

# Characterizing Complex Gas–Solid Interfaces with in Situ Spectroscopy: Oxygen Adsorption Behavior on Fe–N–C Catalysts

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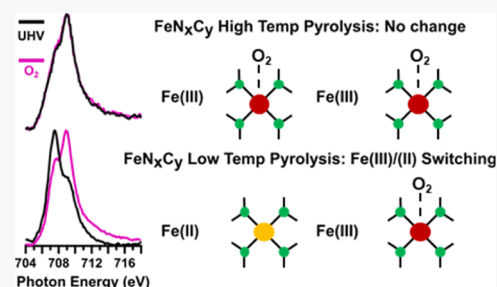
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**ABSTRACT:** Electrocatalysts for the oxygen reduction reaction within polymer electrolyte membrane fuel cells based on iron, nitrogen, and carbon elements (Fe–N–C) have received significant research attention as they offer an inexpensive alternative to catalysts based on platinum-group metals. Although both the performance and the fundamental understanding of Fe–N–C catalysts have improved over the past decade, there remains a need to differentiate the relative activity of different active sites. Toward this goal, our study is focused on characterizing the interactions between O<sub>2</sub> and a set of five structurally different Fe–N–C materials. Detailed characterization of the Fe speciation was performed with <sup>57</sup>Fe Mössbauer spectroscopy and soft X-ray absorption spectroscopy of the Fe L<sub>3,2</sub>-edge, whereas nitrogen chemical states were investigated with X-ray photoelectron spectroscopy (XPS). In addition to initial sXAS and XPS measurements performed in ultra-high vacuum (UHV), measurements were also performed (at the identical location) in an atmosphere of 100 mTorr of O<sub>2</sub> at 80 °C (O<sub>2</sub>-rich). XPS and sXAS results reveal the presence of several types of FeN<sub>x</sub>C<sub>y</sub> adsorption sites. FeN<sub>x</sub>C<sub>y</sub> sites that are proposed as the most active ones do not show significant change (based on the techniques used in this study) when their environment is changed from UHV to O<sub>2</sub>-rich. Correlation with Mössbauer and sXAS results suggests that this is most likely due to the persistence of strongly adsorbed O<sub>2</sub> molecules from their previous exposure to air. However, other species do show spectroscopic changes from UHV conditions to O<sub>2</sub>-rich. This implies that these sites have a weaker interaction with O<sub>2</sub> that results in their desorption in vacuum conditions and re-adsorption when exposed to the O<sub>2</sub>-rich environment. The nature of these weakly and strongly O<sub>2</sub>-adsorbing FeN<sub>x</sub>C<sub>y</sub> sites is discussed in the context of different synthetic and processing parameters employed to fabricate each of these five Fe–N–C materials.



## 1. INTRODUCTION

Significant research effort has been put toward the development of cost-effective, high-performance electrocatalysts for the oxygen reduction reaction (ORR) with the goal of enabling widespread commercial adoption of polymer electrolyte membrane fuel cells (PEMFCs) as a carbon emission-free energy conversion device.<sup>1,2</sup> While many promising catalysts are based upon nanostructured platinum group metal (PGM) elements and alloys,<sup>3–8</sup> PGM-free alternatives—particularly those based on high surface area iron and nitrogen-functionalized carbon (Fe–N–C) structures—have become increasingly competitive over the past decade.<sup>9–11</sup> The Fe–N–C family of catalysts is inherently complex because of the multitude of bonding states available for nitrogen and carbon and the structural/morphological heterogeneity of high surface area carbons.<sup>12</sup> Additionally, their complexity is further compounded by the use of various synthetic approaches to form highly active Fe–N–C catalysts, often involving multiple steps and utilizing different iron, nitrogen, and carbon sources.<sup>11,13–17</sup> This typically results in the presence of multiple potential active sites, including defective carbon, various nitrogen–carbon (NC) species, and Fe-based sites that

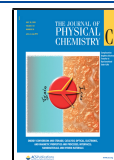
can be described as FeN<sub>x</sub>C<sub>y</sub> moieties formed by covalent bonding of atomically dispersed iron ions to 2–4 nitrogen atoms within the carbon matrix.<sup>12,18,19</sup> While FeN<sub>x</sub>C<sub>y</sub> moieties, particularly those where  $x = 4$ , are frequently suggested in the literature to be the most active site, the debate continues on the exact nature of the most active site, the impact of different C and NC sites on overall fuel cell performance, and the relative activity of the different FeN<sub>x</sub>C<sub>y</sub> structures. Therefore, it is necessary to attempt to separate the contributions of the various possible active sites to the ORR to further optimize these catalysts by increasing the density of the most active sites while also decreasing the density of species detrimental to fuel cell performance.<sup>18,20,21</sup>

Analysis of Fe–N–C catalysts necessitates in-depth characterization combining multiple techniques that provide

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information at multiple scales. A selection of the most commonly featured techniques includes (but is not limited to) transmission electron microscopy (TEM) and energy-dispersive X-ray spectroscopy (EDS) to evaluate the morphology and spatially resolved elemental dispersion, electron energy loss spectroscopy to identify atomically dispersed iron species, X-ray absorption spectroscopies (XAS) for evaluation of iron and nitrogen coordination and iron oxidation state, X-ray photoelectron spectroscopy (XPS) primarily to identify different surface nitrogen and carbon speciation, and  $^{57}\text{Fe}$  Mössbauer spectroscopy for the identification of differences in iron coordination and oxidation state, electronic properties, and spin state.<sup>22–25</sup> Although each technique has its own strengths and weaknesses, it is possible to draw robust correlations with synthesis parameters and/or electrochemical testing results by combining several of the above techniques, along with other complementary characterization as needed. Additionally, complementing characterization with theoretical calculations or designing model materials with systematically controlled properties has been used effectively to add further clarity to a characterization study.<sup>26–30</sup>

Experimental differentiation of the various  $\text{FeN}_x\text{C}_y$  sites has primarily been done with  $^{57}\text{Fe}$  Mössbauer spectroscopy, quite often in conjunction with XAS and computations.<sup>25,26,31</sup> Even within Fe–N–C catalysts free or quasi-free of Fe clusters, two or more distinct iron species in an  $\text{FeN}_x\text{C}_y$ -type configuration are ubiquitously identified.<sup>26,31–33</sup> Within  $^{57}\text{Fe}$  Mössbauer spectroscopy-based studies, a particular quadrupole doublet (usually referred to in the literature, and herein, as D1) has been shown to correlate with catalytic activity.<sup>34,35</sup> However, it has remained a challenge to unambiguously assign the precise stoichiometry, oxidation state, and spin state of this  $\text{FeN}_x\text{C}_y$  moiety. Until recently, D1 has most often been assigned to Fe(II) with no spin ( $S = 0$ ) or Fe(III) in a high spin ( $S = 5/2$ ) state.<sup>34,36–38</sup> However, two recent studies by Wagner et al. and Mineva et al. have provided substantial evidence highlighting the complexity of definitively assigning the D1 feature and proposed alternative physicochemical interpretations.<sup>26,39</sup> The results of Wagner et al.'s work suggest that D1 collected by room-temperature (RT)  $^{57}\text{Fe}$  Mössbauer spectroscopy with no applied magnetic field may be convoluted by overlapping signals from very small ( $<3$  nm) clusters of iron oxide. Additionally, the portion of the D1 signal still assigned to an  $\text{FeN}_4$  species was found to consist of neither pure ferrous nor ferric character but rather a mixture of oxidation states.<sup>39</sup> This result had previously been postulated to be caused by  $\text{O}_2$  adsorption on  $\text{FeN}_x\text{C}_y$  sites, resulting in a change in the oxidation state of the Fe(II) center to Fe(III),<sup>32,40–42</sup> a hypothesis the authors also suggest. Mineva et al.'s recent study supplies a thorough argument, suggesting that the highly active  $\text{FeN}_x\text{C}_y$  sites attributed to the D1 signal have a Fe(III) $\text{N}_4\text{C}_{12}$  structure with high spin. The authors hypothesize that this can be attributed to the presence of an adsorbed  $\text{O}_2$  molecule at the iron center. In turn, they additionally proposed that this differentiates surface sites (gas-phase accessible) from those present within the bulk of the catalyst (gas-phase inaccessible) which remains in all conditions in an Fe(II) state (quadrupole doublet component with high quadrupole splitting of ca.  $2.4\text{--}2.7$  mm  $\text{s}^{-1}$ , usually labeled D2 in the literature). Another possible explanation is that D1 and D2 differentiate the surface sites with strong oxygen binding (D1 component) from other surface sites with weaker

oxygen binding (D2, or a fraction of D2 signal). Surface sites with a sufficiently high Fe(III)/Fe(II) redox potential would be in an Fe(II) state at open-circuit conditions and may bind  $\text{O}_2$  more weakly and also reversibly.<sup>26</sup> These recent advances in the understanding of the nature of  $\text{FeN}_x\text{C}_y$  species and the complexity in characterizing and analyzing their differences have significant implications to both the catalyst design and interpretation of characterization results and motivate further characterization studies focused on understanding  $\text{FeN}_x\text{C}_y$  sites and their interactions with  $\text{O}_2$ .

Accessing the catalyst– $\text{O}_2$  interface is of particular interest in order to definitively identify which species interact with  $\text{O}_2$  and to what extent. The strength of the interaction between an  $\text{FeN}_x\text{C}_y$  site and  $\text{O}_2$  has been suggested to correlate with ORR activity and may follow a Volcano-type relationship where an optimal “not too strong, not too weak” interaction is ideal.<sup>43</sup> Both  $^{57}\text{Fe}$  Mössbauer spectroscopy and XAS do not have ultra-high vacuum (UHV) requirements (although certain instrumental configurations may enable such experiments) and therefore, when operated at ambient conditions, may preserve the existing interactions of  $\text{FeN}_x\text{C}_y$  surface sites with  $\text{O}_2$  from the air and/or enable studies in a controlled environment targeted at understanding interactions with  $\text{O}_2$ . Indeed, several XAS studies on Fe–N–C catalysts under applied potentials (at the Fe K-edge, in  $\text{N}_2$ - or  $\text{O}_2$ -saturated electrolyte) have identified that the potential that Fe(III)/Fe(II) switching occurs at correlates with a catalyst's activity.<sup>29,40,44</sup> However, both  $^{57}\text{Fe}$  Mössbauer spectroscopy and XAS are bulk techniques that, depending on the Fe–N–C material, may contain a majority of contributions from Fe species that are inaccessible by gas phase or liquid electrolyte. Utilizing near-ambient pressure XPS (nAP-XPS) instruments enables in situ measurements (in this work in situ refers to measurement in the presence of one or more external stimuli, e.g., elevated temperature, applied potential, or controlled gaseous environments, not during device operation) at pressures ranging from hundreds of mTorr to tens of Torr, making the gas–solid interface in Fe–N–C catalysts accessible for study.<sup>45–47</sup> The majority of nAP-XPS catalyst–gas interaction studies investigate crystalline films of metals or metal oxides,<sup>48–51</sup> and only a few recent studies have reported the characterization of complex interactions between oxygen and high surface area NC and Fe–N–C materials.<sup>52,53</sup> This may be due in part to several challenges associated with nAP-XPS measurements of the NC/Fe–N–C catalyst– $\text{O}_2$  interface. Because of the very low density of  $\text{FeN}_x\text{C}_y$  sites present in Fe–N–C catalysts (typically near or below 1 at. %), and the inherent complexity associated with analyzing changes in the oxidation state in Fe 2p core-level XP spectra because of multiplet splitting,<sup>54</sup> it is exceedingly difficult to analyze the changes in  $\text{FeN}_x\text{C}_y$  species through measurement of the Fe 2p narrow scan spectrum using nAP-XPS. Although signal due to  $\text{FeN}_x\text{C}_y$  species may still be accessed through analysis of the N 1s core level, contributions from other N-groups in NC that are not engaged in the coordination of Fe cations will also be present and their corresponding shifts must also be accounted for. Recently, adsorption of  $\text{O}_2$  to hydrogenated nitrogen, electron-rich nitrogen species, and graphitic nitrogen species was isolated within a sample set of NC materials produced through different synthetic routes through the use of spectral subtraction,<sup>52</sup> providing a methodology for a similar analysis of changes in the N 1s due to catalyst– $\text{O}_2$  interactions on Fe–N–C catalysts in an attempt to isolate  $\text{O}_2$  adsorption to

$\text{FeN}_x\text{C}_y$  species. This type of measurement can be effectively complemented by conducting XAS measurements using a soft X-ray source (sXAS) of the Fe L-edge in total electron yield (TEY) mode. Such a configuration results in a surface-sensitive measurement with an information depth on the order of several nanometers, similar to that achieved by XPS.<sup>55</sup> While Fe L-edge sXAS has been performed (both ex situ and in situ) on a diverse set of Fe-based samples for a variety of applications,<sup>56–58</sup> it has not been commonly applied to Fe–N–C-type ORR catalysts. However, a study on Fe–CNT–GN hybrid materials that features sXAS of the Fe L-edge under UHV did identify the presence of an  $\text{Fe(III)N}_x$ -type species, and through confirmation with the O K-edge, attributed the presence of the  $\text{Fe(III)}$  species to an adsorbed  $\text{O}_2$  molecule on the iron center.<sup>41</sup> An in situ sXAS study focused on  $\text{O}_2$  and  $\text{H}_2$  exposure of Co- and Fe-phthalocyanine macromolecular catalysts found evidence for an increase in the ratio of  $\text{Fe(III)}/\text{Fe(II)}$  upon exposure to  $\text{O}_2$ , whereas restricted changes in the spectra for Co suggested a retained  $\text{Co(II)}$  oxidation state.<sup>42</sup> Therefore, it is evident that using both nAP-XPS and sXAS of the Fe L-edge, it is possible to conduct a robust in situ experiment with surface-sensitive measurement of both nitrogen and iron species to determine how they evolve when switching from an  $\text{O}_2$ -free to an  $\text{O}_2$ -rich gaseous environment.

This work presents the first in situ study of  $\text{O}_2$  interactions with Fe–N–C catalysts featuring measurement of both the N 1s core level by nAP-XPS and the Fe L-edge by sXAS, capturing surface-sensitive data that is both nitrogen atom specific and iron atom specific on the same area of analysis and with the same temperature and pressure conditions. This unique approach is enabled by the capabilities at end-station 11.0.2 of the advanced light source (ALS) user facility, described in detail elsewhere.<sup>59,60</sup> The different  $\text{O}_2$  interactions are related to physicochemical properties such as morphology, spatial elemental distribution, initial nitrogen chemistry, and initial iron properties, which were thoroughly characterized by  $^{57}\text{Fe}$  Mössbauer spectroscopy, (S)TEM/EDS, UHV XPS, and UHV sXAS. The sample set of Fe–N–C materials was carefully selected to include both highly active catalysts and model high surface area structures. The highly active catalysts include an Fe–N–C material derived from a sacrificial Zn-based imidazolate (ZIF-8) metal–organic-framework (MOF) and a templated material based on the sacrificial-support-method (SSM).<sup>10,32,61</sup> Model Fe–N–C structures based on nanospherical particles (herein referred to as NS-*i*, where *i* is 1, 2, or 3 depending upon the exact synthetic process, described in Section 2.1.1) on the order of 150–250 nm in diameter were produced by several variations of a previously reported hydrothermal synthesis.<sup>27,52,62,63</sup> This work provides evidence that (i) different NC and  $\text{FeN}_x\text{C}_y$  sites may show different strengths of interactions with oxygen and (ii) some sites are typically more accessible for adsorption than others (e.g., D1 vs D2). Specifically, some  $\text{FeN}_x\text{C}_y$  sites have a strongly adsorbed  $\text{O}_2$  molecule (which remains on the catalyst surface even during UHV measurements) that results in an  $\text{Fe(III)}$  center for the fraction of such sites that is accessible for gas-phase adsorption, whereas other  $\text{FeN}_x\text{C}_y$  sites as well as NC functional groups adsorb  $\text{O}_2$  less strongly, leading to  $\text{O}_2$  desorption in UHV conditions and  $\text{O}_2$  re-adsorption at 100 mTorr of  $\text{O}_2$  pressure.

## 2. METHODS

**2.1. Materials Synthesis.** **2.1.1. Solvothermal Synthesis of Fe–N–C Nanospheres.** A solvothermal synthetic scheme previously reported for the production of NC NS was adapted to synthesize Fe–N–C NS samples.<sup>27,52,62,63</sup> The samples featured in this study were produced by two variations of the following procedure: in the first method, the Fe precursor is added in the first step, prior to the formation of the spherical NC particles (designated 1-HT as there is only a single heat treatment), whereas the second method introduces the Fe precursor in a separate process after the preparation of the NC NS (2-HT). For both syntheses, an aqueous alcohol solution was first prepared by mixing 320 mL of 18.2 MΩ deionized (DI)  $\text{H}_2\text{O}$  and 128 mL of ethanol (Pharmco-Aaper, high-performance liquid chromatography grade) in a 1 L high-density polyethylene bottle. Then, 3.2 g of resorcinol (Sigma-Aldrich, >99%) was dissolved while stirring at 300 RPM. Once fully dissolved, 5.4 mL of ethylenediamine (Sigma-Aldrich, >99.5%) was dissolved in solution, followed by 4.8 mL of 37 wt % formaldehyde (Alfa Aesar, 36.5–38%). Finally, in the case of the 1-HT Fe–N–C spheres, an aliquot of  $\text{Fe(II)}$  acetate tetrahydrate (Sigma-Aldrich, 99%) was added such that the mass of Fe was 5 wt % of the total precursor mass. The solution was stirred at RT for 24 h; then, the bottle was sealed with Durafilm and heated in an oven at 100 °C for 24 h. After cooling the vessel, the product was isolated via centrifugation at 9327 G for 20 min and then transferred to a quartz boat and dried for 12 h. After drying, the product was pyrolyzed in a Lindberg Blue-M tube furnace under flowing  $\text{N}_2$  by heating at 2 °C/min up to 350 °C, dwelling for 4 h, then heating at 5 °C/min up to 600 °C, and dwelling for 2 h, resulting in either the final product in the case of sample NS-1 or finished NC NS for use in the synthesis of NS-2 and NS-3.

The second step of the 2-HT synthesis was started by dispersing 200 mg of NC NS and an amount of Fe precursor such that the mass of Fe is 5 wt % in 200 mL of methanol (Pharmco-Aaper, Reagent Grade) in a 500 mL round-bottom flask. The Fe precursors used were either  $\text{Fe(II)}$  acetate tetrahydrate (Sigma-Aldrich, 99%) in the case of NS-2 or  $\text{Fe(III) Cl}_3$  hexahydrate (Sigma-Aldrich, >98% Reagent Grade) for NS-3. The dispersion was then sonicated for 90 min. A rotary evaporator was used at 60 °C and ~350 mbar in order to remove the solvent. The resulting powder was pyrolyzed in a Lindberg Blue-M tube furnace under flowing  $\text{N}_2$  at 700 °C for 4 h. The temperature was ramped to 700 °C at a rate of 3 °C/min and allowed to cool at 5 °C/min, resulting in a fine black powder.

**2.1.2. MOF Fe–N–C Synthesis.** The synthesis of the ZIF-8 derived Fe–N–C material (labelled  $\text{MOF-Fe}_{0.5}$ ) was first reported by Zitolo et al.<sup>32</sup> The synthesis involves the dry ball-milling of the three precursors, namely, ZIF-8 (Basolite Z1200 from BASF),  $\text{Fe(II)}$  acetate, and 1,10-phenanthroline (Phen—Sigma-Aldrich), followed by a single pyrolysis in flowing argon. The first step of the synthesis involved the dry ball-milling of ZIF-8 (800 mg), Phen (200 mg), and  $\text{Fe(II)}$  acetate (16 mg) in a zirconium oxide crucible filled with 100 zirconium oxide balls (5 mm diameter) in a planetary ball miller (Fritsch Pulverisette 7 Premium, Fritsch, Idar-Oberstein, Germany). The milling was performed at 400 rpm for a total duration of 2 h, split in four cycles of 30 min each, with resting time in between to avoid heating. The catalyst precursor contains 0.5 wt % Fe, explaining the subscript in the catalyst label,  $\text{MOF-}$



Fe<sub>0.5</sub>. The catalyst precursor following the ball-milling was collected as such and was pyrolyzed in flash mode in Ar at 1050 °C for 1 h. The flash mode indicates that the oven was preheated at 1050 °C for 1.5 h, and then the quartz boat and catalyst precursor were pushed inside the heating zone with an outer magnet.<sup>64</sup> The catalyst precursor powder experiences an increase in temperature from RT to 1050 °C within circa 45 s, and the pyrolysis is then continued for 1 h, after which the split hinge oven is opened and the quartz tube is immediately removed and let to cool down in ambient atmosphere, still with flowing Ar in the tube. Once cooled down, the tube is opened and the catalyst collected. No other step is applied. It is important to note that, owing to a mass loss of 65–70 wt % during pyrolysis in Ar caused by volatile products formed from ZIF-8 and Phen, the bulk iron content in Fe<sub>0.5</sub> is about 3 times the iron content in the catalyst precursor, circa 1.5 wt % Fe.

**2.1.3. SSM Fe–N–C Synthesis.** The catalyst was synthesized by modified SSM<sup>61</sup> practiced at Pajarito Powder, LLC as a VariPore method. Iron nitrate [2.5 g, Fe(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O, Sigma–Aldrich] was mechanically mixed with 25 g of the nitrogen-rich organic precursors (Pipemidic acid) and 10 g of LM-150 fumed silica (Cabot Cab-O-sil, surface area ~150 m<sup>2</sup>/g). The homogeneous powder was dried at a temperature of 80 °C for 8 h and pyrolyzed at 950 °C for 55 min in flowing ultrahigh purity (UHP) nitrogen, 100 ccm. After heat treatment, silica was removed by 20 wt % HF (1st acid treatment), followed by washing with DI water until neutral pH was reached. The obtained powder was dried overnight at 85 °C. In order to remove Fe metallic particles, the catalysts were acid treated with 1 M HNO<sub>3</sub> (2nd acid treatment). Additional heat treatment was done in a UHP nitrogen atmosphere at a temperature of 1000 °C for 45 min.

**2.2. Microscopy.** STEM–EDS was conducted using a FEI Talos F200X operated at 200 kV. Samples were prepared by brushing Cu grids with holey carbon support films through the powders. Elemental EDS maps at various magnifications were acquired for up to 30 min per area, and data were both collected and processed by standard methods using Bruker ESPRIT software.

**2.3. <sup>57</sup>Fe Mössbauer Spectroscopy.** All spectra were acquired ex situ at RT and in ambient air, and the Fe–N–C materials had also been exposed to air since their synthesis. The <sup>57</sup>Fe Mössbauer spectrometer (Wissel, Germany) was operated in transmission mode with a <sup>57</sup>Co:Rh source. The velocity driver was operated in constant acceleration mode with a triangular velocity waveform. The velocity scale was calibrated with the magnetically split sextet of a high-purity  $\alpha$ -Fe foil at RT. The spectra were fitted by the least-squares method to appropriate combinations of Lorentzian profiles representing quadrupole doublets, sextets, and singlets. The values of isomer shifts (IS) are reported relative to  $\alpha$ -Fe foil at RT.

**2.4. UHV- and nAP-XPS, sXAS.** Synchrotron facilities at beamline 11.0.2 were used to collect all XPS and sXAS data shown.<sup>59,60</sup> Samples were dispersed in isopropyl alcohol and drop-cast onto a conducting gas-diffusion-electrode carbon paper. All samples were first measured at RT under UHV (<1 × 10<sup>−8</sup> Torr). High-resolution C 1s, O 1s, and N 1s spectra were collected with photon energies of 490, 735, and 600 eV such that the kinetic energy of ejected photoelectrons would be roughly the same. A pass energy of 100 eV was used, excluding the N 1s, which had a pass energy of 50 eV. The same measurement settings were used to acquire data under in

situ conditions (100 mTorr O<sub>2</sub>) at a temperature of 80 °C, and also 100 mTorr each of O<sub>2</sub> and H<sub>2</sub>O vapor, also at 80 °C. For the humidified O<sub>2</sub> atmosphere, H<sub>2</sub>O vapor was added after O<sub>2</sub>-only measurements were already taken, and thus, the two gases were added sequentially (O<sub>2</sub>, then H<sub>2</sub>O), not simultaneously. In situ measurements were performed on the same area of analysis with the same focal distance as the initial UHV measurements to reduce the impact of sample heterogeneity. Energy calibration of XP spectra was performed by collecting the Au 4f region at UHV and RT of a gold metal contact present as part of the sample holder at each PE used. Correction to 83.95 eV was used, and then the BE difference was applied to each environment through the C 1s which showed no appreciable change in spectral features. C 1s data are shown for calibration validation in Figure S1. For spectral subtraction, background-subtracted (a linear background was used) spectra were normalized by total peak area before subtraction to account for differences in photoelectron intensity because of attenuation in the O<sub>2</sub> atmosphere measurements. Data collected at UHV were subtracted from the O<sub>2</sub> atmosphere data.

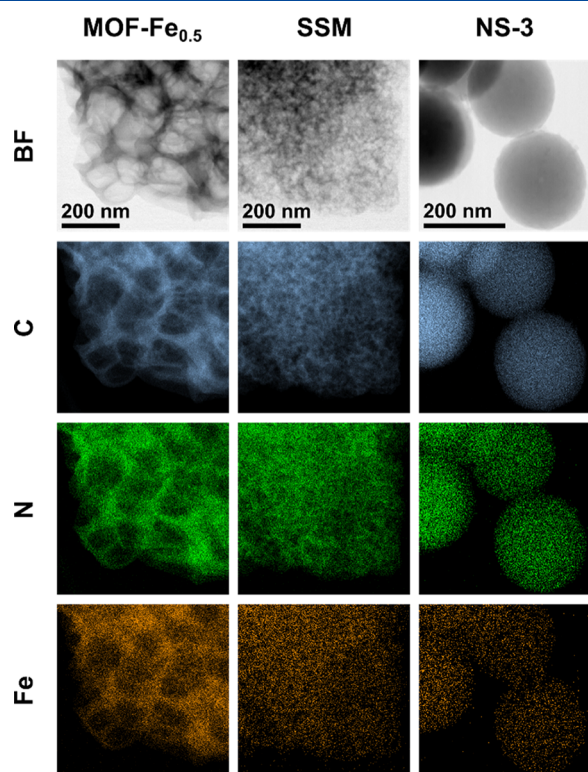
sXAS at the Fe L-edge was also collected at beamline 11.0.2 with the detector in TEY mode, at the same pressure and temperature combinations as the nAP-XPS data, and on the same position of analysis on the sample.

### 3. RESULTS

**3.1. Characterization of Materials under UHV Conditions: STEM–EDS, XPS, and sXAS.** The materials used in this study were carefully selected to represent a variety of common catalyst structures with different Fe and N species present. Two samples (MOF–Fe<sub>0.5</sub> and SSM) represent highly active Fe–N–C catalysts with different structures: one derived from a sacrificial MOF (ZIF-8) used to prepare a highly microporous N-doped carbon matrix and the other a mesoporous, templated material based on the sacrificial support method (SSM). Both have been the subject of prior rigorous studies investigating ORR performance and relating the performance to physicochemical properties.<sup>10,21,32,61,65–67</sup> The other three samples are based on microporous NS particles produced by variations of a solvothermal synthesis method.<sup>27,52,62,63</sup> These three materials were designed to model different Fe species often found in Fe–N–C catalysts (e.g., discrete particles vs. atomically dispersed Fe cations) while attempting to maintain very similar size, shape, morphological properties, and distribution of nitrogen species. Across the sample set, one of the most significant differences in sample preparation is the pyrolysis temperature the samples are exposed to. A recent study has shown that FeN<sub>4</sub>-type bonding forms as low as 400 °C.<sup>68</sup> It is also well documented that further evolution of the carbonaceous matrix, including increased graphitization, occurs at higher temperatures—often resulting in significantly improved ORR activity compared to low-temperature pyrolyzed materials.<sup>18,68</sup> Indeed, many highly active Fe–N–C catalysts (including MOF–Fe<sub>0.5</sub> and SSM) are pyrolyzed at much higher temperatures, usually in excess of 900 °C.<sup>10,21,32,61,65</sup> Conversely, the NS samples were all pyrolyzed at lower temperatures of either 600 °C (NS-1) or 700 °C (NS-2 and NS-3). This difference in temperature is likely to impact the localized structure of the carbon surrounding a possible FeN<sub>x</sub>C<sub>y</sub> active site and could result in different O<sub>2</sub> adsorption behavior. Therefore, differences in structure, morphology, and Fe and N speciation resulting from

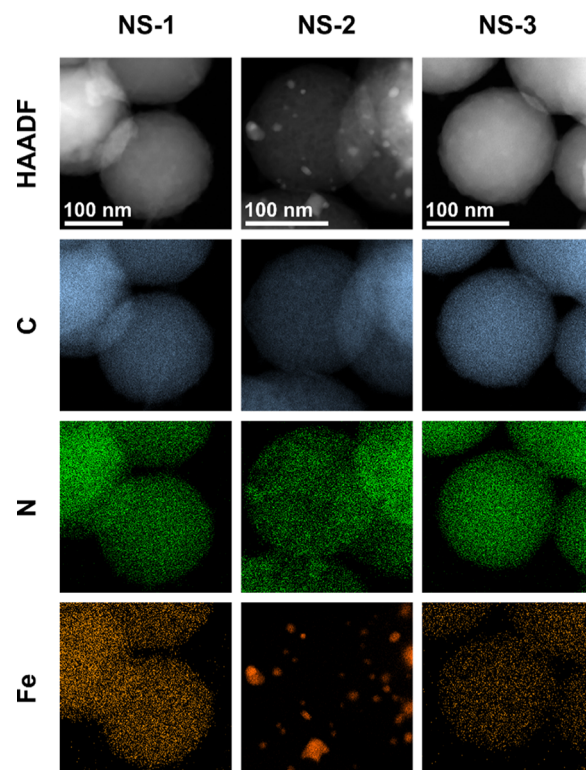
the different synthetic and processing parameters the samples experiences are first investigated with ex situ characterization techniques.

Figure 1 shows STEM bright-field (BF) images alongside EDS maps specific to C, N, and Fe for the three samples with



**Figure 1.** BF-mode (S)TEM images and C-, N-, and Fe-specific EDS maps are displayed for the MOF-Fe<sub>0.5</sub>, SSM, and NS-3.

the most differences in morphology, highlighting their main differences and the range of studied structures. The MOF-Fe<sub>0.5</sub> sample has the most open structure, with a high specific surface area mostly from micropores with some contribution from narrow mesopores.<sup>21</sup> SSM has relatively smaller structural features and is known to contain a bimodal pore size distribution because of the controlled size of pore-formers.<sup>10,61</sup> NS-3 shows the typical structure and morphology of the NS samples. This particular sample was selected to be displayed alongside MOF-Fe<sub>0.5</sub> and SSM as it also has a uniform Fe dispersion, while highlighting the major differences in overall structure of NS samples compared to the MOF-Fe<sub>0.5</sub> and SSM catalysts. The C specific EDS map of NS-3 shows a degree of texturing across the surface of the NS. This texturing is likely related to the porosity of the NS samples, as they have a bimodal pore size distribution and the texturing can be explained by the presence of both meso- and micropores.<sup>62</sup> All three Fe–N–C samples in Figure 1 show a relatively even distribution of C, N, and Fe. In these examples, all samples show highly dispersed Fe species and do not reveal the presence of Fe nanoparticles at this resolution. A comparison of the three different NS samples is displayed in Figure 2 to highlight their differences in iron distribution. All three NS samples displayed in Figure 2 have similar overall shape, size, and morphology, as can be seen in the high angle annular dark-field (HAADF) images. The EDS maps below also show similar N distribution for each sample, and both NS-1 and NS-



**Figure 2.** HAADF-mode TEM images and C-, N-, and Fe-specific EDS maps are displayed at high magnification for the three NS samples.

3 show a well-distributed signal for Fe, implying either only atomically dispersed Fe and/or (sub)nanosized particles, whereas NS-2 has Fe present in particle form (from single nanometers to tens of nanometers in diameter with no regular shape). Relative quantification of all samples from EDS is reported in Table 1. All five samples have very similar

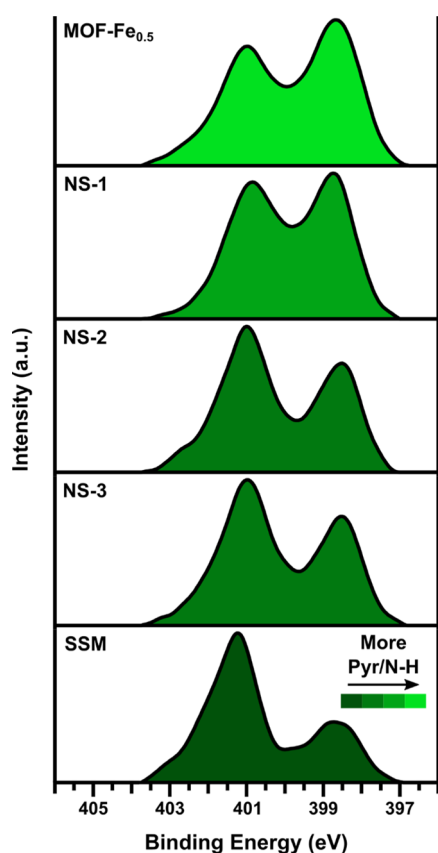
**Table 1.** EDS Compositional Analysis

|                       | C (at. %) | O (at. %) | N (at. %) | Fe (at. %) |
|-----------------------|-----------|-----------|-----------|------------|
| MOF-Fe <sub>0.5</sub> | 93.5      | 3.0       | 3.1       | 0.4        |
| NS-1                  | 94.3      | 3.2       | 2.4       | 0.2        |
| NS-2                  | 96.7      | 1.1       | 0.7       | 1.2        |
| NS-3                  | 95.2      | 2.5       | 2.2       | 0.2        |
| SSM                   | 95.4      | 1.4       | 2.7       | 0.5        |

composition, with NS-2 displaying the largest amount of Fe and lowest amount of N due to the presence of Fe particles. Both NS-1 and NS-3 have less overall Fe and N content than the MOF-Fe<sub>0.5</sub> and SSM catalysts.

An analysis of the N 1s core level by XPS was performed in order to qualitatively examine the differences in N species present. Many studies in the literature featuring XPS data on Fe–N–C-based catalysts have employed curve-fitting of the N 1s core level in order to attempt to differentiate the various N species present, in which identifying FeN<sub>x</sub>C<sub>y</sub> moieties by the N atoms involved in the coordination of Fe cations is of particular interest. Such detailed information obtained from the N 1s fitting results is then often related to the ORR activity of catalysts.<sup>13,19,27,52,53,63</sup> However, curve-fitting and the subsequent assignment of components to N species are very complex because of the abundance of a variety of possible N

moieties that may be present in an Fe–N–C catalyst. Additionally, the BE position of a particular N species can be impacted by local structural differences (e.g., edge effects and proximity of other dopant elements or defects in the C structure), which can cause shifts in the position of a species up to several eV in value.<sup>69</sup> Therefore, we display N 1s data without curve-fitting in order to highlight and compare the main features present in these samples (Figure 3). It is



**Figure 3.** XPS N 1s spectra collected with a photon energy of 600 eV at UHV and RT are displayed for all samples. A gradient fill color is applied to qualitatively highlight the trend in the ratio of pyridine-like species to hydrogenated-nitrogen species from sample to sample.

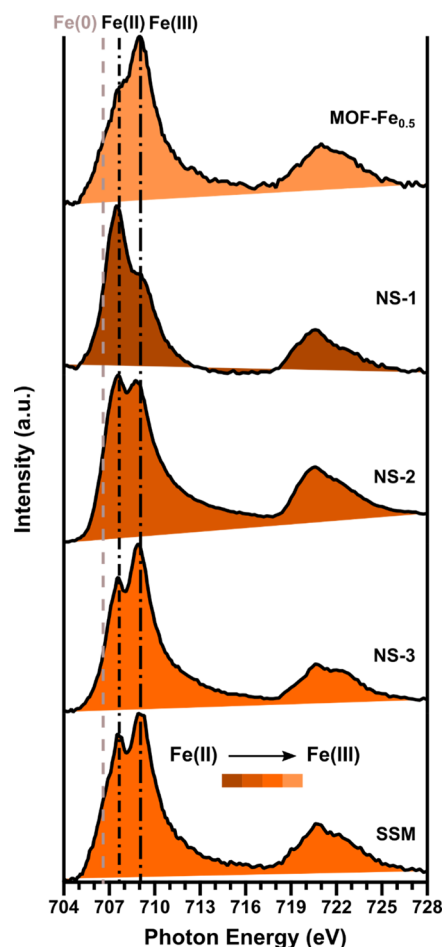
important to note that this data was collected with a lower photon energy ( $h\nu = 600$  eV) than typical, resulting in a photoelectron kinetic energy of  $\sim 200$  eV, circa 5 times lower than that of N 1s photoelectrons ejected upon exposure of a sample to the typical Al  $K\alpha$  X-ray source. This does not necessarily mean that the N 1s data displayed in Figure 3 have exactly a  $5\times$  smaller information depth than classical XPS measurements because the relationship between photoelectron kinetic energy and information depth is complex.<sup>70</sup> However, we can safely assume that the lower photon energy measurements presented here are more surface sensitive than those typically reported in the literature. The N 1s spectra of all five samples show two distinct peaks at BE values between 400 and 398 and 402–400 eV, respectively. It is also clear, without any fitting, that the ratio of the two distinct peaks changes among the samples. While this certainly represents a simplified picture, each sample can be characterized as being predominantly comprised of either electron-rich,  $sp^2$ -type nitrogen species (pyridine, imine, and  $FeN_xC_y$  moieties), or  $sp^3$ -type hydrogenated nitrogen species (pyrrole, hydrogenated

pyridine, and other protonated N species) by evaluating the relative abundance of these two main peaks. The MOF- $Fe_{0.5}$  and SSM samples represent the two extreme cases, as the MOF- $Fe_{0.5}$  sample contains the highest ratio of electron-rich nitrogen species to hydrogenated species, whereas the SSM sample contains the lowest ratio of electron-rich to hydrogenated species. The three NS samples present intermediate cases with a more balanced mix of electron-rich and hydrogenated species. NS-1 has nearly equal contribution from the two classes of nitrogen species. NS-2 and NS-3 have close to identical N 1s spectra, with greater relative contribution from hydrogenated species than electron-rich species. This is expected as it follows previous results on a set of metal-free NS in which pyrolysis temperature was shown to be the main variable impacting nitrogen chemistry.<sup>52</sup> It should be noted that the N 1s signal from  $FeN_xC_y$  moieties can be found at several different positions ranging from  $\sim 400$  to 398.5 eV depending on the exact configuration of the species and the local environment of the N atoms.<sup>19,28,69,71</sup> Because of the difficulty in definitively isolating these species and their typically low abundance relative to other N species, no attempt is made to differentiate  $FeN_xC_y$  species within the N 1s signal and we turn to Fe-specific characterization techniques to further interrogate such species.

To complement the XPS analysis of the N 1s core level, surface-sensitive analysis of the Fe oxidation state distribution in each sample was performed by collecting the Fe  $L_{3,2}$ -edge using soft X-rays and in TEY mode. Such measurements have an information depth on the order of several nanometers or less.<sup>41,55</sup> Capabilities at end-station 11.0.2 at the ALS user facility enables sXAS measurements to be performed sequentially with XPS measurements, and so the sXA spectra displayed in Figure 4 were collected on the identical area of analysis and at identical environmental conditions (in the case of Figures 3 and 4, RT and UHV). Both surface sensitivity and the ability to collect XPS and sXAS at identical locations and environmental conditions distinguish this approach from more commonly seen XAS experiments in which hard X-ray sources are used.

There is a clear distinction in the features of the Fe  $L_3$ -edge dependent upon the oxidation state of the iron atoms in a sample, and approximate positions reported in the literature for pure (in terms of oxidation state) Fe(III), Fe(II), and Fe(0) compounds are indicated as dashed vertical lines in Figure 4.<sup>42,55,57,72</sup> First, the spectra in Figure 4 reveal that none of the samples analyzed in this work show a well-resolved peak for  $Fe^0$ . The presence of some signal at this value is due to the broad contributions from Fe(II) species because “pure” (pure in the sense of oxidation state, not necessarily pure in terms of compound or phase) Fe(II) references within the literature show a similar tail-like feature between 704 and 707 eV as well.<sup>42,55,57,72</sup> In all samples, two features (in the form of a peak or shoulder) at distinct values are visible at higher energies, that correspond well to the referenced positions for Fe(II) at 707.6 eV and Fe(III) at  $\sim 709.1$  eV. It is worth noting that even reference Fe(II) and Fe(III) spectra also have features (peak or shoulder) present at the position of the other oxidation state.<sup>42,55,57,72</sup> This result is expected because of the surface sensitivity of the sXAS measurements, as it implies that even in the case of NS-2 where STEM–EDS reveals the presence of iron particles the sampling depth is low enough such that only the outer oxide layer of the particle is detected. Among  $FeN_xC_y$  species, the distribution of oxidation states is likely related to



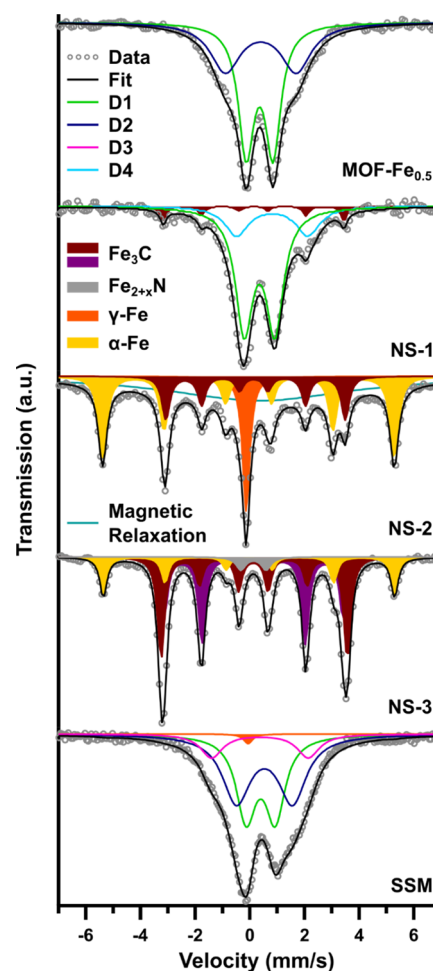


**Figure 4.** XAS Fe  $L_{3,2}$ -edge spectra collected at UHV and RT are displayed. Dashed vertical lines were added to indicate literature positions obtained on reference Fe(0), Fe(II), and Fe(III) species. A gradient fill color was also added to qualitatively reveal the trend in average Fe oxidation state of the samples.

the relative proportion of sites that contain adsorbed  $O_2$  and are in an Fe(III) state versus those that do not and are in an Fe(II) state. Based on the Fe  $L_{3,2}$ -edge data, the MOF-Fe $_{0.5}$  sample has the greatest relative contribution from Fe(III) within the sample set, and its Fe  $L_{3,2}$ -edge spectrum is in fact close to that of pure ferric compounds in the literature.<sup>57,72</sup> The NS-3 and SSM samples have sXA spectra most similar to those of MOF-Fe $_{0.5}$ ; however, they do have a greater relative contribution from the peak at  $\sim 707.6$  eV, definitively indicating the presence of Fe(II) species. NS-2 has peaks of near equal intensity at the positions corresponding to Fe(II) and Fe(III) states. Finally, the NS-1 sample has a much more intense Fe(II) peak than Fe(III) and is the closest within the dataset to a purely Fe(II) species. The varying distribution of surface Fe oxidation states revealed by the UHV sXAS results suggest that multiple Fe moieties are present within the dataset, despite all samples excluding NS-2 containing only highly dispersed Fe species, as shown in Figures 1 and 2. As both oxide species and FeN $_x$ C $_y$  moieties may exist in either Fe(II) or Fe(III) states, further characterization is needed to identify these Fe moieties.

**3.2. Ex Situ, Ambient-Air Characterization:  $^{57}\text{Fe}$  Mössbauer Spectroscopy.** The chemical and electronic state of Fe within the catalysts was investigated through  $^{57}\text{Fe}$  Mössbauer spectroscopy. It is important to note that in

contrast to the sXAS results shown in Figure 4,  $^{57}\text{Fe}$  Mössbauer spectroscopy is a bulk technique and therefore may detect species that are inaccessible or present in different proportions than identified with more surface-sensitive measurements. Additionally,  $^{57}\text{Fe}$  Mössbauer spectroscopy was performed without exposing the samples to vacuum, preserving the properties of a sample exposed to the ambient atmosphere. Figure 5 shows that there are significant differences in each



**Figure 5.** Experimental  $^{57}\text{Fe}$  Mössbauer spectra collected at RT for each Fe-N-C sample and their fitting. Fitting parameters and assignment of the components to Fe species are available in Table S1. Solid lines without fill (D1–D4) represent FeN $_x$ C $_y$  species, while metallic, carbide, and nitride are shown with a solid fill beneath the curve.

sample's Mössbauer spectrum, which can be correlated to the presence of different Fe species through a rigorous curve fitting procedure, the results of which are displayed in Figure 5, with the fitting parameters and relative contributions of the different spectral features shown in Table S1.

The MOF-Fe $_{0.5}$  sample is the least heterogeneous, with the fitting adequate with only two spectral components, namely, the quadrupole doublets D1 and D2. As discussed previously, the exact assignment of D1 and D2 has been the subject of recent discussions within the field. Based on the previous findings, D1 is assigned to a surface Fe(III)N $_4$ C $_{12}$  species with the presence of an adsorbed  $O_2$  molecule, whereas D2 is assigned to Fe(II)N $_4$ C $_{10}$  species that are likely  $O_2$ -inaccessible.<sup>26,67</sup> This fit suggests that the MOF-Fe $_{0.5}$  sample likely

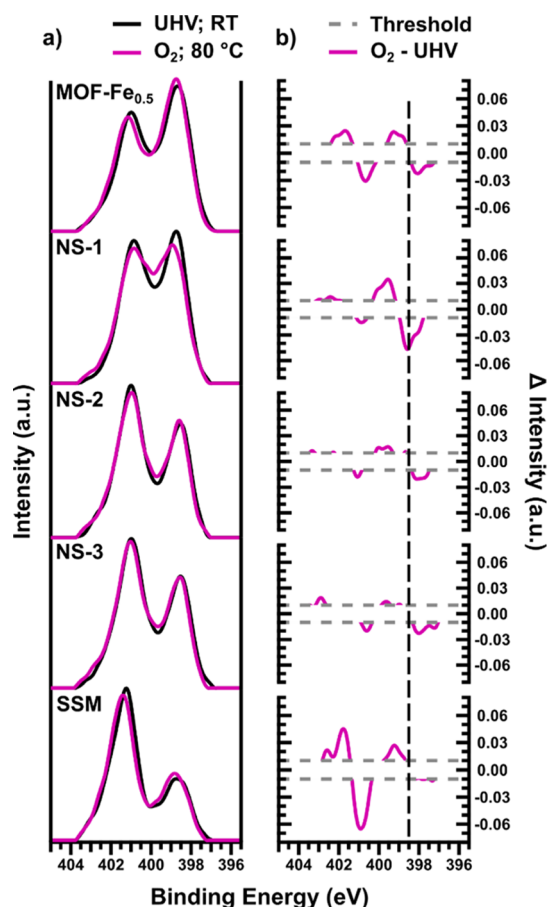
only contains  $\text{FeN}_x\text{C}_y$  species. NS-1 has a somewhat similar spectrum compared to that of the  $\text{MOF-Fe}_{0.5}$  sample, as it also has the greatest relative contribution from the D1 component (70.7%). Although a second quadrupole doublet is also present in NS-1 (labeled D4), with a QS value similar to that of D2 ( $2.61 \text{ mm}\cdot\text{s}^{-1}$ , Table S1), this component has a significantly higher IS than D2 in the previous sample, 0.82 versus  $0.40 \text{ mm}\cdot\text{s}^{-1}$ . Such a high IS value has been reported before for Fe(II) cations in tetrahedral coordination, whereas D2 is viewed as a near square-planar coordination.<sup>35</sup> D4 is therefore tentatively assigned to a distorted Fe(II) $\text{N}_x\text{C}_y$  structure—possibly present in the NS-1 sample and not  $\text{MOF-Fe}_{0.5}$  because of different localized properties of the carbon matrix in NS-1 that result from its lower pyrolysis temperature. Additionally, NS-1 has a small contribution (ca. 6%) from a sextet component unambiguously assigned to  $\text{Fe}_3\text{C}$ . Therefore, it can be concluded that NS-1 is also composed of primarily  $\text{FeN}_x\text{C}_y$  species, especially the surface species hypothesized to contain an Fe(III) center because of adsorbed  $\text{O}_2$  (D1), with a small contribution from iron carbide. Iron carbide is usually encapsulated in a N-doped carbon shell after pyrolysis, and such structures have shown some ORR activity but (on a basis per Fe mass) are much less active than  $\text{FeN}_x\text{C}_y$  sites.<sup>73</sup> NS-2 has a significantly different Mössbauer spectrum compared to  $\text{MOF-Fe}_{0.5}$  and NS-1, with no quadrupole doublet components. The main spectral features in NS-2 are (i) a singlet component with an IS near  $0 \text{ mm}\cdot\text{s}^{-1}$ , easily assigned to  $\gamma\text{-Fe}$  (Table S1), and (ii) two sextets assigned to  $\text{Fe}_3\text{C}$  and  $\alpha\text{-Fe}$ . To complete the fit, a second and very broad singlet was necessary, reflecting an unresolved environment due to magnetic and/or electronic relaxation. The presence of metallic iron phases in NS-2 is in good agreement with the STEM-EDS results shown in Figure 2, which revealed regularly occurring iron particles. Because of the absence of  $\text{FeN}_x\text{C}_y$ -type species in NS-2, it is reasonable to conclude that NS-2 would be less ORR-active than the others in acidic medium. The fitted spectrum for NS-3 consists of three sextets assigned to  $\alpha\text{-Fe}$  and  $\text{Fe}_3\text{C}$  and a doublet assigned to an iron nitride ( $\text{Fe}_{2+x}\text{N}$ ) species. For a best fit, two sextets with slightly different hyperfine fields (20.3 and  $21.1 \text{ T}$ ) were introduced, assigned to  $\text{Fe}_3\text{C}$  or  $\text{Fe-carbide}$  in slightly different compositions. According to the STEM-EDS (Figure 2), the Fe dispersion is very similar to NS-1, showing only highly dispersed species that must be either ultrafine particles or atomically dispersed Fe coordinated to C rather than N as in an  $\text{FeN}_x\text{C}_y$  moiety. Because NS-3, like NS-2, contains no or almost no  $\text{FeN}_x\text{C}_y$ -type species it is also expected to have low ORR activity in acidic medium. The last sample, SSM, has a spectrum more similar to the  $\text{MOF-Fe}_{0.5}$  and NS-1 samples, as both D1 and D2 are present and account for the majority of the total signal. However, an additional doublet (D3) is also present, with IS similar to that of D1 and D2, but with significantly higher QS than D2, namely,  $3.54 \text{ mm}\cdot\text{s}^{-1}$ . The QS value of this fitted D3 component is well in line with QS values recently calculated for Fe(II) $\text{N}_4\text{C}_{10}$  moieties in medium ( $S = 1$ ) spin state.<sup>26</sup> The latter were in the range of  $2.6\text{--}3.4 \text{ mm}\cdot\text{s}^{-1}$  for all cluster or periodic calculations of Fe(II) $\text{N}_4\text{C}_{10}$  moieties in  $S = 1$  spin state, significantly higher than all other QS calculated for other spin states, oxidation states, or moiety structures (e.g.,  $\text{FeN}_4\text{C}_{12}$ ).<sup>26</sup> The presence of D3 in the SSM sample may be related to its higher graphitization or ordering because  $\text{FeN}_4\text{C}_{10}$  moieties are in-plane moieties embedded in an ordered graphene sheet.  $\gamma\text{-Fe}$  is also present in very small quantities, likely encapsulated

within carbon layers because the SSM sample was subjected to acid leaching and therefore electrolyte-exposed metallic iron species are expected to have been removed from the sample. Further studies of  $\text{O}_2$  adsorption with both nAP-XPS and sXAS are therefore necessary to evaluate the hypothesis that the more active Fe-containing  $\text{MOF-Fe}_{0.5}$  and SSM species contain an adsorbed  $\text{O}_2$  molecule on some of their  $\text{FeN}_x\text{C}_y$  surface sites and whether interactions with  $\text{O}_2$  on  $\text{FeN}_x\text{C}_y$ -type species can be differentiated from  $\text{O}_2$  interactions with less active NC and Fe-based species.

**3.3. In Situ Characterization at 100 mTorr  $\text{O}_2$  and 80 °C: nAP-XPS and sXAS.** The first proposed step of the ORR is the adsorption of an  $\text{O}_2$  molecule to a catalytically active site,<sup>74</sup> and so identifying adsorbing sites is a necessary component of understanding the ORR. Additionally, most PEMFCs are operated at elevated temperature, with 80 °C the standard value. Therefore, both XP and sXA spectra were collected on the exact same area of analysis as the UHV measurements (Figures 3 and 4) in an atmosphere of 100 mTorr of  $\text{O}_2$  at 80 °C, such that changes in both nitrogen and iron chemistry can be observed. Within XPS N 1s spectra, previous studies have shown slight changes in the N 1s when NC and Fe–N–C samples have been exposed to  $\text{O}_2$ .<sup>52,53</sup> Assuming the adsorption is a relatively weak interaction between the  $\text{O}_2$  molecule and an adsorbing atom, the electron density of the adsorbent will be disrupted and a slight shift will result in the XP spectra. To isolate these subtle changes, a difference spectrum can be calculated by subtracting the spectrum acquired in UHV from that acquired in the  $\text{O}_2$  atmosphere. With this convention, a negative peak in the difference spectrum is indicative of an adsorbing species, as there is now less signal at the position of an adsorbent because of the shift induced by the covalent interaction with the  $\text{O}_2$  molecule.<sup>52</sup> The position of this negative peak can then be correlated with a nitrogen species as in assigning components of a curve fit to specific species. An experimentally determined threshold is applied to the difference spectra to remove minor features—the threshold is set by repeating the N 1s measurement with identical conditions on a single spot to account for instrumental fluctuations. Using this method, any adsorbing sites occurring in such a low density that their change due to  $\text{O}_2$  exposure is less than the changes in spectra due to the repeatability of the same measurement are not included.

Figure 6a shows N 1s spectra (normalized by the total peak area) acquired in UHV conditions at RT and in 100 mTorr  $\text{O}_2$  at 80 °C, whereas Figure 6b shows the resulting difference spectra. Adsorption features in the difference spectra can be assigned to either primarily hydrogenated nitrogen (higher BE) or primarily electron-rich pyridine-like nitrogen species (lower BE), with some subtle differences present in the electron-rich adsorption peaks and peak positions. Overall, the  $\text{MOF-Fe}_{0.5}$  sample, NS-2, and NS-3 all result in difference spectra indicating that adsorption occurs at both hydrogenated nitrogen and pyridine-like nitrogen to a fairly even degree, with the  $\text{MOF-Fe}_{0.5}$  sample showing a slight preference for adsorption to hydrogenated species. The NS-1 and SSM samples show significant adsorption only at either low or high BE, with NS-1 having a preference for adsorption to electron-rich species and SSM for adsorption to hydrogenated nitrogen species. By relating the information provided in these difference spectra to the conclusions from ex situ characterization, it is possible to gain insight into  $\text{O}_2$  interactions.





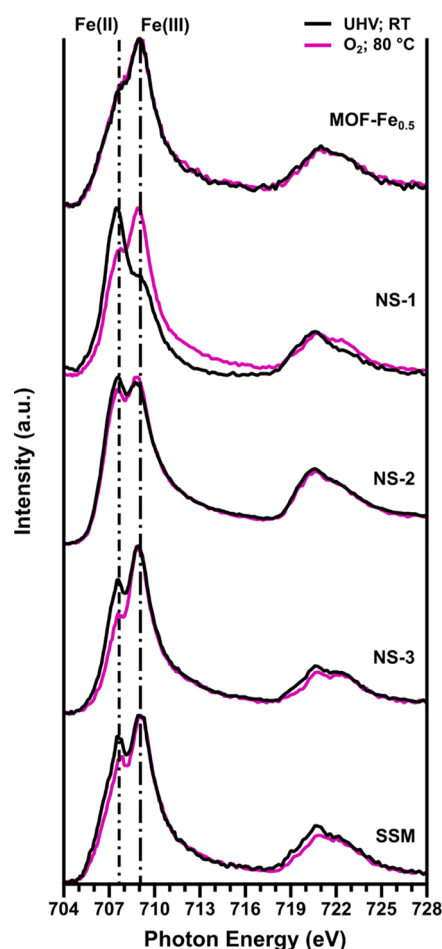
**Figure 6.** Overlaid, background subtracted N 1s spectra normalized to the total region area are displayed for both UHV, RT, and 100 mTorr O<sub>2</sub>, 80 °C environments for all samples (a), alongside the resulting difference spectra (b). A dashed vertical line is included at ~398.6 eV to draw attention to the shift in adsorption peak position unique to NS-1.

Toward this end, we first consider the case of NS-2 and NS-3, samples which do not have any FeN<sub>x</sub>C<sub>y</sub> species. These two samples have very similar UHV N 1s spectra and very similar difference spectra, suggesting that they have the same nitrogen sites available for O<sub>2</sub> adsorption. There are two negative peaks in the difference spectra of NS-2 and NS-3, present at positions most likely corresponding to hydrogenated nitrogen (~400.6 eV) and electron-rich nitrogen (~398 eV), species which have previously been shown to adsorb O<sub>2</sub> in similar Fe-free NC materials.<sup>52</sup> Considering the two most active samples next, both the MOF-Fe<sub>0.5</sub> and the SSM difference spectra only show peaks consistent with adsorption to NC species. Adsorption occurs at both classes of NC species on the MOF-Fe<sub>0.5</sub> sample, whereas the SSM sample has one clearly defined adsorption peak at 401.0 eV—this value is between that of hydrogenated nitrogen species and graphitic nitrogen species, and so, a clear assignment of adsorption to one class of nitrogen functionality is not possible. Interestingly so, for the MOF-Fe<sub>0.5</sub> and SSM catalysts, no adsorption peaks are visible within the BE range (400–398.5 eV) where the N–Fe bonds from FeN<sub>x</sub>C<sub>y</sub> species are typically assigned. Therefore, we can consider the desorption/adsorption events occurring on MOF-Fe<sub>0.5</sub> and SSM samples from UHV/100 mTorr O<sub>2</sub> as most likely because of N-functionalities on the surface of the N-doped carbon matrix. The results for NS-1 present a unique case. The main

adsorption peak is present at 398.6 eV and is asymmetric with a shoulder at lower BE consistent with the electron-rich adsorption features present in the rest of the sample set. However, the main position of this feature is unique across the sample set as highlighted by the dashed vertical line added to Figure 6b at 398.6 eV. There are two possible assignments for this unique adsorption feature. Either NS-1 contains a different type of electron-rich NC species at the surface or adsorption is occurring at an FeN<sub>x</sub>C<sub>y</sub> site. A recent study has assigned an FeN<sub>x</sub>C<sub>y</sub> species to this BE value.<sup>71</sup> Additionally, in our prior study of O<sub>2</sub> adsorption on Fe-free NC samples, not a single Fe-free NC sample (including the Fe-free analogues to the NS samples included in this study) demonstrated an adsorption peak at this BE value.<sup>52</sup> Therefore, we attribute the peak at 398.6 eV to adsorption of O<sub>2</sub> at an FeN<sub>x</sub>C<sub>y</sub> moiety.

An additional set of measurements were performed in a humidified O<sub>2</sub> atmosphere by introducing 100 mTorr of H<sub>2</sub>O vapor to the already present 100 mTorr of O<sub>2</sub>. The sequential nature of this approach enables investigation of adsorption of O<sub>2</sub> and/or H<sub>2</sub>O molecules to any available sites (Figure S2), as it is possible that humidification may change the adsorption properties of O<sub>2</sub> to different sites. Across the sample set, a slight increase in adsorption at lower BE values is observed, suggesting that water vapor adsorption to pyridinic nitrogen species, and in the case of NS-1, FeN<sub>x</sub>C<sub>y</sub> species, is favorable. Interestingly, the samples with the most O<sub>2</sub> adsorption to hydrogenated nitrogen (MOF-Fe<sub>0.5</sub> and SSM) show no increase in adsorption to hydrogenated nitrogen and even a very slight decrease in this feature. This suggests that water vapor adsorption to such species, in the context of a sample with adsorbed O<sub>2</sub> already present, is unfavorable. Further evaluation of competitive adsorption between O<sub>2</sub> and H<sub>2</sub>O vapor through the introduction of premixed humidified O<sub>2</sub> with systematically varied relative humidity to possibly correlate adsorption with gas transport properties of different catalysts is feasible; however, this is beyond the scope of this work.

Changes in the Fe oxidation state upon exposure to O<sub>2</sub> were evaluated using sXAS performed in the same 100 mTorr O<sub>2</sub>, 80 °C environment (Figure 7). Previous studies have hypothesized and provided evidence that upon O<sub>2</sub> adsorption, the iron atom in an FeN<sub>x</sub> site will oxidize from Fe(II) to Fe(III).<sup>26,32,40–42</sup> The majority of Fe on the surface of the MOF-Fe<sub>0.5</sub> sample is in the Fe(III) state, and there is little to no change in the oxidation state upon exposure to O<sub>2</sub> for this sample. Although the MOF-Fe<sub>0.5</sub> sample displayed the least amount of change in the iron oxidation state across the sample set, NS-1 holds the other extrema with the greatest degree of change in the oxidation state. In UHV, NS-1 is primarily Fe(II); however, upon exposure to O<sub>2</sub>, the surface oxidation state switches to primarily Fe(III) species. The significant change in the oxidation state of surface Fe in NS-1 corroborates the assignment of the nAP-XPS N 1s adsorption peak identified as an FeN<sub>x</sub>C<sub>y</sub> species. Both NS-2 and NS-3 show an increase in Fe(III) character, which must be due to species other than FeN<sub>x</sub>C<sub>y</sub> since no FeN<sub>x</sub>C<sub>y</sub> species are expected for these samples because of the absence of doublets in their Mössbauer spectra. NS-2 has a very slight increase in Fe(III) character and in O<sub>2</sub>, is slightly more Fe(III) rich than Fe(II), and yet still has the most even distribution of oxidation states in the dataset. NS-3 experiences a slightly larger relative increase in Fe(III) signal upon exposure to O<sub>2</sub>, resulting in an O<sub>2</sub> atmosphere spectrum that is primarily Fe(III). Therefore,



**Figure 7.** sXAS Fe  $L_{3,2}$ -edge spectra collected at UHV and RT, and 100 mTorr  $O_2$  and 80  $^{\circ}C$  are displayed for all samples. Dashed vertical lines were added to XA spectra to indicate literature positions obtained on references for Fe(II) and Fe(III) species.

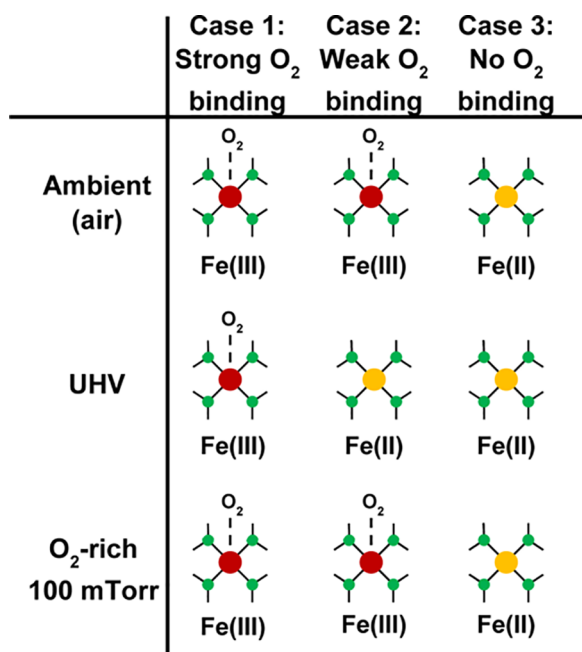
the most likely explanation for the change in the oxidation state is a partial conversion of surface oxide species present on (metallic or carbide) Fe particles, from FeO to  $Fe_3O_4$  or  $Fe_2O_3$ , or from  $Fe_3O_4$  to  $Fe_2O_3$ .<sup>75</sup> As shown in Figure 2, NS-2 has Fe particles on the order of tens of nanometers, whereas NS-3 has highly dispersed Fe either in the form of ultrafine (a few nm or less) particles or atomically dispersed complexes; it follows that NS-2 experiences less change upon exposure to  $O_2$ . Finally, there is a slight increase in the Fe(III) character for the SSM sample, representing an intermediate case to MOF- $Fe_{0.5}$  and NS-1. To explain the different responses to the introduction of  $O_2$  observed through sXAS among the five samples, we now present a discussion of the results in the context of the results of all of the characterization techniques employed and the differences in processing history of the samples.

#### 4. DISCUSSION

Having thoroughly characterized each material with STEM-EDS,  $^{57}Fe$  Mössbauer spectroscopy, and XPS and sXAS (both UHV and in an  $O_2$  atmosphere), the properties of each sample and how these properties drive the material's interactions with  $O_2$  can be interpreted. Two of the model NS samples (NS-2 and NS-3) were not found to contain any  $FeN_xC_y$ -type species and therefore provide excellent baseline examples of how less

active or inactive NC and Fe species interact with  $O_2$ . Indeed, electrochemical evaluation through RRDE shown in Figure S3 indicates little activity for these two samples. NS-2 and NS-3 had very similar size, shape, morphology, spatial distribution of nitrogen, and chemical speciation of nitrogen, and therefore, it follows that NS-2 and NS-3 had essentially the same set of interactions between nitrogen species and  $O_2$ , with adsorption present at electron-rich, pyridine-like species as well as hydrogenated nitrogen species, a result very similar to that of Fe-free NS analogues previously published.<sup>52</sup> The major difference between these samples was the distribution of their Fe species—NS-2 had regularly occurring Fe particles, whereas NS-3 contained highly dispersed Fe species, revealed by Mössbauer to be primarily  $Fe_3C$ . This difference resulted in a different initial distribution of surface Fe oxidation states, as NS-2 had the most balanced ratio of Fe(III)/Fe(II) within the sample set, whereas NS-3 had more Fe(III) character than Fe(II) as revealed by UHV sXAS. Upon exposure to  $O_2$ , both samples showed a similar degree of oxidation, with an increase in the ratio of Fe(III)/Fe(II). Because of the chemical states of Fe as revealed in Mössbauer spectroscopy, it is hypothesized that this change in the oxidation state was due to an enrichment of Fe(III) species within the extreme surface oxide layers of metallic, carbide, or nitride iron species present. It is not expected that the interactions between N-containing species and  $O_2$  in these samples have any connection to the interactions between the Fe-containing species that result in the change in the Fe oxidation state. The relative increase in the Fe(III)/Fe(II) ratio upon exposure to  $O_2$  among NS-2 and NS-3 is greater than that of both the MOF- $Fe_{0.5}$  and SSM samples, which are known to be ORR active.<sup>10,32,61,76</sup>

The MOF- $Fe_{0.5}$ , NS-1, and SSM samples all contain  $FeN_xC_y$  species, as shown by the presence of doublets D1–D4 in their Mössbauer spectra (Figure 5). However, these materials have significantly different structures, and all have variations in the exact distribution of  $FeN_xC_y$  moieties present. To aid in the discussion and differentiation of  $O_2$  adsorption behavior observed among these three samples, a cartoon depiction of three cases of  $O_2$  interaction with a generalized  $FeN_xC_y$  site is displayed in Figure 8. Note that exact details of the structure, coordination, geometry, and  $O_2$  orientation are not meant to be covered by this cartoon, rather it is used to highlight changes in the iron oxidation state that can be detected spectroscopically in different environments because of either the presence or absence of adsorbed  $O_2$ . Case 1 represents a strong interaction between  $O_2$  and the  $FeN_xC_y$  species. This results in the adsorption of  $O_2$  from ambient air, and the presence of an Fe(III) center during characterization at such conditions ( $^{57}Fe$  Mössbauer spectroscopy, Figure 5). Upon exposure to UHV environments (XPS and sXAS in Figures 3 and 4), the strongly adsorbed  $O_2$  molecules are still present, and therefore, the Fe(III) state is preserved. In this case, no changes in adsorption behavior or iron oxidation state from UHV to the  $O_2$ -rich atmosphere (nAP-XPS and sXAS, Figures 6 and 7) will be observed. For Case 1, the Fe center remains as Fe(III) because of the persistence of adsorbed  $O_2$  throughout all experimental conditions investigated in this work. Case 2 represents a weaker interaction between  $O_2$  and  $FeN_xC_y$  sites. In Case 2,  $O_2$  is still adsorbed at ambient conditions, resulting in an Fe(III) center as in Case 1. The major distinction occurs upon exposure to UHV—this hypothesized weaker interaction results in desorption of  $O_2$  and detection of an Fe(II) center during measurements taking place under UHV conditions.



**Figure 8.** Scheme of different O<sub>2</sub> adsorption behavior on FeN<sub>x</sub>C<sub>y</sub> sites for each of the relevant atmospheres corresponding to different characterization atmospheres. Green circles are used to represent nitrogen atoms, while Fe(III) cations are represented by red circles and Fe(II) cations by yellow circles.

Upon re-exposure to O<sub>2</sub>, this FeN<sub>x</sub>C<sub>y</sub> site readsorbs O<sub>2</sub>, and therefore, an Fe(III) center is detected. It is likely that Fe-free NC sites such as pyridine or hydrogenated nitrogen follow this case as well, as they are identifiable in nAP-XPS measurements (Figure 6). Finally, Case 3 represents FeN<sub>x</sub>C<sub>y</sub> sites that do not interact with O<sub>2</sub> in any atmosphere, and therefore are always in an Fe(II) state. Case 3 is representative of inaccessible FeN<sub>x</sub>C<sub>y</sub> species, and/or FeN<sub>x</sub>C<sub>y</sub> species in which O<sub>2</sub> interaction is unfavorable, whereas Case 1 and Case 2 are representative of surface FeN<sub>x</sub>C<sub>y</sub> species with different O<sub>2</sub> binding behavior. The latter is most likely tuned by differences in the properties of their surrounding carbonaceous matrix or the exact structure/coordination of the site.

We first discuss MOF-Fe<sub>0.5</sub>, as it only contains two doublet features in its Mössbauer spectrum, both assigned to the FeN<sub>x</sub>C<sub>y</sub> family of species. FeN<sub>x</sub>C<sub>y</sub> species assigned to D1 are considered to be O<sub>2</sub>-accessible at the surface and in an Fe(III) state due to adsorbed O<sub>2</sub>, whereas D2 is inaccessible and therefore has no adsorbed O<sub>2</sub>, and is in an Fe(II) state. Therefore, we can conclude that D2 most likely follows Case 3 and does not interact with O<sub>2</sub>. UHV sXAS of MOF-Fe<sub>0.5</sub> shows the highest Fe(III)/Fe(II) ratio of all samples, and there is little to no change in the Fe(III)/Fe(II) ratio from sXAS upon re-exposure to O<sub>2</sub>. This result suggests that O<sub>2</sub> molecules are bound to the Fe(III)N<sub>x</sub>C<sub>y</sub> structures of D1 in all environments investigated, preventing any detectable change in the oxidation state of Fe when O<sub>2</sub> is introduced, as described in Case 1. The strong binding of O<sub>2</sub> on all the Fe-based surface sites in MOF-Fe<sub>0.5</sub> is in line with a recent CO chemisorption study on an exact analogue of the same sample, showing that a fraction of FeN<sub>x</sub> surface sites could be freed from preadsorbed O<sub>2</sub> only after a cleaning treatment at a temperature of at least 300 °C, but a cleaning temperature of 600 °C was necessary to remove most or all preadsorbed O<sub>2</sub>.<sup>67</sup> nAP-XPS results also indicate no

adsorption peak at a BE value that could be assigned to an adsorption on FeN<sub>x</sub>C<sub>y</sub> species, corroborating the in situ sXAS results. Therefore, we conclude that the MOF-Fe<sub>0.5</sub> sample contains only FeN<sub>x</sub>C<sub>y</sub> species that fall into Case 1 or Case 3, where those represented by D1 in Figure 5 are surface sites with strongly bound O<sub>2</sub> that most likely contribute to catalytic activity, and those represented by D2 are not O<sub>2</sub>-accessible and therefore are unlikely to contribute to ORR activity.

We consider SSM next, which has slightly different O<sub>2</sub> interaction behavior relative to the MOF-Fe<sub>0.5</sub> sample. Within the Mössbauer spectrum for SSM, three doublets are present that correspond to FeN<sub>x</sub>C<sub>y</sub> species. D1 and D2 are present within MOF-Fe<sub>0.5</sub> as well, whereas D3 is unique to this sample. D2 and D3 are assigned to similar species because both are Fe(II)N<sub>x</sub>C<sub>y</sub> and are likely inaccessible as they do not show interactions with O<sub>2</sub> from the air. This hypothesis suggests that any changes in Fe(III)/Fe(II) ratio detected in sXAS experiments must be due to either FeN<sub>x</sub>C<sub>y</sub> species contributing to the D1 signal or another Fe-based species like in NS-2 or NS-3. Indeed, there is a slight increase in Fe(III)/Fe(II) in the O<sub>2</sub>-rich environment when compared to the UHV environment detected by sXAS (Figure 7). Although the SSM sample's Mössbauer spectrum does show a small contribution from γ-Fe, it is only 1.1% of the total signal and therefore unlikely to account for the change in the oxidation state. Furthermore, the SSM sample was acid treated to remove particulate iron, and therefore, the remaining γ-Fe must be coated by a carbon shell, rendering it also unlikely to be O<sub>2</sub>-accessible or even detectable by surface-sensitive sXAS measurements. Therefore, we hypothesize that SSM contains a distribution of FeN<sub>x</sub>C<sub>y</sub> sites that are identical within its Mössbauer spectrum and contribute to D1 and yet have different strengths of O<sub>2</sub> adsorption. The majority of D1 FeN<sub>x</sub>C<sub>y</sub> sites likely follow Case 1 as in MOF-Fe<sub>0.5</sub>, but a small amount must follow Case 2 to explain the slight increase in Fe(III) character in O<sub>2</sub>-rich sXAS measurements. This difference in adsorption strength is hypothesized to be due to slight differences in the carbon matrix surrounding the FeN<sub>x</sub>C<sub>y</sub> site, for example, in-plane versus edge location and degree of graphitization versus defected nature of the carbon plane.<sup>18</sup> As Mössbauer spectroscopy will not easily identify differences beyond the first coordination shell of iron, it is conceivable that identical spectral components within a Mössbauer spectrum are not located in the same environment and therefore have different O<sub>2</sub> adsorption strengths, influenced by the properties of the carbon structure. Indeed, the presence of both D2 and D3 within SSM, which are similar Fe(II)N<sub>x</sub>C<sub>10</sub> species with D3 hypothesized to be embedded in a highly ordered graphitic carbon plane, while D2 may be present in a less ordered carbon plane, implies that D1 may contain (spectroscopically identical) sites in different carbon environments. As the SSM sample contains both micro- and meso-pores (while mostly micropores are present in MOF-Fe<sub>0.5</sub>), it is possible that FeN<sub>x</sub>C<sub>y</sub> sites hosted within different pore structures explains the hypothesized difference in O<sub>2</sub> binding strength and the observed change in iron oxidation state upon exposure to the O<sub>2</sub>-rich environment.

Finally, we consider NS-1 which has two doublets in its Mössbauer spectrum, D1 and D4. Although D1 must fall into Case 1 or Case 2 because of its assignment to Fe(III)N<sub>x</sub>C<sub>y</sub> with adsorbed O<sub>2</sub> present, D4 is assigned to an Fe(II)N<sub>x</sub>C<sub>y</sub> species in a tetrahedral configuration. This assignment containing an Fe(II) center suggests that D4, like D2 and



D3, is likely  $O_2$  inaccessible and can be assumed to belong to Case 3. Under UHV conditions, NS-1 has the lowest Fe(III)/Fe(II) ratio (Figure 4) of all samples. This suggests that the adsorbed  $O_2$  (present on D1 sites in the Mössbauer spectrum acquired in air, Figure 5) has desorbed in UHV conditions. Upon re-exposure to  $O_2$  the Fe(III)/Fe(II) ratio drastically switches to Fe(III) rich (Figure 7), and an adsorption peak assignable to  $FeN_xC_y$  species is present in the nAP-XPS difference spectrum (Figure 6). This suggests that surface  $FeN_xC_y$  sites in NS-1 follow primarily Case 2 and interact with  $O_2$  more weakly than those of MOF- $Fe_{0.5}$  and also more weakly than the majority of surface  $FeN_xC_y$  sites in the SSM sample. Although, because of its synthesis, most of the sites present in the model NS-1 sample should be accessible for catalyzing the ORR, it is expected that they have a lower ORR activity than those in MOF- $Fe_{0.5}$  and SSM. The ORR activity of NS-1 was screened by RRDE in Figure S3, with a resulting half-wave potential estimated between  $\sim 0.57$  and  $\sim 0.64$  V versus RHE, depending on the catalyst loading used. This value is  $\sim 0.2$ – $0.25$  V lower than the more active SSM and MOF- $Fe_{0.5}$  catalysts.<sup>10,33,62</sup> From this analysis, we cannot definitively conclude whether some  $FeN_xC_y$  sites in NS-1 interact strongly with  $O_2$  and follow Case 1 or not; however, the strikingly larger magnitude of change in iron oxidation state observed for NS-1 relative to the rest of the dataset certainly suggests that the majority of  $FeN_xC_y$  sites interact weakly with  $O_2$ , and is supported by the lower activity of this sample.

Each of the three samples containing  $FeN_xC_y$  sites shows different  $O_2$  adsorption behavior; however, this is more likely to be due to differences in the local carbon structure than due to detectable differences in the  $FeN_xC_y$  species. Only species that contribute to the D1 feature (observed in the Mössbauer spectrum measured in air) are present in an Fe(III) state in air (i.e., with  $O_2$  adsorbed), and therefore, the other three  $FeN_xC_y$  species identified (D2–D4) are either  $O_2$ -inaccessible or unfavorable for  $O_2$  adsorption. Therefore, we attribute differences in  $O_2$  adsorption strengths among the different samples to similar D1 sites but that are embedded in different local environments. This result has two major implications, the first of which highlights the ambiguity and potential pitfalls of treating all  $FeN_xC_y$  species contributing to a given spectroscopic feature in a Mössbauer spectrum acquired in ambient conditions as identical. In this work, we discuss the D1 feature in Mössbauer spectrum often attributed to catalytic activity in detail; however, this principle can be extended to other characterizations (such as XAS and XPS where detailed curve-fitting is often employed to identify  $FeN_xC_y$  species) within this work as well. Depending on the nature of the work, it can be very important to consider the exact spectroscopic information that an assignment of a component to a physical species is based upon and whether other properties outside of those measured may influence the catalytic properties of a given species. Multi-technique studies that investigate different relevant environments are also necessary to understand such complex chemical species and systems. The second major implication is that the nature of the carbon matrix an active site is hosted in can influence its  $O_2$  interaction strength and therefore likely its specific activity (turnover frequency) as well. Of the three samples with  $FeN_xC_y$  sites, NS-1 experienced a much lower pyrolysis temperature ( $600^\circ\text{C}$ ) than MOF- $Fe_{0.5}$  and SSM ( $\geq 1000^\circ\text{C}$ ), and NS-1 is less active than the MOF- $Fe_{0.5}$  and SSM samples. This should result in more ordered, graphitic carbon in the MOF- $Fe_{0.5}$  and SSM samples than in

NS-1. Considering the weak  $O_2$  interaction characteristic of the model NS-1 sample, it suggests that increased graphitization of the carbon matrix may be responsible for stronger interactions with  $O_2$ , among other beneficial changes in conductivity and stability in acidic medium, ultimately resulting in higher ORR activity.<sup>18,68</sup> This result highlights the importance of further characterization studies to continue to gain further clarity and improve the reliability in identifying different strengths of  $O_2$  interaction with  $FeN_xC_y$  moieties and to better understand their specific structures and properties for correlation with ORR activity and fuel cell performance.

## 5. CONCLUSIONS

In this study, five Fe–N–C samples are thoroughly characterized, both ex situ under UHV (STEM–EDS, XPS, and sXAS), ex situ under ambient conditions ( $^{57}\text{Fe}$  Mössbauer spectroscopy), and in situ in the presence of  $O_2$  at  $80^\circ\text{C}$  (nAP-XPS and sXAS). Based on comprehensive characterization results, this work provides further evidence of the presence of adsorbed  $O_2$  species on certain  $FeN_xC_y$  species, while also suggesting that other  $FeN_xC_y$  species may not interact with  $O_2$  as strongly or at all. We provide evidence in support of the hypothesis that the  $FeN_xC_y$  site present in the most active samples, MOF- $Fe_{0.5}$  and SSM, and assigned to D1 in Mössbauer spectra, strongly adsorbs  $O_2$  from air such that they exist in an Fe(III) state. The model sample which also primarily contains iron in  $FeN_xC_y$ -type sites, NS-1, contains  $FeN_xC_y$  sites that do not interact as strongly with  $O_2$  as those in the MOF- $Fe_{0.5}$  and SSM samples. This is most likely due to a difference in the localized carbon matrix surrounding the  $FeN_xC_y$  sites produced at a significantly lower heat treatment temperature— $600^\circ\text{C}$  for NS-1 versus  $\geq 1000^\circ\text{C}$  for MOF- $Fe_{0.5}$  and SSM. This study has significant implications on further synthesis efforts and spectroscopic characterization studies toward the goal of identifying, differentiating, and producing exact species within the  $FeN_xC_y$  family of structures. This will enable further evaluation of their relative activity, both for ORR and for other relevant catalytic reactions, and highlights the necessity of conducting multitechnique characterization studies encompassing measurements in varied environments.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcc.0c05244>.

C 1s nAP-XPS data and accompanying discussion;  $^{57}\text{Fe}$  Mössbauer spectroscopy fitting parameters; results of nAP-XPS measurements in a humidified  $O_2$  atmosphere; RRDE measurements of samples NS-1, NS-2, and NS-3; and associated discussion and experimental details (PDF)

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## Notes

The authors declare no competing financial interest.

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