

Carathéodory-type extension theorem with respect to prime end boundaries

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September 25, 2019

Abstract

We prove a Caratheodory-type extension of BQS homeomorphisms between two domains in proper, locally path-connected metric spaces as homeomorphisms between their prime end closures. We also give a Caratheodory-type extension of geometric quasiconformal mappings between two such domains provided the two domains are both Ahlfors Q -regular and support a Q -Poincare inequality when equipped with their respective Mazurkiewicz metrics. We also provide examples to demonstrate the strengths and weaknesses of prime end closures in this context.

1 Introduction

The celebrated theorem of Riemann states that every simply connected planar domain that is not the entire complex plane is conformally equivalent to the unit disk (that is, there is a conformal mapping between the domain and the disk). However, there are plenty of simply connected planar domains with quite complicated boundaries, and it is not always possible to extend these conformal mappings from such domains to their (topological) boundaries. The work of Carathéodory [C] beautifully overcame this obstruction by the use of cross-cuts and the corresponding notion of prime ends, and showed that every such conformal mapping extends to a homeomorphism between the (Carathéodory) prime end boundary of the domain and the topological boundary (the unit circle) of the disk. This extension theorem is known in various literature as the Carathéodory theorem for conformal mappings and as the prime end theorem (see [C], [Ah1, Section 4.6] and [P, Chapter 2]).

In the case of non-simply connected planar domains or domains in higher dimensional Euclidean spaces (and Riemannian manifolds), the notion of prime ends of Carathéodory is not as fruitful. Indeed, it is not clear what should play the role of cross-cuts, as not all Jordan arcs in the domain with both end points in the boundary of the domain will separate the domain into exactly two connected components. There are viable extensions of the Carathéodory construction for certain types of Euclidean domains, called quasiconformally collared domains (see for example [N, AW]), but this construction is not optimal when the domain of interest is

*The third author was partially supported by grant DMS #1800161 from NSF (U.S.A.)

not quasiconformally collared, and indeed, there is currently no analog of quasiconformal collared domains in the setting of general metric measure spaces. However, the workhorse of the prime end construction of Carathéodory is the nested sequence of components of the compliments of the Jordan arcs that make up the end. Keeping this in mind, an alternate notion of prime ends was proposed in [ABBS]. The construction given there proves to be useful even in the non-smooth setting of domains in metric measure spaces, and is a productive tool in potential theory and the Dirichlet problem for such domains, see [BBS2, ES, AS].

Branched quasimetric mappings (BQS) between metric spaces started life as extensions of quasiregular mappings between manifolds, see [G1, G2, GW1, GW2, LP]. The work of Guo and Williams [GW2] demonstrate the utility of BQS mappings in Stoilow-type factorizations of quasiconformal maps between metric measure spaces. Between metric spaces of bounded turning, the class of homeomorphisms that are BQS coincide with the class of quasimetric maps. However, for more general metric spaces, BQS homeomorphisms are not necessarily quasimetric, as demonstrated by the slit disk (see the discussion in Section 2). The focus of this present paper is to obtain a Carathéodory type extension theorem for two types of mappings between domains in locally path-connected metric spaces using the construction of prime ends from [ABBS]. The two types of mappings considered are *branched quasimetric* (BQS) homeomorphisms and *geometric quasiconformal* homeomorphisms. In Theorem 4.4 we prove that every BQS homeomorphism between two bounded domains in locally path-connected metric spaces has a homeomorphic extension to the respective prime end closures. We also show that if these domains are finitely connected at their respective boundaries, then the extended homeomorphism is also BQS, see Proposition 4.12 and the subsequent remark. In Theorem 5.14 we also prove a Carathéodory extension theorem for BQS mappings between unbounded domains in locally path-connected metric spaces, where the notion of prime ends for unbounded domains is an extension of the one from [ABBS], first formulated in [E]. We point out here that local path-connectedness of the ambient metric spaces can be weakened to local continuum-connectedness (that is, for each point in the metric space and each neighborhood of that point, there is a smaller neighborhood of the point such that each pair of points in that smaller neighborhood can be connected by a continuum in that smaller neighborhood). However, as local path-connectedness is a more familiar property, we will phrase our requirements in terms of it.

In contrast to BQS homeomorphisms, geometric quasiconformal mappings do not always have a homeomorphic extension to the prime end closure for the prime ends as constructed in [ABBS]; for example, with Ω the planar unit disk and Ω' the harmonic comb given by

$$\Omega' := (0, 1) \times (0, 1) \setminus \left(\bigcup_n \left\{ \frac{1}{n+1} \right\} \times [0, \frac{1}{2}] \right), \quad (1)$$

we know that Ω and Ω' are conformally equivalent, but the prime end closure $\overline{\Omega}^P$ of Ω is the closed unit disk which is compact, while $\overline{\Omega'}^P$ is not even sequentially compact. However, we show that if both domains are Ahlfors Q -regular Loewner

domains for some $Q > 1$ when equipped with the induced Mazurkiewicz metrics d_M and d'_M respectively, then geometric quasiconformal mappings between them extend to homeomorphisms between their prime end closures. Should the two domains be bounded, then the results in this paper also imply that the geometric quasiconformal mappings extend as homeomorphisms between the respective Mazurkiewicz boundaries, with the extended map serving as a quasisymmetric map thanks to [HK, Theorem 4.9] together with [K, Theorem 3.3]. In Theorem 6.9 we give a Carathéodory-type extension for geometric quasiconformal maps.

Our results are related to those of [A, AW] where extensions, to the prime end closures, of certain classes of homeomorphisms between domains is demonstrated. In [A, Theorem 5] it is shown that a homeomorphism between two bounded domains, one of which is of bounded turning and the other of which is finitely connected at the boundary, has a homeomorphic extension to the relevant prime end closures provided that the homeomorphism does not map two connected sets that are a positive distance apart to two sets that are zero distance apart (i.e., pinched). In general, it is not easy to verify that a given BQS or geometric quasiconformal map satisfies this latter non-pinching condition. Moreover, we do not assume that the domains are finitely connected at the boundary. We therefore prove homeomorphic extension results by hand. The paper [AW] deals with domains in the first Heisenberg group $\mathbf{H}^1$, and the prime ends considered there are *not* those considered here. The notion of prime ends considered in [AW] are effectively that of Carathéodory, see [AW, Definition 3.3]. In particular, topologically three-dimensional analogs of the harmonic comb, e.g. $\Omega' \times (0, 1)$ with Ω' as in (1), will have no prime end considered in [AW] with impression containing any point in $\{0\} \times \{\frac{1}{2}\} \times [0, 1]$, whereas each point in this set forms an impression of a singleton prime end with respect to the notion of prime ends considered here. The paper [Sev] has a similar Carathéodory type extension results for the so-called ring mappings between two domains satisfying certain geometric restrictions at their respective boundaries.

The structure of the paper is as follows. We give the background notions and results related to prime ends and BQS/geometric quasiconformal maps in Section 2. In Section 3 we describe bounded geometry and the Loewner property; these notions are not needed in the subsequent study of BQS mappings (Sections 4,5) but are needed in the study of geometric quasiconformal maps in Section 6. Using the prime end boundary for bounded domains as defined in Section 2, in Section 4 we prove a Carathéodory-type extension property of BQS homeomorphisms between bounded domains in proper locally path-connected metric spaces. In Section 5 we extend the prime end construction to unbounded domains, and demonstrate a Carathéodory-type extension of BQS homeomorphisms between unbounded domains in proper locally path-connected metric spaces. The final section is concerned with proving a Carathéodory-type extension property of geometric quasiconformal mappings between two domains in proper locally path-connected metric spaces, under certain geometric restrictions placed on the two domains. Note that it is not possible to have a BQS homeomorphism between a bounded domain and an unbounded domain, but it is possible to have a geometric quasiconformal map between a bounded domain and an unbounded domain, as evidenced by the conformal map between the unit disk and the half-plane.

2 Background notions

In this section we give a definition of prime ends for bounded domains. An extension of this definition of prime ends (following [E]) for unbounded domain is postponed until Section 5 where it is first needed. We follow [ABBS, Section 4] in constructing prime ends for bounded domains.

We say that a metric space X is (metrically) doubling if there is a positive integer N such that whenever $x \in X$ and $r > 0$, we can cover the ball $B(x, r)$ by at most N number of balls of radius $r/2$.

Let X be a complete, doubling metric space. It is known that a complete doubling space is proper, that is, closed and bounded subsets of the space are compact. Let $\Omega \subseteq X$ be a domain, that is, Ω is an open, non-empty, connected subset of X .

Definition 2.1 (Mazurkiewicz metric). The Mazurkiewicz metric d_M on Ω is given by

$$d_M(x, y) := \inf_E \text{diam}(E)$$

for $x, y \in \Omega$, where the infimum is over all continua (compact connected sets) $E \subset \Omega$ that contain x and y .

Note that in general d_M can take on the value of ∞ ; however, if X is locally path-connected (and hence so is Ω), then d_M is finite-valued and so is an actual metric on Ω .

Definition 2.2 (Acceptable Sets). A bounded, connected subset $E \subseteq \Omega$ is an *acceptable set* if $\overline{E}$ is compact and $\overline{E} \cap \partial\Omega \neq \emptyset$.

The requirement that $\overline{E}$ be compact was not needed to be explicitly stated in [ABBS] because it was assumed there that the metric space X is complete and doubling, and so closed and bounded subsets are compact; as Ω is bounded and $E \subset \Omega$, it follows then that $\overline{E}$ is compact in the setting considered in [ABBS]. In our more general setting, we make this an explicit requirement.

Given two sets $E, F \subset \Omega$, we know from Definition 2.1 that

$$\text{dist}_M(E, F) = \inf_{\gamma} \text{diam}(\gamma),$$

where the infimum is over all continua $\gamma \subset \Omega$ with $\gamma \cap E \neq \emptyset \neq \gamma \cap F$.

Definition 2.3 (Chains). A sequence $\{E_i\}$ of acceptable sets is a *chain* is

- (a) $E_{i+1} \subseteq E_i$ for all $i \geq 1$
- (b) $\text{dist}_M(\Omega \cap \partial E_{i+1}, \Omega \cap \partial E_i) > 0$ for all $i \geq 1$
- (c) $\bigcap_{i=1}^{\infty} \overline{E_i} \subseteq \partial\Omega$.

The second condition above guarantees also that E_{i+1} is a subset of the interior $\text{int}(E_i)$ of E_i .

Definition 2.4 (Divisibility of Chains, and resulting ends). A chain $\{E_i\}$ *divides* a chain $\{F_j\}$ (written $\{E_i\}|\{F_j\}$) if for each positive integer k there exists a positive integer i_k with $E_{i_k} \subseteq F_k$. Two chains $\{E_i\}$ and $\{F_j\}$ are *equivalent* (written $\{E_i\} \sim \{F_j\}$) if $\{E_i\}|\{F_j\}$ and $\{F_j\}|\{E_i\}$. The equivalence class of a given chain $\{E_i\}$ under this relation is called an *end* and is denoted $[E_i]$.

Notation 2.5. We write ends using the Euler script font (e.g. $\mathcal{E}, \mathcal{F}, \mathcal{G}$). If $\{E_i\}$ is a chain representing the end $\mathcal{E}$, we write $\{E_i\} \in \mathcal{E}$.

Note that if $\{E_k\}|\{G_k\}$ and $\{F_k\} \sim \{E_k\}$, then $\{F_k\}|\{G_k\}$. Moreover, if $\{E_k\}|\{G_k\}$ and $\{F_k\} \sim \{G_k\}$, then $\{E_k\}|\{F_k\}$. Therefore the notion of divisibility is inherited by ends from their constituent chains.

Definition 2.6 (Divisibility of Ends). An end $\mathcal{E}$ *divides* an end $\mathcal{F}$ (written $\mathcal{E}|\mathcal{F}$) if whenever $E_i \in \mathcal{E}$ and $F_j \in \mathcal{F}$, then $\{E_i\}|\{F_j\}$.

Definition 2.7 (Impressions). The *impression* of a chain $\{E_i\}$ is $\bigcap_{i=1}^{\infty} \overline{E_i}$. If two chains are equivalent, then they have the same impression. Hence, if $\mathcal{E}$ is an end, we may refer to the impression $I(\mathcal{E})$ of that end. We may also write $I[E_i]$ if $\{E_i\} \in \mathcal{E}$.

Definition 2.8 (Prime Ends and Prime End Boundary). An end $\mathcal{E}$ is called a *prime end* if $\mathcal{F} = \mathcal{E}$ whenever $\mathcal{F}|\mathcal{E}$. The collection of prime ends of Ω is called the *prime end boundary* of Ω and is denoted $\partial_P \Omega$. The set Ω together with its prime end boundary form the *prime end closure* of Ω , denoted $\overline{\Omega}^P = \Omega \cup \partial_P \Omega$.

We next describe a topology on $\overline{\Omega}^P$ using a notion of convergence.

Definition 2.9 (Sequential topology for the prime end boundary). We say that a sequence $\{x_k\}$ of points in Ω converges to a prime end $\mathcal{E} = [E_k]$ if for each positive integer k there is a positive integer N_k such that $x_j \in E_k$ for all $j \geq N_k$. It is possible for a sequence of points in Ω to converge to more than one prime end, see [ABBS].

A sequence of prime ends $\{\mathcal{E}_j\}$ is said to converge to a prime end $\mathcal{E}$ if, with each (or, equivalently, with some) choice of $\{E_{k,j}\} \in \mathcal{E}_j$ and $\{E_k\} \in \mathcal{E}$, for each positive integer k there is a positive integer N_k such that whenever $j \geq N_k$ there is a positive integer $m_{j,k}$ such that $E_{m_{j,k},j} \subset E_k$.

It is shown in [ABBS] that the above notion of convergence yields a topology on $\overline{\Omega}^P$ which may or may not be Hausdorff but satisfies the T1 separation axiom.

Recall that a domain Ω is of *bounded turning* if there is some $\lambda \geq 1$ such that whenever $x, y \in \Omega$ we can find a continuum $E_{x,y} \subset \Omega$ with $x, y \in E_{x,y}$ such that $\text{diam}(E_{x,y}) \leq \lambda d(x, y)$.

Lemma 2.10 (Bounded Turning Boundary). *Let X be a complete metric space. Let $\Omega \subseteq X$ be a bounded domain with λ -bounded turning. Then, $\overline{\Omega}^P$ is metrizable by an extension of the Mazurkiewicz metric d_M and there is a biLipschitz identification $\overline{\Omega} = \overline{\Omega}^P$.*

Proof. Let $x \in \partial \Omega$. Let $x_i \in \Omega$ be such that $d(x_i, x) < 2^{-i}$. For each i , let γ_i be a continuum with $x_i, x_{i+1} \in \gamma_i$ and $\text{diam}(\gamma_i) \leq \lambda d(x_i, x_{i+1})$, and let $\Gamma_j = \bigcup_{i=j}^{\infty} \gamma_i$.

Then, Γ_j is connected for each j and $\text{diam}(\Gamma_j) \leq \lambda 2^{-j+1}$. Let E_j be the connected component of $B(x, \lambda 2^{-j+2}) \cap \Omega$ containing Γ_j . Then $x \in \partial\Omega \cap \overline{E_j}$, and so E_j is an acceptable set.

We claim $[E_j]$ is a prime end with $I(\{E_j\}) = \{x\}$. We first show $\{E_j\}$ is a chain. Condition (a) is clear as $\Gamma_{j+1} \subseteq \Gamma_j$ for each j , and $B(x, \lambda 2^{-j+1}) \subseteq B(x, \lambda 2^{-j+2})$ for each j . If $y \in B(x, \lambda 2^{-j+2}) \cap \Omega \cap \partial E_j$, then there is some $\rho > 0$ such that $B(y, \rho) \subset B(x, \lambda 2^{-j+2}) \cap \Omega$, and there is a point $w \in B(y, \rho/[3\lambda]) \cap E_j$. Thus whenever $z \in B(y, \rho/[3\lambda])$, by the bounded turning property of Ω we know that there is a continuum in Ω containing both z and w , with diameter at most $2\rho/3 < \rho$, and so this continuum will lie inside $B(x, \rho)$. It follows that $B(y, \rho/[3\lambda]) \subset E_j$, violating the assumption that $y \in \partial E_j$. Therefore $\Omega \cap \partial E_j \subset \partial B(x, \lambda 2^{-j+2})$. Hence

$$\text{dist}_M(\Omega \cap \partial E_j, \Omega \cap \partial E_{j+1}) \geq \text{dist}(\Omega \cap \partial E_j, \Omega \cap \partial E_{j+1}) \geq 2^{-j+1} > 0,$$

that is, Condition (b) is valid. Condition (c) follows as $\{x\} = \bigcap_j \overline{E_j}$. Hence, $\{E_j\}$ is a chain and therefore $[E_j]$ is an end. That it is a prime end follows from [ABBS] together with the fact that $I[E_j]$ is a singleton set.

We show that if $\mathcal{F}$ is a prime end with $I(\mathcal{F}) = \{x\}$, then $\mathcal{E} = \mathcal{F}$ where $\mathcal{E}$ is the one constructed above. To prove this it suffices to show that $\mathcal{F}|\mathcal{E}$. Let $\mathcal{E} = [E_k]$ as above, and choose $\{F_k\} \in \mathcal{F}$. Fix a positive integer k and let $w_j \in \Omega \cap B(x, 2^{-(2+k)})$ and $z_j \in E_k \cap B(x, 2^{-(2+k)})$. Then by the bounded turning property, there is a continuum K_j containing both w_j and z_j such that $\text{diam}(K_j) \leq \lambda d(z_j, w_j) < \lambda 2^{-k-2}$. It follows that $K_j \subset B(x, \lambda 2^{-k+2}) \cap \Omega$, and so $K_j \subset E_k$. It follows that $B(x, 2^{-k-2}) \cap \Omega \subset E_k$. On the other hand, $\bigcap_j \overline{F_j} = \{x\}$, and as $\overline{F_1}$ is compact with $F_{j+1} \subset F_j$ for each j , it follows that for each k there is some $j_k \in \mathbb{N}$ such that $F_{j_k} \subset B(x, 2^{-k-2}) \cap \Omega$. It then follows that $F_{j_k} \subset E_k$. Therefore we have that $\{F_j\}|\{E_k\}$, that is, $\mathcal{F}|\mathcal{E}$ as desired.

We now show that if $\mathcal{F}$ is a prime end, then $I(\mathcal{F})$ consists of a single point. Let $x \in I(\mathcal{F})$ and choose $\{F_k\} \in \mathcal{F}$. Then for each positive integer k we set

$$\tau_k := [3\lambda]^{-1} \min\{2^{-k-2}, \text{dist}_M(\Omega \cap \partial F_j, \Omega \cap \partial F_{j+1}) : j = 1, \dots, k\}.$$

Note that $\tau_{k+1} \leq \tau_k$ with $\lim_k \tau_k = 0$. We can then find a point $x_k \in B(x, \tau_k) \cap F_{k+1}$ since $x \in \partial F_j$ for each $j \in \mathbb{N}$. Note that as $F_{j+1} \subset F_j$ for each j , we necessarily have $x_{k+1}, x_k \in F_{k+1}$. By the bounded turning property of Ω we can find a continuum $K_k \subset \Omega$ such that $x_k, x_{k+1} \in K_k$ and $\text{diam}(K_k) \leq \lambda d(x_k, x_{k+1}) < 2\lambda\tau_k$. As

$$2\lambda\tau_k < \text{dist}_M(\Omega \cap \partial F_k, \Omega \cap \partial F_{k+1})$$

and $x_k, x_{k+1} \in F_{k+1}$, it follows that $K_k \subset F_k$ for each positive integer k . A similar argument now also shows that $B(x, 2\lambda\tau_k) \cap \Omega$ is contained in the connected component G_k of $B(x, 2\lambda\tau_k) \cap \Omega$ containing K_k , and hence $B(x, \tau_k) \cap \Omega \subset G_k \subset F_k$. It follows that $\{G_k\}$ is a chain in Ω with $\{G_k\}|\{F_k\}$, and as $\{F_k\}$ is a prime end, it follows that $[G_k] = \mathcal{F}$. Thus we have $I(\mathcal{F}) = I([G_k]) = \{x\}$.

The above argument shows that $\partial_P \Omega$ consists solely of singleton prime ends, and hence by the results of [ABBS] we know that $\overline{\Omega}^P$ is compact and is metrizable by an extension of the Mazurkiewicz metric d_M . Moreover, for each $x \in \partial\Omega$ there is

exactly one prime end $\mathcal{E}_x \in \partial_P \Omega$ such that $I(\mathcal{E}_x) = \{x\}$. To complete the proof of the lemma, note that if $x, y \in \Omega$, we can find a continuum $E_{x,y} \subset \Omega$ with $x, y \in E_{x,y}$ such that $\text{diam}(E_{x,y}) \leq \lambda d(x, y)$; therefore $d(x, y) \leq d_M(x, y) \leq \lambda d(x, y)$. Since $\overline{\Omega}^P$ is the completion of Ω with respect to the metric d_M , this biLipschitz correspondence extends to $\overline{\Omega} \rightarrow \overline{\Omega}^P$ as wished for. $\square$

Throughout this paper, Ω and Ω' are domains in X . Recall that a set $E \subset X$ is a continuum if it is connected and compact. Such a set E is nondegenerate if in addition it has at least two points.

Definition 2.11. A domain Ω is said to be *finitely connected at a point* $x \in \partial\Omega$ if for every $r > 0$ the following two conditions are satisfied:

- 1 There are only finitely many connected components $U_1, \dots, U_k$ of $B(x, r) \cap \Omega$ with $x \in \partial U_i$ for $i = 1, \dots, k$,
- 2 there is some $\rho > 0$ such that $B(x, \rho) \cap \Omega \subset \bigcup_{j=1}^k U_j$.

It was shown in [ABBS] (see also [BBS1]) that Ω is finitely connected at every point of its boundary if and only if every prime end of Ω has a singleton impression (that is, its impression has only one point) and $\partial_P \Omega$ is compact.

It is an open problem whether, given a bounded domain, every end of that domain is divisible by a prime end, see for example [ABBS, AS, ES]. Since this property is of importance in the theory of Dirichlet problem for prime end boundaries (see for instance [AS, ES]), the following useful lemma is also of independent interest.

Lemma 2.12 (Divisibility). *Suppose that Ω is a bounded domain that is finitely connected at $x \in \partial\Omega$, and let $[E_k]$ be an end of Ω with $x \in I[E_k]$. Then there is a prime end $[G_k]$ such that $[G_k] \parallel [E_k]$.*

Proof. For each positive integer j let $C_1^j, \dots, C_{k_j}^j$ be the connected components of $B(x, 2^{-j}) \cap \Omega$ that contain x in their boundary. For each choice of positive integers j and k , there is at least one choice of $m \in \{1, \dots, k_j\}$ such that $C_m^j \cap E_k$ is non-empty.

Let V' be the collection of all C_m^j , $j \in \mathbb{N}$ and $m \in \{1, \dots, k_j\}$ for which C_m^j intersects E_k for infinitely many positive integers k (and hence, by the nested property of the chain $\{E_k\}$, all positive integers k), and let $V := V' \cup \{\Omega\}$. As Ω is finitely connected at the boundary, it follows that for each j there is at least one $m \in \{1, \dots, k_j\}$ such that C_m^j has this property. We set $C_1^0 := \Omega$. For non-negative integer j we say that C_m^j is a neighbor of C_n^{j+1} if and only if $C_n^{j+1} \subset C_m^j$. This neighbor relation creates a tree structure, with V as its vertex set, with each vertex of finite degree.

Note that for each positive integer M , there is a path in this tree (starting from the root vertex $C_1^0 = \Omega$) with length at least M . Because each vertex has finite degree, it follows that there is a path in this tree with infinite length. To see this we argue as follows. Let property (P) be the property, applicable to vertices C_m^j , that the sub-tree with the vertex as its root vertex has arbitrarily long paths starting at that vertex. For each non-negative integer j the vertex C_m^j has finite degree, and so if C_m^j has property (P) then it has at least one descendant neighbor (also known

as a child in graph theory) C_n^{j+1} with property (P). Since $C_1^0 = \Omega$ has property (P) as pointed out above, we know that there is a choice of $m_1 \in \{1, \dots, k_1\}$ such that the vertex $C_{m_1}^1$ also has property (P). From here we can find $m_2 \in \{1, \dots, k_2\}$ such that $C_{m_2}^1$ also has property (P) and $C_{m_1}^1$ is a neighbor of $C_{m_2}^2$. Inductively we can find $C_{m_j}^j$ for each positive integer j to create this path.

Denoting this path by $\Omega \sim C_{m_1}^1 \sim C_{m_2}^2 \sim \dots$, we see that the collection $\{C_{m_j}^j\}$ is a chain for Ω with impression $\{x\}$. As a chain with singleton impression, it belongs to a prime end $[G_k]$. Recall that X is locally path-connected and $\Omega \subset X$ is an open connected set. Therefore, for each positive integer i we see that there is some j_i such that $C_{m_j}^j \subset E_i$ when $j \geq j_i$, and so $[G_k] \parallel [E_k]$ (for if not, then for each j the open set $C_{m_j}^j$ contains a point from E_{i+1} and a point from $\Omega \setminus E_i$, and so $\text{dist}_M(\Omega \cap \partial E_i, \Omega \cap \partial E_{i+1}) \leq 2^{1-j}$ for each j , violating the property of $\{E_k\}$ being a chain). $\square$

The following two lemmata regarding ends are useful to us.

Lemma 2.13. *Let $\{E_k\}$ be a chain of Ω . Then there is a chain $\{F_k\}$ of Ω that is equivalent to $\{E_k\}$ such that for each $k \in \mathbb{N}$ the set F_k is open.*

Proof. For each positive integer k we choose F_k to be the connected component of $\text{int}(E_k)$ that contains E_{k+1} . It is clear that

$$F_{k+1} \subset E_k \subset F_k \quad (2)$$

for each $k \in \mathbb{N}$ and that F_k is connected and open. Moreover, as $E_{k+1} \subset F_k$, it follows that $\bigcap_k \overline{E_k} \subset \bigcap_k \overline{F_k} \subset \bigcap_k \overline{E_k} \subset \partial\Omega$, and so we have that

$$\emptyset \neq \bigcap_k \overline{F_k}. \quad (3)$$

We show now that $\partial F_k \subset \partial E_k$, from which it will follow that

$$\text{dist}_M(\Omega \cap \partial F_k, \Omega \cap \partial F_{k+1}) > 0$$

because E_k, E_{k+1} also satisfy analogous condition. To see (3), let $x \in \partial F_k$. Then, for each $\varepsilon > 0$, the ball $B(x, \varepsilon)$ intersects F_k , and so also intersects E_k . Hence $x \in \overline{E_k}$. If $x \notin \partial E_k$, then $x \in \text{int}(E_k)$, and in this case there is a ball $B(x, \tau) \subset E_k$; we argue that this is not possible. Indeed, by the local path-connectedness of X there must be a connected open set $U \subset \Omega$ such that $x \in U \subset B(x, \tau) \subset E_k$, and as $x \in \partial F_k$, necessarily U intersects F_k as well. Then $F_k \cup U$ is connected, with $F_k \cup U \subset E_k$; this is not possible as F_k is the largest open connected subset of E_k . Therefore $x \notin \text{int}(E_k)$, and so $x \in \partial E_k$.

From the above we have that $\{F_k\}$ is a chain of Ω . By (2) we also know that this chain is equivalent to the original chain $\{E_k\}$. $\square$

Lemma 2.14. *If $\{E_k\}$ is a chain of Ω , then its impression $I\{E_k\}$ is a compact connected subset of X .*

Proof. For each $j \in \mathbb{N}$ set $K_j := \overline{E_j}$. Then K_j is compact, non-empty, and connected with $K_{j+1} \subset K_j$. Set $K := \bigcap_j K_j$. Suppose that K is not connected. Then there are open sets $V, W \subset X$ with $K \subset V \cup W$, $K \cap V \neq \emptyset$, $K \cap W \neq \emptyset$, and $K \cap V \cap W$ empty. We set $K_V := K \cap V$ and $K_W := K \cap W$. Then $K_V = K \setminus W$ and $K_W = K \setminus V$, and so both K_V and K_W are compact sets. Moreover, $K_V \cap K_W = K \cap V \cap W = \emptyset$. Therefore

$$\text{dist}(K_V, K_W) > 0,$$

and so there are open sets $O_V, O_W \subset X$ such that $K_V \subset O_V$, $K_W \subset O_W$, and $O_V \cap O_W = \emptyset$. Set $U := O_V \cup O_W$. As each K_j is connected, it follows that we cannot have $K_j \subset U$, for then we will have $K_j \subset O_V \cup O_W$ with $K_j \cap O_V \cap O_W$ empty but $K_j \cap O_V \supset K \cap O_V \neq \emptyset$ and $K_j \cap O_W \supset K \cap O_W \neq \emptyset$. It follows that for each $j \in \mathbb{N}$ there is a point $x_j \in K_j \setminus O$. This forms a sequence in the compact set K_1 , and so there is a subsequence x_{j_n} that converges to some point $x \in K_1$.

For each $k \in \mathbb{N}$ we have that K_k is compact and $\{x_k\}_{j \geq k}$ a sequence there; so $x \in K_k$ for each $k \in \mathbb{N}$, that is, $x \in K$. However, $K \subset O$ and each $x_j \in X \setminus O$; therefore we must have $x \in X \setminus O$ (recall that O is open), leading us to a contradiction. Therefore we conclude that it is not possible for K to be not connected. $\square$

Definition 2.15 (BQS homeomorphisms). A homeomorphism $f : \Omega \rightarrow \Omega'$ is said to be a *branched quasisymmetric homeomorphism* (or BQS homeomorphism) if there is a monotone increasing map $\eta : (0, \infty) \rightarrow (0, \infty)$ with $\lim_{t \rightarrow 0^+} \eta(t) = 0$ such that whenever $E, F \subset \Omega$ are nondegenerate continua with $E \cap F$ non-empty, we have

$$\frac{\text{diam}(f(E))}{\text{diam}(f(F))} \leq \eta \left(\frac{\text{diam}(E)}{\text{diam}(F)} \right).$$

Definition 2.16 (Quasisymmetry). A homeomorphism $f : \Omega \rightarrow \Omega'$ is said to be an η -quasisymmetry if $\eta : [0, \infty) \rightarrow [0, \infty)$ is a homeomorphism and for each triple of distinct points $x, y, z \in \Omega$ we have

$$\frac{d_Y(f(x), f(y))}{d_Y(f(x), f(z))} \leq \eta \left(\frac{d_X(x, y)}{d_X(x, z)} \right).$$

Quasisymmetries are necessarily BQS homeomorphisms, but not all BQS homeomorphisms are quasisymmetric as the mapping f in Example 5.5 shows. However, if both Ω and Ω' are of bounded turning, then every BQS homeomorphism is necessarily quasisymmetric. BQS homeomorphisms between two locally path-connected metric spaces continue to be BQS homeomorphisms when we replace the original metrics with their respective Mazurkiewicz metrics, see Proposition 4.12. When equipped with the respective Mazurkiewicz metrics, the class of BQS homeomorphisms coincides with the class of quasisymmetric maps. However, a domain equipped with its Mazurkiewicz metric could have a different prime end structure than the prime end structure obtained with respect to the original metric, see Example 4.8 for instance. Hence, considering BQS maps as quasisymmetric maps between two metric spaces equipped with a Mazurkiewicz metric would not give a complete picture of the geometry of the domain.

There are many notions of quasiconformality in the metric setting, the above two being examples of them. The following is a geometric version.

Definition 2.17 (Geometric quasiconformality). Let μ_Y be an Ahlfors Q -regular measure on Y and μ_X be a doubling measure on X . A homeomorphism $f : \Omega \rightarrow \Omega'$ is a *geometrically quasiconformal* mapping if there is a constant $C \geq 1$ such that whenever Γ is a family of curves in Ω , we have

$$C^{-1} \text{Mod}_Q(f\Gamma) \leq \text{Mod}_Q(\Gamma) \leq C \text{Mod}_Q(f\Gamma).$$

Here, by $\text{Mod}_p(\Gamma)$ we mean the number

$$\text{Mod}_p(\Gamma) := \inf_{\rho} \int_{\Omega} \rho^p d\mu_X$$

with infimum over all non-negative Borel-measurable functions ρ on Ω for which $\int_{\gamma} \rho ds \geq 1$ whenever $\gamma \in \Gamma$.

From the work of Ahlfors and Beurling [Ah1, Ah2, AB] we know that conformal mappings between two planar domains are necessarily geometrically quasiconformal; a nice treatment of quasiconformal mappings on Euclidean domains can be found in [V]. Hence every simply connected planar domain that is not the entire complex plane is geometrically quasiconformally equivalent to the unit disk. However, as Example 4.6 shows, not every conformal map is a BQS homeomorphism; hence among homeomorphisms between two Euclidean domains, the class of BQS homeomorphisms is smaller than the class of geometric quasiconformal maps. Furthermore, from Example 4.10 we know that while quasimetric maps between two Euclidean domains are BQS homeomorphisms, not all BQS homeomorphisms are quasimetric. Thus the narrowest classification of Euclidean domains is via quasimetric maps, the next narrowest is via BQS homeomorphisms. Among locally Loewner metric measure spaces, geometric quasiconformal maps provide the widest classification. Therefore, even in classifying simply connected planar domains, a deeper understanding of the geometry of the domains is gained by considering the Carathéodory prime end compactification in tandem with the prime ends constructed in [ABBS, ES, E].

3 Bounded geometry and Loewner property

Not all BQS homeomorphisms are quasimetric, as shown by Example 4.10 from the previous section, and not all geometrically quasiconformal maps are BQS, as shown by Example 4.6. On the other hand, BQS homeomorphisms need not be geometrically quasiconformal, as the next example shows.

Example 3.1. Let $X = \mathbb{R}^n$ be equipped with the Euclidean metric and Lebesgue measure, and $Y = \mathbb{R}^n$ be equipped with the metric d_Y given by $d_Y(x, y) = \sqrt{\|x - y\|}$. Then X has a positive modulus worth of families of non-constant curves, while Y has none. Therefore the natural identification map $f : X \rightarrow Y$ is not geometrically quasiconformal; however, it is not difficult to see that f is a BQS homeomorphism and a quasimetric map.

In this section we will describe notions of Ahlfors regularity and Poincaré inequality that are needed in the final section of this paper where geometric quasiconformal maps are studied.

Remark 3.2. Note that Ω is a domain in X , and hence it inherits the metric d_X from X . However, Ω also has other induced metrics as well, for example the Mazurkiewicz metric d_M as in Definition 2.1. We denote the balls in Ω with respect to the Mazurkiewicz metric d_M , centered at $z \in \Omega$ and with radius $\rho > 0$, by $B_M(z, \rho)$. Since we assume that X is locally path connected, we know that the topology generated by d_X in Ω and the topology generated by d_M are the same. To see this, observe that if $U \subset \Omega$ is open with respect to the metric d_X , then for each $x \in U$ there is some $r > 0$ such that $B(x, 2r) \subset U$. Then as $d_X \leq d_M$, it follows that $B_M(x, r) \subset B(x, 2r) \subset U$; that is, U is open with respect to d_M . Next, suppose that $U \subset \Omega$ is open with respect to d_M , and let $x \in U$. Then there is some $\rho > 0$ such that $B_M(x, 3\rho) \subset U$. We can also choose ρ small enough so that $B(x, 3\rho) \subset \Omega$. Then by the local path-connectedness of X , there is an open set $W \subset B(x, \rho)$ with $x \in W$ such that W is path-connected. So for each $y \in W$ there is a path (and hence a continuum) $K \subset W$ with $x, y \in K$. Note that then $d_M(x, y) \leq \text{diam}(K) \leq 2\rho < 3\rho$, that is, $y \in B_M(x, 3\rho) \subset U$. Therefore $W \subset U$ with $x \in W$ and W open with respect to the metric d_X . It follows that U is open with respect to d_X as well.

From the above remark, given an interval $I \subset \mathbb{R}$, a map $\gamma : I \rightarrow \Omega$ is a path with respect to d_X if and only if it is a path with respect to d_M .

Definition 3.3 (Upper gradients). Let Z be a metric space and d_Ω a metric on Ω . Given a function $f : \Omega \rightarrow Z$, we say that a non-negative Borel function g is an upper gradient of f in Ω with respect to the metric d_Ω if whenever γ is a non-constant compact rectifiable curve in Ω , we have

$$d_Z(f(x), f(y)) \leq \int_\gamma g \, ds,$$

where x, y denote the end points of γ and the integral on the right-hand side is taken with respect to the arc-length on γ .

Lemma 3.4. *If γ is a curve in Ω , then γ is rectifiable with respect to d_M if and only if it is rectifiable with respect to d_X ; moreover, $\ell_{d_X}(\gamma) = \ell_{d_M}(\gamma)$. Furthermore, if g is a non-negative Borel measurable function on Ω , then g is an upper gradient of a function u with respect to the metric d_X if and only if it is an upper gradient of u with respect to d_M . Also, given a family Γ of paths in Ω and $1 \leq p < \infty$, the quantity $\text{Mod}_p(\Gamma)$ is the same with respect to d_X and with respect to d_M .*

Proof. It suffices to prove the lemma for non-constant paths $\gamma : I \rightarrow \Omega$ with I a compact interval in $\mathbb{R}$. Since $d_X \leq d_M$, it follows that $\ell_{d_X}(\gamma) \leq \ell_{d_M}(\gamma)$ (whether γ is rectifiable or not, this holds). To show that converse inequality, let $t_0 < t_1 < \dots < t_m$ be a partition of the domain interval $I \subset \mathbb{R}$ of γ . Then for $j = 0, \dots, m-1$, note that

$$d_M(\gamma(t_j), \gamma(t_{j+1})) \leq \text{diam}(\gamma|_{[t_j, t_{j+1}]}) \leq \ell_{d_X}(\gamma|_{[t_j, t_{j+1}]}).$$

It follows that

$$\sum_{j=0}^{m-1} d_M(\gamma(t_j), \gamma(t_{j+1})) \leq \ell_{d_X}(\gamma).$$

Taking the supremum over all such partitions of I gives $\ell_{d_M}(\gamma) \leq \ell_{d_X}(\gamma)$. Therefore, whether γ is rectifiable or not (with respect to either of the two metrics), we have $\ell_{d_X}(\gamma) = \ell_{d_M}(\gamma)$. The rest of the claims of the lemma now follow directly from this identity. $\square$

Given the above lemma, the notions of Moduli of path families and geometric quasiconformality of mappings can be taken with respect to either of d_X or d_M (and respectively on the image side in the case of geometric quasiconformal mappings). On the other hand, the notions of Poincaré inequality and Ahlfors regularity differ in general based on whether we consider the metric d_X or the metric d_M , for the balls are different with respect to these two metrics.

Definition 3.5 (Poincaré inequality). We say that Ω supports a p -Poincaré inequality with respect to the metric d_Ω if there are constants $C > 0$ and $\lambda \geq 1$ such that whenever $u : \Omega \rightarrow \mathbb{R}$ has g as an upper gradient on Ω with respect to the metric d_Ω and whenever $x \in \Omega$ and $r > 0$, we have

$$\inf_{c \in \mathbb{R}} \frac{1}{\mu(B_\Omega)} \int_B |u - c| d\mu \leq C r \left(\frac{1}{\mu(\lambda B_\Omega)} \int_{\lambda B} g^p d\mu \right)^{1/p},$$

where $B_\Omega := \{y \in \Omega : d_\Omega(x, y) < r\}$ and $\lambda B_\Omega := \{y \in \Omega : d_\Omega(x, y) < \lambda r\}$.

Definition 3.6 (Ahlfors regularity). We say that a measure μ is doubling on Ω with respect to a metric d_Ω if μ is Borel regular and there is a constant $C \geq 1$ such that for each $x \in \Omega$ and $r > 0$,

$$\mu(B_\Omega(x, 2r)) \leq C \mu(B_\Omega(x, r)).$$

We say that μ is Ahlfors Q -regular (with respect to the metric d_Ω) for some $Q > 0$ if there is a constant $C \geq 1$ such that whenever $x \in \Omega$ and $0 < r < 2 \text{diam}(\Omega)$ (with diameter taken with respect to the metric d_Ω) if

$$\frac{r^Q}{C} \leq \mu(B_\Omega(x, r)) \leq C r^Q.$$

From the seminal work of Heinonen and Koskela [HK, Theorem 5.7] we know that if a complete metric measure space (X, d, μ) is Ahlfors Q -regular (with some $Q > 1$), then it supports a Q -Poincaré inequality if and only if it satisfies a strong version of the Q -Loewner property.

Definition 3.7. We say that (X, d, μ) satisfies a Q -Loewner property if there is a function $\phi : (0, \infty) \rightarrow (0, \infty)$ such that whenever $E, F \subset X$ are two disjoint continua (that is, connected compact sets with at least two points),

$$\text{Mod}_Q(\Gamma(E, F, X)) \geq \phi(\Delta(E, F))$$

where $\Gamma(E, F, X)$ is the collection of all curves in X with one end point in E and the other in F , and

$$\Delta(E, F) := \frac{\text{dist}(E, F)}{\min\{\text{diam}(E), \text{diam}(F)\}}$$

is the relative distance between E and F . The strong version of the Q -Loewner property is that

$$\liminf_{t \rightarrow 0^+} \phi(t) = \infty.$$

Theorem 3.8 ([HK, Theorem 3.6]). *If (X, d, μ) is Q -Loewner for some $Q > 1$ and μ is Ahlfors Q -regular, then we can take $\phi(t) = C \max\{\log(1/t), |\log(t)|^{1-Q}\}$ for some constant $C \geq 1$.*

4 Extending BQS homeomorphisms between bounded domains to prime end closures

The principal focus of this section and the next section is to obtain a Carathéodory-type extension of BQS homeomorphisms between two domains. In this section we will focus on bounded domains, and in the next section we will study unbounded domains. Thus in this section both Ω and Ω' are bounded domains in proper, locally connected metric spaces. Theorem 4.4 and Proposition 4.7 are the two main results of this section. However, Proposition 4.12 is of independent interest as it demonstrates that BQS property and geometric quasiconformality can be formulated equivalently with respect to d_X, d_Y or with respect to d_M, d'_M ; moreover, this proposition holds also for unbounded domains.

To understand how BQS homeomorphisms transform prime ends, we first need the following two lemmata.

Lemma 4.1. *Let X and Y be complete, doubling, locally path-connected metric spaces with $\Omega \subset X$, $\Omega' \subset Y$ two domains (not necessarily bounded). If there is a BQS homeomorphism $f : \Omega \rightarrow \Omega'$, then Ω is bounded if and only if Ω' is bounded. Moreover, if $A \subset \Omega$ with $\text{diam}_M(A) < \infty$, then $\text{diam}'_M(f(A)) < \infty$.*

Proof. It suffices to show that if Ω is bounded, then Ω' is also bounded. We fix a continuum $\Gamma \subset \Omega$ such that $\text{diam}(\Gamma) \geq \text{diam}(\Omega)/2$, and let $\tau := \text{diam}(\Gamma)$, $s := \text{diam}(f(\Gamma))$. Note that $0 < s\tau < \infty$. Let $z, w \in \Omega'$. Then as Ω is locally path-connected, it follows that there is a path (and hence a continuum) Λ connecting $f^{-1}(z)$ to $f^{-1}(w)$. The set $f(\Lambda)$ is a continuum in Ω' with $z, w \in f(\Lambda)$. Let Γ_1 be the continuum obtained by connecting Γ to Λ in Ω ; then $\text{diam}(\Gamma_1) \geq \tau$ and so by the BQS property of f we now have

$$\frac{\text{diam}(f(\Lambda))}{\text{diam}(f(\Gamma_1))} \leq \eta \left(\frac{\text{diam}(\Lambda)}{\tau} \right) \leq \eta \left(\frac{\text{diam}(\Omega)}{\tau} \right).$$

Moreover, as Γ and Γ_1 intersect with

$$\text{diam}(\Omega)/2 \leq \text{diam}(\Gamma) \leq \text{diam}(\Gamma_1) \leq \text{diam}(\Omega),$$

we know from the BQS property of f that

$$\frac{\text{diam}(f(\Gamma_1))}{s} \leq \eta \left(\frac{\text{diam}(\Omega)}{\text{diam}(\Omega)/2} \right).$$

It follows that

$$d_Y(z, w) \leq \text{diam}(f(\Lambda)) \leq \text{diam}(f(\Gamma_1)) \eta \left(\frac{\text{diam}(\Omega)}{\tau} \right) \leq s \eta(2) \eta \left(\frac{\text{diam}(\Omega)}{\tau} \right) < \infty,$$

and so Ω' is also bounded.

Now suppose that $A \subset \Omega$ with $\text{diam}_M(A) < \infty$. If A has only one point, then there is nothing to prove. Hence assume that $0 < \text{diam}_M(A)$; then we can find a continuum $\Gamma \subset \Omega$ intersecting A , such that

$$\text{diam}_M(A)/2 \leq \text{diam}(\Gamma) = \text{diam}_M(\Gamma) \leq 2 \text{diam}_M(A).$$

Let $z, w \in f(A)$; then we can find a path $\beta \subset \Omega$ with end points $f^{-1}(z), f^{-1}(w)$ such that $\text{diam}(\beta) \leq 2 \text{diam}_M(A)$. We can also find a continuum $\gamma \subset \Omega$ with $f^{-1}(w)$ and a point from $A \cap \Gamma$ contained in γ and $\text{diam}(\gamma) \leq 2 \text{diam}_M(A)$ (note that $f^{-1}(w) \in A \cap \beta$). It follows from the BQS property of f that

$$\frac{\text{diam}(f(\beta))}{\text{diam}(f(\gamma \cup \Gamma))} \leq \eta \left(\frac{\text{diam}(\beta)}{\text{diam}(\gamma \cup \Gamma)} \right) \leq \eta \left(\frac{2 \text{diam}_M(\Gamma)}{\text{diam}(f(\Gamma))} \right).$$

Applying the BQS property of f to the two intersecting continua $\gamma \cup \Gamma$ and Γ gives

$$\frac{\text{diam}(f(\gamma \cup \Gamma))}{\text{diam}(f(\Gamma))} \leq \eta \left(\frac{\text{diam}(\gamma \cup \Gamma)}{\text{diam}(\Gamma)} \right) \leq \eta(8).$$

It follows that

$$d'_M(z, w) \leq \text{diam}(f(\beta)) \leq \eta(8) \eta \left(\frac{2 \text{diam}_M(\Gamma)}{\text{diam}(f(\Gamma))} \right).$$

It follows that

$$\text{diam}'_M(f(A)) \leq \text{diam}(f(\beta)) \leq \eta(8) \eta \left(\frac{2 \text{diam}_M(\Gamma)}{\text{diam}(f(\Gamma))} \right) < \infty$$

as desired. $\square$

Lemma 4.2. *Let X and Y be complete, doubling, locally path-connected metric spaces with $\Omega \subset X$, $\Omega' \subset Y$ two domains (not necessarily bounded) and let $f : \Omega \rightarrow \Omega'$ be a BQS homeomorphism. Suppose that $E, F \subset \Omega$ are two non-empty bounded connected open sets with $E \subset F$ such that*

$$\text{dist}_M(\Omega \cap \partial E, \Omega \cap \partial F) > 0. \quad (4)$$

Then

$$\text{dist}_M'(\Omega' \cap \partial f(E), \Omega' \cap \partial f(F)) > 0.$$

Proof. Suppose γ is a continuum in Ω' connecting $\Omega' \cap \partial f(E), \Omega' \cap \partial f(F)$. Then because f is a homeomorphism, $f^{-1}(\gamma)$ is a continuum in Ω connecting $\Omega \cap \partial E, \Omega \cap \partial F$, and hence

$$\text{diam}(f^{-1}(\gamma)) \geq \text{dist}_M(\Omega \cap \partial E, \Omega \cap \partial F) := \tau > 0.$$

Let $A \subset \Omega$ be a continuum in F with $2 \operatorname{diam}(F) \geq \operatorname{diam}(A)$; as X is locally path connected and F is a non-empty bounded connected open set, such a continuum exists. As $f^{-1}\gamma$ intersects F (for by the assumption (4), we know that $\Omega \cap \partial E \subset F$), we can find a continuum $\beta \subset F$ intersecting both A and $f^{-1}(\gamma) \cap F$ such that $\operatorname{diam}(\beta) \leq 2 \operatorname{diam}(F)$. By applying the BQS property of f to the two intersecting continua $A \cup \beta$ and $f^{-1}(\gamma)$, we have

$$\frac{\operatorname{diam}(f(A \cup \beta))}{\operatorname{diam}(\gamma)} \leq \eta \left(\frac{\operatorname{diam}(A \cup \beta)}{\operatorname{diam}(f^{-1}(\gamma))} \right) \leq \eta \left(\frac{4 \operatorname{diam}(F)}{\tau} \right).$$

As $\operatorname{diam}(f(A \cup \beta)) \geq \operatorname{diam}(f(A)) > 0$, we see that

$$\operatorname{diam}(\gamma) \geq \frac{\operatorname{diam}(f(A))}{\eta \left(\frac{4 \operatorname{diam}(F)}{\tau} \right)} > 0,$$

that is,

$$\operatorname{dist}_M'(\Omega' \cap \partial f(E), \Omega' \cap \partial f(F)) \geq \frac{\operatorname{diam}(f(A))}{\eta \left(\frac{4 \operatorname{diam}(F)}{\tau} \right)} > 0$$

as desired. $\square$

Lemma 4.3. *Let X and Y be complete, doubling, locally path-connected metric spaces with $\Omega \subset X$, $\Omega' \subset Y$ two domains (not necessarily bounded) and let $f : \Omega \rightarrow \Omega'$ be a BQS homeomorphism. If $\{E_k\}$ is a chain of Ω with singleton impression, then $\bigcap_k \overline{f(E_k)}$ has only one point.*

Proof. Suppose that and let $\{E_k\}$ is a chain with singleton impression. Then $\lim_k \operatorname{diam}(E_k) = 0$. We fix a continuum $J \subset E_1$ with $\operatorname{diam}(E_1)/2 \leq \operatorname{diam}(J) \leq \operatorname{diam}(E_1)$, and let $k \in \mathbb{N}$. For each pair $z, w \in f(E_k)$ we can find a continuum $\gamma \subset E_k$ containing $f^{-1}(z)$ and $f^{-1}(w)$ such that $\operatorname{diam}(\gamma) \leq \operatorname{diam}(E_k)$. Let J_k be a continuum in E_1 that intersects both J and γ ; note that $\operatorname{diam}(J_k \cup J) \leq \operatorname{diam} E_1$ as well. Then by the BQS property of f , we obtain

$$\frac{\operatorname{diam}(f(\gamma))}{\operatorname{diam}(f(J \cup J_k))} \leq \eta \left(\frac{\operatorname{diam}(\gamma)}{\operatorname{diam}(J \cup J_k)} \right) \leq \eta \left(\frac{\operatorname{diam}(E_k)}{\operatorname{diam}(J)} \right).$$

On the other hand, by applying the BQS property of f to the two intersecting continua J and $J \cup J_k$, we see that

$$\frac{\operatorname{diam}(f(J \cup J_k))}{\operatorname{diam}(f(J))} \leq \eta \left(\frac{\operatorname{diam}(J \cup J_k)}{\operatorname{diam}(f(J))} \right) \leq \eta(2).$$

Therefore

$$\operatorname{diam}(f(\gamma)) \leq \eta(2) \eta \left(\frac{\operatorname{diam}(E_k)}{\operatorname{diam}(J)} \right).$$

It follows that

$$\operatorname{diam}(f(E_k)) \leq \eta(2) \eta \left(\frac{\operatorname{diam}(E_k)}{\operatorname{diam}(J)} \right) \rightarrow 0 \text{ as } k \rightarrow \infty.$$

Therefore $\bigcap_k \overline{f(E_k)}$ has at most one point, and as $\overline{f(E_1)}$ is compact and $\emptyset \neq \overline{f(E_{k+1})} \subset \overline{f(E_k)}$, it follows that $\bigcap_k \overline{f(E_k)}$ has exactly one point. $\square$

Theorem 4.4. *Let X and Y be complete, doubling metric spaces that are locally path connected. Let $\Omega \subseteq X$ and $\Omega' \subseteq Y$ be bounded domains. Let $f: \Omega \rightarrow \Omega'$ be a branched quasi-symmetric (BQS) homeomorphism. Then, f induces a homeomorphism $f_P: \overline{\Omega}^P \rightarrow \overline{\Omega'}^P$.*

Note that both Ω and Ω' are locally path connected because X and Y are; however, we do not assume any further topological properties for these domains. For instance, we do not assume that either Ω or Ω' is finitely connected at their boundaries, and so we do not know that $\overline{\Omega}^P$ is compact.

Proof. We must show that f maps prime ends to prime ends.

We first show that f maps chains to chains. As f is a homeomorphism, f maps admissible sets to admissible sets. Indeed, if $E \subset \Omega$ is an admissible set, then E is connected and so $f(E)$ is also connected. Suppose $\overline{f(E)}$ does not intersect $\partial\Omega'$; then $\overline{f(E)}$ is a compact subset of Ω' , and hence $f^{-1}(\overline{f(E)})$ is a compact subset of Ω . Therefore $\overline{E} \subset f^{-1}(\overline{f(E)}) \subset \Omega$, which violates the requirement that $\overline{E} \cap \partial\Omega$ be non-empty.

Suppose $\{E_i\}$ is a chain in Ω . We check conditions (a) – (c) of Definition 2.3 for the sequence $\{fE_i\}$. Note that if $E \subseteq F \subseteq \Omega$, then $fE \subseteq fF \subseteq \Omega'$ as f is a homeomorphism. Hence, $fE_{i+1} \subseteq fE_i$ for all i , so condition (a) holds. Condition (b) follows from Lemma 4.2 by considering $E := E_{k+1}$ and $F := E_k$.

Lastly, let $\{E_i\} \in \mathcal{E}$, and for each i let $F_i = fE_i$. Suppose $y \in \Omega'$. Let $x = f^{-1}(y) \in \Omega$. As $\bigcap_{i=1}^{\infty} \overline{E_i} \subseteq \partial\Omega$, there is an i_0 and a $\rho > 0$ such that $B(x, \rho) \subseteq \Omega$ and $B(x, \rho) \cap \overline{E_{i_0}} = \emptyset$. Then, $f(B(x, \rho)) \cap f(E_{i_0})$ is empty. As $f(B(x, \rho))$ open and $y \in f(B(x, \rho))$, there is some $\rho_1 > 0$ such that $B(y, \rho_1) \subset \Omega' \cap f(B(x, \rho))$. It follows that $\overline{f(E_{i_0})} \cap B(y, \rho_1/2)$ is empty, and hence $y \notin \overline{f(E_{i_0})}$. Condition (c) follows.

The above argument tells us that given a BQS homeomorphism $f: \Omega \rightarrow \Omega'$, each chain $\{E_k\}$ is mapped to a chain $f\{E_k\} := \{f(E_k)\}$. Next we show that ends are mapped to ends. To this end, note that if $\{G_k\}$ is also a chain in Ω with $\{G_k\} \{F_k\}$, then for each k there is a positive integer i_k such that $G_{i_k} \subset F_k$. Hence $f(G_{i_k}) \subset f(F_k)$, that is, $f\{G_k\} \{f\{E_k\}$. Therefore division relation among chains is respected by f . Therefore, for each end $\mathcal{E}$ we have that $f\mathcal{E} \subset \mathcal{G}$ for some end $\mathcal{G}$ of Ω' . Given that $f^{-1}: \Omega' \rightarrow \Omega$ is also a BQS homeomorphism, we see that $f\mathcal{E} = \mathcal{G}$. Finally, if $\mathcal{E}$ is a prime end, then whenever $\{G_k\}$ is an end for Ω' with $\{G_k\} \{f\mathcal{E}$, we have that $f^{-1}\{G_k\} \{ \mathcal{E}$ and hence as $\mathcal{E}$ is a prime end, we must have $f^{-1}\{G_k\} \in \mathcal{E}$. Therefore $\mathcal{E} \{ f^{-1}\{G_k\}$, from which it follows that $f\mathcal{E} \{ \{G_k\}$, that is, $\{G_k\} \in f\mathcal{E}$. Hence $f\mathcal{E}$ is also a prime end. Thus we conclude that whenever $\mathcal{E} \in \partial_P \Omega$, we must have $f\mathcal{E} \in \partial_P \Omega'$, and we therefore have the natural extension $f_P: \overline{\Omega}^P \rightarrow \overline{\Omega'}^P$ as desired.

The fact that f_P is bijective follows from applying the above discussion also to f^{-1} (a BQS homeomorphism in its own right) to obtain an inverse of f_P .

To complete the proof of the theorem it suffices to show that f_P is continuous, for then a similar argument applied to f^{-1} and f_P^{-1} yields continuity of f_P^{-1} . To show continuity, it suffices to show that if $\{\zeta_k\}$ is a sequence in $\overline{\Omega}^P$ and $\zeta \in \overline{\Omega}^P$ such that $\zeta_k \rightarrow \zeta$, then $f\zeta_k \rightarrow f\zeta$. If $\zeta \in \Omega$, then the tail-end of the sequence also must lie in Ω , and thus the claim will follow from the fact that $f_P|_{\Omega} = f$ is

a homeomorphism of Ω . Therefore it suffices to consider only the case $\zeta \in \partial_P \Omega$. By separating the sequence into two subsequences if necessary, we can consider two cases, namely, that $\zeta_k \in \Omega$ for each k , or $\zeta_k \in \partial_P \Omega$ for each k .

First, suppose that $\zeta_k \in \Omega$ for each k . Let $\{E_k\} \in \zeta$. Then the fact that $\zeta_k \rightarrow \zeta$ tells us that for each $k \in \mathbb{N}$ we can find a positive integer i_k such that whenever $i \geq i_k$, we have $\zeta_i \in E_k$. It then follows that $f(\zeta_i) \in f(E_k)$. Thus for each k there is a positive integer i_k with $f(\zeta_i) \in f(E_k)$ when $i \geq i_k$, that is, $f(\zeta_k) \rightarrow f([E_k]) = f(\zeta)$.

Finally, suppose that $[F_{j,k}] = \zeta_k \in \partial_P \Omega$ for each k . We again choose any chain $\{E_k\} \in \zeta$. Then for each k there is a positive integer i_k such that for each $i \geq i_k$, there exists a positive integer $j_{i,k}$ such that whenever $j \geq j_{i,k}$ we have $F_{j,i} \subset E_k$. So we have $f(F_{j,i}) \subset f(E_k)$ for $j \geq j_{i,k}$, that is, $f([F_{j,k}]) = f(\zeta_k) \rightarrow f([E_k]) = f(\zeta)$. This completes the proof of the theorem. $\square$

Remark 4.5. The only place in the above proof where the BQS property plays a key role is in verifying that if E_k, E_{k+1} are two connected sets in Ω with $E_{k+1} \subset E_k$ and

$$\text{dist}_M(\Omega \cap \partial E_k, \Omega \cap \partial E_{k+1}) > 0, \quad (5)$$

then we must have

$$\text{dist}_M(\Omega' \cap \partial f(E_k), \Omega' \cap \partial f(E_{k+1})) > 0. \quad (6)$$

This part was explicated into Lemma 4.2. The remaining parts of the proof of existence and continuity of the map f_P hold for *any* homeomorphism $f : \Omega \rightarrow \Omega'$ that satisfies (6) for each pair of connected sets $E_{k+1} \subset E_k \subset \Omega$ that satisfies (5). This is useful to keep in mind in the final section, where we consider quasiconformal mappings that are not necessarily BQS maps.

In the situation of the above theorem, if $\overline{\Omega}^P$ is compact, then so is $\overline{\Omega'}^P$. This is a useful property in determining that two domains are not BQS-homeomorphically equivalent, as the following example shows.

Example 4.6. With Ω a planar disk and Ω' given by

$$\Omega' := (0, 1) \times (0, 1) \setminus \bigcup_{n \in \mathbb{N}} \{1/n\} \times [0, 1/2],$$

we know from the Riemann mapping theorem that Ω and Ω' are conformally equivalent. However, as $\overline{\Omega}^P$ is the closed disk, which is compact, and as $\overline{\Omega'}^P$ is not even sequentially compact, it follows that there is *no* BQS homeomorphism between these two planar domains.

The above theorem is useful in other contexts as well.

Proposition 4.7. Suppose that X and Y are locally path connected and $\Omega \subset X$, $\Omega' \subset Y$ are bounded open connected sets. Suppose that there is a BQS homeomorphism $f : \Omega \rightarrow \Omega'$.

- (a) If $\overline{\Omega}^P$ is compact, then so is $\overline{\Omega'}^P$.

(b) If $\overline{\Omega}^P$ is metrizable, then so is $\overline{\Omega'}^P$.

(c) If Ω is finitely connected at the boundary, then so is Ω' .

Proof. By Theorem 4.4, homeomorphically invariant properties of $\overline{\Omega}^P$ will be inherited by $\overline{\Omega'}^P$; hence the first two claims of the proposition are seen to be true. On the other hand, finite connectivity of a domain at its boundary is not a homeomorphic invariant. Hence we provide a proof of the last claim of the proposition here.

Note by [BBS1] that a bounded domain is finitely connected at the boundary if and only if all of its prime ends are singleton prime ends and its prime end closure is compact. Thus if Ω is finitely connected at the boundary, then $\overline{\Omega}^P$ is compact, and hence so is $\overline{\Omega'}^P$. Hence to show that Ω' is finitely connected at the boundary, it suffices to show that all of the prime ends of Ω' are singleton prime ends. This is done by invoking Lemma 4.3. $\square$

Example 4.8. It is possible to have two homeomorphic equivalent domains with one finitely connected at the boundary and the other, not finitely connected at the boundary. Let

$$\Omega := (0, 1) \times (0, 1) \setminus \bigcup_{n \in \mathbb{N}} ([0, 1 - 1/n] \times \{1/2n\}) \cup ([1/n, 1] \times \{1/(2n + 1)\})$$

and Ω' be the planar unit disk. Then as Ω is simply connected and bounded, it follows from the Riemann mapping theorem that Ω and Ω' are conformally, and hence homeomorphically, equivalent. However, Ω' is finitely connected at the boundary and Ω is not.

Example 4.9. The domain Ω constructed in Example 4.8 has the property that $\overline{\Omega}^P$ is compact and metrizable. This is seen by the fact that $\overline{\Omega}^P$ is homeomorphic to $\overline{U}^P$ where $U := \varphi(\Omega)$ where $\varphi : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is given by $\varphi(x, y) = (xy, y)$. Observe that U is simply connected at its boundary, and so $\overline{U}^P$ is metrizable.

On the other hand, the domain Ω' given by

$$\Omega' := (0, 1) \times (0, 1) \setminus \bigcup_{n \in \mathbb{N}} ([0, 2/3] \times \{1/2n\}) \cup ([1/3, 1] \times \{1/(2n + 1)\})$$

has the property that $\overline{\Omega'}^P$ is not compact. Hence Ω is not BQS homeomorphically equivalent to Ω' , even though both are simply connected planar domains that are not finitely connected at the boundary.

Example 4.10. With Ω the planar domain given by

$$\Omega := \{z \in \mathbb{C} : |z| < 1 \text{ and } \text{Im}(z) > 0\}$$

and $\Omega' := \{z \in \mathbb{C} : |z| < 1\} \setminus [0, 1]$ a slit disk, the map $f : \Omega \rightarrow \Omega'$ given by $f(z) = z^2$ is a conformal equivalence that is not a quasymmetric map. However, this map is a BQS homeomorphism, and so has an extension $f_P : \overline{\Omega} = \overline{\Omega}^P \rightarrow \overline{\Omega'}^P$. This follows from [GW2, Theorem 6.50].

Example 4.11. With Ω and Ω' as in Example 4.10 above, the map $h : \Omega \rightarrow \Omega'$ given by $h(re^{i\theta}) = re^{2i\theta}$ is also a BQS homeomorphism which is not conformal. This again follows from [GW2, Theorem 6.50].

As indicated by the definition of chains in the prime end theory, a domain Ω can also naturally be equipped with the Mazurkiewicz metric d_M .

Proposition 4.12. Let X and Y be locally path-connected metric spaces, and $f : \Omega \rightarrow \Omega'$ be a homeomorphism. Then f is BQS with respect to the respective metrics d_X and d_Y on Ω and Ω' if and only if it is BQS with respect to the corresponding Mazurkiewicz metrics d_M , d'_M .

Proof. To prove the theorem it suffices to show that whenever $E \subset \Omega$ is a continuum, we have $\text{diam}(E) = \text{diam}_M(E)$. Note that $x, y \in \Omega$ we have $d(x, y) \leq d_M(x, y)$. To prove the reverse of this inequality, let $E \subset \Omega$ be a continuum, $\varepsilon > 0$, and we choose $x, y \in E$ such that $[1 + \varepsilon] d_M(x, y) \geq \text{diam}_M(E)$. By the definition of the Mazurkiewicz metric d_M (see Definition 2.1), we have

$$d_M(x, y) \leq \text{diam}(E).$$

It follows that

$$\text{diam}_M(E) \leq [1 + \varepsilon] \text{diam}(E),$$

The desired claim now follows by letting $\varepsilon \rightarrow 0^+$. $\square$

Remark 4.13. By the above proposition together with [H, Proposition 10.11], if Ω and Ω' are finitely connected at their respective boundaries and $f : \Omega \rightarrow \Omega'$ is a BQS homeomorphism, then its extension $f_P : \overline{\Omega}^P \rightarrow \overline{\Omega'}^P$ is a BQS homeomorphism with respect to the respective Mazurkiewicz metrics d_M and d'_M .

Given Proposition 4.12 and the above remark, it is natural to ask why we do not consider prime ends as constructed with respect to the Mazurkiewicz metric induced by the original metric d_X on Ω . However, while the property that a set $E \subset \Omega$ be connected is satisfied under both d_X and the induced Mazurkiewicz metric d_M , the crucial property that $\overline{E} \cap \partial\Omega$ be non-empty depends on the metric (since the closure $\overline{E}$ depends on the metric), and hence we have fewer acceptable sets and chains, and therefore prime ends, under the induced metric d_M than under the original metric d_X . See Lemma 4.14 below for the limitations put on prime ends under d_M . For instance, the domain Ω as in Example 4.8 will have as prime ends under d_M only the singleton prime ends, with no prime end with impression containing any point $(x, 0)$, $0 \leq x \leq 1$. Hence under the metric d_M the prime end closure of Ω will not be compact. The issue of considering prime ends with respect to the original metric d_X versus the induced metric d_M becomes even more of a delicate issue when Ω is not bounded, see Section 5 below.

Lemma 4.14. Let Ω be a bounded domain in a locally path connected complete metric space X , and let d_M be the Mazurkiewicz metric on Ω induced by the metric d_X inherited from X . If $[\{E_k\}]$ is a prime end in Ω with respect to d_M , then $[\{E_k\}]$ is a prime end with respect to d_X with $\bigcap_k \overline{E_k}$ a singleton set.

Proof. Note first that if $E \subset \Omega$ with $\overline{E}^M$ compact, then $\overline{E}^M$ is sequentially compact and hence so is $\overline{E}$; hence it follows that if $\overline{E}^M$ is compact, then $\overline{E}$ is also compact. Combining this together with the fact that $\text{diam} = \text{diam}_M$ for connected sets, tells us that if $\{E_k\}$ is a chain with respect to d_M then it is a chain with respect to d .

Next, note that if $\{E_k\}$ is a chain with respect to d_M and $\{G_k\}$ is a chain with respect to d such that $\{G_k\} \mid \{E_k\}$, then, by passing to a sub-chain if necessary, $\{G_k\}$ is also a chain with respect to d_M . Indeed, the only property that needs to be verified here is whether each $\overline{G_k}^M$ is compact. By the divisibility assumption, we know that there is some $j_0 \in \mathbb{N}$ such that whenever $j \geq j_0$ we have $G_j \subset E_1$. Then $\overline{G_j}^M$ is a closed subset of the compact set $\overline{E_1}^M$, and hence is compact.

Since E_k is acceptable with respect to d_M , we know that $\overline{E_k}^M$, the closure of E_k under the metric d_M , is compact. It then follows also that $\bigcap_k \overline{E_k}^M$ is non-empty and has a point $\zeta \in \partial_M \Omega$. We can then find a sequence $x_k \in E_k$ with $\lim_k d_M(x_k, \zeta) = 0$. Since $d_X \leq d_M$ on Ω , it follows that $\{x_k\}$ is a Cauchy sequence with respect to the metric d_X , and as X is complete, there is a point $x \in \overline{\Omega}$ such that $\lim_k d_X(x_k, x) = 0$. Since $\{x_k\}$ is also a Cauchy sequence with respect to the metric d_M , for each positive integer k we can find a continuum Γ_k in Ω with end points x_k and x_{k+1} such that (by passing to a subsequence if necessary)

$$\text{diam}_M(\Gamma_k) = \text{diam}(\Gamma_k) \leq 2^{-(k+1)}.$$

In the above, the first identity is inferred from the proof of Proposition 4.12 above. For each positive integer k let G_k be the connected component of $B(x, 2^{1-k}) \cap \Omega$ containing the connected set $\bigcup_{j \geq k} \Gamma_j \subset \Omega$. Then $\{G_k\}$ is a chain in Ω with respect to the metric d , and $\{x\} = I(\{G_k\})$. It is clear from the second paragraph above that $\{G_k\}$ is a chain also with respect to d_M , with $\{\zeta\} = \bigcap_k \overline{G_k}^M$. As a chain with singleton impression, it follows that the end (with respect to d_M) that $\{G_k\}$ belongs to is a prime end. Moreover, for each positive integer k we know that infinitely many of the sets G_j , $j \in \mathbb{N}$, intersect E_k ; it follows from the assumption that $[\{E_k\}]$ is a prime end that $[\{E_k\}] = [\{G_k\}]$ (see the last part of the proof of Lemma 2.12), and so the claim follows. $\square$

5 Prime ends for unbounded domains and extensions of BQS homeomorphisms

In this section we consider unbounded domains, and so we adopt the modification to the construction of ends found in [E, Definition 4.12]. However, we introduce a slight modification, namely the boundedness of the separating compacta R_k and K with respect to the Mazurkiewicz metric d_M . Recall that a metric space is proper if closed and bounded subsets of the space are compact.

Definition 5.1. A connected set $E \subset \Omega$ is said to be acceptable if $\overline{E}$ is proper and $\overline{E} \cap \partial \Omega$ is non-empty. A sequence $\{E_k\}$ of acceptable subsets of Ω is a chain if for each positive integer k we have

- (a) $E_{k+1} \subset E_k$,

- (b) $\overline{E_k}$ is compact, or E_k is unbounded and there is a compact set $R_k \subset X$ such that $\Omega \setminus R_k$ has two components $C_{1,k}$ and $C_{2,k}$ with $\Omega \cap \partial E_k \subset C_{1,k}$ and $\Omega \cap \partial E_{k+1} \subset C_{2,k}$, and $\text{diam}_M(R_k \cap \Omega)$ is finite,
- (c) $\text{dist}_M(\Omega \cap \partial E_k, \Omega \cap \partial E_{k+1}) > 0$,
- (d) $\emptyset \neq \bigcap_k \overline{E_k} \subset \partial \Omega$.

The above notion of ends agrees with the notion of ends from Section 2 when Ω is bounded, for then the properness of $\overline{E}$ will guarantee that $\overline{E}$ is compact. When Ω is not bounded, the above definition gives us two types of ends, (a) those that are bounded (i.e. E_k is bounded for some positive integer k) and (b) those that are unbounded (i.e. E_k is unbounded for each k). While the definition above agrees in philosophy with that from [E], but the requirement that $\text{diam}_M(R_k \cap \Omega)$ be finite eliminates some of the candidates for ends from [E] here. However, ends in our sense are necessarily ends in the sense of [E]. From [E, Section 4.2] we know that if X itself is proper, then ends (and prime ends, see below) as given in [E] are invariant under sphericalization and flattening procedures, and so understanding ends of type (b) above can be accomplished by sphericalizing the domain and understanding ends of the resulting bounded domain with impression containing the new point that corresponds to ∞ . Both of these types of ends have non-empty impressions. The next definition deals with ends that should philosophically be ends with only ∞ in their impressions.

Definition 5.2. An unbounded connected set $E \subset \Omega$ is said to be *acceptable at ∞* if $\overline{E}$ is proper and there is a compact set $K \subset X$ such that $\text{diam}_M(K \cap \Omega) < \infty$ and E is a component of $\Omega \setminus K$. A sequence $\{E_k\}$ of sets that are acceptable at ∞ is said to be a *chain at ∞* if for each positive integer k we have

- (a) $E_{k+1} \subset E_k$,
- (b) $\text{dist}_M(\Omega \cap \partial E_k, \Omega \cap \partial E_{k+1}) > 0$,
- (c) $\bigcap_k \overline{E_k} = \emptyset$.

As with chains and ends for bounded domains in Section 2, we define divisibility of one chain by another, and say that two chains are equivalent if they divide each other. An end is an equivalence class of chains, and a prime end is an end that is divisible only by itself.

It was noted in [E, Remark 4.1.14] that every end at ∞ is necessarily a prime end.

We now return to some mechanisms that make unbounded ends from Definition 5.1 work.

Lemma 5.3. *Let E_1 and E_2 be two open connected subsets of Ω such that $E_2 \subset E_1$. Suppose in addition that R is a compact subset of X such that no component of $\Omega \setminus R$ contains points from both $\Omega \cap \partial E_1$ and $\Omega \cap \partial E_2$. Let $R_0 := R \cap \overline{E_1} \setminus E_2$. Then E_2 is contained in a component $\hat{C}$ of $\Omega \setminus R_0$ and $\hat{C} \subset E_1$. Moreover, no component of $\Omega \setminus R_0$ contains points from both $\Omega \cap \partial E_1$ and $\Omega \cap \partial E_2$.*

Proof. Let C_1 be the union of all the components of $\Omega \setminus R_0$ that contain points from $\Omega \cap \partial E_1$, and let C_2 be the union of all the components of $\Omega \setminus R_0$ that contain points from $\Omega \cap \partial E_2$.

Since $\Omega \cap \partial E_2 \subset C_2$ and C_2 is open, it follows that $C_2 \cup E_2$ is a connected subset of $\Omega \setminus R_0$. So there is a component $\widehat{C}$ of $\Omega \setminus R_0$ such that $C_2 \cup E_2 \subset \widehat{C} \subset \Omega \setminus R_0$, and hence $C_2 = \widehat{C} \supset E_2$.

Let U be a component of $\Omega \setminus R_0$ such that $U \subset C_1$. If $U \cap \widehat{C}$ is non-empty, then $U = \widehat{C}$, and in this case there are two points $z \in U \cap \partial E_1$ and $w \in \widehat{C} \cap \partial E_2$ such that $z, w \in U$. As U is an open and connected subset of Ω , we can find a curve $\gamma : [0, 1] \rightarrow U$ with $\gamma(0) = z$ and $\gamma(1) = w$. We can assume, by considering a subcurve of γ and modifying z and w if necessary, that $\gamma((0, 1)) \cap \partial E_1$ and $\gamma((0, 1)) \cap \partial E_2$ are empty. As $\Omega \cap \partial E_2 \subset E_1$, it follows that $\gamma((0, 1)) \subset \overline{E_1} \setminus E_2$. By the property of separation that R has, we must have $\gamma((0, 1)) \cap R$ non-empty. Hence $\gamma((0, 1))$ intersects $R \cap \overline{E_1} \setminus E_2 = R_0$. This contradicts the fact that $\gamma \subset U$ with $U \cap R_0$ empty. We therefore conclude that $C_1 \cap \widehat{C}$ is empty.

Finally we are ready to prove that $\widehat{C} \subset E_1$. Suppose there is a point $z \in \widehat{C} \setminus E_1$. Since $E_2 \subset \widehat{C}$ and $\widehat{C}$ is open and connected, there is a point $w \in E_2 \subset E_1$ and a curve $\beta \subset \widehat{C}$ connecting z to w . As $z \notin E_1$, it follows that β intersects $\Omega \cap \partial E_1$, which violates the conclusion reached in the previous paragraph that $\Omega \cap \partial E_1$ does not intersect $\widehat{C}$. Hence such z cannot exist, whence it follows that $\widehat{C} \subset E_1$. $\square$

As mentioned above, by [E, Section 4.2], understanding prime ends for unbounded domains can be done by first sphericalizing them and transforming them into bounded domains and then studying the prime ends of the transformed domains. There is a metric analog of reversing sphericalizations, called flattening. However, the category of BQS homeomorphisms need not be preserved by the sphericalization and flattening transformations, see the example below. Therefore sphericalization and flattening may not provide as useful a tool as we like in the study of BQS maps.

Example 5.4 (BQS Sphere $\nRightarrow$ BQS Plane). Let $f : \mathbb{C}^* = \mathbb{C} \setminus \{0\} \rightarrow \mathbb{C}^*$ be given by $f(z) = 1/z$. The push-forward of f under sphericalization of $\mathbb{C}^*$ to the twice-punctured sphere gives a BQS homeomorphism, but f is not a BQS homeomorphism from $\mathbb{C}^*$ to itself, for the families of pairs of continua $E_r := [r, 1]$ and $F_r := \mathbb{S}^1$, $0 < r < 1/10$, have the property that

$$\frac{\text{diam}(E_r)}{\text{diam}(F_r)} \approx 1, \quad \frac{\text{diam}(f(E_r))}{\text{diam}(f(F_r))} \approx \frac{1}{r}.$$

Example 5.5 (BQS Plane $\nRightarrow$ BQS Sphere). For each positive integer k let

$$A_k = (\{\frac{1}{2^k}\} \times [1, 2^k]) \cup ([\frac{1}{2^{k+1}}, \frac{1}{2^k}] \times \{2^k\}) \cup (\{\frac{1}{2^{k+1}}\} \times [0, 2^k]) \\ \cup ([\frac{1}{2^{k+2}}, \frac{1}{2^{k+1}}] \times \{0\}) \cup (\{\frac{1}{2^{k+2}}\} \times [0, 1]),$$

let $\varepsilon_k = \frac{1}{64^k}$, $\ell(A_k) = 2^{k+1} + \frac{3}{2^{k+2}}$ the length of A_k , and let

$$\Omega := \bigcup_{j \in \mathbb{N}} \bigcup_{z \in A_{2j}} Q(z, \varepsilon_{2j}),$$

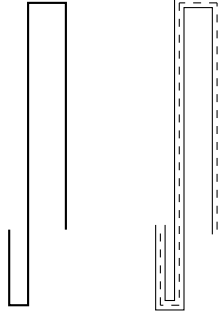


Figure 1: A_k and its cube neighborhood

where, for $z \in \mathbb{C}$, the cube $Q(z, t) = (\operatorname{Re}(z) - t, \operatorname{Re}(z) + t) \times (\operatorname{Im}(z) - t, \operatorname{Im}(z) + t)$.

For $m \geq 1$, let $a_m = \sum_{j=1}^m \ell(A_{2j})$, and set $a_0 = 0$. Let

$$I_m = [a_{m-1}, a_m] = [a_{m-1}, a_{m-1} + \ell(A_{2m})], \quad I = [0, \infty).$$

Let $\Omega' = \bigcup_{m=1}^{\infty} I_m \times (-\varepsilon_{2m}, \varepsilon_{2m})$. Then, there is a BQS map $f: \Omega' \rightarrow \Omega$ defined as follows. On I , this map is the arclength parametrization of the curve $\bigcup_k A_{2k}$. On most of Ω' , this map is defined similarly; for $m \in \mathbb{N}$ and $\varepsilon_{2m+2} \leq \tau < \varepsilon_{2m}$, the line $(0, a_m) \times \{\tau\}$ is mapped by f as a parametrization to a curve that is an ℓ^∞ -distance τ “above” the curve $\bigcup_k A_{2k}$ (the dashed straight line gets mapped to the dashed bent line above $f(I)$ in Figure 2 below). This parametrization is mostly an arclength parametrization, although near the corners of Ω we change speed of this parametrization by an amount that is determined by τ . We can ensure that this speed is at least $1/128$ and 128 . For example, in the picture below, the dashed curve on the left is mapped to the dashed curve on the right by f at a speed that is the ratio of the lengths of the dashed curve on the right and the middle curve on the right.

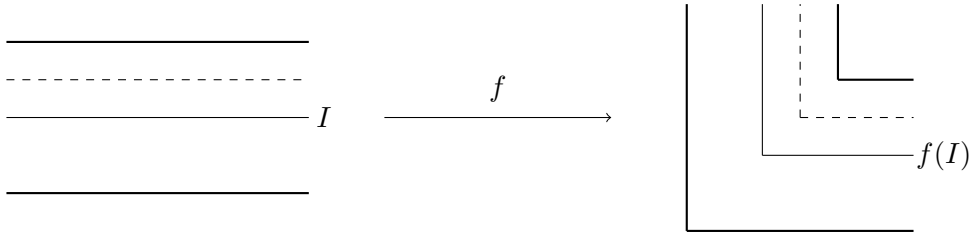


Figure 2: Fiber map of f near corners

By using ϵ_k much smaller than 2^k (as $k \geq 2$) and changing the “tube size” at $y = 1$, this guarantees that near each of these corners the map is biLipschitz and hence BQS there. The arclength parameterization guarantees that the map is BQS at large scales, and hence BQS.

After applying the stereographic projection φ (projection to the sphere), however, there is some $C > 0$ such that for any $i < j$ we have $\operatorname{diam}(\varphi(\bigcup_{k=i}^j A_{2k})) \geq C$,

whereas $\text{diam}(\varphi(f(\cup_{k=i}^{\infty} A_{2k})) \rightarrow 0$ as $i \rightarrow \infty$. Hence the induced map between $\varphi(\Omega)$ and $\varphi(\Omega')$ is not BQS.

Now we are ready to study boundary behavior of BQS homeomorphisms between two unbounded domains.

Let Ω, Ω' be two open, connected subsets of metric spaces X, Y respectively, with X and Y both proper and locally path-connected metric spaces. Let $f : \Omega \rightarrow \Omega'$ be a BQS homeomorphism.

In light of Lemma 4.1, we now concentrate on the case of both Ω and Ω' being unbounded. So henceforth, we assume that Ω and Ω' are unbounded domains in proper, locally path-connected metric spaces and that $f : \Omega \rightarrow \Omega'$ is a BQS homeomorphism. Recall that we have now to deal with three types of prime ends.

Definition 5.6 (Prime end types). We categorize the three types of ends as follows:

- (a) Ends $[\{E_k\}]$ for which there is some positive integer k with $\overline{E_k}$ compact,
- (b) Ends $[\{E_k\}]$ for which each E_k is unbounded and $I[\{E_k\}]$ is non-empty,
- (c) Ends that are ends at ∞ ; these are also unbounded.

Remark 5.7. A few words comparing prime ends with respect to the original metric d_X on Ω and prime ends with respect to the induced Mazurkiewicz metric d_M are in order here. A prime end of type (c) with respect to d_X will continue to be a prime end of type (c) with respect to d_M . A prime end of type (b) with respect to d_X will be a prime end of type (c) with respect to d_M , and so information about the impression of such a prime end is lost. A singleton prime end of type (a) with respect to d_X will continue to be a singleton prime end of type (a) with respect to d_M . However, a non-singleton prime end of type (a) with respect to d_X will cease to be a prime end (and indeed, cease to be even an end) with respect to d_M . Indeed, if $[\{E_k\}]$ is a prime end of type (a) with respect to d_X with $I[\{E_k\}]$ non-singleton, then no point of $I[\{E_k\}]$ can be accessible from inside the chain $\{E_k\}$, as can be seen from an easy adaptation of the proof of Lemma 2.12. Therefore $\bigcap_k \overline{E_k}^M$ is empty, and so the sequence $\{E_k\}$ fails the definition of an end with respect to d_M .

Lemma 5.8. *Suppose that $\overline{\Omega}^M$ is proper. Fix $x_0 \in \Omega$ and a strictly increasing sequence $\{n_k\}$ of positive real numbers with $\lim_k n_k = \infty$. Then, for each $k \in \mathbb{N}$ there is only finitely many unbounded components of $\Omega \setminus \overline{B_M(x_0, n_k)}$. If for each $k \in \mathbb{N}$ we choose an unbounded component F_k of $\Omega \setminus \overline{B_M(x_0, n_k)}$ such that $F_{k+1} \subset F_k$, then $\{F_k\}$ is a chain for Ω such that $[\{F_k\}]$ is a prime end of Ω .*

Proof. To see the veracity of the first claim, note that if there are infinitely many unbounded components of $\Omega \setminus \overline{B_M(x_0, n_k)}$, then there are infinitely many components of $\Omega \setminus \overline{B_M(x_0, n_k)}$ that intersect $B_M(x_0, n_{k+2}) \cap \Omega$, in which case we can extract out a sequence of points $\{x_j\}$ from $B_M(x_0, n_{k+1}) \cap \Omega \setminus \overline{B_M(x_0, n_{k+1})}$ such that for $j \neq i$ we have $2n_{k+2} \geq d_M(x_j, x_i) \geq n_{k+2} - n_{k+1} > 0$, and this sequence will not have any subsequence converging in $\overline{\Omega}^M$, violating the properness of $\overline{\Omega}^M$.

Given the first claim, it is not difficult to see that we can choose a sequence $\{F_k\}$ as in the second hypothesis of the lemma. Since

$$\text{dist}_M(\Omega \cap \partial F_k, \Omega \cap \partial F_{k+1}) \geq \text{dist}_M(\Omega \cap \partial B_M(x_0, n_k), \Omega \cap \partial B_M(x_0, n_{k+1})) \geq n_{k+1} - n_k > 0,$$

it follows that $\{F_k\}$ is a chain of type (b) if $\bigcap_k \overline{F_k}$ is non-empty, and is a chain of type (c) (chain at ∞) if $\bigcap_k \overline{F_k}$ is empty. In this latter case, we already have that $[\{F_k\}]$ is prime. Hence suppose that $\bigcap_k \overline{F_k}$ is non-empty, and suppose that $\{G_k\} \mid \{F_k\}$ for some chain $\{G_k\}$ of Ω . By passing to a subsequence of $\{G_k\}$ if necessary, we can then assume that $G_k \subset F_k$ for all $k \in \mathbb{N}$. We need to show that $\{F_k\} \mid \{G_k\}$. Suppose this is not the case. Then there is some positive integer $k_0 \geq 2$ such that for each $j \in \mathbb{N}$ the set $F_j \setminus G_{k_0}$ is non-empty. Recall that $G_k \subset F_k$ for each $k \in \mathbb{N}$. Therefore, it follows from the nested property of $\{G_k\}$, that both $F_j \setminus G_{k_0}$ and $F_j \cap G_{k_0}$ are non-empty for each $j \in \mathbb{N}$. This immediately implies that $\{G_k\}$ cannot be a chain of type (a).

Suppose that $\{G_k\}$ is a chain of type (c). As F_j is an open connected subset of Ω , it follows that $\Omega \cap \partial G_{k_0}$ must intersect F_j . Hence, for each $j \in \mathbb{N}$ we have that $\Omega \cap \partial G_{k_0} \cap F_j \neq \emptyset$, which violates the requirement that $\text{diam}_M(\Omega \cap \partial G_{k_0}) < \infty$. Therefore we have that $\{G_k\}$ cannot be of type (c). If $\{G_k\}$ is of type (b), then as R_k separates $\Omega \cap \partial G_{k_0-1}$ from $\Omega \cap \partial G_{k_0}$, we must have that for each $j \in \mathbb{N}$, R_k intersects F_j . This again violates the requirement that $\text{diam}_M(R_k \cap \Omega) < \infty$.

Therefore we must have that $\{F_k\} \mid \{G_k\}$ as well. Hence $[\{F_k\}]$ is a prime end. $\square$

Lemma 5.9. *If $\mathcal{E}$ is a bounded prime end of Ω , then $f(\mathcal{E})$ is a bounded prime end of Ω' . Moreover, if $\mathcal{E}$ is a singleton prime end (that is, its impression contains only one point), then $f(\mathcal{E})$ is also a singleton prime end.*

Proof. Let $\{E_k\} \in \mathcal{E}$; by passing to a subsequence if need be, we can also assume that $\overline{E_1}$ is compact. Moreover, by Lemma 2.13 we can also assume that each E_k is open. Then the restriction $f|_{E_1}$ is also a BQS homeomorphism onto $f(E_1)$, and it follows from Lemma 4.1 above that $f(E_1)$ is also bounded. Therefore for each positive integer k we have that $f(E_k)$ is bounded, and by the properness of Y we also have that $\overline{f(E_k)}$ is compact. Moreover, since $\overline{E_k} \cap \partial\Omega$ is non-empty, we can find a sequence x_m of points in E_k converging to some $x \in \overline{E_k} \cap \partial\Omega$; since f is a homeomorphism, it follows that $f(x_m)$ cannot converge to any point in Ω' , and so by the compactness of $\overline{f(E_k)}$ we have a subsequence converging to a point in $\overline{f(E_k)} \cap \partial\Omega'$, that is, $\overline{f(E_k)} \cap \partial\Omega'$ is non-empty. A similar argument also shows that $\bigcap_k \overline{f(E_k)}$ is non-empty and is a subset of $\partial\Omega'$.

From Lemma 4.2 we know that for each positive integer k ,

$$\text{dist}_M'(\Omega' \cap \partial f(E_k), \Omega' \cap \partial f(E_{k+1})) > 0.$$

Therefore $f(\mathcal{E})$ is an end of Ω' .

Next, if $\mathcal{F}$ is an end that divides $f(\mathcal{E})$, then with $\{F_k\} \in \mathcal{F}$ we must have that F_k is bounded (and hence, $\overline{F_k}$ is compact) for sufficiently large k . The above argument, applied to $\{F_k\}$ and the BQS homeomorphism f^{-1} tells us that $f^{-1}(\mathcal{F})$ is an end of

Ω dividing the prime end $\mathcal{E}$; it follows that $f^{-1}(\mathcal{F}) = \mathcal{E}$, and so $\mathcal{F} = f(\mathcal{E})$, that is, $f(\mathcal{E})$ is a prime end of Ω' .

The last claim of the theorem follows from Lemma 4.3. $\square$

Lemma 5.10. *Let $\mathcal{E}$ be a prime end of Ω of type (b). Then $f(\mathcal{E})$ is a prime end of type (b) for Ω' or there is an end $\mathcal{F}$ at ∞ for Ω' such that with $\{E_k\} \in \mathcal{E}$ there exists $\{F_k\} \in \mathcal{F}$ with $F_{k+1} \subset f(E_k) \subset F_k$ for each positive integer k .*

Proof. Here we cannot invoke Lemma 4.2 as neither E_k nor E_{k+1} is bounded; but the idea for the proof is similar, as we now show. Suppose $E_1, E_2 \subset \Omega$ be connected open sets with $E_2 \subset E_1$ and $\tau := \text{dist}_M(\Omega \cap \partial E_1, \Omega \cap \partial E_2) > 0$. Suppose also that there is a compact set $R \subset X$ such that $\Omega \cap \partial E_1$ and $\Omega \cap \partial E_2$ belong to different components of $\Omega \setminus R$ and that $\text{diam}_M(R \cap \Omega) < \infty$. We fix a continuum $A \subset \Omega$ that intersects R with $\text{diam}(A) \leq 2 \text{diam}_M(R \cap \Omega)$. If γ is a continuum in Ω intersecting both $\Omega \cap \partial E_1$ and $\Omega \cap \partial E_2$, then it must intersect R . Hence we can find a continuum $\beta \subset \Omega$ intersecting both $\gamma \cap R$ and $A \cap R$ such that $\text{diam}_M(\beta) = \text{diam}(\beta) \leq 2 \text{diam}_M(R \cap \Omega)$. Now by the BQS property of f , we see that

$$\frac{\text{diam}(f(\beta \cup A))}{\text{diam}(f(\gamma))} \leq \eta \left(\frac{\text{diam}(\beta \cup A)}{\text{diam}(\gamma)} \right) \leq \eta \left(\frac{\text{diam}(\beta \cup A)}{\tau} \right).$$

Note that β and A are intersecting continua with diameter at most $2 \text{diam}_M(R \cap \Omega)$. It follows that $\text{diam}(\beta \cup A) \leq 4 \text{diam}_M(R \cap \Omega) < \infty$. Hence

$$\frac{\text{diam}(f(A))}{\text{diam}(f(\gamma))} \leq \eta \left(\frac{4 \text{diam}_M(R \cap \Omega)}{\tau} \right),$$

that is,

$$0 < \frac{\text{diam}(f(A))}{\eta \left(\frac{4 \text{diam}_M(R \cap \Omega)}{\tau} \right)} \leq \text{diam}(f(\gamma)).$$

It follows that

$$\text{dist}_M(\Omega' \cap \partial f(E_1), \Omega' \cap \partial f(E_2)) > 0.$$

From the second claim of Lemma 4.1, we know that $f(R \cap \Omega)$ is bounded with respect to the Mazurkiewicz metric d'_M and hence with respect to d_Y . From the fact that f is a homeomorphism from Ω to Ω' , it also follows that $\Omega' \cap \partial f(E_1)$ and $\Omega' \cap \partial f(E_2)$ belong to different components of $\Omega' \setminus f(R \cap \Omega)$.

The properness of Y together with the fact that $\text{diam}_{d_Y}(f(\Omega \cap R)) \leq \text{diam}'_M(f(\Omega \cap R)) < \infty$ tells us that $\overline{f(\Omega \cap R)}$ is compact in Y .

From the above argument, we see that $f(\mathcal{E})$ is an end of Ω' provided that $\bigcap_k \overline{f(E_k)}$ is non-empty. Similar argument as at the end of the proof of Lemma 5.9 then tells us that $f(\mathcal{E})$ is a prime end.

Suppose now that $\bigcap_k \overline{f(E_k)} \neq \emptyset$. To see that $f(\mathcal{E})$ is of type (b), note that with $\{E_k\} \in \mathcal{E}$, each E_k is unbounded (and without loss of generality, open, see Lemma 2.13). Hence by Lemma 4.1 we know that $f(E_k)$ is unbounded. This completes the proof in the case that $\bigcap_k \overline{f(E_k)}$ is non-empty.

Finally, suppose that $\bigcap_k \overline{f(E_k)}$ is empty. We construct the end at ∞ , $\mathcal{F}$, as follows. By Lemma 5.3, we can assume that the separating sets R_k have the added

feature that E_{k+1} is a subset of a component of $\Omega \setminus R_k$ and that that component is a subset of E_k . So for each positive integer k we set F_k^* to be the image of this component under f . Then $\bigcap_k \overline{F_k} \subset \bigcap_k \overline{f(E_k)} = \emptyset$. Moreover, F_k^* is a component of $\Omega' \setminus K_k$ with $K_k = \overline{f(R_k \cap \Omega)}$, and as R_k is compact and $\text{diam}_M(R_k \cap \Omega) < \infty$, by Lemma 4.1 we know that $\text{diam}'_M(f(R_k \cap \Omega)) < \infty$ and so $\overline{f(R_k \cap \Omega)}$ is compact in Y . We cannot however guarantee that $\text{dist}_M'(\Omega' \cap \partial F_k^*, \Omega' \cap \partial F_{k+1}^*)$ is positive; hence we set $F_k := F_{2k-1}^*$ and note that

$$\text{dist}_M'(\Omega' \cap \partial F_k, \Omega' \cap \partial F_{k+1}) \geq \text{dist}_M'(\Omega' \cap \partial E_{2k}, \Omega' \cap \partial E_{2k+1}) > 0.$$

Thus the sequence $\{F_k\}$ is an end at ∞ of Ω' , completing the proof. $\square$

Given that ends at infinity are prime ends (no other ends divide them, see [E]), it follows that should $\mathcal{E}$ be associated with an end $\mathcal{F}$ at ∞ , there can be only one such end at ∞ it can be associated with.

We next consider the last of the three types of prime ends of Ω . We say that a chain $\{E_k\}$ in an end $\mathcal{E}$ at ∞ of Ω is a *standard representative* of $\mathcal{E}$ if there is a point $x_0 \in X$ and a strictly monotone increasing sequence $R_k \rightarrow \infty$ such that for each k the set E_k is a connected component of $\Omega \setminus \overline{B_M(x_0, R_k)}$. Note that if $H \subset \Omega$ such that $\text{diam}_M(H) < \infty$, then $\text{diam}_M(\overline{H} \cap \Omega)$ is also finite.

Lemma 5.11. *Let $\mathcal{E}$ be an end at ∞ of Ω . Then there is a standard representative $\{E_k\} \in \mathcal{E}$. Moreover, for each $x_0 \in \Omega$ there is a representative chain $\{F_k\} \in \mathcal{E}$ such that for each $k \in \mathbb{N}$, F_k is a component of $\Omega \setminus \overline{B_M(x_0, k)}$.*

Proof. Let $\{G_k\} \in \mathcal{E}$ and for each k let K_k be a compact subset of X such that $\text{diam}_M(K_k \cap \Omega)$ is finite and G_k is an unbounded component of $\Omega \setminus K_k$. Fix $x_0 \in \Omega$. Since $\bigcap \overline{E_k}$ is empty, we can assume without loss of generality that $x_0 \notin \overline{E_1}$. Then x_0 is in a different component from E_k of the set $\Omega \setminus K_k$ for each $k \in \mathbb{N}$. In what follows, by $B_M(x_0, \tau)$ we mean the ball in Ω centered at x_0 with radius τ with respect to the Mazurkiewicz metric d_M .

As $\tau_k := \text{diam}_M(K_k) < \infty$, inductively we can find a sequence of strictly monotone increasing positive real numbers R_k as follows. Let

$$R_1 := \max\{1, \text{diam}_M(\{x_0\} \cup K_1)\}.$$

We claim that there is some $L_1 \in \mathbb{N}$ such that $\overline{G_{1+L_1}}$ does not intersect $\overline{B_M(x_0, R_1)}$. If this claim is wrong, then for each positive integer $j \geq 2$ there is a point $x_j \in \overline{G_j} \cap \overline{B_M(x_0, R_1)}$, in which case, by the compactness of the set $\overline{B_M(x_0, R_1)}$ there is a subsequence x_{j_n} and a point $x_\infty \in \overline{B_M(x_0, R_1)} \subset X$ such that $x_{j_n} \rightarrow x_\infty$; note by the nestedness property of the chain $\{G_k\}$ that $x_\infty \in \overline{G_k}$ for each k , violating the property that $\bigcap_k \overline{G_k}$ is empty. Therefore the claim holds. We set E_1 to be the connected component of $\Omega \setminus \overline{B_M(x_0, R_1)}$ that contains G_{1+L_1} . Set $n_1 = 1 + L_1$. We then set

$$R_2 := \max\{2, R_1 + 1, \text{diam}_M(\{x_0\} \cup K_{n_1})\},$$

and as before, note that there is some $n_2 > n_1$ such that $\overline{G_{n_2}}$ is disjoint from $\overline{B_M(x_0, R_2)}$. We set E_2 to be the component of $\Omega \setminus \overline{B_M(x_0, R_2)}$ that contains G_{n_2} .

Thus inductively, once $R_1, \dots, R_k$ have been determined and the corresponding strictly monotone increasing sequence of integers $n_1, \dots, n_k$ have also been chosen, we set

$$R_{k+1} := \max\{k+1, R_k+1, \text{diam}_M(\{x_0\} \cup K_{n_k})\}$$

and choose n_{k+1} such that $n_{k+1} > n_k$ and $\overline{G_{n_{k+1}}} \cap \overline{B_M(x_0, R_{k+1})}$ is empty, and set E_{k+1} to be the component of $\Omega \setminus \overline{B_M(x_0, R_{k+1})}$ that contains $G_{n_{k+1}}$. Thus we obtain the sequence $\{E_k\}$; it is not difficult to see that this sequence is a chain at ∞ according to Definition 5.2. Indeed, as $G_{n_{k+1}} \subset E_{k+1}$, and as $G_{n_{k+1}} \subset G_{n_k}$ and $K_{n_k} \cap E_{k+1} = \emptyset$, it follows that E_{k+1} belongs to the same component as $G_{n_{k+1}}$ of $\Omega \setminus K_{n_k}$, and so $E_{k+1} \subset G_{n_k}$. Thus we have

$$G_{n_{k+1}} \subset E_{k+1} \subset G_{n_k} \subset E_k,$$

and so we have both the nested property of the sequence $\{E_k\}$ and the fact that $\{G_k\}$ divides $\{E_k\}$. Therefore, $[\{E_k\}]$ is an end at ∞ of Ω and hence is a prime end, and thus $[\{E_k\}] = \mathcal{E}$; see [E, Remark 4.1.11, Remark 4.1.14] for details of the proof.

The last part of the claim follows from knowing that for each $k \in \mathbb{N}$ there are positive integers j, l such that $R_k \leq j \leq R_{k+l}$. $\square$

Lemma 5.12. *Let $\mathcal{E}$ be an end at ∞ of Ω . Then either $\bigcap_k \overline{f(E_k)} = \emptyset$ for each $\{E_k\} \in \mathcal{E}$ or $\bigcap_k \overline{f(E_k)}$ non-empty for each $\{E_k\} \in \mathcal{E}$. In the first case $f(\mathcal{E})$ is an end at ∞ of Ω' . In the second case $f(\mathcal{E})$ is a prime end of type (b) of Ω' .*

Proof. Let $\{E_k\} \in \mathcal{E}$ be a standard representative; hence, there is some $x_0 \in \Omega$ and for each $k \in \mathbb{N}$ there exists $R_k > 0$ such that the sequence $\{R_k\}$ is strictly monotone increasing with $\lim_k R_k = \infty$, and E_k is a component of $\Omega \setminus \overline{B_M(x_0, R_k)}$. Fix a continuum $A \subset \Omega$ intersecting $\Omega \cap \partial E_k$ and with

$$\text{diam}(A) \leq 2 \text{diam}_M(\Omega \cap \partial E_k) < \infty.$$

Let $z \in \Omega' \cap \partial f(E_k)$ and $w \in \Omega' \cap \partial f(E_{k+1})$, and let γ be a continuum in Ω' containing z, w . Then $f^{-1}(\gamma)$ is a continuum in Ω containing $f^{-1}(z) \in \Omega \cap \partial E_k$ and $f^{-1}(w) \in \Omega \cap \partial E_{k+1}$. By the fact that $\{E_k\}$ is a chain of Ω , we know that

$$\text{diam}(f^{-1}(\gamma)) \geq \tau := \text{dist}_M(\Omega \cap \partial E_k, \Omega \cap \partial E_{k+1}) > 0.$$

As $f^{-1}(\gamma)$ intersects both $\Omega \cap \partial E_k$ and $\Omega \cap \partial E_{k+1}$, we can find a continuum β connecting $A \cap \partial E_k$ and $f^{-1}(\gamma) \cap \partial E_k$ such that $\text{diam}(\beta) \leq 2 \text{diam}_M(\Omega \cap \partial E_k)$. By the BQS property, we now have

$$\frac{\text{diam}(f(\beta \cup A))}{\text{diam}(\gamma)} \leq \eta \left(\frac{\text{diam}(\beta \cup A)}{\text{diam}(f^{-1}(\gamma))} \right) \leq \eta \left(\frac{4 \text{diam}_M(\Omega \cap \partial E_k)}{\tau} \right),$$

and so

$$0 < \frac{\text{diam}(f(A))}{\eta \left(\frac{4 \text{diam}_M(\Omega \cap \partial E_k)}{\tau} \right)} \leq \text{diam}(\gamma),$$

and so we have that

$$\text{dist}_M(\Omega' \cap \partial f(E_k), \Omega' \cap \partial f(E_{k+1})) \geq \frac{\text{diam}(f(A))}{\eta \left(\frac{4 \text{diam}_M(\Omega \cap \partial E_k)}{\tau} \right)} > 0. \quad (7)$$

Note that as neither E_k nor E_{k+1} is bounded, we cannot utilize that lemma directly, nor can we directly call upon the relevant part of the proof of Lemma 5.10 as there is no separating set R_k ; but the idea of the proof is quite similar. For the convenience of the reader we gave the complete proof above.

Now we have two possibilities, either $\bigcap_k \overline{f(E_k)}$ is empty, or it is not empty.

Case 1: $\bigcap_k \overline{f(E_k)} = \emptyset$. For each $k \in \mathbb{N}$ note that $f(E_k)$ is a component of $\Omega' \setminus \overline{f(B_M(x_0, R_k) \cap \Omega)}$. By Lemma 4.1, we know that $\text{dist}'_M(f(B_M(x_0, R_k) \cap \Omega)) < \infty$, and moreover, as the topology on Ω with respect to d_X and with respect to d_M are the same, we also have that

$$\overline{\overline{f(B_M(x_0, R_k) \cap \Omega)} \cap \Omega'} = \overline{f(B_M(x_0, R_k) \cap \Omega)}.$$

Also, $\overline{f(B_M(x_0, R_k) \cap \Omega)}$ is compact as Y is proper and $\text{diam}(\overline{f(B_M(x_0, R_k) \cap \Omega)}) = \text{diam}(\overline{f(B_M(x_0, R_k) \cap \Omega)}) \leq \text{diam}_M(f(B_M(x_0, R_k) \cap \Omega)) < \infty$. Again, as Y is proper, $f(E_k)$ is also proper. Therefore $f(E_k)$ is an acceptable set at ∞ , and by (7) we see that $\{f(E_k)\}$ is a chain at ∞ of Ω' .

Case 2: $\bigcap_k \overline{f(E_k)} \neq \emptyset$. In this case we need to show that $\{f(E_k)\}$ forms a chain of type (b). The only additional condition we need to check is that for each $k \in \mathbb{N}$ there is some compact set $P_k \subset Y$ such that $\Omega' \cap \partial f(E_k)$ and $\Omega' \cap \partial f(E_{k+1})$ are in different components of $\Omega' \setminus P_k$. In what follows, by $S_M(x_0, \tau)$ we mean the set $\{y \in \Omega : d_M(x_0, y) = \tau\}$. We choose

$$P_k = \overline{f(S_M(x_0, \frac{R_k + R_{k+1}}{2}))}.$$

Since $\Omega \cap \partial E_k \subset \Omega \cap \partial B_M(x_0, R_k)$ and $\Omega \cap \partial E_{k+1} \subset \Omega \cap \partial B_M(x_0, R_{k+1})$, it follows that these two sets cannot intersect the same connected component of $\Omega \setminus S_M(x_0, \frac{R_k + R_{k+1}}{2})$. Since $\overline{B_M(x_0, R_k) \cap \Omega}$ is connected in Ω , it follows that $\Omega \cap \partial E_k$ is in one component of $\Omega \setminus S_M(x_0, \frac{R_k + R_{k+1}}{2})$. As $\Omega \cap \overline{E_{k+1}} \subset \Omega \setminus B_M(x_0, R_{k+1})$ is connected, it also follows that $\Omega \cap \partial E_{k+1}$ lies in a component of $\Omega \setminus S_M(x_0, \frac{R_k + R_{k+1}}{2})$. As f is a homeomorphism, similar statements hold for $\Omega' \cap \partial f(E_k)$, $\Omega' \cap \partial f(E_{k+1})$, that is, they are contained in two different components of $\Omega' \setminus P_k$. As $\text{diam}_M(P_k \cap \Omega') < \infty$ by Lemma 4.1, it follows also that P_k is a compact subset of Y . Thus the separation condition for the sequence $\{f(E_k)\}$ is verified, and so this sequence is a chain of Ω' of type (b).

To see that $\{f(E_k)\}$ is a prime end, note that if $\{G_k\}$ is a chain that divides $\{f(E_k)\}$, then $\{f^{-1}(G_k)\}$ is a chain of Ω that divides $\{E_k\}$; as $\{E_k\}$ is a prime end, it follows that $\{E_k\}$ divides $\{f^{-1}(G_k)\}$, and so $\{f(E_k)\}$ divides $\{G_k\}$ as well. Thus the corresponding end is a prime end. $\square$

Example 5.13. In Example 5.5, Ω has a prime end of type (b) with impression $\{0\} \times [0, \infty)$ (e.g. take $E_k = \left((0, \frac{3}{2^k}) \times (0, \infty)\right) \cap \Omega$) which gets mapped to an end at ∞ by f . The BQS map f^{-1} then also maps an end at infinity to an end of type (b).

We now summarize the above study. Recall that we assume X and Y to be locally path-connected proper metric spaces and that $\Omega \subset X$, $\Omega' \subset Y$ are domains.

Theorem 5.14. *Suppose that Ω is an unbounded domain and let $f : \Omega \rightarrow \Omega'$ be a BQS homeomorphism. Then there is a homeomorphism $f_P : \overline{\Omega}^P \rightarrow \overline{\Omega'}^P$ such that $f(\mathcal{E})$ is a bounded prime end (i.e. a prime end of type (a)) if $\mathcal{E}$ is a bounded prime end, and $f(\mathcal{E})$ is either an unbounded prime end (i.e. of type (b)) or is an end at ∞ of Ω' if $\mathcal{E}$ is an unbounded prime end or an end at ∞ of Ω . Moreover, if $\mathcal{E}$ is a singleton prime end, then so is $f(\mathcal{E})$.*

Remark 5.15. Combining the above theorem with [H, Proposition 10.11] we see that if Ω is finitely connected at the boundary, then $f_P|_{\overline{\Omega}^P \setminus \partial_\infty \Omega}$ is a BQS homeomorphism with respect to the Mazurkiewicz metric d_M , where $\partial_\infty \Omega$ is the collection of all ends at ∞ of Ω . However, unlike in the case of bounded domains (see Proposition 4.7(c)), we cannot conclude here that Ω' must also be finitely connected at the boundary, see Example 5.5; however, this is not an issue as no continuum in $\overline{\Omega}^P$ contains points from $\partial_\infty \Omega$. The obstacle however is to know about f_P^{-1} , and therefore it is natural to ask whether there are continua in $\overline{\Omega'}^P$ that do not lie entirely in $\Omega' \cup \partial_B \Omega'$, where $\partial_B \Omega'$ is the collection of all bounded prime ends of Ω' (which is the same as the collection of all singleton prime ends, thanks to the above theorem). Unfortunately it is possible for $\partial_P \Omega' \setminus [\partial_B \Omega' \cup \partial_\infty \Omega']$ to contain many continua, as Example 5.16 below shows. We do not have a notion of metric on $\overline{\Omega'}^P$ in this case, and so it does not make sense to ask that f_P is itself a BQS map unless Ω' is also finitely connected at the boundary (in which case, it is indeed a BQS homeomorphism).

Example 5.16. Let Ω_0 be the planar domain

$$\{(x, y) \in \mathbb{R}^2 : x > 0, 0 < y < \frac{1}{1+x}\} \setminus \left(\bigcup_{2 \leq n \in \mathbb{N}} [0, 2n-2] \times \{\frac{1}{2n}\} \bigcup_{n \in \mathbb{N}} [\frac{1}{2}, 2n] \times \{\frac{1}{2n+1}\} \right),$$

and set $\Omega = \Omega_0 \times (0, \infty)$.

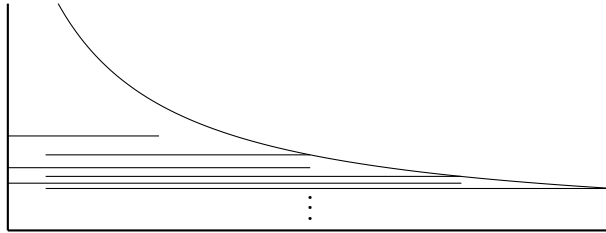


Figure 3: Ω_0

Note that Ω is not finitely connected at the boundary. For each $t > 0$ and $k \in \mathbb{N}$ consider

$$E_k^t := \{(x, y, z) \in \mathbb{R}^3 : y^2 + (z - t)^2 < \frac{1}{(k+1)^2}\}.$$

Observe that for each $t > 0$ the sequence $\{E_k^t\}$ is a chain of Ω with impression $\mathbb{R} \times \{0\} \times \{t\}$. The corresponding end is a prime end, and for each compact interval

$I \subset (0, \infty)$, the set $\{\{E_k^t\} : t \in I\}$ is a subset of $\partial_P \Omega \setminus [\partial_B \Omega \cup \partial_\infty \Omega]$ and is a continuum. Note that in this example, $\partial_\infty \Omega$ is empty. By opening up the domain along the slitting planes $[0, 2n-2] \times \{\frac{1}{2n}\}$ and $[\frac{1}{2}, 2n] \times \{\frac{1}{2n+1}\}$, we obtain a domain that is finitely connected at the boundary, and the map from Ω to this domain can be seen to be a BQS homeomorphism.

The following example shows that it is possible to have a prime end in the sense of [E] which makes the prime end closure sequentially compact, but no equivalent prime end in our sense.

Example 5.17. We construct Ω by removing closed subsets of $\mathbb{R}^2$. The “barrier” $G(h)$ centered at $(0,0)$ with height h is given by $G(h) = \bigcup_{k \in \mathbb{Z}} C_k$, where $C_0 := ((-\infty, -1] \cup [1, \infty)) \times \{0\}$ and for $h, k > 0$,

$$\begin{aligned} C_k &= (\{1 - 2^{-k}\} \times [-h2^{-k}, h2^{-k}]) \cup ([1 - 2^{-k}, 2^k] \times \{-h2^{-k}, h2^{-k}\}) \\ C_{-k} &= (\{-1 + 2^{-k}\} \times [-h2^{-k}, h2^{-k}]) \cup ([-2^k, -1 + 2^{-k}] \times \{-h2^{-k}, h2^{-k}\}) \end{aligned}$$

Thus C_{-k} is obtained from C_k by reflecting it about the y -axis. See Figure 3 below for an illustration of the barrier $G(h)$.

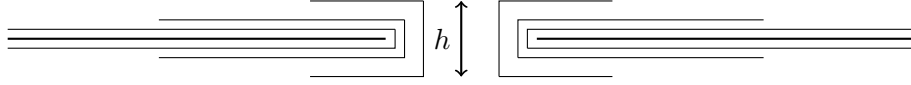


Figure 4: The gate $G(h)$ centered at $(0,0)$.

Given a set $A \subset \mathbb{R}^2$ and a point $(a, b) \in \mathbb{R}^2$, we denote by $A + (a, b)$ the set

$$A + (a, b) := \{(x + a, y + b) : (x, y) \in A\}.$$

We now set

$$\Omega := \mathbb{R} \times (0, \infty) \setminus \bigcup_{2 \leq j \in \mathbb{N}} (G(2^{-4j}) + (2^j, 2^{-j})).$$

The sets $E_j := \Omega \cap (\mathbb{R} \times (0, 2^{-j}))$ forms a chain $\{E_j\}$ of Ω in the sense of [E] with $\{\{E_j\}\}$ prime, but this does not form a chain in our sense, nor is it equivalent to a chain that is also a chain in our sense.

6 Geometric quasiconformal maps and prime ends

In this section we consider a Carathéodory extension theorem for geometric quasiconformal maps. Unlike with BQS homeomorphisms, geometric quasiconformal maps can map bounded domains to unbounded domains. In this section we will consider quasiconformal maps between two domains without assuming boundedness or unboundedness for either domain. As we have less control with geometric quasiconformal maps than with BQS maps, here we need additional constraints on the two domains. The main theorem of this section is Theorem 6.9.

In this section, we will assume that X and Y are proper metric spaces that are locally path-connected, and that $\Omega \subset X$, $\Omega' \subset Y$ are domains. We also assume that

both (Ω, d_M) and (Ω', d'_M) are Ahlfors Q -regular and support a Q -Poincaré inequality for some $Q > 1$, μ is a Radon measure on Ω such that bounded subsets of Ω have finite measure, and that $f : \Omega \rightarrow \Omega'$ is a geometric quasiconformal mapping. In light of the following lemma, if both Ω and Ω' are bounded, then their prime end closures and their Mazurkiewicz metric completions are equivalent, in which case by the results of [HK] and [H, Proposition 10.11], we know that geometric quasiconformal maps between them have even a geometric quasiconformal homeomorphic extension to their prime end closures. Thus the interesting situation to consider here is when at least one of the domains is unbounded.

Before addressing the extension result given in Theorem 6.9 below, we first need to study the properties of prime ends of domains that are Ahlfors regular and support a Poincaré inequality as above.

Lemma 6.1. *The domain Ω is finitely connected at the boundary if and only if the following three conditions hold:*

- (i) $\overline{\Omega}^M$ is proper,
- (ii) Ω has no type (b) prime ends,
- (iii) $\overline{\Omega}^P$ is sequentially compact.

Proof. We first show that $\overline{\Omega}^M$ is proper. It suffices to show that bounded sequences in Ω have a convergent subsequence converging to a point in $\overline{\Omega}^M$. Let $\{x_n\}$ be a bounded sequence (with respect to the Mazurkiewicz metric d_M) in Ω . Then it is a bounded sequence in X , and as X is proper, it has a subsequence, also denoted $\{x_n\}$, and a point $x_0 \in X$ such that $\lim_n x_n = x_0$, the limit occurring with respect to the metric d_X . If $x_0 \in \Omega$, then by the local path-connectivity of X , we also have that the limit occurs with respect to d_M as well. If $x_0 \notin \Omega$, then $x_0 \in \partial\Omega$. Since Ω is finitely connected at the boundary, it follows that there are only finitely many components $U_1(1), \dots, U_{k_1}(1)$ of $B(x_0, 1) \cap \Omega$ with $x_0 \in \partial U_j(1)$ for $j = 1, \dots, k_1$, and we also have that $B(x_0, \rho_1) \cap \Omega \subset \bigcup_{j=1}^{k_1} U_j(1)$ for some $\rho_1 > 0$. We have a choice of some $j_1 \in \{1, \dots, k_1\}$ such that infinitely many of the terms in the sequence $\{x_n\}$ lie in $U_{j_1}(1)$. This gives us a subsequence $\{x_n^1\}$ with $d_M(x_n^1, x_m^1) \leq 1$. Similarly, we have finitely many components $U_1(2), \dots, U_{k_2}(2)$ of $B(x_0, 2^{-1}) \cap \Omega$, with $x_0 \in \partial U_j(2)$ for $j = 1, \dots, k_2$, and we also have that $B(x_0, \rho_2) \cap \Omega \subset \bigcup_{j=1}^{k_2} U_j(2)$ for some $\rho_2 > 0$. We then find a further subsequence $\{x_n^2\}$ of the sequence $\{x_n^1\}$ lying entirely in $U_{j_2}(2)$ for some $j_2 \in \{1, \dots, k_2\}$. Note that $d_M(x_n^2, x_m^2) \leq 2^{-1}$. Inductively, for each $l \in \mathbb{N}$ with $l \geq 2$ we can find a subsequence $\{x_n^l\}$ contained within a component of $B(x_0, 2^{-l}) \cap \Omega$ with that component containing x_0 in its boundary, such that $\{x_n^l\}$ is a subsequence of $\{x_n^{l-1}\}$. We then have $d_M(x_n^l, x_m^l) \leq 2^{-l}$. Now a Cantor diagonalization argument gives a subsequence $\{y_l\}$ of the original sequence $\{x_n\}$ such that for each $l \in \mathbb{N}$, $d_M(y_l, y_{l+1}) \leq 2^{-l}$, that is, this subsequence is Cauchy with respect to the Mazurkiewicz metric d_M and so is convergent to a point in $\overline{\Omega}^M$.

We next verify that there cannot be any prime end of type (b). Suppose $\mathcal{E}$ is a prime end of Ω with impression $I(\mathcal{E})$ containing more than one point. Then by Lemma 2.12 there is a singleton prime end $\mathcal{G}$ with $\mathcal{G} | \mathcal{E}$, and as the impression of

$\mathcal{E}$ is not a singleton set, we have $\mathcal{G} \neq \mathcal{E}$, violating the primality of $\mathcal{E}$. Thus there cannot be a prime end of type (b), nor a non-singleton prime end of type (a).

To verify that $\overline{\Omega}^P$ is sequentially compact, we first consider sequences $\{x_k\}$ in Ω . If this sequence is bounded in the metric d_X , then by the properness of X there is a subsequence $\{x_{n_j}\}$ that converges to a point $x_0 \in \overline{\Omega}$. If $x_0 \in \Omega$, then that subsequence converges to x_0 also in $\overline{\Omega}^P$. If $x_0 \in \partial\Omega$, then using the finite connectivity of Ω at x_0 we can find a singleton prime end $\mathcal{E} = [\{E_k\}]$ with $\{x_0\} = I(\mathcal{E})$ such that for each $k \in \mathbb{N}$ there is an infinite number of positive integers j for which $x_{n_j} \in E_k$. Now a Cantor-type diagonalization argument gives a further subsequence that converges in $\overline{\Omega}^P$ to $\mathcal{E}$. If the sequence is not bounded in d_X , then we argue as follows. Fix $z \in \Omega$. Then for each $n \in \mathbb{N}$ there are only finitely many components of $\Omega \setminus B_M(z, n)$ that intersect $\Omega \setminus B_M(z, n+1)$ (for if not, then we can find a sequence of points y_j in the unbounded components of $\Omega \setminus B(z, n)$, with no two belonging to the same unbounded component, such that $d_M(z, y_j) = n + \frac{1}{2}$ and $d_M(y_j, y_l) \geq \frac{1}{2}$ for $j \neq l$; then $\{y_j\}$ would be a bounded sequence with respect to d_X , and the properness of X gives us a subsequence that converges to some $y_0 \in \partial\Omega$, and Ω would then not be finitely connected at y_0). Hence there is an unbounded component U_1 of $\Omega \setminus B_M(z, 1)$ that contains x_k for infinitely many $k \in \mathbb{N}$, that is, there is a subsequence $\{x_j^1\}$ of $\{x_k\}$ that is contained in U_1 . There is an unbounded component U_2 of $\Omega \setminus B_M(z, 2)$ that contains x_j^1 for infinitely many $j \in \mathbb{N}$, and so we obtain a further subsequence $\{x_j^2\}$ lying in U_2 . Since this further subsequence also lies in U_1 , it follows that $U_2 \subset U_1$. Thus inductively we find unbounded components U_n of $\Omega \setminus B_M(z, n)$ and subsequence $\{x_j^n\}$ of $\{x_j^{n-1}\}$ such that $x_j^n \in U_n$; moreover, $U_n \subset U_{n-1}$. If $\bigcap_n \overline{U_n}$ is non-empty, then $\bigcap_n \overline{U_n} \subset \partial\Omega$ (because for every point $w \in \Omega$ we know from the local connectivity of X that $d_M(z, w) < \infty$), and Ω will not be finitely connected at each point in $\bigcap_n \overline{U_n}$. It follows that we must have $\bigcap_n \overline{U_n} = \emptyset$. Therefore $\{U_n\}$ is an end at ∞ for Ω , and by considering the subsequence $z_n = x_n^n$ of the original sequence $\{x_k\}$, we see that $\{z_n\}$ converges in $\overline{\Omega}^P$ to $\{U_n\}$. This concludes the proof that sequences in Ω have a subsequence that converges in $\overline{\Omega}^P$.

Next, if we have a sequence $\mathcal{E}^n$ of points in $\partial_P\Omega$ that are all singleton prime ends (that is, they have a representative in $\partial_M\Omega$), then we can use the Mazurkiewicz metric to choose $\{E_k^n\} \in \mathcal{E}^n$ such that for each $n, k \in \mathbb{N}$ we have $\text{diam}_M(E_k^n) < 2^{-(k+n)}$, and choose $y_n = x_n^n \in E_n^n$ to obtain a sequence in Ω . The above argument then gives a subsequence y_{n_j} and $\mathcal{F} \in \partial_P\Omega$ such that $y_{n_j} \rightarrow \mathcal{F}$ as $j \rightarrow \infty$ in the prime end topology. If $\mathcal{F}$ is a singleton prime end, then the properness of $\overline{\Omega}^M$ implies that $\mathcal{E}^{n_j} \rightarrow \mathcal{F}$. If $\mathcal{F}$ is not a singleton prime end, then it is an end at ∞ (because we have shown that there are no ends of type (b)), in which case we can find a sequence of positive real numbers $R_k \rightarrow \infty$ and $\{F_k\} \in \mathcal{F}$ such that F_k is a component of $\Omega \setminus \overline{B_M(x_0, R_k)}$ for some fixed $x_0 \in \Omega$, see Lemma 5.11. Since we can find $L \in \mathbb{N}$ such that $R_{k+L} - R_k > 1$, and as $y_j \in F_{k+L}$ for sufficiently large $j \in \mathbb{N}$, it follows that $E_{n_j}^{n_j} \subset F_k$. Therefore $\mathcal{E}^{n_j} \rightarrow \mathcal{F}$. Finally, if $\mathcal{E}^n$ are all ends at ∞ , then from the second part of Lemma 5.11 we can fix $x_0 \in \Omega$ and choose $\{E_k^n\} \in \mathcal{E}^n$ with E_k^n a component of $\Omega \setminus \overline{B_M(x_0, k)}$. For each $k \in \mathbb{N}$ there are only finitely many components of $\Omega \setminus \overline{B_M(x_0, k)}$ that are unbounded, and so it follows that there is

some component F_1 of $\Omega \setminus \overline{B_M(x_0, 1)}$ for which the set

$$I(1) := \{n \in \mathbb{N} : E_1^n = F_1\}$$

has infinitely many terms. Note that necessarily $F_2 \subset F_1$. It then follows that there is some component F_2 of $\Omega \setminus \overline{B_M(x_0, 2)}$ such that

$$I(2) := \{n \in I(1) : n \geq 2 \text{ and } E_2^n = F_2\}$$

has infinitely many terms. Proceeding inductively, we obtain sets $I(j+1) \subset I(j)$ of infinite cardinality and F_j such that $\{F_j\}$ is an end at ∞ , and a sequence $n_j \in \mathbb{N}$ with $j \leq n_j \in I(j)$ such that $E_j^{n_j} = F_j$. It follows that $\mathcal{E}^n$ converges in the prime end topology to $\{F_j\}$.

Now we prove that if Ω satisfies conditions (i)–(iii), then it is finitely connected at the boundary. Recall the definition of finitely connected at the boundary from Definition 2.11. Suppose that $x_0 \in \partial\Omega$ such that Ω is not finitely connected at x_0 . Then there is some $r > 0$ such that either there are infinitely many components of $B(x_0, r) \cap \Omega$ with x_0 in their boundaries, or else there are only finitely many such components $U_1, \dots, U_k$ such that for all $\rho > 0$, $B(x_0, \rho) \setminus \bigcup_{j=1}^k U_j$ is non-empty. In the first case, $B(x_0, r/2)$ intersects each of those components, and hence infinitely many components of $B(x_0, r) \cap \Omega$ intersect $B(x_0, r/2)$. In the second case, we see that $B(x_0, r/2) \setminus \bigcup_{j=1}^k U_j$ is non-empty; in this case, if there are only finitely many components of $B(x_0, r) \cap \Omega$ that intersect $B(x_0, r/2)$, then for each of these components W that are not one of $U_1, \dots, U_k$, we must have that $\text{dist}(x_0, W) > 0$, and so the choice of $\rho \leq r/2$ as no larger than the minimum of $\text{dist}(x_0, W)$ over all such W tells us that $B(x_0, \rho) \cap \Omega \subset \bigcup_{j=1}^k U_j$, contradicting the fact that Ω is not finitely connected at x_0 .

From the above two cases, we know that there is some $r > 0$ such that there are infinitely many components of $B(x_0, r) \cap \Omega$ intersecting $B(x_0, r/2)$. Hence we can choose a sequence of points, $y_j \in \Omega$, with no two belonging to the same component of $B(x_0, r) \cap \Omega$, such that $d(x_0, y_j) = r/2$. Then we have that for each $j, l \in \mathbb{N}$ with $j \neq l$, $d_M(y_j, y_l) \geq r/2$. Since by condition (i) we know that $\overline{\Omega}^M$ is proper, we must necessarily have that $\{y_j\}$ has no bounded subsequence with respect to the Mazurkiewicz metric d_M . By the sequential compactness of $\overline{\Omega}^P$ there must then be a prime end $\mathcal{E}$, and a subsequence, also denoted $\{y_j\}$, such that this subsequence converges to $\mathcal{E}$ in the topology of $\overline{\Omega}^P$. Since $\{y_j\}$ is a bounded sequence in X , it follows from the properness of X that there is a subsequence converging to some $w \in \overline{\Omega}$. As $w \notin \Omega$, we must have that $w \in \partial\Omega$, and moreover, $w \in I(\mathcal{E})$. Thus $\mathcal{E}$ is of type (a) since by condition (ii) we have no type (b) prime ends. It then follows that when $\{E_k\} \in \mathcal{E}$, for sufficiently large k the open connected set E_k must be bounded in d_X and hence in d_M . This creates a conflict between the fact that the tail end of the sequence $\{y_j\}$ lies in E_k and the fact that $\{y_j\}$ has no bounded subsequence with respect to the metric d_M . Hence Ω must be finitely connected at x_0 . $\square$

Example 6.2. Let $\Omega \subset \mathbb{R}^2$ be given by

$$\Omega = (0, \infty) \times (0, 1) \setminus \bigcup_{k \in \mathbb{N}} [0, k] \times \{2^{-k}\}.$$

Then Ω has no type (b) prime end. Moreover, any sequence $\{x_k\}$ in Ω that is bounded in the Mazurkiewicz metric d_M will have to lie in a subset $(0, \infty) \times (2^{-k}, 1)$ for some $k \in \mathbb{N}$, and so $\overline{\Omega}^M$ is proper. However, Ω is *not* finitely connected at the boundary. Thus Conditions (i),(ii) on their own do not characterize finite connect- edness at the boundary.

Lemma 6.3. *Suppose that $\overline{\Omega}^M$ proper. Let $A, B \subset \Omega$ with $\text{dist}_M(\overline{A}^M, \overline{B}^M) = \tau > 0$ and $\text{diam}_M(A), \text{diam}_M(B)$ both finite. Then either $\text{diam}'_M(f(A))$ is finite or else $\text{diam}'_M(f(B))$ is finite.*

Proof. Suppose that $\text{diam}'_M(f(A)) = \infty = \text{diam}'_M(f(B))$. Then we can find two sequences $z_k \in f(A)$ and $w_k \in f(B)$ such that $d'_M(z_k, z_{k+1}) \geq k$ and $d'_M(w_k, w_{k+1}) \geq k$ for each $k \in \mathbb{N}$. Let $x_k = f^{-1}(z_k)$ and $y_k = f^{-1}(w_k)$. By the properness of $\overline{\Omega}^M$, we can find two subsequences, also denoted x_k and y_k , such that these subsequences are Cauchy in d_M . We can also ensure that $d_M(x_k, x_{k+1}) < 2^{-k}\tau/8$ and $d_M(y_k, y_{k+1}) < 2^{-k}\tau/8$. Then for each k there is a continua $\alpha_k, \beta_k \subset \Omega$ with $x_k, x_{k+1} \in \alpha_k$, $y_k, y_{k+1} \in \beta_k$, and $\max\{\text{diam}_M(\alpha_k), \text{diam}_M(\beta_k)\} < 2^{-k}\tau/8$. Since $x_k \in A$, $y_k \in B$, and $\text{dist}_M(\overline{A}^M, \overline{B}^M) = \tau > 0$, we must have that $\bigcup_k \alpha_k$ and $\bigcup_k \beta_k$ are disjoint with

$$\text{dist}_M\left(\bigcup_k \alpha_k, \bigcup_k \beta_k\right) \geq 3\tau/4.$$

For each $n \in \mathbb{N}$ set $\Gamma_n = \bigcup_{k=1}^n \alpha_k$ and $\Lambda_n = \bigcup_{k=1}^n \beta_k$. Then Γ_n and Λ_n are disjoint continua with $\text{dist}_M(\Lambda_n, \Gamma_n) \geq 3\tau/4$ and

$$\Gamma_n \cup \Lambda_n \subset \bigcup_{z \in A \cup B} B(z, \tau + \text{diam}(A) + \text{diam}(B)) =: U.$$

Hence

$$\text{Mod}_Q(\Gamma(\Gamma_n, \Lambda_n)) \leq \int_{\Omega} \rho^Q d\mu < \infty$$

where $\rho := \frac{4}{3\tau}\chi_U$ is necessarily admissible for the family $\Gamma(\Gamma_n, \Lambda_n)$ of curves in Ω connecting points in Γ_n to points in Λ_n .

However, $f(\Lambda_n)$ is a continuum containing z_1 and z_n , and so $\text{diam}'_M(f(\Lambda_n)) \rightarrow \infty$ as $n \rightarrow \infty$; a similar statement holds for $f(\Gamma_n)$. Moreover, $\text{dist}_M'(f(\Lambda_n), f(\Gamma_n)) \leq d'_M(z_1, w_1) < \infty$. Hence by the Q -Loewner property together with the Ahlfors Q -regularity of (Ω', d'_M) gives us

$$\text{Mod}_Q(\Gamma(f(\Gamma_n), f(\Lambda_n))) \geq \Phi\left(\frac{\text{dist}_M'(f(\Gamma_n), f(\Lambda_n))}{\min\{\text{diam}'_M(f(\Lambda_n)), \text{diam}'_M(f(\Gamma_n))\}}\right) \rightarrow \infty \text{ as } n \rightarrow \infty.$$

this violates the geometric quasiconformality of f . $\square$

Lemma 6.4. *Suppose that (Ω, d_M) is Ahlfors Q -regular for some $Q > 1$ and supports a Q -Poincaré inequality. Then every type (a) prime end of Ω is a singleton prime end, and $\overline{\Omega}^M$ is proper. Moreover, $\overline{\Omega}^P$ is sequentially compact.*

Thanks to Lemma 6.1, if the domain Ω satisfies the hypotheses of the above lemma and in addition has no type (b) ends, then Ω is finitely connected at the boundary. As Example 5.5 shows, there are domains Ω for which $\overline{\Omega}^M$ is proper and $\overline{\Omega}^P$ is sequentially compact but Ω is not finitely connected at its boundary. We do not know whether this is still possible if we also require (Ω, d_M) to be Ahlfors Q -regular for some $Q > 1$ and support a Q -Poincaré inequality.

Proof. The properness of $\overline{\Omega}^M$ is a consequence of the fact that $\mathcal{H}^Q$ (this Hausdorff measure taken with respect to the Mazurkiewicz metric d_M) is doubling and that $\overline{\Omega}^M$ is complete, see for example [HKST, Lemma 4.1.14].

Let $\mathcal{E}$ be a prime end of type (a) for Ω , and let $x_0 \in I(\mathcal{E})$. Let $\{E_k\} \in \mathcal{E}$ such that E_1 is bounded (in d_X , and hence by the local connectedness of X and the fact that E_1 is open, in the Mazurkiewicz metric d_M as well). Let $\{x_j\}$ be a sequence in E_1 with $x_j \in E_j$ such that it converges (in the metric d_X) to x_0 . Then as this sequence is bounded in d_M , it follows from the properness of $\overline{\Omega}^M$ that there is a subsequence, also denoted $\{x_j\}$, such that this subsequence converges in d_M to a point $\zeta \in \partial_M \Omega$. For $n \in \mathbb{N}$ we claim that $B_M(\zeta, 2^{-n}) \cap \Omega$ is connected. Indeed, by the definition of Mazurkiewicz closure, we can find a Cauchy sequence $x_k \in \Omega$ with $d_M(x_k, \zeta) \rightarrow 0$. For $x \in B_M(\zeta, 2^{-n}) \cap \Omega$ we have $d_M(x, \zeta) < 2^{-n}$, and so for sufficiently large k we also have that $d_M(x, x_k) < 2^{-n}$. Thus we can find a continuum in Ω connecting x to x_k with diameter smaller than 2^{-n} . Note that each point on this continuum necessarily then lies in $B_M(\zeta, 2^{-n}) \cap \Omega$. Now if $y \in B_M(\zeta, 2^{-n}) \cap \Omega$, then we can connect both x and y to x_k for sufficiently large k and hence obtain a continuum in $B_M(\zeta, 2^{-n}) \cap \Omega$ connecting x to y . Therefore $B_M(\zeta, 2^{-n}) \cap \Omega$ is a connected set. Let $F_n = B_M(\zeta, 2^{-n}) \cap \Omega$ that contain x_j for sufficiently large j . Then $\{F_n\}$ is a chain for Ω with $\{x_0\} = I(\{F_n\})$, and so it forms a singleton prime end $\mathcal{F} = [\{F_n\}]$. We claim now that $\mathcal{F} \in \mathcal{E}$. For each $k \in \mathbb{N}$ we know that $x_j \in E_{k+1}$ for all $j \geq k+1$; as $\text{dist}_M(\Omega \cap \partial E_k, \Omega \cap \partial E_{k+1}) > 0$, it follows that for large j the connected set F_j contains x_m for all $m \geq j+1$ with

$$\text{diam}_M(F_j) < \frac{1}{2} \text{dist}_M(\Omega \cap \partial E_k, \Omega \cap \partial E_{k+1});$$

hence $F_j \subset E_k$ for sufficiently large j . Now the primality of $\mathcal{E}$ tells us that $\mathcal{E} = \mathcal{F}$, that is, $\mathcal{E}$ is a singleton prime end.

Now we show that $\overline{\Omega}^P$ is sequentially compact. If $\{x_j\}$ is a sequence in Ω that is bounded in the Mazurkiewicz metric d_M , then by the properness of $\overline{\Omega}^M$ we see that there is a subsequence that converges to a singleton prime end or to a point in Ω . Similarly, if we have a sequence of singleton prime ends that is bounded with respect to d_M (and recall that singleton prime ends correspond to points in the Mazurkiewicz boundary $\partial_M \Omega$, see [BBS2]), then we have a convergent subsequence. It now only remains to consider sequences $\{\zeta_j\}$ from $\overline{\Omega}^P$ such that the sequence is either an unbounded (in d_M) sequence of points from $\overline{\Omega}^M$ or is a sequence of prime ends that are of types (b) and (c). In this case, we fix $x_0 \in \Omega$ and construct a prime end $[\{F_k\}]$ as follows. With $n_k = k$ in Lemma 5.8, we choose F_1 to be the unbounded component of $\Omega \setminus \overline{B_M(x_0, 1)}$ that contain infinitely many points from $\{\zeta_j\}$ if this

sequence consist of points in $\overline{\Omega}^M$, or tail-end of the chains $\{E_k^j\} \in \zeta_j$ for infinitely many j . We then choose F_2 to be the unbounded component of $\Omega \setminus \overline{B_M(x_0, 2)}$ that contain infinitely many of the points $\{\zeta_j\}$ that are in F_1 if $\{\zeta_j\} \subset \overline{\Omega}^M$, or tail-end of the chains $\{E_k^j\} \in \zeta_j$ for infinitely many j that also gave a tail-end for F_1 . Proceeding inductively, we obtain a chain $\{F_k\}$; by Lemma 5.8 we know that $[\{F_k\}]$ is a prime end of Ω , and by the above construction and by a Cantor diagonalization, we have a subsequence of $\{\zeta_j\}$ that converges to $[\{F_k\}]$. $\square$

Chains in prime ends of type (b) have corresponding separating sets R_k , but no control over the Mazurkiewicz diameter of the boundaries of the acceptable sets that make up the chains. The following lemma and its corollary give us a good representative chain in the prime end of type (b) that gives us control over the boundary of the acceptable sets as well as dispenses with the need for the separating sets R_k .

Lemma 6.5. *Let $\mathcal{E}$ be an end of type (b) of Ω . Let $\{E_k\}$ be a representative chain for $\mathcal{E}$ and let R_k be as given in Definition 5.1. Then, there is a representative chain $\{F_k\}$ of $\mathcal{E}$ and compact sets $S_k \subset X$ with the following properties:*

- (i) F_k is a component of $\Omega \setminus S_k$,
- (ii) $\text{diam}_M(S_k \cap \Omega) < \infty$,
- (iii) $\text{dist}_M(S_k \cap \Omega, S_{k+1} \cap \Omega) > 0$.

Furthermore, there are corresponding separating sets R_k^F from Definition 5.1 that have the properties that $\text{dist}_M(R_k^F \cap \Omega, R_{k+1}^F \cap \Omega) > 0$ and $\text{diam}_M(R_k^F \cap \Omega) < \infty$.

Proof. Let

$$T_k = \overline{(R_k \cap \Omega \setminus E_{k+1}) \cap E_k}.$$

We see E_{k+1} belongs to a single component of $\Omega \setminus T_k$ because E_{k+1} is connected and $E_{k+1} \cap T_k = \emptyset$. This follows as E_{k+1} is open in X and $(R_k \cap \Omega \setminus E_{k+1}) \cap E_k = \emptyset$. We also see that $T_k \cap \Omega \subset E_k$ because $R_k \cap \partial E_k = \emptyset$ and so $T_k \cap \Omega = (R_k \cap E_k) \setminus E_{k+1}$.

By Lemma 5.3, we observe that there are no points $x \in \partial\Omega \cap E_k$ and $y \in \Omega \cap \partial E_{k+1}$ that belong to the same component of $\Omega \setminus T_k$.

Let $S_k = T_{2k}$ and let F_k be the component of $\Omega \setminus S_k$ containing E_{2k+1} . The sets S_k are compact as they are closed subsets of the sets R_{2k} . We first verify properties (i) - (iii) and then show that $\{F_k\}$ is a chain equivalent to $\{E_k\}$. Property (i) follows immediately from the definition of F_k . Property (ii) follows as $S_k \subseteq R_{2k}$ and $\text{diam}_M(R_{2k}) < \infty$. For property (iii), let $x \in S_k \cap \Omega$ and $y \in S_{k+1} \cap \Omega$. Let $E \subset \Omega$ be a continuum containing x and y . As $x \in S_k$, we have $x \notin E_{2k+1}$. As $y \in S_{k+1}$, we have $y \in E_{2k+1}$, so there must exist a point $z_1 \in E \cap \partial E_{2k+1}$. Similarly, $x \notin E_{2k+2}$ and $y \in E_{2k+2}$, so there is a point $z_2 \in E \cap \partial E_{2k+2}$. Hence,

$$0 < \text{dist}_M(\partial E_{2k+1} \cap \Omega, \partial E_{2k+2} \cap \Omega) \leq d_M(z_1, z_2).$$

and, as x, y are arbitrary, we have $\text{dist}_M(S_k \cap \Omega, S_{k+1} \cap \Omega) > 0$.

It remains to show that F_k is a chain of type (b) that is equivalent to $\{E_k\}$. We first note that $F_{k+1} \subset E_{2k+1} \subset F_k$, from which equivalence follows. Indeed,

$E_{2k+1} \subset F_k$ follows immediately from the definition of F_k . To see that $F_{k+1} \subset E_{2k+1}$, suppose that there is a point $y \in F_{k+1} \setminus E_{2k+1}$. Let $x \in E_{2k+3} \subset F_{k+1}$; then by the connectedness of the open set F_{k+1} together with local connectedness of Ω , there is a continuum $\gamma \subset F_{k+1}$ containing the points x, y . Then as $x \in E_{2k+1}$ and $y \notin E_{2k+1}$, γ intersects $\Omega \cap \partial E_{2k+1}$. Moreover, as $x \in E_{2k+2}$ and $y \notin E_{2k+2}$, we must also have that γ intersects $\Omega \cap \partial E_{2k+2}$. Then as T_{2k+1} separates $\Omega \cap \partial E_{2k+1}$ from $\Omega \cap \partial E_{2k+2}$, it follows that γ intersects T_{2k+1} , contradicting the fact that $\gamma \subset F_{k+1}$.

Properties (a) and (d) in Definition 5.1 follow immediately from the above observation. Property (c) follows from (iii) as $\Omega \cap \partial F_k \subseteq S_k \cap \Omega$. For property (b), we use the sets $R_k^F = T_{2k+1}$. Compactness of R_k^F follows as before: R_k^F is a closed subset of the compact set R_{2k+1} . We see $\text{diam}_M(R_k^F \cap \Omega) \leq \text{diam}_M(R_{2k+1} \cap \Omega) < \infty$. The separation property of R_k^F is similar to the separation property for the sets T_k : let $x \in \partial F_k$ and $y \in \partial F_{k+1}$ and suppose γ is a path in $\Omega \setminus T_{2k+1}$ with $\gamma(0) = x$ and $\gamma(1) = y$. Then, $y \in T_{2k+2}$ so $y \in E_{2k+2}$ and $x \in T_{2k}$ so $x \notin E_{2k+1}$. Thus, γ contains points in ∂E_{2k+1} and ∂E_{2k+2} . Considering an appropriate subpath of γ as before leads to a point in $\gamma \cap T_{2k+1}$, a contradiction.

The inequality $\text{dist}_M(R_k^F \cap \Omega, R_{k+1}^F \cap \Omega) > 0$ follows as in the proof that $\text{dist}_M(S_k \cap \Omega, S_{k+1} \cap \Omega) > 0$. The inequality $\text{diam}_M(R_k^F \cap \Omega) < \infty$ follows as $R_k^F \cap \Omega \subseteq R_{2k+1}$. $\square$

The following corollary is a direct consequence of the above lemma and its proof.

Corollary 6.6. *If $\{E_k\}$ is a representative chain of a type (b) prime end of Ω , then there is a sequence $\{F_k\}$ of unbounded open connected subsets of Ω such that*

- (a) $F_{k+1} \subset F_k$ for each $k \in \mathbb{N}$,
- (b) $\text{diam}_M(\Omega \cap \partial F_k) < \infty$ for each $k \in \mathbb{N}$,
- (c) $\text{dist}_M(\Omega \cap \partial F_k, \Omega \cap \partial F_{k+1}) > 0$ for each $k \in \mathbb{N}$,
- (d) $\emptyset \neq \bigcap_k \overline{F_k} \subset \partial \Omega$,

and in addition, for each $k \in \mathbb{N}$ we can find $j_k, i_k \in \mathbb{N}$ such that

$$F_{j_k} \subset E_k \text{ and } E_{i_k} \subset F_k.$$

Moreover, if $\{F_k\}$ is a sequence of unbounded open connected subsets of Ω that satisfy Conditions (a)–(d) listed above, then $\{F_{2k}\}$ is a representative chain of a type (b) prime end of Ω .

Lemma 6.7. *Suppose that both (Ω, d_M) and (Ω', d'_M) are Ahlfors Q -regular for some $Q > 1$ and that they both support a Q -Poincaré inequality with respect to the respective Ahlfors regular Hausdorff measure. Let $\mathcal{E}$ be an end of any type of Ω . Let $\{E_k\} \in \mathcal{E}$ be a chain with $\text{diam}_M(\Omega \cap \partial E_k) < \infty$ for all k and let $F_k = f(E_k)$. Then,*

$$\text{dist}_M'(\Omega' \cap \partial F_k, \Omega' \cap \partial F_{k+1}) > 0. \quad (8)$$

Chains with $\text{diam}_M(\Omega \cap \partial E_k) < \infty$ for all k exist for all types of ends by Lemma 6.4 (for type (a)), Lemma 6.5 (for type (b)), and Lemma 5.11 (for type (c)). Here dist_M' is the distance between the two sets with respect to the Mazurkiewicz metric d'_M .

Proof. Suppose (8) is not the case for some positive integer k . Then we can find two sequences $w_j \in \Omega' \cap \partial F_k$ and $z_j \in \Omega' \cap \partial F_{k+1}$ such that $d'_M(w_j, z_j) \rightarrow 0$ as $j \rightarrow \infty$. Let $x_j \in \Omega \cap \partial E_k$ be such that $f(x_j) = w_j$, and $y_j \in \Omega \cap \partial E_{k+1}$ be such that $f(y_j) = z_j$. By passing to a subsequence if necessary, we may assume that $w_1 \neq w_2$ and that $z_1 \neq z_2$; therefore we also have $x_1 \neq x_2$ and $y_1 \neq y_2$. Note that as

$$\tau_k := \text{dist}_M(\Omega \cap \partial E_k, \Omega \cap \partial E_{k+1}) > 0,$$

we must have that $\infty > \text{diam}_M(E_1) \geq d_M(x_j, y_j) \geq \tau_k$ for each j .

By Lemma 6.4 we know that $\overline{\Omega}^M$ is proper, and so sequences that are bounded in Ω have a subsequence that converges in d_M (and hence in d_X as well) to some point in $\overline{\Omega}^M$. By [BBS1, Theorem 1.1] we know that there is a bijective correspondence between singleton prime ends and points in $\partial_M \Omega$. Therefore by passing to a subsequence if necessary, we can find $\zeta, \xi \in \partial_P \Omega$ with $\zeta \neq \xi$ such that $x_j \rightarrow \zeta$ and $y_j \rightarrow \xi$. Note that $d_M(\xi, \zeta) \geq \tau_k$. So we can choose chains $\{G_m\} \in \xi$ and $\{H_m\} \in \zeta$ such that for each m the completion in d_M of G_m and the completion of H_m in d_M are disjoint (indeed, we can ensure that $G_m \subset B_M(\xi, \tau_k/3)$ and $H_m \subset B_M(\zeta, \tau_k/3)$). As $x_j \rightarrow \zeta$, by passing to a further subsequence if needed, we can ensure that for each positive integer j , $x_j \in H_1$; similarly, we can assume that $y_j \in G_1$. As H_1 and G_1 are open connected subsets of Ω and X is locally path-connected, it follows that for each j there is a path γ_j in H_1 connecting x_j to x_{j+1} ; similarly there is a path β_j in G_1 connecting y_j to y_{j+1} . For each positive integer n let α_n be the concatenation of γ_j , $j = 1, \dots, n$, and let σ_n be the concatenation of β_j , $j = 1, \dots, n$. Then α_n and σ_n are continua in Ω with $\text{dist}_M(\alpha_n, \sigma_n) \geq \tau_k/3$. Therefore for each n we have

$$\text{Mod}_Q(\Gamma(\alpha_n, \sigma_n)) \leq \frac{3^Q}{\tau_k} \mu(\Omega) < \infty.$$

On the other hand, with $A_n = f(\alpha_n)$ and $\Sigma_n = f(\sigma_n)$, we know that $\text{dist}_M'(A_n, \Sigma_n) \rightarrow 0$ as $n \rightarrow \infty$. However,

$$\begin{aligned} \liminf_{n \rightarrow \infty} \text{diam}'_M(A_n) &\geq \text{diam}'_M(A_1) > 0, \\ \liminf_{n \rightarrow \infty} \text{diam}'_M(\Sigma_n) &\geq \text{diam}'_M(\Sigma_1) > 0. \end{aligned}$$

Therefore by the Ahlfors regularity together with the Poincaré inequality on Ω' with respect to d'_M , we know that

$$\text{Mod}_Q(\Gamma(A_n, \Sigma_n)) \rightarrow \infty \text{ as } n \rightarrow \infty.$$

Since

$$f(\Gamma(\alpha_n, \sigma_n)) = \Gamma(A_n, \Sigma_n),$$

this violates the geometric quasiconformality of f , see Theorem 3.8. Hence (8) must hold true. $\square$

Lemma 6.8. *Suppose that both (Ω, d_M) and (Ω', d'_M) are Ahlfors Q -regular for some $Q > 1$ and that they both support a Q -Poincaré inequality with respect to the respective Ahlfors regular Hausdorff measure. Let $\mathcal{E}$ be an end of any type of Ω . Let $\{E_k\} \in \mathcal{E}$ be an appropriate chain with $\text{diam}_M(\Omega \cap \partial E_k) < \infty$ for all k and let $F_k = f(E_k)$. Then,*

- (i) If $\text{diam}(F_k) < \infty$ for some k , then $\{F_k\}$ is a chain corresponding to an end of type (a).
- (ii) If $\text{diam}(F_k) = \infty$ for all k and $\bigcap_k \overline{F_k} \neq \emptyset$, then $\{F_k\}$ is a chain corresponding to an end of type (b).
- (iii) If $\text{diam}(F_k) = \infty$ for all k and $\bigcap_k \overline{F_k} = \emptyset$, then $\{F_k\}$ is a chain corresponding to an end of type (c).

We use the phrase “appropriate chain” to mean one which has the properties in Lemma 6.5 for type (b) or of the form given in Lemma 5.11 for type (c). As f is a homeomorphism, this means that f induces a map from ends of Ω to ends of Ω' .

Proof. Let $\mathcal{E}$ be an end and let $\{E_k\}$ be a chain representing $\mathcal{E}$ with $\text{diam}_M(\Omega \cap \partial E_k) < \infty$ for all k . Let $F_k = f(E_k)$. The proof will be split into several cases. We note that in all cases, the containments $F_{k+1} \subseteq F_k$ are immediate and the separation inequality (8) follows from Lemma 6.7, so we only check the other conditions.

Case 1 [(a),(b),(c) $\rightarrow$ (a)]: Suppose $\text{diam}(F_k) < \infty$ for some k . By considering the tail end of this sequence, we assume $\text{diam}(F_k) < \infty$ for all k . Then, as f is a homeomorphism, each F_k is a bounded, connected, open set. The sets $\overline{F_k}$ are compact as Y is proper. As Y is complete, it follows that $\bigcap_k \overline{F_k} \neq \emptyset$. The fact that $\bigcap_k \overline{F_k} \cap \Omega' = \emptyset$ follows as f is a homeomorphism: if $x' = f(x) \in \bigcap_k \overline{F_k} \cap \Omega'$, then as Ω' is open we can find $r' > 0$ with $B(x', r') \subset \Omega'$. Then, there is an $r > 0$ with $B(x, r) \subset f^{-1}(B(x', r'))$. For all types of ends, there is an index I with $E_I \cap B(x, r/2) = \emptyset$. Hence $f(B(x, r/2)) \cap F_I = \emptyset$, and so $x \notin \overline{F_I}$. In particular, $\overline{F_k} \cap \partial\Omega' \neq \emptyset$ for all k , so the sets F_k are admissible, and $\bigcap_k \overline{F_k} \subset \partial\Omega$, so $\{F_k\}$ is a chain.

Case 2 [(a),(b),(c) $\rightarrow$ (b)]: We assume that $\text{diam}(F_k) = \infty$ for all k and that $\bigcap_k \overline{F_k} \neq \emptyset$. As in Case 1, we must have $\bigcap_k \overline{F_k} \subseteq \partial\Omega'$. Moreover, $\overline{F_k}$ is proper for all k as Y is proper. Now the fact that $\{F_k\}$ corresponds to an end of type (b) follows from Corollary 6.6 together with Lemma 6.3.

Case 3 [(a),(b),(c) $\rightarrow$ (c)]: We assume that $\text{diam}(F_k) = \infty$ for all k and that $\bigcap_k \overline{F_k} = \emptyset$. We only need to check that there exists a compact subset $K_k \subset Y$ with $\text{diam}'_M(K_k \cap \Omega') < \infty$ such that F_k is a component of $\Omega' \setminus K_k$. Note that for each k we have $\text{diam}_M(\Omega \cap \partial E_k) < \infty$, and $\text{dist}_M(\Omega \cap \partial E_k, \Omega \cap \partial E_{k+1}) > 0$. Therefore for all except at most one k we have that $\text{diam}'_M(\Omega' \cap \partial f(E_k)) < \infty$ by Lemma 6.3. Set $K_k = f(\partial E_k \cap \Omega')$. To see that $F_k = f(E_k)$ is a component of $\Omega' \setminus K_k$, we note that as F_k is connected it is contained in some component of $\Omega' \setminus K_k$. If F_k is not this entire component, then as open connected sets are path connected, this component contains a point of ∂F_k , but this is impossible as $\partial F_k \subseteq K_k$ because f is a homeomorphism. $\square$

Theorem 6.9. *Suppose that both (Ω, d_M) and (Ω', d'_M) are Ahlfors Q -regular for some $Q > 1$ and that they both support a Q -Poincaré inequality with respect to the respective Ahlfors regular Hausdorff measure. Let $f: \Omega \rightarrow \Omega'$ be a geometric quasiconformal mapping. Then, f induces a homeomorphism $f: \overline{\Omega}^P \rightarrow \overline{\Omega'}^P$.*

Proof. By Lemma 6.8, f induces a map from ends of Ω to ends of Ω' . As continuity is determined by inclusions of sets in chains and f as a homeomorphism preserves these inclusions, the extended f is also a homeomorphism.

To see that f maps prime ends to prime ends, suppose that $\mathcal{E}$ is a prime end of Ω . Then, by Lemma 6.8, $f(\mathcal{E})$ is an end of Ω' . If $\mathcal{F}$ is an end with $\mathcal{F}|f(\mathcal{E})$, then by applying Lemma 6.8 to f^{-1} , we see that $f^{-1}(\mathcal{F})$ is an end with $f^{-1}(\mathcal{F})|\mathcal{E}$. As $\mathcal{E}$ is prime, it follows that $\mathcal{E}|f^{-1}(\mathcal{F})$ as well, and so $f(\mathcal{E})|\mathcal{F}$. It follows that $f(\mathcal{E}) = \mathcal{F}$, so $f(\mathcal{E})$ is prime as $\mathcal{F}$ was arbitrary. $\square$

We end this paper by stating the following two open problems:

- 1 If Ω is a domain (open connected set) in a proper locally path-connected metric space and (Ω, d_M) is Ahlfors Q -regular and supports a Q -Poincaré inequality for some $Q > 1$, then could Ω have a prime end of type (b)?
- 2 If Ω and Ω' are domains that satisfy the hypotheses of this section and $f : \Omega \rightarrow \Omega'$ is geometrically quasiconformal, then is its homeomorphic extension $f : \overline{\Omega}^P \rightarrow \overline{\Omega'}^P$ also quasiconformal, and does quasiconformality make sense in this situation when $\overline{\Omega}^P$ and/or $\overline{\Omega'}^P$ are not metric spaces but are only topological spaces? If Ω and Ω' are Euclidean domains with $\overline{\Omega}^P = \overline{\Omega}$ and $\overline{\Omega'}^P = \overline{\Omega'}$ then the results of [JSm] give a criterion under which f extends to a quasiconformal mapping.

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