compounds observed in experiments; some mechanistic analysis for such reactions are provided later on.

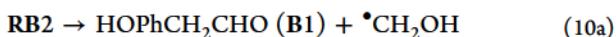
### 3. Channel X.

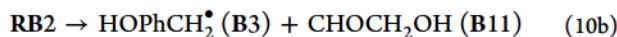
As a typical product, *p*-CMA-aldehyde (A5) can be formed also via Channel X from RB1 via dissociation of A4-intermediate. As was seen with RA1, dehydration reactions are kinetically important to the *p*-CMA + OH reaction scheme presented. In contrast to RA1 involving a roaming-like TS<sub>5</sub>, dehydration of RB1 to form A4 + H<sub>2</sub>O via TS<sub>5</sub> includes only a conventional dehydration barrier located 5.18 kcal/mol above the entrance level (TS<sub>5</sub>, 46.38 kcal/mol). Subsequent further decomposition of A4 over an energy barrier of 16.09 kcal/mol to the A5 molecule and H atom, as seen in RA1 dehydration (Figure 2), could then occur. There is an increasing importance of these RA1 and RB1 pathways at 1000 K and increasing pressures as shown in Figures 7 and 8. Note that the conventional dehydration via TS<sub>5</sub> has an energy barrier (5.18 kcal/mol) similar to the transition state corresponding to bimolecular H-abstraction via OH radical.

The energy difference for the TS<sub>5</sub> and TS<sub>5</sub>/H-abstraction TST's can be explained by the formation of a strained five-membered ring transition state in both cases, leading to increasing activation energy. By comparison, the 1,3-dehydration of RA1 involves a more relaxed six-membered ring, TS<sub>5</sub>, making the activation energy lower at 33.52 versus 46.38 kcal/mol similar to what is seen in the simpler OH + AA model reaction.

### 4. Channel VIIb.

Isomerization of RB1\* to form RB2 over the 39.66 kcal/mol energy barrier for TSb3 is another preferred reaction pathway via ChVIIb to form the radical precursor of *p*-cresol (B3) which is one of the most abundant pyrolysis products. There are two barriers from RB2, almost identical in energy, involving the bond dissociations, TSb4 and TSb14, eqs 10a and 10b.



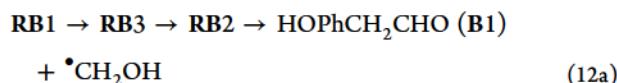


These competing reactions are both favorable, but chemical activation analysis depicts the reaction through TSb14 barrier to form B3 + B11 (eq 10b) to be slightly preferable at 500 K. This is also seen in RB2 isomerization and dissociation reactions provided in the Supporting Information. The preference here can be attributed to the approximately 10 kcal/mol energy difference in forming the lower energy products B3 + B11 (CHOCH<sub>2</sub>OH) which are located 28.02 kcal/mol below the entrance channel.

##### 5. Channels VIII and VIa.

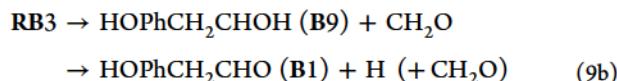
The formation of 4-hydroxyphenylacetaldehyde (B1), the isomer of B10, is detailed as a product in three different pathways in Figure 3. The more feasible, lowest energy, pathway to B1 is from RB2, which is formed directly from RB1 via TSb3 (eq 10c). Then, RB2 can undergo carbon–carbon bond dissociation over TSb4 at 7.32 kcal/mol to form HOPhCH<sub>2</sub>CHO (B1) and CH<sub>2</sub>OH radical—ChVIII. Because B1 can be produced via a feasible channel involving low-energy TSb3 and TSb4, it is shown in Tables 5 and 6 to be one of the most favorable products; its formation is initiated by energized RB1\* to serve as a main source of B1 and/or a product of its conversion—possibly BF or 4VP?

RB2 can also be formed in an alternative to the above pathway, via RB3 instead of directly from RB1, as seen in eq 12a.



This pathway involves three steps, transition states of decreasing barrier energy, TSb1 (32.94 kcal/mol), TSb2 (15.75 kcal/mol), and TSb4 (7.32 kcal/mol), all of which are 8–18 kcal/mol below the entrance channel. Chemical activation analysis shows that RB3 is preferred to RB2 at < 500 K which can be due to the preference of B3 + B11 and B1 + CH<sub>2</sub>OH paths. As seen in Figure 10, RB1 isomerization and dissociation reactions result in RB3 being preferred over RB2. Reaction RB3 shows almost equivalent rate constants for the reverse reaction back to RB1 and RB2 which is consistent with barrier heights of 15.15 and 15.75 kcal/mol, respectively. Data on RB2 and RB3 isomerization and dissociation in the Supporting Information show that the rate constants for B3 + B11 and B1 + CH<sub>2</sub>OH are larger than those from RB1 and RB2 formation from RB3 which can conclude that these products can be formed quickly and are in direct competition.

A secondary pathway to B1 formation is an additional multistep process identified in Part II here, ChVIa, involving the elimination of an H atom from RB3 according to eq 9b. The C $\beta$ –C $\gamma$  bond in RB3 is broken during this first step over TSb9 at an energy cost of 16.70 kcal/mol. This creates formaldehyde and HOPhCH<sub>2</sub>CHOH (B9), which then further react over a larger 34.82 kcal/mol energy barrier, representing the loss of the H atom from the terminal hydroxyl group creating B1.



B9 can also undergo  $\beta$ -scission to form *para*-hydroxyphenyl radical (A7) and vinyl alcohol (CH<sub>2</sub>=CHOH) over TSb15 with an energy requirement of 40.67 kcal/mol depicted in eq 9c. Chemical activation of RB1\* shows that this pathway is not

favorable below 500 K, but an increase in preference with increasing temperature is seen in Tables 5 and 6.

The last pathway for B1 formation is from RB2 but involves H atom abstraction of a C9 H atom by the terminal hydroxyl H atom, creating B12 + H<sub>2</sub> over TSb8. The calculated energy barrier is 106.00 kcal/mol (81.39 kcal/mol above the entrance channel) followed by subsequent loss of HCO from B12 to yield B1. As seen in the Supporting Information, this pathway, although theoretically possible, is unfavorable across all temperatures and pressures studied here.

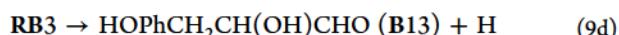
Neither B1 nor B10 are observed experimentally despite being the most possible pathways from theory. They both are reactive species, especially B1 containing a labile benzylic  $\alpha$ -proton. They most likely undergo further transformations, primarily, condensation to end up in char residues or converted to other products such as BF. B1 is an important (and most intriguing) product because it can be converted to BF, which is observed both in fractional and vaporized experiments under different experimental conditions. The possible conversion paths to BF will be discussed below.

Another less stable isomer of B1, apart from B10, is styrene oxide (StO, aka hydroxyphenyl oxirane), which is higher in energy than two others by about ca. 20 kcal/mol above B1. It is known to be reactive and accompanied by polystyrene oxide polymer. Styrene oxide can be formed from both B1 (phenylacetaldehyde) and B10 isomers involving a biradical intermediate.

6. Note that the formation of oxirane and oxetane derivatives in this reaction system encounter much higher barriers involving TSb11, TSb12, TSa13, and TSa14 in Figures 2 and 3; thus, they are directly formed in negligible amounts. They are not observed experimentally even at high temperatures where the formation of simpler BTX-compounds is preferred.<sup>21</sup>

##### 7. Channel XI.

Another nontypical oxygenated product is the  $\beta$ -hydroxy-derivative of *p*-CMA-aldehyde (B13) formed via ChXI, which is the isomer of a product (A1) described above. The formation of B13 via loss of a hydrogen from the C $\gamma$  atom of RB3 occurs over a 19.87 kcal/mol energy barrier (TSb17) as seen in eq 9d. Data in Tables 5 and 6 show that this product is at the lower end at temperatures <500 K. This product is at the lower end of the list, but quickly increases with temperature.

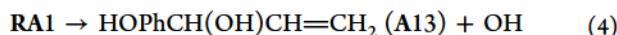


8. The least significant reaction pathway for RB1\* at mild conditions (>500 K) is loss of the  $\gamma$ -OH over TSb6 with an energy barrier of 53.79 kcal/mol to form B8 with a cyclopropane side chain. Forming a three-membered ring is the reason for the high energy barrier, but in comparison to the three- and four-membered oxygen-containing rings formed in TSb11 and TSb12 with an accompanying 15 kcal/mol energy increase, this is feasible. B7 + H is predicted to become increasing possible at >1000 K, as seen in Tables 5 and 6.

9. Alkyl and alkenyl phenols are also major products in *p*-CMA fractional pyrolysis. H atom addition to the double bond (instead of OH addition) can contribute to the low temperature formation of products with hydrogenated side chains, such as 4-ethylphenol, 4-propylphenol, 4(2-*pro*)-propenyl phenol, and dihydro-*p*-CMA, as is detailed in ref 21.

10. Several important low-molecular weight “byproducts”, along with the reactive radicals H, OH, and CH<sub>2</sub>OH, are also formed in the frame of OH + *p*-CMA reaction schemes developed in this study. Apart from well-recognized products CH<sub>2</sub>O, water, vinyl alcohol, and acrolein, some other important compounds such as hydroxypropionaldehyde (known as antibiotic *reuterin*), and glycolaldehyde, which is a key biomass pyrolysis product commonly considered to be originated from cellulose, are also formed. Intriguingly, glycolaldehyde, the coproduct of (B11)—ChVIIb, is remarkably easy to form via (eq 10b). This suggests an alternative contribution of glycolaldehyde generated from lignin counterpart in biomass pyrolysis, especially if one considers the possible abundance of OH radicals during thermal destruction of biomass.
11. It is important to note that the most reactive species (primarily radicals OH, CH<sub>2</sub>OH and H atoms) are chain-propagation and chain-branching agents generated via the fastest elementary reactions to support the above discussion on the radical mechanisms.

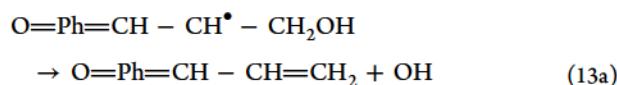
A rapid formation of OH via channel ChIII is accompanied by the formation of the A13 product (eq 4). This process is a remarkably effective regeneration channel for OH radicals/reagents.



The exchange of H atom with the phenolic OH group in *p*-CMA can also be a source of hydroxyl radicals at higher temperatures (eq 13), as it occurs with phenols (see Introduction).



Furthermore, the removal of phenolic hydrogen (analogous to breaking a  $\beta$ -O-4 linkage in lignin) forms a resonance-stabilized quinoidal radical O=Ph=CH—CH<sup>•</sup>—CH<sub>2</sub>OH, which decomposes into conjugated char component O=Ph=CH—CH=CH<sub>2</sub> and an OH radical.



The active CH<sub>2</sub>OH radical is produced via two ChVIII and ChIX main channels to form B1/B10 species—(eqs 9a, 9b, 10a, 10c, and 11). It further decomposes to generate H atoms and formaldehyde.

**3.3. Possible Mechanism for BF Formation.** BF is one of the most intriguing products identified by GC-MS analysis in experiments on pyrolysis of monomers and other lignin models in various conditions, including our currently in-progress experiments utilizing vapor-phase monolignols. Some of these are formed at remarkably low temperatures, raising questions. BF-derivatives are also observed in pyrolysis of lignins, albeit at higher temperatures.

The reduced BF derivative, 2,3-dihydrobenzofuran (DHBF), resembles some of the cyclic ethers found in lignin and is therefore studied as a model compound to particularly represent the  $\beta$ -5 linkage (see the data-compiled in ref 4 data—Scheme 4 and Table 4). A significant amount of DHBF is also identified in our fractional pyrolysis experiments on *p*-CMA at 350 °C (8.1%, Table S2a in ref 21) based on the standard GC-MS analysis, plus a small amount of 4,7-

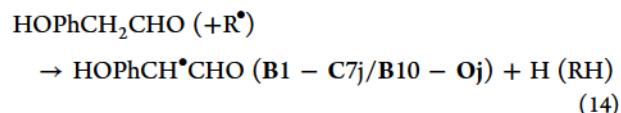
dimethyl-BF detected at 500 °C.<sup>21</sup> Perhaps, one could suggest that 4,7-dimethyl-BF simply originates from higher temperature conversions of DHBF. However, such a conversion is not straightforward and involves concomitant hydrogenation and symmetric methylation processes. Therefore, it is also reasonable to expect that these two compounds originate from different sources, via different mechanisms.

There exists controversy in the identification of DHBF in lignin pyrolysis.<sup>22–27</sup> Qu et al.<sup>22</sup> and later Kato et al.<sup>23</sup> have particularly found that GC/MS procedures can be misleading because of the closely resembling mass-spectroscopic spectra of DHBF and 4-vinylphenol (4VP). Furthermore, the isolation and reanalysis of this very major product using NMR and other methods allowed its identification as 4-vinylphenol.

Gas-phase cyclization processes are typically energy-demanding, and one could *a priori* expect that the formation of BF also occurs at higher temperatures similar to what occurs with dibenzofuran (see, e.g., our mechanistic study on generation of DBFs<sup>28</sup>). Thus, the noted above misinterpretation could also concern our experiments with *p*-CMA; therefore, we invoked theoretical calculations to elucidate the possibility of the BF formation from the product set of the title reaction. Some additional experiments are also underway, involving vaporized reagents.

The unimolecular concerted pathways for side-chain ring closure to form BF proposed in Part I<sup>1</sup> are much too high in energy to account for observed DHBF in fractional pyrolysis of *p*-CMA.<sup>22</sup> Similarly, the unimolecular conversion pathways involving the most prevalent products from PES analysis here (Figures 2 and 3) can also be ruled out. Therefore, we focused on the possibility of the lower energy radical pathway to generate BF and performed additional B3LYP/6-31g(d,p) level calculations to evaluate the energetics of such processes (an extended report on possible mechanisms will be provided elsewhere).

Our analysis shows that the BF core can be conveniently formed via a common radical of 4-hydroxyphenylacetaldehyde (B1) and its higher-energy vinyl-alcohol-type isomer B10 (Figure 1a) generated via a hydrogen loss from C7- and terminal O-centers, respectively.



B1 and B10 are the dominant products predicted by theory—see, ChIX, ChVII, and ChVIA above, and can principally account for the formation of BF (Figure 1).

Dissociation of the labile benzylic H atom (or its abstraction by a radical) in B1 and the O-bound H atom in B10 generates a common oxygen-linked radical HOPhCH<sup>•</sup>CHO, referred to as B1—C7j/B10—Oj radical, which is technically well suited to undergo a second ring-closure to produce BF.

Obviously, the direct dissociation of C—H and O—H bonds of the gas-phase products B10 and B1 (BDE = 69.4 and 76.3 kcal/mol at B3LYP/6-31g(d,p) level, respectively) is still too energy-demanding, and the H-abstraction process by a radical is much preferred. Notably, the energy difference between two isomers at B3LYP and CBS-QB3 levels are fairly close to each other (6.93 and 6.02 kcal/mol, respectively) to justify the method choice.

Analysis of the spin distribution in B1—C7j/B10—Oj radical confirms its delocalization over the side chain and phenolic ring

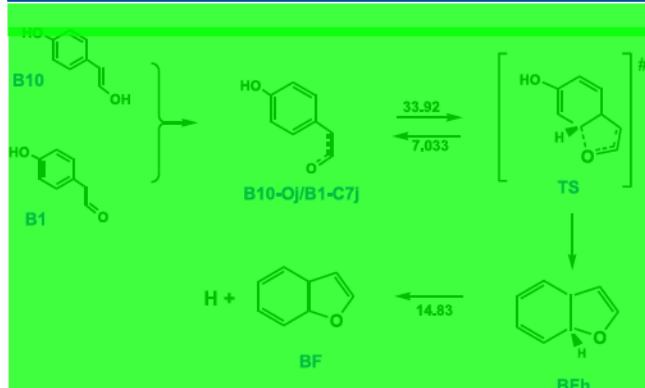


Figure 11. Pathway to BF formation from common radical HOPhCH\*CHO of favored products B1 and B10.

to support cyclization. An attack of the terminal O atom of B1–C7j/B10–Oj radical ( $\rho_O = 0.3e$ ) to orthoring atom ( $\rho_{C2} = 0.2e$ ) produces an H-capped DB radical BFh (Figure 11). The cyclization barrier is 33.72 kcal/mol, which is relatively low, with the reverse reaction barrier being lower at 7.03 kcal/mol. Further decomposition of BFh is more feasible at 14.83 kcal/mol at the asymptotic level.

The process can occur more rapidly if the radical B1–C7j/B10–Oj is formed via H-abstraction by OH radical to form water, which is exothermic by 42.37 and 35.44 kcal/mol for B1 and B10, respectively. Hence, the theory does not rule out formation of DB depending on conditions.

#### 4. CONCLUSIONS

Currently available kinetic models of lignin pyrolysis—the most complex component of biomass pyrolysis, are lacking decomposition kinetics of monolignols, which are precursor units, early intermediates, and primary products of lignin pyrolysis. The kinetic data provided in this paper suggests a fundamentally based set of reactions and low-temperature product-formation channels for interaction of OH radicals with basic monolignols bearing a typical propanoid side chain—AA moiety (such as *p*-CMA).

Monolignols can interact with various pyrolytic radical species (e.g., H, OH, CH<sub>3</sub>) as well as larger chain-carrying radicals to trigger chain reactions. The reactions of OH radicals, however, seem to be the only processes to account for the formation of diverse oxygenated species in oxygen-deficit pyrolytic environments. The reactions with H atoms instead can dominantly generate hydrogen-rich products, such as dihydro-*p*-CMA, dihydro-*p*-CMA-aldehyde, alkyl phenols, as well as account for a feasible formation of a major product 4-vinylphenol, as we have shown previously.

A fundamentally based kinetic analysis is performed, and (*p*,*T*)-dependent rate constants are derived from QRRK/master equation analysis on the basis of PES data calculated at the composite CBS-QB3 level.

A significant difference between well depths for the chemically activated adduct radicals RA1\* and RB1\* is found (*ca.* 29 and 41 kcal/mol below the OH + *p*-CMA reactants, respectively, at CBS-QB3 composite level) for the  $\alpha$ - and  $\beta$ -carbon addition reactions to generate 1,3- and 1,2-diol radicals, respectively, which diversifies the product formation.

Results of the chemically activated kinetic calculations for RA1\* suggest several favored product formation channels. The calculated rate constants at representative temperatures of 300,

1000, and 1500 K are provided to gauge which products are most important. Particularly, A2 (benzaldehyde) + CH<sub>2</sub>CH<sub>2</sub>OH, A5 (*p*-CMA-aldehyde) + H, A7 (phenol precursor *para*-hydroxyphenyl radical) + A12 (HOCH<sub>2</sub>CH<sub>2</sub>CHO, antibiotic reuterin), A13 (4-(1-hydroxy-2-propenyl)phenol, HPP) + OH, A15 (acetophenone derivative) + H, A16 (3-hydroxyphenyl-2-propen-1-2-diol) + H, and A17 (3-hydroxyphenyl-1-propen-1-2-diol) + H are important products, regardless of temperature and pressure conditions analyzed.

A similar chemical activation analysis was performed for RB1\* but with a smaller number of species because of a large range in variety of the calculated rate constants. The dominating product formation across all temperature and pressure ranges are *p*-CMA (+OH), RB1, B3 (*para*-hydroxybenzyl radical—precursor of *p*-cresol) + B11 (CHO-CH<sub>2</sub>OH, glycolaldehyde), B10 (4-hydroxyphenyl-vinylalcohol, HOPhCH=CHOH) + CH<sub>2</sub>OH, B13 ( $\beta$ -hydroxy-coumaraldehyde) + H, and A4 (oxygen-linked radical of *p*-CMA) + H<sub>2</sub>O.

The highest-rate processes over the entire temperature and pressure range, relating to the most important species formed are the  $\beta$ -scission of RB1 forming B10 + CH<sub>2</sub>OH and the eventual formation of B3 + B11 via RB2. Loss of a hydrogen from C9 and the terminal CH<sub>2</sub>OH group of RB3 forms B13 (3-hydroxyphenyl-2-hydroxypropionaldehyde) and B10 (4-hydroxyphenylacetalddehyde, HOPhCH<sub>2</sub>CHO, B1), respectively, that are also important product formations, which increase with temperature. In addition, B10 and its lowest energy isomer B1 can suggest a feasible pathway for BF formation.

The results from this comprehensive kinetic analysis can be utilized in detailed kinetics models for biomass pyrolysis, as well as in pyrolysis modeling of substituted aromatic compounds.

Future research assumes the integration of elementary reaction kinetics from this work with previously reported results on H + *p*-CMA, as well as a variety of established unimolecular decomposition and bimolecular H-abstraction reactions into a detailed chemical mechanism to provide a complete (*p*,*T*)-dependent kinetic module of monolignols' pyrolysis for modeling of biomass pyrolysis.

#### ■ ASSOCIATED CONTENT

##### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpca.9b11894>.

Optimized structures, vibrational frequencies, moments of inertia, calculated thermochemical properties, rate constants, CHEMSTER input parameters, chemical activation analysis, isomerization and dissociation analysis, heat capacity ratios, and complete references (PDF)

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## Notes

The authors declare no competing financial interest. See ref 21 for Part I of this paper including a detailed PES analysis.

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