

1 Article

2 Validating the comparison framework for the finite 3 dimensions model of concentric ring electrodes using 4 human electrocardiogram data

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13 **Abstract:** While progress has been made in design optimization of concentric ring electrodes
14 maximizing the accuracy of the surface Laplacian estimation, it was based exclusively on the
15 negligible dimensions model of the electrode. Recent proof of concept of the new finite
16 dimensions model that adds the radius of the central disc and the widths of concentric rings to
17 the previously included number of rings and inter-ring distances provides an opportunity for
18 more comprehensive design optimization. In this study, aforementioned proof of concept was
19 developed into a framework allowing direct comparison of any two concentric ring electrodes of
20 the same size and with the same number of rings. Proposed framework is illustrated on constant
21 and linearly increasing inter-ring distances tripolar concentric ring electrode configurations and
22 validated on electrocardiograms from 20 human volunteers. In particular, ratios of truncation
23 term coefficients between the two electrode configurations were used to demonstrate similarity
24 between the negligible and the finite dimension models analytically ($p = 0.077$). Laplacian
25 estimates based on the two models were calculated on electrocardiogram data for emulation of
26 linearly increasing inter-ring distances tripolar concentric ring electrode. Difference between the
27 estimates was not statistically significant ($p \gg 0.05$) which is consistent with the analytic result.

28 **Keywords:** electrocardiography; electrophysiology; biopotentials; measurement; wearable sensors;
29 noninvasive; concentric ring electrodes; Laplacian; estimation; modeling.
30

31 1. Introduction

32 Surface bioelectric signals, such as electrocardiogram (ECG) or electroencephalogram became
33 an essential tool in clinical diagnosis. When recorded with conventional disc electrodes surface
34 bioelectric signals have outstanding temporal resolution but poor spatial one because of the blurring
35 effect. It is due to the configuration of conventional disc electrodes and different conductivities of the
36 body volume conductor [1,2]. To overcome this drawback, surface Laplacian estimation was
37 proposed. Surface Laplacian is the second spatial derivative of the surface potentials that acts as a
38 high-pass spatial filter [3] and allows diminishing the blurring effect of the volume conduction [4,5].
39 Laplacian estimation allows an improvement in picking up the bioelectric dipoles closest to the
40 electrodes and rejection of distant bioelectric dipole sources when compared to bipolar signals from
41 conventional disc electrodes [6].

42 Initially, Laplacian was estimated based on the surface potentials recorded via multiple single
43 pole electrodes and application of discretization techniques such as five-point method [7], Laplacian
44 triangular estimation [8] or the nine-point method [9]. Next, concentric ring electrodes (CREs) were

45 developed in different configurations (bipolar, quasi-bipolar, and tripolar) allowing direct estimation
46 of the surface Laplacian at each electrode by combining signals from all the recording surfaces.
47 Specifically, tripolar CREs (TCREs) allow more accurate surface Laplacian estimation when
48 compared to quasi-bipolar and bipolar configurations with increased spatial resolution [10],[11].
49 CREs implemented on rigid and later on flexible substrates [12,13] were used to record a wide variety
50 of human bioelectric signals such as electroencephalograms [14,15], electroenterograms [16,17],
51 electrohysterograms [18], and ECGs [19,20] that provide information regarding the electrical
52 conduction in the heart and the heart rate, enabling the diagnosis of a large range of cardiac
53 pathologies. Specifically, 12-lead short-term ECG recordings with conventional disc electrodes are
54 broadly used in clinics with benchtop equipment to diagnose a wide range of cardiac pathologies
55 that can be dangerous and even deadly such as bundle branch block, AV blocks, sinus bradycardia
56 or tachycardia and atrial/ventricular fibrillation [21].

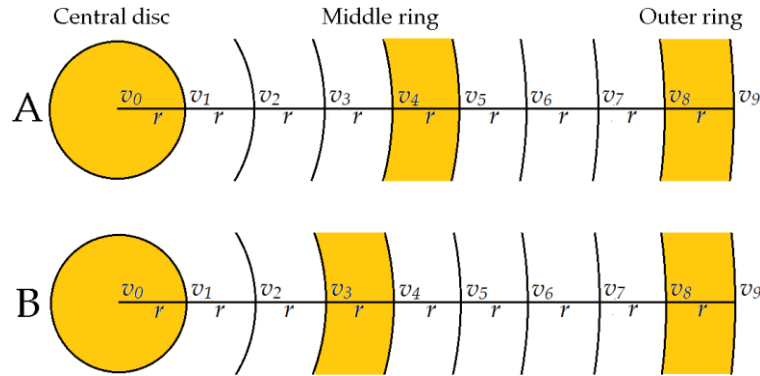
57 This paper continues a series of works concentrating on further improving the accuracy of
58 Laplacian estimation via CREs by optimizing the number of concentric rings [22] and inter-ring
59 distances (distances between the consecutive rings) [23,24]. Analytic results from [22–24] have been
60 validated using finite element method modeling. Moreover, in [12] stencil printed TCRE prototypes
61 resembling the linearly increasing inter-ring distances (LIIRD) design proposed in [23] were assessed
62 on human electroencephalogram, ECG, and electromyogram data with obtained results suggesting
63 enhanced spatial resolution and localization of signal sources. However, in [12] physical TCRE
64 prototype had a 1:3 ratio of inter-ring distances compared to the 1:2 ratio in the LIIRD design
65 proposed in [23]. More importantly, the Laplacian estimate equation used in [12] corresponded to a
66 constant inter-ring distances (CIRD) TCRE with 1:1 ratio of inter-ring distances. Furthermore, an
67 inherent limitation of [22–24] is the use of the negligible dimensions model (NDM) of a CRE where
68 the widths of concentric rings and the radius of the central disc are assumed to be negligible. In order
69 to optimize all of the CRE parameters simultaneously these parameters need to be included into the
70 general optimization problem similar to the NDM based one in [24] along with the number of rings
71 and the inter-ring distances. Such a comprehensive finite dimensions model (FDM) of a CRE would
72 build and improve upon all the previous NDM based findings from [22–24] and could be used to
73 optimize future CRE designs maximizing the accuracy of the surface Laplacian estimation. The first
74 step toward FDM has been taken in [25] by deriving a Laplacian estimate for a proof of concept TCRE
75 with nonnegligible radius of the central disc and widths of the concentric rings. This was
76 accomplished by representing the central disc of the TCRE as a cluster of points with specific radius
77 as opposed to the NDM representation via a single point [25]. Both concentric rings were also
78 represented by clusters of points with specific widths as opposed to the NDM representation via
79 concentric circles [25]. In this study the FDM proof of concept from [25] was developed into a
80 framework allowing direct comparison of any two CRE configurations of the same size and with the
81 same number of rings in terms of their accuracy of Laplacian estimation. This framework was applied
82 to two FDM based TCRE configurations: CIRD and LIIRD. Moreover, CIRD/LIIRD ratios of
83 truncation term coefficients for FDM based Laplacian estimates were compared to respective ratios
84 obtained for NDM based estimates in [23]. No statistically significant difference between the FDM
85 and NDM based ratios was found once they were adjusted to make the FDM and NDM TCRE
86 configurations comparable in terms of relation between absolute change between CIRD and LIIRD
87 configurations and the size of TCRE. Finally, this analytic result has been validated on human ECG
88 data ($N = 20$) using FDM and NDM based Laplacian estimate equations derived for a real life LIIRD
89 TCRE. Comparison between both the estimate equations and metrics calculated on resulting
90 Laplacian estimate signals has been drawn. No statistically significant differences have been found
91 confirming the analytic result of consistency between the FDM and the NDM for CIRD and LIIRD
92 TCREs considered.

93 2. Materials and Methods

94 2.1. Preliminaries

95 In Figure 1, the diagram represents two configurations of the FDM based TCRES: CIRD and
 96 LIIRD ones. Both configurations are subdivided into 9 intervals equal to r , both central discs have a
 97 radius of r , and all the concentric rings have a width of r . The CIRD configuration has each ring evenly
 98 spaced with inter-ring distances of $3r$. For the LIIRD configuration, the distance between the central
 99 disc and the middle ring is $2r$ and the distance between the middle ring and outer ring is two times
 100 larger at $4r$.

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Figure 1. Two FDM based TCRES configurations: CIRD (A) and LIIRD (B).

104 Table 1 displays the average potentials v_{kr} on each concentric circle with radius kr with k ranging
 105 from 1 to 9 (Figure 1) based on Huiskamp’s calculation of the Laplacian potential using Taylor series
 106 expansion from [9] (see [25] for more details on its derivation):

$$v_{kr} \cong v_0 + \frac{2 \cdot k^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot k^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot k^6}{4 \cdot 6!} r^6 T_6 \tag{1}$$

where

$$\Delta v_0 = \frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 v}{\partial y^2} \tag{2}$$

$$T_4 = \frac{\partial^4 v}{\partial x^4} + \frac{\partial^4 v}{\partial y^4} \tag{3}$$

$$T_6 = \frac{\partial^6 v}{\partial x^6} + \frac{\partial^6 v}{\partial y^6} \tag{4}$$

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The following notations are used in equation (1) and in Table 1: Δv_0 is the surface Laplacian potential at the point with potential v_0 [equation (2)] and T_4 and T_6 are the fourth [equation (3)] and the sixth [equation (4)] order truncation terms respectively.

Table 1. Taylor series for concentric circles.

Concentric circle radius	Taylor series for concentric circle
r	$v_r \cong v_0 + \frac{2 \cdot 1^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 1^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 1^6}{4 \cdot 6!} r^6 T_6$
$2r$	$v_{2r} \cong v_0 + \frac{2 \cdot 2^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 2^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 2^6}{4 \cdot 6!} r^6 T_6$
$3r$	$v_{3r} \cong v_0 + \frac{2 \cdot 3^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 3^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 3^6}{4 \cdot 6!} r^6 T_6$

Concentric circle radius	Taylor series for concentric circle
4r	$v_{4r} \cong v_0 + \frac{2 \cdot 4^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 4^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 4^6}{4 \cdot 6!} r^6 T_6$
5r	$v_{5r} \cong v_0 + \frac{2 \cdot 5^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 5^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 5^6}{4 \cdot 6!} r^6 T_6$
6r	$v_{6r} \cong v_0 + \frac{2 \cdot 6^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 6^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 6^6}{4 \cdot 6!} r^6 T_6$
7r	$v_{7r} \cong v_0 + \frac{2 \cdot 7^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 7^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 7^6}{4 \cdot 6!} r^6 T_6$
8r	$v_{8r} \cong v_0 + \frac{2 \cdot 8^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 8^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 8^6}{4 \cdot 6!} r^6 T_6$
9r	$v_{9r} \cong v_0 + \frac{2 \cdot 9^2}{4 \cdot 2!} r^2 \Delta v_0 + \frac{2 \cdot 9^4}{4 \cdot 4!} r^4 T_4 + \frac{2 \cdot 9^6}{4 \cdot 6!} r^6 T_6$

111 2.2. Deriving Laplacian Estimates for Real Life LIIRD TCRE Based on NDM and FDM

112 For the case of NDM, in [24] the method of optimal surface Laplacian estimation was proposed
 113 for a TCRE with concentric ring radii ar and r where coefficient α satisfies $0 < \alpha < 1$. The method is
 114 based on using the matrix of truncation term coefficients ($\alpha^4 \cdot 1$) to solve for its null space vector ($-1/$
 115 $\alpha^4, 1$) followed by linearly combining two bipolar signals corresponding to differences between
 116 concentric ring and central disc potentials into a Laplacian estimate with the null space vector used
 117 as linear combination coefficients [24]. For the physical LIIRD TCRE we applied this method to actual
 118 TCRE measurements including middle ring radii (9.6 mm inner and 10.8 mm outer; Table 2) and
 119 outer ring radii (21.6 mm inner and 22.8 mm outer; Table 2) as well as to radii corresponding to the
 120 center of each ring (10.2 mm for the middle ring and 22.2 mm for the outer one).

121 **Table 2.** CRE dimensions and nomenclature of the biopotentials used for Laplacian estimation.

	Radius (mm)		Biopotential	Laplacian estimate
	Inner	Outer		
Central disc	N/A	4.8	U_1	LIIRD TCRE
Hook 1	9.6	10.8	U_2	LIIRD TCRE
Hook 2	15.6	16.8	U_3	-
Hook 3	21.6	22.8	U_4	LIIRD TCRE
Hook 4	27.6	28.8	U_5	-

(analog ground)

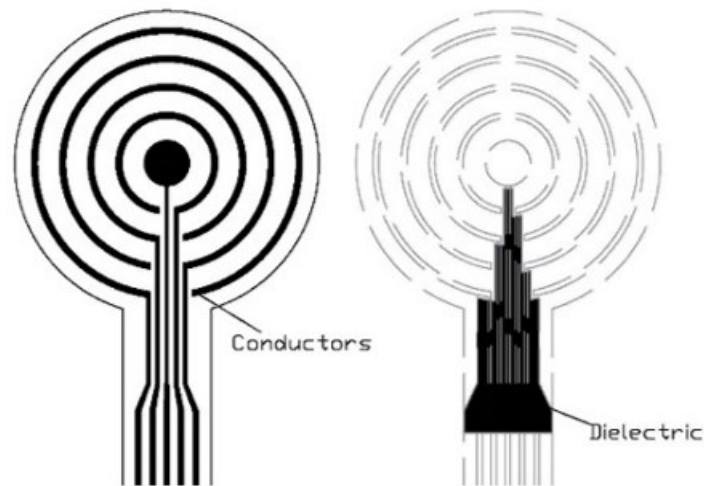
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 123 For the case of FDM, steps similar to the ones in subsection 3.1 below were used to find the
 124 coefficients of the Laplacian estimate.

125 2.3. Human ECG Data Collection and Processing

126 2.3.1. Sensor Node

127 A wireless sensor node was used to pick up bipolar ECG signals. The node consisted of a flexible
 128 disposable CRE and electronic circuitry performing processing, digitization, and transmission of
 129 three bipolar ECG analogue signals [26]. The CRE consisted of a central disc and four hooks
 130 (analogous to the concentric rings) in a bilayer design (Figure 2). The CRE dimensions were chosen
 131 based on the distance between the chest surface and the heart wall being equal to approximately 3-5

132 centimeters [27,28]. Screen-printing technology was employed to produce the electrodes, printing a
 133 biocompatible silver paste onto a flexible polyester film [26].



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Figure 2. Bilayer design of the multi-ring concentric electrode (conductors and dielectric).

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The sensor node from Figure 2 provided three bipolar ECG signals from the CRE including $U_2 - U_1$, $U_3 - U_1$, and $U_4 - U_1$ where U_1 , U_2 , U_3 and U_4 were the biopotentials captured by the disc and the three central hooks from inside to outside respectively (Table 2). To reduce common mode interference, the outer hook was connected to the analog ground. No external reference electrode was used. The analog signal processing consisted of amplification of 4084 V/V and bandpass filtering between 0.3 and 150 Hz. Then signals were digitized at 500 Hz with 24-bit resolution. The sensor node permitted storing the signals locally on a microSD card or transmitting them wirelessly via Bluetooth. Sensor node was powered by a 3.7 V rechargeable battery.

2.3.2. ECG Signal Recording Protocol

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ECG recordings were carried out at the Juana Portaceli Health Center of the Universitat Politècnica de València on twenty volunteers: 17 males and 3 females with ages between 20 and 70 years old (mean \pm standard deviation: 36 ± 14.1) and body mass indices between 19 and 33 Kg/m² (mean \pm standard deviation: 25.1 ± 3.2 Kg/m²) who attended routine check-ups, some of them healthy and others with cardiac pathologies. The study was approved by the institutional Ethics Committee (project identification code P4_20_02_19) and adheres to the Declaration of Helsinki. Volunteers were informed of the aim of the study, briefed on the recording protocol, and signed the informed consent forms.

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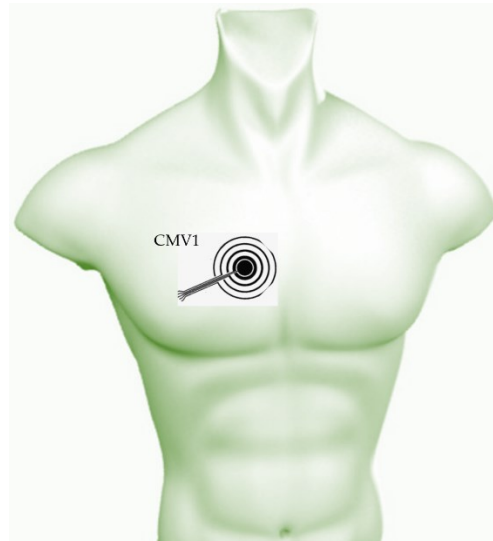
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Recordings were conducted with volunteers at rest, lying on a stretcher in supine position. The sensor node was placed at CMV1 (location comparable to conventional precordial V1, 4th intercostal space to the right of the sternum) for each patient as shown in Figure 3. This location was chosen to make picking up electrical signals from both atria and ventricle easier since atrial activity is noticeably weaker than that of the ventricle. Previously, to reduce contact impedance, the skin area was slightly exfoliated (Nuprep, Weaver and Company, USA) and, in the case of male volunteers, shaved. Five minutes of bipolar ECG signals were recorded for each volunteer.



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161 **Figure 3.** Schematic of the placement of the wireless sensor node at position CMV1 (comparable to
 162 V1) for ECG recording.

163 2.3.3. ECG Data Analysis

164 Two bipolar ECG signals selected to emulate LIIRD TCRE ($U_2 - U_1$ and $U_4 - U_1$) were digitally
 165 high pass filtered (0.3 Hz, fifth-order zero-phase Butterworth filter) to reduce remaining baseline
 166 drifts. Subsequently, two surface Laplacian estimates were obtained, one for the NDM and one for
 167 the FDM, according to equations derived in subsection 3.4 below.

168 ECG fiducial points were identified by detecting the R-wave in both Laplacian estimate signals
 169 using Hamilton & Tompkins algorithm [29]. The average beat (\overline{ECG}) for each subject was computed
 170 in a 60 s window, covering from 250 ms prior to 375 ms after the R-wave. To compare the two
 171 Laplacian estimates the average values of the following parameters were computed for each
 172 recording session:

- 173 • Amplitude of the QRS complex of \overline{ECG} ; computed as the peak-to-peak amplitude of \overline{ECG} in the
 174 interval [-90 ms, +90 ms] of the detected R-wave.
- 175 • Normalized amplitude of the P- and T-waves with respect to the peak to peak amplitude of QRS
 176 [30]. P- and T-wave amplitude computed as the peak-to-peak amplitude of \overline{ECG} in the interval
 177 [-250 ms, -90 ms] and [+90 ms, 375 ms] of the detected R-wave. The higher the normalized
 178 amplitude of the wave, the easier it is to identify and to assess its morphology, which is helpful
 179 for diagnosis of cardiac pathologies;
- 180 • Signal-to-noise ratio: ratio of the peak-to-peak amplitude value of \overline{ECG} and the root mean
 181 square value of the noise during the isoelectric interval between beats, the latter being computed
 182 for all the isoelectric intervals in the 60 s window.

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$$SNR (dB) = 20 \cdot \log_{10} \left(\frac{v_{PP}(\overline{ECG})}{v_{RMS}(noise)} \right) \quad (5)$$

184 3. Results

185 3.1. Establishing the Comparison Framework

186 To establish the comparison framework under the FDM the surface Laplacian estimates for the
 187 two TCRE configurations from Figure 1 are derived first. Derivation starts with calculating the three
 188 potentials on the TCRE surfaces: the central disc, middle ring and outer ring.

189 The potential on the central disc v_{CD} with radius equal to r (Figure 1) is equal to the average of
 190 the potential v_0 at the center of the disc and the potential v_r on the concentric circle with radius r . The
 191 central disc potential is the same for both CIRD and LIIRD TCRC configurations as shown in Fig 1.

$$v_{CD} = \frac{v_0 + v_r}{2} \cong v_0 + \frac{1}{8} r^2 \Delta v_0 + \frac{1}{96} r^4 T_4 + \frac{1}{2880} r^6 T_6 \quad (6)$$

192 CIRD and LIIRD middle ring potentials are different. In Figure 1 the CIRD configuration places
 193 the middle ring between concentric circles with radii of $4r$ and $5r$ so the average of v_{4r} and v_{5r} is
 194 calculated.

$$v_{MR}^{CIRD} = \frac{v_{4r} + v_{5r}}{2} \cong v_0 + \frac{41}{8} r^2 \Delta v_0 + \frac{881}{96} r^4 T_4 + \frac{19721}{2880} r^6 T_6 \quad (7)$$

195 However, for the LIIRD configuration the average of potentials v_{3r} and v_{4r} is calculated instead.

$$v_{MR}^{LIIRD} = \frac{v_{3r} + v_{4r}}{2} \cong v_0 + \frac{25}{8} r^2 \Delta v_0 + \frac{337}{96} r^4 T_4 + \frac{965}{576} r^6 T_6 \quad (8)$$

196 Next, for both LIIRD and CIRD configurations the outer ring potential v_{OR} is calculated as the
 197 average of the potentials on concentric circles with radii of $8r$ and $9r$.

$$v_{OR} = \frac{v_{8r} + v_{9r}}{2} \cong v_0 + \frac{145}{8} r^2 \Delta v_0 + \frac{10657}{96} r^4 T_4 + \frac{158717}{576} r^6 T_6 \quad (9)$$

198 Finally, v_0 is canceled out. This is accomplished by taking the bipolar difference between v_{CD} and
 199 v_{MR} . Since there are two different equations for the middle ring potential, the CIRD configuration is
 200 considered first.

$$v_{MR}^{CIRD} - v_{CD} \cong 5r^2 \Delta v_0 + \frac{55}{6} r^4 T_4 + \frac{493}{72} r^6 T_6 \quad (10)$$

201 Followed by the LIIRD configuration.

$$v_{MR}^{LIIRD} - v_{CD} \cong 3r^2 \Delta v_0 + \frac{7}{2} r^4 T_4 + \frac{67}{40} r^6 T_6 \quad (11)$$

202 Bipolar difference between the outer ring potential v_{OR} and the central disc potential v_{CD} which
 203 is the same for both configurations is taken as well.

$$v_{OR} - v_{CD} \cong 18r^2 \Delta v_0 + 111r^4 T_4 + \frac{5511}{20} r^6 T_6 \quad (12)$$

204 These three bipolar differences are combined linearly to cancel out the 4th order truncation term
 205 T_4 and provide the surface Laplacian estimates for CIRD and LIIRD configurations.

$$\Delta v_0^{CIRD} \cong \frac{1}{r^2} \left[\frac{37}{130} (v_{MR}^{CIRD} - v_{CD}) - \frac{11}{468} (v_{OR} - v_{CD}) \right] + \frac{163}{36} r^4 T_6 \quad (13)$$

$$\Delta v_0^{LIIRD} \cong \frac{1}{r^2} \left[\frac{37}{90} (v_{MR}^{LIIRD} - v_{CD}) - \frac{7}{540} (v_{OR} - v_{CD}) \right] + \frac{173}{60} r^4 T_6 \quad (14)$$

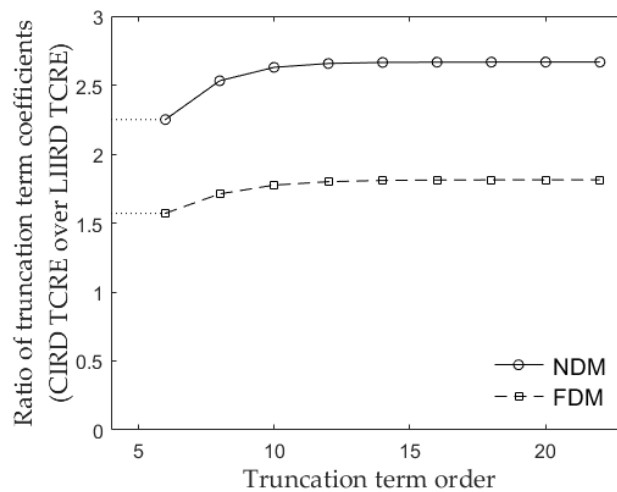
206 Considering the ratio of the respective 6th order truncation term (T_6) coefficients for CIRD and
 207 LIIRD configurations the truncation error corresponding to the CIRD configuration is estimated to
 208 be 1.57 times greater than that of the LIIRD configuration.

$$\frac{163}{36} \div \frac{173}{60} = \frac{815}{519} = 1.57 \tag{15}$$

209 Such ratios of truncation term coefficients for the lowest remaining truncation term order allow
 210 comparing any two TCRE configurations of the same size and with the same number of rings directly
 211 in terms of truncation error since according to [31] for Taylor series “higher-order terms usually
 212 contribute negligibly to the final sum and can be justifiably discarded.”

213 *3.2. Comparing Truncation Term Coefficient Ratios for the NDM and the FDM*

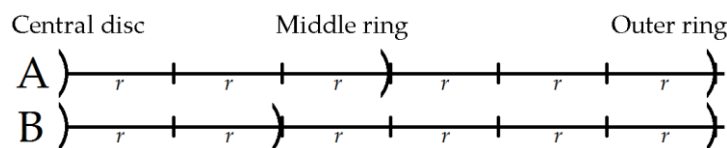
214 FDM based coefficients for truncation terms of orders ranging from 8 to 22 were calculated in a
 215 manner identical to that of the sixth order truncation term coefficients [equations (6)-(15)] above.
 216 Coefficient ratios (CIRD over LIIRD) for the full range of truncation term orders (6 to 22) were
 217 compared to the respective NDM based ratios calculated in [23]. The resulting comparison is
 218 presented in Figure 4.



219 **Figure 4.** Ratios of truncation term coefficients for term orders 6 to 22 (CIRD TCRE over LIIRD TCRE)
 220 based on the NDM and the FDM respectively.
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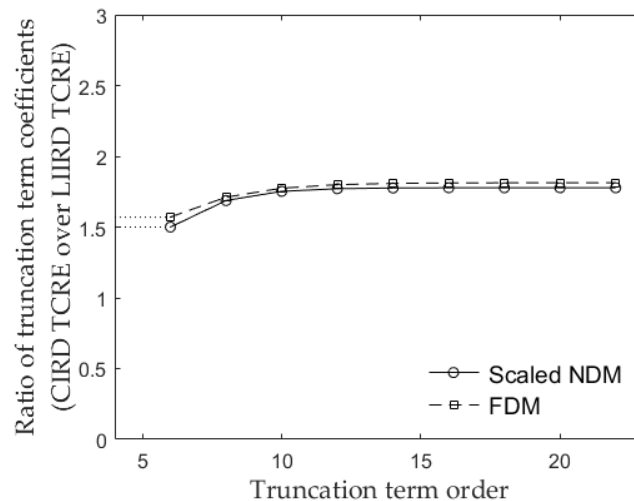
222 *3.3. Relating the Difference in Truncation Term Coefficient Ratios to the Difference in TCRE Configurations*
 223 *Between the NDM and the FDM*

224 As seen in Figure 4, while the general shapes of the curves corresponding to the truncation term
 225 coefficient ratios based on the NDM and the FDM are consistent, there is a substantial difference in
 226 scale. This difference arises because the ratios for the NDM were based on TCRE setups presented in
 227 Figure 5 [23].



228 **Figure 5.** Relative locations of rings with respect to the central disc for CIRD (A) and LIIRD (B) NDM
 229 based TCRE configurations.
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231 Comparing Figures 1 and 5 it can be noticed that the difference between the middle rings in two
 232 TCRE configurations (CIRD versus LIIRD) is equivalent to a distance of r . However, while in Figure
 233 1 that distance represents $1/9$ of the radius of the TCRE (equal to $9r$), in Figure 5 it represents $1/6$
 234 of the radius of the TCRE (equal to $6r$). To make CRE configurations for the NDM and the FDM
 235 comparable, the latter would need to be scaled by a factor of $2/3$ since $(1/6) * (2/3) = 1/9$. The revised
 236 comparison from Figure 4 with the curve corresponding to the NDM based truncation term
 237 coefficient ratios scaled by a factor of $2/3$ is presented in Figure 6.



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Figure 6. Ratios of truncation term coefficients for term orders 6 to 22 (CIRD TCRE over LIIRD TCRE) based on the scaled (by a factor of 2/3) NDM and the FDM respectively.

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As shown in Figure 6, once the scale is adjusted for the NDM to make CRE configurations in Figs. 1 and 5 directly comparable, respective ratios of truncation term coefficients for the scaled NDM and the FDM differ by less than 5%. Non parametric Wilcoxon rank sum test (equivalent to a Mann-Whitney U-test) has been used to confirm that there is no statistically significant difference between the aforementioned ratios ($p = 0.077$) after confirming that data did not come from a normal distribution using Lilliefors test.

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3.4. NDM and FDM Based Laplacian Estimates for Real Life LIIRD TCRE

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Laplacian estimate coefficients are not unique for both NDM and FDM. For NDM they are determined as a null space vector of a truncation term coefficient matrix and therefore are not unique [22]. For FDM, as shown in subsection 3.1 they are also a solution of a system of linear equations. Since in both cases Laplacian estimate coefficients are determined up to (multiplication by) a constant factor they were scaled both for the NDM and for the FDM to a unit value of the first coefficient to allow direct comparison.

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Since one of the main limitations of the NDM are the negligible widths of the concentric rings, the Laplacian estimate coefficients were calculated as null spaces of the respective truncation term coefficient matrices [24] for three scenarios: separately for inner and outer radii of the two rings and for radii corresponding to the center of each ring since if each ring had to be represented as a circle with negligible width then the natural point estimate would have been the average of ring's inner and outer radii. The average of the coefficients obtained for the three scenarios yielded coefficients (1, -0.0446417) for the LIIRD TCRE case equivalent to the following NDM based Laplacian estimate:

$$\begin{aligned} \Delta v_0^{NDM} &\cong 1 * (v_{MR} - v_{CD}) - 0.0446417 * (v_{OR} - v_{CD}) = \\ &= (U_2 - U_1) - 0.0446417 * (U_4 - U_1) \end{aligned} \tag{16}$$

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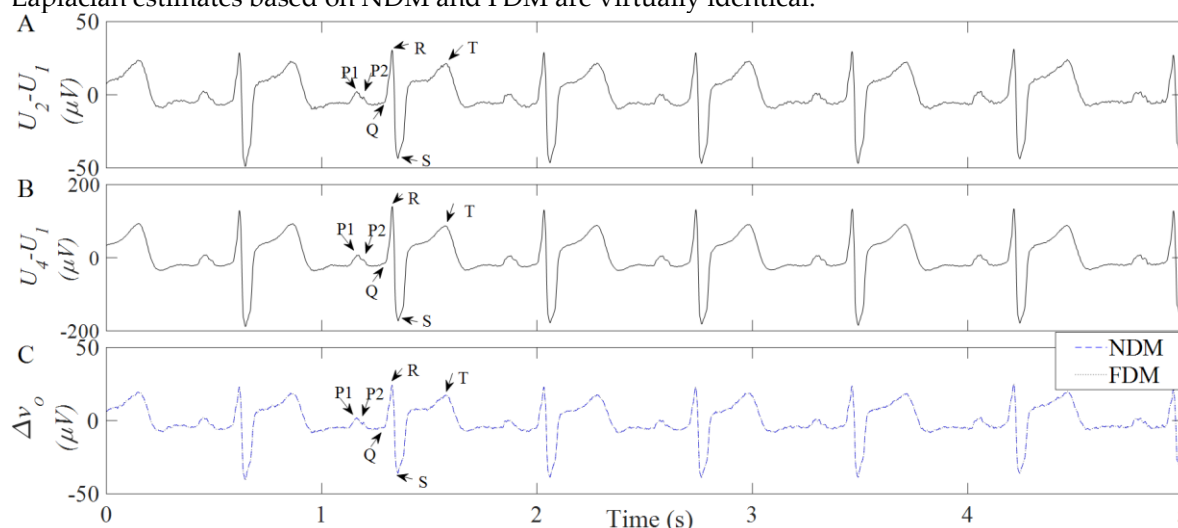
For the FDM Laplacian estimate, first, the outer radius of the outer ring (radius of the LIIRD TCRE) was set equal to a large arbitrary numeric constant (e.g. 50,000). Next, the radius of the central disc and inner and outer radii of both concentric rings were expressed as integer fractions of this constant based on their actual dimensions from Table 2. For example, the radius of the central disc was set equal to $4.8 * 50,000 / 22.8 \approx 10,526$. Finally, potentials on all the recording surfaces were calculated as averages of potentials on all the concentric circles included in them. For example, potential on the central disc was calculated as the arithmetic mean of 10,527 potentials including one at the center of the disc and potentials on concentric circles with radii up to 10,526. Potentials on the recording surfaces were combined in the manner identical to the equations (10)-(15) from subsection 3.1 above to obtain coefficients (1, -0.0443895) for the LIIRD TCRE case equivalent to the following FDM based Laplacian estimate:

$$\begin{aligned} \Delta v_0^{FDM} &\cong 1 * (v_{MR} - v_{CD}) - 0.0443895 * (v_{OR} - v_{CD}) \\ &= (U_2 - U_1) - 0.0443895 * (U_4 - U_1) \end{aligned} \tag{17}$$

272 It can be seen that the two resulting Laplacian estimates for the LIIRD TCRE based on the NDM
 273 and the FDM [equations (16) and (17) respectively] are virtually identical with the coefficient at ($U_4 -$
 274 U_1) differing by less than 0.6%.

275 *3.5. Assessing NDM and FDM Based Laplacian Estimates for Real Life LIIRD TCRE on Human ECG Data*

276 Figure 7 shows 5 s of ECG signals recorded at CMV1. The bipolar signals ($U_2 - U_1$ and $U_4 - U_1$)
 277 needed for the computation of the Laplacian estimates for emulation of LIIRD TCRE are shown in
 278 black in top and middle panels. Laplacian estimates based on NDM (dotted line) and FDM (dashed
 279 line) models are shown in the bottom panel. Signals are of low amplitude (tens of microvolts). The P-
 280 and T-waves and the QRS complex can be clearly observed in all panels. In fact, the P1- and P2-waves
 281 of left and right atrial activity can be identified. As expected based on the equations (16) and (17),
 282 Laplacian estimates based on NDM and FDM are virtually identical.



283
 284 **Figure 7.** ECG signals: recorded bipolar signals $U_2 - U_1$ and $U_4 - U_1$ (panels **A** and **B** respectively) and
 285 Laplacian estimates based on NDM and FDM (panel **C**).

286 Laplacian estimate signal metrics are summarized in Table 3. Estimates based on NDM and FDM
 287 presented very similar results with no statistically significant differences (Wilcoxon test following
 288 Lilliefors test to assess normality, $p \gg 0.05$). The mean QRS amplitude is low ($18.6 \mu V$) and presents
 289 high variability ($28.4 \mu V$). A mean signal-to-noise ratio of around 20 dB was obtained for both signals.
 290 Mean normalized P-wave amplitude was equal to 0.256 and 0.253 for NDM and FDM based
 291 Laplacian estimates respectively. Normalized amplitude for T-wave was also similar (0.37 and 0.36)
 292 for both estimates.

293 **Table 3.** Laplacian estimate signal metrics (mean \pm standard deviation, $N = 20$).

Δv_0	Amplitude QRS (μV)	Normalized Amplitude P-wave	Normalized Amplitude T-wave	Signal-to-noise ratio (dB)
NDM	18.59 ± 28.40	0.256 ± 0.145	0.367 ± 0.260	20.05 ± 8.29
FDM	18.60 ± 28.40	0.253 ± 0.141	0.362 ± 0.264	19.94 ± 8.48

294 **4. Discussion**

295 Steps similar to the ones in [25] can be used to estimate the Laplacian for any multipolar CRE
 296 configuration where the widths of concentric rings and the radius of the central disc are nonnegligible

297 (FDM). In this study, the proof of concept from [25] has been developed into a framework for direct
298 comparison of any two specific CRE configurations of the same size and with the same number of
299 concentric rings. Ratios of the lowest remaining order truncation term coefficients were used as a
300 measure to base the comparison on since in [23] and [24] these ratios have been shown (using finite
301 element method modeling) to be a predictor of the corresponding Laplacian estimation error.
302 Namely, differences of less than 5% between the modeling derived ratios of Relative and Maximum
303 Errors of Laplacian estimation and respective analytic ratios of truncation term coefficients have been
304 reported for combinations of LIIRD, CIRD, and linearly decreasing inter-ring distances TCRES and
305 quadripolar CREs [23] as well as for their quadratically increasing inter-ring distances counterparts
306 [24]. Proposed comparison framework is implemented for the LIIRD and CIRD TCRES configurations.
307 Obtained results confirm that a relatively small change in the geometry of the TCRES (moving the
308 middle ring from $3r-4r$ to $4r-5r$ for a TCRES with radius of $9r$ in Figure 1) increases the Laplacian
309 estimation error by more than 50% (approximately 1.57 times greater for the CIRD TCRES compared
310 to the LIIRD one).

311 Moreover, this study directly compared the truncation term coefficient ratios obtained for the
312 FDM TCRES configurations with the respective ratios obtained for the NDM in [23]. Once the scale
313 was adjusted to make the TCRES configurations for the FDM (Figure 1) and the NDM (Figure 5)
314 comparable there was no statistically significant difference between the truncation term coefficient
315 ratios from Figure 6. This comparison provides insight into the effect of including additional
316 parameters such as the radius of the central disc and the widths of concentric rings into the CRE
317 model. The fact that for TCRES configurations assessed in the current study the ratios of truncation
318 term coefficients corresponding to FDM and NDM respectively differed by less than 5% suggests
319 consistency between the two models. This is to be expected since FDM and NDM are also consistent
320 in terms of the highest truncation term order ($2n$) that can be cancelled out for a CRE with n rings as
321 was shown in [22] and [25] respectively. However, an argument can be made that this may change
322 for CRE designs that are drastically different from the NDM. For example, CRE design with variable
323 widths of individual concentric rings that are significant compared to the total radius of the CRE. For
324 such a CRE design only FDM is likely to produce meaningful results.

325 Finally, an intuitive result that larger differences between CRE configurations may result in
326 larger differences in respective Laplacian estimation errors was suggested. For example, difference
327 between the CIRD and the LIIRD configurations equivalent to $1/9$ of the radius of the TCRES in case
328 of FDM (Figure 1) resulted in a smaller CIRD over LIIRD error ratio of 1.57 while a more substantial
329 difference of $1/6$ of the radius of the TCRES between the same two TCRES configurations in case of
330 NDM (Figure 5) resulted in a larger CIRD over LIIRD error ratio of 2.25.

331 Reported Laplacian ECG signals via LIIRD TCRES emulation were of low amplitude (tens of
332 microvolts) as reported by other authors [20,28] but of good quality as described below. As reported
333 in [26], differences in the physiological constitution and variability of the relative position and
334 orientation of the heart with respect to the CRE for different subjects, exacerbated by enhanced spatial
335 resolution of CREs in comparison with conventional disc electrodes, are likely to be responsible for
336 high standard deviation of the amplitude of cardiac vectors. The signal-to-noise ratio was of about
337 20dB, similar to other studies on bipolar ECG signals via CREs on textile [13] and polyester [26]
338 substrates. The main cardiac vectors were easily identifiable visually. The visualization of the PQRST
339 peaks is very important for clinical diagnosis. The P-waves which are associated to atrial activity are
340 the lowest ECG peaks and the most challenging to identify. This is because the atrial activation
341 involves fewer cardiac cells than the ventricular ones. In this context, the position of the recording
342 electrode and its spatial resolution play an important role in providing a good contrast in the
343 monitoring of atrial cardiac activity. Normalized P-wave amplitude to the full ECG peak-to-peak
344 amplitude or to the QRS amplitude are commonly used to quantify this contrast. In traditional 12-
345 lead ECG recordings, the highest normalized P-wave amplitudes are obtained in Lead I, Lead III and
346 V1 [30], with values between 0.09 and 0.14. In the case of CREs, highest contrast is obtained in position
347 equivalent to V1. Bipolar ECG signals picked up at that area have yielded normalized P-wave values
348 of 0.18 [12] and 0.16 [20] with electrodes of external diameter equal to 15 mm and 42 mm respectively.

349 In this study, normalized P-wave amplitude of 0.25 was obtained for LIIRD TCRE emulation with
350 external diameter equal to 45.6 mm. Although a larger electrode size corresponds to worse spatial
351 resolution associated with a given recording configuration (bipolar, tripolar ...) [26,27], the greater
352 contrast obtained in the P-wave with the LIIRD TCRE configuration suggests better spatial resolution
353 of this configuration compared to the bipolar one which is consistent with the finite element method
354 modeling results from [23]. This improved ability to capture atrial activity facilitates its study,
355 providing a tool of great clinical interest. LIIRD TCRE could provide more accurate cardiac activation
356 patterns over bipolar concentric ECG and 12-lead ECG, and facilitate the diagnosis of atrial
357 fibrillation in which a standard 12-lead ECG is insufficient to guide clinical management [32]. In fact,
358 in a very recent study LIIRD TCRE provided the highest P-wave contrast (normalized amplitude)
359 compared to bipolar, linearly decreasing inter-ring distances TCRE, and quadripolar Laplacian
360 estimates at CMV1 with significant differences from all the 12-lead standard ECG signals [33]. This
361 ability is not compromised by obtaining the LIIRD TCRE Laplacian estimate using NDM or FDM,
362 since they provide almost identical results in the equation coefficients and, therefore, in estimate
363 signals obtained using equations (16) and (17) respectively. On the other hand, having used a high-
364 pass filter for the elimination of baseline drifts may have slightly reduced the relative amplitude of
365 the low frequency cardiac components such as the P-wave and the T-wave. Use of more modern
366 signal processing techniques [34], that respect to a greater extent the cardiac components, could lead
367 to a greater contrast in the study of these waves.

368 The limitation of this study is that, for the validation on human ECG data, emulation of CIRD
369 TCRE of the same size as the emulation of LIIRD TCRE using the real life CRE in Figure 2 was not
370 feasible. The only other TCRE configuration of the same size that could be emulated was the linearly
371 decreasing inter-ring distances one (using bipolar ECG signals $U_3 - U_1$ and $U_4 - U_1$) from [23].
372 However, with the NDM and FDM based Laplacian estimates for LIIRD TCRE being virtually
373 identical there is no reason to expect the NDM and FDM based Laplacian estimates for CIRD TCRE
374 to be significantly different. Therefore, the CIRD over LIIRD truncation term coefficient ratios (based
375 on NDM and FDM) corresponding to the ratios of truncation and, therefore, of Laplacian estimation
376 errors will also be virtually identical in case when both the numerators and the denominators are.
377 This human ECG data based result is consistent with the analytic result from Figure 6.

378 In the future, this comparison framework will be developed into a comprehensive optimization
379 problem including and directly comparing all the possible CRE designs of the same size and with the
380 same number of rings. If this FDM based optimization problem cannot be solved analytically it will
381 be solved numerically as was the NDM based one in [24] for a wider range of numbers of rings and
382 percentile values. Solutions of this problem could inform the design of CREs by maximizing the
383 accuracy of their Laplacian estimates and could be particularly useful for designs dissimilar to the
384 overly simplified NDM. Second direction of future work is related to investigating the potential of
385 using fractional Laplacian for electrophysiological measurement applications instead of currently
386 used regular Laplacian [35].

387 5. Conclusions

388 In this study, a CRE comparison framework was proposed and validated on human ECG data.
389 This analytic framework is based on FDM and allows direct comparison of any two CRE
390 configurations of the same size and with the same number of rings but with different inter-ring
391 distances, radii of the central disc, and the widths of concentric rings in terms of the accuracy of their
392 respective Laplacian estimates. The main advantage of such framework stems from its potential to
393 form the basis for future comprehensive optimization of CRE design where all the possible CRE
394 configurations of the same size and with the same number of rings are compared to select
395 configurations providing the highest accuracy of Laplacian estimation. With the ability to estimate
396 the Laplacian accurately at each electrode constituting the primary biomedical significance of CREs,
397 reported human Laplacian ECG signals from 20 volunteers were not just consistent with the analytic
398 results but also showed high P-wave contrast suggesting improved spatial resolution. Resulting

399 better ability to capture atrial activity could facilitate its study and reduce the need of digital
400 processing for further improvement, thus being of interest for real-time clinical diagnosis systems.

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403 Methodology, Oleksandr Makeyev and Javier Garcia-Casado; Software, Yiyao Ye-Lin; Supervision, Oleksandr
404 Makeyev and Javier Garcia-Casado; Validation, Mark Musngi and Larry Moore; Writing – original draft,
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