

# Mechanism and Effects of Coverage and Particle Morphology on Rh-Catalyzed NO–H<sub>2</sub> Reactions

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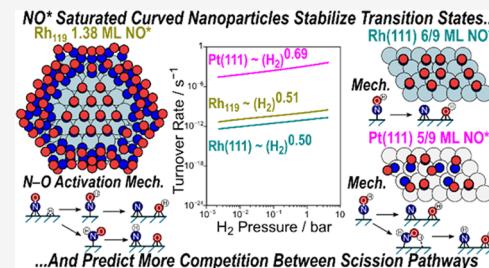
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**ABSTRACT:** Three-way catalysts, which typically include Rh, are used to treat automotive exhaust and reduce nitric oxide (NO) with a combination of CO and H<sub>2</sub>, although few kinetic and theoretical investigations have studied NO–H<sub>2</sub> reactions on Rh. Here, we examine NO activation, which is believed to control the rate of NO reduction, through direct, NO-assisted, and H<sub>2</sub>-assisted dissociation pathways on NO\*-covered Rh(111) surfaces and Rh nanoparticle models using density functional theory (DFT) and contrast these results with previously reported data on Pt(111) surfaces. Saturation coverages—determined by incrementally adsorbing NO\*—were determined to be 5/9 ML NO\* on Pt(111), 6/9 ML on Rh(111), and 1.38 ML on a 201-atom Rh nanoparticle (~2 nm). Free energies of activation and reaction were calculated by DFT for the pathways at these coverages and interpreted through maximum rate analyses over a wide range of NO and H<sub>2</sub> pressures to predict NO activation mechanisms and kinetics. Rates are inhibited by NO at all relevant NO pressures and to similar extents on all catalyst models. On Pt(111) surfaces, NO is activated through NOH\* formation and dissociation (to N\* and OH\*) at low H<sub>2</sub> pressures (<0.5 bar) and through HNOH\* (to HN\* and OH\*) at high H<sub>2</sub> pressures (>0.5 bar), resulting in a shift in the H<sub>2</sub> dependency from half order to first order. NO is activated through NOH\* formation and dissociation on Rh(111) at all relevant H<sub>2</sub> pressures, with all other pathways being >1000 times slower. NO activation occurs with similar rates through either NOH\* or HNO\* on Rh particles at 1.38 ML NO\*, indicating that these high coverages can shift mechanistic preferences. Predicted NO consumption rates are half order in H<sub>2</sub> on Rh particles and surfaces and are similar in magnitude to one another, despite shifts in the mechanism; these rates on Rh are 10<sup>6</sup> times slower than Pt, consistent with the prior reports that demonstrate that equal turnover rates for Pt at 60 °C occur for Rh at 200 °C. This work demonstrates that strong N=O bonds activate through bimolecular (assisted) pathways and that particle models of catalysts enable high coverages of strongly bound species, which can then influence relative rates and mechanistic predictions.



## 1. INTRODUCTION

Nitric oxide (NO) is an undesirable constituent of automotive exhaust that is removed from exhaust streams from stoichiometric engines using three-way catalysts. These catalysts contain supported clusters of Pd, Pt, and Rh on various oxide supports<sup>1–4</sup> and convert NO, CO, and uncombusted hydrocarbons into N<sub>2</sub>, CO<sub>2</sub>, and H<sub>2</sub>O. NO can be reduced on these catalysts by H<sub>2</sub>, CO, NH<sub>3</sub>, or hydrocarbons;<sup>2,5–8</sup> however, these catalysts are insufficiently reactive at low temperatures (<600 K),<sup>9</sup> resulting in uncontrolled emissions during automotive startup. Rh is a commonly used catalyst in automotive treatments because it exhibits higher reactivity toward NO<sub>x</sub> reduction (by CO or hydrocarbon reductants) in automotive exhaust streams than other Pt-group metals.<sup>10,11</sup> H<sub>2</sub>, however, is another possible reductant that can be formed from the dehydrogenation of hydrocarbons or by the water gas shift reaction. In the case of NO reduction by H<sub>2</sub>, Rh is less reactive than Pt or Pd.<sup>12,13</sup> NO reduction with H<sub>2</sub> occurs at temperatures above 433 K at NO pressures of 3–24 mbar and H<sub>2</sub> pressures of 12–120 mbar on Pt-group metals.<sup>14,15</sup> At low temperatures (<473 K), undesirable N<sub>2</sub>O and NH<sub>3</sub> side products are formed and do

not decompose.<sup>15</sup> Understanding the mechanisms and processes that limit NO–H<sub>2</sub> reactions on Rh are necessary for the development of more active catalysts to meet ever-growing emission standards.<sup>16</sup>

Several reports<sup>4,17–22</sup> have proposed that NO dissociation occurs directly through reaction with a vacant site (\*) on metal surfaces



The newly formed N\* can either combine with other adsorbed N\* species to form N<sub>2</sub>, combine with NO\* to form N<sub>2</sub>O, or combine with H\* to ultimately form NH<sub>3</sub>. Indeed, direct dissociation of NO\* is observed during surface science studies on Rh(111) at low NO\* coverages (<0.5 ML).<sup>23</sup> NO\*

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dissociation is self-inhibiting<sup>23</sup> as  $\text{NO}^*$  coverage increases, suggesting that direct dissociation only occurs with isolated  $\text{NO}^*$ . Under relevant catalytic conditions (443 K,  $P_{\text{NO}} = 4.5\text{--}24$  mbar,  $P_{\text{H}_2} = 12\text{--}120$  mbar), the Rh surface is saturated by  $\text{NO}^*$ , as observed by in situ Fourier transform infrared (FTIR) measurements.<sup>15</sup> Additionally, this direct dissociation mechanism does not directly explain first-order  $\text{H}_2$  dependence on NO consumption rates on Pt and half-order  $\text{H}_2$  dependence on Rh catalysts at relevant pressures.<sup>14,15</sup> This  $\text{H}_2$  dependence implies that H-atoms are involved in the dissociation of  $\text{NO}^*$  or remove site-blocking intermediates. A mechanism consistent with the first-order  $\text{H}_2$  dependence on Pt catalysts involves H-assisted NO dissociation in which H first weakens the strong  $\text{N}=\text{O}$  bond by forming  $\text{NOH}^*$  or  $\text{HNO}^*$  species on the catalyst surface



followed by further hydrogenation to  $\text{HNOH}^*$



requiring a total of two  $\text{H}^*$  atoms. If the formation or dissociation of  $\text{HNOH}^*$  is kinetically relevant, then a first-order dependence in  $\text{H}_2$  on a  $\text{NO}^*$ -covered surface would result, as observed for Pt at  $\text{H}_2/\text{NO}$  ratios  $< 1000$  where  $\text{H}^*$  is not an abundant surface intermediate. These hydrogenated  $\text{NH}_x\text{OH}_y^*$  species can then cleave to form  $\text{N}^*$ ,  $\text{NH}_x^*$ ,  $\text{O}^*$ , and  $\text{OH}^*$  species, which can subsequently react with one another and  $\text{NO}^*$  or  $\text{H}^*$  to form all observed products.

Despite the ubiquity of Rh in automotive catalysis,<sup>11</sup> few kinetic studies on supported Rh catalysts have been performed for NO– $\text{H}_2$  reactions. Surface science studies on Rh(111) and Rh(533) surfaces have demonstrated oscillatory kinetics,<sup>24–26</sup> and that the concentrations of products are out of phase with the reactants. These results suggest that NO– $\text{H}_2$  reactions are limited by the desorption of products or removal of site-blocking species (e.g.,  $\text{N}^*$  or  $\text{O}^*$ ). These oscillations are not present at high pressures on supported Rh catalysts. Exposing a Rh(111) surface covered in  $\text{NO}^*$  with a  $\text{H}_2$  atmosphere results in the desorption of  $\text{NO}^*$  followed by  $\text{H}_2$  adsorption in the newly formed vacancy and subsequent reaction with  $\text{NO}^*$  to form  $\text{NH}_x^*$  species.<sup>27</sup> Observations at catalytic conditions on supported Rh indicate that the consumption of NO and formation rates of every observed product ( $\text{N}_2\text{O}$ ,  $\text{N}_2$ , and  $\text{NH}_3$ ) are promoted by  $\text{H}_2$  (at 443 K,  $P_{\text{NO}} = 4.5\text{--}24$  mbar,  $P_{\text{H}_2} = 12\text{--}120$  mbar); a half-order  $\text{H}_2$ -dependence is observed for NO consumption,  $\text{N}_2\text{O}$  and  $\text{N}_2$  formation, and between half- and first-order  $\text{H}_2$  dependence for  $\text{NH}_3$ ,<sup>15</sup> suggesting that H-assisted NO dissociation routes may dominate over direct routes or that  $\text{H}_2$  decreases the coverage of site-blocking species. A higher-order  $\text{H}_2$  dependence for  $\text{NH}_3$  formation indicates that branching points in the formation of  $\text{NH}_3$  vs  $\text{N}_2$  and  $\text{N}_2\text{O}$  products are  $\text{H}_2$ -dependent, while the ratio of  $\text{N}_2$  to  $\text{N}_2\text{O}$  is independent of NO and  $\text{H}_2$  pressure on both Rh and Pt catalysts.<sup>15,20,28</sup> These impacts of reactant pressure on product formation rates indicate that all products are formed from NO and  $\text{H}_2$  through a common intermediate, with  $\text{N}^*$  being previously proposed based on transient experiments examining  $\text{Pt/Al}_2\text{O}_3$ .<sup>20</sup> NO inhibits NO– $\text{H}_2$  rates on Rh, but only to the  $-0.2$  order,<sup>15</sup> in contrast to Pt where NO inhibits with orders of  $-1$  at high  $\text{NO}^*$  coverage.<sup>14</sup> Dinitrosyl complexes (such as

$\text{ONNO}^*$ ) are observed with in situ IR measurements at lower temperatures ( $\sim 300$  K) and these peaks quickly disappear above 423 K, possibly reflecting the formation of  $\text{N}_2\text{O}$  from preadsorbed NO on Rh through NO-assisted N–O dissociation reactions.<sup>29</sup> These kinetic data offer both direct and indirect evidence of NO– $\text{H}_2$  reaction routes in the absence of direct theoretical investigations of this reaction.

Previous density functional theory (DFT) studies on bare Rh(111) and (221) surfaces suggest that direct dissociation of NO is the most favorable route.<sup>30</sup> This is consistent with the observation of dissociative adsorption of NO at low coverages  $\text{NO}^*$ .<sup>23</sup> These bare surface results, however, neglect coverage effects, which can significantly alter reaction rates and preferred mechanisms. Additional mechanistic insights can be drawn from DFT studies on other platinum group metals. Theoretical investigations on Pd(211) surfaces show that  $\text{NO}^*$  activates through  $\text{H}_2$ -assisted pathways to form  $\text{HNOH}^*$  at higher temperatures ( $> 500$  K), while at low temperatures,  $\text{H}_2$  scavenges  $\text{O}^*$  to create empty sites where  $\text{NO}^*$  can then react via NO-assisted pathways to form  $\text{ONNO}^*$  that decomposes into  $\text{N}_2$  through  $\text{N}_2\text{O}$ .<sup>31</sup> Theoretical investigations on Pt(100) and (111) surfaces suggest that at low coverage and low  $\text{H}_2$  pressure,  $\text{NO}^*$  activates directly,<sup>22</sup> consistent with surface science observations,<sup>23</sup> but H-assisted routes dominate when co-adsorbed NO is present. Mechanistic investigations employing maximum rate analyses suggest that the kinetically relevant step in covered Pt(111) surfaces involves N–O scission and that the removal of site-blocking intermediates, such as  $\text{N}^*$  or  $\text{O}^*$ , by  $\text{H}_2$  is facile.<sup>32</sup> The relative rates of H-assisted routes on Pt(111), such as dissociation through  $\text{NOH}^*$  or  $\text{HNOH}^*$ , depend on the coverage of spectating species.<sup>14</sup> H-assisted routes occur with lower activation barriers,<sup>14</sup> indicating that direct routes are only feasible at low  $\text{H}_2$  pressures. These studies suggest that there are three possible ways NO activates during NO– $\text{H}_2$  reactions: a direct route where  $\text{NO}^*$  cleaves directly and  $\text{H}_2$  acts as an  $\text{O}^*$  scavenger, a NO\*-assisted route, which forms  $\text{N}_2$  and  $\text{N}_2\text{O}$  through  $\text{ONNO}^*$ , or H-assisted routes via hydrogenation reactions (eqs 2–5), which precede N–O dissociation; these three routes are examined in this study.

Bare or partially covered periodic surface models are commonly used to model catalytic reactions. These models, however, do not accurately capture co-adsorbate interactions, and the periodic nature of surface models can result in artifacts that overpredict barriers for reactions with positive activation areas.<sup>33</sup> Additionally, undercoordinated metal atoms, such as single-atom<sup>34,35</sup> catalysts, or defects and edges of curved nanoparticles can bind multiple adsorbates (previously demonstrated for  $\text{CO}^*$  or  $\text{H}^*$ ),<sup>33,36,37</sup> leading to supramonolayer coverages in conjunction with nanoparticle terrace saturation. The curvature of the particle therefore influences both the coverage of abundant surface intermediates as well as the site requirements for catalytic reactions (and thus their predicted turnover rates and kinetic behavior). The role of particle curvature has not yet been investigated for NO\* reduction and should be critical as these reactions occur at high  $\text{NO}^*$  coverages at even ppm levels of NO.

In this work, we assess direct, NO-assisted, and H-assisted NO cleavage pathways at 1.38 ML  $\text{NO}^*$  on  $\text{Rh}_{119}$  hemispherical nanoparticle models and contrast these results with the periodic surface Rh(111) model at 6/9 ML  $\text{NO}^*$ . Additional comparisons are made to Pt(111), which has been previously described in detail.<sup>14,32</sup> Particle models result

in lower barriers for reactions with negative activation areas, as the crowded  $\text{NO}^*$  adlayer is allowed to relax, while larger transition states are destabilized by dense  $\text{NO}^*$  adlayers resulting in larger barriers than those on surface models. Predicted rates on both  $\text{Rh}_{119}$  and  $\text{Rh}(111)$  models are similar in magnitude, but the mechanism and rate-determining steps predicted by the two DFT models differ, indicating the importance of particle models to obtain accurate assessments of high-coverage reactions.

## 2. COMPUTATIONAL METHODS

Periodic plane-wave density functional theory (DFT) calculations were run using the Vienna ab initio simulation package (VASP)<sup>38–41</sup> implemented in the computational catalysis interface (CCI).<sup>42</sup> Plane waves were constructed using projector-augmented wave (PAW) potentials with an energy cutoff of 400 eV.<sup>43,44</sup> The revised Perdew–Burke–Ernzerhof (RPBE) form of the generalized gradient approximation (GGA) was used to determine exchange-correlation energies.<sup>45–47</sup> Optimization calculations were performed in two steps, with both steps optimizing the structures until the force on any atom was  $<0.05$  eV  $\text{\AA}^{-1}$ . In the first step, wave functions were converged to within  $10^{-4}$  eV and forces were calculated using a fast Fourier transform (FFT) grid of  $1.5\times$  the plane-wave cutoff. In the second step, wave functions were converged to within  $10^{-6}$  eV and forces were calculated using a FFT grid  $2\times$  the plane-wave cutoff, with geometries then converged with these settings, which provide more accurate forces. Surface calculations were converged with a  $4\times 4\times 1$  Monkhorst–Pack sampling of the first Brillouin zone during geometric convergence, followed by a single-point calculation at an  $8\times 8\times 1$  sampling to compute final electronic energies.<sup>48</sup> For particle calculations, the Brillouin zone was sampled at the  $\Gamma$ -point in all calculations and the geometries were (as before) converged in a two-step scheme. These multistep optimization schemes implemented in CCI are  $4\text{--}7\times$  faster than analogous one-step calculations.<sup>42</sup>

Reaction pathways were estimated using the nudged elastic band (NEB)<sup>49,50</sup> method. NEB calculations used 16 images with wave functions converged to within  $10^{-4}$  eV with a FFT grid of  $1.5\times$  the plane-wave cutoff. The maximum force on any atom was  $<0.5$  eV  $\text{\AA}^{-1}$ . These pathways provided rough estimates of the transition states that were further refined using the Dimer method,<sup>51</sup> which optimizes a pair of structures to determine local curvature of the potential energy surface, locate saddle points, and compute transition-state energies. Dimer calculations were performed using the same convergence criteria as optimization calculations described above.

Frequency calculations were carried out using a fixed displacement method with two displacements for every intermediate and transition state. For surface calculations, all metal atoms were fixed and all adsorbate atoms (including spectating  $\text{NO}^*$  species) were displaced (vibrated). For particle calculations, atoms in reacting species and  $\text{NO}^*$  on five metal atoms of the (111) terrace were vibrated while all other atoms were fixed. Allowing every atom on every terrace site to relax results in the same activation energies (within 5 kJ mol<sup>-1</sup>, Figure S1) as this abridged sampling scheme while requiring  $4\times$  the computational resources. Low-frequency modes ( $<60$  cm<sup>-1</sup>) were replaced with 60 cm<sup>-1</sup>, as done in the previous work,<sup>52–55</sup> because low frequencies are inaccurately determined by DFT methods and contribute significantly to vibrational entropy, but to neglect these modes would

underestimate adsorbate entropy. These frequency calculations were used to determine zero-point vibrational energies (ZPVE) and temperature-corrected enthalpies ( $H$ ) and free energies ( $G$ ) using harmonic oscillator approximations for vibrational partition functions (see Section S2 of the Supporting Information (SI) for details) and combined with ideal gas treatments (for gas-phase molecules) of rotational and translational partition functions to give enthalpies

$$H = E_0 + \text{ZPVE} + H_{\text{vib}} + H_{\text{trans}} + H_{\text{rot}} \quad (6)$$

and free energies

$$G = E_0 + \text{ZPVE} + G_{\text{vib}} + G_{\text{trans}} + G_{\text{rot}} \quad (7)$$

for all adsorbate, reactant, product, and transition states at 423 K.

Two types of models were considered for this study: surface models and particle models. Surface calculations remain ubiquitous but do not accurately model reactions at high co-adsorbate coverages because artifacts in co-adsorbate interactions arise at high coverages from the periodic nature of these calculations.<sup>56,57</sup> To determine the saturation coverages, surfaces were filled incrementally starting from a bare surface and adding 1 NO to every possible adsorption site. The minimum energy configuration for each intermediate filling was used to calculate a differential binding energy ( $\Delta E_{\text{diff}}$ ), which is the binding energy for each stage of filling, i.e.,

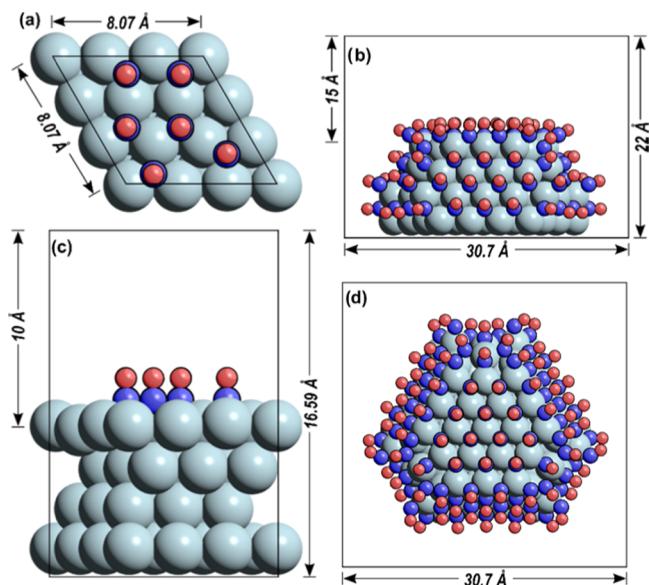
$$\Delta E_{\text{diff},i} = E_{\text{NO}^*,i} - E_{\text{NO}^*,i-1} - E_{\text{NO(g)}} \quad (8)$$

where  $E_{\text{NO}^*,i}$  is the minimum energy configuration for the surface with  $i$   $\text{NO}^*$ ,  $E_{\text{NO}^*,i-1}$  is the minimum energy configuration for the surface with one fewer  $\text{NO}^*$ , and  $E_{\text{NO(g)}}$  is the energy for  $\text{NO(g)}$ . Differential enthalpies, entropies, and free energies are calculated with the assistance of vibrational frequency calculations as described.  $\text{Rh}_{201}$  particle models were also incrementally filled to determine saturation coverage; however, instead of one NO adsorption event at a time like the surface case, all symmetrically equivalent sites of one “type” were filled at a time. For example,  $\text{NO}^*$  might be placed at all face-centered cubic (fcc)-terrace sites or at all bridge sites along edge/corner atoms. Then, more NOs were added to whichever type of binding site was the most stable. Calculating every unique configuration across all sites on a  $\text{Rh}_{201}$  particle would be computationally intractable because of the large number of unique configurations. The differential binding energy is averaged for every new  $\text{NO}^*$  added to the surface, i.e.,

$$\Delta \bar{E}_{\text{diff},i} = \frac{E_{\text{NO}^*,i+x} - E_{\text{NO}^*,i} - xE_{\text{NO(g)}}}{x} \quad (9)$$

where  $\Delta \bar{E}_{\text{diff},i}$  is the average differential adsorption energy,  $i$  is the number of  $\text{NO}^*$  adsorbed, and  $x$  is the number of new  $\text{NO}^*$  added. Free energies were not calculated on the  $\text{Rh}_{201}$  particle as frequency calculations are computationally intractable with the large number of  $\text{NO}^*$  adsorbed to the particle, so the effects of entropy upon  $\text{NO}^*$  adsorption are estimated based on the surface data. This method of filling a particle surface was previously used to examine  $\text{H}^*$  chemisorption on Pt and Ir particles.<sup>36</sup>

Reactions were modeled on  $3\times 3$  unit cells of  $\text{Rh}(111)$  surfaces with four metal layers and a 10  $\text{\AA}$  vacuum layer (Figure 1). The two bottom-most layers of these surfaces were fixed in their bulk crystallographic positions. Surfaces were



**Figure 1.** Top-down and side views of the 6/9 ML-covered Rh(111) surface (a and c) and the 1.38 ML NO Rh<sub>119</sub> half-particle model (b and d). The bottom two metal layers of each were constrained during structural convergence, and all NO\* bound to the bottom two layers of the Rh<sub>119</sub> model were constrained.

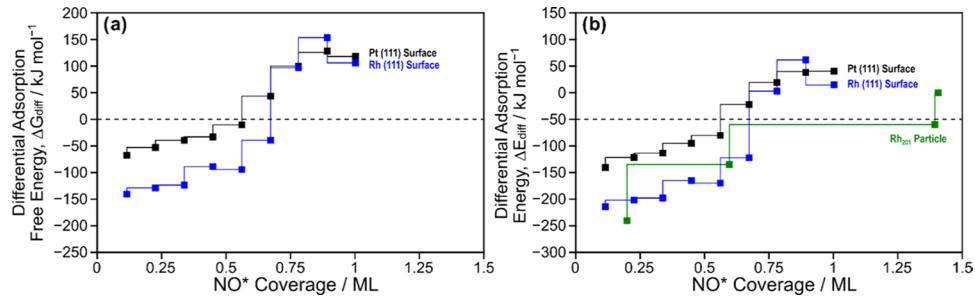
calculated with 3–4 spectating NO\* species during all reactions (corresponding to a total effective resting-state coverage of 6/9 ML NO\*, Figure 1a,c). Converged structures from the Rh surface calculations were transferred using CCI tools to a Rh<sub>119</sub> half-particle model covered with 84 NO\* molecules on all terrace, edge, and corner sites (corresponding to a total coverage of 1.38 ML, Figure 1b,d). To create this Rh<sub>119</sub> model, a full cubo-octahedral Rh<sub>201</sub> particle with (111) and (100) terraces was filled incrementally until no more NO\* could be added without significant restructuring of the particle or positive NO\* adsorption free energies (indicating surface saturation). Once saturated, the particle was cleaved, the bottom two layers of the half particle and all NO bound to those atoms were constrained for all subsequent calculations on the surfaces of that particle. Reactions were only considered to occur on terrace sites as those most closely match the infinite terraces in periodic surface models. While edge sides may participate in the chemistry, undercoordinated sites tend to be more reactive and thus would bind adsorbates such as NO more strongly, requiring more energy to form a vacancy or desorb products. In other words, they are more reactive, but there is a lower probability of encountering a vacant corner or

edge site. We will investigate the role of undercoordinated atoms in NO–H<sub>2</sub> chemistry as part of future studies.

### 3. RESULTS AND DISCUSSION

**3.1. Saturation Coverage for Different Models.** NO\* coverages were varied from 1/9 to 1 ML on Rh(111) 3 × 3 surfaces (Figure 2) and Pt(111) 3 × 3 surfaces in the previous work.<sup>14</sup> NO was incrementally added to every site, and all symmetrically unique adsorbate configurations were considered, including atop, bridge, and both the fcc and hexagonal close-packed (hcp) three-fold hollow sites for NO\* as well as mixtures of those binding modes. The minimum free-energy configuration (at 1 bar, 423 K) is discussed here, while Section S3 of the SI provides details for all configurations. NO\* adsorption free energies (eq 8) on bare Pt (−67 kJ mol<sup>−1</sup>) and Rh (−140 kJ mol<sup>−1</sup>) surfaces are negative (Figure 2) and demonstrate that NO\* binds much more strongly to Rh than Pt, as expected by periodic trends of transition metals.<sup>58</sup> NO\* adsorption free energies become less favorable as NO\* coverage increases because of a combination of through-space and through-surface repulsions that weaken metal-atom binding strength as coverage increases. NO\* adsorption free energies remain negative (indicating likely adsorption at 1 bar and 423 K) on Pt(111) until NO\* coverages reach 5/9 ML where it increases to +44 kJ mol<sup>−1</sup>. NO\* shifts from three-fold binding sites at low coverage to atop binding sites at high coverage on Pt(111) to minimize metal-atom sharing among co-adsorbates.<sup>14</sup> NO\* binds more strongly to Rh than to Pt, but NO\* still repels co-adsorbed NO\*, leading to positive adsorption free energies at coverages above 6/9 ML. These surface filling calculations indicate that extended (defect-free) Pt and Rh metal surfaces saturate at 5/9 and 6/9 ML NO\*, respectively. This is consistent with NO adsorption surface science studies on Rh(111), Rh(110), and Rh(100),<sup>59–61</sup> which suggest saturation coverages of 0.60–0.80 ML (at 100 K, 10<sup>−5</sup> torr NO).

Supported nanoparticles, typical of practical automotive exhaust catalysts, bind H\* and CO\* more strongly at high coverages than their surface counterparts because the adlayer is allowed to laterally relax on the curved particles.<sup>33,37,62,63</sup> NO\* binds most strongly to corner sites of Rh<sub>201</sub> particle models and with adsorption energies of −239 kJ mol<sup>−1</sup> when all such sites are simultaneously filled. This symmetric and block-filling method is not meant to rigorously describe NO\* filling as it is computationally intractable to examine every configuration and every coverage on a surface of this size and heterogeneity. Once corner sites are filled, NO\* next binds most strongly to



**Figure 2.** Differential NO adsorption (a) free energies (423 K, 1 bar,  $\Delta G_{\text{diff}}$ ) and (b) potential energies ( $\Delta E_{\text{diff}}$ ) on a Rh(111) 3 × 3 surface (blue), Pt(111) 3 × 3 surface (black),<sup>14</sup> and Rh<sub>201</sub> particle (green). Estimated NO\* entropies on Rh<sub>201</sub> particles indicate that  $\Delta E_{\text{diff}} \sim -50$  kJ mol<sup>−1</sup> corresponds to  $\Delta G_{\text{diff}} = 0$ , hence the shifted axis. Rh structural images for minimum energy configurations are available in Section S3 of the SI.

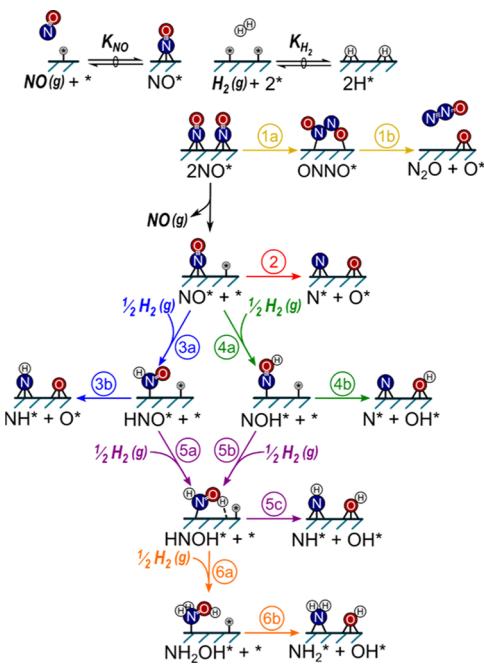
bridge sites between undercoordinated edge and corner Rh atoms with an average differential adsorption energy of  $-134 \text{ kJ mol}^{-1}$  (where the binding energies are averaged across the additional 48  $\text{NO}^*$  adsorbates at those sites).  $\text{NO}^*$  then binds to 3-fold hcp sites with an average differential adsorption energy of  $-58 \text{ kJ mol}^{-1}$  to reach a total  $\text{NO}^*$  coverage of 1.38 ML. The adsorption energy of a single  $\text{NO}^*$  on the terrace of the Rh cluster at 1.38 ML  $\text{NO}^*$  is  $1 \text{ kJ mol}^{-1}$ , indicating that further adsorption is energetically unfavorable.

These adsorption potential energies do not directly give free-energy estimates, and frequency calculations of the full  $\text{NO}^*$  adlayer on the  $\text{Rh}_{201}$  particle model are computationally intractable. The average adsorption entropies of  $\text{NO}^*$  on  $\text{Rh}(111)$  surfaces vary weakly from  $-161$  to  $-175 \text{ J mol}^{-1} \text{ K}^{-1}$  as coverage increases from  $1/9$  to  $1 \text{ ML } \text{NO}^*$ , while the calculated entropy of gas-phase NO at  $423 \text{ K}$  and  $1 \text{ bar}$  is  $210 \text{ J mol}^{-1} \text{ K}^{-1}$ . Differential adsorption entropies (per  $\text{NO}^*$ ) are therefore relatively insensitive to the coverage as values change from  $-161$  to  $-192 \text{ J mol}^{-1} \text{ K}^{-1}$  on  $\text{Rh}(111)$  and  $-162$  to  $-159 \text{ J mol}^{-1} \text{ K}^{-1}$  on  $\text{Pt}(111)$  surfaces. In lieu of calculating vibrational frequencies and thus estimating adsorbate entropies for  $\text{NO}^*$  on  $\text{Rh}_{201}$  particles, here we estimate the entropy loss upon  $\text{NO}^*$  adsorption on these particles to be  $-192 \text{ J mol}^{-1} \text{ K}^{-1}$  because our calculations indicate that the last sites filled are terrace atoms near  $1 \text{ ML}$ . Using this estimated adsorption entropy, we estimate that  $\text{Rh}_{201}$  particles saturate at adsorption potential energies less negative than  $-50 \text{ kJ mol}^{-1}$ , indicating that the  $1.38 \text{ ML } \text{NO}^*$  model used here (Figure 1b,d) is a reasonable coverage for these small  $\text{Rh}_{201}$  particles ( $\sim 2 \text{ nm}$  in diameter).

The desorption free energies of a single  $\text{NO}^*$  on a Pt surface (111) at  $5/9 \text{ ML}$ ,  $\text{Rh}(111)$  surface at  $6/9 \text{ ML}$ , and the (111) terrace of the  $\text{Rh}_{119}$  half particle at  $1.38 \text{ ML}$  are  $9$ ,  $40$ , and  $9 \text{ kJ mol}^{-1}$ , respectively. Further adsorption is less favorable, indicating that vacancies are scarce during catalysis. These positive desorption free energies indicate that the models are adequately covered with NO at standard pressures, and the larger desorption energy for  $\text{Rh}(111)$  is a consequence of the model size. Adsorption–desorption events can be considered rapid and quasi-equilibrated. Sufficiently large heterogeneous catalyst surfaces exhibit a continuum of adsorption energies, where adsorption becomes less favorable as coverages increase for large repulsive species, such as  $\text{NO}^*$ . When the coverage-dependent adsorption free energy is  $0$ , then the surface is saturated at  $1 \text{ bar}$  of that species. Energies to desorb an adsorbate from a large saturated surface depend on gas-phase pressures and should be relatively independent of the catalyst or model—which instead alters the coverage at which saturation occurs. Here, desorption energies for  $\text{NO}^*$  are  $9$  and  $40 \text{ kJ mol}^{-1}$  and correspond to coverages of  $1.38 \text{ ML}$  on the  $\text{Rh}_{201}$  particle and  $6/9 \text{ ML } \text{NO}^*$  on the  $\text{Rh}(111)$  surface because these models are small. The partially covered  $6/9 \text{ ML } \text{NO}$   $\text{Rh}(111)$  surface and fully covered ( $1.38 \text{ ML } \text{NO}$ ) particle are used as the reference states for the mechanistic analyses in the remainder of this work.

**3.2. Energetics of NO Reduction Pathways.** Six  $\text{NO}^*$  scission pathways were considered in this work (Scheme 1). NO and  $\text{H}_2$  adsorption–desorption is assumed to be quasi-equilibrated for all pathways.  $\text{NO}^*$  can dimerize with a neighboring  $\text{NO}^*$  to form an  $\text{ONNO}^*$  complex. This complex then decomposes to  $\text{N}_2\text{O}$  and  $\text{O}^*$  on the surface (pathway 1). For all of the other pathways, one  $\text{NO}^*$  must desorb and form a vacancy on the surface, consistent with NO inhibition on Pt

**Scheme 1. Reaction Scheme for Direct (Pathways 1 and 2) and H-Assisted (Pathways 3–6) NO Scission Routes<sup>a</sup>**



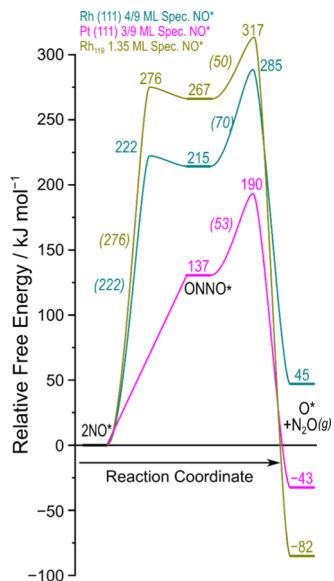
<sup>a</sup>Breaking the N–O bond can be assisted by co-adsorbed  $\text{NO}^*$  through an  $\text{ONNO}^*$  intermediate or assisted by  $\text{H}^*$  through  $\text{NOH}^*$ ,  $\text{HNO}^*$ ,  $\text{HNOH}^*$ , or  $\text{H}_2\text{NOH}^*$  intermediates.

and Rh catalysts.<sup>14,15</sup>  $\text{NO}^*$  can then react with this vacancy to cleave directly (pathway 2) or hydrogenate either to  $\text{HNO}^*$  (pathway 3) or  $\text{NOH}^*$  (pathway 4) and then dissociate. Alternatively,  $\text{HNO}^*$  or  $\text{NOH}^*$  can be hydrogenated to  $\text{HNOH}^*$ , which then cleaves to  $\text{HN}^*$  and  $\text{OH}^*$  (pathway 5), which was determined to be the mechanism of  $\text{NO}^*$  activation on Pt catalysts from a combination of kinetic, isotopic, and theoretical data.<sup>14</sup> Further hydrogenation to  $\text{H}_2\text{NOH}^*$  and subsequent cleavage to  $\text{H}_2\text{N}^*$  and  $\text{OH}^*$  (pathway 6) are also considered.

Rate laws associated with pathways 1 and 2 do not seem to match  $\text{H}_2$  pressure dependences observed for Rh (half order in  $\text{H}_2$ ) and Pt (half to first order in  $\text{H}_2$ ) as they do not directly involve  $\text{H}_2$ , thus requiring  $\text{H}_2$  involvement in the removal of potentially site-blocking intermediates such as  $\text{O}^*$  if these are the predominant pathways.<sup>14,15</sup> A half-order dependence on  $\text{H}_2$  is observed for Rh systems<sup>15</sup> and this would be expected if pathways 3 or 4 dominate, as one H atom would have to adsorb before the kinetically relevant step (likely N–O dissociation). Similarly, rates are first order in  $\text{H}_2$  on Pt, consistent with pathway 5.<sup>14</sup> A 1.5-order dependence in  $\text{H}_2$  would be observed if pathway 6 was the dominant pathway and was limited by step 6a or 6b (i.e.,  $\text{NH}_2\text{OH}^*$  formation or dissociation), but no superlinear  $\text{H}_2$  dependence has been observed on Rh or Pt catalysts. In the sections that follow, we compare each catalyst model for various reaction mechanisms (e.g., Section 3.2.1 discusses direct  $\text{NO}^*$  dissociation on all three models); Section S4 in the SI, in contrast, reorganizes the data to compare all mechanisms on each catalyst model individually.

**3.2.1. Direct and  $\text{NO}^*$ -Assisted  $\text{NO}^*$  Activation.** The six pathways in Scheme 1 were investigated on  $\text{Rh}(111)$   $3 \times 3$  surfaces and the (111) terrace on  $\text{Rh}_{119}$  half-particle models.

NO\*<sup>+</sup>-assisted NO\* activation (pathway 1) routes occur on Pt with an effective barrier of 190 kJ mol<sup>-1</sup> to dissociate the complex (Figure 3). The overall barriers for this ONNO\*<sup>+</sup>



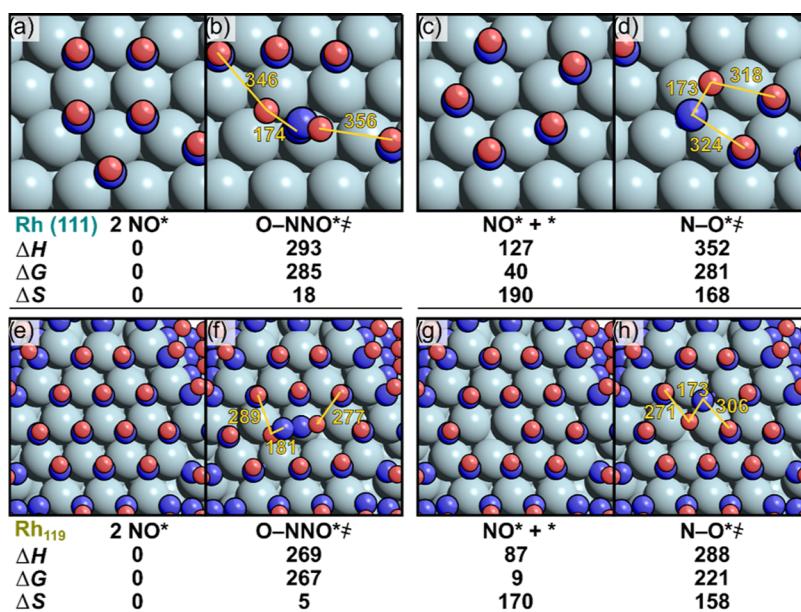
**Figure 3.** NO\*<sup>+</sup>-assisted route for the dissociation of NO\* on Pt(111) surfaces (in pink, from ref 14), Rh(111) surfaces (teal), and the (111) terrace on Rh<sub>119</sub> particles (olive). Intrinsic forward barriers are in parentheses. The coverages during the initial state, denoted by 2 NO\*, are 5/9, 6/9, and 1.38 ML NO\* for Pt(111), Rh(111), and Rh<sub>119</sub>, respectively. Free energies are calculated at 423 K, 1 bar NO.

dissociation are higher on Rh(111) than on Pt, with a barrier of 222 kJ mol<sup>-1</sup> to form the ONNO\*<sup>+</sup> complex and 285 kJ mol<sup>-1</sup> to dissociate to N<sub>2</sub>O and O\*, largely because the ONNO\*<sup>+</sup> complex itself is ~80 kJ mol<sup>-1</sup> less stable on Rh(111) than on Pt(111) at their respective NO\* coverages. The decomposition of ONNO\*<sup>+</sup> is the difficult step for all three

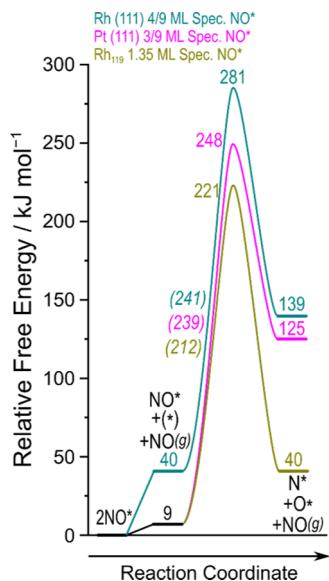
catalyst models, and this reaction occurs with much higher effective barriers on Rh<sub>119</sub> particles (317 kJ mol<sup>-1</sup>) than on Rh(111) surface models (285 kJ mol<sup>-1</sup>). ONNO\*<sup>+</sup> decomposition has a positive activation area, where the transition state occupies more space than the reactant state, resulting in increased adlayer strain that is not present on surface models, which saturate at lower coverages and are less crowded than nanoparticles (Figure 4b,f). This concept is analogous to activation volume and was previously used to explain trends in CO\* activation on Fischer-Tropsch synthesis<sup>37</sup> and ethane hydrogenolysis<sup>33</sup> reactions. These large barriers indicate that N<sub>2</sub>O products are not formed via this route, consistent with the theory that N<sub>2</sub> and N<sub>2</sub>O are formed through a common intermediate after N–O scission.<sup>20</sup> Dinitrosyl complexes might not form on Rh terraces and could instead only form on undercoordinated sites—a possibility that will be explored in future studies.

Direct scission of NO\* (pathway 2) occurs with barriers of 281 kJ mol<sup>-1</sup> for Rh surfaces, 248 kJ mol<sup>-1</sup> for Pt surfaces, and 221 kJ mol<sup>-1</sup> for Rh<sub>119</sub> particles (Figure 5). The barrier is lower on Rh<sub>119</sub> partly because of the high NO\* coverage (1.38 ML), which creates strain in the adlayer that can be released by reactions that have a negative activation area. The N–O dissociation transition state (Figure 4c,g) occupies less space than the pair of NO\* that it replaces (the reference state in Figure 4a,e); therefore, the relaxation in the adlayer that occurs during NO\* activation lowers the NO\* activation barrier compared to the flat Rh(111) surface model at 0.67 ML NO\* on which the adlayer does not relax.

**3.2.2. H-Assisted NO Activation.** H-assisted routes require H\* to adsorb in a vacancy before NO\* can be hydrogenated. H\* adsorption free energies are similar across all systems: 17, 13, and 16 kJ mol<sup>-1</sup> for Rh(111) at 5/9 ML NO\*, Pt(111) at 4/9 ML NO\*, and Rh<sub>119</sub> at 1.35 ML NO\*, respectively. These unfavorable H\* adsorptions reflect repulsive co-adsorbate interactions between H\* and NO\*. These results, along with the adsorption free energies for NO\* at similar coverages (−9

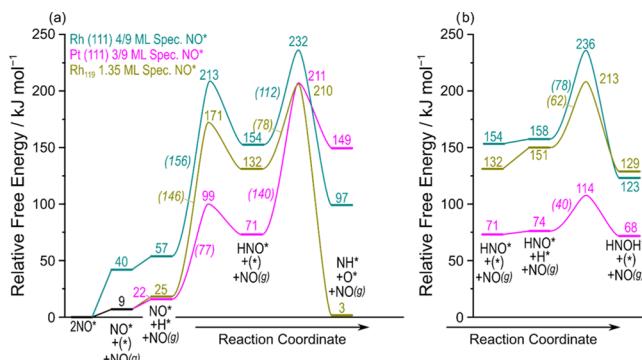


**Figure 4.** Structural images and enthalpies, free energies (both kJ mol<sup>-1</sup>), and entropies (J mol<sup>-1</sup> K<sup>-1</sup>) to form these states and transition states (‡) from a pair of NO\* (the “reference state”, parts a, e) at 423 K and 1 bar and 4/9 and 1.35 ML of spectating NO\* on Rh(111) (parts a–d) and Rh<sub>119</sub> (parts e–h), respectively. Relevant distances are labeled in pm. View tilted 10° off the normal vector.



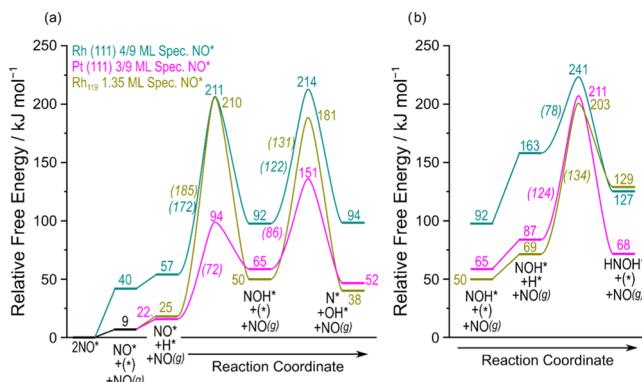
**Figure 5.** Direct route for the dissociation of  $\text{NO}^*$  on Pt(111) surfaces (in pink, from ref 14), Rh(111) surfaces (teal), and the (111) terrace on  $\text{Rh}_{119}$  particles (olive). Intrinsic forward barriers are in parentheses. The coverages of the initial states, denoted by 2  $\text{NO}^*$ , are 5/9, 6/9, and 1.38 ML  $\text{NO}^*$  for Pt(111), Rh(111), and  $\text{Rh}_{119}$ , respectively. Free energies are calculated at 423 K, 1 bar NO.

to  $-40 \text{ kJ mol}^{-1}$ , Figure 6), indicate that  $\text{H}^*$  coverages would be negligible compared to  $\text{NO}^*$  coverages except at very large



**Figure 6.** Comparison of (a)  $\text{HNO}^*$  formation, cleavage, and (b) hydrogenation pathways on Pt(111) (in pink, from ref 14), Rh(111) (teal), and  $\text{Rh}_{119}$  (olive). Intrinsic barriers are in parentheses. The coverages of the initial states, denoted by 2  $\text{NO}^*$ , are 5/9, 6/9, and 1.38 ML  $\text{NO}^*$  for Pt(111), Rh(111), and  $\text{Rh}_{119}$ , respectively. Free energies are calculated at 423 K, 1 bar NO and  $\text{H}_2$ .

$\text{H}_2/\text{NO}$  ratios ( $>10^4$ ). Hydrogenation of  $\text{NO}^*$  to  $\text{HNO}^*$  and  $\text{NOH}^*$  is endothermic on all catalyst models and proceeds with lower free-energy barriers on Pt(111) (77 and 72  $\text{kJ mol}^{-1}$ , respectively) than on both Rh(111) (156 and 172  $\text{kJ mol}^{-1}$ ) and Rh<sub>119</sub> (146 and 185  $\text{kJ mol}^{-1}$ ) models (Figures 6a and 7a). Intrinsic barriers for  $\text{HNO}^*$  and  $\text{NOH}^*$  dissociation are larger (140 and 86  $\text{kJ mol}^{-1}$ ) than hydrogenation barriers to form them (77 and 72  $\text{kJ mol}^{-1}$ ) on Pt(111), while their dissociation occurs with lower intrinsic barriers (78–131  $\text{kJ mol}^{-1}$ ) than their formation (146–156  $\text{kJ mol}^{-1}$ ) on Rh catalysts. Structures of relevant transition states indicate that spectating  $\text{NO}^*$  species move into nearby vacancies if reacting species approach during reactions modeled at the intermediate coverages (3/9 and 4/9 ML of spectators) on the Pt and



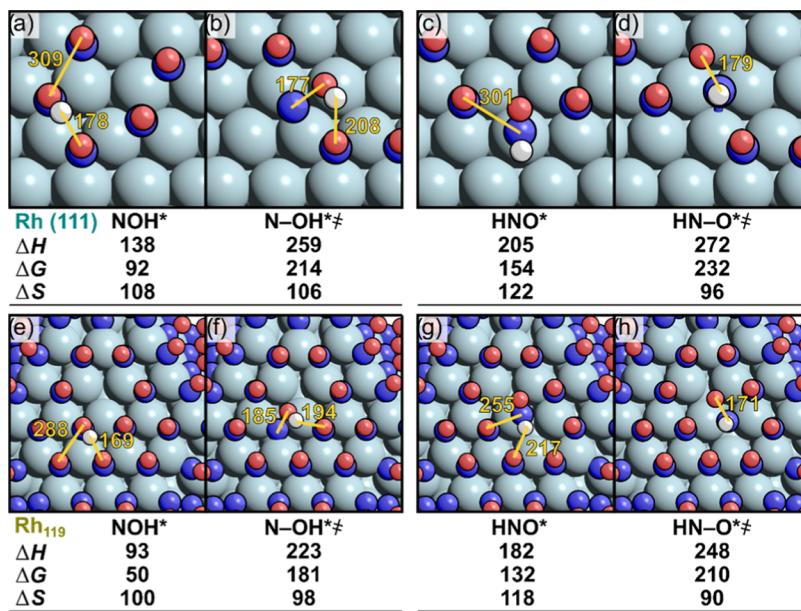
**Figure 7.** Comparison of (a)  $\text{NOH}^*$  formation, cleavage, and (b) hydrogenation pathways on Pt(111) (in pink, from ref 14), Rh(111) (teal), and  $\text{Rh}_{119}$  (olive). Intrinsic barriers in parentheses. Intrinsic forward barriers are in parentheses. The coverages during the initial state, denoted by 2  $\text{NO}^*$ , are 5/9, 6/9, and 1.38 ML  $\text{NO}^*$  for Pt(111), Rh(111), and  $\text{Rh}_{119}$ , respectively. Free energies are calculated at 423 K, 1 bar NO.

Rh(111) surfaces. This ability of species to reorganize is somewhat unrealistic, as real coverages are likely to be closer to 1 ML than these intermediate coverages. The adlayer on the Rh particles, while mobile, does not have large amounts of empty space where species can reorganize and thus accurately penalizes reactions requiring such reorganization.

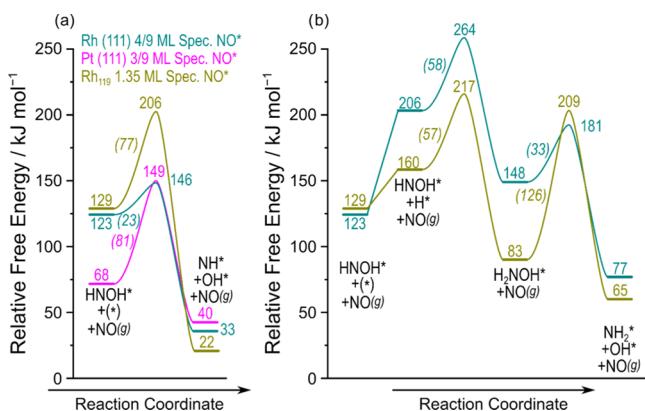
$\text{HNO}^*$ , once formed, can further hydrogenate to form  $^*\text{HNOH}^*$  or dissociate to form  $\text{NH}^*$  and  $\text{O}^*$ . On Pt(111), further hydrogenation occurs with much lower barriers (40  $\text{kJ mol}^{-1}$ ) than dissociation (140  $\text{kJ mol}^{-1}$ ) and on Rh(111) there is a slight preference for hydrogenation (78 vs 112  $\text{kJ mol}^{-1}$ ), indicating that Pt is a better hydrogenation catalyst. On the Rh<sub>119</sub> particle, the large positive  $\text{H}^*$  adsorption free energy (+19  $\text{kJ mol}^{-1}$ ) near  $\text{HNO}^*$  results in a larger effective barrier for forming  $^*\text{HNOH}^*$  (213  $\text{kJ mol}^{-1}$ ) than for dissociating  $\text{HNO}^*$  (210  $\text{kJ mol}^{-1}$ ), indicating that the latter may be preferable. However, effective barriers do not correspond to relative rates at all conditions, and only predicted rates at a range of conditions (e.g., from maximum rate analysis, Section 3.3) can discriminate between pathways.

$\text{NOH}^*$  is more stable than  $\text{HNO}^*$  on all three catalyst models, particularly on Rh<sub>119</sub> as the higher  $\text{NO}^*$  coverage facilitates H-bond (169 pm) formation between  $\text{NOH}^*$  and a neighboring  $\text{NO}^*$ , shorter than that on Rh(111) surfaces at lower coverage (178 pm), as shown in Figure 8. This leads to higher intrinsic barriers for  $\text{NOH}^*$  dissociation on Rh<sub>119</sub> (131  $\text{kJ mol}^{-1}$ ) than on Rh(111) (122  $\text{kJ mol}^{-1}$ ) but lower effective barriers (181  $\text{kJ mol}^{-1}$  on Rh<sub>119</sub> and 214  $\text{kJ mol}^{-1}$  on Rh(111), referenced to a  $\text{NO}^*$ -saturated surface) (Figure 7a).  $\text{NOH}^*$  hydrogenation to form  $\text{HNOH}^*$  occurs with similar energies on both the Pt(111) and Rh<sub>119</sub> catalyst models, while the relative instability of  $\text{NOH}^*$  and the  $\text{NOH}^* + \text{H}^*$  state renders  $\text{NOH}^*$  hydrogenation difficult on the Rh(111) surface, as shown in Figure 7b. For all three catalyst models,  $\text{NOH}^*$  dissociation has a lower effective barrier than  $\text{NOH}^*$  hydrogenation, although the difference in the  $\text{H}_2$ -pressure dependence means that their relative rates will depend on  $\text{H}_2$  pressure, as discussed in Section 3.3.

There are two possible routes once  $\text{HNOH}^*$  is formed. Dissociation of  $\text{HNOH}^*$  (step 5c) occurs on Rh(111) and Pt(111) surfaces with effective barriers of 146 and 149  $\text{kJ mol}^{-1}$ , respectively (Figure 9a), while on Rh<sub>119</sub>, the barrier is



**Figure 8.** Relative stabilities and structures of (a, e) NOH\*, (b, f) N-OH\* dissociation transition states, (c, g) HNO\*, and (d, h) HN-O\* dissociation transition states on Rh(111) surfaces and Rh<sub>119</sub> particles. Breaking bond and nearest neighbor distances labeled with distances in pm. Free energies and enthalpies in kJ mol<sup>-1</sup> and entropies in J mol<sup>-1</sup> K<sup>-1</sup>, calculated at 423 K 1 bar and referenced to the NO\*-saturated model (6/9, 5/9, and 1.38 ML NO\* for Rh(111), Pt(111), and Rh<sub>119</sub>, respectively). View tilted 10° off the normal vector. Additional structural images for hydrogenation steps are available in the SI.

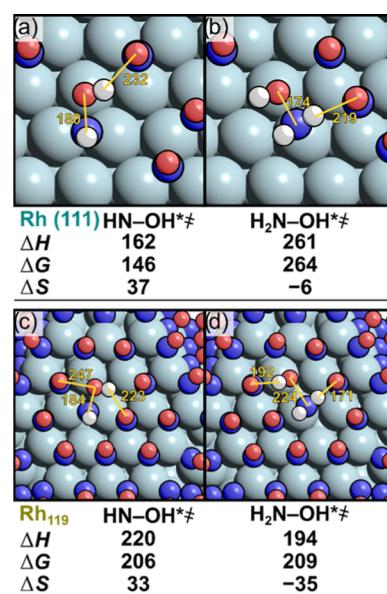


**Figure 9.** (a) Dissociation of NO\* through HNOH\* and H<sub>2</sub>NOH\* on Pt(111) surfaces (in pink, from ref 14), Rh(111) surfaces (teal), and the (111) terrace on Rh<sub>119</sub> particles (olive). Intrinsic forward barriers in parentheses. The coverage of the initial state, denoted by 2 NO\*, is 5/9, 6/9, and 1.38 ML NO\* for Pt(111), Rh(111), and Rh<sub>119</sub>, respectively. Free energies calculated at 423 K, 1 bar.

206 kJ mol<sup>-1</sup> because this \*HNOH\* formation and dissociation pathway creates strain in the NO\* adlayer, which is absent at the relatively low coverages of the surface models (Figure 10a,c). Additionally, OH\*-NO\* distances (223 pm on Rh<sub>119</sub>, 232 pm on Rh(111), Figure 10) are long, indicating that H-bonding with the leaving OH\* species is weak in these transition states. Hydrogenation barriers to form NH<sub>2</sub>OH\* are less favorable than \*HNOH\* dissociation on both Rh models (Figure 9b) (this pathway has not been previously published on Pt), indicating that we have sufficiently hydrogenated NO\* to identify reasonable N-O dissociation paths and that other possible routes resulting in supralinear H<sub>2</sub> dependencies are unlikely.

### 3.3. Maximum Rate Analysis of DFT-Derived Energies.

Maximum rate analysis was performed here to determine



**Figure 10.** Structural images and enthalpies, free energies, and entropies of dissociation transition states for HNOH\* on (a) Rh(111) and (c) Rh<sub>119</sub> and H<sub>2</sub>NOH\* on (b) Rh(111) and (d) Rh<sub>119</sub>. Breaking bonds and nearest neighbor distances are labeled with distances in pm. Free energies and enthalpies are in kJ mol<sup>-1</sup> and entropies in J mol<sup>-1</sup> K<sup>-1</sup>, calculated at 423 K and 1 bar NO and H<sub>2</sub> and referenced to a NO\*-saturated model. View tilted 10° off the normal vector. Additional structural images of HNOH\*, H<sub>2</sub>NOH\* formation, and H<sub>2</sub>NOH\* are available in the SI.

the reversibility and kinetic relevance of individual elementary steps and which pathway(s) lead to observed rates at pressures and temperatures relevant to NO-H<sub>2</sub> reactions. The maximum rate for each elementary step is computed by assuming that every preceding step is quasi-equilibrated. Each step of the mechanism must be considered separately, and the

step with the lowest maximum rate within a series of reactions is the kinetically relevant step of that pathway. Cleaving  $\text{HNO}^*$  formed via  $\text{HNO}^*$ , for example, would require adsorbing  $\text{NO}$  and  $\text{H}_2$ , which are assumed to be quasi-equilibrated in this analysis



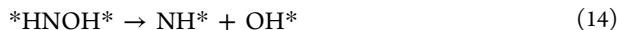
After adsorption of all required gas species,  $\text{NO}^*$  is hydrogenated to  $\text{HNO}^*$



followed by hydrogenation to  $^*\text{HNOH}^*$



and its dissociation



and any of these reactions may be reversible or irreversible and quasi-equilibrated or kinetically relevant.

For the maximum rate analysis, each quasi-equilibrated step can be written in terms of an equilibrium expression. For example, the adsorption of  $\text{NO}$  (eq 10) can be written in terms of the surface concentrations (in square brackets) and gas-phase pressures (in parentheses)

$$K_{\text{NO}} = \frac{[\text{NO}^*]}{(\text{NO})[*]} \quad (15)$$

where  $[*]$  represents the concentration of empty sites on the surface. Equilibrium constants can be computed from DFT-derived reaction free energies using the following equation

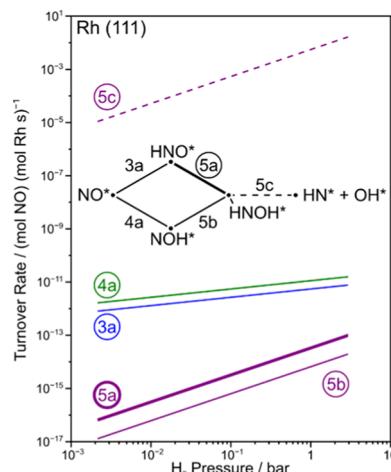
$$K = e^{-\Delta G_{\text{rxn}}/RT} \quad (16)$$

with rate constants (for kinetically relevant steps) computed from DFT-derived activation free energies

$$k = \frac{k_B T}{h} e^{-\Delta G_{\text{act}}/RT} \quad (17)$$

From these, a rate equation can be derived for every step independently by assuming that every preceding step is quasi-equilibrated. Each reaction pathway requires a total of two sites, and only two most abundant surface intermediates (MASI) are considered:  $\text{NO}^*$  and  $\text{H}^*$ , resulting in the same denominator for every rate expression.

The results of a maximum rate analysis on the formation and dissociation of  $\text{HNOH}^*$  on  $\text{Rh}(111)$  surfaces at 423 K and 3 mbar  $\text{NO}$  are shown in Figure 11. These rates show, when examining pathway 5 in isolation, that  $\text{HNO}^*$  hydrogenation to form  $\text{HNOH}^*$  (step 5a) is the kinetically relevant step of pathway 5 on  $\text{Rh}(111)$ . Pathway 5 can occur either through  $\text{HNO}^*$  formation and hydrogenation (steps 3a and 5a) or through  $\text{NOH}^*$  formation and hydrogenation (steps 4a and 5b). Compared to  $\text{NO}^*$  hydrogenation,  $\text{NOH}^*$  formation (step 4a) occurs with a higher maximum rate than  $\text{HNO}^*$  formation (step 3a), and similarly,  $\text{HNOH}^*$  formation from  $\text{HNO}^*$  (step 5a) occurs with a higher maximum rate than from  $\text{NOH}^*$  (step 5b). These parallel steps can be directly compared and demonstrate that routes via  $\text{HNO}^*$  occur with higher rates than routes via  $\text{NOH}^*$ . For the route via  $\text{HNO}^*$ , the step that forms  $\text{HNO}^*$  (step 3a) occurs with much higher maximum rates than the step that hydrogenates  $\text{HNO}^*$  (step 5a), indicating that the former is quasi-equilibrated. Compar-



**Figure 11.** Maximum rate analysis and schematic representation of the formation and dissociation of  $\text{HNOH}^*$  on  $\text{Rh}(111)$ .  $P_{\text{NO}} = 0.003$  bar,  $T = 423$  K. Rate-determining step bolded. Colors and step numbers correspond to reaction pathways and steps in Scheme 1.

ing  $\text{HNOH}^*$  formation (step 5a) with  $\text{HNOH}^*$  dissociation (step 5c) again shows that step 5a (formation) has the lower maximum rate, this indicates that it is the kinetically relevant step and  $\text{HNOH}^*$  dissociation (5c) is a rapid irreversible reaction that follows. Increasing  $\text{H}_2$  pressure increases the predicted maximum rates of all steps in this pathway, with  $\text{H}_2$  kinetic orders depending on the number of  $\text{H}$ -atoms in each step; however, no lines cross over this wide  $\text{H}_2$  pressure range (0.002–3 bar), indicating that the kinetically relevant step of pathway 5 does not change over this range of conditions. This results in a rate expression:

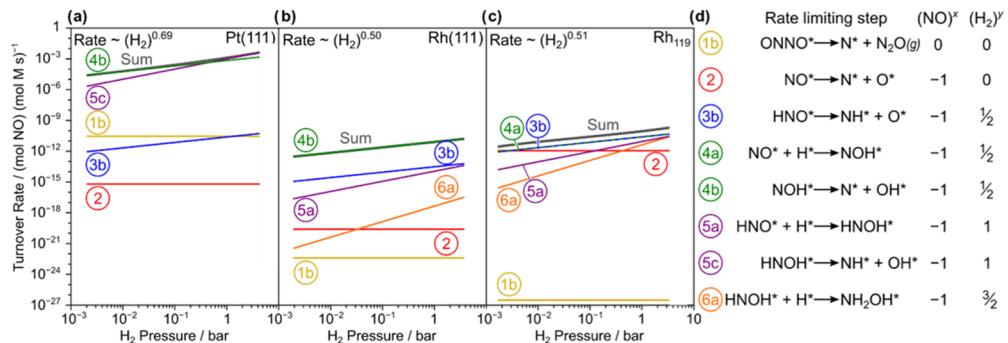
$$\frac{r_5}{[L]} = \frac{k_{5a} K_{3a} K_{\text{H}_2} K_{\text{NO}}(\text{NO})(\text{H}_2)}{[1 + K_{\text{NO}}(\text{NO}) + K_{\text{H}_2}^{1/2}(\text{H}_2)^{1/2}]^2} \quad (18)$$

for pathway 5 with rate and equilibrium constants defined in Scheme 1. This rate expression for pathway 5 is first order in both  $\text{NO}$  and  $\text{H}_2$  in the numerator and based on the surface coverages can predict many distinct kinetic regimes. The terms in the denominator denote relative abundances of empty sites,  $\text{NO}^*$ , or  $\text{H}^*$ .  $\text{NO}$  adsorption is more favorable than  $\text{H}_2$  adsorption, even at these high  $\text{NO}^*$  coverages, and thus DFT predictions suggest that the  $\text{H}^*$ -term in the denominator can be neglected except at very high  $\text{H}_2/\text{NO}$  ratios ( $>10^3$  or  $10^4$ ), similar to Pt. Equation 18 can be further simplified for  $\text{NO}^*$ -covered surfaces to

$$\frac{r_5}{[L]} = \frac{k_{5a} K_{3a} K_{\text{H}_2} K_{\text{NO}}(\text{NO})(\text{H}_2)}{[1 + K_{\text{NO}}(\text{NO})]^2} \quad (19)$$

The filling calculations performed in Section 3.1 predict that the catalyst surfaces are saturated with  $\text{NO}^*$  and that vacancies, while necessary for chemistry to occur, are not abundant (i.e.,  $K_{\text{NO}}(\text{NO}) \gg 1$  at all conditions). Because the surface is  $\text{NO}^*$ -saturated, the rate equation for pathway 5 can be further simplified to

$$\frac{r_5}{[L]} = \frac{k_{5a} K_{3a} K_{\text{H}_2}(\text{H}_2)}{K_{\text{NO}}(\text{NO})} \quad (20)$$



**Figure 12.** Maximum rate analysis showing the rate-limiting step for each NO cleavage pathway on (a) Pt(111), (b) Rh(111), and (c) Rh<sub>119</sub> particles. (d) Rate-limiting steps and NO and H<sub>2</sub> pressure dependences for each pathway. steps 4a and 3b on Rh<sub>119</sub> have identical rates.  $P_{NO} = 0.003$  bar,  $T = 423$  K. All rates on Pt were calculated from the previously reported barriers.<sup>14</sup>

Routes that cleave through HNO\* (pathway 3) or NOH\* (pathway 4) would follow a rate equation with a half-order dependence on H<sub>2</sub>, i.e.,

$$\frac{r_i}{[L]} = \frac{k_{ib} K_{ia} K_{H_2}^{1/2} (H_2)^{1/2}}{K_{NO}(NO)} \quad (21)$$

where  $K_{ia}$  is the equilibrium constant for the formation of either HNO\* or NOH\* (steps 3a and 4a, respectively) and  $k_{ib}$  is the rate constant for dissociation (steps 3b and 4b). Direct NO dissociation (pathway 2) is inhibited by NO and is zero order in H<sub>2</sub>

$$\frac{r}{[L]} = \frac{k_2}{K_{NO}(NO)} \quad (22)$$

while NO-assisted activation (pathway 1) would be zero order in both H<sub>2</sub> and NO

$$\frac{r}{[L]} = k_{1b} K_{1a} \quad (23)$$

Maximum rate analyses were performed on every possible reaction for direct, NO-assisted, and H<sub>2</sub>-assisted routes. Detailed analyses, such as the one performed for Figure 11, of each pathway on each system are available in the SI. Reactions on Pt surfaces tend to occur at higher rates than on Rh surfaces (Figure 12), consistent with experimental observations showing higher activity for Pt catalysts for NO-H<sub>2</sub> reactions.<sup>64</sup> The kinetically relevant step on Pt for each pathway is N-O scission (Figure 9a), while on Rh, the formation steps for HNOH\* and H<sub>2</sub>NOH\* are limiting for pathways 5 and 6, but N-O cleavage is limiting in pathways 1–3. For pathway 4, NOH\* dissociation (step 4b) limits the rate on Pt(111) and Rh(111), while on the Rh<sub>119</sub>, half-particle NOH\* formation (step 4a) limits the rates.

The NO activation mechanism changes at 0.6 bar H<sub>2</sub> on Pt(111) surfaces,<sup>14</sup> where the mechanism to activate NO changes from NOH\* formation and dissociation (pathway 4) to HNOH\* formation and dissociation (pathway 5) leading to a shift from half order to first order in H<sub>2</sub> pressure dependence. These two H<sub>2</sub>-assisted routes are predicted to occur at many orders of magnitude higher than pathways 1–3, indicating the difficulty of direct or NO\*-assisted NO activation pathways when even a small amount of H<sub>2</sub> is present.

NOH\* formation and dissociation (pathway 4) is predicted to be the dominant NO consumption pathway on the Rh(111) model at all H<sub>2</sub> pressures, limited by NOH\* dissociation. Reactions through NH<sub>2</sub>OH, not considered on Pt, are unlikely

to occur based on these predictions. All other pathways are >3 orders of magnitude slower than NOH\* dissociation on Rh(111) (Figure 12b), resulting in predicted half-order dependence in H<sub>2</sub> and inhibition by NO at all relevant pressures.

The high NO\* coverages on the Rh<sub>119</sub> model (1.38 ML NO\* with 1 ML on terraces) lead to strong coverage effects on the reactions occurring at the terrace of this model. NO-assisted NO activation is more difficult at high coverages, likely because that reaction exhibits a positive activation area: the transition state occupies more space on the catalyst surface than the pair of NO\*, which it replaces in the thermochemical cycle reflected by the transition-state theory. Other routes involve NO\* desorption as the first step, thus alleviating some of the strain in the high-coverage adlayer and generally reacting with higher rates on the particle model compared to the Rh(111) flat surface at 6/9 ML NO\*. Direct NO dissociation (step 2) on Rh<sub>119</sub> competes with H-assisted routes at low H<sub>2</sub> pressures (H<sub>2</sub>/NO < 0.67 or < 2 mbar H<sub>2</sub>), indicating that direct NO dissociation prevails over NO-assisted routes in the absence or near-absence of H<sub>2</sub> and in the absence of other reductants such as CO or hydrocarbons. Surface science observations suggest that direct NO\* dissociation is completely inhibited at coverages >0.5 ML,<sup>23</sup> suggesting that particle curvature is responsible for increased rates of direct dissociation on Rh<sub>119</sub>.

Both pathways 3 and 4 have identical rates on Rh<sub>119</sub> as the transition states, which limit these pathways (those for steps 4a and 3b), have the same composition and the same effective free-energy barrier. Their effective enthalpy barriers, furthermore, are within 15 kJ mol<sup>-1</sup> of one another, suggesting that temperature has a weak effect on their relative rates. One way to discern between these pathways would be to measure kinetic isotope effects (KIE). Observation of a primary KIE is consistent with the O–H bond formation occurring in step 4a, while a secondary KIE would be consistent with the N–O bond cleavage in step 3b. The kinetically relevant step for pathway 4 on Rh<sub>119</sub> is NOH\* formation (step 4a), indicating that this step is irreversible in all pathways that involve NOH\*. This means that step 4a cannot be assumed to be quasi-equilibrated in the maximum rate analysis of pathways 5 or 6, as described in more detail in Section S4 of the SI. Pathway 5 then must occur through formation of HNO\* (instead of NOH\*) and is limited by the formation of \*HNOH\* from HNO\* (step 5a), as shown in Figure 12c. At very high H<sub>2</sub> pressures (>3 bar, H<sub>2</sub>/NO > 1000), pathways 3, 4, 5, and 6 all compete and are limited by hydrogenation steps, with higher

$H_2$  pressures favoring pathways 5 and 6 as expected by their first- and 1.5-order  $H_2$  dependencies in contrast to pathways 3 and 4, which are half order in  $H_2$ . These high  $H_2$  pressures, however, are unlikely in exhaust gas because  $H_2$  is primarily formed by dehydrogenation of uncombusted hydrocarbons, yielding negligible partial pressures.<sup>10</sup>

Predicted rates on Rh(111) surfaces and  $Rh_{119}$  half particles are similar, differing by only an order of magnitude, while the rates for ethane hydrogenolysis differ by  $10^3$ – $10^4$  between the particle and surface models for Ir because ethane hydrogenolysis involves the replacement of surface-bound  $H^*$  (the resting state and abundant surface species) with a  $CH-CH^*\ddagger$  transition state. As coverage increases, the  $H^*$  surface intermediate is weakly impacted, while the  $C_2H_2^*\ddagger$  transition state is strongly destabilized, resulting in a large difference in the predicted rates.<sup>33</sup> Here, in contrast, the relevant competition is between the  $NO^*$  adsorbate and a  $NH_xO^*\ddagger$  ( $x = 0$ – $2$ ) transition state, which are much more similarly destabilized as coverage increases, resulting in milder impacts on absolute total rates. NO reduction rates on Rh are predicted to be  $\sim 10^6$  times slower than rates on Pt (Figure 12). This large difference in the rates of Pt and Rh are supported by measured data, demonstrating that Rh has the same turnover rate at 475 K as Pt at 333 K for  $NO-H_2$  reactions (at identical pressures);<sup>13</sup> this 142 K difference in the temperature is consistent with Pt being  $10^5$  times more active than Rh, reasonably close to the DFT-predicted rate ratios.

## 4. CONCLUSIONS

NO dissociation through direct, NO-assisted, and H-assisted routes was considered on surface models of Pt and Rh at intermediate coverages as well as a fully covered Rh nanoparticle model that is more representative of practical catalysts. Surface models saturate at submonolayer coverages, with a coverage of  $5/9$  and  $6/9$  ML  $NO^*$  on Pt(111) and Rh(111), respectively, above which NO binding free energies become positive. Curvature and undercoordinated sites on nanoparticles allow for supramonolayer coverages, leading to a total saturation coverage of 1.38 ML  $NO^*$  on the Rh nanoparticle model, similar to  $H^*$  chemisorption on Ir and Pt nanoparticles<sup>36</sup> and  $CO^*$  on Ru.<sup>37</sup>

Direct and NO-assisted NO reduction routes are kinetically irrelevant on both Pt(111) and Rh(111) surface models. NO reduction, instead, occurs by H-assisted routes through the formation and subsequent dissociation of  $NOH^*$  or  $HNOH^*$  on Pt(111) surfaces; the mechanism shifts to that limited by  $HNOH^*$  dissociation above 0.5 bar  $H_2$  (0.003 bar NO, 423 K), consistent with the shift from half- to first-order  $H_2$  dependence at high  $H_2/NO$  ratios.<sup>14</sup> In contrast, NO dissociation on Rh(111) is predicted to occur solely by the formation and subsequent dissociation of  $NOH^*$ , leading to a half-order dependence on  $H_2$  as measured.<sup>15</sup> For reactions on  $Rh_{119}$ , co-adsorbate interactions at high coverages destabilize transition states, which require adlayer restructuring and favor those which do not, resulting in more competition between dissociation pathways and a shifting mechanism as  $H_2$  pressure changes. Direct NO dissociation occurs at very low  $H_2$  pressures ( $<0.002$  bar,  $H_2/NO < 0.67$ ), yielding to H-assisted pathways (via  $NOH^*$  and  $HNO^*$  dissociations, which occur at identical rates) at intermediate  $H_2$  pressures, while  $HNOH^*$  formation and dissociation becomes the main pathway at high  $H_2$  pressures ( $>3$  bar,  $H_2/NO > 1000$ ). These results suggest that isotopic studies of  $NO-H_2$  reactions can give more

insights into the key intermediates of NO activation on small supported Rh nanoparticle catalysts.

Rates on both Rh models were contrasted and compared with previously reported data on Pt surfaces. Overall rates on both Rh models are similar and are  $\sim 10^6$  times lower than rates on Pt, which agrees with differences in reaction temperatures of  $\sim 140$  K for the two metals.<sup>13</sup> The high reactivity of Pt toward  $NO-H_2$  reactions, compared to Rh, is because Pt is better at hydrogenating NO and thus accelerates H-assisted NO activation reactions. This work suggests that  $H_2$  formed at low pressures via hydrocarbon dehydrogenation or water gas shift reactions on three-way catalysts can reduce NO at Pt sites but is unlikely to reduce NO on Rh particles with those particles predominantly reducing NO via  $NO-CO$  reactions, which occur at higher rates than  $NO-H_2$  reactions at automotive exhaust conditions.<sup>12</sup>

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcc.0c04024>.

Information regarding frequency calculations and statistical treatments; minimum energy configurations of models at intermediate coverages; additional transition-state structures; additional comparisons of dissociation pathways on each system; and maximum rate analyses for each pathway (PDF)

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### Notes

The authors declare no competing financial interest.

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