

# **RbB<sub>3</sub>Si<sub>3</sub>: An Alkali Metal Borosilicide that is Metastable and Superconducting at 1 atm**

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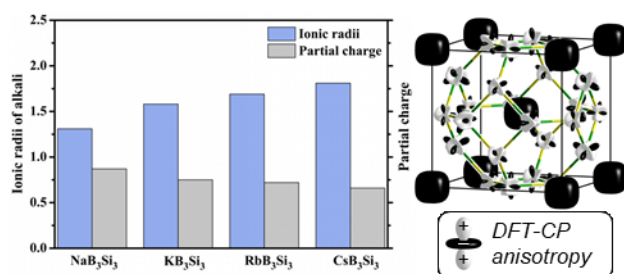
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## Abstract

The stability, electronic structure and potential superconductivity in  $AB_3Si_3$  ( $A = Na, K, Rb,$  and  $Cs$ ) compounds that assume the clathrate-based sodalite structure whose frameworks consists of covalent B-Si bonds is investigated via first-principles calculations. This structure type has recently been predicted in a number of high temperature superconducting hydrides, but these are only stable under megabar pressures. Herein, we predict a novel superconducting phase,  $RbB_3Si_3$ , that could be synthesized under pressures that are a factor of ten smaller,  $\sim 10$  GPa, and quenched to atmospheric conditions. Electron-phonon coupling calculations predict that  $RbB_3Si_3$  possesses a superconducting critical temperature,  $T_c$ , of 14 K at 1 atm. The dynamic stability of  $RbB_3Si_3$  and  $CsB_3Si_3$  at ambient pressure can be explained by considering the chemical pressure exerted on the B-Si framework that is caused by the size effect of the alkali metal atom.



## TOC Graphic

## Introduction

The last few years have witnessed tremendous advances in the discovery of novel phonon-mediated superconductors, with measured record high superconducting critical temperatures ( $T_c$ s) first in SH<sub>3</sub> ( $T_c$  of 203 K at 150 GPa)<sup>1,2</sup>, and less than five years later in LaH<sub>10</sub> ( $T_c$  up to 260 K near 200 GPa)<sup>3</sup>. The search for superconductivity in compressed hydrides was inspired by Ashcroft's conjecture<sup>4</sup> that such systems would be good candidates for conventional high- $T_c$  materials, and many binary systems have been studied theoretically.<sup>5-12</sup> Remarkably, the most recent experimental discoveries<sup>13,14</sup> were driven by theoretical predictions of near room temperature superconductivity in an *Fm-3m* symmetry LaH<sub>10</sub> phase wherein the hydrogen lattice assumes a three-dimensional clathrate structure and the metal atoms reside in the center of the cages.<sup>15,16</sup> This clathrate is comprised of H<sub>32</sub> polyhedra composed of six square and twelve hexagonal faces wherein the weak H-H bonds give rise to the remarkably high electron-phonon coupling. An analogous sodalite-like H<sub>24</sub> cage composed of six square and eight hexagonal faces<sup>17</sup> has been proposed to be stable or metastable for MgH<sub>6</sub> (300 GPa),<sup>18</sup> CaH<sub>6</sub> (150 GPa),<sup>19</sup> ScH<sub>6</sub> (350 GPa),<sup>20</sup> YH<sub>6</sub> (120 GPa),<sup>21</sup> and LaH<sub>6</sub> (100 GPa)<sup>16</sup> at the pressures given in the braces. These alkaline and rare earth hexahydrides have also been predicted to have high  $T_c$  values.<sup>17</sup> Unfortunately, when the pressure is released, none of them are stable, and will decompose into the classic-stoichiometry hydrides known to exist at 1 atm, as well as molecular H<sub>2</sub>.

On the other hand, several metal silicide clathrates, largely based on Ba<sub>8</sub>Si<sub>46</sub> ( $T_c$  = 8 K),<sup>22</sup> including (Na,Ba)<sub>x</sub>Si<sub>46</sub> ( $T_c$  = 4 K),<sup>23</sup> and Ba<sub>8</sub>Al<sub>6</sub>Si<sub>40</sub> ( $T_c$  = 4.7 K)<sup>24</sup> that are superconducting and stable at 1 atm have been synthesized. Since these assume the clathrate I structure type, we wondered if metal silicides that adopt a sodalite-like structure, of which none are currently known, could be stabilized under pressure and quenched to atmospheric conditions, resulting in superconducting candidate structures analogous to the metal hexa-hydrides?

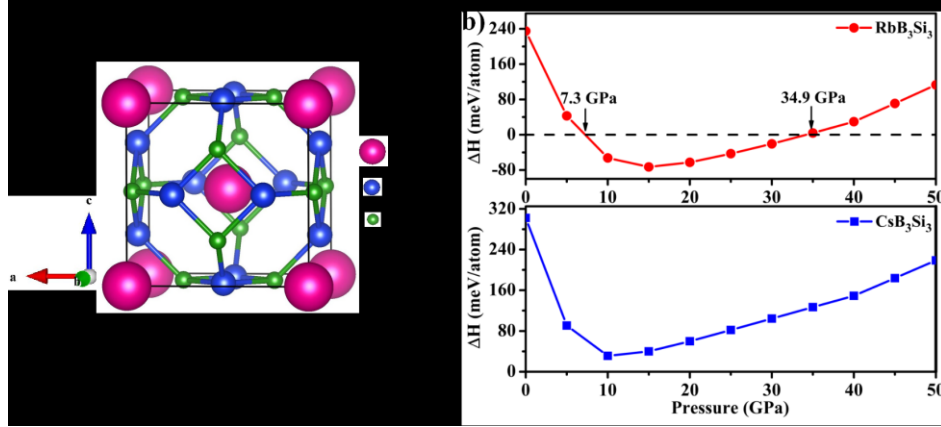
Additionally, the small cages in the borosilicide K<sub>7</sub>B<sub>7</sub>Si<sub>39</sub>,<sup>25</sup> another example of

the clathrate I crystal structure, hint that the addition of boron to metal silicides could allow for the inclusion of smaller metals in the clathrate cages. This suggestion is mirrored by theoretical studies that have proposed that small ions may be inserted into carbon clathrate cages wherein some of the carbon atoms have been substituted with boron.<sup>26</sup> This work inspired the computational prediction of an  $\text{SrB}_3\text{C}_3$  compound in the bipartite sodalite structure, which was computed to be thermodynamically stable from 50 to at least 200 GPa.<sup>27</sup> The calculations further suggested that at 1 atm  $\text{SrB}_3\text{C}_3$  could be a superconductor, and its Vickers hardness,  $H_v$ , was estimated as 24 GPa. Remarkably, this phase was subsequently synthesized under pressure, and quenched to 1 atm in an inert atmosphere.<sup>27</sup> Other non-hydride sodalite-like phases that have recently been theoretically predicted include: BN frameworks,  $\text{X}(\text{BN})_6$ ,  $\text{X} = \text{Al}, \text{Si}, \text{Cl}$ , with  $T_c$  and  $H_v$  as high as 47 K and 35 GPa;<sup>28</sup>  $\text{LiBC}_{11}$  and  $\text{Li}_2\text{B}_2\text{C}_{10}$  semiconductors with  $H_v$  of 49 GPa and 38 GPa;<sup>29</sup> and doped carbides such as  $\text{NaC}_6$ ,  $\text{AlC}_6$  and  $\text{LiC}_6$  with  $T_c$ s reaching as high as 100 K.<sup>30</sup> These investigations inspired us to theoretically study the stability and properties of sodalite-like compounds with the formula  $\text{AB}_3\text{Si}_3$  ( $\text{A} = \text{Na}, \text{K}, \text{Rb}$  and  $\text{Cs}$ ), as shown in Figure 1(a).

## Methods

The  $\text{AB}_3\text{Si}_3$  structures considered herein are isotypic with the previously predicted  $\text{SrB}_3\text{C}_3$  cubic bipartite sodalite phase. They resemble the aforementioned  $\text{MH}_6$  superhydrides, except the silicon or carbon atoms are bonded only to boron atoms (and vice versa), with the metal atoms found in the center of the cage. This lowers the symmetry from  $Im-3m$  in the superhydrides to  $Pm-3n$  (space group No. 223, with two formula units per cell) in the group 14-based clathrates. Density functional theory calculations, whose details are given in the Supporting Information (SI), were employed to relax the structures within the pressure range of 0 - 200 GPa. At atmospheric pressures, the optimized lattice parameters of  $\text{NaB}_3\text{Si}_3$ ,  $\text{KB}_3\text{Si}_3$ ,  $\text{RbB}_3\text{Si}_3$ , and  $\text{CsB}_3\text{Si}_3$  were 5.805, 5.863, 5.911, and 5.983 Å, with alkali metals occupying the  $2a$  (0, 0, 0), boron atoms occupying the  $6d$  (0, 0.5, 0.25), and Si atoms occupying the  $6c$  (0.5, 0, 0.25) Wyckoff sites, respectively.

## Results & Discussion



**Figure 1.** (a) Crystal structures of  $AB_3Si_3$ , where A refers to an alkali metal (Na, K, Rb and Cs). Pink, blue, and green spheres represent the alkali metals, Si and B atoms, respectively. (b) Calculated enthalpy of formation,  $\Delta H$ , of  $RbB_3Si_3$  and  $CsB_3Si_3$  as a function of pressure. For Rb we used the enthalpies of the  $bcc \rightarrow fcc \rightarrow I4_1/amd \rightarrow Cmca \rightarrow dhcp$  structures, with the phase transitions occurring at 8.2, 15.1, 41.0 and 146.8 GPa, respectively. For Cs we used the enthalpies of the  $fcc \rightarrow I4_1/amd \rightarrow Cmca \rightarrow dhcp$  structures, with the phase transitions occurring at 3.6, 10.7 and 49.5 GPa, respectively. The phases of the other elements employed to calculate  $\Delta H$  are given in the SI.

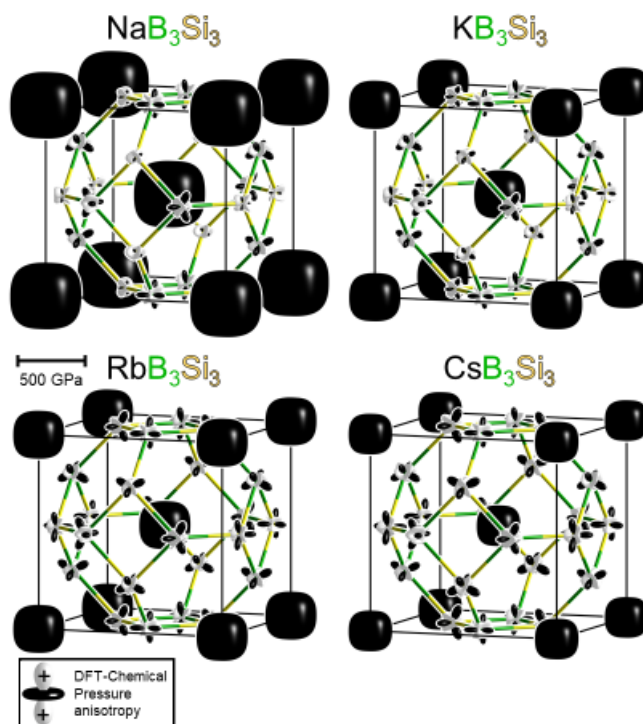
The phase stabilities of  $AB_3Si_3$  ( $A = Na, K, Rb$  and  $Cs$ ) compounds were systematically investigated by calculating the enthalpy of formation,  $\Delta H$ , from the elemental phases. As shown in Figure 1(b),  $\Delta H$  for  $RbB_3Si_3$  was negative within the pressure range of 7 - 35 GPa, and  $\Delta H$  was found to be +31 meV/atom for  $CsB_3Si_3$  at 10 GPa. As shown in Figure S5, the  $\Delta H$  of  $NaB_3Si_3$  is positive within the pressure range considered, whereas the  $\Delta H$  for  $KB_3Si_3$  is negative between 7 - 37 GPa. Phonon calculations were carried out to study the dynamic stability of these phases. The phases with larger alkali earth metals,  $RbB_3Si_3$  and  $CsB_3Si_3$ , were found to be local minima that did not show any imaginary frequency modes throughout the Brillouin Zone at ambient pressure, and at 10 GPa (Figure S6).  $NaB_3Si_3$  and  $KB_3Si_3$ , on the other hand, were not dynamically stable at either one of these pressures, with the number of imaginary modes and their magnitude increasing under pressure. It should be pointed out that there are documented examples where high pressure

synthesis techniques have been successful in making materials whose  $\Delta H$  was computed to be positive under pressure at 0 K in the static lattice approximation, for example  $\text{Ca}_2\text{H}_5$ <sup>31</sup> ( $\Delta H = +20$  meV/atom above the 20 GPa convex hull), and hydrides of phosphorus<sup>32</sup> ( $\Delta H > 30$  meV/atom above the convex hull).<sup>33,34</sup> These results suggest that both  $\text{RbB}_3\text{Si}_3$  and  $\text{CsB}_3\text{Si}_3$  could potentially be synthesized at moderate pressures and quenched to atmospheric conditions, akin to the recent findings for  $\text{SrB}_3\text{C}_3$ .

In order to get a better understanding of the driving forces behind the phase stability, a DFT-Chemical Pressure (CP) analysis,<sup>35</sup> which reveals the internal tensions present in a crystalline compound, was performed on the 1 atm structures. In the CP scheme, atom-centered spherical harmonic functions are plotted so that negative CP, reflective of a local desire for contraction of the structure, is indicated by black lobes, with white lobes corresponding to positive CP and a local desire for expansion of that contact. In the CP schemes of all four  $\text{AB}_3\text{Si}_3$  compounds the most striking feature is the large negative CP displayed surrounding the alkali metal atom, an indication that it is too small for its coordination environment within the B-Si cage, as shown in Figure 2. Consequently, shrinking the B-Si cage would be a stabilizing effect for the alkali metal atom, but the positive B-Si CPs directed along the B-Si cage edges serve to balance this desire. These positive CPs indicate that the B-Si contacts within the cage are too short already, so that shrinking the cage to accommodate the size of the alkali metal atom would only exacerbate this tension. The B-Si bond lengths increase slightly as the size of the alkali ion increase, measuring 2.05 Å for  $\text{NaB}_3\text{Si}_3$  to 2.12 Å for  $\text{CsB}_3\text{Si}_3$ .

While the general features of the CP scheme are shared across all four compounds, the magnitude of the negative CP on the alkali metal atoms changes with the size of the A atom. Na, the smallest of the four alkalis, has the poorest fit inside the B-Si cage, reflected in the extremely large negative CP surrounding the Na atoms. As the size of the A atom increases, from Na to K, to Rb, and finally to Cs, the magnitude of the negative CP lessens drastically, indicating an improved size match between the space within the B-Si cage and the alkali metal atom that fills it. These observations are in

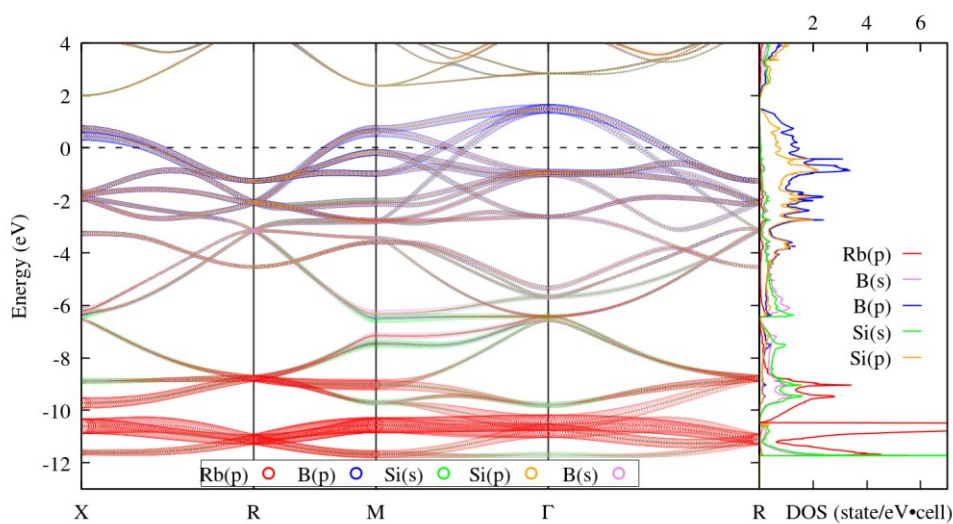
line with the calculated phonon band structures (Figure S6):  $\text{RbB}_3\text{Si}_3$  and  $\text{CsB}_3\text{Si}_3$  are dynamically stable at ambient pressure, as befits the larger alkali metals being better able to fill the B-Si cage voids, whereas  $\text{KB}_3\text{Si}_3$  displays one imaginary mode with a small magnitude near the M-point and  $\text{NaB}_3\text{Si}_3$  exhibits many imaginary modes with a large amplitude.



**Figure 2.** Chemical Pressure (CP) schemes of  $\text{AB}_3\text{Si}_3$  ( $\text{A}=\text{Na}, \text{K}, \text{Rb}, \text{Cs}$ ) at 0 GPa. Negative pressures (black) surround the A atoms, indicating the too-large coordination environment of the B-Si cage, with positive pressures (white) between the B and Si atoms. Increasing the size of the alkali metal atom reduces the magnitude of the negative CP, as the larger atoms obtain a better fit within the B-Si cages.

A  $[\text{Si}_3\text{B}_3]^{3-}$  cubic bipartite sodalite phase would possess a closed electronic shell and therefore be an insulator at low pressures. Therefore, doping the neutral cage by one electron per formula unit - the formal charge transferred from the alkali metal - would render it a hole conductor. The calculated Bader charges (Table S2) suggest that the charge transfer decreases slightly as the size of the alkali increases. The electronic band structure and density of states of  $\text{RbB}_3\text{Si}_3$  at 1 atm, illustrated in Figure 3, verifies the expectation of metallicity. Doping with two more electrons

would move the Fermi level ( $E_F$ ) up in energy into the gap. The structural and electronic similarities between  $\text{RbB}_3\text{Si}_3$  and  $\text{SrB}_3\text{C}_3$ <sup>27</sup> are evident when comparing their band structures, e.g. the way the bands “run” and disperse, as well as their projections onto atom-centered orbitals. The bands crossing  $E_F$  are primarily of boron and silicon  $p$ -character, and the density of states at  $E_F$  is high. The presence of a flat band near  $E_F$  at the M-point, and steep bands crossing  $E_F$  elsewhere in the Brillouin Zone are suggestive of superconductivity, as first proposed by Zhu *et al.*<sup>27</sup> The Fermi surface, illustrated in Figure S7, is comprised of a number of straight parallel pieces that appear to be well nested.

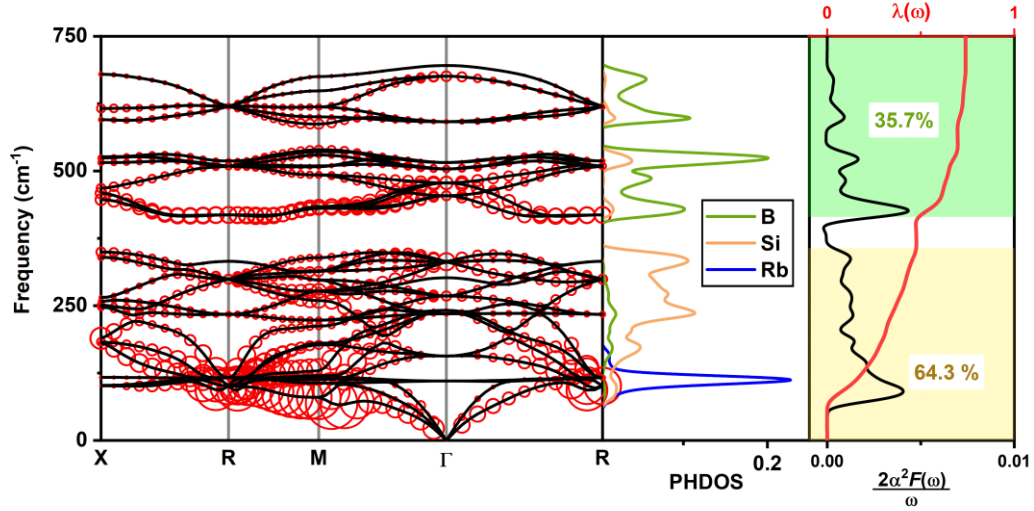


**Figure 3.** Electronic band structure for  $\text{RbB}_3\text{Si}_3$  at 0 GPa projected onto atomic orbitals that are represented by different colored circles whose radius is proportional to their contribution. The projected density of states (DOS) is shown in the right panel.

In order to verify the potential superconductivity of  $\text{RbB}_3\text{Si}_3$ , we calculated the electron-phonon coupling constant,  $\lambda = 0.74$ , logarithmic average of the phonon frequencies,  $\omega_{\log} = 333$  K, and estimated  $T_c$  via the Allen-Dynes modified McMillan equation<sup>36</sup> using a Coulomb pseudopotential,  $\mu^*$ , value of 0.1. These calculations suggest that  $\text{RbB}_3\text{Si}_3$  would be a conventional superconductor with a  $T_c$  of 14.0 K at ambient pressures. The anisotropic superconducting gap of  $\text{RbB}_3\text{Si}_3$  obtained with the Eliashberg formalism is provided in Figure S10. The projected phonon densities of



states, illustrated in Figure 4, shows that the vibrations associated with the motion of the heavy Rb atoms have a low frequency, as expected. Visualization of the phonons reveals that the relatively flat band around  $120\text{ cm}^{-1}$  is due to the rattling mode of the Rb atom within the clathrate-like cages. This mode, however, does not contribute towards  $\lambda$ , in accordance with the fact that Rb-character is not present in the electronic states near  $E_F$  (Figure 3). The electron-phonon coupling arises from the vibrations of the B and Si atoms, with the modes below  $375\text{ cm}^{-1}$  that result in nearly  $2/3$  of  $\lambda$  being primarily due to the heavier Si atoms, and the modes between  $375\text{-}750\text{ cm}^{-1}$  that are primarily due to the lighter B atoms giving rise to the remaining  $1/3$ . The phonon bands with the largest linewidths are a hexuply degenerate band with a frequency of  $\sim 101\text{ cm}^{-1}$  at the R-point, and a set of bands that, at the M-point, yields two doublets with frequencies of  $\sim 101\text{ cm}^{-1}$  and  $\sim 103\text{ cm}^{-1}$ . These modes arise from asymmetric in-plane stretches of the  $\text{B}_2\text{Si}_2$  square faces that, at the R-point, are also coupled with out-of-plane tilting motions in alternating layers of  $\text{B}_2\text{Si}_2$  square faces. At the M-point, these modes also result in a “breathing motion” of the  $\text{B}_3\text{Si}_3$  hexagonal faces. Calculations on the first sodalite-like high-temperature superconducting hydride to be predicted,  $\text{CaH}_6$ , revealed that the largest phonon linewidth arose from the in-plane breathing and rocking vibrations of the hydrogen atoms within the square faces.<sup>19</sup> The breathing of  $\text{H}_4$  square units in  $\text{CaH}_6$  is analogous to the  $\text{B}_2\text{Si}_2$  square stretches in  $\text{RbB}_3\text{Si}_3$ , however, the  $\text{B}_2\text{Si}_2$  squares exhibit out-of-plane tilting motions instead of the in-plane rocking mode of the  $\text{H}_4$  squares. The  $T_c$  of  $\text{CsB}_3\text{Si}_3$  is likely to be slightly lower than that of  $\text{RbB}_3\text{Si}_3$  because the lower frequency vibrations of the heavier alkali metal atom will result in a smaller  $\omega_{\log}$ .



**Figure 4.** Phonon band structure, projected phonon density of states, Eliashberg spectral function, and the electron phonon integral,  $\lambda(\omega)$ , for  $\text{RbB}_3\text{Si}_3$  at ambient pressure. Red circles indicate the electron phonon coupling constant,  $\lambda_{qv}$ , at mode  $v$  and wave-vector  $q$  (phonon linewidths), and their radius is proportional to the strength.

## Conclusions

In summary, our detailed first-principles calculations have shown that the size of the A atom in the metal borosilicides,  $\text{AB}_3\text{Si}_3$ , that assume the bipartite sodalite structure is a key factor for determining if they can be metastable at 1 atm. A chemical pressure analysis showed that in the case of the alkali metals Cs had the best, and Na the worst fit within the B-Si cage, with the negative chemical pressure displayed by the alkali metals indicating the site's preferential occupation by a larger atom. Accordingly, both  $\text{RbB}_3\text{Si}_3$  and  $\text{CsB}_3\text{Si}_3$  were dynamically stable at 0 - 10 GPa, whereas  $\text{NaB}_3\text{Si}_3$  and  $\text{KB}_3\text{Si}_3$  were not. Because Fr is the only metal with a larger ionic radius than Cs, it might fit even better within the B-Si cage, however its extreme radioactivity and scarcity precludes it from any practical use. At 10 GPa the enthalpy of formation of  $\text{RbB}_3\text{Si}_3$  with respect to the elemental phases is negative, whereas  $\text{CsB}_3\text{Si}_3$  is only slightly disfavored, suggesting that these compounds could potentially be synthesized at mild pressures and quenched to 1 atm. Electron-phonon calculations predict that  $\text{RbB}_3\text{Si}_3$  would be a conventional superconductor with a  $T_c$  of  $\sim 14.0$  K at ambient pressures. This is comparable to other well-known phonon mediated

superconductors such as Nb<sub>3</sub>Ge (17.0 K)<sup>37</sup>, and Nb<sub>3</sub>Sn (18.0 K)<sup>38</sup>, but lower than the record set by MgB<sub>2</sub> (39 K)<sup>39</sup>.

## Notes

The authors declare no competing financial interests.

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## Supporting Information

The Supporting Information is available free of charge on the ACS Publications website. It includes the details of the computations, structural parameters, computed Bader charges, B-Si distances and phonon band structures of AB<sub>3</sub>Si<sub>3</sub> (A = Na, K, Rb and Cs), the enthalpies of formation of NaB<sub>3</sub>Si<sub>3</sub> and KB<sub>3</sub>Si<sub>3</sub>, as well as the Fermi surface of RbB<sub>3</sub>Si<sub>3</sub>.

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