Resilience of river deltas in the Anthropocene

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Key Points:

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11	• The predictive capacity of morphodynamic models needs to improve to better an-
12	ticipate global change impacts on deltas
13	• Information theory and dynamical system theory offer complementary analysis frame-
14	works to improve understanding of delta resilience
15	• The sediment balance in a delta channel network needs to be closed such that pre-
16	dictions match with independent observations

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17 Abstract

At a global scale, delta morphologies are subject to rapid change as a result of direct and 18 indirect effects of human activity. This jeopardizes the ecosystem services of deltas, in-19 cluding protection against flood hazards, facilitation of navigation and biodiversity. Di-20 rect manifestations of delta morphological instability include river bank failure, which 21 may lead to avulsion, persistent channel incision or aggregation, and a change of the sed-22 imentary regime to hyperturbid conditions. Notwithstanding the in-depth knowledge de-23 veloped over the past decades about those topics, existing understanding is fragmented, 24 and the predictive capacity of morphodynamic models is limited. The advancement of 25 potential resilience analysis tools may proceed from improved models, continuous obser-26 vations and the application of novel analysis techniques. Progress will benefit from syn-27 ergy between approaches. Empirical and numerical models are built using field obser-28 vations and, in turn, model simulations can inform observationists about where to mea-29 sure. Information theory offers a systematic approach to test the realism of alternative 30 model concepts. Once that the key mechanism responsible for a morphodynamic insta-31 bility phenomenon is understood, concepts from dynamic system theory can be employed 32 to develop early warning indicators. In the development of reliable tools to design re-33 silient deltas, one of the first challenges is to close the sediment balance at multiple scales, 34 such that morphodynamic model predictions match with fully independent measurements. 35 36 Such a high ambition level is rarely adopted, and is urgently needed to address the ongoing global changes causing sea-level rise and reduced sediment input by reservoir build-37 ing. 38

³⁹ 1 Introduction

River deltas are hotspots for economic development, wetland biodiversity and agri-40 culture. Many of the world's mega-cities are located in deltas (Syvitski & Saito, 2007), 41 related to harbor activity, fishing, and the fertility of coastal land. High population den-42 sities put deltas under pressure and lead to reshaping of the sedimentary environment 43 (S. Wilson & Fischetti, 2010; Zhang et al., 2015). Under natural circumstances, delta 44 dynamics are primarily governed by riverine sediment supply and subsequent tidal and 45 wave-driven reworking, controlled over larger timescales by fluctuations in mean sea-level 46 and sediment supply. Human activity disrupts these natural dynamics; building of reser-47 voirs in river catchments, for example, has caused many deltas to become sediment starved 48 (Syvitski et al., 2005). While compromising between alternative land use types, delta 49 planforms have increasingly become fixed by embankments (engineered levees) for rea-50 sons of land reclamation and flood prevention (Giosan et al., 2013). The embankment 51 obstructs the processes of aggradation that could compensate for subsidence within the 52 embanked interchannel areas (J. Nittrouer et al., 2012), which has been identified as a 53 major factor determining flood vulnerability (Syvitski et al., 2009). 54

Whether constrained by embankment or not, delta distributary channels typically 55 terminate in mouth bar complexes, where depths are small (Fagherazzi et al., 2015). Fair-56 ways crossing these mouth bars require regular dredging to prevent rapid accretion (e.g., 57 Fan et al., 2006). The relatively deep navigation channels convey a comparatively large 58 share of the river discharge, and amplify the tidal motion in the delta channel network. 59 Typically, this leads to import of marine sediment and a reduction of the channel width 60 (Nienhuis et al., 2018). Fine sediment tends to accumulate in deep navigation channels, 61 causing a gradual increase of suspended sediment concentrations. The dredging volumes 62 needed to guarantee sufficient navigation depth can become excessive (De Vriend et al., 63 2011), which may be traced back to a variety of physical processes including tidal pump-64 ing of sediment (Allen et al., 1980) and density driven circulations (Hansen & Rattray Jr, 65 1966). 66



Figure 1. Scientific understanding about the natural process of avulsion has laid the basis for a large-scale human intervention in which a new channel is created in the Yellow River Delta. Image courtesy: NASA Earth Observatory.

Whereas distributary channels inside the delta tend to accrete, delta shorelines of 67 sediment starved deltas show retreat, marking a transition from progradation to erosion 68 such as in the Nile Delta (Stanley & Warne, 1993), the Mississippi Delta (Couvillion et 69 al., 2017), the Ebro Delta (Sanchez-Arcilla et al., 1998) and the Yellow River Delta (Chu 70 et al., 2006). Urban expansion in deltas causes sand to be a valuable resource, up to the 71 point that the entire sediment input to the delta is extracted and used for the founda-72 tion of infrastructure and building material. Coastal protection works may arrest the shore-73 line retreat, but the impacts of sediment depletion may eventually become apparent in-74 side the delta channel network as scour. In deltas with a heterogeneous subsoil lithol-75 ogy, erosion processes lead to the emergence of deep pits in the channel beds, putting 76 the protected embankments at risk (Sloff et al., 2013). Unprotected earthen dikes, such 77 as in the Ganges-Brahmaputra delta, can directly fail as a result of flow reorganization 78 triggered by human modifications (Bain et al., 2019). 79

Considering that sea-level rise, sand depletion, as well as human pressure on delta 80 land are expected to increase, conventional approaches to control delta landscapes may 81 become unsustainable. River embankments require progressively higher maintenance ef-82 forts, as the delta land behind the embankment subsides. Storm surge barriers cannot 83 be easily adjusted to keep up with the rising sea-level. Awareness has grown that in a 84 long-term perspective, hard and inflexible infrastructure in deltas may be inefficient, which 85 motivates the quest for sustainable, nature-based solutions to relieve the pressure on deltas 86 (Temmerman & Kirwan, 2015; Tessler et al., 2015). The development of nature-based 87 solutions, in turn, requires in-depth knowledge about the way in which deltas have gained 88 flood resilience in the geological past (Paola et al., 2011; Hoitink et al., 2017), and about 89 the potential triggers that may force part of the delta to another stable state. This can 90 be illustrated with the case of the Yellow River Delta (Fig. 1), where an uncontrolled 91 rerouting of the river is prevented using knowledge about avulsion (Moodie et al., 2019). 92

Here, we define a delta morphodynamic system to be resilient when it has the ca-93 pacity to recover from an extreme forcing at one of its boundaries, and is largely self-94 sustaining (i.e., not in need of high maintenance). In this context, extreme forcing in-95 cludes peak river discharges and storm surges. Accordingly, a delta may be considered 96 resilient when after an extreme river discharge event or a storm surge, morphodynam-97 ical processes quickly reverse the temporary impacts on the delta morphology, autonomously. 98 A more resilient delta returns more closely to the morphology it had prior to the event, 99 which is dependent on general wave climate, discharge dynamics, tidal regime and the 100 sedimentary and biotic characteristics. In this contribution, we set out to introduce grand 101

challenges that need to be overcome before this form of delta resilience can be fully understood, and eventually quantified.

We focus on emerging processes that are notoriously difficult or costly to reverse, 104 and that are specific to deltas as opposed to coastal plains in general. Within this fo-105 cus area, four key manifestations of delta instability in an anthropocentric context can 106 be identified: river bank failure, persistent channel incision or siltation, avulsion, and regime 107 change to hyper-turbidity. Each of those four processes occurs both in natural deltas and 108 in human modified deltas. They represent poorly reversible, or even irreversible trans-109 formations of the morphodynamic system, at least at the time scale of decades. Both 110 natural and human-modified deltas have variable degrees of resilience, and are subject 111 to study herein. It is our intention to address the weaknesses in current approaches that 112 aim to analyse and quantify delta resilience, and to propose promising analysis tools that 113 may help to improve the predictive power of various types of models. Considering sea-114 level rise, an improved capacity to predict delta stability is urgently needed. 115

In section 2, we discuss the knowledge gaps for each of the four selected manifestations of delta instability. In section 3, potential analysis tools are evaluated that can be employed to anticipate and prevent the uncontrolled state changes described in section 2. Such tools allow to quantify resilience, and to identify early warning indicators. Section 4 discusses the key challenges that need to be overcome when applying new analysis tools discussed in section 3.

¹²² 2 Manifestations of delta instability

2.1 River bank failure

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Failure of river banks may cause catastrophes that are well documented in the mem-124 ories of communities living in coastal lowlands (Fig. 2). Although the rate of change of 125 channel planforms generally reduces towards the coast (e.g., Hoitink et al., 2017), bank 126 retreat rates of distributary channels can be significant. For example, Pilarczyk (2004) 127 reported retreat rates of up to 20 m a^{-1} on the Mekong River Delta, while J. Walker et 128 al. (1987) observed retreat rates as large as 11 m a^{-1} in the Colville River Delta. Bank 129 erosion is a natural process and most commonly occurs at cut banks of meandering rivers 130 during long-term, gradual adjustment of river planform. Pervasive bank erosion is there-131 fore used as an indicator of channel instability, such as persistent channel incision or sil-132 tation (e.g., Schumm et al., 1984), or a precursor of avulsion. River bank retreat is pri-133 marily caused by two erosion processes: surface erosion (also termed hydraulic or flu-134 vial erosion) and gravity-induced mass failures or bank collapses (e.g., Langendoen & 135 Simon, 2008). Surface erosion occurs when the forces exerted by surface and groundwa-136 ter flows exceed the erosion resistance of the bank soils. Stream bank mass failure oc-137 curs when the gravitational force, that is the weight of the failing bank, exceeds the shear 138 strength of the bank materials (Thorne et al., 1982; Lawler, 1993; Thorne et al., 1998b,a). 139 The overall erosion resistance and shear strength of bank soils is affected by soil phys-140 ical and chemical properties, soil organics, soil water chemistry, pore water pressures and 141 the presence of riparian vegetation. Some authors consider subaerial weathering of bank 142 material as a third erosional process or agent (Couper & Maddock, 2001). However, it 143 is typically seen as a preparatory process that makes bank material more susceptible to 144 surface erosion or mass failure. 145

Papanicolaou et al. (2006) identified key areas in need of further research for the above processes (Table 1), which are similar to those described by Rinaldi & Nardi (2013). At present, limited progress has been made to address these needs. Improvements in highresolution measurement techniques has resulted in improved quantification of flow resistance provided by bank roughness in general (Konsoer, 2014; Leyland et al., 2015) and by vegetation specifically (e.g., Hopkinson & Wynn, 2009; Nepf, 2012; Aberle & Järvelä,



Figure 2. Catastrophic dike breaches such as near the city of Bemmel in The Netherlands (1799) are engraved into the memories of communities living in river landscapes. Drawing by Christiaan Josi, 1802. Image courtesy: Rijksmuseum Amsterdam.

2013). Unfortunately, these advances have not led to generalized formulations of bank 152 erodibility and surface erosion rates. Soil erodibility is controlled by a multiplicity of soil 153 and soil water physical and chemical properties with varying impacts that make soil erodi-154 bility highly variable in space and time, and therefore site specific (Konsoer et al., 2016), 155 which may prohibit the establishment of a universal model. Because of this complexity, 156 research on the lateral dynamics of distributary channels has mainly focused on quan-157 tifying the effects of fluvial and tidal hydrodynamics (e.g., Lentsch et al., 2018). How-158 ever, Motta et al. (2012) showed that floodplain soil erodibility could exert a greater in-159 fluence on river planform geometry and dynamics than the hydrodynamic processes. 160

Coupled monitoring of river hydrodynamics and bank erosion (Luppi et al., 2009; 161 Klösch et al., 2015) and the increasing use of computational models that include more 162 processes at smaller scales (Darby et al., 2007; Langendoen et al., 2016) have enhanced 163 our understanding of bank failure processes and their controls. However, the longitudi-164 nal (or three-dimensional) extent of a bank failure event and its ensuing impact on reach-165 scale channel morphodynamics are not taken into account (Klösch et al., 2009). The three-166 dimensional shape of a bank failure, hydrodynamics at time of failure, and bank soil strength 167 including effects of vegetation largely determine the size distribution and location of fail-168 ure blocks. Failure blocks are thought to limit long-term bank erosion (Wood et al., 2001; 169 Parker et al., 2011), but they can deflect the flow onto the bank thereby enhancing bank 170 erosion (Hackney et al., 2015). The role of failure blocks is thus ambiguous. 171

Leyland et al. (2015) showed that bank roughness co-evolves with erosion, possi-172 bly limiting bank retreat rates. Understanding this process is further complicated by the 173 wide range in spatial scales of the bank roughness components (Konsoer et al., 2017), 174 which form at different time scales, and the heterogeneity of bank material. The mul-175 tiplicity of length and time scales in bank erosion (Couper, 2004) has not been adequately 176 resolved to quantify streambank erosion at scales beyond the reach scale. A shift from 177 deterministic to probabilistic approaches may be needed to more accurately predict long-178 term bank erosion at reach scales. Furthermore, morphodynamic models do not adequately 179 represent feedback mechanisms between vertical and lateral channel adjustment. Ver-180

Table 1. Summary of major research needs identified by Papanicolaou et al. (2006). The asterisks after the research needs indicate the level of progress made at this time: **, no or very limited progress; and *, some progress. The absence of an asterisk indicates major progress.

Preparatoru	
Experimental quantification of the effects of sub-aerial processes on the reduction of erodibility and shear strength parameters	*
Surface Erosion	
Generalized formulation of erosion rate for both cohesive and cohesionless materials	*
Model for soil detachment or erodibility coefficient of cohesive materials and cemented cohesionless materials	* *
Measurement techniques for erodibility coefficient	*
Improved calculation of local applied hydraulic shear stress that accounts for turbulence, 3-D effects, vegetation, etc.	*
Effects of vegetation on erosion-resistance parameters	*
Bank mass failure	
Effects of soil water dynamics on soil shear strength: seepage forces, liquefaction, etc.	*
Longitudinal extent and shape of bank failure	* *
Break up and fate of collapsing bank material	* *
Root reinforcement provide by both fine $(< 1 \text{ cm})$ and coarse $(> 1 \text{ cm})$ roots	*
Extending the assessed failure types beyond simple cantilever or planar failure types	*
Combining multi-dimensional computer models of free surface hydrodynamics, soil water dynamics, and slope stability	

tical growth of bars and islands steer flow onto the opposing bank, thereby increasing
bank erosion rates resulting in changes in channel planform, such as channel sinuosity
and bifurcation asymmetry, which controls distributary channel network growth (Shaw
& Mohrig, 2014). Physically-based simulation of bank erosion mechanics in multi-dimensional
morphodynamic models is complicated as the bank steepness cannot be represented on
the mesh given the horizontal size of deltas, necessitating subgrid-scale models of bank
geometry and erosion mechanics (Langendoen et al., 2016).

188 2.2 Avulsion

River channel avulsions are characterized by rapid channel relocations (i.e., jump-189 ing), rather than gradual migration (Slingerland & Smith, 2004). They have been stud-190 ied extensively in modern environments, using physical experiments, and in the ancient 191 rock record (e.g., Kraus, 1996; Mohrig et al., 2000; Reitz et al., 2010). Channel avulsions 192 are driven by in-channel aggradation that forces the flow out of the channel, as well as 193 through erosion of the river levee induced by overland flow during floods, which gener-194 ates crevasse splays (e.g Edmonds & Slingerland, 2009; Hajek et al., 2012). These op-195 erations may occur mutually, or independently. 196

Fluvial-deltaic landscapes are heavily relied upon for societal welfare (Vörösmarty 197 et al., 2009) and as such deltaic avulsions (particularly those that are unintended/unpredicted) 198 can profoundly affect people. Numerous engineering practices and scientific studies have 199 been leveraged to better understand avulsions and constrain their mechanics. Efforts have 200 sought to limit the occurrence of natural avulsions, however, deltas inherently grow and 201 maintain through the periodic relocation of the fluvial depocenter, which provides sed-202 iment, water and nutrients to sustain coastal landscapes. Hence, an important scientific 203 forefront is identifying a balance between protecting infrastructure located on deltas while 204 continuing to nourish these delicate landscapes. This goal requires physically-based mod-205 els to better predict and understand avulsions, and the research is motivated by a need 206 to inform a wide-range of scientific communities that aim to sustain deltaic coastlines. 207

Deltaic avulsions arise over a variety of scales: lobe building avulsions are periodic, 208 and occur near the transition from normal to backwater hydrodynamic flow conditions 209 (Jerolmack, 2009). Here, a decline in water-surface slope lowers sediment transport ca-210 pacity, resulting in sediment accumulation to the channel bed (J. A. Nittrouer, 2013). 211 The location for this occurrence is estimated using a backwater length scale approxima-212 tion: $L_b = H/S$, where H is the characteristic flow depth and S is the along-stream 213 slope of the system (Paola & Mohrig, 1996). The characteristic time scale for this type 214 of channel avulsion is estimated as the quotient of the channel depth and rate of chan-215 nel bed aggradation (Jerolmack & Mohrig, 2007). While this provides a first-order ap-216 proximation for most deltaic systems, recent investigations have demonstrated that the 217 avulsion timescale is better characterized as half of the channel depth (Ganti et al., 2014; 218 Moodie et al., 2019); in essence, channels do not completely fill with sediment before avuls-219 ing. Meanwhile, splays, or incomplete avulsions, may arise during a single flood event. 220 Bay fill avulsion events are essentially sustained splays (over multiple flood cycles), and 221 distributary mouth bar avulsion events can occur at the distal end of fluvial channels 222 entering the marine basin, and these are quite dynamic, particularly during floods (Fagher-223 azzi et al., 2015). 224

It has been recognized that rivers maintain an avulsion "clock" (Chadwick et al., 2019), but the occurrence of avulsions is stochastic and, as such, difficult to predict. While lowland deltaic river systems typically avulse during major flood events, not every flood causes an avulsion. As an example, consider the Mississippi River delta, one of the most studied coastal deltas of the world. Avulsions in this river indeed constitute a threat to stable human habitation in deltas: this landform is occupied by approximately 1.5 million people, and the Port of Louisiana is one of the largest in the Western Hemisphere

(in volume trade). As such, levees have been built to corral river flooding and prevent 232 an avulsion. The time scale of avulsion for the Mississippi system, over the Holocene, 233 is approximately every millennium. As the U.S. Army Corps of Engineers is keenly aware, 234 the Mississippi River channel is due for an avulsion into the Atchafalaya distributary chan-235 nel. Such a disturbance has been deemed unacceptable because of its potential impact 236 on society, and so significant resources have been expended to install infrastructure to 237 prevent this avulsion. Specifically, the Old River Control Structure, constructed in the 238 1960s and modified onward for several decades, presently maintains a 70-30% split be-239 tween the Mississippi and Atchafalaya Rivers (respectively). However, as a consequence 240 of the design and operation of this diversion, the proportional volume of sediment nec-241 essary to maintain equilibrium transport conditions is not properly allocated between 242 the two subordinate channels, and so over the past several decades, the mainstem Mis-243 sissippi River has experienced significant sedimentation (Heath et al., 2015). Ironically, 244 over time, the Old River Control Structure is rendering the system even more suscep-245 tible to natural failure by shortening its avulsion timescale. 246

This lesson offers insight into a conundrum of fluvial-deltaic science, particularly 247 as applied to societal sustainability: as a consequence of the non-linear relationships that 248 exist between water discharge, boundary shear stress, and sediment transport, it is chal-249 lenging to partition water at a bifurcation and expect the transport capacities between 250 the two subordinate channels to match the main stem (i.e., the sum of the parts often 251 does not match the total). Hence, sediment deposition may arise at channel bifurcations 252 (Dong et al., 2016). In turn, engineering a bifurcation (e.g., the Old River Control Struc-253 ture) proves complicated, because it necessitates extracting bed material sediment (the 254 fraction of sediment most susceptible to variable boundary stress conditions) and wa-255 ter at controlled ratios. This is difficult, however, because bed material is in highest con-256 centration in proximity to the channel bed, and so appropriately partitioning this sed-257 iment necessitates building deep diversions (Kenney et al., 2013). 258

As was postulated by Edmonds & Slingerland (2008), asymmetrical apportioning 259 of water at a delta bifurcation (i.e., to maintain stability) approaches stability at approx-260 imately a 60-40% split, and this ratio could help maintain morphodynamic stability at 261 a delta bifurcation. However, as delta systems in nature possess a wide-range of bifur-262 cation orders, and as a universal theory for bifurcation order for a given deltaic system 263 remains elusive, there still exists uncertainty about the extent to which engineering delta 264 bifurcations (i.e., for the sake of nourishing coastlines) can maintain equilibrium trans-265 port conditions and maximize water and sediment distribution to the coast. 266

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2.3 Persistent channel incision or siltation

Processes of persistent channel incision and siltation in alluvial channels have long 268 been studied to better understand landscape evolution, where the coastal connection is 269 typically represented as a constant or slowly varying mean sea-level (e.g., Pritchard et 270 al., 2009). The stability of channel beds in delta areas is complicated by the tidal mo-271 tion and other causes of sea-level fluctuations, forcing the surface level in the region where 272 alluvial sediment reaches the coast. This triggers backwater and drawdown conditions 273 of the mean water level profiles (Chatanantavet et al., 2012), which are influenced by the 274 tides (Kästner et al., 2019). Recent studies have addressed the equilibrium of longitu-275 dinal profiles of tide-influenced fluvial channels based on one-dimensional models, assum-276 ing uniform sediment and neglecting the effects of density differences imposed by the freshwater-277 saltwater interface (Guo et al., 2014; Canestrelli et al., 2014; Bolla Pittaluga et al., 2015). 278 Generic understanding of bed level profiles in tide-influenced delta channel networks is 279 largely limited to those idealized conditions. The long-term consequences of changes in 280 river discharge regime, reduced sediment supply, sea-level rise, infrastructure and sub-281 sidence are therefore uncertain. It is unclear towards which new state a real world delta 282 channel that shows persistent channel incision or siltation will develop. Hereafter, we briefly 283

review examples from the Ganges-Brahmaputra-Meghna Delta and the Rhine-Meuse Delta,
 illustrating how a myriad of factors complicates disentangling causes and effects.

Starting in the 1960s, about 5000 km^2 of tidal deltaplain in the Ganges-Brahmaputra-286 Meghna Delta in Bangladesh have been embanked for agricultural use (C. Wilson et al., 287 2017), resulting in a vast poldered area which neighbors the near-pristine Sundarbans 288 to the North. The construction of the embankments has caused widespread sedimenta-289 tion and channel infilling (Addams Williams, 1919; Mahalanobis, 1927; Mukerjee, 1938; 290 Alam, 1996). The presence of polders has led to an amplification of the tidal range in-291 land and has immediately affected the connectivity of the tidal deltaplain, by cutting off 292 more than 1000 km of tidal creeks once responsible for connecting the islands to the main 293 tidal channels (Pethick & Orford, 2013). The presence of the embankments and their al-294 terations to the hydrodynamics of the tidal plain have resulted in the infilling of more 295 than 600 km of channels, impacting navigation pathways in the area (C. Wilson et al., 296 2017). This has led to the creation of more than 90 km^2 of new land since the embank-297 ments have been built, land that is referred to as "Khas", meaning new (C. Wilson et 298 al., 2017). To arrest the infilling of channels, tidal river management strategies are be-299 ing developed, which rely on temporary removal of an embankment to increase the vol-300 ume of water moving in and out the adjacent tidal channel over a tidal cycle. Tidal river 301 management counteracts channel siltation and raises the polder level, which mitigates 302 subsidence (van Staveren et al., 2017). 303

Similarly, the Rhine-Meuse Delta accommodates a branching channel network where 304 human controls over channel morphology has systematically increased over the past cen-305 turies, while sediment supply has dropped. The engineering measures include normal-306 ization, creation of new rivers, construction of a storm surge barrier and deepening of 307 the main navigation channel, which altogether overwhelm the effects of sea-level rise on 308 the mixed fluvial-tidal hydrodynamics and the associated morphodynamic developments 309 (Vellinga et al., 2014). Harbors connected to the main navigation channel are efficient 310 mud traps, where fine sediment originating from the rivers Rhine and Meuse accumu-311 late (De Nijs et al., 2009). The storm surge barrier has closed off the main estuarine branch 312 in the delta, whereas a subordinate channel has been incrementally deepened to facil-313 itate shipping. The channels connecting the closed estuary and the shipping channel are 314 subject to incision in a heterogeneous subsoil by strong tidal currents (Sloff et al., 2013; 315 Huismans et al., 2016). Gaps in a poorly erodible top layer lead to deep scour holes, jeop-316 ardizing the embankment. The storm surge barrier is partly being reopened, albeit in-317 sufficiently to alleviate the problems of scour. Currently, the sediment capturing capac-318 ity of intertidal areas is gaining recognition, leading to small-scale "depoldering" projects 319 (van der Deijl et al., 2019). Re-opening of storm surge barriers and returning previously 320 reclaimed land to the marine environment reveal a paradigm shift in delta management 321 (Wesselink et al., 2015; Warner et al., 2018). The pragmatic approach to counteract prob-322 lems of channel incision and siltation is in need of a stronger scientific substantiation. 323

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2.4 Turbidity regime change

If the tendency for accretion is counteracted by dredging, instability of the sedi-325 mentary system may still manifest itself in the form of extremely high concentrations 326 of suspended sediment. The resulting hyperconcentrated flow conditions and the asso-327 ciated layers of fluid mud covering channel beds (Talke et al., 2009) pose a serious threat 328 to the ecology of modern estuaries and deltas. In general, channel deepening to accom-329 modate larger ships is considered the main cause of such unfavourable conditions. Larger 330 depths typically amplify the tidal range and enhance flood dominance, up to a tipping 331 point for which the system undergoes a critical transition to a high-turbidity state (Win-332 terwerp & Wang, 2013; Winterwerp et al., 2013; van Maren et al., 2015). This regime 333 change is attributed to a positive feedback cycle between tidal amplification, flood dom-334 inance, mud accumulation, and reduced hydraulic drag caused by mud at the bed. 335

The state switch from moderate suspended sediment concentrations to a hypercon-336 centrated state is critically dependent on the threshold for erosion, whereas there is no 337 consensus on the best theoretical description of erosion (Sanford & Maa, 2001; Mehta, 338 2013). Hyperconcentrated flow may occur if this threshold is persistently exceeded and 339 supply-limited conditions are prevalent (Dijkstra et al., 2018). The possible switch to 340 hyperturbidity will then depend on the availability of fine-grained material, which can 341 be limited. The regime transitions between the two states show hysteresis, which can be 342 attributed to hindred settling. Once a sufficiently large amount of fine material has ac-343 cumulated within the system, the transition becomes nearly irreversible. Switching back 344 to the previous regime may require not only restoring the former shallow bathymetry, 345 but also the removal of all accumulated fine sediment. 346

The loss of intertidal area has the same qualitative effect as channel deepening and 347 narrowing: enhanced flood dominance and reduction of the accommodation space where 348 fine sediment can settle. Beyond this, little is known about how geometrical properties 349 of intertidal areas control the propensity for attaining a possible tipping point where the 350 system switches to hyperconcentrated flow conditions. Altough the basic mechanism that 351 leads to hyperturbidity recently has been captured in idealized models (Dijkstra, Schut-352 telaars, Schramkowski, & Brouwer, 2019; Dijkstra, Schuttelaars, & Schramkowski, 2019b), 353 and used to estimate the propensity for a regime shift (Dijkstra, Schuttelaars, & Schramkowski, 354 2019a), the predictive capacity of those tools is yet to be confirmed and may be limited 355 by a large number of simplifications. There is a need to quantitatively explain how al-356 ternative geometrical configurations of channels with intertidal areas influence the crit-357 ical transition between regular and hyperconcentrated flow conditions. 358

³⁵⁹ **3** Potential resilience analysis tools

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3.1 Numerical modelling of deltas

A wide range of numerical modelling tools exist to analyze and predict the man-361 ifestations of delta instability discussed in the previous section. Simulation models have 362 been proposed for all hydrodynamic, sedimentologic, biotic and biogeochemical processes. 363 The time and space scales resolved in those models are coupled: relatively small-scale 364 processes such as bank failure, channel scour and dredging operate on seasonal to yearly 365 timescales, whereas avulsion and turbidity regime changes, which act over a larger re-366 gion, occur on periods of years to centuries. The largest scale delta developments such 367 as delta lobe switching are regulated by boundary conditions fluctuating over timescales 368 ranging from centuries to millennia. Within this spectrum, model approaches covering 369 timescales up to hundreds of years are most directly relevant in the context of analysing 370 delta resilience. 371

Numerical models strongly vary in their degree of complexity. More complex mod-372 els are less suitable for long-term simulations, because of the associated large computa-373 tional effort, and also because they are prone to error accumulation (Hajek et al., 2012). 374 High complexity models have traditionally been designed as engineering tools to inves-375 tigate the short-term impacts of local interventions, and may reveal the dominant sed-376 iment transport mechanisms in delta channel networks. They are increasingly used in 377 an exploratory mode as well, combining complex formulations and non-linear interac-378 tions with simplified geometries to investigate morphodynamic processes in rivers (van 379 Maren, 2007; Schuurman et al., 2013), estuaries (Hibma et al., 2003; Marciano et al., 2005; 380 Van der Wegen & Roelvink, 2008), or the dynamics of mouth bars (Nardin & Fagher-381 azzi, 2012; Nardin et al., 2013; Mariotti & Fagherazzi, 2013). 382

Idealized models are specifically designed to focus on processes that are considered essential to describe a particular phenomenon under consideration (e.g., Kim et al., 2009; Schuttelaars et al., 2013). Such a phenomenon is described with mathematical equations

that capture the response of specific processes to changes, such as channel deepening. 386 Idealized models are fast, and enable to study model responses to a broad domain of the 387 parameter space; however, these models lack complex physics and topography. It is there-388 fore not obvious to what extent schematised models truly mimic the natural system they represent. Reduced complexity models are placed in between these process-based and 390 idealized models, and are based on rules designed to mimic physics. For example, DeltaRCM 391 (Liang, Voller, & Paola, 2015; Liang, Geleynse, et al., 2015) has been used to quantify 392 the response of delta systems to sea-level rise (Liang, Van Dyk, & Passalacqua, 2016) 393 and subsidence (Liang, Kim, & Passalacqua, 2016). In a similar fashion, the Coastal Evo-394 lution Model (Ashton et al., 2001) quantifies the response of the coast to waves. The ma-395 jority of these model studies address abiotic processes only, but since the work of Tem-396 merman et al. (2005), the important role of biology is increasingly accounted for (Fagher-397 azzi et al., 2012). 398

Whether or not a model is appropriate to quantify delta resilience in terms of the 399 capacity to recover from extreme events depends on the time-scales related to the inves-400 tigated changes (T_c) and the time-scale at which the model attains dynamic equilibrium 401 (T_e) , which is referred to as the morphological spin-up time. Dynamic equilibrium is of 402 minor relevance when $T_c \ll T_e$. The latter may often apply when investigating the short-403 term impact of direct human interventions such as sand extraction on tidal dynamics, 404 allowing the use of high complexity numerical models. Changes in natural systems, or 405 long-term effects of external changes (often resulting from human interventions), are gov-406 erned by time-scales larger than the equilibrium time-scales. Turbidity regime changes, 407 as well as delta planform topology developments, typically respond at time-scales $T_c \geq$ 408 T_e . Such processes can only be addressed with reduced complexity or idealized models, 409 forced with simplified initial and boundary conditions. 410

Reduced complexity models resemble river deltas or estuaries in a qualitative sense, 411 but their spatial resolution is typically too limited to implement local human interven-412 tions. Multiple human interventions are often carried out simultaneously, or at least within 413 a period shorter than the morphological adaptation time scale T_c . For instance, in many 414 deltas, the channels have been deepened, bends were straightened, intertidal areas have 415 been reclaimed and the discharge distribution is modified by upstream dams in a period 416 spanning several decades, each of which may have morphological timescales of decades 417 or more (depending on the system size). The fact that such interventions can only lim-418 itedly be quantified with equilibrium models (as these lack the required spatial resolu-419 tion), and often operate together, complicates identifying causes and effects. The syn-420 thetic equilibrium morphologies generated by numerical models may strongly differ from 421 real-world topographies. The alternative, using realistic topography as a starting point 422 in morphologic calculations, is hampered by the spin-up time, which may exceed the re-423 sponse period associated with an isolated intervention (T_c) . Idealized models are in essence 424 equilibrium models, and therefore their response is not influenced by spin-up time. How-425 ever, they suffer from the same drawback as reduced complexity models. Their topog-426 raphy differs too much from reality to implement detailed measures and they miss part 427 of the physics, such that the applicability is uncertain. 428

The morphological imprints of an extreme event can be widespread, and depend 429 on the detailed human interventions designed to counteract the negative effects of ex-430 tremes. To date, state-of-the-art high complexity numerical models are mainly used to 431 analyse flood resilience based on hydrodynamic simulations, without resolving morpho-432 logical developments (e.g., Islam et al., 2019; Ferrari et al., 2020). The use of flexible meshes, 433 in which the resolution is high in a focus area and low in the rest of the delta, has the 434 potential to adopt a hybrid approach in morphodynamic modelling, to bridge the gap 435 between local processes such as a dike breach and the delta-scale effects on delta mor-436 phology. Other promising developments include increased data availability and improved 437

data quality, which may reduce the spin-up time by enhancing the agreement between initial and boundary conditions, and help validating all three types of models.

3.2 Use of empirical relations

440

The process-based modeling approaches described in Section 3.1 are complemented 441 by empirical studies that use field data from modern (and ancient) river systems, to con-442 strain delta behavior. Deltas that have evolved over the past millennia may inform about 443 delta resilience, as they experienced multiple extreme events. The famous tripartite delta 444 classification diagram by Galloway (1975) distinguishes form and morphology of delta 445 systems based on the influences of the fluvial system, delivering water and sediment to 446 the delta, relative to the influences of tides and waves. With the accessibility of global 447 remote sensing databases, the physical attributes of deltaic systems are becoming increas-448 ingly better quantified (Syvitski et al., 2005; Syvitski & Saito, 2007; Caldwell et al., 2019; 449 Nienhuis et al., 2015, 2018). Empirical trends emerge that may serve to validate the out-450 comes of physical and numerical modeling experiments. In this regard, it is possible to 451 use "space for time" substitution, insofar that at a given site it is possible to map a tra-452 jectory of future change by modifying particular boundary conditions (e.g., relative sea-453 level rise, temperature, wave climate), using examples provided from other sites that main-454 455 tain similar environmental conditions to render comparisons. Hence, by investigating a large empirical dataset of deltas that are currently experiencing a set of predicted con-456 ditions, it is possible to estimate future change for a particular site in question. 457

Information from global remote sensing images adds to stratigraphic records, which 458 have proven paramount in developing emprical relations to describe avulsion dynamics. 459 For example, as described in Section 2.2, field evidence indicates that the delta apex is 460 set by the onset of backwater flow, where sedimentation facilitates avulsions (Jerolmack 461 & Mohrig, 2007; Jerolmack, 2009; Chatanantavet et al., 2012). Despite the importance 462 of the backwater length scale for deltas emerging from rivers over a range of sizes (lab-463 oratory to continental-scale), empirical evidence, bolstered by theoretical improvements, 464 indicates that the location of the avulsion node varies by a factor of three (Shaw, Mohrig, 465 & Wagner, 2016; Ganti et al., 2016; Moodie et al., 2019). This suggests that a zone rather 466 than one particular spot is susceptible to avulsion. 467

Sediment transport and the associated bedform dynamics are key processes gov-468 erning delta resilience that remain heavily reliant on empirical relations. Sediment trans-469 port algorithms typically require inputs for boundary shear stress, or grain shear stress 470 (i.e., boundary stress adjusted for form drag), and critical shear stress of particle mo-471 bility, but are modified depending on size and scale of the system from which they were 472 developed. These modifications typically emerge as "tuning parameters"; for example, 473 exponents and coefficients that may be adjusted to match theory and measurements. Some-474 times, forcing algorithms to fit an ensemble of data for which they may not have been 475 expressly developed can lead to insight regarding system operations. An example is found 476 for the Yellow River Delta (China), a large and lowland sand-bed system with high sed-477 iment concentration. The best tested semi-empirically based total load equation devel-478 oped to estimate bed material sediment discharge, the Engelund-Hansen sediment trans-479 port formula (Engelund & Hansen, 1967), under predicts sediment load for the Yellow 480 River by a factor of twenty (Ma et al., 2017). Based on a compilation of data, Ma et al. 481 (2020) introduced an alternative, universal relation for sediment transport in fine-grained 482 environments typically found in deltas. Predicting delta response to changes in bound-483 ary conditions implies predicting sediment transport rates, which is still a field where 484 leaps forward can be made. 485



Figure 3. Airborne radar allows to monitor shallow bathymetry at high resolution, complementing shipborne hydrographic surveys. Left: image of Wax Lake Delta from an Uninhabited Aerial Vehicle Synthetic Aperture Radar (May 6, 2015). Right: Corresponding image based on a single beam sonar surveys (February 2015). Adjusted from Shaw, Ayoub, et al. (2016)

3.3 Continuous observation

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High resolution, continuous monitoring allows direct observation of the hydrody-487 namic and morphodynamic responses to abrupt and gradual changes in boundary con-488 ditions. Modern monitoring is being accomplished through distributed networks of in 489 situ sensors, and through a variety of space-based remote sensing techniques. For exam-490 ple, a new approach to continuous monitoring of flow and discharge exploits measure-491 ments from a horizontal acoustic Doppler profiler, which can collect horizontal flow pro-492 files across dynamic delta channels (Sassi, Hoitink, & Vermeulen, 2011; Kästner et al., 493 2018). A diverse array of sensors on satellites provide ways of sensing wetland land-use, 494 suspended sediment, topography, and their changes in time (Xia, 1998; Klemas, 2013). 495 Such data sets have been important for recent analyses of water surface change and in-496 undation patterns in delta systems (e.g., Brakenridge et al., 2013; Donchyts et al., 2016; 497 Wagner et al., 2017; Besset et al., 2019), which contributes to an understanding of re-498 covery after extreme events. 499

While spatial and temporal resolution of remote sensing platforms continues to im-500 prove, the resolutions and degree of precision depend on the environment. In particu-501 lar, airborne remote sensing techniques are limited beneath the water surface in turbid 502 conditions associated with river deltas. This is a persistent problem for shallow coastal 503 and deltaic systems. Widespread use of Light Detection and Ranging (lidar), structure-504 from-motion, and other techniques in terrestrial environments can produce high-resolution 505 digital elevation models interpolated from over one thousand measurements/m² (Pas-506 salacqua et al., 2015). Lidar and multispectral techniques can also work well to measure 507 bathymetric surfaces in clear-water systems, where it is possible to measure up to 70 m 508 deep (e.g., Wedding et al., 2008; Brock & Purkis, 2009). However, because light pass-509 ing through water is both attenuated as a function of water depth and color, and scat-510 tered by particles, measurements are limited to less than 6 m, where turbidity is affected 511 by sediment concentrations of 0.2 - 9 mg/l (Gao, 2009). Considering that suspended sed-512 iment concentrations in most delta systems regularly exceed 100 mg/l (N. D. Walker & 513 Hammack, 2000; Falcini et al., 2012; F. Buschman et al., 2012; Hale et al., 2019; Eidam 514

et al., 2019), optical sensing of the water-sediment interface in deltaic systems is rarely possible below a few decimeters. This limitation severely hinders the ability to detect rapid changes in shallow bathymetry, which can include erosion and deposition exceeding 1 m over a single flood or storm event (Jaramillo et al., 2009; Khan et al., 2013; Hale et al., 2014; Shaw & Mohrig, 2014).

The limitations of remotely sensing the subaqueous portion of deltas means that 520 data must be collected through slow and costly boat-based surveys, or autonomous water-521 borne vehicles. Multi-beam echosounding is the current state of the art, and can map 522 523 the water-sediment interface at high resolution (J. Nittrouer et al., 2011; Maloney et al., 2018). While the resolution of multi-beam bathymetry can approach that of lidar, data 524 collection rates are orders of magnitude slower due to vessel speed limitations. Further, 525 the utility of a multibeam system decreases as shallow water constrains its survey foot-526 print. Surveying in very shallow regions is limited to single-beam sounding (Shaw & Mohrig, 527 2014) or walking RTK GPS campaigns (Eekhout et al., 2014; Olliver & Edmonds, 2017; 528 Ritchie et al., 2018). Distributed networks of monitoring stations in coastal marshes, such 529 as the Coastwide Reference Monitoring System (CRMS) in coastal Louisiana, are be-530 coming an essential tool for monitoring wetland resilience and sustainability in many 531 deltas (Hensel et al., 1999; Jankowski et al., 2017; Ibáñez et al., 2010; Auerbach et al., 532 2015). However, such networks have not yet been extended to the delta front or open 533 bays, where bathymetric change can be far more rapid (Ganju et al., 2017; Eidam et al., 534 2017). 535

These practical limitations in resolution have important implications for coastal 536 digital elevation models of river deltas, which ideally span the subaerial and subaque-537 ous environments. Coastal DEMs integrate measurements collected with many differ-538 ent survey techniques with varying resolution, and use datasets collected decades apart. 539 The state-of-the-art USGS CoNED DEM of the northern Gulf of Mexico¹ integrates mea-540 surements collected between 1880 and 2013. NOAA navigation charts show that it is gen-541 erally the shallow coastal regions away from shipping lanes where decades-old measure-542 ments continue to be used (NOAA Chart 14852, NOAA Chart 11351). The resolution 543 is relatively weak in the shallow coastal zone below 0 m, yet this is precisely where sig-544 nificant bathymetric change should be expected. These topobathymetric digital eleva-545 tion models form an essential boundary condition for many hydrodynamic models, in-546 cluding hurricane storm surge (Hope et al., 2013; Xing et al., 2017), and flood and tidal 547 modeling (Xie et al., 2017; Vinh et al., 2014; Gaweesh & Meselhe, 2016). While such mod-548 els are validated to varying degrees, it is likely that modeling can be improved, partic-549 ularly in very shallow regions, with improved DEMs. 550

The need for direct remote sensing of the water-sediment interface has been cir-551 cumvented by several creative techniques that use knowledge of coastal hydrodynamics 552 to obtain estimates of bathymetry based on water surface features. One well-established 553 example of this involves using synthetic aperture radar (SAR) backscatter to estimate 554 shallow bathymetry. While radar cannot penetrate water, radar backscatter can quan-555 tify water surface roughness (Alpers & Hennings, 1984; Alpers et al., 2004). SAR can 556 potentially resolve uneven bathymetry in turbid environments and has been used to de-557 tect large shallow marine sand banks (Fu & Holt, 1982; Hennings, 1998) and tidal bar 558 features (Vogelzang, 1997; Calkoen et al., 2001). Videos of depth-limited breaking waves 559 can be used to estimate subaqueous topography and evolution (Harrison et al., 2017), 560 and streaklines composed of thin films on the water surface allow the ability to map the 561 flow direction field in aerial or satellite imagery (Shaw, Mohrig, & Wagner, 2016). By 562 interpreting the divergence of the flow direction field, the location of subaqueous chan-563 nel tips can be estimated from streaklines (Shaw et al., 2018). The resolution produced 564 by these new techniques is low compared to direct altimetry, and ideal hydrodynamic 565

¹ https://topotools.cr.usgs.gov/topobathy_viewer/dwndata.htm

conditions are required (Cathcart et al., 2020). Even so, the shallow coastal zone on river
 deltas is vast and difficult to measure, so the systematic use of these techniques has the
 potential to significantly improve coastal digital elevation models.

The extensive data sources from remote sensing increases the need for the devel-569 opment of automatic tools capable of extracting relevant information without or with 570 limited user intervention. Most of the existing empirical studies discussed in section 3.2 571 focus on a few deltaic systems, or require extensive manual operations. With the aid of 572 machine learning techniques, and multiple sources of available data, the mapping of sur-573 574 face water change is now possible (Donchyts et al., 2016; Pekel et al., 2016; Isikdogan et al., 2017b), and deltaic networks can be extracted from remotely sensed observations 575 (Isikdogan et al., 2015, 2017a, 2018; Nienhuis et al., 2020). The automatic extraction of 576 river networks in deltaic environments remains a challenge, but there are no inherent ob-577 structions to developing such tools. 578

579

3.4 Information theory

Whether it be modelling or observational data, the analysis strategy requires care-580 ful consideration. Processes in deltas are active over a wide range of spatial and tem-581 poral scales; couplings are usually nonlinear, thus challenging the use of classic statis-582 tical approaches such as linear correlation analyses (Passalacqua, 2017). Yet, quantify-583 ing these couplings is fundamental to understanding how deltas respond to gradual and 584 abrupt changes in forcing. The manifestations of delta instability discussed in Section 585 2 are no exception, as they will be the net outcome of multiple processes acting at var-586 ious scales. Part of the solution to this issue is in linking causes and effects at their scales. As couplings are nonlinear, mathematical approaches such as network and graph the-588 ory and information theory are emerging as valuable approaches. 589

Network and graph theory are helpful to represent a system as complex as a delta 590 as a set of links and nodes, whose connectivity is captured in the adjacency matrix. Re-591 cent work has quantified delta network complexity based on this approach (Tejedor et 592 al., 2015a,b), the signature of sediment composition on this complexity (Tejedor et al., 593 2016), and the signature of delta forming processes on network structure (Passalacqua 594 et al., 2013). In the classical sense of sets of links and nodes, network representations 595 may be too simple to capture how fluxes are transported through delta networks. Ob-596 servations from the Wax Lake Delta in coastal Louisiana, for example, have shown that 597 a large portion of the channel discharge is transferred to the island interiors via secondary 598 channel and over-levee flow (Hiatt & Passalacqua, 2015), thus suggesting that delta net-599 works are leaky and the classic network model may not be always appropriate (Passalac-600 qua, 2017). More complex network representations, such as a *multiplex* may help in this 601 regard as they allow the representation of a system as a set of multiple networks in which 602 links are allowed within each layer and between layers. This approach has been recently 603 used to represent the transport of fluxes in channels and islands in delta systems (Teje-604 dor et al., 2018). 605

Another helpful concept is that of Entropy (Shannon, 1948), which is a measure 606 of the uncertainty contained in a variable. A nonlocal entropy rate has been recently ap-607 plied to modeled and natural deltas to quantify the self organization of delta networks 608 and to suggest an optimality principle behind it (Tejedor et al., 2017). Entropy is also 609 the basis for information theory metrics, such as Mutual Information (MI) and Trans-610 fer Entropy (TE), where information is defined as a reduction in uncertainty. In other 611 words, knowledge of how a variable (or more than one) reduces the uncertainty of an-612 other. MI is similar to the classic definition of correlation, although based on the prob-613 ability density function (pdf) of the variable rather than on the observations themselves. 614 MI thus measures how synchronized variables are. TE instead measures how knowledge 615



Figure 4. Connectivity of processes can be quantified from information theory applied to time series of key variables in a delta. Relationships are quantified in terms of the synchronization among variables (how much information they share) and their information transfer (how much one variable contributes information to the other). (a) Measured links among water level, discharge, wind, and tides at the Wax Lake Delta (LA, USA) from time series observations collected over three months. (b) Other possible connections of interest in deltas. Adjusted from Sendrowski & Passalacqua (2017).

of a variable and its past helps reduce uncertainty of another variable, by adding information that was not contained in the past of the variable itself.

A first application of these tools to deltaic environments is that of Sendrowski & 618 Passalacqua (2017) for the analysis of water level fluctuations on the Wax Lake Delta 619 in coastal Louisiana in response to wind, discharge, and tides (Fig. 4). The approach al-620 lows the quantification of process connectivity: viewing the variables as nodes of a net-621 work whose links are the couplings between these variables at a given scale or range of 622 scales (Passalacqua, 2017). This operation is important in deltaic systems were a given 623 change in water level or another variable may be caused by different factors at different 624 scales; for example, on the WLD, which maintains a micro-tidal regime, water level fluc-625 tuations result from discharge, wind, and tide variability are on the order of decimeters, 626 and are often compounding, regardless of the cause (Geleynse et al., 2015). Through an 627 analysis of process connectivity, it is possible to capture the response of water level to 628 each factor independently through time. Spatial differences can also be captured, with 629 hydrologically connected locations in the delta (e.g., an island with secondary channels) 630 responding at much faster scales than more hydrologically disconnected islands (e.g., is-631 lands with subaerial levees). 632

When applied to sediments, rather than water level fluctuations, it is more likely that forcing factors may act simultaneously. Another available approach, still based on the same mathematics, is to separate the synchronous, redundant and unique information from the MI, computed among sources and a sink. This approach has been successfully used with eco-hydrology variables (Goodwell & Kumar, 2017a,b). The main constraint for applying these tools is the requirement on the length of the time series (200300 to 500 data points depending on the method used for computing the probability density function). Thus, there is a need for identifying areas experiencing change, for example via remotely sensed observations, and then for focused collection of time series
data for the quantification of couplings.

A great advantage of applying information theory statistics to observations is also 643 validating numerical modeling results so as to guarantee that an answer is obtained for 644 the right reason. Sendrowski et al. (2018) compared the couplings among water level and 645 forcing factors measured in the field to those measured from numerical modeling results 646 obtained by running Delft3D under the same conditions as those experienced in nature. The results showed that, while the model was able to capture well the transport over chan-648 nels, the couplings with islands were not fully captured, particularly those related to small 649 time-scale wind speed fluctuations. The advantage of validating numerical modeling re-650 sults based on couplings is that it is possible to quantify the capability of a model to cap-651 ture the dynamics of a system under analysis, even if processes are missing, or its rep-652 resentation needs to be improved. 653

Additionally, once validated, numerical models can be used for measuring the ef-654 fect of a disturbance (e.g., changes in incoming sediment input, construction of embank-655 ments) by comparing the process network of a healthy system to that of a system un-656 der disturbance (quantified from synthetic data generated with the validated model). This 657 analysis can provide information on which parts of the system would be most affected 658 by the disturbance and at what scale. Similarly, an information theory analysis in com-659 bination with numerical modeling could be used to assess the predicted efficacy of a restora-660 tion design, by comparing the process network of the current system to that of the re-661 stored one. 662

663

3.5 Dynamical system theory

Towards predicting the key manifestations of delta instability as described in sec-664 tion 2, a strong focus on thresholds is warranted. Thresholds beyond which a positive 665 feedback mechanism comes into force are generally referred to as tipping points, which 666 may lead to bank collapse, avulsion, persistent channel depth change, or hyperturbid-667 ity. Dynamical system theory (DST) is a generic scientific framework to analyze tipping 668 points, broadly applied to explain resilience versus abrupt changes in nature and soci-669 ety (Scheffer, 2009). The use of concepts from DST in delta geomorphology has largely 670 remained limited to studies focussing on self-organization (Coco & Murray, 2007; Fagher-671 azzi, 2008; Rodriguez-Iturbe & Rinaldo, 2001) and biogeomorphology (Marani et al., 2010, 672 2013), but is rarely employed in its full extent. 673

In DST, regime shifts are known as catastrophic bifurcations, which can be illus-674 trated by the classical theory of a fold catastrophe (Figure 5, Scheffer (2009)). The equi-675 librium state of a system can respond in different ways to a change in conditions (left 676 hand panels). Systems can respond smoothly, as in panel (a), or abruptly, as in (b). Crit-677 ical transitions occur if the equilibrium curve is folded (panel c). Three equilibria can 678 then exist for a given condition. When the system is close to a bifurcation, as in F2, a 679 subtle change may cause a large shift to the lower limb. Close to such a bifurcation, a 680 perturbation can easily push the system across the boundary between the attraction basins, 681 as illustrated by the stability landscapes in the right hand graph. These bifurcation points 682 are tipping points where runaway change can produce a large transition in response to 683 a perturbation, referred to as a critical transition. Over the past decades, it has become clear there are multiple symptoms that announce if a critical transition is approaching. 685 In a wide range of complex systems, the value of generic indicators has convincingly been 686 demonstrated (Scheffer et al., 2009), which confirms that critical transitions are indeed 687 related. 688



Figure 5. Regime shifts in deltas may be interpreted as critical transitions in a fold system. Curves in the left panels depict equilibrium conditons as a function of forcing conditions. If the curve is folded, as in c, alternative equilibria exist for the same conditions. The arrows illustrate in which direction the system moves if it is not in equilibrium, showing all curves to be stable except for the dashed line in c. The dashed line can be interpreted as the border between two basins of attraction, as illustrated in the right figure. Adopted from Scheffer (2009)

Prior to a regime change, the temporal dynamics in key variables typically changes, 689 because the system is too far off from a stable state in which the system is resilient to 690 perturbations. This leads to critical slowing down (Strogatz, 2018), which refers to a marginally 691 stable situation for which rates of recovery are small. Slowing down tends to increase 692 the autocorrelation in temporal patters of fluctuations. In addition to an increased au-693 to correlation, the reduction in the rates of recovery manifests as an increase in the vari-694 ance of fluctuations, which has been formally demonstrated. In marginally stable situ-695 ations, perturbations are not anymore firmly attracted back to the stable state. The re-696 sulting increase in memory of a system can be quantified from wavelet analysis of time-697 series characterizing the system, which will reveal the loss of resilience. 698

Next to indicators in time-series that yield an early warning of critical transitions, 699 there exist a variety of spatial indicators (Kéfi et al., 2007; Maestre & Escudero, 2009; 700 Dai et al., 2013; Kéfi et al., 2014). The increased recovery time to local equilibrium af-701 ter a perturbation, caused by slowing down, leads to an increase of spatial coherence in 702 the system. The spatial coherence, in turn, can be quantified from the cross-correlation 703 using various types of metrics. An associated type of indicator is based on changes in 704 the characteristic shapes and sizes of patches in images (Rodriguez-Iturbe & Rinaldo, 705 2001). Close to a systemic transition, the increased coherence results in scale-invariant 706 distributions of patch sizes and other metrics that parameterize patch shape. In systems 707

characterized by self-organized regular patterns, specific spatial patterns that can be rec ognized from an image may announce a critical transition.

The dynamical systems theory explained above offers a powerful framework to anal-710 yse the resilience of deltas. Delta channels are continuously exposed to a variable river 711 discharge, which is a stochastic forcing that can be used to investigate the rates of re-712 covery in turbidity regimes and channel morphology, even in absence of direct observa-713 tions during critical transitions. Long-term data series of hydrodynamic variables and 714 turbidity can be used for this purpose, collected in regions where a regime shift may have 715 716 occurred. Now that available idealized models are capable of simulating a turbidity regime change and the transition from a stable tidal channel to a channel that tends to silt up, 717 it is possible to establish if these critical transitions are predictable from auto-correlation 718 and cross-correlation metrics. Using the modelling results and satellite images for delta 719 channels showing bank retreat, it is worth investigating if channel bank instability is pre-720 ceded by changes in hydrodynamic fluctuations and flow coherence. 721

722 4 Grand challenges

723

4.1 Closing the sediment balance on an annual time-scale

Several overarching challenges can be formulated that may steer the development 724 of analysis tools as described in the previous section. A primary target is to gain con-725 fidence in the fluxes, sources and sinks in the sediment balance of a delta channel over 726 an annual cycle, and to quantify how low impact-high probability conditions compare 727 to high impact-low probability events (e.g., Castagno et al., 2018). Such a comparison 728 is crucial in analysing delta resilience, as they inform about regime change thresholds. 729 Typically, in-situ collected data fails to cover the spatial and temporal resolution and 730 accuracy necessary to explain morphodynamic changes from differences in estimated sed-731 iment transport rates. Even in the Rhine-Meuse Delta in The Netherlands, an example 732 of an intensively monitored delta system, the data on sediment transport across the bound-733 aries and sediment extraction by dredging are still insufficient to explain the sediment 734 volume changes inferred from comprehensive, frequent, high quality multibeam measure-735 ments. 736

Compared to alluvial environments where unimodel sediment prevails, deltas rep-737 resent complex transitional environments with mixtures of sand, silt, clay and organic 738 material. Each of those fractions may contribute to the sediment balance, which is of-739 ten simplified to a sand balance. For silt and clay, the degree in which deltas act as a 740 filter remains difficult to quantify. The finer fractions not only contribute to the total 741 sediment volume, but may also impact sand transport and bed morphodynamics, indi-742 rectly affecting the sand budget of the delta. Models are typically calibrated to data within 743 a confined calibration realm in which available data may be reproduced by the model 744 through alternative model settings, which is referred to as equifinality (van Maren & Cronin, 745 2016). These different settings may lead to very different model behavior outside the cal-746 ibration bounds, and imply uncertainty about the sediment balance for various fractions. 747

Remote sensing has potential to help meeting the data demands, for example with 748 the upcoming NASA SWOT mission that will provide water fluxes in channels larger than 749 100 m. This will allow establishing sediment balances at multiple scales, which may lead 750 to contrasting insights. In the Ganges-Brahmaputra-Meghna Delta, for example, the large 751 scale system may be in balance, in the sense that sufficient sediment input is available 752 to keep up with sea-level rise. At the scale of individual channels, domains exist where 753 channels are silting up, whereas in other domains incision occurs (Auerbach et al., 2015; 754 C. Wilson et al., 2017). There is a need to quantify how much sediment is being trans-755 ferred to the interior of islands via secondary channels, and flow over levees. Advances 756 in continuous observations, outlined in section 3.3, provide new means of quantifying ero-757

sion, deposition, and associated sediment fluxes. Some field observations for shallow flow
(< 1 m) exist (Hiatt & Passalacqua, 2015), but this needs to be carried out over large
spatial extents. The upcoming NASA NISAR mission will provide differences in water
level over short windows of time, from which hydrological connectivity metrics may potentially be inferred.

Having acknowledged the potential of remote sensing, and satellite remote sens-763 ing specifically, in situ monitoring remains indispensable. With decreasing width-to-depth 764 ratio of a channel, the flow and sediment dynamics becomes everyore three-dimensional 765 in character, and suspended sediment concentration at the surface becomes less strongly 766 correlated to depth averaged concentration. In situ observations of channel junctions re-767 main crucial, as they exert a key control in partitioning sediment fluxes over the delta 768 channel network, and the flow and sediment partitioning processes are particularly com-769 plex (F. A. Buschman et al., 2010; Sassi, Hoitink, de Brye, et al., 2011; F. A. Buschman 770 et al., 2013; Salter et al., 2018; Kästner & Hoitink, 2019). 771

772

4.2 Impacts of sea-level rise and reduced sediment supply

In parallel to setting up the sediment balance at an annual time-scale, there is a 773 need to address the delta mass balance over longer time periods, to anticipate the con-774 sequences of sea-level rise and reduced sediment input to deltas as a result of reservoir 775 building. At the centennial scale, river delta stability is often conceived as a simple vol-776 ume balance comparing sediment accumulation (both mineral and organic) to the ac-777 commodation space produced by relative sea level rise (RSLR; eustatic sea level plus sub-778 sidence (Blum & Roberts, 2009; Kim et al., 2009; C. Wilson & Goodbred, 2015)). A func-779 tion for equilibrium delta area A_d can be expressed as (Paola et al., 2011): 780

$$A_d = \frac{kQ_s(1+r_0)}{C_0(\sigma_c + RSLR_b)} \tag{1}$$

where k is the fraction of sediment retained within a delta (trapping coefficient, dimen-781 sionless), Q_s is the mineral sediment discharge (L^3/T) , r_0 is the volume ratio of organic 782 to mineral sediment (dimensionless), C_0 is the sediment mass fraction of the deposit (1-783 porosity, dimensionless), σ_c is subsidence from variable sediment compaction (L/T), and 784 $RSLR_b$ is delta-wide relative sea level rise due to tectonic or isostatic subsidence plus 785 eustatic sea level rise (L/T). When accommodation increases relative to accumulation, 786 the land area near sea level (A_d) must diminish, as is currently predicted for many of 787 the world's deltas (Anthony et al., 2015; Blum & Roberts, 2009; Erban et al., 2014). How-788 ever, a closer look reveals three important feedbacks that influence this accounting. First, 789 subsidence rates from compacting coastal deposits near the sediment surface, which are 790 spatially and temporally variable, tend to dominate the eustatic sea level rise and delta-791 wide, deep-seated subsidence rates during the Holocene on the worlds large deltas (Hig-792 gins et al., 2014; Jankowski et al., 2017; Rogers et al., 2013; Wolstencroft et al., 2014). 793 Such compaction makes C_0 variable. Indeed shallow river delta sediments range from 794 well-packed $(C_0 \sim 0.6)$ to extremely diffuse $(C_0 \sim 0.1)$. Hence, the behavior of coastal 795 sediments may exert a primary control on delta morphodynamics through the rates which 796 they compact (Keogh & Törnqvist, 2019). A second feedback is that a significant frac-797 tion of sediment accumulation can be organic, which can make up 11-15% of the deposit 798 for some large river deltas $(r_0 \sim 0.12 - 0.18; \text{ Gouw, } 2008; \text{Holmquist et al., } 2018)$. How-799 ever, such organic deposition is understood to occur only when a delta is starved of min-800 eral sediment, but not fully inundated and abandoned (Bohacs & Suter, 1997; Kosters 801 et al., 1987; Lorenzo-Trueba et al., 2012). Third, the trapping efficiency (k) of mineral 802 sediment varies significantly depending on the delta morphology and characteristics of 803 coastal plain (Nardin & Edmonds, 2014; Nardin et al., 2016; Nienhuis et al., 2018) and 804 material properties of the unchannelized delta deposits (Straub et al., 2015). 805

It is a grand challenge to find new, physics-based empirical relations that account 806 for the feedbacks in Eq. 1, because they are strong. A recent survey of areal change on 807 54 deltas over the last 30 years by Besset et al. (2019) found that losses in delta area have 808 been rather small, considering the significant reduction in sediment supply, combined with sea-level rise and sediment compaction, over the same time period. Based on a study of 810 the morphology of nearly 11,000 coastal deltas worldwide, Nienhuis et al. (2020) also found 811 net land gain. This underlines the need to better understand the basic response of deltas 812 to sea-level rise and reduced sediment input. The semi-empirical framework by Nienhuis 813 et al. (2015, 2018, 2020) is a step forward in this respect, as it predicts delta response 814 in terms of dominance of waves, tides and river discharge in shaping the delta planform. 815 This approach adds nuance to Q_s and k in Eq.1, capturing delta buildup and decay gov-816 erned by a variable river discharge, shoreline change by wave reworking, and tidal con-817 trols on channel dimensions, but it does not address sea-level rise. Reduced complexity 818 models are potential tools to investigate the role of sea-level rise (e.g., van der Wegen, 819 2013). Sea-level rise is associated with time-scales exceeding equilibrium time-scales, as 820 discussed in Section 3.1. Such delta-scale models can provide boundary conditions for 821 realistic models that can capture the topographic detail that is needed to anticipate the 822 impacts of sea-level rise and reduced sediment supply on delta resilience against extreme 823 events and maintenance needs. 824

825

4.3 Synergy between approaches and cross-links between disciplines

At a scientific community level, the main challenge is to combine approaches and 826 create cross-links between disciplines. Fig. 6 illustrates how synergy can be achieved be-827 tween field monitoring, high and reduced complexity modelling, information theory, ide-828 alized modelling and stability analysis. Comprehensive field data can be used to set-up 829 a detailed, realistic numerical model, which can represent the dynamics of a specific delta. 830 Such numerical models can be used to optimize a field monitoring program, and be anal-831 ysed and validated using techniques available from information theory. The collective 832 understanding from field observations and high or reduced complexity models can in-833 form the development of idealized models that capture the essential mechanisms of delta 834 morphodynamic change. Idealized models are less computationally intensive, which al-835 lows for the exploration of a much larger domain of the parameter space. When an ide-836 alized model has been established that captures the nonlinear feedbacks in a morpho-837 dynamic system that includes critical transitions as described in section 3.5 on dynamic 838 system theory, it may be possible to identify early warning indicators for an abrupt change 839 in sedimentary regime, based on variables that are being monitored continuously, such 840 as water levels and discharges. 841

A contemporary, realistic representation of delta morphodynamic change includes 842 the role of anthropogenic and ecological influences, which are still underrepresented in 843 morphodynamic models developed by earth scientists, civil engineers and physical oceanog-844 raphers. This requires the disciplinary input from ecologists and landscape architects. 845 Deltas have evolved over centuries as a geological unit in the landscape, but now fulfill 846 a vast number of ecosystem services including shipping, agriculture and biodiversity re-847 serves, which act as constraints to the system. Humans are capable of safeguarding those 848 ecosystem services. Successful interdisciplinary collaboration is therefore needed, which 849 requires common sources of data, accessible and understandable to all communities in-850 volved. Terminology has to be uniform. Social scientists play an increasingly important 851 role in coupling the natural and human aspects in delta systems. For an effective inter-852 face between delta science and delta management, research efforts have to become not 853 854 only interdisciplinary, but also transdisciplinary, i.e. involving stakeholders. With the involvement of differnt disciplines, the narrow interpretation of resilience we adopt here 855 can become more general. Interventions have become part of the morphologic equilib-856 rium of deltas. Typically, a natural morphodynamic equilibrium no longer exists, and 857 because of continuous interventions, deltas will not reach morphodynamic equilibrium 858



Figure 6. Synergy between alternative approaches to quantify morphodynamic stability of deltas. Field measurements are used to calibrate high complexity models. In turn, modelling results help to optimize a monitoring plan. Information theory can be applied to test the degree in which high complexity models correctly represent the information exchange between field stations in a delta. Using the collective understanding inferred from field observations and complex models, idealized or simplified models can be set-up that capture basic mechanisms that can lead to instability. When the key mechanisms are understood, dynamic system theory can be employed to identify thresholds for regime shifts, and to develop early warning indicators. Graphs obtained from Sendrowski & Passalacqua (2017); Sassi, Hoitink, de Brye, et al. (2011); Vermeulen et al. (2014); Nienhuis et al. (2018); Scheffer (2009)

as long as human interventions take place. Human occupation of deltas and the loss of 859 pristine conditions cause a lack of in situ views on natural, robust delta systems that have 860 evolved over centuries. When seeking nature based solutions to create delta resilience, 861 a fundamental understanding of morphodynamic equilibrium conditions remains essen-862 tial. The last near-pristine systems on the globe need to be cherished and analysed, be-863 cause they may reveal unknown mechanisms of resilience (Kästner & Hoitink, 2019). Be-864 sides those, the stratigraphic record provides an abundant archive of pristine delta be-865 havior, which can further be explored. 866

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