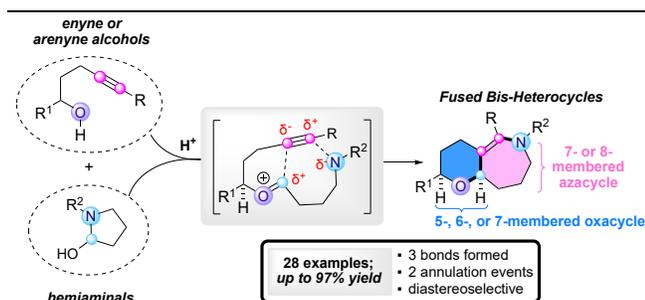


One-Pot Double Annulation Strategy for the Synthesis of Unusual Fused Bis-Heterocycles

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Supporting Information Placeholder



ABSTRACT: A novel metal-free double annulation cascade for the construction of unusual fused heterocyclic systems is described. This simple protocol enables the sequential assembly of two rings in one pot, from two simple precursors. Acidic conditions promote condensation and intramolecular alkyne Prins reaction of an enyne or arenyne alcohol with a cyclic hemiaminal to form a 5-, 6-, or 7-membered oxacycle followed by a 7- or 8-membered azacycle. In this transformation, chemical complexity is generated rapidly with the formation of three new bonds (one C-O, one C-C and one C-N) in one synthetic operation. The strategy is modular and relatively general, providing access to a series of unique fused bicyclic scaffolds.

Small molecules with polycyclic structures are valuable in the context of drug discovery, especially if the ring system contains heteroatoms, a unique cyclic scaffold, and a high percentage of sp³ stereogenic centers.¹ Numerous bioactive molecules contain 7- and 8-membered nitrogen heterocycles² or cyclic ethers.³ However, these structural motifs can be difficult to access synthetically, and thus represent a small percentage of compound libraries used in screening.⁴ Furthermore, while elegant and creative approaches have been developed to build azepine and azocine rings within a carbocyclic array,⁵ the assembly of fused, highly saturated heterocyclic ring systems is typically a multistep enterprise.

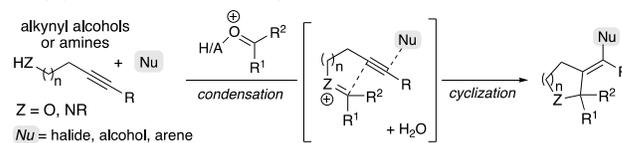
As evidenced by its extensive utility in the synthesis of a number of bioactive targets⁶, the alkyne Prins and aza-Prins reactions serve as powerful and versatile methods for the preparation of complex dihydrofurans, -pyrans, -pyrrolidines and -piperidines (Scheme 1a).⁷ Acid-catalyzed condensation of alkyne alcohols/amines with aldehydes or ketones, followed by intramolecular cyclization of the alkyne onto an oxocarbenium/iminium intermediate and terminal nucleophilic capture furnishes oxa- and

azacycles. In recent years, arenes⁸, alcohols⁹ and halides¹⁰ have all been demonstrated as competent terminal nucleophiles for these cyclization reactions. Despite these advances, there is only one report describing an alkyne Prins cyclization with a nitrogen atom as the terminal nucleophile¹¹, and no applications that enable the *de novo* synthesis of fused bis-heterocycles.

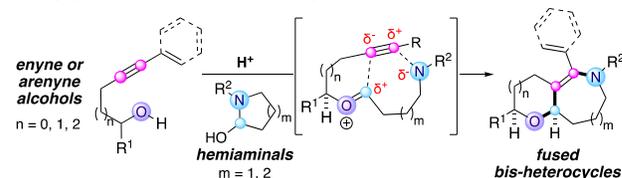
Herein, we describe a convenient, one-pot, metal-free double annulation strategy for rapid construction of complex heterocyclic scaffolds from simple precursors. When cyclic hemiaminals are employed as reactants in the alkyne Prins reaction in replacement of carbonyl precursors, two rings and three new bonds are formed in a novel cationic reaction cascade producing fused bis-heterocyclic scaffolds (Scheme 1b). Upon coupling with an alkyne alcohol under acidic conditions, the hemiaminal moiety is transformed into a bifunctional intermediate that behaves like a pincer, reacting at one end of the alkyne as an electrophile and at the other as a nucleophile. Novel oxacyclo[3,2-*c*]-azepine and -azocine systems are obtained from these cascades. As far as we are aware, bicyclic systems of this kind have not been synthesized or even described previously.

Scheme 1. Alkyne Prins reactions with (a) aldehydes and ketones (known) and (b) cyclic hemiaminals, in double annulation cascades (previously unknown).

(a) Alkyne Prins Annulation with Aldehyde and Ketone Reactants



(b) Alkyne Prins Double Annulation with Cyclic Hemiaminal Reactants



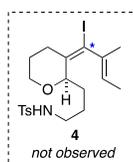
The study began when experiments in our laboratory focused on the alkyne *halo*-Prins cyclization yielded an unexpected result.¹² Treatment of enyne **1a** with TBAI (2 equiv) and Tf₂NH (2.4 equiv) in the presence of Ts-protected hemiaminal **2** gave **3a** in 82% yield (Table 1, entry 1). The expected *halo*-Prins product (**4**) was not observed, indicating intramolecular capture by the pendent sulfonamide is a highly favorable process and outcompetes

intermolecular iodide incorporation at the distal enyne carbon (labeled * in Table 1).

When the halide donor is omitted, bicycle **3a** is obtained in 91% yield as a 10:1 mixture of *E/Z* isomers (Table 1, entry 2), and the reaction can be conducted using lower loadings of both promoter and enyne. Further optimization with respect to reaction conditions and scope of hemiaminal was carried out as shown in Table 1. A solvent screen (refer to SI for comprehensive screening) with Ts-protected hemiaminal **2** reveals that halogenated solvents furnish the cyclization product **3a** most efficiently (entries 1 and 7-9). The reaction is sluggish in etheral solvents and does not readily progress beyond *N,O*-acetal **8** (entries 6 and 7). However, if **8** is resubjected to the annulation conditions in a halogenated solvent, smooth conversion to **3a** is observed. Boc-protected hemiaminal **5** decomposes under the reaction conditions (entry 2), whereas carboxybenzyl (CBz)- and nosyl (Ns)-protected hemiaminals **6** and **7** readily participate in the annulation with **1a**, but inefficiently (entries 4-5). The reaction can also be carried out using a substoichiometric amount of acid (0.25 equiv instead of 1.0 equiv of Tf₂NH), in either chloroform or methylene chloride to afford cyclization products in excellent yield (compare entries 8 and 10 to entry 9). The reaction conditions in entries 9 and 10 were identified as optimal.

Table 1. Optimization of the double annulation.^a

Entry	R (hemiaminal)	Solvent	Product (Yield)
1 ^b	Ts (2)	CHCl ₃	3a (82%) ^c
2	Ts (2)	CHCl ₃	3a (91%) ^d
3	Boc (5)	DCM	9a (N/A) ^e
4	CBz (6)	DCM	10a (30%)
5	Ns (7)	DCM	11a (35%)
6	Ts (2)	MTBE	3a (33%) ^f + 8 (66%)
7 ^h	Ts (2)	Et ₂ O	3a (44%) ^d + 8 (50%)
8 ^h	Ts (2)	CHCl ₃	3a (94%)
9	Ts (2)	DCM	3a (95%)
10 ^h	Ts (2)	DCM	3a (97%)



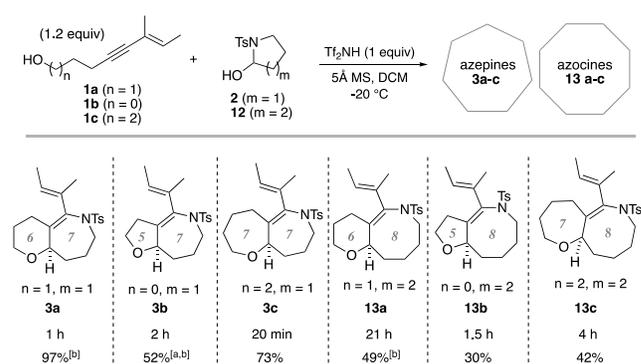
^a Reaction conditions: Hemiaminal (**2**, **5-7**) (0.20 mmol), enyne **1a** (0.24 mmol), 5Å MS and solvent (0.1 M) were reacted with Tf₂NH (0.2 mmol) at -20 °C for 5 hours or until consumption of *N,O*-acetal **8** was observed by TLC (see SI). ^b 2.4 equiv of Tf₂NH, 2 equiv of TBAI used ^c **3a** was isolated as a mixture of *E/Z* isomers (19:1). ^d **3a** was isolated as a mixture of *E/Z* isomers (10:1). ^e Decomposition of reaction mixture observed. ^f **3a** isolated as a mixture of *E/Z* isomers (5:1). in ^h 0.25 equiv of Tf₂NH used.

Based on the Table 1 findings, we chose to focus on *N*-tosyl hemiaminal reactants. We next studied alkynyl alcohols and hemiaminals with different tether lengths (*n* and *m*; see Scheme 2).¹³ The method is reasonably general, enabling the synthesis of six different types of ring systems (Scheme 2). Under standard acid conditions, the double annulation can be executed with hemiaminal **2**

(*m* = 1) and enynes **1a-c** (*n* = 0, 1 or 2), furnishing [6,7]-, [5,7]- and [7,7]-fused bicyclic systems **3a**, **3b**, and **3c**, respectively, in good to excellent yields.

Annulation with six-membered hemiaminal **12** (*m* = 2) enables the assembly of oxacyclo[3,2-*c*]-azocines **13a-c**, although efficiency is reduced (Scheme 2). With a three-carbon tether (enyne alcohol **1a**; *n* = 1), smooth cyclization occurs affording **13a** in 49% yield. With a shorter tether (enyne **1b**; *n* = 0), the reaction is less efficient but still delivers the [5,8]-fused system **13b** in 30% yield. A longer tether (**1c**; *n* = 2) provides access to **13c**, containing a [7,8]-fused bicyclic system, in 42% yield. As we worked to characterize these novel oxacyclo[3,2-*c*]-azepines and azocines, we discovered that all of these molecules exhibit complex conformational profiles at room temperature, judging by 1H NMR spectroscopic analysis (see SI).¹⁴

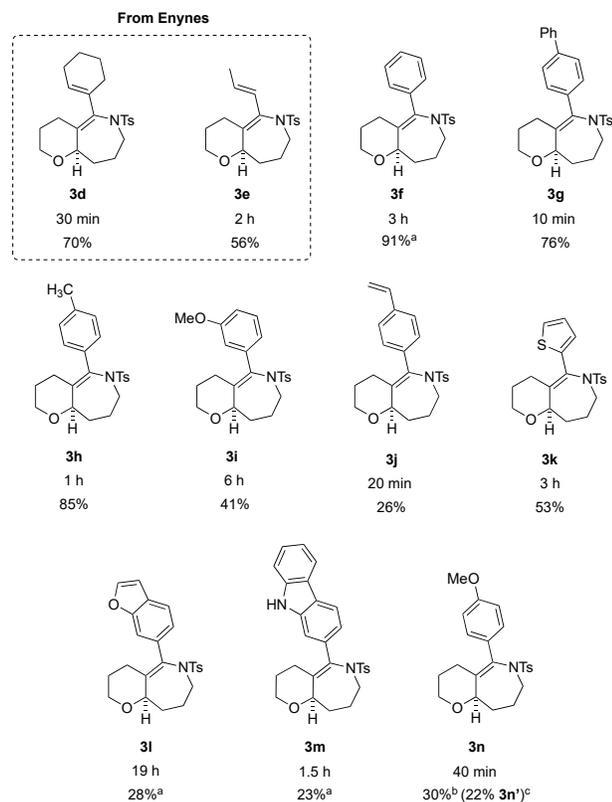
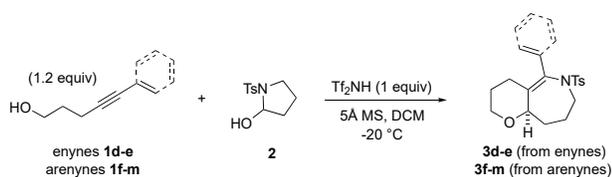
Scheme 2. Synthesis of fused ring systems with cyclization protocol.



^a 0.25 equiv of Tf₂NH used. ^b CHCl₃ used as the reaction solvent.

These experiments indicate that an alkynyl alcohol with a three-carbon tether is ideal, and the five-membered Ts-protected hemiaminal (**2**) gives the best results in the double annulation. Our investigation of scope continued with testing a series of enyne and arenynes **1** with **2** as the hemiaminal partner (Scheme 3). Enyne partners (**1d-e**) react smoothly to provide **3d-e** in 70% and 56% yield, respectively. Arenynes **1f-m** also provide azepines **3f-m** with varying annulation efficiencies, but annulation is not successful with electron-deficient arenynes.¹⁵ Electron-rich, *para*-methoxy substituted arenynes **1n** produces the desired azepine **3n** in 30% yield along with an unexpected product, dihydropyran **3n'** in 22% yield.¹⁶ Clearly, the electronic character of the arenynes partner has a strong impact on annulation efficiency.

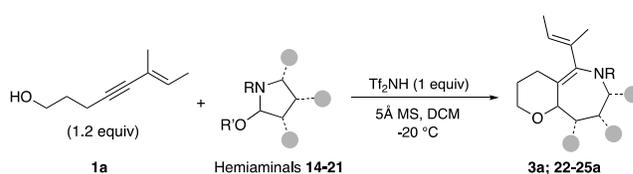
Scheme 3. Enyne and Arenyne Substrate Scope



^a 0.25 equiv of Tf₂NH used. ^b 2.0 equiv Tf₂NH used. ^c Dihydropyran side product observed; see SI.

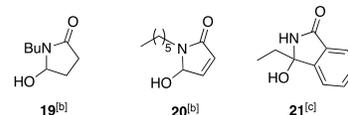
We next evaluated a series of cyclic hemiaminal adducts **14-21** in the annulation with enyne alcohol **1a** (Table 2).

Table 2. Scope and limitations: Hemiaminal reactants



Entry	Hemiaminal	Product	<i>t</i>	Yield [%]
1	14	3a	25 min	55
2	15	22a	7 h	52
3	16	23a	28 h	72
4	17 (1.5:1 dr)	24a	20 min	69 ^a (1.5:1 dr)
5	18 (2 : 1 dr)	25a	25 min	65 ^a (2:1 dr)

Other Hemiaminal Precursors

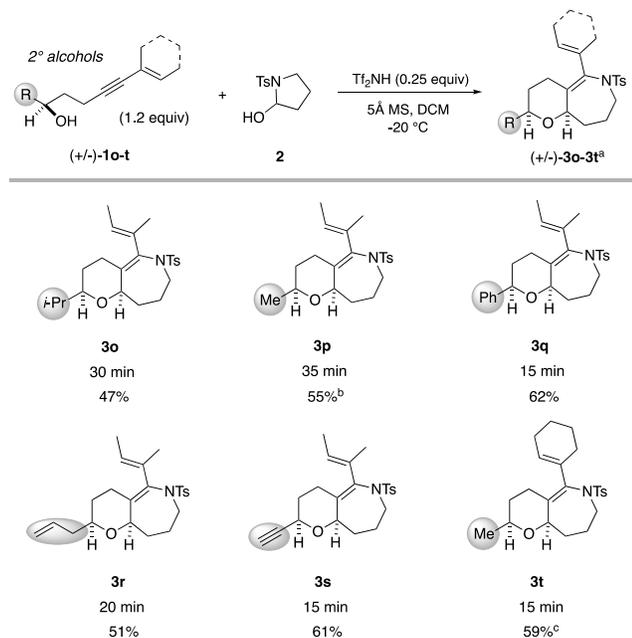


^a 0.25 equiv of Tf₂NH used. ^b Hemiaminal **20** decomposed under reaction conditions. ^c Hemiaminal **21** was not consumed under reaction conditions.

When methyl ether **14** is subjected to the reaction conditions, azepine **3a** is obtained, albeit in yields lower than its corresponding hemiaminal analogue **2** (55% vs 91%, compare Scheme 3). Phthalimide derivatives **15** (R = H) and **16** (R = alkyl) react smoothly, producing tricycles **22a** and **23a** in 52% and 72% yields, respectively. Diastereomeric mixtures of α - and γ -methylated hemiaminals **17** and **18** cyclize to afford azepine **24a** in 69% yield (1.5:1 dr) and **25a** in 65% yield (2:1 dr). Complex mixtures were obtained when N-alkyl substituted succinimide **19** and maleimide derivative **20** were subjected to the reaction conditions. Lastly, while coupling with tetrasubstituted phthalimide **21** did not occur, neither did decomposition, as **21** was recovered intact from the reaction mixture.

Alkynyl Prins cyclizations with secondary alcohol reactants are known to proceed with high diastereoselectivity, producing *cis*-2,6-disubstituted dihydropyrans.¹⁷ Based on this precedent, we expected the double annulations to proceed diastereoselectively when using secondary alcohols in place of primary alcohols. Indeed, as illustrated in Scheme 4, subjecting racemic secondary alcohol reactants (+/-)-**1o-t** to optimized reaction conditions (0.25 equiv. of Tf₂NH at -20 °C) delivers fused azepine systems **3o-t** in good yields and as single diastereomers in every case. X-ray crystallographic analysis of **3q** (see SI) confirms the *cis* relationship between the substituents at the 2- and 6-positions of the dihydropyranyl subunit. Finally, we demonstrate that enantioenriched azepines can be synthesized from nonracemic chiral secondary alcohols. Specifically, the annulative coupling of (*S*)-**1p** (>99:1 er) and **2** delivers azepine (*S*)-**3p** as a single enantiomer (>99:1 er) and diastereomer (>99:1 dr) in 54% yield (Scheme 4, note b).

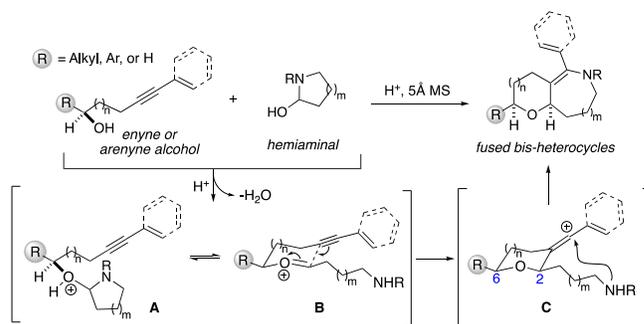
Scheme 4. Diastereoselective cyclization of secondary alcohols.



^a Diastereoselectivity was >19:1 for all annulations: a single diastereoisomer was observed by ¹H NMR. ^b With reactant (*S*)-**1p** (>99:1 er), **3o** is isolated in 54% yield, >19:1 dr; >99:1 er (chiral HPLC analysis). ^c Reaction performed at -40 °C.

A mechanistic hypothesis for the double annulation is offered in Scheme 5. Dehydrative coupling of the enyne or arenynes with a hemiaminal under Brønsted acidic conditions presumably affords protonated *N,O*-acetal species **A**, in equilibrium with oxocarbenium intermediate **B**. Subsequent regio- and diastereocontrolled alkynyl Prins cyclization produces stabilized vinyl cation intermediate **C**, with 2,6-*cis* stereochemistry in the dihydropyran ring. Lastly, capture of vinyl cation **C** by the pendent amide furnishes the fused bis-heterocyclic system.

Scheme 5. Proposed mechanism for the alkynyl Prins double annulation sequence.



Annulation works best with enynes and arenynes within a specific reactivity window. With electron-deficient arenynes, no annulation products were observed, whereas with electron-releasing arenynes, annulation succeeds, but lower yields are observed (Scheme 3). From these observations we can conclude that annulations proceed smoothly provided the alkyne is both (a) nucleophilic enough to attack the tethered oxocarbenium electrophile (see intermediate **B**, Scheme 5), and (b) not so reactive that competing pathways derail the cascade cyclization process.

With regard to the hemiaminal substrate scope, cyclization seems to occur smoothly only when the C-N bond of *N,O*-acetal intermediate is polarized enough to support efficient ring opening (**A** to **B**; Scheme 5). Two classes of hemiaminal partners behave well in the sequence: sulfonamide-substituted hemiaminals (**2** and **12**), and phthalimide derivatives (**15** and **16**), whereas experiments with other hemiaminal precursors **19-21** give either recovered starting material or decomposition under the reaction conditions.

In summary, we have developed metal-free double-annulation protocol which provides facile access to a novel class of fused bis-heterocycles. Two simple reactants (enyne or arenynes alcohols and hemiaminals) are exposed to acidic conditions, forging two rings in one synthetic operation. The protocol is modular, convenient, and rapidly generates chemical complexity while assembling a series of previously unknown oxacyclo[3,2-*c*]-azepine and -azocine scaffolds.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website.

Experimental procedures and compound characterization data (PDF)

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Notes

The authors declare no competing financial interests.

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