

1      **The effect of plant-physiological responses to rising CO<sub>2</sub> on global streamflow**

2                    By

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17 **River flow statistics are expected to change as a result of increasing atmospheric**  
18 **CO<sub>2</sub>, but uncertainty in Earth System Models (ESM) projections is high. While this**  
19 **is partly driven by changing precipitation, with well-known ESM uncertainties,**  
20 **here we show the influence of plant stomatal conductance feedbacks can cause**  
21 **equally large changes in regional flood extremes and even act as the main control**  
22 **on future low latitude streamflow. Over most tropical land masses, modern**  
23 **climate predictions suggest plant-physiological effects will boost streamflow,**  
24 **overwhelming opposing effects of soil drying driven by CO<sub>2</sub>'s effects on**  
25 **atmospheric radiation, warming and rainfall redistribution. The relatively**  
26 **unknown uncertainties in representing eco-physiological processes must**  
27 **therefore be better constrained in land-surface models. To this end, we identify a**  
28 **distinct plant-physiological fingerprint on annual peak, low, and mean discharge**  
29 **throughout the tropics and identify river basins where physiological responses**  
30 **dominate radiative responses to rising CO<sub>2</sub> in modern climate projections.**

31

32 The effects of climate change on the hydrologic cycle will likely alter river networks and  
33 floodplains globally. Improving our understanding of the drivers behind these changes is  
34 critical for increasing confidence in projections of future flow extremes. If, for example,  
35 the main driver of basin-wide hydrologic change is a result of atmospheric responses to  
36 CO<sub>2</sub> increases, then model development efforts should focus on improving the  
37 representation of precipitation in ESMs, which is frequently noted as a critical  
38 component of flood projection uncertainty<sup>1–4</sup>. The ability of plant-physiological changes  
39 (i.e. stomatal closure at high CO<sub>2</sub>) to modify extreme runoff, however, has only recently

40 received attention<sup>5</sup>. We posit that such ecosystem effects could be just as important as  
41 precipitation for streamflow extremes, consistent with known first-order impacts on  
42 mean runoff and discharge<sup>6–9</sup>, thus also requiring significant model improvement efforts  
43 to reduce uncertainty.

44

45 The atmospheric (radiative) effects of rising CO<sub>2</sub> have been widely studied. Global  
46 mean precipitation is expected to increase, with the most extreme rates projected to  
47 intensify even more than the Clausius-Clapeyron rate<sup>10–12</sup>. Regionally, more  
48 frequent/intense precipitation can contribute to more soil saturation, leading to higher  
49 streamflow or more frequent flooding. This is of particular concern in the tropics, where  
50 a multi-model ensemble suggests an increase in the frequency and intensity of heavy  
51 precipitation despite mean decreases<sup>13</sup>.

52

53 However, atmospheric processes may not be the sole driver of streamflow changes in  
54 some regions. As the concentration of CO<sub>2</sub> rises, many plants respond by closing their  
55 stomata, which can lower the amount of water lost through transpiration<sup>7,14,15</sup>. While this  
56 effect may be offset at mid-latitudes by increased leaf area, the physiological response  
57 of stomata is an important mechanism regulating changes in evapotranspiration in  
58 densely forested tropical regions<sup>16</sup>. Decreases in transpiration and increases in water  
59 use efficiency can lead to higher antecedent soil moisture, and as a consequence,  
60 streamflow may increase even without a shift in precipitation statistics.

61

62 The relative roles of future radiative vs. plant-physiological responses in regulating  
63 flooding and seasonal streamflow changes have not been previously quantified. In part,  
64 this is due to the highly uncertain magnitude of the physiological response in  
65 observations, related to the difficulty of directly observing such changes over sufficiently  
66 long periods of time<sup>17</sup> and across a large range of sites<sup>18</sup>. Incorporating sparse  
67 observations into global-scale ESMs has led to wide variance in representation<sup>19</sup>, with  
68 subsequent effects on river discharge further hampered by the lack of sophisticated  
69 river routing models in most ESMs. The goals of this study are thus two-fold: first, to  
70 assess the extent to which plant-physiological effects modulate global flood frequency  
71 and seasonal streamflow relative to radiatively driven changes; and second, to identify  
72 river basins where plant responses are dominant and thus where efforts to constrain the  
73 strength of the net physiological effects in nature (i.e., through carbon enrichment  
74 experiments<sup>20,21</sup> or regional simulations attempting to match observed streamflow  
75 changes) may prove most fruitful.

76

## 77 **Physiological and radiative effects on extreme flooding**

78 To separate the atmospheric and plant responses to elevated CO<sub>2</sub>, we conduct a series  
79 of four fully-coupled ESM experiments using the Community Earth System Model with  
80 biogeochemistry enabled (CESM1-BGC)<sup>22,23</sup>, which are validated against flood and  
81 streamflow estimates from established CMIP5 RCP8.5 multi-model means by  
82 Hirabayashi et al.<sup>4</sup> (hereafter *H13*) and Koirala et al.<sup>24</sup> (hereafter *K14*). Leaf area in the  
83 Community Land Model (CLM4<sup>25</sup>) increases with enhanced CO<sub>2</sub> but no dynamic  
84 vegetation is represented (consistent with most CMIP5/6 models), which could limit a

85 potential buffering effect from changes in forest area and associated evapotranspiration.  
86 The transpiration reduction in CLM4 may also be overestimated relative to  
87 observations<sup>19,26,27</sup>, though the large spread among observational sites and comparison  
88 to other ESMs suggest that the model is not an extreme outlier<sup>14</sup>.

89

90 In three sensitivity experiments, CO<sub>2</sub> was increased to quadruple its pre-industrial value  
91 (CTRL; 285 ppm) at a rate of 1% yr<sup>-1</sup>. This increasing concentration was applied to the  
92 atmosphere and land in *FULL*, only the atmosphere in *RAD*, and only the land in *PHYS*,  
93 following the C4MIP experimental protocol<sup>28,29</sup> (where *PHYS* and *RAD* refer to the  
94 simulations' forcing rather than a specific mechanism in the complex regional  
95 responses). These experiments were extended for 50 years at constant 1140 ppm CO<sub>2</sub>.  
96 We used daily runoff from the last 30 years of each (CTRL, FULL, PHYS, and RAD) to  
97 hydrodynamically downscale river discharge using the Catchment-Based Macroscale  
98 Floodplain model (CaMa)<sup>30</sup>. This choice allows consistency with *H13*, but our main  
99 findings are insensitive to expanding to 50 years (*Supplementary Fig. S1*). Though  
100 human management of rivers is not included in our analysis, we have confirmed that  
101 CaMa captures the geographic diversity of annual average streamflow (*Methods and*  
102 *Supplementary Figure S2*) and that PHYS-induced ecosystem responses are consistent  
103 with previous studies (*Supplementary Note* and *Supplementary Fig. S3*).

104

105 To estimate flood frequency, we fit the 30-year time series of annual maximum  
106 discharge at every location to an extreme value distribution (here, the Generalized

107 Extreme Value, GEV) to compute the return period of a flood magnitude equivalent to  
108 the 100-year flood in pre-industrial conditions (hereafter the  $CTRL_{100}$  flood), following  
109 *H13*. We consider flood changes relative to this baseline return period of 100 years;  
110 regions with increased (decreased) flooding thus have future return periods less  
111 (greater) than 100 years. We limit our analysis to signals that are significant at 95% as  
112 measured across a large bootstrap ensemble (see *Methods*).

113

114 To confirm that our use of a single ESM produces flood shift patterns comparable to an  
115 established multi-model ensemble, we compared the resulting return period in *FULL*  
116 (*Fig. 1a*) with the CMIP5 analysis of *H13* (*Fig. 1b*). Though the magnitude of return  
117 period varies between the two, and in fact varies based on how the extreme value  
118 distribution itself is defined (see *Methods*), the sign is reassuringly consistent -- 78.3%  
119 of locations in *FULL* show flood changes in the same direction as *H13*. In both cases,  
120 the  $CTRL_{100}$  flood occurs at least twice as frequently over much of the tropics (dark blue  
121 shading in *Fig. 1*). Flood frequency decreases instead throughout Western Europe, the  
122 northeast and east coasts of South America, and parts of North America (red shading in  
123 *Fig. 1*), where reduced mean precipitation (over eastern South America) or less spring  
124 snow melt (high latitudes) tends to reduce runoff extremes.

125

126 ***Fig 1: Frequency of the pre-industrial 100-year flood under elevated CO<sub>2</sub> and its***  
127 ***drivers.*** (a) *Return period of the historical 100-year flood in FULL vs. (b) the results of*  
128 *H13 for a multi-model average under RCP8.5 forcing (H13 Figure 1; dry regions*  
129 *masked).* (c) *PHYS and (d) RAD as individual drivers of flood responses in FULL. (e)*

130 *Regional categorization of flood increases as primarily PHYS-driven (green), RAD-  
131 driven (orange), or a combination of both (blue) based on their contributions to the  
132 return period of the CTRL<sub>100</sub> flood in FULL. Results omitted in (a, c-e) where  
133 insignificant at 95% confidence based on a large bootstrap ensemble (see Methods).*

134

135 Flood shifts in *FULL* are shown to be a result of both physiologically- (*Fig. 1c*) and  
136 radiatively- (*Fig. 1d*) driven changes in return period, while changes of similar  
137 magnitude are induced by either mechanism alone. Over the Western Amazon, for  
138 example, increased flooding is primarily plant-driven, where dynamical mountain-forest  
139 interactions result in basin-wide precipitation rearrangement<sup>16</sup>. Increased flooding over  
140 much of South Asia is a result of radiatively-forced changes, potentially due to  
141 intensification of the Indian summer monsoon rain<sup>31</sup>. A third class of region can be  
142 defined by concurrent changes in both *PHYS* and *RAD*, thus dividing the globe into  
143 three flood-driving regimes: *PHYS*-driven, *RAD*-driven, and multiply stressed (*Fig. 1e*).

144

145 Eight regions with broadly consistent drivers are defined by rectangles in *Figure 1e* and  
146 analyzed further to determine the cause of increased flooding (*Supplementary Note* and  
147 *Supplementary Tables S1-S3*). For multiply stressed regions (blue rectangles in *Fig.*  
148 *1e*), *PHYS* leads to more frequent flooding through increased soil moisture, which we  
149 interpret as a direct effect of CO<sub>2</sub>-induced transpiration decline (*Supplementary Fig. S3*)  
150 since it occurs despite increases in plant productivity, leaf area and surface shortwave  
151 radiation; all of those changes, with varying degrees of statistical significance, would  
152 tend to dry the soil (*Supplementary Table S1* and *Fig. S3*). When only the atmosphere

153 responds to rising CO<sub>2</sub> (*RAD*), increased precipitation is likely the most important driver  
154 given the inability of other variables such as snowmelt, which is already near zero in  
155 these regions during the flood season, to explain the increased flooding. Other possible  
156 causative drivers, like increases in soil moisture and ET, are difficult to disentangle from  
157 precipitation changes (*Supplementary Table S1*). Control by precipitation also  
158 dominates radiatively-driven regions (orange rectangles in *Fig. 1e*; *Supplementary*  
159 *Table S2*), consistent with the expectation that warmer temperatures can promote  
160 higher mean precipitation and/or more frequent extremes, which can increase the  
161 likelihood of flooding.

162

163 Regions with plant-driven flood changes (green rectangles in *Fig. 1e*) experience more  
164 frequent flooding not only as a result of increased soil moisture via *direct* stomatal  
165 closure, but also through *indirect* precipitation effects, including mean precipitation  
166 increases over the Western Amazon (*Supplementary Note* and *Table S3*) – in turn a  
167 result of complex interactions between surface energy partitioning, vertical vapor  
168 transport by planetary boundary layer turbulence, and lateral vapor advection by  
169 regional orographic flow<sup>16,32–34</sup>.

170

### 171 **Plant-physiological effects on annual streamflow metrics**

172 Although CESM produces a striking physiological effect on floods, uncertainties in  
173 stomatal responses to CO<sub>2</sub> are large. Beyond a handful of regional ecosystems that  
174 have been subjected to Free Air CO<sub>2</sub> Enrichment experiments<sup>17,35</sup>, data are sparse,

175 notably in the tropics; extending direct measurements to span a sufficient range of  
176 climates to constrain the magnitude of the stomatal response in ESMs is untenable.  
177 This motivates the need for indirect observable proxies of the plant-physiological effect  
178 on streamflow in nature, as originally suggested by Gedney et al.<sup>8</sup>. If the same  
179 processes that produce large changes in extreme floods also modulate annual  
180 streamflow statistics, these -- being more readily observable -- could provide a useful  
181 metric for constraining net ecosystem responses to rising CO<sub>2</sub>.

182

183 We thus investigate the seasonal cycle of streamflow, identifying broad regions and  
184 specific river basins where the *PHYS* effect rivals *RAD* contributions to *FULL*. Following  
185 *K14*, we compute annual mean ( $Q_{mean}$ ), peak ( $Q_{peak}$ ), and low flows ( $Q_{low}$ ) for each river  
186 gridcell based on daily discharge, where seasonal extrema are defined as the 5<sup>th</sup> and  
187 95<sup>th</sup> percentile flow rates annually, averaged to climatology.

188

189 **Fig. 2: Changes in seasonal streamflow.** Percent change from CTRL in  $Q_{mean}$  (a),  
190  $Q_{low}$  (b) and  $Q_{peak}$  (c). Regions with CTRL  $Q_{low}$  discharge less than 50 or  $Q_{peak}$  less than  
191 500 m<sup>3</sup> s<sup>-1</sup> masked (Supplementary Fig. S4). Latitudinal decompositions are smoothed  
192 with a 5° running mean; shading denotes zonal variability as the interquartile range.

193

194 We confirm the validity of CESM by comparing our results with CMIP5 mean changes  
195 from *Figure 1* of *K14*, as discussed in the *Supplementary Note* and *Supplementary*  
196 *Figure S5*. In brief, *FULL* agrees well with the pattern of that multi-model average –

197 79.2%, 80.5%, and 68.0% of river gridcells in our experiment agree on the sign of  $Q_{mean}$ ,  
198  $Q_{peak}$ , and  $Q_{low}$  changes respectively. The datasets generally agree in terms of large-  
199 scale spatial trends, showing increased  $Q_{peak}$  over much of the globe and concentrating  
200 the largest  $Q_{low}$  increases over northern latitudes (*Supplementary Fig. S4*).

201

202 The key result here, however, is that plant physiological changes are the *main* control of  
203 these streamflow statistics (mean, seasonal peak, and especially seasonal low flow)  
204 equatorward of 35°. *PHYS* plays a major role in driving dramatic  $Q_{low}$  increases  
205 throughout low latitude regions (*Fig. 2a*), which is linked primarily to higher soil moisture  
206 as a result of reduced stomatal conductance (*Fig. 3b*); rainwater simply becomes more  
207 prone to running off as increased plant water efficiency maintains a higher subsurface  
208 moisture reservoir that limits infiltration. Radiatively driven changes in  $Q_{low}$  actually tend  
209 to oppose this increase throughout the tropics due to increases in evaporative demand  
210 (*Supplementary Fig. S6*), but this is vastly overwhelmed by physiological effects in the  
211 zonal mean. Systematic increases in  $Q_{peak}$  and  $Q_{mean}$  equatorward of 45°N are again  
212 mostly controlled by plant responses, though radiatively driven changes in both cases  
213 are nearly neutral in the zonal mean (*Fig. 2b*). In the tropics and parts of the subtropics  
214 then, *PHYS* is almost solely responsible for increasing annual streamflow cycles across  
215 large portions of land.

216

217 **Fig. 3: Changes in environmental conditions.** Changes in 1m soil moisture (top; a-c)  
218 and the fraction of precipitation that reaches the surface as rain instead of snow

219 (bottom; d-f) relative to their values in CTRL for FULL (left; a,d), PHYS (center; b,e), and  
220 RAD (right; c,f). Mean differences are taken over the full 30-year period.

221  
222 At higher latitudes, the importance of atmospherically-driven changes in RAD increases  
223 relative to plant-driven effects. Increases in all three streamflow metrics over much of  
224 high-latitude western Europe and North America can be linked to strong polar-amplified  
225 warming in RAD, which tends to reduce peak snowmelt rates (contributing to earlier and  
226 lower peak discharge in spring) and shift the ratio of falling precipitation towards rainfall  
227 at the expense of snow (*Supplementary Fig. S6; Fig. 3f*). This change raises winter low  
228 flow by increasing runoff rather than allowing water to be stored in seasonal snowpack.  
229 The geographic disparity between tropical and higher latitude regions is summarized by  
230 pattern correlations in *Supplementary Table S4*.

231  
232 Interestingly, PHYS also plays a non-negligible role in increasing  $Q_{low}$  and  $Q_{mean}$  across  
233 high-latitude continental interiors (*Supplementary Fig. S4*), with a signal that is strongest  
234 towards the southern edge of the boreal forest, i.e. decreasing with latitude, opposite to  
235 the polar-amplified warming effect of RAD and associated snow-rain transitions (*Fig. 3*).  
236 This might suggest the potential for an identifiable fingerprint of the physiological effect  
237 in unmanaged high latitude river basins, with the caveat that radiative controls tend to  
238 dominate the FULL response poleward of 45°N. In the transition zone between PHYS-  
239 and RAD-dominated  $Q_{low}$  regimes, the influence of rising CO<sub>2</sub> on ecosystem processes  
240 allows for increasing vegetation cover in PHYS, yet transpiration reductions overwhelm

241 these changes causing soil moisture to increase with a sharp boundary at the southern  
242 edge of the boreal forest region (Fig. 3).

243

244 **Radiative and physiological effects on basin-level discharge**

245 Our analysis allows us to separate the relative contributions of *PHYS* and *RAD* to  
246 changes in discharge for large river basins. We limit our attention to 18 of the 32 basins  
247 assessed (*Supplementary Fig. S7*) in which the relative effects of *PHYS* and *RAD* on  
248 *FULL* are additive for mean, peak, or low flow. In these basins, the residual of the  
249 following decomposition is small relative to the sum of *PHYS* and *RAD*, suggesting the  
250 response of *FULL* can be well explained by a linear combination of individual drivers,

251

$$\frac{\Delta FULL}{CTRL} = \frac{\Delta PHYS}{CTRL} + \frac{\Delta RAD}{CTRL} + \varepsilon \quad (1)$$

252 Where  $\Delta FULL$ ,  $\Delta PHYS$ , and  $\Delta RAD$  are the basin-averaged differences in streamflow  
253 from  $CTRL$ , and  $\varepsilon$  is the residual, computed as the root mean square error between  
254  $\Delta FULL$  and the sum of  $\Delta PHYS$  and  $\Delta RAD$  across the 30-year ensemble (*Supplementary*  
255 *Fig. S8 and Tables S5-S7*). The results of the decomposition are included below (Fig.  
256 3).

257

258 **Fig. 4: Basin-level streamflow changes.** *FULL* changes in (a)  $Q_{mean}$ , (b)  $Q_{peak}$ , and (c)  
259  $Q_{low}$  relative to  $CTRL$ . (d-f) The contributions of  $\Delta PHYS$  and  $\Delta RAD$  to  $\Delta FULL$  flow  
260 changes. Black stars represent the *FULL* percent change from  $CTRL$  (as shown by  
261 shaded circles in a-c), and black circles represent the sum of  $\Delta PHYS$  and  $\Delta RAD$ .

262 Colored bars indicate the  $\Delta\text{PHYS}$  (green) +  $\Delta\text{RAD}$  (blue) percent changes that support  
263 the total.

264

265 The primary driver of streamflow change can be identified by the dominant color of the  
266 basin's bar in the bottom row of *Figure 3*, with the magnitude of *FULL* indicated by the  
267 overall size of the circles in the top row. Again, basin responses are driven by *PHYS*,  
268 *RAD*, or a combination of both: Mekong  $Q_{\text{mean}}$  and  $Q_{\text{low}}$  increases are primarily plant-  
269 driven, while the Yukon is almost exclusively radiatively-driven. In general, the zonal  
270 pattern indicated in *Figure 2* is seen here at the basin level as well, where tropical  
271 regions tend to be more strongly controlled by *PHYS* while high latitudes are more  
272 heavily impacted by *RAD*.

273

274 Four basins stand out in particular as nearly exclusively plant-driven – the Amazon,  
275 Parana, Congo, and Yangtze. Annual streamflow cycles in those basins reveal a  
276 systematic effect of *PHYS* to raise streamflow, which controls changes in *FULL* despite  
277 opposing changes in *RAD* (*Fig. 5*).

278

279 **Fig. 5: Average annual streamflow cycles at river outlets in *PHYS*-dominated**  
280 **basins.** Area-weighted average streamflow annual cycles near the outlets of each river.  
281 *Dashed black lines represent nearby GRDC station data (all available years in the*  
282 *period 1970-2005) while colored lines show modeled streamflow, where all grid cells*

283 *within a quarter degree of the GRDC station have been averaged together. Error bars*  
284 *correspond to twice the standard error of discharge over the 30-year period.*

285

286 Details on these streamflow cycles and their comparison to observations are included in  
287 the *Supplementary Note*. In brief, despite a series of varying bias patterns in each basin  
288 – expected given ESM precipitation biases (*Supplementary Fig. S10*) and the exclusion  
289 of human water management in CaMa -- the effect of reduced stomatal conductance is  
290 a systematic increase in streamflow across all months that is common to all four basins.  
291 This consistency adds confidence that the streamflow response to *PHYS* is a robustly  
292 simulated signal, in line with the observational findings of Gedney et al.<sup>8</sup> despite the fact  
293 that they did not allow for leaf area changes<sup>36</sup>.

294

## 295 **Discussion**

296 Improved understanding of the physical mechanisms behind streamflow and flood  
297 frequency changes is critical for future ecosystem planning and management. Here, for  
298 the first time, we have linked ESM experiments that isolate the plant-physiological from  
299 radiative effects of CO<sub>2</sub> on runoff to a hydrodynamic model that predicts their  
300 consequences for streamflow globally. Flood frequency analysis shows that plant-  
301 physiological effects on the terrestrial water cycle are a first order control on future shifts  
302 of the 100-year flood.

303

304 Despite the major role of plants in the evolution of hydrological extremes under CO<sub>2</sub>  
305 forcing in CESM demonstrated here, the coupling between water and carbon cycles in  
306 modern land-surface models remains poorly constrained. To help address this source of  
307 uncertainty and complement sparse observational constraints, we use the model to  
308 identify fingerprints of plant-physiological effects in observable metrics of annual  
309 streamflow. For low flows, the results show a competition in which the radiative effect  
310 tends to reduce but the physiological effect tends to increase seasonal flow minimums  
311 throughout low latitudes. At high latitudes, the relatively smaller net plant effect on mean  
312 and low flow expresses itself with an opposing meridional gradient to the polar-  
313 amplifying radiative effect across boreal forest watersheds. For peak flows, the plant  
314 response is the main driver of future changes throughout most low latitudes. Given this,  
315 we encourage more investigation of such fingerprints across multiple independent  
316 hydrodynamically downscaled ESMs, towards the hope of using observed streamflow to  
317 constrain the magnitude of buffered ecosystem responses to CO<sub>2</sub> in nature. The effect  
318 of the plant-physiological response on hydrologic extremes across timescales is often  
319 overlooked in future climate projections, but this work highlights the need to assess  
320 these effects more explicitly moving forward.

321

## 322 **Methods**

### 323 ***CESM experiments***

324 The four CESM1-BGC simulations include fully active atmosphere (CAM4)<sup>37</sup>, land  
325 (CLM4)<sup>25</sup>, ocean (POP2)<sup>38</sup>, and sea ice (CICE4)<sup>39</sup> components, as described in

326 Kooperman et al.<sup>5</sup>. *CTRL* was initialized from spun-up pre-industrial conditions with a  
327 fixed CO<sub>2</sub> concentration of 285 ppm, which was maintained for 50 years. Three  
328 additional experiments (i.e., *FULL*, *RAD*, and *PHYS*) were then initialized from the end  
329 of *CTRL* to test the flood response to quadruple the amount of CO<sub>2</sub>. In these  
330 simulations the CO<sub>2</sub> concentration increased at 1%/year over a 140-year period and  
331 was then held fixed for an additional 50 years. In *RAD* and *PHYS*, the land and  
332 atmosphere, respectively, experience the original 285 ppm of CO<sub>2</sub> rather than the  
333 increased value of 1140 ppm when they are not the targeted response pathway, while in  
334 *FULL* both the land and atmosphere experienced the increased value. Global runoff  
335 from these 1° resolution simulations are interpolated to 0.5° via a bi-linear cubic spline  
336 before being used in the CaMa model.

337

### 338 ***Hydrodynamic downscaling and extreme value curve fitting***

339 For downscaling coarse resolution ESM output, we use the CaMa-Flood model (version  
340 3.6.2), which uses daily runoff to generate high resolution streamflow by solving a  
341 hydrodynamic flow equation<sup>30</sup>. This method of downscaling is well established in recent  
342 literature<sup>3,4,24,40,41</sup> and produces a reasonably accurate global river flow pattern<sup>4,30</sup>.  
343 Using runoff instead of precipitation as the driving boundary condition accounts for CO<sub>2</sub>  
344 impacts on both precipitation and evapotranspiration, while our experiment design  
345 allows us to separate the radiative (*RAD*) and plant-physiological (*PHYS*) contributions  
346 to runoff changes. To ensure that CESM1-generated runoff is able to produce  
347 reasonable streamflow estimates when paired with CaMa, we compare *CTRL* river  
348 discharge with observations from 30 large river basins, as reported by the Global Runoff

349 Data Centre (GRDC). We take the same approach as in H13, choosing 30 river basins  
350 that meet spatial (areas larger than 150,000 km<sup>2</sup>) and temporal requirements (at least  
351 20 years of data in the modern period of 1970-2000). Computing the correlation  
352 between the two datasets provides an estimate of how well the model can simulate  
353 streamflow (*Supplementary Fig. S2*). The reasonably good agreement provides some  
354 confidence that CESM is a useful tool for exploring future changes in streamflow  
355 originating from radiative and physiological impacts of rising CO<sub>2</sub>.

356

357 We consider two extreme value distributions to fit annual maximum discharge to, the  
358 Gumbel and the Generalized Extreme Value (GEV). The pattern of flood frequency  
359 shifts created from this fitting is found to be insensitive to the choice of distribution,  
360 though the magnitude of the changes can vary significantly between the two  
361 (*Supplementary Fig. S1*). As a result, we focus here only on the regional distribution of  
362 changes and the relative contributions of *PHYS* and *RAD* to that pattern. These metrics  
363 are insensitive to the choice of curve fit.

364

365 We choose the GEV here based on its simulation of less extreme frequency shifts and a  
366 reasonable value of the global average probability plot correlation coefficient (0.90).  
367 The GEV was then used to find the shape ( $\xi$ ), location ( $\mu$ ), and scale ( $\sigma$ ) parameters to  
368 estimate river discharge,  $F(x)$ :

369

$$F(x) = \exp\left(-\left(1 + \xi \frac{(x - \mu)}{\sigma}\right)^{\frac{1}{\xi}}\right) \quad (2)$$

370

371 The statistical fit to this distribution is carried out independently at each location and for  
372 each of the four experiments. The curve itself can thus be shifted based on the location  
373 parameter or stretched/shrunk based on the scale parameter, while additional  
374 characteristics like its skewness can be altered by changes in the shape parameter at  
375 every location. The magnitude of a given flood ( $U_T$ ) can then be determined based on  
376 return period ( $T$ ) by inverting the CDF of the GEV above:

377

$$U_T = \mu + \frac{\sigma}{\xi} \left( -\ln \left( 1 - \frac{1}{T} \right)^{-\xi} - 1 \right) \quad (3)$$

378

379 This is used to estimate the magnitude of the  $CTRL_{100}$  flood. *Equation 3* is then solved  
380 for  $T$  to determine the frequency of a flood with the  $CTRL_{100}$  magnitude in each of the  
381 enhanced CO<sub>2</sub> experiments. Statistical confidence is built by limiting our analysis to  
382 signals that are significant at the 95% level, measured by a large bootstrap that is  
383 produced by randomly sampling with replacement the actual 30-year annual maxima  
384 time series at each location and repeating the GEV fit 1,000 times.

385

386 ***Defining grid cells as RAD-driven, PHYS-driven, or multiply stressed***

387 To better isolate regions with flood increases that are driven by the atmospheric  
388 response (RAD-driven), the plant response (PHYS-driven), or by a combination of the  
389 two (multiply stressed), each grid cell is sorted based on agreement between the three  
390 experiments. RAD and PHYS flood frequency increases must both be at least 20% of

391 the increase in *FULL* for a region to qualify as multiply stressed. Otherwise, the location  
392 is assigned to the driver with the larger change between the two. This practice is first  
393 carried out at the 0.25° CaMa-Flood resolution but is then scaled to the 1° CESM grid by  
394 identifying the mode of drivers within each larger CESM grid cell. Note that although the  
395 Nile region is particularly noticeable as a multiply stressed region (shown in blue in *Fig.*  
396 1e), it is not selected for detailed analysis due to the high aridity of the region.

397

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413

## 414 **Code availability**

415 All scripts that replicate the results of this study are accessible at  
416 <https://github.com/megandevlan/Physiology-Streamflow>. Data associated with these  
417 scripts are included in the repository, with a few exceptions. Relevant CESM and CaMa  
418 output are not included due to their size but are available at

419 <http://portal.nersc.gov/archive/home/m/dfowler/www/>. Data obtained from Y.  
420 Hirabayashi, S. Koirala, and from the GRDC are not included and should be requested  
421 from the sources independently. The CaMa model itself can be obtained by emailing the  
422 developer, D. Yamazaki, while CESM is publicly available through a Subversion code  
423 repository – see <http://www.cesm.ucar.edu/models/cesm1.0/> for more details.

424

## 425 **Data Availability**

426 The relevant datasets generated during this analysis are available at  
427 <http://portal.nersc.gov/archive/home/m/dfowler/www/>. The full CESM output record is  
428 archived and available upon request. Data used to create *Figure 1b* was received via  
429 personal correspondence with Y. Hirabayashi, and requests should be directed to her.  
430 Similarly, CMIP5 multi-model mean streamflow data used for comparison between  
431 *FULL* and *K14* was received via personal communication with the lead author, and  
432 should be requested from S. Koirala. Full CESM output is archived at the National  
433 Center for Atmospheric Research. Global Runoff Data Base observations in *Figure 5*  
434 are freely available from GRDC, but cannot be redistributed by the author; requests  
435 should be sent directly to GRDC.

436

## 437 **Competing Interests**

438 The authors declare no competing interests.

439

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452

453 **Author contributions**

454 All authors contributed to the design of the experiment, interpretation of results, and  
455 manuscript editing. G.J.K. performed the CESM simulations and M.D.F. performed the  
456 CaMa downscaling, carried out the analysis, and drafted the initial manuscript with  
457 advice from M.S.P.

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