# List-three-coloring $P_t$ -free graphs with no induced 1-subdivision of $K_{1,s}$

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#### Abstract

Let s and t be positive integers. We use  $P_t$  to denote the path with t vertices and  $K_{1,s}$  to denote the complete bipartite graph with parts of size 1 and s respectively. The one-subdivision of  $K_{1,s}$  is obtained by replacing every edge  $\{u,v\}$  of  $K_{1,s}$  by two edges  $\{u,w\}$  and  $\{v,w\}$  with a new vertex w. In this paper, we give a polynomial-time algorithm for the list-three-coloring problem restricted to the class of  $P_t$ -free graph with no induced 1-subdivision of  $K_{1,s}$ .

#### 1 Introduction

All graphs in this paper are finite and simple. We use [k] to denote the set  $\{1,\ldots,k\}$ . Let G be a graph. A k-coloring of G is a function  $f:V(G)\to [k]$  such that for every edge  $uv\in E(G)$ ,  $f(u)\neq f(v)$ , and G is k-colorable if G has a k-coloring. The k-coloring problem is the problem of deciding, given a graph G, if G is k-colorable. This problem is well-known to be NP-hard for all  $k\geq 3$ .

A function  $L:V(G)\to 2^{[k]}$  that assigns a subset of [k] to each vertex of a graph G is a k-list assignment for G. For a k-list assignment L, a function  $f:V(G)\to [k]$  is a coloring of (G,L) if f is a k-coloring of G and  $f(v)\in L(v)$  for all  $v\in V(G)$ . We say that a graph G is L-colorable, and that the pair (G,L) is colorable, if (G,L) has a coloring. The LIST-k COLORING PROBLEM is the problem of deciding, given a graph G and a k-list assignment L, if (G,L) is colorable. Since this generalizes the k-coloring problem, it is also NP-hard for all  $k\geq 3$ .

We denote by  $P_t$  the path with t vertices and we use  $K_{1,s}$  to denote the complete bipartite graph with parts of size 1 and s respectively. The one-subdivision of  $K_{1,s}$  is obtained by replacing every edge  $\{u, v\}$  of  $K_{1,s}$  by two edges  $\{u, w\}$  and  $\{v, w\}$  with a new vertex w. For a set  $\mathcal{H}$  of graphs, a graph G is  $\mathcal{H}$ -free if no element of  $\mathcal{H}$  is an induced subgraph of G. If  $\mathcal{H} = \{H\}$ , we say that G is H-free. In this paper, we use the terms "polynomial time" and "polynomial size" to mean "polynomial in |V(G)|", where G is the input graph. Since the k-coloring problem and the List-k coloring problem are NP-hard for  $k \geq 3$ , their restrictions to H-free graphs, for various H, have been extensively studied. In particular, the following is known:

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**Theorem 1** ([6]). Let H be a (fixed) graph, and let k > 2. If the k-coloring problem can be solved in polynomial time when restricted to the class of H-free graphs, then every connected component of H is a path.

Thus if we assume that H is connected, then the question of determining the complexity of kcoloring H-free graph is reduced to studying the complexity of coloring graphs with certain induced
paths excluded, and a significant body of work has been produced on this topic. Below we list a
few such results.

**Theorem 2** ([1]). The 3-COLORING PROBLEM can be solved in polynomial time for the class of  $P_7$ -free graphs.

**Theorem 3** ([2]). The 4-COLORING PROBLEM can be solved in polynomial time for the class of  $P_6$ -free graphs.

**Theorem 4** ([4]). The k-coloring problem can be solved in polynomial time for the class of  $P_5$ -free graphs.

**Theorem 5** ([5]). The 4-COLORING PROBLEM is NP-complete for the class of  $P_7$ -free graphs.

**Theorem 6** ([5]). For all  $k \geq 5$ , the k-coloring problem is NP-complete for the class of  $P_6$ -free graphs.

The only case for which the complexity of k-coloring  $P_t$ -free graphs is not known k = 3,  $t \ge 8$ . In this paper, we consider the LIST-3 COLORING PROBLEM for  $P_t$ -free graphs with no induced 1-subdivision of  $K_{1,s}$ . We use  $SDK_s$  to denote the one-subdivision of  $K_{1,s}$ . The main result is the following:

**Theorem 7.** For all positive integers s and t, the LIST-3 COLORING PROBLEM can be solved in polynomial time for the class of  $(P_t, SDK_s)$ -free graphs.

### 2 Preliminaries

We need two theorems: the first one is the famous Ramsey Theorem [7], and the second is a result of Edwards [3]:

**Theorem 8** ([7]). For each pair of positive integers k and l, there exists an integer R(k,l) such that every graph with at least R(k,l) vertices contains a clique with at least k vertices or an independent set with at least l vertices.

**Theorem 9** ([3]). Let G be a graph, and let L be a list assignment for G such that  $|L(v)| \leq 2$  for all  $v \in V(G)$ . Then a coloring of (G, L), or a determination that none exists, can be obtained in time O(|V(G)| + |E(G)|).

Let G be a graph with list assignment L. For  $X \subseteq V(G)$  we denote by G|X the subgraph induced by G on X, by  $G \setminus X$  the graph  $G|(V(G) \setminus X)$  and by (G|X, L) the list coloring problem where we restrict the domain of the list assignment L to X. For  $v \in V(G)$  we write  $N_G(v)$  (or N(v) when there is no danger of confusion) to mean the set of vertices of G that are adjacent to v. For  $X \subseteq V(G)$  we write  $N_G(X)$  (or N(X) when there is no danger of confusion) to mean  $\bigcup_{v \in X} N(v)$ . We say that  $D \subseteq V(G)$  is a dominating set of G if for every vertex  $v \in G \setminus D$ ,  $N(v) \cap D \neq \emptyset$ . By Theorem 9, the following corollary immediately follows.

**Corollary 10.** Let G be a graph, L be a 3-list assignment for G and let D be a dominating set of G. Then a coloring of (G, L), or a determination that (G, L) is not colorable, can be obtained in time  $O(3^{|D|}(|V(G)| + |E(G)|))$ .

Proof. For every coloring c of (G|D,L), in time O(|E(G)|) we can define a list assignment  $L_c$  of G as follows: if  $v \in D$  we set  $L_c(v) = \{c(v)\}$  and if  $v \notin D$  we can pick  $u \in N(v) \cap D$  by the definition of a dominating set and set  $L_c(v) = L(v) \setminus c(u)$ . Let  $\mathcal{L} = \{L_c : c \text{ is a coloring of } (G|D,L)\}$ , then clearly  $|\mathcal{L}| \leq 3^{|D|}$  and (G,L) is colorable if and only if there exists a  $L_c \in \mathcal{L}$  such that  $(G,L_c)$  is colorable. For every  $L_c \in \mathcal{L}$ , by construction  $|L_c(v)| \leq 2$  for every  $v \in G$  and hence by Theorem 9, a coloring of  $(G,L_c)$ , or a determination that none exists, can be obtained in time O(|V(G)| + |E(G)|). Therefore a coloring of (G,L), or a determination that (G,L) is not colorable, can be obtained in time  $O(3^{|D|}(|V(G)| + |E(G)|))$ .

## 3 The Algorithm

Let s and t be positive integers, and let G = (V, E) be a connected  $(P_t, SDK_s, K_4)$ -free graph. Pick an arbitrary vertex  $a \in V$  and let  $S_1 = \{a\}$ . For  $v \in V$ , let d(v) be the distance from v to a. For i = 1, 2, ..., t - 2, we define the set  $S_{i+1}$  as follows:

- Let  $B_i = N(S_i), W_i = V \setminus (B_i \cup S_i).$
- Write  $S_i = \{v_1, v_2, \dots, v_{|S_i|}\}$  and define

$$B_i^j = \left\{ v \in \left( B_i \setminus \bigcup_{k=1}^{j-1} B_i^k \right) : v \text{ is adjacent to } v_j \right\}$$

for  $j = 1, 2, ... |S_i|$ . Then  $B_i = \bigcup_{j=1}^{|S_i|} B_i^j$ .

- For  $j = 1, 2, ..., |S_i|$ , let  $X_i^j \subseteq B_i^j$  be a minimal vertex set such that for every  $w \in W_i$ , if  $N(w) \cap B_i^j \neq \emptyset$ , then  $N(w) \cap X_i^j \neq \emptyset$ . Let  $X_i = \bigcup_{i=1}^{|S_i|} X_i^j$ .
- Let  $S_{i+1} = S_i \cup X_i$ .

It is clear that we can compute  $S_{t-1}$  in  $O(t|V|^2)$  time. Next, we prove some properties of this construction.

**Lemma 11.** For 
$$i = 1, 2, ..., t - 2$$
,  $|S_{i+1}| \le |S_i|(1 + R(4, R(4, s)))$ .

Proof. It is sufficient to show that for each  $j=1,2,\ldots,|S_i|, |X_i^j| \leq R(4,R(4,s))$ . Suppose not,  $|X_i^\ell|=K>R(4,R(4,s))$  for some  $\ell\in\{1,2\ldots,|S_i|\}$ . Let  $X_i^\ell=\{x_1,x_2,\ldots,x_K\}$ . By the minimality of  $X_i^\ell$ , for  $j=1,2,\ldots,K$ , there exists  $y_j\in W_i$  such that  $N(y_j)\cap X_i^\ell=\{x_j\}$ . Since G is  $K_4$ -free, by Theorem 8, there exists a stable set  $X'\subseteq X_i^\ell$  of size R(4,s). We may assume  $X'=\{x_1,x_2,\ldots,x_{R(4,s)}\}$ . Let  $Y'=\{y_1,y_2,\ldots,y_{R(4,s)}\}$ . Again by Theorem 8, there exists a stable set  $Y''\subseteq Y'$  of size s. We may assume  $Y''=\{y_1,y_2,\ldots,y_s\}$  and let  $X''=\{x_1,x_2,\ldots,x_s\}$ . Then  $G[\{v_\ell\}\cup X''\cup Y'']$  is isomorphic to  $SDK_s$ , a contradiction.

**Lemma 12.** For i = 0, 1, 2, ..., t - 2,  $B_{i+1} \setminus (B_i \cup S_i) = \{v : d(v) = i + 1\}$  (where  $S_0 = \emptyset$ ,  $B_0 = \{a\}$  and  $B_{t-1} = N(S_{t-1})$ ).

Proof. We use induction to prove this lemma. It is clear that for i = 0,  $B_1 = N(a) = \{v : d(v) = 1\}$ . Now suppose this lemma holds for i < k, where  $k \in \{1, 2, ..., t - 2\}$ . First we show that for every  $v \in B_{k+1} \setminus (B_k \cup S_k)$ , d(v) = k + 1. By construction  $v \in W_k$ , hence d(v) > k by induction. Since  $v \in B_{k+1} \setminus B_k$ , v has a neighbor w in  $S_{k+1} \setminus S_k \subseteq B_k$ ; and thus  $d(v) \le d(w) + 1 \le k + 1$ .

Now let  $v \in V$  with d(v) = k + 1. It follows that  $v \notin (B_k \cup S_k)$ , and  $v \in B_{k+1} \cup W_{k+1}$ , and v has a neighbor  $w \in V$  with d(w) = k. By induction, it follows that  $v \in W_k$  and  $w \in B_k$ . Let  $j \in \mathbb{N}$  such that  $w \in B_k^j$ . Since  $v \in W_k$  and  $N(w) \cap B_k^j \neq \emptyset$ , it follows that v has a neighbor in  $X_k^j \subseteq X_k \subseteq S_{k+1}$ , and therefore  $v \in B_{k+1}$ , as required. This finishes the proof of Lemma 12.  $\square$ 

By applying Lemma 11 and Lemma 12, we deduce several properties of  $S_{t-1}$ .

**Lemma 13.** 1. There exists a constant  $M_{s,t}$  which only depends on s and t such that  $|S_{t-1}| \leq M_{s,t}$ .

2. 
$$W_{t-1} = V \setminus (S_{t-1} \cup N(S_{t-1})) = \emptyset$$
.

*Proof.* Since we start with  $|S_1| = 1$ , by applying Lemma 11 t-2 times, it follows that  $|S_{t-1}| \le (1 + R(4, R(4, s)))^{t-2}$ . Let  $M_{s,t} = (1 + R(4, R(4, s)))^{t-2}$ , then the first claim holds.

Suppose the second claim does not hold. From Lemma 12, it follows that  $\{v: d(v) \leq t-1\} \subseteq S_{t-1} \cup N(S_{t-1})$ . But if  $w \in V$  satisfies  $d(w) \geq t-1$ , then a shortest w-a-path is an induced path of length at least t, a contradiction. Thus the second claim holds.

We are now ready to prove our main result, which we rephrase here:

**Theorem 14.** Let  $M_{s,t} = (1 + R(4, R(4, s)))^{t-2}$ . There exists an algorithm with running time  $O(|V(G)|^4 + t|V(G)|^2 + 3^{M_{s,t}}(V(G) + E(G)))$  with the following specification.

**Input:**  $A(P_t, SDK_s)$ -free graph G and a 3-list assignment L for G.

**Output:** A coloring of (G, L), or a determination that (G, L) is not colorable.

Proof. We may assume that G is connected, since otherwise we can run the algorithm for each component of G independently. In time  $O(|V(G)|^4)$  we can determine that either (G, L) is not colorable, or G is  $K_4$ -free. If G is  $K_4$ -free, we can construct  $S_{t-1}$  in  $O(tn^2)$  time as stated above. Then by Lemma 13,  $S_{t-1}$  is a dominating set of G and  $|S_{t-1}| \leq M_{s,t}$ . Now the theorem follows from Corollary 10.

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