

RESEARCH ARTICLE | *Respiration*

# Structure and function of crocodilian hemoglobins and allosteric regulation by chloride, ATP, and CO<sub>2</sub>

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**Fago A, Natarajan C, Pettinati M, Hoffmann FG, Wang T, Weber RE, Drusin SI, Issoglio F, Martí MA, Estrin D, Storz JF.** Structure and function of crocodilian hemoglobins and allosteric regulation by chloride, ATP, and CO<sub>2</sub>. *Am J Physiol Regul Integr Comp Physiol* 318: R657–R667, 2020. First published February 5, 2020; doi:10.1152/ajpregu.00342.2019.—Hemoglobins (Hbs) of crocodilians are reportedly characterized by unique mechanisms of allosteric regulatory control, but there are conflicting reports regarding the importance of different effectors, such as chloride ions, organic phosphates, and CO<sub>2</sub>. Progress in understanding the unusual properties of crocodilian Hbs has also been hindered by a dearth of structural information. Here, we present the first comparative analysis of blood properties and Hb structure and function in a phylogenetically diverse set of crocodilian species. We examine mechanisms of allosteric regulation in the Hbs of 13 crocodilian species belonging to the families Crocodylidae and Alligatoridae. We also report new amino acid sequences for the  $\alpha$ - and  $\beta$ -globins of these taxa, which, in combination with structural analyses, provide insights into molecular mechanisms of allosteric regulation. All crocodilian Hbs exhibited a remarkably strong sensitivity to CO<sub>2</sub>, which would permit effective O<sub>2</sub> unloading to tissues in response to an increase in metabolism during intense activity and diving. Although the Hbs of all crocodilians exhibit similar intrinsic O<sub>2</sub>-affinities, there is considerable variation in sensitivity to Cl<sup>−</sup> ions and ATP, which appears to be at least partly attributable to variation in the extent of NH<sub>2</sub>-terminal acetylation. Whereas chloride appears to be a potent allosteric effector of all crocodile Hbs, ATP has a strong, chloride-independent effect on Hb-O<sub>2</sub> affinity only in caimans. Modeling suggests that allosteric ATP binding has a somewhat different structural basis in crocodilian and mammalian Hbs.

adaptation; allostery; blood; oxygen transport; reptile

## INTRODUCTION

Among vertebrate hemoglobins (Hbs), those of crocodilians are renowned for their unique allosteric mechanism for regu-

lating O<sub>2</sub>-binding affinity. In most vertebrates, the O<sub>2</sub> affinity of Hb is tightly controlled by changes in the red blood cell (RBC) concentration of allosteric cofactors such as organic phosphates, chloride ions, and CO<sub>2</sub>, each of which bind to specific (nonheme) sites on the Hb and stabilize the low-affinity T state relative to the high-affinity R state. Changes in the RBC concentrations of these cofactors shift the allosteric T $\leftrightarrow$ R equilibrium of the Hb, thereby modulating O<sub>2</sub> uptake and delivery. In contrast to the Hbs of other jawed vertebrates, those of crocodilians are reportedly unique in that O<sub>2</sub> affinity is responsive to changes in the RBC concentration of bicarbonate ions but not to changes in the concentration of molecular CO<sub>2</sub> (2, 44). Responsiveness to bicarbonate ions has been documented for hagfish Hbs (15), but it is not a property of other vertebrate Hbs that have been examined to date.

In crocodilian Hbs, the affinity-reducing effect of bicarbonate should enhance O<sub>2</sub> unloading from the blood when plasma bicarbonate levels are elevated, which may occur as a result of metabolic compensation to respiratory acidosis, as during diving. The bicarbonate sensitivity of crocodilian Hb has also been suggested to ensure O<sub>2</sub> delivery to sustain the increase in metabolism associated with digestion (61). Alligators, for example, experience a postprandial “alkaline tide” as the secretion of gastric acid produces an elevation of plasma bicarbonate (10, 11). However, the alkalinization of the blood is ameliorated by a postprandial elevation of P<sub>CO2</sub> due to hypoventilation, so changes in blood-O<sub>2</sub> affinity during digestion may not be very dramatic (7).

The sensitivity to bicarbonate ions and insensitivity to CO<sub>2</sub> was originally described for the Hb of a single crocodilian species, the spectacled caiman (*Caiman crocodilus*) (2). However, subsequent studies on the Hbs of American alligator (*Alligator mississippiensis*) and dwarf caiman (*Paleosuchus palpebrosus*) have provided evidence for a direct CO<sub>2</sub> effect (26, 59), which suggests the possibility of carbamino formation from the reaction between CO<sub>2</sub> and the NH<sub>2</sub> termini of the  $\alpha$ - and  $\beta$ -chain Hb subunits. In addition to discrepancies in the literature regarding the allosteric effects of CO<sub>2</sub>-binding, some studies have concluded that crocodilian Hbs are insensitive to

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RBC organic phosphates (2, 3, 44), whereas others have demonstrated that the Hbs of at least some crocodilian species are sensitive to organic phosphates and chloride ions (60, 61). Currently, it is not possible to draw general conclusions about the roles of CO<sub>2</sub> and anions in the allosteric regulation of crocodilian Hbs because studies to date have used different experimental conditions and different study species.

Here, we present the first comparative analysis of functional properties of Hb in a phylogenetically diverse set of crocodilian species. We report functional properties and mechanisms of allosteric regulation in the Hbs of 13 species belonging to the two major families Crocodylidae and Alligatoridae. We also report amino acid sequences for the  $\alpha$ - and  $\beta$ -globins of these taxa, in combination with structural modeling analyses to provide insights into molecular mechanisms underlying the unusual allosteric properties of crocodilian Hbs.

## MATERIALS AND METHODS

### Animals and Blood Collection

Venous blood (~3 mL) was drawn through direct puncture of the postoccipital sinus of nonanesthetized adult crocodiles and was collected in 5-mL syringes containing heparin as anticoagulant. Sex was not determined. Animals were housed in the Crocodile Zoo (Eskilstrup, Falster, Denmark) and were manually restrained for the 3–5 min required for blood sampling. Blood was sampled from a single specimen of each of 13 species belonging to the two most speciose families of extant crocodilians. Species in the family Alligatoridae included American alligator (*Alligator mississippiensis*), Chinese alligator (*A. sinensis*), broad-snouted caiman (*Caiman latirostris*), yacaré caiman (*C. yacare*), black caiman (*Melanosuchus niger*), and smooth-fronted caiman (*Paleosuchus trigonatus*). Species in the family Crocodylidae included American crocodile (*Crocodylus acutus*), Philippine crocodile (*C. mindorensis*), Nile crocodile (*C. niloticus*), New Guinea crocodile (*C. novaeguineae*), saltwater crocodile (*C. porosus*), Cuban crocodile (*C. rhombifer*), and Siamese crocodile (*C. siamensis*). All animal procedures were conducted according to the Danish Law for Animal Experimentation and were approved by the Danish Animal Experiments Inspectorate under permit no. 2018-15-0201-01507.

### Hematological Traits

For all species, blood hematocrit (Hct; the %volume of RBC) was measured in duplicate after centrifugation at 13,000 *g* for 3 min in capillary tubes. Whole blood hemoglobin concentration ([Hb]) was measured by mixing blood aliquots with the Drabkin's reagent (Sigma-Aldrich, St. Louis, MO). Mean corpuscular hemoglobin concentration (MCHC; mM heme) was calculated as  $([Hb]/Hct) \times 100$ .

### cDNA Cloning and Sequencing

To characterize structural variation of crocodilian Hbs, we cloned and sequenced the adult-expressed  $\alpha$ - and  $\beta$ -type globin genes from each of the 13 species mentioned above. We extracted total RNA from whole blood (~30  $\mu$ L) using the RNeasy kit (Qiagen, Valencia, CA), and we amplified full-length cDNAs of adult-expressed globin genes using a OneStep RT-PCR kit (Qiagen). We designed paralog-specific primers (Table 1) using 5'- and 3'-UTR sequences from annotated globin genes in the genome assemblies of *Crocodylus porosus*, *Gavialis gangeticus*, and *Alligator mississippiensis* (16, 21). We cloned reverse transcription (RT)-PCR products into pCR4-TOPO vector using the TOPO TA Cloning Kit (Invitrogen, Carlsbad, CA), and we sequenced at least five clones per gene in each individual to recover both alleles. This enabled us to determine full diploid genotypes for major adult-expressed globin genes in each species. All new se-

Table 1. Reverse transcriptase-PCR primers used to amplify adult-expressed  $\alpha$ - and  $\beta$ -type globin genes of 13 crocodilian species

| Genes    | Primer Names  | Sequences (5' to 3')             |
|----------|---------------|----------------------------------|
| $\alpha$ | Croc_HBA_FOR1 | GTGGCTGTCACTGCRCTGTGCAABCATG     |
| $\alpha$ | Croc_HBA_FOR2 | GGGTACCAGGGCTGGTGGCTGTCACTGC     |
| $\alpha$ | Croc_HBA_REV1 | CTGGCTGGGGCTGGAGCCAGCCGGGC       |
| $\beta$  | Croc_HBB_FOR1 | GATGCTYAAAAACAACCTCCAGGACTCYTCAC |
| $\beta$  | Croc_HBB_REV1 | AGCAGCATCTTTTGTGGTGTGCTTCCCTC    |
| $\beta$  | Croc_HBB_REV2 | GCACCCAGCGGTGCCAGGAGGAAGCAG      |

quences were deposited in GenBank under the accession nos. MN905601–MN905626.

### Phylogenetic Analysis

Phylogenetic relationships among the  $\alpha$ - and  $\beta$ -type globin sequences of crocodilians were estimated using maximum likelihood (ML). The analyses were based on an alignment of amino acid sequences from a total of 15 species representing each of the three extant crocodilian families (Crocodylidae, Gavilidae, and Alligatoridae). The alignment included sequences obtained from each of the 13 species that we sampled as well as publicly available sequences from *Gavialis gangeticus* and *Paleosuchus palpebrosus*. As outgroups for the analysis of  $\alpha$ -type globins, we used sequences from the full repertoire of  $\alpha$ -type globin genes in representative birds and turtles. As outgroups for the analysis of  $\beta$ -type globins, we used paralogous sequences from the full repertoire of intact  $\beta$ -type globin genes in *Crocodylus porosus*, *Gavialis gangeticus*, *Alligator mississippiensis*, and *Alligator sinensis* as well as adult  $\beta$ -globin genes from the green sea turtle (*Chelonia mydas*). Globin gene nomenclature follows Hoffmann et al. (21).

Sequence alignments were performed using the program MAFFT version 7.304 (28), as implemented in the following server: <http://mafft.cbrc.jp/alignment/server/>. ML analyses were run using IQ-Tree ver. 1.5.5 (40) in the implementation of the program on the IQ-Tree web server (55). Statistical support for the nodes of each estimated tree was evaluated with 1,000 pseudoreplicates of the ultrafast bootstrap procedure (36).

### Examination of RBC IsoHb Composition

Hb solutions were prepared by lysis of RBC after blood centrifugation and RBC washing with 0.9% NaCl (25). Organic phosphates were removed from the Hb solutions, yielding purified “stripped” Hb, by gel filtration on PD-10 columns (GE Healthcare) in 10 mM HEPES, pH 7.6, and 0.5 mM EDTA, after NaCl (0.2 M final concentration) was added to the samples to facilitate phosphate removal. Hb concentration (heme, mM) and lack of heme oxidation were obtained from the absorption at 575 nm ( $15.37 \text{ mM}^{-1} \text{ cm}^{-1}$ ) and 541 nm ( $14.37 \text{ mM}^{-1} \text{ cm}^{-1}$ ) of the oxy derivative (56). Hb samples were stored in aliquots (>1 mM heme) at  $-80^\circ\text{C}$ . For each sample, we tested for the presence of multiple Hb isoforms by means of thin-layer polyacrylamide gel isoelectrofocusing (pH range 3–9), using PhastSystem (GE Healthcare). Isoelectric points (pI) of Hb bands were calculated from linear regression of standard pI markers run in parallel (8, 24, 47).

### Mass Spectrometry

To quantify NH<sub>2</sub>-terminal acetylation of the  $\alpha$ - and  $\beta$ -chain subunits of crocodilian Hb, we conducted tandem mass spectrometry (MS/MS) analyses on samples from six species: *Crocodylus siamensis*, *Crocodylus porosus*, *Alligator mississippiensis*, *Melanosuchus niger*, *Paleosuchus trigonatus*, and *Caiman yacare*. Native Hbs were separated in a mini-protean precast 4–20% SDS PAGE gel (Bio-Rad,

Hercules, CA) and were subsequently stained with Coomassie brilliant blue-G. The stained bands were excised and processed for in-gel tryptic digestion (51), and the eluted peptides were then analyzed using a Thermo Orbitrap Fusion Lumos Tribrid (Thermo Scientific) mass spectrometer in data-dependent acquisition mode. Peptides were identified by searching MS/MS data against a customized reference database that contained adult-expressed globin genes of all crocodylian species used in the experiments on Hb function as well as the complete globin gene repertoires of *Crocodylus porosus*, *Gavialis gangeticus*, and *Alligator mississippiensis*. The reference database also included an avian  $\alpha^D$ -globin sequence to confirm results of a previous comparative genomic analysis, which indicated that the ortholog of this gene was deleted in the common ancestor of modern crocodylians (21). The search was set up for full tryptic peptides with a maximum of two missed cleavage sites. Acetylation of  $\alpha$ - and  $\beta$ -chain NH<sub>2</sub> termini, carbamino formation, and the oxidation of methionines was included as variable modifications, and the carbamidomethylation of cysteines was set as fixed modification. The precursor mass tolerance threshold was set as 10 ppm, and maximum fragment mass error was set at 0.02 Da. Qualitative analysis was performed using PEAKS X software. The significance threshold of the ion score was calculated based on a false discovery rate of  $\leq 1\%$ . Assuming an equimolar ratio for the  $\alpha$ - and  $\beta$ -chain subunits (1:1) in tetrameric Hb, we measured the relative fractions of acetylated and unacetylated (free) NH<sub>2</sub> termini for each subunit type.

#### Oxygen Equilibrium Curves

O<sub>2</sub> equilibrium curves of purified Hb from each species were measured using a thin-layer modified diffusion chamber technique (8, 38, 39, 54, 58). The custom-made chamber was connected to a Cary 60 UV-Vis spectrophotometer equipped with fiber optic probes (Agilent Technologies) and to a programmable Gas Mixing System (Loligo Systems, Viborg, Denmark) for mixing ultrapure N<sub>2</sub> and O<sub>2</sub> to generate discrete oxygen tension (P<sub>O<sub>2</sub></sub>) values. At each P<sub>O<sub>2</sub></sub> step, the O<sub>2</sub> saturation (*Y*) was obtained from the relative absorption change at 415 nm. To determine the effect of chloride and ATP on Hb oxygenation, O<sub>2</sub> equilibrium curves were measured in 0.1 M HEPES buffer and 0.5 mM EDTA, pH 7.2, 25°C, at 0.3 mM heme in the absence (stripped) and presence of 0.1 M KCl and 0.45 mM ATP (corresponding to an ATP/Hb tetramer ratio of 6.0), added separately and in combination. Although crocodylian RBCs contain several types of organic phosphates, ATP is the one present at highest concentrations

in RBCs from adult crocodylians (1, 46) and was therefore chosen to characterize its effect on Hb oxygenation. O<sub>2</sub> affinity (expressed as *P*<sub>50</sub>, the P<sub>O<sub>2</sub></sub> at half saturation) and Hill's cooperativity coefficient (*n*) were obtained by fitting the sigmoidal Hill equation  $Y = P_{O_2}^n / (P_{O_2}^n + P_{50}^n)$  to the saturation data (*Y* vs. P<sub>O<sub>2</sub></sub>) using nonlinear regression (4–6 saturation data in each curve).

#### CO<sub>2</sub> Effect

The sensitivity of individual Hbs to CO<sub>2</sub> was tested in 0.1 M HEPES buffer and 0.5 mM EDTA, pH 7.2, at 25°C and 0.3 mM heme by using the modified diffusion chamber set at a constant P<sub>O<sub>2</sub></sub> (i.e., the individual *P*<sub>50</sub> measured previously for each sample). After equilibration to 50% O<sub>2</sub> saturation, 1% CO<sub>2</sub> was added to the gas mixture while still delivering a constant P<sub>O<sub>2</sub></sub>, and the decrease in O<sub>2</sub> saturation was recorded. Human HbA (Sigma-Aldrich) was used in control experiments. The pH of samples equilibrated with 1% CO<sub>2</sub> was measured using an InLab Micro pH electrode (Mettler, Toledo, OH) and did not change appreciably.

#### Computational Modeling of Hb Structure

To gain insights into the structural origin of allosteric effects, we performed atomistic classical molecular dynamics simulations, which have been widely employed in investigations of protein dynamics and ligand-protein interactions (22). These simulations rely on parameterized potential energy surfaces called force fields.

**Starting structures.** We performed homology-based modeling of *A. mississippiensis* deoxy Hb with MODELER software (57). As a template, we used the 2.5 Å resolution X-ray structure of human deoxy Hb complexed with 2,3-diphosphoglycerate (DPG), obtained from the Research Collaboratory for Structural Bioinformatics database (<https://www.rcsb.org>, PDB entry code 1b86) (48). Standard protonation states at physiological pH were assigned to all ionizable residues (Asp, Glu, Lys, and Arg), whereas protonation of His residues was assigned on the basis of the hydrogen-bond pattern with neighboring residues. In particular, proximal heme-bound His (HF8) protonation was chosen to be in the N $\delta$  position, since this is the protonation state that allows coordination to the iron. Acetyl groups were added to the  $\beta$ -chain NH<sub>2</sub> termini according to the internal coordinates of the Amber topology files, as determined using the LEaP software in the AmberTools package. ATP was incorporated into the deoxyHb structure in the conformation described in Gronenborn et al. (18), with phosphate groups manually superimposed on

Table 2. Hematological traits and oxygenation properties of stripped, purified Hbs (on 0.1 M HEPES, pH 7.2, 25°C) for 13 species of crocodylians

|                                   | Hematological Traits |                      |                | Oxygen Affinity and Cooperativity |      |                        |      |                       |
|-----------------------------------|----------------------|----------------------|----------------|-----------------------------------|------|------------------------|------|-----------------------|
|                                   | Hct, %               | Blood [Hb] (mM heme) | MCHC (mM heme) | <i>P</i> <sub>50</sub> (Torr)     | ± SE | <i>n</i> <sub>50</sub> | ± SE | <i>r</i> <sup>2</sup> |
| <b>Alligatoridae</b>              |                      |                      |                |                                   |      |                        |      |                       |
| <i>Alligator mississippiensis</i> | 25                   | 2.37                 | 9.48           | 2.84                              | 0.02 | 2.14                   | 0.04 | 0.9999                |
| <i>Alligator sinensis</i>         | 15                   | 1.29                 | 8.60           | 2.60                              | 0.04 | 2.41                   | 0.10 | 0.9995                |
| <i>Melanosuchus niger</i>         | 12                   | 1.02                 | 8.50           | 3.01                              | 0.03 | 1.79                   | 0.04 | 0.9999                |
| <i>Paleosuchus trigonatus</i>     | 10                   | 0.89                 | 8.90           | 4.73                              | 0.08 | 1.49                   | 0.04 | 0.9997                |
| <i>Caiman latirostis</i>          | 20                   | 1.73                 | 8.65           | 2.97                              | 0.03 | 1.56                   | 0.03 | 0.9998                |
| <i>Caiman yacare</i>              | 20                   | 1.52                 | 7.60           | 3.04                              | 0.05 | 1.60                   | 0.05 | 0.9998                |
| <b>Crocodylidae</b>               |                      |                      |                |                                   |      |                        |      |                       |
| <i>Crocodylus acutus</i>          | 13                   | 1.57                 | 12.08          | 2.55                              | 0.04 | 2.29                   | 0.09 | 0.9996                |
| <i>Crocodylus mindorensis</i>     | 17                   | 1.69                 | 9.94           | 2.54                              | 0.04 | 2.23                   | 0.09 | 0.9995                |
| <i>Crocodylus niloticus</i>       | 16                   | 1.81                 | 11.31          | 2.56                              | 0.05 | 2.14                   | 0.12 | 0.9992                |
| <i>Crocodylus novaeguineae</i>    | 21                   | 2.00                 | 9.52           | 2.54                              | 0.04 | 2.12                   | 0.08 | 0.9996                |
| <i>Crocodylus porosus</i>         | 19                   | 1.70                 | 8.95           | 2.48                              | 0.03 | 2.25                   | 0.08 | 0.9996                |
| <i>Crocodylus rhombifer</i>       | 23                   | 2.07                 | 9.00           | 2.60                              | 0.05 | 2.28                   | 0.11 | 0.9994                |
| <i>Crocodylus siamensis</i>       | 21                   | 1.86                 | 8.86           | 2.40                              | 0.06 | 1.85                   | 0.11 | 0.9991                |

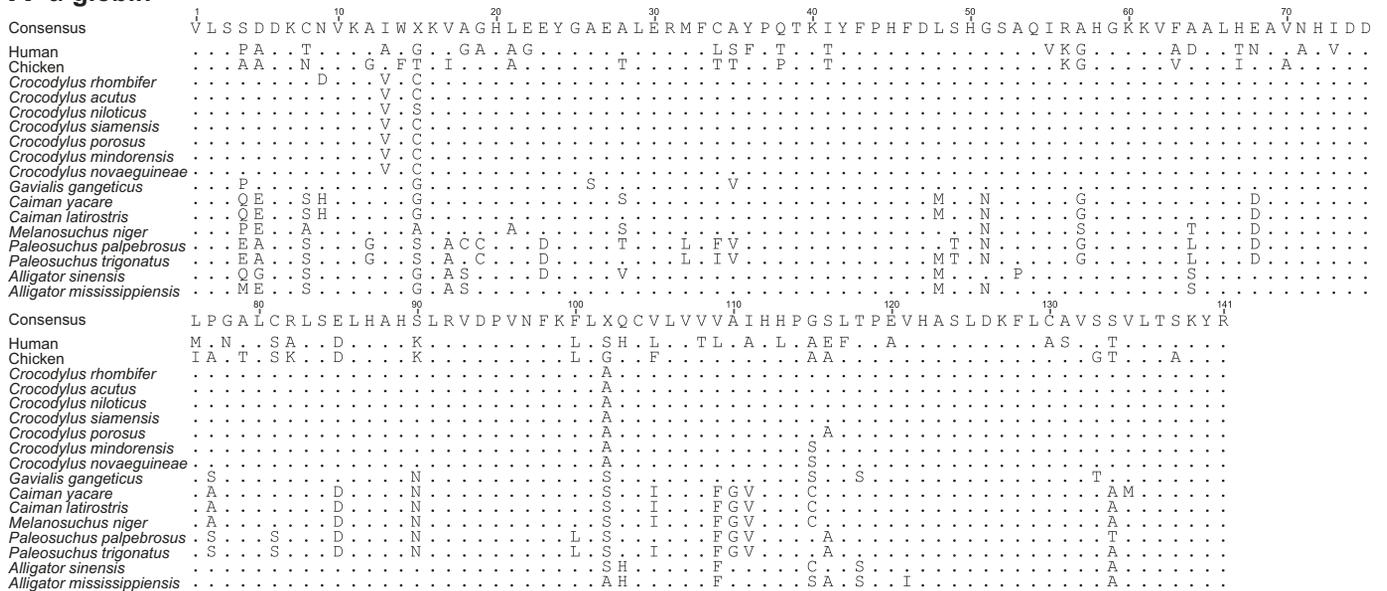
MCHC, mean corpuscular hemoglobin (Hb) concentration *P*<sub>50</sub>, the partial pressure of O<sub>2</sub> at which Hb is 50% saturated (1 Torr = 133 Pa); *n*<sub>50</sub>, Hill's cooperativity coefficient.

those of DPG. Finally, complete starting structures were immersed in an 86 Å truncated octahedral box of TIP3P water molecules (27). Starting structures were subjected to two cycles of energy minimization. Only water molecules were relaxed during the first 500 steps to avoid unfavorable contacts with the complex; sidechains (including acetyl groups) and ATP were included during the subsequent 1,000 steps.

**Classical molecular dynamics simulations.** Molecular dynamics simulations were performed using the PMEMD module of the Amber16 package. The Amber ff14SB force field (32) was used for all amino acid residues, whereas heme parameters were derived from Marti et al. (34) (developed and tested in subsequent studies; see Refs. 5, 6, 9, and 37), and ATP parameters were obtained from Meagher et al. (35). Simulations were performed using periodic boundary conditions and Ewald sums to treat long-range electrostatic interactions (13). The SHAKE algorithm (49) was used to keep bonds involving

hydrogen atoms at their equilibrium length, and the Langevin thermostat (31, 63) and Berendsen barostat (4) were used to control the system temperature and pressure, respectively. Thermalization involved a 45-ps heating to 100 K in the NVT ensemble with a harmonic restraint (weight = 50 kcal/mol·Å<sup>2</sup>) applied to every atom in the complex, followed by a 400-ps heating to 300 K in the NTP ensemble with a lighter restraint weight (15 kcal/mol·Å<sup>2</sup>). Structures were then equilibrated for 500 ps at 300 K with restraints (weight 5 kcal/mol·Å<sup>2</sup>) applied only to the backbone and ATP atoms so that the system could relax the sidechains and reach stable density. Production MD runs consisted of 100-ns trajectories in the NVT ensemble. Because T state Hb may not be a stable structure in MD simulations (14, 23), and because the alligator Hb structure was built from homology modeling, we performed production dynamics that imposed a 5 kcal/mol Å<sup>2</sup> harmonic restraint on all α-carbons except for β-chain residues 1–3. This retained the protein in the desired conformation

**A α-globin**



**B β-globin**

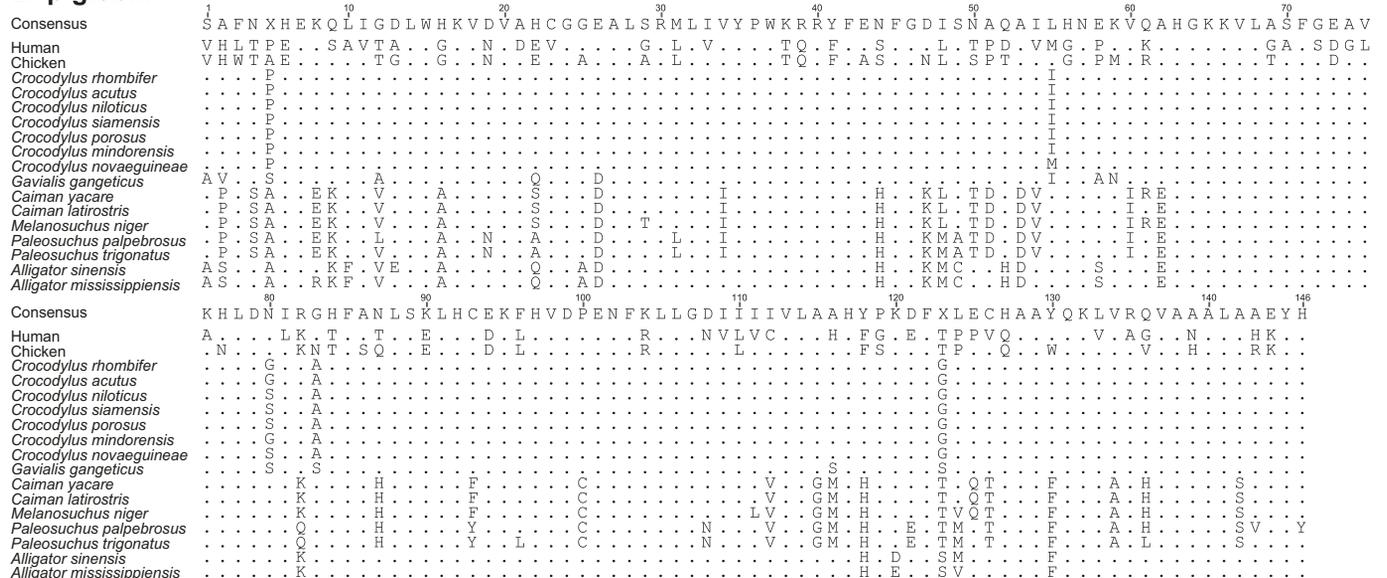


Fig. 1. Alignment of amino acid sequences for the adult-expressed α- (A) and β-type (B) globin genes of crocodilians, with homologous sequences from human (*Homo sapiens*) and chicken (*Gallus gallus*) included for comparison.

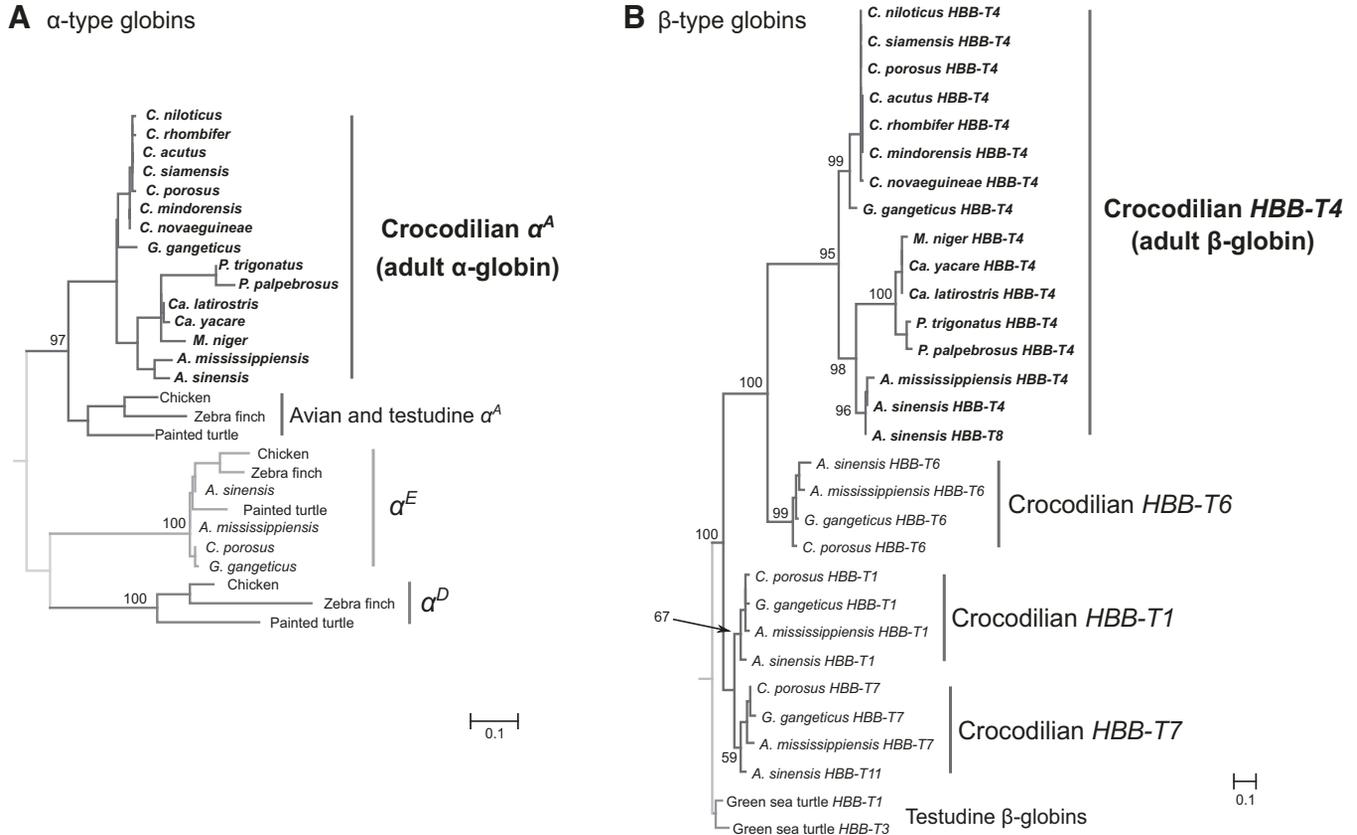


Fig. 2. Maximum likelihood phylogenies of crocodilian  $\alpha$ - (A) and  $\beta$ -type (B) globins, based on amino acid sequences. Orthologous and/or paralogous sequences from other sauropsid taxa are used as outgroups. Support values for relevant nodes are shown as bootstrap percentages.

while conferring the NH<sub>2</sub> termini with the flexibility required for ligand interactions.

## RESULTS AND DISCUSSION

### Hematology

All examined crocodilian species exhibited low hematocrits and Hb concentrations (Table 2), consistent with previous studies (45), and reflecting the low blood-O<sub>2</sub> carrying capacities of reptiles.

### Sequence Variation

Sequencing of globin cDNAs revealed that all examined crocodilian species express single  $\alpha$ - and  $\beta$ -type globins in adult RBCs. Although the genome assembly of Chinese alligator (*Alligator sinensis*) indicates that this species possesses duplicate copies of the adult  $\beta$ -globin gene (21), we recovered a single cDNA sequence from this species corresponding to the same *HBB-T4* gene that encodes the  $\beta$ -chain of adult Hb in all other crocodilians. Alignment of  $\alpha$ - and  $\beta$ -globin sequences revealed very little amino acid variation within Crocodylidae and somewhat more extensive variation within Alligatoridae (Fig. 1). This is consistent with differences in the time scale of diversification; phylogenetic analyses suggest that all extant species in the genus *Crocodylus* descend from a common ancestor that existed 10–15 mya in the mid-Miocene, whereas extant caiman and alligator species (comprising the family Alligatoridae) descend from a far more ancient common an-

cestor that existed ~60–70 mya in the late Cretaceous or early Paleogene (41).

Given that the Nile crocodile (*Crocodylus niloticus*) has figured prominently in previous studies of structure-function relationships of crocodilian Hbs (29, 43, 44), it is worth noting that our amino acid sequence of the adult  $\beta$ -globin of this species (based on independently cloned and sequenced cDNAs) differs from the originally reported sequence (30) at seven sites. At these discrepant sites, our *C. niloticus* sequence

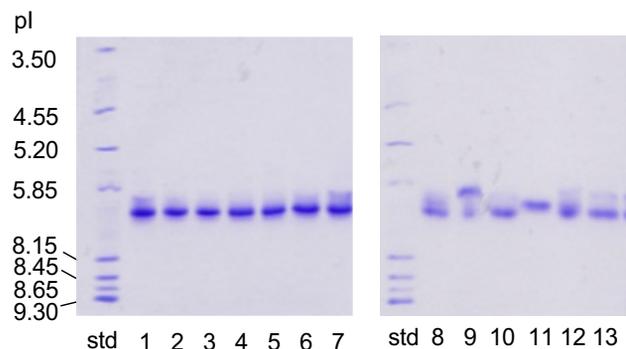


Fig. 3. Isoelectric focusing gels (pH 3–9) indicating the presence of single hemoglobin (Hb) isoforms in red blood cells of adult crocodilians. Lanes are labeled as follows: Std, isoelectric point (pI) markers. Left (Crocodylidae): 1, *Crocodylus acutus*; 2, *C. mindoriensis*; 3, *C. niloticus*; 4, *C. novaeguineae*; 5, *C. porosus*; 6, *C. rhombifer*; 7, *C. siamensis*. Right (Alligatoridae): 8, *Alligator mississippiensis*; 9, *A. sinensis*; 10, *Melanosuchus niger*; 11, *Paleosuchus trigonatus*; 12, *Caiman latirostris*; 13, *C. yacare*.

possesses the same amino acid as the adult  $\beta$ -globins of all other *Crocodylus* species, leading us to suspect that the discrepancies in the sequence reported by Leclercq et al. (30) represent artifacts of peptide sequencing.

#### Phylogenetic Relationships

Within the  $\alpha$ - and  $\beta$ -globin subfamilies, estimated phylogenetic relationships among paralogous genes of crocodilians and representative sauropsid outgroups (Fig. 2) are consistent with results of previous studies (19–21). Adult-expressed  $\beta$ -globins

of crocodilians (*HBB-T4*) are nested within a clade containing three other  $\beta$ -type crocodilian globins (*HBB-T1*, *HBB-T6*, and *HBB-T7*), all of which are monophyletic relative to those of other amniotes (e.g., *HBB-T1* and *HBB-T3* of turtles; Fig. 2B). This finding is consistent with results of previous phylogenetic reconstructions and analyses of conserved synteny, which revealed that the set of tandemly linked crocodilian  $\beta$ -type globin genes represents products of multiple rounds of lineage-specific duplication (21). Finally, branching relationships of orthologous adult-expressed globins within the  $\alpha$ - and  $\beta$ -globin

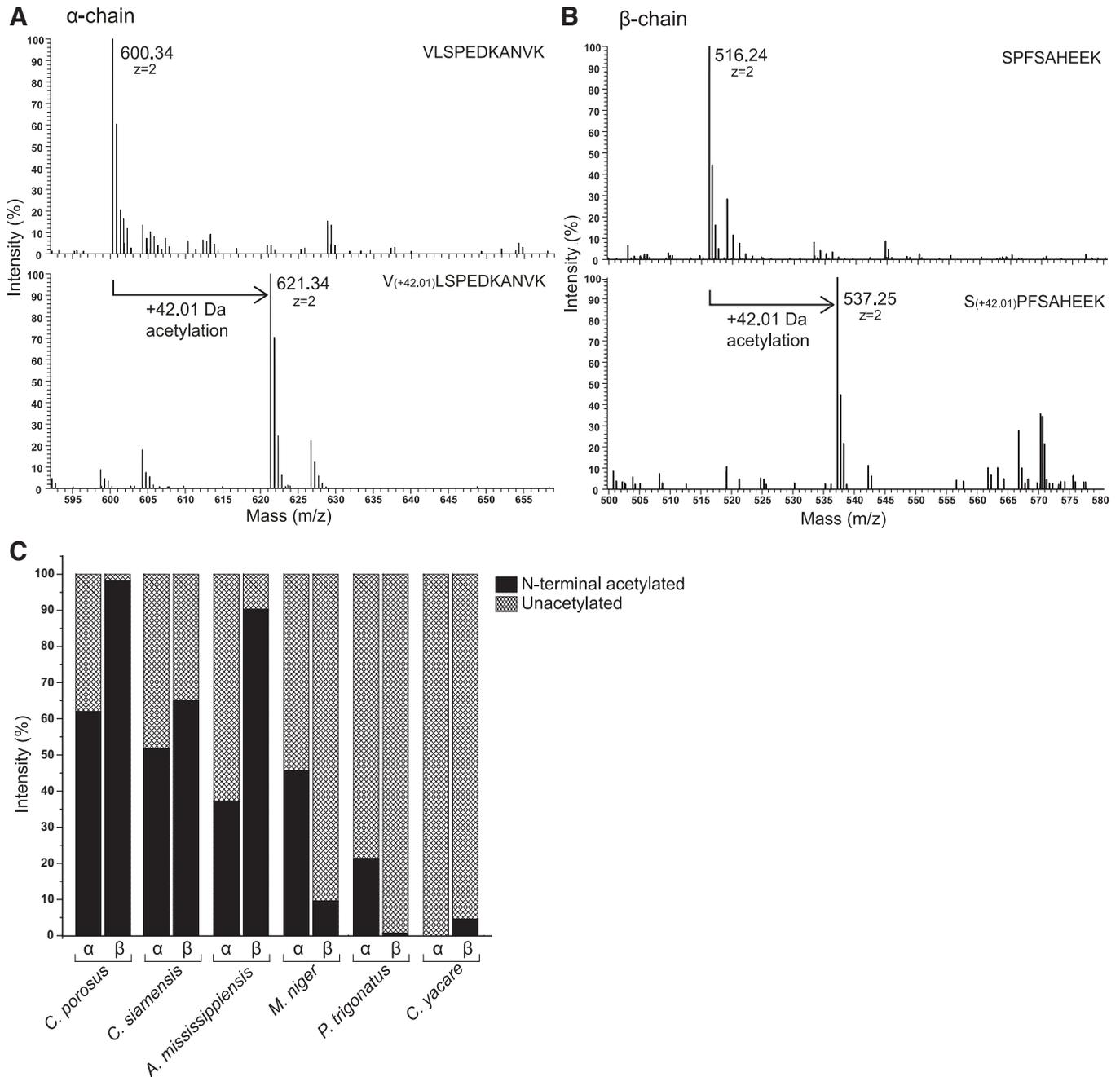


Fig. 4. NH<sub>2</sub>-terminal acetylation of crocodilian hemoglobins (Hbs). *A*: MALDI-MS spectra of the  $\alpha$ -chain subunit of Hb from *Melanosuchus niger*, illustrating how acetylation of the –NH<sub>2</sub> terminus can be detected as a 42.01-Da increase in mass of the NH<sub>2</sub>-terminal peptide VLSPEDKANVK. *B*: MALDI-MS spectra of the  $\beta$ -chain subunit from *M. niger*, illustrating how acetylation of the NH<sub>2</sub> terminus can be detected as a 42.01-Da increase in mass of the NH<sub>2</sub>-terminal peptide SPFSAHEEK. *C*: estimated extent of NH<sub>2</sub>-terminal acetylation for the  $\alpha$ - and  $\beta$ -chain subunits of adult Hbs from 6 representative crocodilian species.

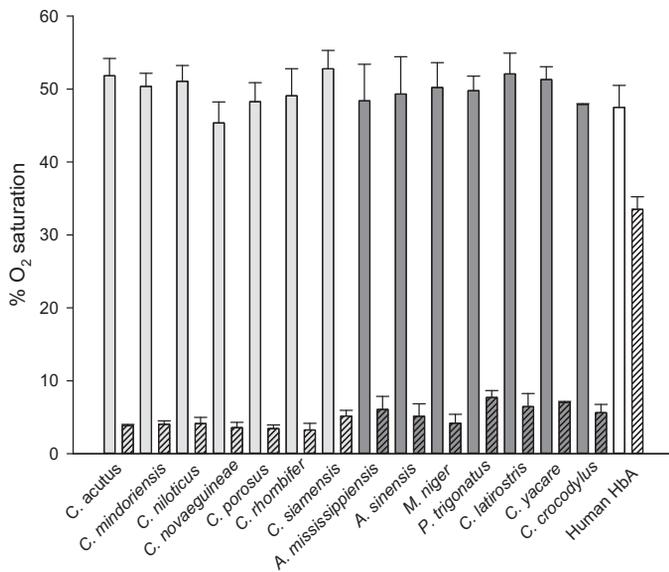


Fig. 5. Effect of 1% CO<sub>2</sub> on the O<sub>2</sub> saturation of crocodilian hemoglobins (Hbs). Data are expressed as means and SD of replicate measurements ( $n = 4$ , except for *C. porosus*, *M. niger*, and *C. crocodylus*, where  $n = 3$ ) in 0.1 M HEPES, pH 7.2, 25°C, at a constant P<sub>O<sub>2</sub></sub> (approximating the P<sub>50</sub> of each Hb) in the absence (open bars) and presence (hatched bars) of 1% CO<sub>2</sub> in the gas mixture. Light gray bars, Crocodylidae; dark gray bars, Alligatoridae; open bars, human HbA.

subfamilies (Fig. 2) are consistent with expected relationships among representatives of different crocodilian families and genera (41).

#### Hb Isoform Composition

Consistent with the cDNA sequencing results, isoelectric focusing (IEF) analyses revealed that all crocodilian species expressed a single adult Hb isoform (Fig. 3). All species in the family Crocodylidae, along with *A. mississippiensis*, *M. niger*, *C. latirostris*, and *C. yacare*, expressed single Hbs with a pI of ~7.1, whereas *A. sinensis* and *P. trigonatus* expressed single Hbs with slightly lower pIs (~6.2 and ~6.4, respectively; Fig. 3). Mass spectrometry experiments confirmed that, unlike members of all other sauropsid lineages (12, 42, 53, 54), crocodilians do not express an adult Hb isoform that incorporates products of the  $\alpha^D$ -globin gene.

#### NH<sub>2</sub>-Terminal Acetylation

Previous studies of select crocodilian species reported that the NH<sub>2</sub> termini of the  $\beta$ -chain subunits are acetylated (50, 59). Because the free NH<sub>2</sub> termini of the  $\alpha$ - and  $\beta$ -chain Hb subunits play key roles in the allosteric binding of Cl<sup>-</sup> ions, organic phosphates, and CO<sub>2</sub> (via carbamino formation), it is important to determine the pervasiveness of NH<sub>2</sub>-terminal acetylation of crocodilian Hbs. Acetylation of NH<sub>2</sub>-terminal globin peptides results in a mass increase of +42.01 Da, which can be readily detected by a shift in mass spectra (Fig. 4, A and B) (33, 62). The MS/MS results indicated considerable variation among species in the extent of N-terminal acetylation (Fig. 4C). The  $\alpha$ -chain NH<sub>2</sub> termini of Hb from *Caiman yacare* were completely free, whereas the percentage of acetylated NH<sub>2</sub> termini for the remaining species ranged from ~20 to ~60%. Acetylation of  $\beta$ -chain NH<sub>2</sub> termini ranged from ~60 to

~100% in all examined species of *Crocodylus* and *Alligator* (which possess residues  $\beta$ 1Ser- $\beta$ 2Ala and  $\beta$ 1Ala- $\beta$ 2Ser, respectively) and was <10% in the three examined caiman species (all of which possess  $\beta$ 1Ser- $\beta$ 2Pro) (Figs. 1B and 4).

#### Oxygenation Properties of Crocodilian Hbs

The Hbs of all species exhibited cooperative O<sub>2</sub> binding and relatively high intrinsic O<sub>2</sub> affinities, with P<sub>50</sub>'s of stripped Hbs ranging between 2.40 and 4.73 Torr (25°C, pH 7.2) (Table 2). Hb-O<sub>2</sub> affinities measured under identical conditions were almost identical among all members of Crocodylidae (2.52 Torr on average) and varied more within Alligatoridae (Table 2). These data indicate that crocodilian Hbs have a high intrinsic affinity (i.e., low P<sub>50</sub>) in the absence of anions. P<sub>50</sub> values of stripped Hbs are highly consistent with those reported for *Paleosuchus palpebrosus* (2.69 Torr, pH 7.4, 25°C) (59) and for both *A. mississippiensis* and *Caiman crocodylus* (~3 Torr, pH 7.1, 25°C) (60). However, our data are not consistent with previously reported P<sub>50</sub> values for the stripped hemolysate of *C. porosus* by Bauer et al. (2) (6.3 Torr, pH 7.2, 25°C). This discrepancy is most likely explained by the fact that the experiments of Bauer et al. (2) were performed using chloride-containing buffer solutions, which markedly affects Hb-O<sub>2</sub> affinity (see below).

The Hbs of all examined crocodilian species exhibited a remarkably strong sensitivity to CO<sub>2</sub>. When the Hb solution was maintained at a constant pH and a constant P<sub>O<sub>2</sub></sub>, yielding an O<sub>2</sub> saturation of ~50%, the addition of 1% CO<sub>2</sub> (P<sub>CO<sub>2</sub></sub> = 7.52 Torr) in the gas mixture caused a pronounced decrease in the O<sub>2</sub> saturation (Fig. 5). This effect was much more pronounced than that observed in human HbA (Fig. 5). A strong effect of CO<sub>2</sub> on Hb-O<sub>2</sub> affinity was previously reported for *C. porosus* hemolysate (3) and was later ascribed to a specific sensitivity to bicarbonate ions as a unique allosteric feature of crocodilian Hbs (2). A marked CO<sub>2</sub> effect on Hb-O<sub>2</sub> affinity has also been reported for *A. mississippiensis* (26) and

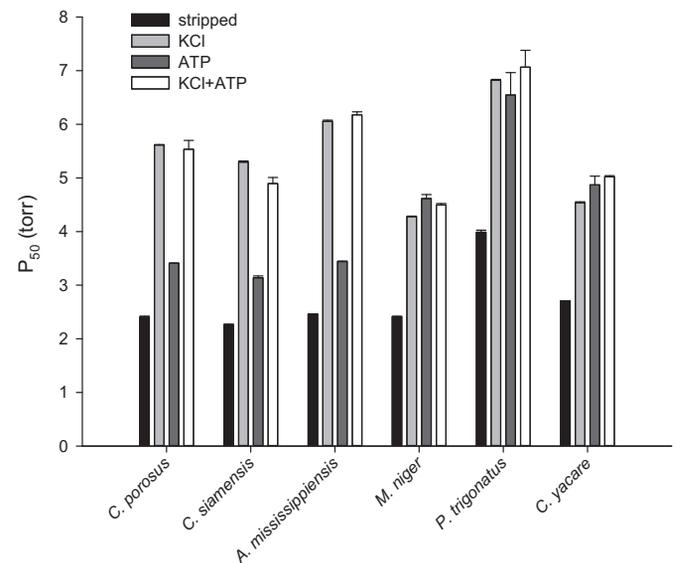
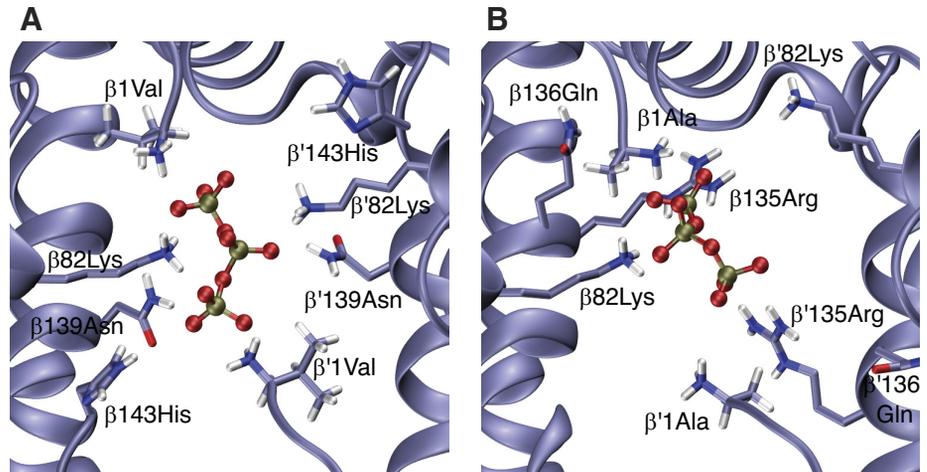


Fig. 6. Effect of Cl<sup>-</sup> ions and ATP alone and in combination on the O<sub>2</sub> affinity (P<sub>50</sub>) of purified crocodilian Hbs. Data are expressed as means and SE of O<sub>2</sub> equilibrium curve fitting, as described in MATERIALS AND METHODS. Conditions: 0.1 M HEPES, pH 7.2, 25°C, 0.1 M KCl, and 0.45 mM ATP.

Fig. 7. Predicted binding of ATP in the central cavity between the  $\beta_1$ - and  $\beta_2$ -chains of human and crocodilian hemoglobins (Hbs) in the T-state. ATP bound to human HbA (A) and *Alligator mississippiensis* Hb (B) with free (unacetylated)  $\beta$ -chain NH<sub>2</sub> termini. For clarity, only the ATP phosphate groups are depicted.



*P. palpebrosus* (59), but these studies did not exclude the possible contribution of CO<sub>2</sub>-derived carbamino formation at the unprotonated NH<sub>2</sub> termini of the globin chains in conjunction with the allosteric binding of bicarbonate ions. In the case of *A. mississippiensis*, carbamino formation could be expected to disproportionately involve CO<sub>2</sub> binding to the NH<sub>2</sub> termini of the  $\alpha$ -chains rather than the  $\beta$ -chains due to differences in the prevalence of NH<sub>2</sub>-terminal acetylation (~40 vs. ~90%, respectively; Fig. 4C). Our experiments did not allow us to determine whether the allosteric mechanism underlying the observed decrease in O<sub>2</sub> saturation (Fig. 5) stems from a direct effect of carbamino formation (CO<sub>2</sub> binding) or an indirect effect of bicarbonate formed during CO<sub>2</sub> hydration, but we are currently examining these possibilities using a kinetic approach (15).

Although crocodilian Hbs exhibited similar intrinsic O<sub>2</sub> affinities (Table 2), similar sensitivities to CO<sub>2</sub> (Fig. 5), and similar Bohr effects (3, 59, 60), we found considerable variation in their sensitivities to Cl<sup>-</sup> ions and ATP (Fig. 6). For detailed experimental measurements of anion sensitivity, we selected two representative species of Crocodylidae and four representative species of Alligatoridae that exhibited variation in Hb isoelectric point and intrinsic O<sub>2</sub> affinity (Table 2). These experiments revealed that the Hbs of all species exhibited a marked increase in *P*<sub>50</sub> upon addition of Cl<sup>-</sup> ions, with the Hbs of *C. porosus*, *C. siamensis*, and *A. mississippiensis* showing a larger *P*<sub>50</sub> shift compared with those of *M. niger*, *P. trigonatus*, and *C. yacare* (Fig. 6). These results indicate that chloride is a major anionic allosteric effector of crocodilian Hbs. In contrast, the individual effect of ATP on Hb-O<sub>2</sub> affinity, expressed as a shift in *P*<sub>50</sub>, was small in *C. porosus*, *C. siamensis*, and *A. mississippiensis* and was similar to the effect of chloride in the three examined caiman species *M. niger*, *P. trigonatus*, and *C. yacare* (Fig. 6). For all species, simultaneous addition of ATP and chloride reduced Hb-O<sub>2</sub> affinity to the same extent as chloride alone, indicating that chloride binds Hb more strongly than ATP. These data are in good agreement with previous reports that Hbs of *C. crocodylus*, *A. mississippiensis*, and *P. palpebrosus* exhibit measurable ATP sensitivity only in the absence of chloride (59, 60), although absolute values of *P*<sub>50</sub> reported here are slightly lower due to different experimental conditions. Whereas chloride appears to be a potent allosteric effector of all crocodile Hbs, ATP has a strong, chloride-

independent effect on Hb-O<sub>2</sub> affinity only in caimans (Table 2 and Fig. 6).

#### Insights into Structure-Function Relationships

We performed homology modeling and molecular dynamics simulations to gain insight into the possible structural basis of allosteric binding of ATP to crocodilian Hb. Consistent with previous studies of DPG binding (52), our modeling results indicate that ATP binding to human deoxy Hb involves interactions with residues in the central cavity of the Hb tetramer, specifically with  $\beta$ 1Val,  $\beta$ 82Lys,  $\beta$ 139Asn, and, to a lesser extent,  $\beta$ 2His and  $\beta$ 143His (Fig. 7A). By contrast, in the absence of NH<sub>2</sub>-terminal acetylation, ATP-binding to deoxy Hb of *A. mississippiensis* involves a bilateral interaction with  $\beta$ 135Arg in addition to  $\beta$ 1Ala and  $\beta$ 82Lys (Fig. 7B). This finding for alligator Hb is consistent with previous modeling results for avian Hb, which indicated that  $\beta$ 135Arg plays an important role in the allosteric binding of organic phosphates (17). The observed difference in ATP sensitivity between

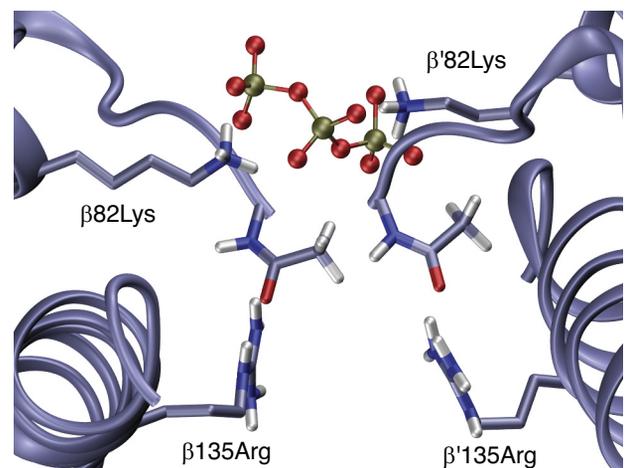


Fig. 8. Representative snapshot of molecular dynamics simulations of ATP binding to *Alligator mississippiensis* hemoglobin (Hb) with acetylated  $\beta$ -chain NH<sub>2</sub> termini. This frame illustrates how the ATP phosphates may bind between the  $\beta$ 82Lys residues of the opposing  $\beta$  chains when the  $\beta$ 135Arg residues preferentially engage in an intra-subunit interaction with the NH<sub>2</sub>-terminal acetyl groups of  $\beta$ -subunits. For clarity, only the ATP phosphate groups are depicted.

caimans and all other crocodilians may stem largely from differences in the extent of NH<sub>2</sub>-terminal acetylation (Fig. 4C). When the  $\beta$ -chain NH<sub>2</sub> termini of alligator Hb are acetylated, molecular dynamics simulations indicate that the phosphate groups of ATP do not interact with the NH<sub>2</sub>-terminal acetyl group, and the interaction with  $\beta$ 135Arg is sporadic. Because the  $\beta$ 135Arg residues preferentially interact with the NH<sub>2</sub>-terminal acetyl group of the same subunit, ATP is predicted to bind between the  $\beta$ 82Lys residues of the opposing  $\beta$ -chains (Fig. 8). In addition to the effects of NH<sub>2</sub>-terminal acetylation, the difference in ATP-sensitivity between the Hbs of caimans and those of other crocodilians may stem from the effects of amino acid substitutions that alter the orientation of the  $\beta$ -chain NH<sub>2</sub> termini (caimans differ from all other crocodilians at 3–4 of the first 5  $\beta$ -chain residues; Fig. 1B) or that otherwise alter the distribution of charged residues in the central cavity.

Further insights into the structural basis of the CO<sub>2</sub> effect of crocodilian Hb will require additional experimental and computational work, but it is worth noting that the Hbs of all crocodilians exhibit highly uniform responses to CO<sub>2</sub> (Fig. 5) despite the considerable variation among species in the accessibility of the  $\alpha$ - and  $\beta$ -chain free NH<sub>2</sub> termini for carbamino formation (Fig. 4C). This suggests that the observed allosteric effect of CO<sub>2</sub> may involve oxygenation-linked CO<sub>2</sub> binding to other sites on the protein, or it may be an indirect effect of bicarbonate binding.

### Perspectives and Significance

This investigation provides the first broad comparative overview of the functional and structural characteristics of crocodilian Hbs. We confirm that CO<sub>2</sub> is a strong allosteric regulator of Hb-O<sub>2</sub> affinity, and it may involve a novel mechanism that is at least partly independent of NH<sub>2</sub>-terminal carbamino formation, an aspect that we are currently investigating. A strong sensitivity to CO<sub>2</sub> would enable Hb to effectively unload O<sub>2</sub> in response to increased activity or respiratory acidosis, when blood bicarbonate levels and Pco<sub>2</sub> are both elevated (7, 26). Given the high intrinsic O<sub>2</sub>-affinity of crocodilian Hbs, the allosteric effect of CO<sub>2</sub> may also play an important physiological role in maintaining blood-O<sub>2</sub> affinity in a range that is conducive to efficient O<sub>2</sub> delivery to respiring tissues.

Contrary to some previous reports, our results also reveal that crocodilian Hbs are sensitive to ATP as well as Cl<sup>-</sup> ions and that ATP sensitivity is especially pronounced in caiman Hbs. Interestingly, the structural basis of oxygenation-linked phosphate binding to crocodilian Hbs appears to be quite distinct from that described for human HbA. Continued research on crocodilian Hbs holds much promise for broadening our understanding of structural and functional mechanisms of allosteric regulatory control and the functional consequences of posttranslational modifications such as NH<sub>2</sub>-terminal acetylation.

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### DISCLOSURES

No conflicts of interest, financial or otherwise, are declared by the authors.

### AUTHOR CONTRIBUTIONS

A.F. and J.F.S. conceived and designed research; A.F., C.N., M.P., F.G.H., T.W., S.I.D., F.M.I., M.A.M., D.A.E., and J.F.S. performed experiments; A.F., C.N., M.P., F.G.H., S.I.D., F.M.I., M.A.M., D.A.E., and J.F.S. analyzed data; A.F., M.P., F.G.H., R.E.W., S.I.D., F.M.I., M.A.M., D.A.E., and J.F.S. interpreted results of experiments; A.F., C.N., M.P., F.G.H., S.I.D., F.M.I., M.A.M., and J.F.S. prepared figures; A.F. and J.F.S. drafted manuscript; A.F., M.P., F.G.H., T.W., R.E.W., S.I.D., F.M.I., M.A.M., D.A.E., and J.F.S. edited and revised manuscript; A.F., C.N., M.P., F.G.H., T.W., R.E.W., S.I.D., F.M.I., M.A.M., D.A.E., and J.F.S. approved final version of manuscript.

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