Ecohydrologic separation alters interpreted hydrologic stores and fluxes in a headwater
 mountain catchment

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#### 19 Abstract

20 Recent studies have demonstrated that compartmentalized pools of water favor to supply 21 either plant transpiration (poorly mobile water) or streamflow and groundwater (highly mobile 22 water) in some catchments, a phenomenon referred to as ecohydrologic separation. The 23 omission of processes accounting for ecohydrologic separation in standard applications of 24 hydrological models is expected to influence estimates of water residence times and plant 25 water availability. However, few studies have tested this expectation or investigated how 26 ecohydrologic separation alters interpretations of stores and fluxes of water within a 27 catchment. In this study, we compare two rainfall-runoff models that integrate catchment-scale 28 representations of transport, one which incorporates ecohydrologic separation and one which 29 does not, for a second-order watershed at the H.J. Andrews Experimental Forest (Oregon, USA), 30 the site where ecohydrologic separation was first observed. The models are calibrated against 31 multiple years of stream discharge and chloride concentration. Model structural variations 32 caused mixed results for differences in calibrated parameters and differences in storage 33 between reservoirs. However, large differences in catchment storage volumes and fluxes 34 arise when considering only mobile water. These changes influence interpreted residence 35 times for streamflow-generating water, demonstrating the importance of ecohydrologic 36 separation in catchment-scale water and solute transport.

37

#### 38 Key words

39 two water worlds hypothesis; hydrologic connectivity; soil water; preferential flow; residence

40 times; plant water sources; conceptual model; tracer

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#### 43 **1. Introduction**

44 The unsaturated root zone—the vegetation-accessible region between the earth's 45 surface and the groundwater table (Stephens, 1995)—is the primary node where precipitation 46 is partitioned into terrestrial storage in catchments, either directly within the unsaturated zone 47 or via groundwater recharge, indirect drainage to the stream, and evaporative fluxes (Savenije 48 & Hrachowitz, 2017). This partitioning ultimately determines the pathways water takes to reach 49 the catchment outlet, residence times within various stores, and the physical and chemical 50 processes experienced during transport and storage. Many conceptual and mathematical 51 models either treat the unsaturated zone as completely mixed or assume transport occurs via 52 translatory flow (i.e., infiltrating precipitation displaces water previously stored in soil in a 53 sequential order; Hewlett & Hibbert, 1967). However, work on ecohydrologic separation (the 54 partitioning of less-mobile water available for transpiration by plants from more-mobile 55 water that becomes streamflow; e.g., Brooks, Barnard, Coulombe, & McDonnell, 2010; 56 Evaristo, McDonnell, Scholl, Bruijnzeel, & Chun, 2016; Goldsmith et al., 2012; Hervé-57 Fernández et al., 2016) challenges the conceptual representation of translator flow. 58 Ecohydrologic separation, also referred to as the two water worlds hypothesis (Berry et al., 59 2017; McDonnell, 2014), conceptualizes the existence of several pools of water that have 60 limited mixing, effectively representing the unsaturated zone as a location of parallel storage 61 processes. Specifically, under dry conditions some infiltrating water bypasses small pores of the 62 unsaturated zone while other infiltrating water is bound and stored in small pores. Evidence in 63 support of ecohydrologic separation is common in many catchments (see meta-analysis by 64 Evaristo, Jasechko, & McDonnell, 2015) and the conceptual model of ecohydrologic separation 65 explains empirical data. However, we have limited understanding of how including this process 66 in hydrologic models alters our interpretations of stores and fluxes of water at the scale of 67 catchments.

Representation of ecohydrologic separation in hydrologic models could influence
estimates of residence times and fluxes of water and solutes (Phillips, 2010; Sprenger,
Leistert, Gimbel, & Weiler, 2016; Sprenger et al., 2018) and thus challenge perceptions of
dominant hydrologic processes operating within a catchment. For example, because the

72 tightly bound water stored in the unsaturated zone is isolated from flow to streams, the 73 volume of mobile water is expected to be smaller than would be expected from a one 74 water world conceptualization in which all unsaturated water has the potential to generate streamflow. The reduced volume of mobile water should, therefore, result in more variable 75 76 residence times of water and solutes in the unsaturated zone, with increased residence 77 times for the immobile fraction of water and decreased residence times for the mobile 78 fraction. Furthermore, hydrologic connectivity between subsurface reservoirs controls 79 fluxes of water and solutes through the catchment, and thus stream solute and hydrologic 80 response (e.g., Jencso, McGlynn, Gooseff, Bencala, & Wondzell, 2010; Jencso et al., 2009). 81 Changes in residence times and fluxes of water from hillslopes to streams may alter the 82 potential for associated biogeochemical reactions or weathering to occur within those 83 zones. While this thought experiment suggests ecohydrologic separation will be important 84 at the catchment scale, there are few examples which qualitatively demonstrate its impact on 85 our understanding of stores and residence times of water.

86 Transport characteristics which reflect working definitions of the two water worlds 87 hypothesis have been incorporated in a number of modeling studies, although the term has not 88 been explicitly used. For example, some studies assume groundwater recharge via preferential 89 flow does not mix with unsaturated zone water and retains the chemical signature of 90 precipitation (e.g., Birkel, Soulsby, & Tetzlaff, 2014). Other have used hydrologic models to 91 explore alternative explanations to the conceptualization of two isolated soil water pools to 92 explain isotopic patterns, typically suggestive of a higher degree of mixing. Sprenger, Leistert, 93 Gimbel, and Weiler (2016) invoked successive mixing of stored water with new rainwater in a 94 soil physical model. Knighton, Saia, Morris, Archiblad, and Walter (2017) found that a 95 combination of preferential and matrix flow best simulated unsaturated zone stable water 96 isotopes in a lumped hydrologic model.

97 Recent publications have highlighted the influence mixing assumptions have on 98 intepreting internal process dynamics and have advanced approaches for representing 99 incomplete mixing (i.e., nonuniform sampling) associated with ecohydrologic separation. 100 Several modeling studies use age-based methods to examine selective retention and

101 release dynamics in catchments, in which sampling of storage for outflow is biased toward 102 particular ages according to a SAS function or a mixing coefficient (e.g., Benettin, Rinaldo, 103 & Botter, 2013; Benettin, Velde, Zee, Rinaldo, & Botter, 2013; Botter, Bertuzzo, & Rinaldo, 104 2011; Harman, 2015; Hrachowitz, Savenije, Bogaard, Tetzlaff, & Soulsby, 2013; Rinaldo et 105 al., 2015; van der Velde et al., 2015; van der Velde, Torfs, Van der Zee, & Uijlenhoet, 2012). 106 This transfer function technique can indirectly account for different sources of mixing, 107 including moisture-dependent variations in flow paths and temporal mixing dynamics 108 between mobile and less mobile storages, but does not resolve internal catchment 109 dynamics and treats the entire catchment as a single control volume. Still, several studies 110 demonstrate how a SAS function approach can be applied to simulate selective sampling of 111 younger water for transpiration (Harman, 2015; van der Velde et al., 2015; Wilusz, Harman, 112 & Ball, 2017). This is contradictory to the two water worlds hypothesis in that the youngest 113 portion of water has the potential to bypass plant-available storage, meaning transpiration 114 would not be selected from the youngest portion of catchment storage. Evaristo et al. 115 (2019) observe that the ages of water taken by roots are older than seepage to 116 groundwater recharge by a factor of two in a mesocosm water tracing experiment.

117 Partial mixing in the root zone is less common in process-based models, but its 118 importance in reproducing observed tracer patterns is increasingly recognized. Using a 119 physically-based ecohydrologic model which tracks water isotopes and assumes full mixing 120 in each soil layer, Kuppel, Tetzlaff, Maneta, and Soulsby (2018) conclude that discrepancies 121 between observed and modeled values reveal a need for incorporating partial mixing 122 processes. Time-variable mixing has been represented in a small number of lumped 123 models. For example, Hrachowitz et al. (2013) and van der Velde et al. (2015) compared 124 model performance and water age distributions between a "complete mixing model," used 125 in most conceptual modeling studies, and a "dynamic partial mixing model," in which a 126 greater portion of new water bypasses passive (hydraulically inactive) storage under 127 wetter conditions. Additionally, under high soil moisture conditions more water is routed 128 to preferential flow pathways, only partially mixing with matrix water (see also Hrachowitz, 129 Fovet, Ruiz, & Savenije, 2015). McMillan, Tetzlaff, Clark, and Soulsby (2012) investigate how

mixing within the unsaturated zone can be parameterized by time-variable tracer data. They find that when separate state variables are used for tension and free storage reservoirs, the free storage becomes a very fast response store with low transit times. Here, we build upon these mixing approaches in order to explicitly represent ecohydrologic separation in a catchment-scale hydrologic model and assess its influence on water storage and residence times.

136 Both simple, lumped black box models (e.g., Soulsby, Tetzlaff, & Hrachowitz, 2010; 137 Speed, Tetzlaff, Soulsby, Hrachowitz, & Waldron, 2010) and more detailed process-based 138 and spatially explicit hydrologic models (e.g., Maxwell et al., 2016) can be used to study 139 stores and fluxes of water in catchments. Lumped conceptual models are comparatively 140 simple, with data requirements scaling with model complexity to offset equifinality and the 141 number of calibrated parameters minimized through a reduced-complexity structure that 142 isolates dominant catchment processes (Fenicia, Savenije, Matgen, & Pfister, 2008; 143 Schoups, Van de Giesen, & Savenije, 2008; Young, Parkinson, & Lees, 1996). Physically-144 based distributed models allow for greater spatial resolution, but over-parameterization 145 renders inter-model comparison impractical because the degree of dissimilarity between 146 model structures and processes represented make it difficult to identify the individual 147 components that result in performance differences (Clark et al., 2015a; Clark et al., 2015b). 148 The comparative advantages of lumped models for inter-model comparison make them 149 suitable for incorporating ecohydrologic separation and comparing internal stores and 150 fluxes across structurally different models.

151 The two water worlds hypothesis refers to a proposed explanation for observed 152 ecohydrologic patterns, typically described by isotopic data. However, there lacks a precise 153 definition of the processes which result in a range of observations that have been described 154 under the two water worlds hypothesis (Berry et al., 2017). This flexible, evolving definition 155 necessitates that authors place studies within the context of definitions informed by previous 156 studies. Here we identify key characteristics common with many descriptions of the two water 157 worlds hypothesis, and thus use our own interpretations, to consider how dominant storages 158 and their linkages might be organized in one possible model representation. A two water

worlds model (2WW) has an architecture that incorporates two main features: 1)
unsaturated storage that is hydrologically less connected to other catchment storages for
at least part of the year and from which plants extract water, and 2) parallel transient
storage processes by which some infiltrating precipitation bypasses tightly bound storage
to generate streamflow and recharge groundwater. In contrast, in a one water world
model (1WW), plants extract water from a pool that is fully connected to the catchment
year-round.

166 The overarching goal of this study is to determine how including ecohydrologic 167 separation in a lumped catchment model alters interpreted stores, fluxes, and residence 168 times of water and solutes within a catchment. In reaching this goal of quantifying 169 differences in internal dynamics, we are guided by three expectations. First, we expect the 170 accuracy of 1WW and 2WW in predicting stream discharge will be similar because of a 171 comparable number of free parameters and the broad success of lumped representations 172 in predicting discharge in the literature (e.g., Beven, 2011; Duan, Sorooshian, & Gupta, 173 1992). Next, we expect 2WW will more accurately predict a seasonal stream chloride signal 174 because ecohydrologic separation isolates the source of water for evapotranspiration, 175 which generates chloride enrichment of the unsaturated zone during dry periods (Figure 176 1), from the source of water for stream discharge. Finally, we expect 2WW will decrease 177 residence times for mobile, streamflow-generating water because new precipitation is able 178 to bypass a portion of unsaturated storage while bound water remains in place. 179 Concurrently, residence times for the bound water fraction will increase, resulting in more 180 variable residence times overall. To investigate alterations in stores, fluxes, and residence 181 times of water, we developed two hydrochemical lumped rainfall-runoff models which 182 incorporate the key features of 2WW and 1WW described above, for a headwater 183 catchment at the H.J. Andrews Experimental Forest (Oregon, USA), the site which 184 motivated the two water worlds hypothesis (Brooks et al., 2010). Models are calibrated 185 against stream discharge, as well as chloride concentrations to ensure adequate simulation 186 of solute transport in addition to discharge dynamics. Previous studies at the site provide 187 evidence in support that the ecohydrologic separation mechanism is operating in the

188 catchment (Brooks et al., 2010). Thus, this study does not seek to identify 1WW or 2WW as 189 a best or correct conceptual framework. Instead, we ask how our evolving conceptual 190 model—informed by the findings of Brooks et al. (2010)—results in changes to our 191 interpretation of the storage and transport of water and solutes at the catchment scale. 192 Recent studies conducted in other catchments indicate that ecohydrologic separation is 193 strongest in climates with distinct dry and wet seasons (Geris et al., 2015) and the magnitude of 194 ecohydrologic separation is temporally variable throughout the year (Hervé-Fernández et al., 195 2016; McCutcheon, McNamara, Kohn, & Evans, 2017; Sprenger, Tetzlaff, & Soulsby, 2017), 196 such that the two water worlds hypothesis holds during the dry season but not during the wet 197 season when small and larger soil pores become hydrologically connected. Thus, in our analysis 198 we particularly focus on alterations to water residence times and storage during the dry season 199 when differences between 1WW and 2WW are potentially most relevant.

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#### 201 **2.** Site description and data

202 In this study we analyze data from Watershed 10 (WS10, 0.96 km<sup>2</sup>), a headwater 203 catchment of the H.J. Andrews Experimental Forest located in the western Cascade Mountains 204 of Oregon, USA. The catchment has been extensively studied over the last several decades, 205 resulting in detailed site descriptions (Dryness, 1969; McGuire et al., 2005; Swanson & James, 206 1975; Swanson & Jones, 2002). WS10 has steep hillslopes (> 50%), narrow valley bottoms, and 207 highly conductive soils (Dryness, 1969; Harr, 1977). These features, along with the presence of 208 significant preferential subsurface flow paths, result in fast hydrologic responses to 209 precipitation (McGuire & McDonnell, 2010). Bedrock is volcanic in origin, including andesitic 210 and dactic tuffs and coarse breccias as the parent materials for overlying soils, which are about 211 1 m thick (Swanson & James, 1975). The forest is mainly coniferous with some deciduous 212 species in the riparian zone. Elevations range from 461 to 679 m a.m.s.l. The region has a 213 Mediterranean climate with wet, mild winters and dry, warm summers. During the study period 214 (1-Jan-1989 to 31-Dec-2014), mean annual precipitation was 2200 mm, about 80% of which fell 215 between October and April. Typically, highest precipitation occurs in late November and 216 minimum precipitation occurs in late July, coinciding with minimum streamflow. Mean annual

streamflow for WS10 was 1420 mm during the study period. Therefore, annual
evapotranspiration is estimated to be about 770 mm annually, or about 35% of precipitation.
The catchment is at a sufficiently low elevation that major seasonal snowpack does not
develop. Therefore, we do not include snow processes in our analysis, consistent with previous
modeling studies of WS10 (Klaus, Chun, McGuire, & McDonnell, 2015; McGuire, Weiler, &
McDonnell, 2007; Rodriguez, McGuire, & Klaus, 2018).

223 Daily discharge values were obtained from a fixed trapezoidal flume located at the 224 outlet of the catchment (H.J. Andrews station GSWS10). Stream water samples for chemistry 225 were collected proportionally to streamflow at the gauge location as composite samples 226 typically spanning three weeks, and samples were analyzed for chloride. Daily precipitation and 227 temperature data to estimate potential evapotranspiration were obtained from the nearby 228 climatic station located below the outlet of WS10 (430 m a.m.s.l , H.J. Andrews station PRIMET). 229 Precipitation samples to be analyzed for chemistry were collected weekly from a bulk collector 230 located the same elevation (H.J. Andrews collector RCADMN) and analyzed for chloride. 231 Precipitation chloride concentration has little systematic variation seasonally (Figure 1). 232 However, high concentration outliers, likely due to some evaporation prior to collection, 233 resulted in general over-estimation of stream chloride concentrations during the wet season 234 when stream chloride concentrations are low. As such, we use the approximate chloride 235 concentration of stream discharge during the wet season (0.1 mg L<sup>-1</sup>) as a constant input 236 concentration for precipitation. We selected the study period based on availability of data for 237 stream discharge, stream chemistry, precipitation, and precipitation chemistry.

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#### 240 **3. Hydrologic model development**

We developed two hydrologic models with the objective of reproducing the hydrograph and chloride concentration timeseries within the stream draining WS10. One model includes ecohydrologic separation characteristics (2WW; Fig. 2A) and one does not (1WW; Fig. 2B). Both 1WW and 2WW are lumped conceptual models that comprise interconnected reservoirs that represent dominant physical processes affecting hydrologic and transport response. We began

with basic model structures and applied a flexible development approach in which we
 progressively made modifications motivated by performance inadequacies and knowledge of

catchment characteristics (after Fenicia, Kavetski, & Savenije, 2011; Fenicia, McDonnell, &
Savenije, 2008).

250 Both the 2WW and 1WW models include four reservoirs: a plant available unsaturated 251 storage reservoir,  $S_{U1}$  (mm); a fast flow unsaturated reservoir supplying interflow,  $S_{U2}$  (mm); a 252 slow flow groundwater reservoir,  $S_{GW}$  (mm); and a hydrologically passive solute mixing 253 reservoir,  $S_P$  (mm) (Table 1, Figure 2). We use a forward Euler numerical approximations at a 254 daily timestep to solve the equation set for all simulations. The models have a similar number 255 of calibration parameters (6 for 1WW and 7 for 2WW) in order to minimize performance effects 256 due to differences in the number of parameters (Perrin, Michel, & Andréassian, 2001). Both 257 models use the same underlying mathematical representations for hydrologic dynamics. 258 Relevant state and flux equations are given in Table 2. The models differ only in how water and 259 solutes are routed within a time step, primarily based on unsaturated storage being organized 260 in serial (1WW) or parallel (2WW).

261 The 1WW model is a modified version of a model developed by Euser et al., 2013 and 262 Hrachowitz et al., 2014. The primary adaptation is the inclusion of a passive mixing reservoir 263 because damping and delay of the interflow solute signal was determined to be a crucial 264 process for reproducing stream chloride concentrations in both 1WW and 2WW. In the 1WW 265 model, all infiltrating precipitation P (mm d<sup>-1</sup>) is first mixed in the plant available unsaturated 266 zone reservoir before draining to subsequent reservoirs within the same daily time step, 267 reflecting the sequential transport processes of translatory flow (Table 3). In contrast, in 2WW, 268 water is partitioned in parallel between  $S_{U1}$  and preferential flow paths to  $S_{U2}$  and  $S_{GW}$  such that 269 fast flow water does not mix with the hydrologically disconnected water stored in S<sub>U1</sub>. The 270 portion of infiltrating precipitation partitioned to  $S_{U1}$  decreases with increasing wetness 271 conditions.

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274 3.1 Model structure

275 **3.1.1 Solute transport** 

276 Chloride is assumed to be fully and instantly well-mixed throughout each storage
277 volume. In general, chloride mass is routed through each storage according to:

$$279 \quad c_{out} = \frac{c_{in} * R_{in} + m}{s}$$
 (2)

280

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In which c<sub>out</sub> (mg mm<sup>-1</sup>) is the outflowing concentration, c<sub>in</sub> (mg mm<sup>-1</sup>) is the inflowing
concentrating, R<sub>in</sub> (mm d<sup>-1</sup>) is the flux of water into the reservoir, m (mg) is the chloride mass in
the reservoir in the previous time step, and S (mm) is the water storage after the addition of
water inflows for the time step but before water outputs are subtracted for the time step.

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#### 287 **3.1.2** Unsaturated reservoir 1

288  $S_{U1}$  represents the portion of the unsaturated zone that is available to plants for 289 transpiration, commonly considered the dynamic portion of the unsaturated zone (Savenije, 290 2016). Soil moisture within  $S_{U1}$  controls numerous sub-surface processes, including water 291 partitioning between storage, evaporation, and interflow. In 2WW and 1WW, the amount of 292 daily observed precipitation that gets stored in  $S_{U1}$  is determined by partitioning coefficient  $C_R$ 293 which is a function of  $S_{U1max}$  (mm), a parameter that reflects the maximum slow-flow 294 unsaturated storage capacity (Table 2).  $C_R$  is controlled by shape parameter  $\beta$ . For high soil 295 moisture conditions  $C_R$  tends to 1, indicating that little precipitation P is partitioned to  $S_{U1}$ . 296 Moisture in the unsaturated reservoir is depleted by evapotranspiration ET (mm d<sup>-1</sup>), which 297 increases linearly with soil moisture until it reaches a fractional threshold, L<sub>P</sub>, of the maximum 298 storage capacity, above which it is equal to potential evapotranspiration  $E_P$ . In 2WW, water 299 fluxes to groundwater ( $R_{GW}$ ) and water fluxes to unsaturated reservoir 2 ( $R_{U2}$ ) are routed 300 directly to  $S_{GW}$  and  $S_{U2}$  without mixing with water in  $S_{U1}$ . In 1WW, all precipitation is mixed with 301  $S_{U1}$  prior to entering subsequent storages, reflecting the sequential transport processes of 302 translatory flow. While the difference in mixing results in differences in chloride fluxes to each 303 reservoir, the equations describing the volume of water which is ultimately routed to each

304 storage for each timestep ( $R_{U1}$ ,  $R_{U2}$ , and  $R_{GW}$ ) remain the same for both 1WW and 2WW. The 305 characteristics of  $S_{U1}$  in 2WW are consistent with what is referred to as "bound" or "poorly 306 mobile" water in the two water worlds conceptual model (e.g., Brooks, 2015; Evaristo et al., 307 2015).

308 Potential evaporation estimates are required to calculate daily evapotranspiration in the 309 models. Daily reference evapotranspiration  $E_R$  (mm d<sup>-1</sup>) was estimated using the Hargreave's 310 equation (Hargreaves & Samani, 1985), which is based on differences between measured 311 values of daily maximum and minimum air temperature:

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313  $E_R = 0.0023(T_{mean} + 17.8)(T_{max} - T_{min})^{0.5} R_a$  (1)

314

in which  $T_{mean}$  is mean daily temperature,  $T_{max}$  is maximum daily temperature,  $T_{min}$  is minimum daily temperature, and  $R_a$  is extraterrestrial radiation.  $E_R$  and  $R_a$  are in units of equivalent water evaporation and temperatures are in °C. Potential evapotranspiration  $E_P$  is assumed to be equal to  $E_R$ .

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#### 321 3.1.3 Unsaturated reservoir 2

322  $S_{U2}$  is a fast-responding reservoir representing macropores that contribute to lateral 323 preferential flow to the stream (i.e., interflow). The portion of precipitation that is routed to 324 preferential flow via  $C_{R}$ , which includes both vertical and lateral components, is further 325 partitioned between interflow and groundwater recharge ( $R_{U2}$  and  $R_{GW}$ ) according to a 326 calibrated preferential recharge coefficient,  $C_P$ . Outflow from the mobile unsaturated reservoir 327 is linear with storage and characterized by a calibrated storage coefficient  $K_{U2}$  (d<sup>-1</sup>). In 1WW,  $S_{U1}$ 328 is hydrologically connected to  $S_{U2}$ , thus mediating connectivity between  $S_{U1}$  and flow paths that 329 supply streamflow. In 2WW,  $S_{U1}$  is hydrologically disconnected from  $S_{U2}$ . The characteristics of 330  $S_{U2}$  in 2WW are consistent with the "mobile" water described in the two water worlds 331 hypothesis.

332 For 2WW, water contained within  $S_{U1}$  can only be depleted through evapotranspiration,

but chloride is exchanged between  $S_{U1}$  and  $S_{U2}$  without yielding a net transport of water via

mass transfer. Chloride exchange between  $S_{U1}$  and  $S_{U2}$  is modeled as:

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336 
$$\frac{dc_{U2}}{dt} = -\alpha * \frac{S_{U1}}{S_{U2}} * (c_{U2} - c_{U1})$$
 (3)

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in which  $c_{U1}$  (mg/mm) and  $c_{U2}$  (mg/mm) are the chloride concentrations in  $S_{U1}$  and  $S_{U2}$ respectively, t is time (d<sup>-1</sup>), and  $\alpha$  (d<sup>-1</sup>) is the mobile-immobile exchange coefficient. The masstransfer formulation used to exchange solutes between  $S_{U1}$  and  $S_{U2}$  is based on a standard firstorder rate-limited mass transfer model (Haggerty & Gorelick, 1995) and enforces solute exchange proportional to the difference in concentration between the reservoirs.

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#### 345 3.1.4 Groundwater reservoir

346 The groundwater reservoir represents baseflow contributions to stream discharge. The 347 portion of precipitation that is partitioned to  $S_{GW}$  depends on partitioning coefficients  $C_R$  and  $C_{P.}$ 348 Outflow from  $S_{GW}$  is linear with storage and characterized by storage coefficient  $K_{GW}$  (d<sup>-1</sup>).  $K_{GW}$ 349 was determined to be 0.05 d<sup>-1</sup> a priori through calculation of a master recession curve (MRC) 350 that represents the baseflow recession of the catchment (Fenicia, Savenije, Matgen, & Pfister, 351 2006). The technique includes concatenating a set of recession segments by shifting them in 352 time so that the curves overlap, forming an MRC. The lower portion of the MRC, which is 353 assumed to characterize baseflow, defines a line when extrapolated and plotted semi-log. The 354 line decreases one log cycle in time  $1/K_{GW}$ . In 1WW, flow paths to  $S_{GW}$  first mix with  $S_{U1}$  within 355 the same time step. In 2WW, flow paths to  $S_{GW}$  bypass the bound unsaturated zone water 356 represented by  $S_{U1}$  but mix with mobile unsaturated zone water of  $S_{U2}$ . 357

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#### 359 **3.1.5** Passive mixing reservoir

360 The damped and time-lagged response of tracer time series relative to hydrologic 361 responses to precipitation provides insight into catchment transit times and suggests the 362 presence of hydrologically inactive mixing volumes that cannot be inferred from discharge 363 dynamics alone (Benettin, Kirchner, Rinaldo, & Botter, 2015; Birkel, Soulsby, & Tetzlaff, 2011). 364 These residual storages do not influence hydrologic responses but are critical to simulating 365 chemical signatures and estimating temporal scales of solute transport and mixing. We 366 conceptualize this passive storage to mainly represent the riparian zone and weathered 367 bedrock below the elevation of the streambed at our study site. Outflow from  $S_{U2}$  is routed 368 through a passive storage volume,  $S_P$ , to reproduce the observed damped and lagged chloride 369 response.

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#### 372 **3.3. Model architecture decisions**

373 Models were iteratively developed to better reflect dominant catchment behavior while 374 maintaining parsimony, closely following the procedures of Fenicia et al. (2011) and Kavetski & 375 Fenicia (2011). An interception reservoir and snow component were tested and removed after 376 having minimal effect on model performance. Similarly, infiltration-excess overland flow 377 routing was incorporated in the models but did not improve model performance. This result 378 was anticipated because soils within H. J. Andrews Experimental Forest are highly porous and 379 infiltration rates are sufficiently high (typically > 20 cm  $h^{-1}$ ) that overland flow rarely occurs 380 (Dryness, 1969; Jones, 2000). We also tested incorporating a groundwater passive reservoir, but 381 preliminary calibrations suggested little groundwater storage so this value was set to 0, 382 consistent with Rodriguez, McGuire, and Klaus (2018) who found this parameter to be 383 unidentifiable for WS10. The catchment is steep and mainly composed of thin soils (~1 m deep) 384 over shallow bedrock (van Verseveld, McDonnell, & Lajtha, 2008), limiting saturated storage. 385 Previous studies indicate that groundwater dynamics in WS10 are dominated by fracture flow, 386 and a highly fractured region within the upper meter of bedrock provides a pathway for rapid 387 lateral subsurface stormflow (Gabrielli, McDonnell, & Jarvis, 2012). A passive reservoir in series

behind S<sub>U2</sub>, determined to be important for damping and lagging of the chloride signal, is
 expected to account for most saturated riparian storage.

390 Evapotranspiration was initially assumed to remove water and chloride from  $S_{U1}$  with 391 chloride removal represented as a fraction, J, of the reservoir concentration (Benettin et al., 392 2015). Chlorine is a necessary micronutrient for proper biogeochemical functioning and 393 metabolism in plants (Hänsch & Mendel, 2009); it is taken up in small quantities by roots and 394 can accumulate in foliage (Berger, Eagar, Likens, & Stingeder, 2001; Likens, 2013). Because ET 395 represents the combined fluxes of both evaporation and transpiration, J was expected to be 396 small. Preliminary calibration of J was close to zero, implying that evapoconcentration of 397 chloride in pore water is more important to catchment solute dynamics than removal by plant 398 uptake. This is in accordance with the strong seasonal chloride signal observed in the stream. 399 To reduce the number of calibration parameters, J was fixed at zero for all simulations 400 presented in this study, thereby neglecting plant uptake of chloride.

401 Several studies indicate seasonal transience of ecohydrologic separation in some 402 climates (Hervé-Fernández et al., 2016; McCutcheon, McNamara, Kohn, & Evans, 2017; 403 Sprenger, Tetzlaff, & Soulsby, 2017), such that the two water worlds hypothesis does not 404 apply during the wet season when catchments exhibit increased hydrologic connectivity 405 between soil pores. As such, we tested models in which we conditioned hydrologic exchange 406 between  $S_{U1}$  and  $S_{U2}$  to be dependent upon soil moisture in the unsaturated reservoir. 407 However, the additional complexity of moisture-dependent mixing was not adequately 408 supported by the available data and resulted in a reduction in parameter identifiability. 409 Therefore, we elected to present fully 1WW and 2WW models. We note here that in some 410 catchments these models might represent seasonal endmembers in which 1WW reflects wet 411 season dynamics and 2WW reflects dry season dynamics.

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#### 414 **3.4. Model evaluation**

415 Data from 1 January 1989 through 31 December 2014 were used as model input. These
416 26 years were identified to have all required input data for the model. The first year of data was

417 used for model warm-up and the following 12 years for calibration. The final 13 years were 418 used for model validation. A spin-up period was employed prior to all simulations by running 419 the model through the first 13 years of data 10 times in order to establish appropriate initial 420 values for state variables from meteorological data and input parameter values. Variables 421 spanning orders of magnitude were sampled from a logarithmic parameter space to ensure 422 equal coverage across all orders of magnitude (after Kelleher et al., 2013; Ward et al., 2017; 423 Ward et al., 2013), and a Latin hypercube scheme was used to sample the parameter space. A 424 total of 50,000 parameter sets were simulated for each model formulation.

425 We used a multi-objective calibration approach by first selecting a hydrologic behavioral 426 set and subsequently selecting a subset of chloride transport behavioral models from this 427 hydrologically acceptable set. Lumped conceptual models can have limited predictive power 428 even after acceptable hydrologic calibration, suggesting poor representation of internal 429 processes (Gupta & Sorooshian, 1983; Hrachowitz & Clark, 2017; Klemeš, 1986; McDonnell et 430 al., 2007). Multi-objective calibration approaches using information orthogonal to stream 431 discharge, such as solute concentrations, have been proposed to constrain subsets of models 432 that can adequately reproduce multiple response dynamic signatures (Benettin, Kirchner, 433 Rinaldo, & Botter, 2015; Hrachowitz et al., 2014; Kim, Jung, & Chun, 2016). Using a sequential 434 approach of first selecting baseline models based on hydrologic behavior alone allows us to 435 assess the influence of the additional tracer constraints. We used the Nash-Sutcliffe efficiency 436 of discharge (NS<sub>Q</sub>) and logarithmic values of discharge (LNS<sub>Q</sub>) for calibrating hydrologic 437 parameters against daily discharge. The logarithmic transformation of discharge results in 438 increased sensitivity to systematic model under- or over- prediction relative to non-439 transformed Nash Sutcliffe efficiency by increasing the influence of low flow values compared 440 to peak values (Krause, Boyle, & Bäse, 2005). Because the chloride time series is less dynamic, 441 Nash-Sutcliffe efficiency (NS<sub>cl</sub>) was selected for transport calibration. First, we eliminated 442 models with an NS<sub>Q</sub> below 0.6 from the pool of potential behavioral models. Of the remaining 443 models, the 500 models with the highest LNS<sub>Q</sub> were retained for the hydrologic behavioral set 444 (1% of models, 500 total parameter sets). From within the hydrologic behavioral set, models 445 within the top 10% for NS<sub>Cl</sub> were selected for the retained feasible solutions (50 total

parameter sets). The best model solution was selected based on the best performance forchloride within the behavioral set.

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#### 450 3.5 Statistical analysis

Kruskal-Wallis tests were performed to test whether behavioral set performance,
parameters, and median water storage within reservoirs differ between model structures using
a significance threshold of 0.05. We take p < 0.05 as an indicator that differences between</li>
models are unlikely to be attributable to chance alone (i.e., 95% certainty of differences).
Hereafter, we use the terminology "statistical significance at the 95% confidence interval"
as a shorthand for this interpretation. Additionally, percent differences (Δ) in medians were
calculated using:

458

459 
$$\Delta_{1,2} = \frac{X_{1WW} - X_{2WW}}{(X_{1WW} + X_{2WW})/2} * 100$$
 (4)

460

in which 1WW and 2WW subscripts indicate values of interest for 1WW and 2WW, respectively,
and X represents a parameter of interest. Subscripts for Δ indicate differences between 1WW
and 2WW (1,2), 1WW and 2WW mobile water only (1,2M), or 2WW and 2WW immobile
water only (2,2IM).

465 The hydrologic residence time distributions for all time steps and storages were 466 determined by tracking individual parcels of water of a given age through the models. Daily 467 mean water residence time distributions for individual reservoirs were created using the 468 storage-weighted mean residence time for each day of all models, with a total of 9,131 days of 469 simulation for each of the 50 behavioral models for both 2WW and 1WW. Kruskal-Wallis tests 470 were performed to test whether median daily mean residence times for each storage differ 471 between model structures using a significance threshold of 0.05. However, because sample 472 sizes are so large, there is high power to detect small differences. As such, we take particular 473 care to place the results in the context of the magnitude of those differences by calculating the 474 percent difference between median residence times. Probabilities and cumulative probabilities

475 of water parcels of given ages in the unsaturated zone on each day of the year were

476 determined by summing the water in storage on a particular day of the year over all years and

477 all model runs (1300 samples used to construct each daily probability distribution) and

478 normalizing by the total amount of water in storage on a particular day of the year over all

479 years and all model runs.

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481

482 **4. Results** 

#### 483 **4.1** Parameter calibration and model performance

484 For model parameters to provide useful information, it is important that they are 485 unique, optimal, robust solutions (Kelleher et al., 2013; Wagener, McIntyre, Lees, Wheater, & 486 Gupta, 2003; Ward et al., 2017). Model calibration resulted in identifiable values for 487 calibrated parameters for both 1WW and 2WW (steepest portions of cumulative 488 distributions, Fig. 3). The levels of identifiability for the feasible solutions (post-selection 489 based on chloride criteria) are higher than the initial hydrologic behavioral set, as indicated 490 by a narrowing of the steep portion of the distributions. The identifiability range for some 491 parameters, such as the maximum plant available unsaturated storage capacity  $S_{U1max}$  and 492 transpiration threshold L<sub>P</sub>, are wider for 2WW than 1WW (Figure 3).

493 Both models reproduce the features of the hydrograph well despite reduced-494 complexity structures (median  $LNS_Q$  = 0.83 and 0.82 for 1WW and 2WW calibration, 495 FigureS1a-b; median  $LNS_Q$  = 0.80 for validation of both models, Figure 4a-b). The difference 496 in median  $LNS_{Q}$  between models for the calibration period is unlikely to be attributable to 497 chance alone (p = 0.01), but the difference is not significant at the 95% confidence level 498 and is small (0.01). This does not hold for the difference in median LNSg over the 499 evaluation period (p = 0.93), suggesting a higher degree of similarity. We also calculated 500  $NS_Q$  (median  $NS_Q$  = 0.73 and 0.69 for 1WW and 2WW calibration period; median  $NS_Q$  = 0.69 and 0.67 for 1WW and 2WW validation). Reported values of NSQ are not significantly 501 502 different at the 95% confidence level for either calibration (p < 0.01) or validation (p =503 0.01). Both models under-estimate peak flows. It is not surprising that the models

reproduce the time series of flow similarly because they have the same underlying
hydrologic equations. The small difference between the hydrologic performance range of
1WW and 2WW is due to the second calibration step, which eliminates models based on
stream chloride performance.

508 The chloride objective function NS<sub>Cl</sub> shows acceptable model performance for 1WW 509 and 2WW (median NS<sub>Cl</sub> = 0.78 and 0.77 for 1WW and 2WW calibration, respectively; Figure 510 4c-d; median *NS<sub>Cl</sub>* = 0.77 and 0.75 for validation, Figure S1c-d). Both models reproduce the 511 pattern of seasonal chloride enrichment, including the timing and magnitude of 512 oscillations. Similar to LNS<sub>Q</sub>, the difference in median NS<sub>Cl</sub> over the calibration period is 513 unlikely due to chance (p < 0.01), but the difference is small (0.02). The difference in 514 median NS<sub>Cl</sub> is not significantly different at the 95% confidence interval between models for validation (p = 0.31). Overall, although there are some statistical differences between 515 516 model evaluation criteria for discharge and chloride concentration for the calibration 517 period, the magnitude of p-values relative to the significance threshold vary and median 518 differences tend to be small, thus limiting their meaning in the context of this study. This 519 could suggest that observed streamflow chloride concentration is not a strong predictor of 520 unsaturated zone processes, such as ecohydrologic separation, in the catchment. Similarly, Knighton et al. (2017) observe minimal effects of unsaturated-zone percolation mixing on 521 522 stream water isotopic signature and postulate that tracers in streamflow may not always 523 be a strong feedback on internal catchment processes. Kuppel et al. (2018) observe some 524 sensitivity of isotope tracers to unsaturated zone mixing processes, attributing the 525 difference between the studies to a larger groundwater contribution at their site. Baseflow 526 contributions in WS10 are relatively small, similar to the intermittent catchment studied by 527 Knighton et al. (2017).

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530 **4.2** Comparing one- and two- water worlds parameters, storages, and residence times

- 531 Of the six calibration parameters common to both models, only two differ
- significantly between models at the 95% confidence level,  $S_{U1max}$  and  $C_P$  (p < 0.01, Figure 5).

The magnitudes of percent differences are about 30% for both parameters. While the parameter range of  $C_P$  is similar between models, the range of  $S_{U1max}$  values in the 2WW behavioral set is about three times that of 1WW. The magnitudes of percent differences for the parameters that are not significantly different ( $K_{U2}$ ,  $S_P$ ,  $L_P$ , and  $\beta$ ) range from 1% to 18%. When put in the context of calibration ranges, differences in parameters are not large.

539 Still, differences in parameters and solute routing result in variations in the 540 simulated hydrologic function of the catchment (fluxes and stores of water within the 541 catchment). The medians of the average simulated water storages for individual reservoirs 542  $S_{U1}$  and  $S_{GW}$  are unlikely due to chance (p < 0.01, Figure 6a and c), with differences of 21% 543 and -29%, respectively. Groundwater heights above bedrock during stormflow have been 544 observed to be shallow in WS10 (10-15 cm, van Verseveld et al., 2008) and lie within the 545 range of modeled groundwater storage during stormflow for both models when soil 546 porosity is taken into account. Storage in fast-flow reservoir  $S_{U2}$  does not differ significantly 547 between models (p = 0.14, Figure 6b). At the catchment scale we can compare differences 548 between water storage regardless of mobility, as well as compare mobile water volumes 549 exclusively, which influence residence times of streamflow-generating water. Because all 550 water is mobile in 1WW, these values stay constant for total and mobile storage. For 2WW, 551 mobile water storage (2WW<sub>M</sub>) excludes  $S_{U1}$  immobile storage (2WW<sub>IM</sub>) and unsaturated 552 zone mobile water storage is equal to  $S_{U2}$ . Unsaturated zone storage ( $S_{U1}$  and  $S_{U2}$ ) differs 553 significantly between 1WW and 2WW for all water but is not highly significant at the 95% 554 confidence level (p = 0.04, Figure 6d). Differences between 1WW and  $2WW_M$  are also 555 unlikely to be attributable to chance (p < 0.01). While unsaturated zone storage for all water is larger for 2WW ( $\Delta_{1,2} = 21\%$ , 53 mm), mobile water unsaturated zone storage is 556 557 smaller and the magnitude of the difference is much larger ( $\Delta_{1,2M} = -198\%$ , -220 mm). 558  $S_{U1}$  is two orders of magnitude larger than  $S_{U2}$  for both 2WW and 1WW (Figure 6a-b). Thus, 559 exclusion of S<sub>U1</sub> from the mobile unsaturated storage volume for 2WW could be expected 560 to result in a large negative difference in unsaturated zone mobile storage compared to 561 1WW. For total catchment storage, the volumes of all water for 1WW ( $S_{U1}$ ,  $S_{U2}$ ,  $S_{GW}$ , and  $S_P$ )

and 2WW ( $S_{U1}$ ,  $S_{U2}$ ,  $S_{GW}$ , and  $S_P$ ) do not differ significantly (p = 0.10, Figure 6e). Mobile water volumes ( $S_{U2}$ ,  $S_{GW}$ , and  $S_P$  for 2WW) differ significantly at the 95% confidence interval (p < 0.01) and the magnitude of the difference is large ( $\Delta_{1,2M}$  = -137%, -233 mm).

565 Due to large sample sizes, even small differences between distributions of daily 566 mean residence times for behavioral model sets result in statistically significant differences 567 (p < 0.01 for all reservoirs considered). Therefore, we proceed with interpreting only the magnitude of these differences when evaluating their importance. When considering all 568 569 water stored in the unsaturated zone regardless of mobility, the 2WW median daily 570 residence time is 102% (81 days) larger than 1WW (Figure 7a). However, in line with our 571 expectations, when accounting for water mobility, the 2WW median residence time for 572 mobile water (2WW<sub>M</sub>) is smaller than 1WW and the difference is -168% (-35 days). This is 573 largely due to a smaller pool of mobile water in the 2WW unsaturated zone compared to 574 1WW. Furthermore, median residence time for 2WW immobile water is larger than 2WW 575 total water but the difference is small ( $\Delta_{2.2IM} = 2\%$ , 3 days). On average, immobile water 576 makes up a larger portion of unsaturated zone storage for 2WW compared to mobile water 577 (Figure 6); thus, the total unsaturated zone residence time distribution for 2WW is similar 578 to that of the immobile fraction. The range of daily mean residence times for the total 579 unsaturated zone is about four times larger for 2WW than 1WW.

580 Similar to unsaturated storage, the median residence time for all water stored in 581 the catchment for 2WW is larger than 1WW (74%, 55 days), consistent with observations 582 of similar total water storage and modeled hydrographs. When considering only mobile 583 water storage, 2WW median residence time is smaller than 1WW (-75%, -25 days); Figure 584 7b) and shows a bimodal distribution. This bimodal distribution is due to seasonal 585 differences in residence times. During the wet season, a greater fraction of new 586 precipitation is routed to SU2, SGW, and SP. This decreases residence times for the wet 587 season relative to the dry season (Figure S2) when a smaller fraction of new precipitation is 588 routed to these reservoirs; instead, most new precipitation is stored in S<sub>U1</sub> under dry 589 conditions. This moisture-dependent storage results in less seasonally-variable median 590 residence times for Su1. While seasonal differences in residence times hold for both 2WW

591 and 1WW, the bimodal distribution is most apparent when considering only mobile water 592 for 2WW because it excludes the more constant residence times of  $S_{U1}$  and thus the 593 seasonal shift makes up a larger percent difference (110% for 2WW<sub>M</sub> vs 83% for 1WW and 594 43% for 2WW; Figure S2). Kuppel et al. (2018) found similar seasonal age variations in a 595 small headwater montane catchment in Scotland using a fully distributed ecohydrologic 596 model which incorporates tracking of water isotopes and age: hillslopes, which make up 597 the majority of our study catchment, had median ages ranging from a week old during the 598 winter to several months old during the growing season. The magnitude of these values 599 are comparable to median residence times for total catchment 2WW<sub>M</sub> during the wet 600 season (~ 2 weeks) and 2WW or 2WW<sub>M</sub> during the dry season (several months). The range 601 of daily mean residence times for the total catchment water is about twice as large for 602 2WW than 1WW.

603 In addition to comparing summary statistics for residence time distributions, we 604 also calculated time-variable residence time distributions for each day of the year (Figure 605 8a-c). Residence times which correspond to precipitation during the wet season have high 606 probabilities of being observed in storage, and residence times which correspond to the 607 dry season inputs have low probabilities. A rapid decrease in residence time probabilities is 608 observed for water which originates during the late dry season (i.e., July-September; Figure 609 8d-f). This decrease is greater for 1WW than 2WW, and largest for 2WW<sub>M</sub>. Water parcels 610 originating on different days during the wet season for a particular year have more 611 constant probabilities, particularly for 2WW. There is a slight decrease in probabilities with 612 increasing residence for 1WW during this time and a more rapid decrease in residence 613 times for 2WW<sub>M</sub>. Diagonal bands appear in Figure 8a-c due to aging of water parcels. 614 Overall, probabilities tend to decrease as residence times increase due to addition 615 of younger water and continual depletion of water in storage. 1WW probability

616 distributions have higher probabilities for shorter unsaturated zone water residence times

617 (< ~50 days) relative to 2WW (XXXX), in agreement with the difference between

618 distributions of daily mean residence times (Figure 6a). Likewise, when considering water

619 mobility, this pattern is reversed and 2WW mobile water distributions are shifted towards

620 higher probabilities for even younger water relative to 1WW (XXXX). Similarly, the 621 cumulative probability that a parcel of water is less than particular residence times (e.g., 5 622 days, 50 days, and 120 days; Figure 9) is larger for 1WW than 2WW on all days of the water 623 year when considering all water but is the opposite when considering only mobile water. 624 For larger residence times, cumulative probabilities converge to 1 for both models (Figure 625 9f). During the wet season, cumulative distributions converge to 1 at lower residence times 626 compared to the dry season when differences in cumulative probabilities are greatest and 627 water tends to be older (Figure 9e-f).

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#### 630 **5.** Discussion

#### 631 **5.1** Representation of ecohydrologic separation

632 In this study, chloride transport through the unsaturated zone is conceptualized by 633 assuming advective transport is limited to macropores, and transport between mobile and 634 immobile zones is modeled using rate-limited mass transfer. The 2WW model architecture 635 we present is one realization of how ecohydrologic separation can be incorporated in a 636 catchment-scale lumped model, and is consistent with the conceptual models of 637 ecohydrologic separation. Although the precise modes of mass transfer of solutes (e.g., 638 dispersion, kinetic diffusion) have rarely been considered in isotopic studies, the isolated nature 639 of water in 2WW necessitates a non-advective mechanism to mobilize concentrated chloride in 640  $S_{U1}$  to mobile pathways to the stream, while not yielding a net transport of water. The 641 incomplete displacement of preexisting water in soils by incoming water has long been 642 recognized and represented in physically-based pore-scale models. For example, the mobile-643 immobile model of transport through heterogeneous porous media (e.g., Gerke & van 644 Genuchten, 1993; Van Genuchten & Wierenga, 1976) assumes that water in small pores is not 645 directly connected to preferential flow paths to the stream, but is transported by first order 646 diffusion between small and large pores. Similar conceptualizations have been applied to flow 647 through porous media in fully saturated systems, commonly referred to as dual domain 648 porosity (Goltz & Roberts, 1986; Haggerty & Gorelick, 1995; Singha, Day-Lewis, & Lane, 2007).

649 Although our model is not a mechanistic representation of pore-scale processes, we aim to 650 represent the resultant behavior of similar processes at the catchment scale. The simplified 651 representation linking  $S_{U1}$  and  $S_{U2}$  in our model is consistent with mechanistic models of 652 bound storage at smaller scales.

653 In this study, for the 2WW model we assume that chloride transport between the 654 mobile and immobile zones is independent of hydrologic forcing. However, we recognize 655 that partial mixing of water between the mobile and immobile zones may be present under 656 some hydrologic forcing conditions and soil textures. This is supported by studies showing 657 that in some catchments ecohydrologic separation mechanisms are seasonal (Hervé-658 Fernández et al., 2016; McCutcheon, McNamara, Kohn, & Evans, 2017; Sprenger, Tetzlaff, 659 & Soulsby, 2017). Furthermore, it is expected that some portion of water is transported 660 along the pressure head gradient between immobile and mobile pores to replace bound 661 water taken up by plants (Berry et al., 2017; Gerke & van Genuchten, 1993) in addition to 662 some solute transport via kinetic diffusion. Furthermore, Sprenger et al. (2018) found that 663 accounting for isotopic exchange via water vapor in a two-pore domain model improved 664 simulations of stable water isotopes in soils. Transport due to pressure head gradients 665 between bound and mobile pores in the unsaturated zone is not represented in the 2WW 666 model due to a lack of data to support representation of both chloride mass transfer and 667 partial water exchange as a function of wetness conditions. Likewise, chloride transport via 668 hydrologic exchange between  $S_{U1}$  and  $S_{U2}$  is represented in 1WW but mechanisms of 669 chloride mass transfer are not. The addition of mechanisms must be balanced with the 670 available data required to constrain models. In the future, calibration of 2WW lumped 671 conceptual models using soil isotopic data (e.g., Birkel, Dunn, Tetzlaff, & Soulsby, 2010) 672 could help to distinguish the balance between advective and diffusive/dispersive transport 673 processes, as well as the potential for moisture-dependent intermittent hydrologic 674 connectivity between bound and mobile pores. Indeed, we expect that both mechanisms 675 of solute mass transfer and water mixing would need to be incorporated to optimally 676 model both chloride and isotopes in the catchment. The 2WW model we present 677 represents the upper limit of differences we might observe if ecohydrologic separation

678 were present year-round. In light of increasing data which supports seasonal transience of 679 the two water worlds hypothesis, it is plausible that both models hold for a single 680 catchment during different times of the year; in such catchments, we would expect the 681 2WW model to better represent dry season dynamics whereas the 1WW model would better 682 represent conditions under high antecedent rainfall when different sized soil pores become 683 connected. However, we did test a 2WW model with a moisture-sensitive  $\alpha$  and did not find 684 improved performance, indicating that additional empirical data will be necessary to 685 constrain the system.

686 The role of passive storage in ecohydrologic separation representation remains 687 unclear. Passive storage has been conceptualized as the unsaturated storage below field 688 capacity that is hydraulically inactive but available for mixing (Birkel et al., 2011). In some 689 ways, the immobile portion of the unsaturated zone  $(S_{U1})$  in 2WW represents the opposite: 690 water that is hydraulically active, in that it comprises dynamic water storage and provides 691 evapotranspiration fluxes, but which is not available for mixing with mobile water. 692 Hrachowitz et al., (2013) implements time-variable partial mixing between active and 693 passive unsaturated storage, considering the potential importance of moisture-dependent 694 mixing between mobile and immobile storage on internal transport dynamics. Others have 695 defined dual catchment storage conceptualizations which consist of direct storage, the 696 fraction of the seasonally dynamic water volume which stream discharge is sensitive to, 697 and indirect storage, which varies without directly influencing discharge (Carrer, Klaus, & 698 Pfister, 2019; Dralle et al., 2018). Dralle et al. (2018) interpret indirect storage volumes to 699 consist of unsaturated storage held under tension in soils, moisture in weathered bedrock, 700 and near-surface saturated storage which is eventually evapotranspired. These 701 descriptions reflect some conceptualizations of passive storage, as well as incorporate 702 aspects of the immobile storage volume S<sub>U1</sub>; whereas immobile water comprises the 703 majority of catchment storage in our study, Dralle et al. (2018) likewise determines that 704 indirect storage comprises the majority of dynamic catchment storage. It has also been 705 postulated that the distinction between passive and active storage in conceptual rainfall-706 runoff models may have implications for plant water availability (Birkel et al., 2011). In our

707 study system, we conceptualize passive storage to mainly comprise the riparian zone and 708 groundwater storage in weathered bedrock below the streambed elevation, resulting in 709 placement of passive storage in serial arrangement with unsaturated reservoirs. As such, 710 the passive storage reservoir lags and damps solute responses but does not interact with 711 the plant water available reservoir or directly influence evapotranspiration rates. However, 712 shallow groundwater table dynamics have been shown to strongly influence 713 evapotranspiration in riparian zones and lead to discrepancies in modeled 714 evapotranspiration (Kollet, 2009; Soylu, Istanbulluoglu, Lenters, & Wang, 2011). 715 Consideration of the role of passive storage placement and mixing dynamics could have 716 important implications for interpreted water storage and residence times, as well as 717 approaches for incorporating ecohydrologic separation in conceptual models. 718 Furthermore, resolving distinctions between passive, indirect, and immobile storage 719 volumes could inform mechanistic assessments of storage-discharge relationships and 720 catchment-scale solute transport.

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# 5.2. Identifiability and realism of the maximum plant available unsaturated storage capacity

725 Despite demonstrating adequate performance relative to the hydrologic objective function, the 2WW architecture reduced certainty in the estimation of  $S_{U1max}$ , as well as  $L_{P}$ . 726 727 This indicates that additional calibration targets may be needed to reduce parameter 728 identifiability issues when using a 2WW approach. Several methods have been used to 729 independently estimate maximum root zone storage capacity, including (1) the mass curve 730 technique (Gao et al., 2014), based on an engineering application for designing reservoirs; 731 (2) soil-derived estimates based on the available storage between wilting point and field 732 capacity (de Boer-Euser, McMillan, Hrachowitz, Winsemius, & Savenije, 2016); and (3) a 733 climate-based method which relies on the assumption that vegetation reserves a storage 734 large enough to overcome drought conditions of a certain return period (de Boer-Euser et 735 al., 2016). Furthermore, it is useful to consider the correspondence of parameter

736 calibration to values estimated from other methods to assess confidence in a model's 737 correspondence to reality (Gharari, Hrachowitz, Fenicia, Gao, & Savenije, 2014; Kelleher, 738 McGlynn, & Wagener, 2017). To investigate this, we used the climate-based method to 739 estimate S<sub>U1max</sub> for comparison to the calibrated range for each model. This technique uses 740 a simplified water balance model to estimate the required annual storages. Root zone 741 storage has zero moisture deficit during the wet period at the beginning of the simulation. 742 Water deficit increases when transpiration exceeds net precipitation and excess 743 precipitation is assumed to run off. A distribution of the yearly maximum deficits were 744 used to determine the root zone storage capacity required for vegetation to bridge a 745 drought of a 10 year return period, following Gao et al. (2014). Using the same 26 year 746 dataset of discharge and meteorological data for model calibration and validation, we 747 estimated a root zone storage capacity of 480 mm. This value lies between the third 748 quartile and upper limit for 2WW, but lies above the behavioral set range for 1WW (Figure 749 5a). This could indicate that 2WW model sets with  $S_{U1max}$  close to this value better 750 correspond to reality.

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#### 753 **5.3** Ecohydrologic separation alters residence times and storages of water and solutes

754 Transport timescales are broadly recognized as a key control on biogeochemical 755 function (Hill, 1990; Hrachowitz et al., 2016; Triska, Kennedy, Avanzino, Zellweger, & Bencala, 756 1989), because longer timescales allow more time for contact with biogeochemically active 757 substrates in the landscape. Therefore, differences in residence times have implications for 758 interpretations of reactive transport. As an example, we consider nitrification, an aerobic 759 process that occurs in the unsaturated zone. The nitrification reaction rate constant in sandy 760 loam soils has been reported to be on the order 1 d<sup>-1</sup> (McLaren, 1976) which corresponds to 761 99% removal by 5 days, assuming first-order kinetics. The probability that a parcel of water in 762 the unsaturated zone is less than 5 days old is greater for 1WW than 2WW for all days of the 763 year (Figure 9d), with the probability for 1WW being about 2 times larger than 2WW on 764 average and about 3 times larger on average during the late dry season (July-September). If

765 using a 2WW model, we would interpret more complete nitrification occurs in the unsaturated 766 zone as a whole compared to 1WW. 2WW mobile water, though, is about 4 times more likely 767 on average to be less than 5 days old compared to 1WW. Consequently, using a 2WW model 768 would result in the interpretation of lower total nitrification for the portion of water which 769 supplies streamflow compared to 1WW. Thus, the differences in residence times for 2WW can 770 substantially alter our expectations about biogeochemical processes operating in the 771 watershed, and more generally how we would expect reactive transport to occur for many 772 solutes or pollutants.

773 In addition to influencing water and solute transport, differences in where water is 774 stored in the catchment alter interpretations of plant water availability. Although the 775 difference in total catchment water storage is not significant between models (Figure 6e), 776 the difference in storage is significant for the plant available reservoir  $S_{U1}$  (21%, 52 mm, 777 Figure 5a). Moreover, dry periods are of particular importance, not only because that is when 778 ecohydrologic separation has been observed to be strongest, but dry periods also impart 779 drought stress on plants. The percent difference in water storage in  $S_{U1}$  is also greatest during 780 the dry summer season (72%, 50 mm, Figure S3). To further demonstrate potential differences 781 in plant water availability during dry periods, we compared cumulative distributions of plant 782 available water storage (Figure 10). 2WW predicts more plant available water storage for all but the lowest 6.3% of days. The lower 25<sup>th</sup> percentile of  $S_{U1}$  water storage is about twice as 783 784 large for 2WW than 1WW (120.0 mm for 2WW versus 65.0 mm for 1WW). However, the lower  $1^{st}$  percentile of  $S_{U1}$  is about three times larger for 1WW than 2WW (2.4 mm for 2WW versus 785 786 7.5 mm for 1WW). This indicates that although 2WW results in more plant available water 787 storage for the driest 25% of days, this model results in more extreme low storage than 1WW. 788 Alterations in dry period water storage within the plant available water pool for 2WW 789 could have important implications for the expected resilience of ecosystems to 790 environmental change.

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793 **6.** Conclusions

794 In this study we demonstrated how incorporating ecohydrologic separation into a 795 catchment-scale hydrologic model alters interpretations of the transport of water and 796 solutes. In our goal of quantifying differences in interpretations of internal functioning, we 797 were guided by three expectations. First, we expected that the 2WW and 1WW models 798 would predict stream discharge comparably well, but 2WW would more accurately predict 799 seasonal enrichment in chloride. In line with expectations, the model formulations have 800 similar performance metrics for stream discharge. However, both models also simulated 801 the chloride timeseries similarly well. It is perhaps unsurprising that bulk water chemistry -802 which has been broadly reported and simulated for many years - did not necessitate 803 adding the 2WW mechanism to improve model performance. Only with isotopic data did 804 we update our conceptual model for storage and transport in the unsaturated zone, which 805 we show here has important consequences for how we understand catchments to store 806 and release water and solutes.

807 We also expected that 2WW would result in an increased range of residence times 808 in the unsaturated zone, with decreased residence times for mobile storage and increased 809 residence time for immobile storage. In line with our expectations, 2WW increased the 810 range of daily mean residence times in the unsaturated zone overall, with decreased daily 811 residence times for mobile water. This is primarily due to a smaller mobile water volume 812 compared to 1WW, and increased daily residence times for 2WW immobile water relative 813 to 1WW. Immobile storage makes up the majority of unsaturated storage for 2WW; 814 therefore daily mean residence times for the total unsaturated storage are larger than 815 1WW overall. Despite mixed results for differences in calibrated parameters and water 816 storages, meaningful differences in residence times and water availability emerge due to a 817 combination of these small differences and variations in 2WW and 1WW mobile water 818 conceptualizations. Differences in unsaturated water storages and residence times 819 between 2WW and 1WW also tend to be largest during the dry season, when 820 ecohydrologic separation has been observed to be strongest.

Rather than identify a best model, the goal of this study was to inform how the two water worlds hypothesis presented in isotopic studies has the potential to change

823 interpretations of stores and fluxes of water at the catchment scale. In fact, these models 824 might reflect seasonal endmembers of wet and dry condition dynamics in some catchments. 825 Although we used simple models and calculations, we showed that accounting for this 826 small-scale process alters internal catchment dynamics. We used estimates to relate these 827 differences in internal catchment functioning to timescales for nitrification and the 828 availability of water for vegetation, demonstrating the relevance these changes to 829 conceptual hydrologic models have on ecological processes. There remains uncertainty 830 about the conditions under which representation of ecohydrologic separation is necessary 831 in hydrologic models and how it should be conceptualized. We provide a hypothesis of how 832 ecohydrologic separation can be incorporated in a lumped conceptual model, but expect 833 appropriate representation will vary by model type and catchment and evolve as our 834 understanding of ecohydrologic processes increases. These questions provide 835 opportunities for further conceptual and quantitative investigations to address catchment-836 scale water and solute transport under ecohydrologic separation and to test 837 representations of ecohydrologic separation in hydrologic models of contrasting systems. 838

839

#### 840 Notation

Ρ	Precipitation, mm d <sup>-1</sup>
ET	Evapotranspiration, mm d <sup>-1</sup>
E <sub>P</sub>	Potential evapotranspiration, mm d <sup>-1</sup>
$S_{U1}$	Storage in slow unsaturated reservoir, mm
<b>S</b> U2	Storage in fast unsaturated reservoir, mm
S <sub>GW</sub>	Storage in groundwater reservoir, mm
Sp	Passive storage for fast unsaturated reservoir, mm
S <sub>U1max</sub>	Maximum slow unsaturated storage
R <sub>U1</sub>	Recharge of slow unsaturated reservoir
<b>R</b> U2	Recharge of fast unsaturated reservoir
R <sub>GW</sub>	Recharge of groundwater reservoir

Qu	Runoff from fast unsaturated reservoir, mm d <sup>-1</sup>
Q <sub>P</sub>	Runoff from passive reservoir, mm d <sup>-1</sup>
Q <sub>GW</sub>	Runoff from groundwater reservoir, mm d <sup>-1</sup>
<b>Q</b> <sub>Tot</sub>	Total runoff, mm d <sup>-1</sup>
C <sub>R</sub>	Runoff generation coefficient
CP	Preferential recharge coefficient
L <sub>P</sub>	Transpiration threshold
<i>КU</i> 2	Storage coefficient of slow unsaturated reservoir, $d^{-1}$
K <sub>GW</sub>	Storage coefficient of groundwater reservoir, <i>d</i> -1
β	Shape parameter for $C_R$

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842

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855

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- 863
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## 1141 SUPPORTING INFORMATION

- 1142 Additional Supporting Information may. Be found online in the supporting information tab for
- 1143 this article.
- 1144
- 1145

## **Tables**

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## 1148 Table 1. Reservoir conceptualizations consistent between models

Reservoir	Conceptualization
Unsaturated Reservoir 1 ( $S_{U1}$ )	Slow-flow unsaturated storage; plant available
Unsaturated Reservoir 2 ( $S_{U2}$ )	Fast-flow unsaturated storage; preferential macropore flow
Groundwater Reservoir (S <sub>GW</sub> )	Slow-flow saturated storage
Passive Mixing Reservoir (S <sub>P</sub> )	Storage available for mixing but hydrologically inactive; riparian zone and weathered groundwater below streambed elevation

Reservoirs	Storage Water Balar	nce	Fluxes and State Equations	
Unsaturated Reservoir 1 (S <sub>U1</sub> )	$\frac{dS_{U1}}{dt} = R_{U1} - ET$	(E1)	$C_{R} = \frac{1}{1 + \exp(\frac{-S_{U1}}{\beta})}$	(E5)
			$R_{U1} = (1 - C_R)P$	(E6)
Unsaturated Reservoir 2	$\frac{dS_{U2}}{dt} = R_{U2} - Q_U$	(E2)	$R_{U2} = C_R (1 - C_P) P$	(E7)
(S <sub>U2</sub> )			$R_{GW} = C_R C_P P$	(E8)
			$ET = E_P \min\left(1, \left(\frac{S_{U1}}{S_{U1max}}\right) \frac{1}{L_P}\right)$	(E9)
Groundwater Reservoir	$\frac{dS_{GW}}{dt} = R_{GW} - Q_{GW}$	(E3)	$S_{U2,in} = S_{U2} + R_{U2}dt$	(E10)
(S <sub>GW</sub> )			$S_{U2,in} = S_{U2} + R_{U2}dt$ $Q_U = S_{U2,in}(1 - e^{-K_{U2}t})dt^{-1}$ $S_{GW,in} = S_{GW} + R_{GW}dt$	(E11)
			$S_{GW,in} = S_{GW} + R_{GW} dt$	(E12)
Passive Mixing Reservoir	$\frac{dS_P}{dt} = Q_U - Q_P$	(E4)	$Q_{GW} = S_{GW,in}(1 - e^{-K_{GW}})dt^{-1}$	(E13)
(S <sub>P</sub> )	ut .		$Q_{Tot} = Q_P + Q_{GW}$	(E14)

1150 Table 2. Water balance and flux equations for the models

1152 Table 3. Comparison of key behaviors of unsaturated reservoir 1 (S <sub>U1</sub> ) for 2WW and 1WW
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models.

	Two Water Worlds	One Water World	
Hydrologic connectivity	Protected storage, hydrologically disconnected; plants extract different water than enters the stream	Hydrologically connected to other reservoirs; plants extract same water that enters the stream	
Adherence to translatory flow	When catchment wetness is high, some precipitation bypasses S <sub>U1</sub> and does not participate in translatory flow	All precipitation flows through S <sub>U1</sub> and is displaced by newer water, as stated by translatory flow concept	
Solute tracer transport between $S_{U1}$ and $S_{U2}$	Chloride transported via solute mass transfer between $S_{U1}$ and $S_{U2}$	Chloride transported to $S_{U2}$ from $S_{U1}$ with water via advection	
Moisture dependence	Greater portion of precipitation routed to storage when dry		
Solute tracer concentration effects	Where chloride is enriched via evapotranspiration		

#### 1158 **Figure Legends**

1159

1160 Figure 1. Input time series of observed precipitation and estimated potential

1161 evapotranspiration  $(E_P)$  for the validation period (a). Chloride concentrations observed in

1162 precipitation and the stream over the validation period and average wet season stream

1163 chloride concentration over the study period (b). The blue shaded region highlights late dry

- 1164 season (Jul-Sep) trends.
- 1165

1166 Figure 2. Model structures for (a) one water world (1WW) and (b) two water worlds (2WW). All 1167 water is mobile for 1WW, but plant available reservoir  $S_{U1}$  in 2WW is isolated from outflow to 1168 the stream. The red line indicates chloride mass transfer between unsaturated reservoirs  $S_{U1}$ 1169 and  $S_{U2}$  in 2WW.

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1171 Figure 3. Identifiability of model parameters toward the objective functions. (a) maximum

1172 plant available unsaturated storage capacity S<sub>U1max</sub>, (b) unsaturated reservoir 2 storage

coefficient  $K_{U2}$ , (c) preferential recharge coefficient  $C_P$ , (d) transpiration threshold  $L_P$ , (e) 1173

1174 runoff generation shape parameter  $\beta$ , (f) passive storage  $S_{P}$ , (g) mobile-immobile exchange

1175 coefficient  $\alpha$ . The black line is the preliminary hydrologic behavioral set and the blue and

1176 red lines are the retained feasible solutions for 2WW and 1WW, after implementing the selection procedure based on chloride concentration.

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1179 Figure 4. Observed (red) and modeled (blue) stream discharge (a and b) and stream

1180 chloride concentrations (c and d) with 95% confidence intervals over the validation time

1181 period. Objective function values (LNS and NS) are for the solution with the best chloride

performance (blue line) and 5/50 (bold)/95<sup>th</sup> percentiles of the retained feasible solutions. 1182

1183 Asterisks indicate objective functions used for calibration (LNS<sub>Q</sub> and NS<sub>CI</sub>).

1184

1185 Figure 5. Evaluation of model set parameters (a) maximum plant available unsaturated 1186 storage capacity  $S_{U1max}$ , (b) unsaturated reservoir 2 storage coefficient  $K_{U2}$ , (c) preferential 1187 recharge coefficient  $C_P$ , (d) transpiration threshold  $L_P$ , (e) runoff generation shape

1188 parameter  $\beta$ , (f) passive storage S<sub>P</sub>, and (g) mobile-immobile exchange coefficient  $\alpha$ . Of the

1189 comparable parameters, only  $S_{U1max}$  and  $C_P$  differ significantly between models (p < 0.05,

1190 Kruskal-Wallis test).  $\Delta$  = difference between the median values. The black line on (a)

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         indicates an independent estimate of S<sub>U1max</sub> based on climate data.
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1193 Figure 6. Evaluation of behavioral set average water storage. Subplots (a-c) compare

1194 storage between individual model reservoirs  $S_{U1}$  (a),  $S_{U2}$  (b), and  $S_{GW}$  (c). Subplots (d-e)

1195 compare storage between one water world (1WW), combined mobile and immobile water

1196 of two water worlds (2WW), and mobile water of two water worlds (2WW<sub>M</sub>) for

1197 unsaturated storage  $S_U$  (d) and total catchment storage  $S_{Tot}$  (e).  $\Delta_{1,2}$  = the difference

1198 between 1WW and 2WW storage and  $\Delta_{1.2M}$  = the difference between 1WW and 2WW

1199 mobile water storage.

1201 Figure 7. Daily mean water residence time distributions for the behavioral model set for 1202 unsaturated storage  $S_U$  (a) and total storage  $S_{Tot}$  (b). Both plots show distributions for 1203 1WW, 2WW (2WW all water), and 2WW<sub>M</sub> (2WW mobile water). Plot (a) shows 2WW<sub>IM</sub> 1204 (2WW immobile water).  $\Delta_{1,2}$  = the difference between 1WW and 2WW median daily 1205 residence times for all water,  $\Delta_{1.2M}$  = the difference between 1WW and 2WW median daily 1206 residence times for mobile water, and  $\Delta_{2.2IM}$  = the difference between 2WW all water and 1207 2WW immobile water median daily residence times. 1208 1209 Figure 8. Color denotes the probability a parcel of water in unsaturated storage has a particular 1210 residence time on a particular day of the water year (Day 1 = October 1) for 1WW (a), 2WW (b), 1211 and 2WW<sub>M</sub> (mobile water); dark blue diagonals represent ages corresponding to precipitation 1212 during the driest portion of the year (probability  $\approx$  0). Red vertical lines on a-c correspond to 1213 residence time probability distributions on Day 30 (d), Day 120 (e), and Day 210 (f). 1214 Distributions for 1WW and 2WW<sub>M</sub> continue beyond the y-axis limit. The blue shaded regions 1215 indicate water which originated during the late dry season (Jul-Sep). 1216 1217 Figure 9. Color denotes the probability a parcel of water in unsaturated storage is younger than 1218 a particular residence time (i.e., cumulative probability) on a particular day of the water year 1219 (Day 1 = October 1) for 1WW (a), 2WW (b), and  $2WW_{M}$  (mobile water). Red horizontal lines on 1220 a-c correspond to cumulative probabilities for residence times of 5 days (d), 50 days (e), and 1221 200 days (f) throughout the water year. The blue shaded region indicates the late dry season 1222 (Jul-Sep). 1223 1224 Figure 10. Cumulative distribution of water storage in plant available reservoir S<sub>U1</sub>. 2WW 1225 predicts more plant available water storage for all but the lowest 6.3% of days. 1226 1227 1228