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1	Variation among biosynthetic gene clusters, secondary metabolite profiles, and cards of
2	virulence across Aspergillus species
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4	Jacob L. Steenwyk ¹ , Matthew E. Mead ^{1,+} , Sonja L. Knowles ^{2,+} , Huzefa A. Raja ² , Christopher D.
5	Roberts ² , Oliver Bader ³ , Jos Houbraken ⁴ , Gustavo H. Goldman ⁵ , Nicholas H. Oberlies ² , Antonis
6	Rokas ^{1,*}
7	
8	¹ Department of Biological Sciences, Vanderbilt University, Nashville, TN, 37235, USA
9	² Department of Chemistry and Biochemistry, University of North Carolina at Greensboro,
10	Greensboro, NC, USA
11	³ Institute for Medical Microbiology, University Medical Center Göttingen, Göttingen, Germany
12	⁴ Westerdijk Fungal Biodiversity Institute, Uppsalalaan 8, 3584 CT Utrecht, the Netherlands
13	⁵ Faculdade de Ciências Farmacêuticas de Ribeirão Preto, Universidade de São Paulo, São Paulo,
14	Brazil
15	⁺ M. E. M. and S. L. K. contributed equally to this work.
16	
17	* Correspondence: <u>antonis.rokas@vanderbilt.edu</u>
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23 Abstract

24 Aspergillus fumigatus is a major human pathogen. In contrast, Aspergillus fischeri and the 25 recently described *Aspergillus oerlinghausenensis*, the two species most closely related to A. 26 *fumigatus*, are not known to be pathogenic. Some of the genetic determinants of virulence (or 27 "cards of virulence") that A. *fumigatus* possesses are secondary metabolites that impair the host 28 immune system, protect from host immune cell attacks, or acquire key nutrients. To examine 29 whether secondary metabolism-associated cards of virulence vary between these species, we 30 conducted extensive genomic and secondary metabolite profiling analyses of multiple A. 31 *fumigatus*, one A. oerlinghausenensis, and multiple A. fischeri strains. We identified two cards of 32 virulence (gliotoxin and fumitremorgin) shared by all three species and three cards of virulence 33 (trypacidin, pseurotin, and fumagillin) that are variable. For example, we found that all species 34 and strains examined biosynthesized gliotoxin, which is known to contribute to virulence, 35 consistent with the conservation of the gliotoxin biosynthetic gene cluster (BGC) across 36 genomes. For other secondary metabolites, such as fumitremorgin, a modulator of host biology, 37 we found that all species produced the metabolite but that there was strain heterogeneity in its 38 production within species. Finally, species differed in their biosynthesis of fumagillin and 39 pseurotin, both contributors to host tissue damage during invasive aspergillosis. A. fumigatus 40 biosynthesized fumagillin and pseurotin, while A. oerlinghausenensis biosynthesized fumagillin 41 and A. fischeri biosynthesized neither. These biochemical differences were reflected in sequence 42 divergence of the intertwined fumagillin/pseurotin BGCs across genomes. These results 43 delineate the similarities and differences in secondary metabolism-associated cards of virulence 44 between a major fungal pathogen and its nonpathogenic closest relatives, shedding light onto the 45 genetic and phenotypic changes associated with the evolution of fungal pathogenicity.

46 Introduction

47 Fungal diseases impose a clinical, economic, and social burden on humans (Drgona et al. 2014; 48 Vallabhaneni et al. 2016; Benedict et al. 2019). Fungi from the genus Aspergillus are responsible 49 for a considerable fraction of this burden, accounting for more than 250,000 infections annually 50 with high mortality rates (Bongomin et al. 2017). Aspergillus infections often result in 51 pulmonary and invasive diseases that are collectively termed aspergillosis. Among Aspergillus 52 species, Aspergillus fumigatus is the primary etiological agent of aspergillosis (Latgé and 53 Chamilos 2019). 54 55 Even though A. fumigatus is a major pathogen, its closest relatives are not considered pathogenic 56 (Mead et al. 2019a; Steenwyk et al. 2019; Rokas et al. 2020b). Numerous studies have identified genetic determinants that contribute to A. fumigatus pathogenicity, such as the organism's ability 57 58 to grow well at higher temperatures and in hypoxic conditions (Kamei and Watanabe 2005; 59 Tekaia and Latgé 2005; Abad et al. 2010; Grahl et al. 2012). Genetic determinants that 60 contribute to pathogenicity could be conceived as analogous to individual "cards" of a "hand"

61 (set of cards) in a card game – that is, individual determinants are typically insufficient to cause

62 disease but can collectively do so (Casadevall 2007).

63

Aspergillus fumigatus biosynthesizes a cadre of secondary metabolites and several metabolites
could be conceived as "cards" of virulence because of their involvement in impairing the host
immune system, protecting the fungus from host immune cell attacks, or acquiring key nutrients
(Shwab *et al.* 2007; Losada *et al.* 2009; Yin *et al.* 2013; Wiemann *et al.* 2014; Knox *et al.* 2016;
Bignell *et al.* 2016; Raffa and Keller 2019; Blachowicz *et al.* 2020). For example, the secondary

69 metabolite gliotoxin has been shown in A. fumigatus to inhibit the host immune response (Sugui 70 et al. 2007; Spikes et al. 2008). Other secondary metabolites implicated in virulence include: 71 fumitremorgin, which inhibits the activity of the breast cancer resistance protein (González-72 Lobato *et al.* 2010); vertuculogen, which modulates the electrophysical properties of human 73 nasal epithelial cells (Khoufache et al. 2007); trypacidin, which is cytotoxic to lung cells and 74 inhibits phagocytosis (Gauthier et al. 2012; Mattern et al. 2015); pseurotin, which inhibits 75 immunoglobulin E (Ishikawa et al. 2009); and fumagillin which causes epithelial cell damage 76 (Guruceaga et al. 2018) and impairs the function of neutrophils (Fallon et al. 2010, 2011). 77

78 By extension, the metabolic pathways responsible for the biosynthesis of secondary metabolites 79 could also be conceived as components of these secondary metabolism-associated "cards" of virulence. Genes in these pathways are typically organized in contiguous sets termed 80 81 biosynthetic gene clusters (BGCs) (Keller 2019). BGCs are known to evolve rapidly, and their 82 composition can differ substantially across species and strains (Lind et al. 2015, 2017; de Vries 83 et al. 2017; Kjærbølling et al. 2018, 2020; Rokas et al. 2018, 2020a; Vesth et al. 2018). For 84 example, even though A. fumigatus contains 33 BGCs and A. fischeri contains 48 BGCs, only 10 85 of those BGCs appear to be shared between the two species (Mead *et al.* 2019a). Interestingly, 86 one of the BGCs that is conserved between A. fumigatus and A. fischeri is the gliotoxin BGC and 87 both species have been shown to biosynthesize the secondary metabolite, albeit at different 88 amounts (Knowles et al. 2020). These results suggest that the gliotoxin "card" is part of a 89 winning "hand" that facilitates virulence only in the background of the major pathogen A. 90 fumigatus and not in that of the nonpathogen A. fischeri (Knowles et al. 2020).

91

92 To date, such comparisons of BGCs and secondary metabolite profiles among A. fumigatus and 93 closely related nonpathogenic species have been few and restricted to single strains (Mead et al. 94 2019a; Knowles et al. 2020). However, genetic and phenotypic heterogeneity among strains of a 95 single species is an important consideration when studying *Aspergillus* pathogenicity (Kowalski 96 et al. 2016, 2019; Keller 2017; Ries et al. 2019; Blachowicz et al. 2020; Bastos et al. 2020; Drott 97 et al. 2020; dos Santos et al. 2020; Steenwyk et al. 2020). Examination of multiple strains of A. 98 *fumigatus* and close relatives—including the recently described closest known relative of A. 99 fumigatus, A. oerlinghausenensis, whose virulence has yet to be examined but which is not 100 thought to be a human pathogen (Houbraken et al. 2016) and has never been associated with 101 human infections—will increase our understanding of the A. fumigatus secondary metabolism-102 associated "cards" of virulence.

103

104 To gain insight into the genomic and chemical similarities and differences in secondary 105 metabolism among A. fumigatus and nonpathogenic close relatives, we characterized variation in 106 BGCs and secondary metabolites produced by A. fumigatus and nonpathogenic close relatives. To do so, we first sequenced and assembled A. *oerlinghausenensis* CBS 139183^{T} as well as A. 107 108 fischeri strains NRRL 4585 and NRRL 4161 and analyzed them together with four A. fumigatus 109 and three additional A. fischeri publicly available genomes. We also characterized the secondary 110 metabolite profiles of three A. fumigatus, one A. oerlinghausenensis, and three A. fischeri strains. 111 We observed both variation and conservation among species- and strain-level BGCs and 112 secondary metabolites. We found that the biosynthesis of the secondary metabolites gliotoxin 113 and fumitremorgin, which are both known to interact with mammalian cells (Yamada et al. 2000; 114 González-Lobato et al. 2010; Li et al. 2012; Raffa and Keller 2019), as well as their BGCs, were

115 conserved among pathogenic and nonpathogenic strains. Interestingly, we found only A. fischeri 116 strains, but not A. fumigatus strains, biosynthesized vertuculogen, which changes the 117 electrophysical properties of human nasal epithelial cells (Khoufache *et al.* 2007). Similarly, we 118 found that both A. fumigatus and A. oerlinghausenensis biosynthesized fumagillin and 119 trypacidin, whose effects include broad suppression of the immune response system and lung cell 120 damage (Ishikawa et al. 2009; Fallon et al. 2010, 2011; Gauthier et al. 2012), but A. fischeri did 121 not. Taken together, these results reveal that nonpathogenic close relatives of A. fumigatus also 122 produce some, but not all, of the secondary metabolism-associated cards of virulence known in 123 A. fumigatus. Further investigation of the similarities and differences among A. fumigatus and 124 close nonpathogenic relatives may provide additional insight into the "hand of cards" that 125 enabled A. fumigatus to evolve into a deadly pathogen.

126

127 Materials and Methods

128 Strain acquisition, DNA extraction, and sequencing

129 Two strains of Aspergillus fischeri (NRRL 4161 and NRRL 4585) were acquired from the 130 Northern Regional Research Laboratory (NRRL) at the National Center for Agricultural 131 Utilization Research in Peoria, Illinois, while one strain of Aspergillus oerlinghausenensis (CBS 139183^T) was acquired from the Westerdijk Fungal Biodiversity Institute, Utrecht, The 132 133 Netherlands. These strains were grown in 50 ml of liquid yeast extract soy peptone dextrose 134 (YESD) medium. After approximately seven days of growth on an orbital shaker (100 rpm) at 135 room temperature, the mycelium was harvested by filtering the liquid media through a 136 Corning[®], 150 ml bottle top, 0.22µm sterile filter and washed with autoclaved distilled water. 137 All subsequent steps of DNA extraction from the mycelium were performed following protocols

138	outlined previously (Mead <i>et al.</i> 2019b). The genomic DNA from these three strains was
139	sequenced using a NovaSeq S4 at the Vanderbilt Technologies for Advanced Genomes facility
140	(Nashville, Tennessee, US) using paired-end sequencing (150 bp) strategy with the Illumina
141	TruSeq library kit.

142

143 Genome assembly, quality assessment, and annotation

144 To assemble and annotate the three newly sequenced genomes, we first quality-trimmed raw

sequence reads using Trimmomatic, v0.36 (Bolger et al. 2014) using parameters described

146 elsewhere (ILLUMINACLIP:TruSeq3-PE.fa:2:30:10, leading:10, trailing:10,

147 slidingwindow:4:20, minlen:50) (Steenwyk and Rokas 2017). The resulting paired and unpaired

148 quality-trimmed reads were used as input to the SPAdes, v3.11.1 (Bankevich *et al.* 2012),

149 genome assembly algorithm with the 'careful' parameter and the 'cov-cutoff' set to 'auto'.

150

151 We evaluated the quality of our newly assembled genomes, using metrics based on continuity of 152 assembly and gene-content completeness. To evaluate genome assemblies by scaffold size, we 153 calculated the N50 of each assembly (or the shortest contig among the longest contigs that 154 account for 50% of the genome assembly's length) (Yandell and Ence 2012). To determine gene-155 content completeness, we implemented the BUSCO, v2.0.1 (Waterhouse et al. 2018), pipeline 156 using the 'genome' mode. In this mode, the BUSCO pipeline examines assembly contigs for the 157 presence of near-universally single copy orthologous genes (hereafter referred to as BUSCO 158 genes) using a predetermined database of orthologous genes from the OrthoDB, v9 (Waterhouse 159 et al. 2013). We used the OrthoDB database for Pezizomycotina (3,156 BUSCO genes). Each 160 BUSCO gene is determined to be present in a single copy, as duplicate sequences, fragmented, or 161 missing. Our analyses indicate the newly sequenced and assembled genomes have high gene-

162 content completeness and assembly continuity (average percent presence of BUSCO genes:

163 98.80 \pm 0.10%; average N50: 451,294.67 \pm 9,696.11; Fig. S1). These metrics suggest these

164 genomes are suitable for comparative genomic analyses.

165

166 To predict gene boundaries in the three newly sequenced genomes, we used the MAKER,

167 v2.31.10, pipeline (Holt and Yandell 2011) which, creates consensus predictions from the

168 collective evidence of multiple *ab initio* gene prediction software. Specifically, we created

169 consensus predictions from SNAP, v2006-07-28 (Korf 2004), and AUGUSTUS, v3.3.2 (Stanke

170 and Waack 2003), after training each algorithm individually on each genome. To do so, we first

171 ran MAKER using protein evidence clues from five different publicly available annotations of

172 Aspergillus fungi from section Fumigati. Specifically, we used protein homology clues from A.

173 fischeri NRRL 181 (GenBank accession: GCA_000149645.2), A. fumigatus Af293 (GenBank

174 accession: GCA_000002655.1), Aspergillus lentulus IFM 54703 (GenBank accession:

175 GCA_001445615.1), Aspergillus novofumigatus IBT 16806 (GenBank accession:

176 GCA_002847465.1), and Aspergillus udagawae IFM 46973 (GenBank accession:

177 GCA_001078395.1). The resulting gene predictions were used to train SNAP. MAKER was then

178 rerun using the resulting training results. Using the SNAP trained gene predictions, we trained

179 AUGUSTUS. A final set of gene boundary predictions were obtained by rerunning MAKER

180 with the training results from both SNAP and AUGUSTUS.

181

182 To supplement our data set of newly sequenced genomes, we obtained publicly available ones.

183 Specifically, we obtained genomes and annotations for *A. fumigatus* Af293 (GenBank accession:

184 GCA 000002655.1), A. fumigatus CEA10 (strain synonym: CBS 144.89 / FGSC A1163;

185 GenBank accession: GCA_000150145.1), A. fumigatus HMR AF 270 GenBank accession:

186 GCA_002234955.1), A. fumigatus Z5 (GenBank accession: GCA_001029325.1), A. fischeri

187 NRRL 181 (GenBank accession: GCA_000149645.2). We also obtained assemblies of the

recently published *A. fischeri* genomes for strains IBT 3003 and IBT 3007 (Zhao *et al.* 2019)

189 which, lacked annotations. We annotated the genome of each strain individually using MAKER

190 with the SNAP and AUGUSTUS training results from a close relative of both strains, A. fischeri

191 NRRL 4161. Altogether, our final data set contained a total of ten genome from three species:

192 four *A. fumigatus* strains, one *A. oerlinghausenensis* strain, and five *A. fischeri* strains (Table 1).

193

194 Maximum likelihood phylogenetics and Bayesian estimation of divergence times

195 To reconstruct the evolutionary history among the ten *Aspergillus* genomes, we implemented a 196 recently developed pipeline (Steenwyk et al. 2019), which relies on the concatenation-approach 197 to phylogenomics (Rokas et al. 2003) and has been successfully used in reconstructing species-198 level relationships among Aspergillus and Penicillium fungi (Steenwyk et al. 2019; Bodinaku et 199 al. 2019). The first step in the pipeline is to identify single copy orthologous genes in the 200 genomes of interest which, are ultimately concatenated into a larger phylogenomic data matrix. 201 To identify single copy BUSCO genes across all ten *Aspergillus* genomes, we used the BUSCO 202 pipeline with the Pezizomycotina database as described above. We identified 3,041 BUSCO 203 genes present at a single copy in all ten Aspergillus genomes and created multi-FASTA files for 204 each BUSCO gene that contained the protein sequences for all ten taxa. The protein sequences of 205 each BUSCO gene were individually aligned using Mafft, v7.4.02 (Katoh and Standley 2013), 206 with the same parameters as described elsewhere (Steenwyk et al. 2019). Nucleotide sequences

were then mapped onto the protein sequence alignments using a custom Python, v3.5.2

208 (https://www.python.org/), script with BioPython, v1.7 (Cock et al. 2009). The resulting codon-

209 based alignments were trimmed using trimAl, v1.2.rev59 (Capella-Gutierrez et al. 2009), with

210 the 'gappyout' parameter. The resulting trimmed nucleotide alignments were concatenated into a

single matrix of 5,602,272 sites and was used as input into IQ-TREE, v1.6.11 (Nguyen *et al.*

212 2015). The best-fitting model of substitutions for the entire matrix was determined using

213 Bayesian information criterion values (Kalyaanamoorthy et al. 2017). The best-fitting model was

a general time-reversible model with empirical base frequencies that allowed for a proportion of

215 invariable sites and a discrete Gamma model with four rate categories (GTR+I+F+G4) (Tavaré

216 1986; Yang 1994, 1996; Vinet and Zhedanov 2011). To evaluate bipartition support, we used

217 5,000 ultrafast bootstrap approximations (Hoang *et al.* 2018).

218

219 To estimate divergence times among the ten Aspergillus genomes, we used the concatenated data 220 matrix and the resulting maximum likelihood phylogeny from the previous steps as input to 221 Bayesian approach implemented in MCMCTree from the PAML package, v4.9d (Yang 2007). 222 First, we estimated the substitution rate across the data matrix using a "GTR+G" model of 223 substitutions (model = 7), a strict clock model, and the maximum likelihood phylogeny rooted on 224 the clade of A. fischeri strains. We imposed a root age of 3.69 million years ago according to 225 results from recent divergence time estimates of the split between A. fischeri and A. fumigatus 226 (Steenwyk *et al.* 2019). We estimated the substitution rate to be 0.005 substitutions per one 227 million years. Next, the likelihood of the alignment was approximated using a gradient and 228 Hessian matrix. To do so, we used previously established time constraints for the split between 229 A. fischeri and A. fumigatus (1.85 to 6.74 million years ago) (Steenwyk et al. 2019). Lastly, we

230 used the resulting gradient and Hessian matrix, the rooted maximum likelihood phylogeny, and 231 the concatenated data matrix to estimate divergence times using a relaxed molecular clock 232 (model = 2). We specified the substitution rate prior based on the estimated substitution rate 233 (rgene gamma = $1\,186.63$). The 'sigma2 gamma' and 'finetune' parameters were set to ' $1\,4.5$ ' 234 and '1', respectively. To collect a high-quality posterior probability distribution, we ran a total of 235 5.1 million iterations during MCMC analysis which, is 510 times greater than the minimum 236 recommendations (Raftery and Lewis 1995). Our sampling strategy across the 5.1 million iterations was to discard the first 100,000 results followed by collecting a sample every 500th 237 238 iteration until a total of 10,000 samples were collected.

239

240 Identification of gene families and analyses of putative biosynthetic gene clusters

241 To identify gene families across the ten *Aspergillus* genomes, we used a Markov clustering

approach. Specifically, we used OrthoFinder, v2.3.8 (Emms and Kelly 2019). OrthoFinder first

conducts a blast all-vs-all using the protein sequences of all ten Aspergillus genomes and NCBI's

Blast+, v2.3.0 (Camacho *et al.* 2009), software. After normalizing blast bit scores, genes are

clustered genes using an inflation parameter of 1.5. The resulting orthogroups were used proxies

clustered into discrete orthogroups using a Markov clustering approach (van Dongen 2000). We

for gene families.

248

245

To identify putative biosynthetic gene clusters (BGCs), we used the gene boundaries predictions from the MAKER software as input into antiSMASH, v4.1.0 (Weber *et al.* 2015). To identify homologous BGCs across the ten *Aspergillus* genomes, we used the software BiG-SCAPE, v20181005 (Navarro-Muñoz *et al.* 2020). Based on the Jaccard Index of domain types, sequence

253 similarity among domains, and domain adjacency, BiG-SCAPE calculates a similarity metric 254 between pairwise combinations of clusters where smaller values indicate greater BGC similarity. 255 BiG-SCAPE's similarity metric can then be used as an edge-length in network analyses of 256 cluster similarity. We evaluated networks using an edge-length cutoff from 0.1-0.9 with a step of 257 0.1 (Fig. S3). We found networks with an edge-length cutoff of 0.4-0.6 to be similar and based 258 further analyses on a cutoff of 0.5. Because BiG-SCAPE inexplicably split the gliotoxin BGC of 259 the A. fumigatus Af293 strain into two cluster families even though the BGC was highly similar 260 to the gliotoxin BGCs of all other strains, we supplemented BiG-SCAPE's approach to 261 identifying homologous BGCs with visualize inspection of microsyteny and blast-based analyses 262 using NCBI's BLAST+, v2.3.0 (Camacho et al. 2009) for BGCs of interest. Similar sequences in 263 microsynteny analyses were defined as at least 100 bp in length, at least 30 percent similarity, 264 and an expectation value threshold of 0.01. Lastly, to determine if any BGCs have been 265 previously linked to secondary metabolites, we cross referenced BGCs and BGC families with 266 those found in the MIBiG database (Kautsar et al. 2019) as well as previously published A. 267 fumigatus BGCs (Table S2). BGCs not associated with secondary metabolites were considered to 268 likely encode for unknown compounds.

269

270 Identification and characterization of secondary metabolite production

271 General experimental procedures

272 The ¹H NMR data were collected using a JOEL ECS-400 spectrometer, which was equipped

- with a JOEL normal geometry broadband Royal probe, and a 24-slot autosampler, and operated
- at 400 MHz. HRESIMS experiments utilized either a Thermo LTQ Orbitrap XL mass
- 275 spectrometer or a Thermo Q Exactive Plus (Thermo Fisher Scientific); both were equipped with

276 an electrospray ionization source. A Waters Acquity UPLC (Waters Corp.) was utilized for both 277 mass spectrometers, using a BEH C₁₈ column (1.7 µm; 50 mm x 2.1 mm) set to a temperature of 278 40°C and a flow rate of 0.3 ml/min. The mobile phase consisted of a linear gradient of CH₃CN-279 H₂O (both acidified with 0.1% formic acid), starting at 15% CH₃CN and increasing linearly to 280 100% CH₃CN over 8 min, with a 1.5 min hold before returning to the starting condition. The 281 HPLC separations were performed with Atlantis T3 C_{18} semi-preparative (5 μ m; 10 x 250 mm) 282 and preparative (5 µm; 19 x 250 mm) columns, at a flow rate of 4.6 ml/min and 16.9 ml/min, 283 respectively, with a Varian Prostar HPLC system equipped with a Prostar 210 pumps and a 284 Prostar 335 photodiode array detector (PDA), with the collection and analysis of data using 285 Galaxie Chromatography Workstation software. Flash chromatography was performed on a 286 Teledyne ISCO Combiflash Rf 200 and monitored by both ELSD and PDA detectors.

287

288 Chemical characterization

289 To identify the secondary metabolites that were biosynthesized by *A. fumigatus*, *A.*

290 oerlinghausenensis, and A. fischeri, these strains were grown as large-scale fermentations to 291 isolate and characterize the secondary metabolites. To inoculate oatmeal cereal media (Old 292 fashioned breakfast Quaker oats), agar plugs from fungal stains grown on potato dextrose agar; 293 difco (PDA) were excised from the edge of the Petri dish culture and transferred to separate 294 liquid seed media that contained 10 ml YESD broth (2% soy peptone, 2% dextrose, and 1% yeast 295 extract; 5 g of yeast extract, 10 g of soy peptone, and 10 g of D-glucose in 500 ml of deionized 296 H₂O) and allowed to grow at 23°C with agitation at 100 rpm for three days. The YESD seed 297 cultures of the fungi were subsequently used to inoculate solid-state oatmeal fermentation 298 cultures, which were either grown at room temperature (approximately 23°C under 12h

299 light/dark cycles for 14 days), 30°C, or 37°C; all growths at the latter two temperatures were 300 carried out in an incubator (VWR International) in the dark over four days. The oatmeal cultures 301 were prepared in 250 ml Erlenmeyer flasks that contained 10 g of autoclaved oatmeal (10 g of 302 oatmeal with 17 ml of deionized H₂O and sterilized for 15–20 minutes at 121°C). For all fungal 303 strains three flasks of oatmeal cultures were grown at all three temperatures, except for A. *oerlinghausenensis* (CBS 139183^T) at room temperature and *A. fumigatus* (Af293) at 37°C. For 304 CBS 139183^T, the fungal cultures were grown in four flasks, while for Af293 eight flasks were 305 306 grown in total. The growths of these two strains were performed differently from the rest because 307 larger amounts of extract were required in order to perform detailed chemical characterization. 308 The cultures were extracted by adding 60 ml of (1:1) MeOH-CHCl₃ to each 250 ml flask, 309 chopping thoroughly with a spatula, and shaking overnight (~ 16 h) at ~ 100 rpm at room 310 temperature. The culture was filtered in vacuo, and 90 ml CHCl₃ and 150 ml H₂O were added to 311 the filtrate. The mixture was stirred for 30 min and then transferred to a separatory funnel. The 312 organic layer (CHCl₃) was drawn off and evaporated to dryness *in vacuo*. The dried organic layer 313 was reconstituted in 100 ml of (1:1) MeOH-CH₃CN and 100 ml of hexanes, transferred to a 314 separatory funnel, and shaken vigorously. The defatted organic layer (MeOH–CH₃CN) was 315 evaporated to dryness in vacuo.

316

To isolate compounds, the defatted extract was dissolved in CHCl₃, absorbed onto Celite 545 (Acros Organics), and fractioned by normal phase flash chromatography using a gradient of hexane-CHCl₃-MeOH. *Aspergillus fischeri* strain NRRL 181 was chemically characterized previously (Mead *et al.* 2019a; Knowles *et al.* 2019). *A. fumigatus* strain Af293, grown at 37°C, was subjected to a 12g column at a flow rate of 30 ml/min and 61.0 column volumes, which 322 yielded four fractions. Fraction 2 was further purified via preparative HPLC using a gradient 323 system of 30:70 to 100:0 of CH₃CN-H₂O with 0.1% formic acid over 40 min at a flow rate of 324 16.9 ml/min to yield six subfractions. Subfractions 1, 2 and 5, yielded cyclo(L-Pro-L-Leu) (Li et 325 al. 2008) (0.89 mg), cyclo(L-Pro-L-Phe) (Campbell et al. 2009) (0.71 mg), and 326 monomethylsulochrin (Ma et al. 2004) (2.04 mg), which eluted at approximately 5.7, 6.3, and 327 10.7 min, respectively. Fraction 3 was further purified via preparative HPLC using a gradient 328 system of 40:60 to 65:35 of CH₃CN-H₂O with 0.1% formic acid over 30 min at a flow rate of 329 16.9 ml/min to yield four subfractions. Subfractions 1 and 2 yielded pseurotin A (Wang et al. 330 2011) (12.50 mg) and bisdethiobis(methylthio)gliotoxin (Afiyatullov et al. 2005) (13.99 mg), 331 which eluted at approximately 7.5 and 8.0 min, respectively.

332

333 A. fumigatus strain CEA10, grown at 37°C, was subjected to a 4g column at a flow rate of 18 334 ml/min and 90.0 column volumes, which yielded five fractions. Fraction 1 was purified via 335 preparative HPLC using a gradient system of 50:50 to 100:0 of CH₃CN-H₂O with 0.1% formic acid over 45 min at a flow rate of 16.9 ml/min to yield eight subfractions. Subfraction 1, yielded 336 337 fumagillin (Halász et al. 2000) (1.69 mg), which eluted at approximately 18.5 min. Fraction 2 338 was purified via semi-preparative HPLC using a gradient system of 35:65 to 80:20 of CH₃CN-339 H₂O with 0.1% formic acid over 30 min at a flow rate of 4.6 ml/min to yield 10 subfractions. 340 Subfraction 5 yielded fumitremorgin C (Kato et al. 2009) (0.25 mg), which eluted at 341 approximately 15.5 min. Fraction 3 was purified via preparative HPLC using a gradient system 342 of 40:60 to 100:0 of CH₃CN-H₂O with 0.1% formic acid over 30 min at a flow rate of 16.9 343 ml/min to yield nine subfractions. Subfraction 2 yielded pseurotin A (1.64 mg), which eluted at 344 approximately 7.3 min.

346	Aspergillus oerlinghausenensis strain CBS 139183 ^T , grown at RT, was subjected to a 4g column
347	at a flow rate of 18 ml/min and 90 column volumes, which yielded 4 fractions. Fraction 3 was
348	further purified via preparative HPLC using a gradient system of 35:65 to 70:30 of CH ₃ CN-H ₂ O
349	with 0.1% formic acid over 40 min at a flow rate of 16.9 ml/min to yield 11 subfractions.
350	Subfractions 3 and 10 yielded spiro [5H,10H-dipyrrolo[1,2-a:1',2'-d]pyrazine-2-(3H),2'-
351	[2H]indole]-3',5,10(1'H)-trione (Wang et al. 2008) (0.64 mg) and helvolic acid (Zhao et al.
352	2010) (1.03 mg), which eluted at approximately 11.5 and 39.3 min, respectively. (see NMR
353	supporting information; figshare: https://doi.org/10.6084/m9.figshare.12055503).
354	
355	Metabolite profiling by mass spectrometry
356	The metabolite profiling by mass spectrometry, also known as dereplication, was performed as
357	stated previously (El-Elimat et al. 2013). Briefly, ultraperformance liquid chromatography-
358	photodiode array-electrospray ionization high resolution tandem mass spectrometry (UPLC-
359	PDA-HRMS-MS/MS) was utilized to monitor for secondary metabolites across all strains
360	(Af293, CEA10, CEA17, CBS 139183 ^T , NRRL 181, NRRL 4161, and NRRL 4585). Utilizing
361	positive-ionization mode, ACD MS Manager with add-in software IntelliXtract (Advanced
362	Chemistry Development, Inc.; Toronto, Canada) was used for the primary analysis of the UPLC-
363	MS chromatograms. The data from 19 secondary metabolites are provided in the Supporting
364	Information (see Dereplication table; figshare: https://doi.org/10.6084/m9.figshare.12055503),
365	which for each secondary metabolite lists: molecular formula, retention time, UV-absorption
366	maxima, high-resolution full-scan mass spectra, and MS-MS data (top 10 most intense peaks).
367	

368 Metabolomics analyses

369 Principal component analysis (PCA) analysis was performed on the UPLC-MS data. Untargeted 370 UPLC-MS datasets for each sample were individually aligned, filtered, and analyzed using 371 MZmine 2.20 software (https://sourceforge.net/projects/mzmine/) (Pluskal et al. 2010). Peak 372 detection was achieved using the following parameters, A. fumigatus at (Af293, CEA10, and CEA17): noise level (absolute value), 1×10^6 ; minimum peak duration, 0.05 min; *m/z* variation 373 374 tolerance, 0.05; and *m/z* intensity variation, 20%; A. fischeri (NRRL 181, NRRL 4161, and NRRL 4585): noise level (absolute value), 1×10^6 ; minimum peak duration, 0.05 min; m/z375 376 variation tolerance, 0.05; and *m/z* intensity variation, 20%; and all strains (Af293, CEA10, CEA17, CBS 139183^T, NRRL 181, NRRL 4161, and NRRL 4585); noise level (absolute value). 377 378 7×10^5 ; minimum peak duration, 0.05 min; m/z variation tolerance, 0.05; and m/z intensity 379 variation, 20%. Peak list filtering and retention time alignment algorithms were used to refine 380 peak detection. The join algorithm integrated all sample profiles into a data matrix using the 381 following parameters: m/z and retention time balance set at 10.0 each, m/z tolerance set at 0.001, 382 and RT tolerance set at 0.5 mins. The resulting data matrix was exported to Excel (Microsoft) for 383 analysis as a set of m/z – retention time pairs with individual peak areas detected in triplicate 384 analyses. Samples that did not possess detectable quantities of a given marker ion were assigned 385 a peak area of zero to maintain the same number of variables for all sample sets. Ions that did not 386 elute between 2 and 8 minutes and/or had an m/z ratio less than 200 or greater than 800 Da were 387 removed from analysis. Relative standard deviation was used to understand the quantity of 388 variance between the technical replicate injections, which may differ slightly based on instrument variance. A cutoff of 1.0 was used at any given m/z – retention time pair across the 389 390 technical replicate injections of one biological replicate, and if the variance was greater than the

391	cutoff, it was assigned a peak area of zero. Final chemometric analysis, data filtering (Caesar et
392	al. 2018) and PCA was conducted using Sirius, v10.0 (Pattern Recognition Systems AS)
393	(Kvalheim et al. 2011), and dendrograms were created with Python. The PCA scores plots were
394	generated using data from either the three individual biological replicates or the averaged
395	biological replicates of the fermentations. Each biological replicate was plotted using averaged
396	peak areas obtained across four replicate injections (technical replicates).
397	
398	Data Availability
399	Sequence reads and associated genome assemblies generated in this project are available in
400	NCBI's GenBank database under the BioProject PRJNA577646. Additional descriptions of the
401	genomes including predicted gene boundaries are available through figshare
402	(<u>https://doi.org/10.6084/m9.figshare.12055503</u>). The figshare repository is also populated with
403	other data generated from genomic and natural products analysis. Among genomic analyses, we
404	provide information about predicted BGCs, results associated with network-based clustering of
405	BGCs into cluster families, phylogenomic data matrices, and trees. Among natural products
406	analysis, we provide information that supports methods and results, including NMR spectra.

407

408 <u>Results</u>

409 Conservation and diversity of biosynthetic gene clusters within and between species

410 We sequenced and assembled *A. oerlinghausenensis* CBS 139183^T and *A. fischeri* strains NRRL

411 4585 and NRRL 4161. Together with publicly available genomes, we analyzed 10 Aspergillus

412 genomes (five A. fischeri strains; four A. fumigatus strains; one A. oerlinghausenensis strain; see

413 Methods). We found that the newly added genomes were of similar quality to other publicly

414 available draft genomes (average percent presence of BUSCO genes: $98.80 \pm 0.10\%$; average N50: 451,294.67 \pm 9,696.11; Fig. S1). We predicted that A. oerlinghausenensis CBS 139183^T, A. 415 416 fischeri NRRL 4585, and A. fischeri NRRL 4161 have 10,044, 11,152 and 10,940 genes, 417 respectively, numbers similar to publicly available genomes. Lastly, we inferred the evolutionary 418 history of the 10 Aspergillus genomes using a concatenated matrix of 3,041 genes (5,602,272 419 sites) and recapitulated species-level relationships as previously reported (Houbraken et al. 2016). Relaxed molecular clock analyses suggested that A. oerlinghausenensis CBS 139183^T 420 421 diverged from A. fumigatus approximately 3.9(6.4 - 1.3) million years ago and that A. 422 *oerlinghausenensis* and *A. fumigatus* split from *A. fischeri* approximately 4.5 (6.8 – 1.7) million 423 years ago (Fig. 1A; Fig. S2). 424 425 Examination of the total number of predicted BGCs revealed that A. fischeri has the largest BGC 426 count. Among A. fumigatus, A. oerlinghausenensis, and A. fischeri, we predicted an average of 427 35.75 ± 2.22 , 40, 50.80 ± 2.17 BGCs, respectively, and found they spanned diverse biosynthetic 428 classes (e.g., polyketides, non-ribosomal peptides, terpenes, etc.) (Fig. 1B). Network-based 429 clustering of BGCs into cluster families (or groups of homologous BGCs) resulted in 430 qualitatively similar networks when we used moderate similarity thresholds (or edge cut-off 431 values; Fig. S3A). Using a (moderate) similarity threshold of 0.5, we inferred 88 cluster families 432 of putatively homologous BGCs (Fig. 1C).

433

434 Examination of BGCs revealed extensive presence and absence polymorphisms within and

435 between species. We identified 17 BGCs that were present in all 10 Aspergillus genomes

436 including the hexadehydroastechrome (HAS) BGC (cluster family 311 or CF311), the

437 neosartoricin BGC (CF61), and other putative BGCs likely encoding unknown products (Fig. 438 S3B; Table S1; data available from figshare, https://doi.org/10.6084/m9.figshare.12055503). In 439 contrast, we identified 18 BGCs found in single strains, which likely encode unknown products. 440 Between species, similar patterns of broadly present and species-specific BGCs were observed. 441 For example, we identified 18 BGCs that were present in at least one strain across all species; in 442 contrast, A. fumigatus, A. oerlinghausenensis, and A. fischeri had 16, 8, and 27 BGCs present in 443 at least one strain but absent from the other species, respectively. These results suggest each 444 species has a largely distinct repertoire of BGCs. 445

446 Examination of shared BGCs across species revealed A. oerlinghausenensis CBS139183^T and A.

447 *fischeri* shared more BGCs with each other than either did with A. *fumigatus*. Surprisingly, we

found ten homologous BGCs between *A. oerlinghausenensis* CBS 139183^T and *A. fischeri* but

449 only three homologous BGCs shared between A. fumigatus and A. oerlinghausenensis CBS

450 139183^T (Fig. 2A; Fig. S3C) even though *A. oerlinghausenensis* is more closely related to *A*.

451 *fumigatus* than to *A. fischeri* (Fig. 1A). BGCs shared by *A. oerlinghausenensis* CBS 139183^T and

452 *A. fischeri* were uncharacterized while BGCs present in both *A. fumigatus* and *A.*

453 *oerlinghausenensis* CBS 139183^T included those that encode fumigaclavine and

454 fumagillin/pseurotin. Lastly, to associate each BGC with a secondary metabolite in *A. fumigatus*

455 Af293, we cross referenced our list with a publicly available one (Table S2) (Lind *et al.* 2017).

456 Importantly, all known *A. fumigatus* Af293 BGCs were represented in our analyses.

457

458 At the level of gene families, there were few species-specific gene families in A.

459 *oerlinghausenensis* (Fig. 2B). A. *oerlinghausenensis* CBS 139183^T has only eight species-

460 specific gene families, whereas A. fischeri and A. fumigatus have 1,487 and 548 species-specific 461 gene families, respectively. Examination of the best BLAST hits of the eight species-specific 462 gene families suggest that most are hypothetical or uncharacterized fungal genes. To determine if the eight A. oerlinghausenensis CBS 139183^T specific gene families were an artifact of using a 463 464 single representative strain, we conducted and additional ortholog clustering analysis using a 465 single strain of A. fischeri (NRRL 181), a single strain of A. fumigatus (Af293), or a single strain 466 of each species (CBS 139183, NRRL 181, Af293). When using a single strain of A. fischeri or A. 467 *fumigatus*, there were 23 or six gene families unique to each species, respectively. Therefore, the 468 low number of A. oerlinghausenensis-specific gene families likely stems from our use of the 469 genome of a single strain.

470

471 Despite a closer evolutionary relationship between A. oerlinghausenensis and A. fumigatus, we 472 found A. oerlinghausenensis shares more gene families with A. fischeri than with A. fumigatus 473 (685 and 109, respectively) suggestive of extensive gene loss in the A. fumigatus stem lineage. 474 Lastly, we observed strain heterogeneity in gene family presence and absence within both A. 475 fumigatus and A. fischeri (Fig. S4). For example, the largest intersection that does not include all 476 A. fischeri strains is 493 gene families, which were found in all but one strain, NRRL 181. For A. 477 *fumigatus*, the largest intersection that does not include all strains is 233 gene families, which 478 were shared by strains Af293 and CEA10. 479

Within and between species variation in secondary metabolite profiles of *A. fumigatus* and
its closest relatives

482	To gain insight into variation in secondary metabolite profiles within and between species, we
483	profiled A. fumigatus strains Af293, CEA10, and CEA17 (a pyrG1/URA3 derivative of CEA10),
484	A. fischeri strains NRRL 181, NRRL 4585, and NRRL 4161, and A. oerlinghausenensis CBS
485	139183 ^T for secondary metabolites. Specifically, we used three different procedures, including
486	the isolation and structure elucidation of metabolites, where possible, followed by two different
487	metabolite profiling procedures that use mass spectrometry techniques. Altogether, we isolated
488	and characterized 19 secondary metabolites; seven from A. fumigatus, two from A.
489	oerlinghausenensis, and ten from A. fischeri (Fig. S5). These products encompassed a wide
490	diversity of secondary metabolite classes, such as those derived from polyketide synthases, non-
491	ribosomal peptide-synthetases, terpene synthases and mixed biosynthesis enzymes.
492	
493	To characterize the secondary metabolites biosynthesized that were not produced in high enough
494	quantity for structural identification through traditional isolation methods, we employed
495	"dereplication" mass spectrometry protocols specific to natural products research on all tested
496	strains at both 30°C and 37°C (see supporting information, dereplication example; figshare:
497	https://doi.org/10.6084/m9.figshare.12055503) (El-Elimat et al. 2013; Ito and Masubuchi 2014;
498	Gaudêncio and Pereira 2015; Hubert et al. 2017). We found that most secondary metabolites
499	were present across strains of the same species (Table S3); for example, monomethylsulochrin
500	was isolated from A. fumigatus Af293, but through metabolite profiling, its spectral features were
501	noted also in A. fumigatus strains CEA10 and CEA17. We identified metabolites that were
502	biosynthesized by only one species; for example, pseurotin A was solely present in A. fumigatus
503	strains. Finally, we found several secondary metabolites that were biosynthesized across species,
504	such as fumagillin, which was biosynthesized by A. fumigatus and A. oerlinghausenensis, and

fumitremorgin B, which was biosynthesized by strains of both *A. oerlinghausenensis* and *A. fischeri*. Together, these analyses suggest that closely related *Aspergillus* species and strains exhibit variation both within as well as between species in the secondary metabolites produced.

509 To further facilitate comparisons of secondary metabolite profiles within and between species, 510 we used the 1,920 features (i.e., unique m/z – retention time pairs) that were identified from all 511 strains at all temperatures (Fig. 3A), to perform hierarchical clustering (Fig. 3B) and Principal 512 Components Analysis (PCA) (Fig. S6). Hierarchical clustering at 37°C and 30°C indicated the chromatogram of A. oerlinghausenensis CBS 139183^{T} is more similar to the chromatogram of A. 513 514 fischeri than to that of A. fumigatus. PCA results were broadly consistent with the clustering 515 results, but suggested that A. oerlinghausenensis was just as similar to A. fischeri strains as it was 516 to A. fumigatus strains. This difference likely stems from the fact that hierarchical clustering is a 517 total-evidence approach whereas PCA captures most but not all variance in the data (e.g., the two 518 principal components in Fig. S6B and S6C capture 84.6% of the total variance). PCA analysis 519 revealed greater variation in secondary metabolite production at 30°C compared to 37°C (Fig. 520 S6), suggesting there is a more varied response in how BGCs are being utilized at 30°C. PCA at both 37°C and 30°C showed that variation between A. oerlinghausenensis CBS 139183^T and A. 521 522 fischeri strains was largely captured along the second principal component; in contrast, the differences between A. oerlinghausenensis CBS 139183^T and A. fumigatus strains are captured 523 524 along the first principal component (Fig. S6D-E). Taken together, these results suggest that the 525 three A. fischeri strains and A. oerlinghausenensis were the most chemically similar to each 526 other.

527

528	In summary, even though A. oerlinghausenensis is phylogenetically more closely related to A.
529	fumigatus than to A. fischeri (Fig. 1A), our chemical analyses suggest that the secondary
530	metabolite profile of A. oerlinghausenensis is more similar to the profile of A. fischeri than it is
531	to the profile of A. fumigatus (Fig. 3B and S6B-E). The similarity of secondary metabolite
532	profiles of A. oerlinghausenensis and A. fischeri is consistent with our finding that the genome of
533	A. oerlinghausenensis shares higher numbers of BGCs and gene families with A. fischeri than
534	with A. fumigatus (Fig. 2). The broad clustering patterns in secondary metabolite-based plots
535	(Fig. S6B-E) are less robust than, but consistent with, those of BGC-based plots (Fig. S6A),
536	suggesting that the observed similarities in the secondary metabolism-associated genotypes of A.
537	oerlinghausenensis and A. fischeri are likely reflected in their chemotypes.
538	
539	Conservation and divergence among biosynthetic gene clusters implicated in A. fumigatus
540	pathogenicity
541	Secondary metabolites are known to play a role in A. fumigatus virulence (Raffa and Keller
542	2019). We therefore conducted a focused examination of specific A. fumigatus BGCs and
543	secondary metabolites that have been previously implicated in the organism's ability to cause
544	human disease (Table 2). We found varying degrees of conservation and divergence that were
545	associated with the absence or presence of a secondary metabolite. Among conserved BGCs that
546	were also associated with conserved secondary metabolite production, we highlight the
547	mycotoxins gliotoxin and fumitremorgin. Interestingly, we note that only A. fischeri strains
548	synthesized verruculogen, a secondary metabolite that is implicated in human disease and is
5.40	
549	encoded by the fumitremorgin BGC (Khoufache et al. 2007; Kautsar et al. 2019). Among BGCs

551 corresponding secondary metabolites, we highlight those associated with the production of the 552 trypacidin and fumagillin/pseurotin secondary metabolites. We found that nonpathogenic close 553 relatives of *A. fumigatus* produced some but not all mycotoxins, which provides novel insight

554 into the unique cocktail of secondary metabolites biosynthesized by *A. fumigatus*.

555

Gliotoxin. Gliotoxin is a highly toxic compound and known virulence factor in *A. fumigatus*(Sugui *et al.* 2007). Nearly identical BGCs encoding gliotoxin are present in all pathogenic (*A. fumigatus*) and nonpathogenic (*A. oerlinghausenensis* and *A. fischeri*) strains examined (Fig. 4).
Additionally, we found that all examined strains synthesized bisdethiobis(methylthio)gliotoxin a
derivative from dithiogliotoxin, involved in the down-regulation of gliotoxin biosynthesis (Dolan *et al.* 2014), one of the main mechanisms of gliotoxin resistance in *A. fumigatus* (Kautsar *et al.* 2019).

563

564 *Fumitremorgin and Verruculogen.* Similarly, there is a high degree of conservation in the 565 BGC that encodes fumitremorgin across all strains (Fig. 5). Fumitremorgins have known 566 antifungal activity, are lethal to brine shrimp, and are implicated in inhibiting mammalian 567 proteins responsible for resistance to anticancer drugs in mammalian cells (Raffa and Keller 568 2019). We found that conservation in the fumitremorgin BGC is associated with the production 569 of fumitremorgins in all isolates examined. The fumitremorgin BGC is also responsible for the 570 production of vertuculogen, which is implicated to aid in A. fumigatus pathogenicity by changing 571 the electrophysical properties of human nasal epithelial cells (Khoufache et al. 2007). 572 Interestingly, we found that only A. fischeri strains produced verruculogen under the conditions 573 we analyzed.

574

575 Examination of the trypacidin BGC, which encodes a spore-borne and cytotoxic Trypacidin. 576 secondary metabolite, revealed a conserved cluster found in four pathogenic and nonpathogenic strains: A. fumigatus Af293, A. fumigatus CEA10, A. oerlinghausenensis CBS 139183^T, and A. 577 578 fischeri NRRL 181 (Fig. S7). Furthermore, we found that three of these four isolates (except A. 579 fischeri NRRL 181) biosynthesized a trypacidin analog, monomethylsulochrin. Examination of 580 the microsynteny of the trypacidin BGC revealed that it was conserved across all four genomes 581 with the exception A. fischeri NRRL 181, which lacked a RING (Really Interesting New Gene) 582 finger gene. Interestingly, RING finger proteins can mediate gene transcription (Poukka et al. 583 2000). We confirmed the absence of the RING finger protein by performing a sequence 584 similarity search with the A. fumigatus Af293 RING finger protein (AFUA 4G14620; 585 EAL89333.1) against the A. fischeri NRRL 181 genome. In the homologous locus in A. fischeri, 586 we found no significant BLAST hit for the first 23 nucleotides of the RING finger gene 587 suggestive of pseudogenization. Taken together, we hypothesize that presence/absence 588 polymorphisms or a small degree of sequence divergence between otherwise homologous BGCs 589 may be responsible for the presence or absence of a toxic secondary metabolite in A. fischeri 590 NRRL 181. Furthermore, inter- and intra-species patterns of trypacidin presence and absence 591 highlight the importance of strain heterogeneity when examining BGCs. 592

Fumagillin/pseurotin. Examination of the intertwined fumagillin/pseurotin BGCs
revealed that fumagillin has undergone substantial sequence divergence and that pseurotin is
absent from strains of *A. fischeri*. The fumagillin/pseurotin BGCs are under the same regulatory
control (Wiemann *et al.* 2013) and biosynthesize secondary metabolites that cause cellular

597	damage during host infection (fumagillin (Guruceaga et al. 2019)) and inhibit immunoglobulin E
598	production (pseurotin (Ishikawa et al. 2009)). Microsynteny of the fumagillin BGC reveals high
599	sequence conservation between A. fumigatus and A. oerlinghausenensis; however, sequence
600	divergence was observed between A. oerlinghausenensis and A. fischeri (Fig. 5). Accordingly,
601	fumagillin production was only observed in <i>A. fumigatus</i> and <i>A. oerlinghausenensis</i> and not in <i>A.</i>
602	fischeri. Similarly, the pseurotin BGC is conserved between A. fumigatus and A.
603	oerlinghausenensis. Rather than sequence divergence, no sequence similarity was observed in
604	the region of the pseurotin cluster in A. fischeri, which may be due to an indel event.
605	Accordingly, no pseurotin production was observed among A. fischeri strains. Despite sequence
606	conservation between A. fumigatus and A. oerlinghausenensis, no evidence of pseurotin
607	biosynthesis was observed in A. oerlinghausenensis, which suggests regulatory decoupling of the
608	intertwined fumagillin/pseurotin BGC. Alternatively, the genes downstream of the A. fumigatus
609	pseurotin BGC, which are absent from the A. oerlinghausenensis locus, may contribute to BGC
610	production and could explain the lack of pseurotin production in A. oerlinghausenensis.
611	Altogether, these results show a striking correlation between sequence divergence and the
612	production (or absence) of secondary metabolites implicated in human disease among A.
613	fumigatus and nonpathogenic closest relatives.

Discussion

Aspergillus fumigatus is a major fungal pathogen nested within a clade (known as section *Fumigati*) of at least 60 other species, the vast majority of which are nonpathogenic (Steenwyk *et al.* 2019; Rokas *et al.* 2020b). Currently, it is thought that the ability to cause human disease
evolved multiple times among species in section *Fumigati* (Rokas *et al.* 2020b). Secondary

620 metabolites contribute to the success of the major human pathogen A. fumigatus in the host 621 environment (Raffa and Keller 2019) and can therefore be thought of as "cards" of virulence 622 (Casadevall 2007; Knowles *et al.* 2020). However, whether the closest relatives of *A. fumigatus*, 623 A. oerlinghausenensis and A. fischeri, both of which are nonpathogenic, biosynthesize secondary 624 metabolites implicated in the ability of A. *fumigatus* to cause human disease remained largely 625 unknown. By examining genomic and chemical variation between and within A. fumigatus and 626 its closest nonpathogenic relatives, we identified both conservation and divergence (including 627 within species heterogeneity) in BGCs and secondary metabolite profiles (Fig. 1-5, S3, S5-8; 628 Table 2, S1, S3). Examples of conserved BGCs and secondary metabolites include the major 629 virulence factor, gliotoxin (Fig. 4), as well as several others (Fig. 5, S7; Table 2, S1, S3); 630 examples of BGC and secondary metabolite heterogeneity or divergence include pseurotin, 631 fumagillin, and several others (Fig. 5; Table 2, S1, S3). Lastly, we found that the fumitremorgin 632 BGC, which biosynthesizes fumitremorgin in all three species, is also associated with 633 verruculogen biosynthesis in A. fischeri strains (Fig. 5). 634 635 One of the surprising findings of our study was that although A. oerlinghausenensis and A. 636 *fumigatus* are evolutionarily more closely related to each other than to A. *fischeri* (Fig. 1), A. 637 *oerlinghausenensis* and *A. fischeri* appear to be more similar to each other than to *A. fumigatus* in 638 BGC composition, gene family content, and secondary metabolite profiles. The power of 639 pathogen-nonpathogen comparative genomics is best utilized when examining closely related 640 species (Fedorova et al. 2008; Jackson et al. 2011; Moran et al. 2011; Mead et al. 2019a; Rokas 641 et al. 2020b). Genomes from additional strains from the closest known nonpathogenic relatives 642 of A. fumigatus, including from the closest species relative A. oerlinghausenensis, A. fischeri,

and other nonpathogenic species in section *Fumigati* will be key for understanding the evolutionof *A. fumigatus* pathogenicity.

645

646 Our finding that A. oerlinghausenensis and A. fischeri shares more gene families and BGCs with 647 each other than they do with A. fumigatus (Fig. 1C, 2, S3, S4, S8) suggests that the evolutionary 648 trajectory of the A. fumigatus ancestor was marked by gene loss. We hypothesize that there were 649 two rounds of gene family and BGC loss in the A. fumigatus stem lineage: (1) gene families and 650 BGCs were lost in the common ancestor of A. fumigatus and A. oerlinghausenensis and (2) 651 additional losses occurred in the A. fumigatus ancestor. In addition to losses, we note that 548 652 gene families and 16 BGCs are unique to A. fumigatus, which may have resulted from genetic 653 innovation (e.g., de novo gene formation) or unique gene family and BGC retention (Fig. 2, S8). 654 In line with the larger number of shared BGCs between A. oerlinghausenensis and A. fischeri, we 655 found their secondary metabolite profiles were also more similar (Fig. 3, S6). Notably, the 656 evolutionary rate of the internal branch leading to the A. fumigatus common ancestor is much 657 higher than those in the rest of the branches in our genome-scale phylogeny (Fig. S2B), 658 suggesting that the observed gene loss and gene gain / retention events specific to A. fumigatus 659 may be part of a wider set of evolutionary changes in the A. fumigatus genome. Analyses with a 660 greater number of strains and species will help further test the validity of this hypothesis. More 661 broadly, these results suggest that comparisons of the pathogen A. fumigatus against either the 662 non-pathogen A. oerlinghausenensis (this manuscript) or the non-pathogen A. fischeri ((Mead et 663 al. 2019a; Knowles et al. 2020) and this manuscript) will both be instructive in understanding the 664 evolution of A. fumigatus pathogenicity.

665

When studying Aspergillus pathogenicity, it is important to consider any genetic and phenotypic 666 667 heterogeneity between strains of a single species (Knox et al. 2016; Kowalski et al. 2016, 2019; 668 Keller 2017; Ries et al. 2019; Blachowicz et al. 2020; Bastos et al. 2020; Drott et al. 2020; dos 669 Santos et al. 2020; Steenwyk et al. 2020). Our finding of strain heterogeneity among gene 670 families, BGCs, and secondary metabolites in A. fumigatus and A. fischeri (Fig. 1-3, S3, S4, S6, 671 S8) suggests considerable strain-level diversity in each species. For example, we found 672 secondary metabolite profile strain heterogeneity was greater in A. fumigatus than A. fischeri 673 (Fig. S6B-E). These results suggest that strain-specific secondary metabolite profiles may play a 674 role in variation of pathogenicity among A. fumigatus strains. In support of this hypothesis, 675 differential secondary metabolite production has been associated with differences in virulence 676 among isolates of A. fumigatus (Blachowicz et al. 2020). More broadly, our finding supports the 677 hypothesis that strain-level diversity is an important parameter when studying pathogenicity 678 (Kowalski et al. 2016, 2019; Keller 2017; Ries et al. 2019; Blachowicz et al. 2020; Bastos et al. 679 2020; Drott et al. 2020; dos Santos et al. 2020; Steenwyk et al. 2020). 680

681 Secondary metabolites contribute to A. fumigatus virulence through diverse processes including 682 suppressing the human immune system and damaging tissues (Table 2). Interestingly, we found 683 that the nonpathogens A. oerlinghausenensis and A. fischeri produced several secondary 684 metabolites implicated in the ability of A. fumigatus human disease, such gliotoxin, trypacidin, 685 verruculogen, and others (Fig. 4, 5, S7; Table 2, S3). Importantly, our work positively identified 686 secondary metabolites for many structural classes implicated in a previous taxonomic study 687 (Samson et al. 2007). These results suggest that several of the secondary metabolism-associated 688 cards of virulence present in A. fumigatus are conserved in closely related nonpathogens

(summarized in Fig. 6) as well as in closely related pathogenic species, such as *A. novofumigatus*(Kjærbølling *et al.* 2018). Interestingly, disrupting the ability of *A. fumigatus* to biosynthesize
gliotoxin attenuates but does not abolish virulence (Sugui *et al.* 2007; Dagenais and Keller 2009;
Keller 2017), whereas disruption of the ability of *A. fischeri* NRRL 181 to biosynthesize
secondary metabolites, including gliotoxin, does not appear to influence virulence (Knowles *et al.* 2020). Our findings, together with previous studies, support the hypothesis that individual
secondary metabolites are "cards" of virulence in a larger "hand" that *A. fumigatus* possesses.

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707

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Genus and species	Strain	Environmental/Clinical	Genomic analysis	Secondary metabolite profiling	Reference
Aspergillus oerlinghausenensis	CBS 139183 ^T	Environmental	+	+	This study
Aspergillus fischeri	NRRL 4585	Environmental	+	+	This study
Aspergillus fischeri NRRL 4161 Unknown		Unknown	+	+	This study
Aspergillus fischeri NRRL 181 Environmental		Environmental	+	+	(Fedorova et al. 2008)
Aspergillus fischeri	Aspergillus fischeri IBT 3007 Environmental		+	-	(Zhao <i>et al.</i> 2019)
Aspergillus fischeri	Aspergillus fischeri IBT 3003 Environmental		+	-	(Zhao <i>et al.</i> 2019)
Aspergillus fumigatus	Af293	Clinical	+	+	(Nierman <i>et al.</i> 2005)
Aspergillus fumigatus	CEA10 / CEA17	Clinical	+	+	(Fedorova et al. 2008)
Aspergillus fumigatus	apergillus fumigatus HMR AF 270 Clinical		+	-	BioSample: SAMN071779 64
Aspergillus fumigatus	spergillus fumigatus Z5 Environmental		+	-	(Miao <i>et al.</i> 2015)

711 Table 1. Species and strains used in the present study

712 '+' and '-' indicate if BGCs and secondary metabolite profiling was conducted on a particular strain. More specifically '+'

713 indicates the strain was analyzed whereas '-' indicates that the strain was not analyzed.

		Evidence of biosynthetic gene cluster / secondary metabolite							
	Function	Reference(s)	A. fumigatus			A. oerlinghause nensis	A. fischeri		
			Af293	CEA10	CEA17	CBS 139183 ^T	NRRL 181	NRRL 4585	NRRL 4161
Gliotoxin	Inhibits host immune response	(Sugui <i>et al.</i> 2007)	+/+	+/+	+/+	+/+	+/+	+/+	+/+
Fumitremorgin	Inhibits the breast cancer resistance protein	(González- Lobato <i>et al.</i> 2010)	+/-	+/+	+/-	+/+	+/+	+/+	+/+
Verruculogen	Changes electrophysical properties of human nasal epithelial cells	(Khoufache et al. 2007)	+/-	+/+	+/-	+/+	+/+	+/+	+/+
Trypacidin	Damages lung cell tissues	(Gauthier <i>et al.</i> 2012)	+/+	+/+	+/-	+/+	+/-	_/_	_/_
Pseurotin	Inhibits immunoglobulin E	(Ishikawa <i>et al.</i> 2009)	+/+	+/+	+/+	+/+	-/-	-/-	-/-
Fumagillin	Inhibits neutrophil function	(Fallon <i>et al.</i> 2010, 2011)	+/+	+/+	+/+	+/+	-/-	-/-	-/-

714 Table 2. Select *A. fumigatus* secondary metabolites implicated in modulating host biology

715 A list of select secondary metabolites implicated in human disease and their functional role are described here. All secondary

716 metabolites listed or analogs thereof were identified during secondary metabolite profiling. Plus (+) and minus (-) signs

717 indicate the presence or absence of the BGC and secondary metabolite, respectively. For example, +/+ indicates both BGC

718 presence and evidence of secondary metabolite production, whereas +/- indicates BGC presence but no evidence of secondary

719 metabolite production.

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1055 Figure 1. Diverse genetic repertoire of biosynthetic gene clusters and extensive presence 1056 and absence polymorphisms between and within species. (A) Genome-scale phylogenomic 1057 analysis confirms A. oerlinghausenensis is the closest relative to A. fumigatus. Relaxed 1058 molecular clock analyses suggest A. fumigatus, A. oerlinghausenensis, and A. fischeri diverged 1059 from one another during the Neogene geologic period. Bipartition support is depicted for 1060 internodes that did not have full support. (B) A. fumigatus harbors the lowest number of BGCs 1061 compared to its two closest relatives. (C) Network-based clustering of BGCs into cluster families 1062 reveal extensive cluster presence and absence polymorphisms between species and strains. 1063 Cluster family identifiers are depicted on the x-axis; the number of strains represented in a 1064 cluster family are shown on the y-axis; the colors refer to a single strain from each species. 1065 Genus and species names are written using the following abbreviations: Afum: A. fumigatus; 1066 Aoer: A. oerlinghausenensis; Afis: A. fischeri. Classes of BGCs are written using the following 1067 abbreviations: NRPS: nonribosomal peptide synthetase; T1PKS: type I polyketide synthase; 1068 Hybrid: a combination of multiple BGC classes.

1069

1070 Figure 2. Aspergillus oerlinghausenensis shares more gene families and BGCs with A.

1071 *fischeri* than *A. fumigatus*. (A) Euler diagram showing species-level shared BGCs. (B) Euler

1072 diagram showing species-level shared gene families. In both diagrams, A. oerlinghausenensis

1073 shares more gene families or BGCs with A. fischeri than A. fumigatus despite a closer

1074 evolutionary relationship. The Euler diagrams show the results for the species-level comparisons,

1075 which may be influenced by the unequal numbers of strains used for the three species; strain-

1076 level comparisons of BGCs and gene families can be found in Figures 1C and S4, respectively.

1077

1078 Figure 3. A. oerlinghausenensis and A. fischeri have more similar secondary metabolite

1079 profiles than A. fumigatus. (A) UPLC-MS chromatograms of secondary metabolite profiles of

1080 A. fumigatus and its closest relatives, A. oerlinghausenensis and A. fischeri at 37°C and 30°C

1081 (left and right, respectively). (B) Hierarchical clustering of chromatograms (1,920 total features)

1082 reveals A. oerlinghausenensis clusters with A. fischeri and not its closest relative, A. fumigatus at

1083 37°C and 30°C (left and right, respectively).

1084

1085 Figure 4. Conservation in the gliotoxin BGC correlates with conserved production of 1086 gliotoxin analogs in A. fumigatus and nonpathogenic close relatives. Microsynteny analysis 1087 reveals a high degree of conservation in the BGC encoding gliotoxin across all isolates. The 1088 known gliotoxin gene cluster boundary is indicated above the A. fumigatus Af293 BGC. Black 1089 and white squares correspond to evidence or absence of evidence of secondary metabolite 1090 production, respectively. Genes are drawn as arrows with orientation indicated by the direction 1091 of the arrow. Gene function is indicated by gene color. Grey boxes between gene clusters 1092 indicate BLAST-based similarity of nucleotide sequences defined as being at least 100 bp in 1093 length, share at least 30% sequence similarity, and have an expectation value threshold of 0.01. 1094 Genus and species names are written using the following abbreviations: *Afum: A. fumigatus*; 1095 Aoer: A. oerlinghausenensis; Afis: A. fischeri. Below each genus and species abbreviation is the 1096 cluster family each BGC belongs to and their cluster number. 1097

1098 Figure 5. Conservation and divergence in the locus encoding the fumitremorgin and

1099 intertwined fumagillin/pseurotin BGCs. Microsynteny analysis reveals conservation in the

1100 fumitremorgin BGC across all isolates. Interestingly, only A. fischeri strains synthesize

verruculogen, a secondary metabolite also biosynthesized by the fumitremorgin BGC. In contrast, the intertwined fumagillin/pseurotin BGCs are conserved between *A. fumigatus* and *A. oerlinghausenensis* but divergent in *A. fischeri*. BGC conservation and divergence is associated with the presence and absence of a secondary metabolite, respectively. The same convention used in Fig. 4 is used to depict evidence of a secondary metabolite, represent genes and broad gene function, BGC sequence similarity, genus and species abbreviations, and BGC cluster families and cluster numbers.

1108

1109 Figure 6. Secondary metabolism-associated "cards" of virulence among A. fumigatus and

1110 **close relatives.** Secondary metabolites contribute to the "hand of cards" that enable A.

1111 *fumigatus* to cause disease. Here, we show that the nonpathogenic closest relatives of A.

1112 *fumigatus* possess a subset of the *A. fumigatus* secondary metabolism-associated cards of

1113 virulence. We hypothesize that the unique combination of cards of A. fumigatus contributes to its

1114 pathogenicity and that the cards in A. oerlinghausenensis and A. fischeri (perhaps in combination

1115 with other non-secondary-metabolism-associated cards, such as thermotolerance) are insufficient

1116 to cause disease. Pathogenic and nonpathogenic species are shown in red and black, respectively.

1117 Cartoons of Aspergillus species were obtained from WikiMedia Commons (source: M.

1118 Piepenbring) and modified in accordance with the Creative Commons Attribution-Share Alike

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Aspergillus fischeri 🔵 Aspergillus oerlinghausenensis 🔵

Aspergillus fumigatus









Secondary metabolism-associated "cards" of virulence

