



## Full length article

## Vacancy diffusion in multi-principal element alloys: The role of chemical disorder in the ordered lattice



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## ABSTRACT

Many of the purported virtues of Multi-Principal Element Alloys (MPEAs), such as corrosion, high-temperature oxidation and irradiation resistance, are highly sensitive to vacancy diffusivity. Similarly, solute interdiffusion is governed by vacancy diffusion. It is also often unclear whether MPEAs are truly stable or effectively stabilized by slow interdiffusion. The considerable composition space afforded to these alloys makes optimizing for desired properties a daunting task; theoretical and computational tools are necessary to guide alloy development. For diffusion, such tools depend on both a knowledge of the vacancy migration barriers within a given alloy and an understanding of how these barriers influence vacancy diffusivity. We present a generalized theory of vacancy diffusion in rugged energy landscapes, paired with Kinetic Monte Carlo simulations of MPEA vacancy diffusion. The barrier energy statistics are informed by nudged elastic band calculations in the equiatomic CoNiCrFeMn alloy. Theory and simulations show that vacancy diffusion in solid-solution MPEAs is not necessarily sluggish, but can potentially be tuned, and that trap models are an insufficient explanation for sluggish diffusion in the CoNiCrFeMn HEA. These results also show that any model that endeavors to faithfully represent diffusion-related phenomena must account for the full nature of the energy landscape, not just the migration barriers.

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## 1. Introduction

In recent years, there has been considerable interest in designing novel structural alloys by combining multiple elements in high concentrations, resulting in the so-called multi-principal element alloys (MPEAs) (also referred to as high-entropy alloys or complex concentrated alloys). These alloys have been shown to exhibit exceptional properties, including novel mechanical properties [1,2], fracture toughness [3,4], creep resistance [5–8], radiation damage resistance [9–13], and oxidation resistance [14–16]. The property enhancements in MPEAs, as well as their thermal stability, are usually attributed to four core properties: (a) high mixing entropy, (b) lattice distortions, (c) sluggish diffusion, and (d) cocktail effects [17]. Among these effects, sluggish diffusion is poorly understood and may be the most controversial in the MPEA community. It was long assumed that slow diffusion was an inevitable consequence of traps and obstacles that arise from the disordered lattice [18]; disordered (or rough) energy landscapes have indeed proven capable of inducing sluggish kinetics [19–21], but recent experimental data has suggested that not all MPEAs exhibit slow diffusion [22,23].

Quantifying atomic diffusion in MPEAs is important, as the solid-solution phase with the highest mixing entropy does not always have the lowest Gibbs free energy [24] and complex phases may precipitate in MPEAs after annealing treatments. For example, Otto et al. found phase precipitation in the CoNiCrFeMn alloy at temperatures of 500° – 700° C, over 500 days of annealing [25], suggesting that the apparent stability of this prototypical FCC alloy is likely due to slow phase transformation kinetics. This highlights the importance of understanding diffusion in evaluating the stability of solid solutions in these alloys. Cheng et al. [26,27] attribute the remarkable thermal stability of the amorphous structure in  $Ge_xTiZrNbTa$  and  $BTiZrNbTa$  thin films to the combination of high entropy, significant atomic size differences, and sluggish diffusion. In [28], Zhao et al. studied the coarsening of  $L1_2$  precipitates in a face-centered-cubic  $(NiCoFeCr)_{94}Ti_2Al_4$  MPEA at temperatures ranging between 750° and 825 °C. They concluded that, owing to sluggish diffusion,  $L1_2$  precipitate coarsening was much slower in the MPEA than in conventional Ni-based alloys.

Beyond thermal stability, understanding the role of structural disorder on diffusivity is also important for the performance of MPEAs. For example, high-entropy alloy nitrides have been proposed to be effective diffusion barrier coatings [29]; the reduced diffusivity of these materials is attributed to lattice distortions caused by multiple principal elements. MPEAs have also been pro-

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posed as replacements for conventional alloys in high temperature applications [30] and in advanced nuclear reactors [31,32]. Under extreme environments, the diffusivity of point-defects (vacancies) governs the performance and the microstructural stability of MPEAs. Therefore, determination of the kinetics of phase transformations and operating temperatures for these alloys demands a thorough understanding of the transport coefficients [33].

In this article, we investigate the diffusivity of vacancies in model MPEAs. More often than not, diffusion in crystalline solids is mediated by vacancy point defects. Therefore, the kinetics of phase transformations and microstructural evolution will be greatly influenced by vacancy diffusivity [34] in MPEAs. From a theoretical standpoint, as far as the authors are aware of, the models for calculating diffusion coefficients in MPEAs are primarily based on the formulation of linear irreversible thermodynamics and Onsager coefficients [35–38]. These frameworks are developed for multi-component alloys and are purported to be valid over a wide range of compositions. However, these models make the underlying assumption that there is a constant exchange rate (i.e. a constant migration barrier) between any two diffusing species present in the alloys. The theoretical results are then validated using Kinetic Monte Carlo simulations with the same underlying assumptions, i.e. the exchange rates are fixed and constant. A similar multi-frequency model has also been used to fit experimental concentration profiles in interdiffusion experiments in the Cr-Co-Fe-Ni medium entropy alloy [39]. However, it is also true that the randomness in the energy landscape plays a crucial role in influencing transport coefficients as evidenced in solid-state and biological systems [40–45]. In MPEAs, the migration barrier energies for vacancies (or self-interstitials) are randomly distributed (e.g. as shown in Fig. 3 of Ref. [46]). The nature of this distribution will play an important role in determining the diffusion coefficients in MPEAs.

The objective of the present article is to provide a random-walk-type *analytical results* for understanding vacancy diffusivity in the presence of chemical disorder on the ordered lattice of MPEAs. Random-walk approaches provide the atomistic detail necessary to account for the disorder (or roughness) present in the migration barrier energies. In Section 2, we present some background on diffusion models in the presence of disorder (roughness) in energy landscapes. In Section 3, we provide the statistics of vacancy migration barrier energies for a model MPEA that will motivate the KMC simulations (Section 4) and theoretical analysis (Section 5). The theoretical results presented in this article are anticipated to provide the necessary foundation for understanding diffusion in multi-component solid solutions.

## 2. Background

The analytical investigation of random energy landscapes and transport coefficients originates in Robert Zwanzig's work on protein dynamics [21]. Zwanzig showed that the diffusivity in a randomly rough potential  $U$  is  $D = D_0 \exp(-(\epsilon/k_B T)^2)$ , where  $D_0$  is the diffusion coefficient in a landscape with uniform barriers and  $\epsilon^2 = \langle U^2 \rangle$ . Zwanzig's theory suggests an exponential reduction in the diffusion coefficient with the (squared)-amplitude of roughness. While this result is elegant and simple, Zwanzig also invoked certain assumptions (e.g. local averaging of the rough landscape) that may not always be valid. Additionally, these assumptions break down when correlations in the random-walks are considered.

Analyses of random walks in disordered lattices has also been of considerable interest with applications in solid-state physics [40–42], biology [43–45], and in amorphous materials [47]. These investigations use the Effective Medium Approximation (EMA) to solve for a mean transition rate that satisfies the self-consistency condition [20,42] for a given energy landscape. These landscapes

are often broken into two categories – random-trap models, and random-barrier models (see Fig. 1).

Random-trap (RT) models refer to energy landscapes where the transition-state (saddle-point) energies  $s$  are fixed to a constant value and the site-energies  $w$  are assumed to follow a Gaussian distribution (see Fig. 1(a)) with a given standard deviation  $\sigma_w$ . Direct integration (comparing the average transition rate to a uniform distribution of barriers with the same mean) yields  $D/D_0 = \exp(-x_w)$ , where  $x_w = (\sigma_w/k_B T)^2$ , mirroring Zwanzig's model. Intuitively, the reduction in diffusivity can be explained as the trapping of the diffusing species in low energy sites. A defining characteristic of RT models is that, from a given state, **every transition out of that state has the same barrier  $E$** .

Random-barrier (RB) models correspond to energy landscapes where the site-energies  $w$  have the same fixed value and the transition-state energies  $s$  are randomly distributed (see Fig. 1(b)). For example, effective medium solutions were proposed for electron transport, employing landscapes with a uniform distribution of barriers from 0 to some critical energy  $E_c$  [48]. In this study, it was shown that a wider distribution of barriers yields a smaller diffusion constant, but this is obvious as the mean barrier is also increasing. Mussawsade et al. applied the EMA to a Gaussian-distributed random barrier model [47]. Here, the mean barrier was chosen for a given distribution such that 95% of the distribution was positive (as negative barriers are unphysical). Naturally, they also showed slower diffusion with an increasing distribution width as the mean barrier was also increasing. Symmetry is a defining feature of RB models – If  $E_{A \rightarrow B}$  is the energy of a transition from state A to B, then in the RB model,  $E_{A \rightarrow B} = E_{B \rightarrow A}$ .

It is plausible that some of the initial justifications for sluggish diffusion in MPEAs were inspired by the analytical results summarized above. The idea of sluggish diffusion, however, is brought into question recently with many research groups convinced that sluggish diffusion is not one of the core properties of MPEAs that fundamentally distinguishes them from conventional alloys. While this could very well be true, the diffusion of atomic species and vacancies has been invoked in various recent studies that report property enhancements in MPEAs. It is necessary, therefore, to determine the nature of the energy landscape in an MPEA and investigate its role in influencing transport properties. It is essential that we investigate these aspects not just for the long-term development of MPEAs, but also for the short-term creation of computational tools. For example, it is traditional to assume that vacancy diffusivity can be described by simply computing the migration energy barriers in the MPEA. However, the present work strongly suggests that this is only a partial picture.

## 3. Determination of vacancy migration barrier energies

When a vacancy swaps with an atom, the migration barrier will depend on the species of that atom, as well as those of neighboring atomic sites. The chemical disorder of the alloy then implies a distribution of migration barriers. Before an analysis of transport in MPEAs can be conducted, we must actually compute these barriers to vacancy migration in a sample MPEA. We performed 2971 Nudged Elastic Band (NEB) [49–52] calculations of vacancy migration between nearest-neighbor sites, using a MEAM potential for the CoCrFeMnNi High Entropy Alloy [53] and the LAMMPS atomistic simulation software [54].

First, we generated a random equiatomic face-centered cubic (FCC) single crystal MPEA consisting of 32,000 atoms. We then alternated between performing 100,000 monte carlo swaps [55] at 1273 K and relaxing the system via conjugate-gradient (CG) energy minimization [56,57]. This procedure was repeated 500 times to capture any tendency for short-range ordering. After 20 million swaps, there was partial ordering, according to the short-range or-

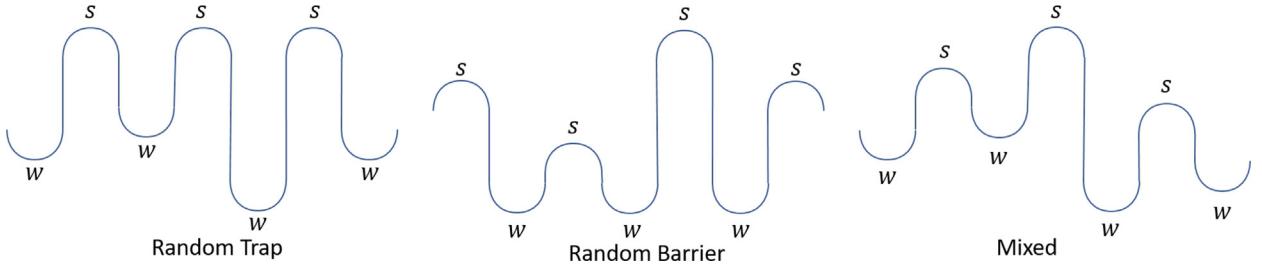


Fig. 1. Energy landscapes with (a) random traps, (b) random barriers, and (c) the general case.

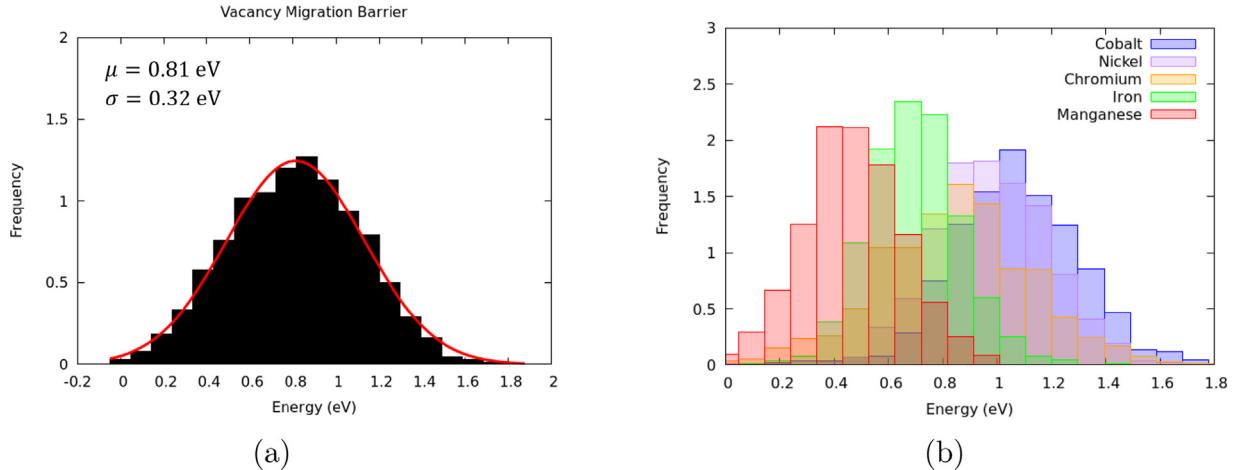


Fig. 2. Distribution of vacancy migration barrier energies in CoNiFeCrMn MPEA [53] (a) over all transitions and (b) separated by migrating species. Transitions computed using 2971 Nudged Elastic Band (NEB) calculations. The barriers are Gaussian distributed; mean and standard deviations, determined from the fit (red) are given in a). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

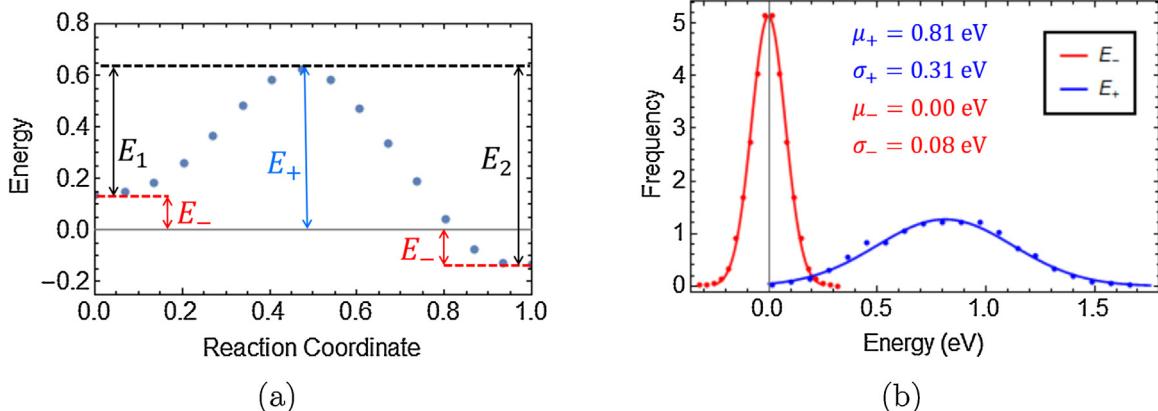


Fig. 3. (a) Definition of  $E_+$  and  $E_-$ , the symmetric and anti-symmetric barrier components. For a reference energy equal to the mean of the energies of the initial and final state,  $s = E_+$  and  $w_i = -w_f = E_-$ . b) Distribution of  $E_-$  and  $E_+$  for the CoNiFeCrMn HEA [53] computed from 2971 NEB calculations.

der (SRO) parameters [58]. The SRO parameters did not change significantly over the next 30 million swaps.

Once this initial configuration was generated, we iterated through atoms in the system. For each iteration, we removed the target atom and relaxed the system, again by CG minimization. In the FCC crystal, each vacancy site has twelve neighbors. For each neighbor, a post-migration configuration was created by swapping that neighbor with the vacancy, followed by another relaxation. These served as the initial and final images for the NEB calculations, which were performed with a spring constant of 1 eV/Å (we verified this choice with a parametric study; the results were insensitive to the choice of spring constant within a range of 1 and 10 eV/Å).

The vacancy migration barrier energies, obtained from the NEB calculations, are shown in Fig. 2a. A Gaussian fit to this distribution yields a mean barrier of 0.81 eV with a standard deviation of 0.32 eV. We also note in Fig. 2b that Mn tends toward the smallest barriers ( $\mu = 0.47$  eV,  $\sigma = 0.18$  eV), while Co tends toward the largest ( $\mu = 1.07$  eV,  $\sigma = 0.22$  eV), though there is substantial overlap. The MPEA does indeed provide a rough energy landscape for vacancy migration, but to connect this to transport, we need more information than this, namely the extent to which this distribution is described by a random barrier (RB) or a random trap (RT) model. Fig. 3a gives the result of one NEB calculation. The leftmost point is the energy of the initial state, the rightmost the energy of the final state. The intermediate points are the energy of mid-

transition states, relaxed as the vacancy migrates, and the highest point corresponds to the saddle point of the transition.

There are two primary effects of randomness in the distribution of barrier heights and well-depths. First, it affects the average transition rate. Second, it introduces correlations between subsequent hops. For example, in a purely RB model, a vacancy that just crossed a barrier with a small saddle energy  $s$  is more likely to jump backwards over that same small barrier in the next hop. While the RT and RB models are constructed in terms of  $s$  and  $w$ , they cannot be measured directly in a useful way. In the MPEA, there is no well-defined reference energy; both the  $w$  before and  $w$  after a transition are equally valid reference states, and many changes in the system (such as a swap between two atoms far away from the vacancy) can shift both  $s$  and  $w$  without meaningfully altering the transition barrier. Instead, we consider the symmetric and anti-symmetric components of the transition,  $E_+ = (E_{A \rightarrow B} + E_{B \rightarrow A})/2$  and  $E_- = (E_{A \rightarrow B} - E_{B \rightarrow A})/2$ , respectively. If we choose a reference energy equal to the mean energy between the initial and final states, then  $s = E_+$  while  $w_A = -w_B = E_-$ . These are local descriptors of the transition barrier. If  $s$  and  $w$  are independent random variables, then the distributions of  $E_-$  and  $E_+$  are related to  $w$  and  $s$  in a predictable way (see Table 1).

These relationships translate from global quantities like  $s$  and  $w$  to local quantities that directly compare the transitions between adjacent states. Distributions of  $E_+$ , and  $E_-$  from the NEB calculations are given in Fig. 3b. A few initial observations can be made from this data. First,  $\sigma_+ = 0.314 \pm 0.007$  eV, is significantly greater than  $\sigma_- = 0.078 \pm 0.001$  eV. This is more consistent with an RB-like landscape, but there is still an RT component that cannot be ignored. There are also a substantial number of transitions with very small barriers ( $E_+ \approx 0$ ). While states with “zero barriers” can be disregarded as unstable states, there are a large number of states that are stable, but with very small non-zero barriers. This implies a small barrier for the vacancy to hop between two sites in either direction. Intuitively, one can imagine a vacancy rapidly hopping back and forth between the two sites. As a result, **we should expect highly correlated vacancy migration and a theory for diffusion in this system must account for such correlations.**

These NEB calculations illustrate the nature of the energy landscape in this particular MPEA. However, it is not clear how  $\sigma_w$  and  $\sigma_s$  affect diffusion kinetics. In the next section, we present Kinetic Monte Carlo results that predict the diffusion constant as a function of  $\sigma_w$  and  $\sigma_s$  and inform the development of a theoretical model. In the development of this model, we are interested primarily in the effect of this distribution of energies on vacancy diffusivity. We will therefore consider the overall distributions of the energies instead of species-specific energies (e.g. as shown in Fig. 2b).

#### 4. KMC simulations

The NEB calculations provide the full distribution of transition energies  $s$  and well depths  $w$  in the CoNiFeCrMn MPEA. To understand the role of the distribution widths ( $\sigma_s$  and  $\sigma_w$ ) on the vacancy diffusivity, we performed lattice Kinetic Monte Carlo (KMC) simulations. In KMC, we can directly specify the energy landscape and control the distribution of barriers. While  $s$  and  $w$  are not globally independent in real systems, we can construct a model system in which they are and use the relations in Table 1 to pick  $\sigma_s$  and  $\sigma_w$  such that  $E_+$  and  $E_-$  follow distributions observed in NEB calculations and reflect the local character of the MPEA.

While setting up a traditional KMC simulation with a fixed distribution of  $s$  and  $w$  is trivial, the KMC set-up for MPEA vacancy diffusivity needs subtle, but important, modifications. These modifications are as follows:

**Table 1**

Barrier properties and associated variance. These expressions are valid if  $s$  and  $w$  are independent, randomly distributed variables.

Quantity	$\text{var}(X)$
$E = s - w$	$\text{var}(s) + \text{var}(w)$
$E_+ = s - \frac{1}{2}(w_A + w_B)$	$\text{var}(s) + \frac{1}{2}\text{var}(w)$
$E_- = \frac{1}{2}(w_B - w_A)$	$\frac{1}{2}\text{var}(w)$

1. The energy landscape has to be dynamic. That is, one cannot *a priori* assign the values of  $s$  and  $w$  on the lattice and keep them fixed during the KMC simulation. This can be easily seen by imagining a vacancy moving in a random solid-solution. If a vacancy starts at a site  $i$ , completes a few hops, and returns to its original site  $i$ , such that the local atomic configuration is modified by the swapping of neighbors, then the site and barrier energies also change. Therefore, a model that accounts for this dynamic energy landscape has to be considered.
2. If the local atomic configuration does not change, then the site energy remains unchanged. That is, if a local atomic environment (consider the first nearest-neighbor shell around the vacancy) remains unchanged, then the site energy should remain the same. The same is true for the transition-state energies, except that we need to consider the environments of the both the initial and the final states.

In this study, we developed an indexing approach (determined by the nearest-neighbor configuration) to guarantee that the dynamic nature and the translational symmetry of the energy landscape are satisfied. Please refer to Supplementary Information for a discussion the details of the KMC simulation set-up. It is important to mention here that our indexing approach does not satisfy invariance with respect to rotation/inversion symmetries. However, we do not anticipate this to change the results significantly because we are using a statistical model. By not considering the rotation/inversion symmetries, we simply add to the diversity of the configurations. As we keep the underlying distributions the same, adding the rotation/inversion symmetries would be computationally very expensive while not altering the results significantly. Other than the symmetries mentioned above, this method is also truly random and ignorant of any chemical effects or correlations between barriers in similar environments.

Once the transition rates are calculated according to the  $w$  and  $s$  arrays, the simulation proceeds via the Bortz-Kalos-Lebowitz algorithm [59]. For each transition  $j$  from a site  $i$ , the rate is

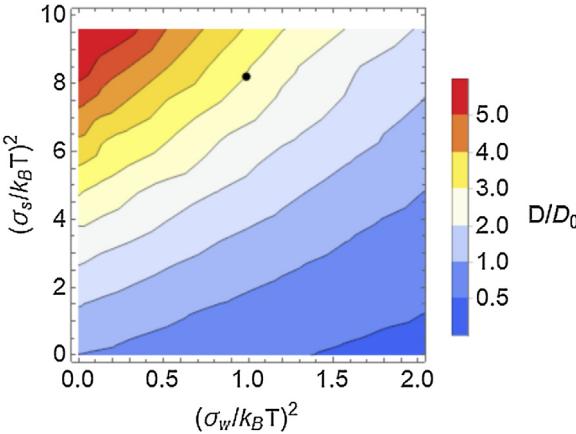
$$r_{ij} = v \exp(-(s_{ij} - w_i)/k_B T), \quad (1)$$

where  $v$  is an attempt frequency,  $E_{ij} = s_{ij} - w_i$  is the migration barrier, and a transition is chosen randomly with a probability proportional to its rate. The vacancy site is then occupied by the target neighbor atomic species and the neighbor is then occupied by the vacancy and the time is incremented by

$$\Delta t = \frac{1}{R} \ln(1/\epsilon), \quad (2)$$

where  $R$  is the sum of all transition rates and  $\epsilon$  is a number chosen from a uniform distribution  $\epsilon \in (0, 1]$ . This process is repeated for each step of the simulation. The diffusion constant can then be computed by measuring the mean-square displacement as a function of time, averaged over many runs of the simulation (i.e.,  $\langle x^2 \rangle = 6Dt$ ).

The results of KMC simulations at a fixed temperature 1273K and mean barrier  $\mu = 0.81$  eV (corresponding to the NEB measurements) over a range of  $\sigma_w$  and  $\sigma_s$  are given in Fig. 4. It is immediately apparent that while diffusivity decreases with increasing  $\sigma_w$ , it increases with increasing  $\sigma_s$ . For  $\sigma_s$  and  $\sigma_w$  chosen to match



**Fig. 4.** Diffusion constant  $D$ , computed via KMC simulation over a range of  $\sigma_w$  and  $\sigma_s$  at a temperature of 1273 K and scaled by  $D_0$ , the diffusion constant for a uniform barrier distribution equal to the mean barrier 0.81 eV. The black circle denotes  $\sigma_w$  and  $\sigma_s$  chosen to match  $\sigma_-$  and  $\sigma_+$  as measured via NEB for the CoNiFeCrMn MPEA (see Table 1).

$E_+$  and  $E_-$  for the CoNiFeCrMn MPEA, one would expect approximately a three-fold **enhancement** in vacancy diffusivity relative to a material with a uniform barrier distribution. This makes intuitive sense; for a random trap model (large  $\sigma_w$ ), the time is dominated by the vacancy's residence in deep energy wells. For a random barrier model, large barriers do not impede migration of the vacancy if there are alternative short barriers that it can traverse instead.

This can be understood by analogy to resistors. For a random trap model, the roughness in the potential corresponds to a variation between subsequent hops; the total resistance of a series of resistors is given by the sum of resistances, which is dominated by the largest resistances. For a random barrier model, the roughness in the potential corresponds to a variation between alternate transitions out of a given state; the resistance of a set of resistors in parallel is given by the inverse of the sum of conductivities, for which small resistances dominate. One cannot decrease resistance by adding a weak resistor in series no more than one can increase the resistance by adding a strong resistor in parallel. To fully explain these results and make future predictions, we next present a statistical theory of vacancy migration in disordered energy landscapes.

## 5. Theory

The KMC simulations provide the foundational observation that random trap landscapes impede diffusion while random barrier landscapes enhance it. An analytical theory is necessary to explain these observations and make intuitive predictions. A rough energy landscape can have two effects on diffusion. First, it can change the average transition rate  $\Gamma$  (analogous to the effective rates computed in prior work [21,43–45,47]). Second, it can introduce correlations between hops. Consider a rough landscape given by random, independent distributions of well-energies  $w$  and transition saddle-point-energies  $s$ , with means  $\mu_w$  and  $\mu_s$  and standard deviations  $\sigma_w$  and  $\sigma_s$ . The diffusion constant  $D$  can be expressed as

$$\frac{D}{D_0} = \frac{\Gamma(\sigma_s, \sigma_w, \mu)\mathbb{F}(\sigma_s, \mu)}{\Gamma_0}, \quad (3)$$

where  $D_0$  and  $\Gamma_0$  are the diffusion constant and jump frequency for a random walker in a landscape with constant vacancy migration barrier energy  $\mu = \mu_s - \mu_w$  and  $\mathbb{F}$  is the effect of correlations. When the vacancy migration barrier is a constant value, there are no correlations and  $\mathbb{F} = 1$ . It is important to note that  $\mathbb{F}$  depends only on the distribution of saddle-point energies and not on the

distribution of well-energies. That is, for a purely random trap energy landscape  $\mathbb{F} = 1$ . This is because  $w$  is the property of the lattice site and all jumps out of that site are equally likely (refer to Fig. 1(a)). Therefore, the randomness in the well-energies will not affect which transition is selected and hence cannot influence correlations between subsequent hops. Therefore, we omit the dependence of  $\sigma_w$  for  $\mathbb{F}$  in Eq. (3). In the following, we will derive the analytical form for the jump frequency  $\Gamma(\sigma_s, \sigma_w, \mu)$  and the effect of correlations  $\mathbb{F}(\sigma_s, \mu)$  in the disordered energy landscape. The derivation will include the following steps:

- First, we will show that the contributions of the disorder in well-energies can be separated from those in the saddle-point energies. That is, the mixed model can be simplified into two independent contributions arising from a pure random-trap and a pure random-barrier model.
- The random trap contribution is equivalent to the expression derived by Zwanzig [21]. For the random-barrier contribution, we outline the steps required to compute the average transition rate  $\Gamma(\sigma_s, \mu)$  for a random barrier model. The key insight that we will develop here is that the distribution of barriers-crossed (hops) is not the same as the distribution of barriers present in the system.
- Finally, we derive the effect of correlations  $\mathbb{F}(\sigma_s, \mu)$  in the random barrier model. As the well-energy distributions do not contribute to  $\mathbb{F}$  (as discussed above), it suffices to simply compute the correlations for the pure RB model.

### 5.1. Separation of the random trap (RT) and random barrier (RB) contributions

Assuming vacancy migration is thermally-activated with an attempt frequency  $\nu$ , the rate of a transition, from state  $i$  to  $j$ , is given by  $\Gamma_{ij} = \nu \exp(-E_{ij}/k_B T)$ , where  $E_{ij} = s_{ij} - w_i$ . The average time  $\langle \tau \rangle$  that a vacancy resides in state  $i$  is therefore

$$\langle \tau \rangle = \left\langle \left( \sum_j^z \nu e^{-\frac{E_{ij}}{k_B T}} \right)^{-1} \right\rangle = \nu^{-1} \left\langle e^{\frac{-w_i}{k_B T}} \left( \sum_j^z e^{-\frac{s_{ij}}{k_B T}} \right)^{-1} \right\rangle, \quad (4)$$

where  $z$  is the coordination number and the number of possible jumps for the vacancy. If  $w_i$  and  $s_{ij}$  are independent random variables, their corresponding terms can be separated. That is,

$$\Gamma = \langle \tau \rangle^{-1} = \frac{\nu \langle \exp(-w_i/k_B T) \rangle^{-1}}{\left\langle \left( \sum_j^z \exp(-s_{ij}/k_B T) \right)^{-1} \right\rangle}. \quad (5)$$

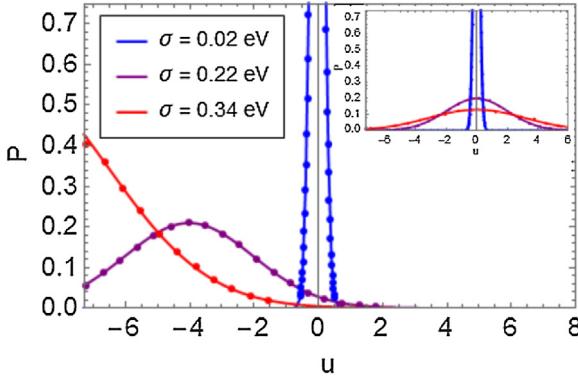
The average  $\langle \rangle$  is over all **hops** (i.e., all chosen transitions), rather than all possible transitions. As the choice of a transition is independent of  $w_i$ , an average over  $w_i$  encountered by the vacancy is simply the system-wide normal distribution of  $w$  and the Arrhenius part of the RT component is

$$\mathbb{A}_{RT} = \left\langle \exp\left(-\frac{w_i}{k_B T}\right) \right\rangle^{-1} = \exp\left(\frac{\mu_w}{k_B T}\right) \exp\left[-\left(\frac{\sigma_w}{k_B T}\right)^2\right], \quad (6)$$

identical to Zwanzig's result [21]. Here, we introduce the symbol  $\mathbb{A}$  for the Arrhenius part of the jump frequency. The remaining RB component,

$$\frac{\Gamma}{\mathbb{A}_{RT}} = \nu \left\langle \left[ \sum_{j=1}^z \exp\left(-\frac{s_{ij}}{k_B T}\right) \right]^{-1} \right\rangle^{-1} = \nu \mathbb{A}_{RB}, \quad (7)$$

is independent of  $w$ . That is, we can solve the case of the mixed energy model as independent contributions of a pure random-trap model,  $\mathbb{A}_{RT}$  (Eq. (6)), and a pure random-barrier model  $\mathbb{A}_{RB}$  (Eq. (7)), that is  $\Gamma = \nu \mathbb{A}_{RT} \mathbb{A}_{RB}$ .



**Fig. 5.**  $P_c$  and  $P_1$  (inset) distributions, as computed by theory (solid) and from KMC (points). The distributions are plotted as a function of the barrier energy  $u = s/k_B T$ .

## 5.2. Computing the jump frequency for a pure random-barrier model

To compute the arrhenius part,  $\mathbb{A}_{RB}$  in Eq. (7), we have to determine the distribution of the barriers crossed by the vacancy in the random-walk trajectory. This is different from the distribution of the barriers present in the system as the vacancy prefers to jump over smaller barriers. For a uniform landscape, where the migration energy barrier is given by  $\mu = \mu_s - \mu_w$  and  $\sigma_w = \sigma_s = 0$ , the Arrhenius parts of the jump frequency are given by  $\mathbb{A}_{RB}^0 = \exp(-\mu_s/k_B T)$  and  $\mathbb{A}_{RT}^0 = \exp(\mu_w/k_B T)$ . The jump frequency, in the uniform barrier case, has the form  $\Gamma_0 = v \mathbb{A}_{RT}^0 \mathbb{A}_{RB}^0 = v \exp(-\mu/k_B T)$ . That is, while  $\mathbb{A}_{RB}$  and  $\mathbb{A}_{RT}$  are sensitive to the choice of reference energies (e.g., choosing  $(\mu_s, \mu_w) = (\mu, 0)$  or  $(\mu_s, \mu_w) = (0, -\mu)$ ), the product  $\mathbb{A}_{RB} \mathbb{A}_{RT}$  is not. In the disordered energy landscape,  $\mathbb{A}_{RB}$  is independent of the distribution of well-energies,  $w$ , and it can be calculated by fixing  $w$  to a constant value, i.e.  $w = \mu_w$ . For clarity, we choose a reference energy such that  $\mu_w = -\mu$  and  $\mu_s = 0$  and adopt a reduced notation  $u = s/k_B T$ ,  $u_0 = -\mu/k_B T$ , and  $x = (\sigma_s/k_B T)^2$ .  $u$  is drawn from a truncated normal distribution:

$$P_1(u, \mu, x) = N_1(\mu, x) e^{-\frac{u^2}{2x}}, \quad (8)$$

over the domain  $u \geq u_0$ .  $N_1(\mu, x)$  is a normalization constant. From a given lattice site, the probability  $p$  of selecting a given transition is

$$p = \frac{e^{-u}}{e^{-u} + \sum_j^{z-1} e^{-v_j}}, \quad (9)$$

where  $u$  is the barrier of the target transition and  $v_j$  represent all of the other transition barriers.

If the vacancy jumps over a small barrier  $u$ , it is likely to hop backwards across that same barrier (for the **random-barrier** energy landscape). This means that the distribution of  $u$  encountered by the vacancy should be biased towards smaller barriers relative to the system-wide barrier distribution,  $P_1$ . The distribution of **chosen** barriers  $P_c(u)$  is

$$P_c(u) = P_1 \sum_{i=1}^{\infty} p^i = N(x, u_0) e^{-\frac{(u+u_0)^2}{2x}}, \quad (10)$$

where  $N(x, u_0)$  is a normalization constant. Eq. (10) can be understood as follows: the probability that a barrier first encountered by the vacancy has height  $u$  is given by  $P_1$  (Eq. (8)). The probability of the vacancy crossing that barrier once is given by  $p$  (Eq. (9)), crossing twice is given by  $p^2$ , etc. The sum of these probabilities is then  $P_c$ . This distribution (with  $P_1$  inset), compared with KMC results, is shown in Fig. 5. The  $P_c$  distribution is nearly identical to the KMC results.

The Arrhenius part of the random barrier model,  $\mathbb{A}_{RB}$ , is related to the inverse of the average of residence times (as shown

in Eq. (7)). That is, the jump frequency for the RB model can be expressed as  $\Gamma_{RB} = v \mathbb{A}_{RB}$  where  $\mathbb{A}_{RB} = [v \langle \tau \rangle]^{-1}$ . Here the residence time,  $\tau$ , of the vacancy in the lattice-site  $i$  is given by:

$$v \tau = \left[ \sum_{j=1}^z \exp\left(-\frac{s_{ij}}{k_B T}\right) \right]^{-1} \quad (11)$$

where  $s_{ij}$  is the saddle-point energy for the hop from state  $i$  to state  $j$ . Suppose the vacancy arrives at state  $i$  by hopping over a barrier given by the non-dimensionalized variable  $u$ . The barriers that the vacancy now observes, from state  $i$ , are  $u$  and  $v_j$  ( $j = 1, \dots, z-1$ ). We use two different variables  $u$  and  $v$  to denote the barriers from state  $i$  to emphasize the fact that they are drawn from two distinct probability distributions. Since the vacancy just hopped over the barrier  $u$ , the corresponding distribution is given by  $P_c$  (Eq. (10)). The distributions of the remaining  $z-1$  barriers, defined by random variables  $v_j$ , correspond to the system-wide function,  $P_1$  (Eq. (8)). The residence time,  $\tau$ , can be re-written in terms of the non-dimensional variables,  $u$  and  $v_j$ , as:

$$v \tau = \left[ \exp(-u) + \sum_{j=1}^{z-1} \exp(-v_j) \right]^{-1} \quad (12)$$

The mean of the residence times,  $\langle \tau \rangle$ , can be obtained by a twelve-fold integral of the rate terms,  $e^{-u}$  and  $e^{-v_j}$ , multiplied by the appropriate distribution functions,  $P_c$  and  $P_1$ , respectively. Unfortunately, there are no analytical solutions for the integration of the rate terms,  $e^{-v_j}$ , when the random variable  $v_j$  is described by a truncated normal distribution.

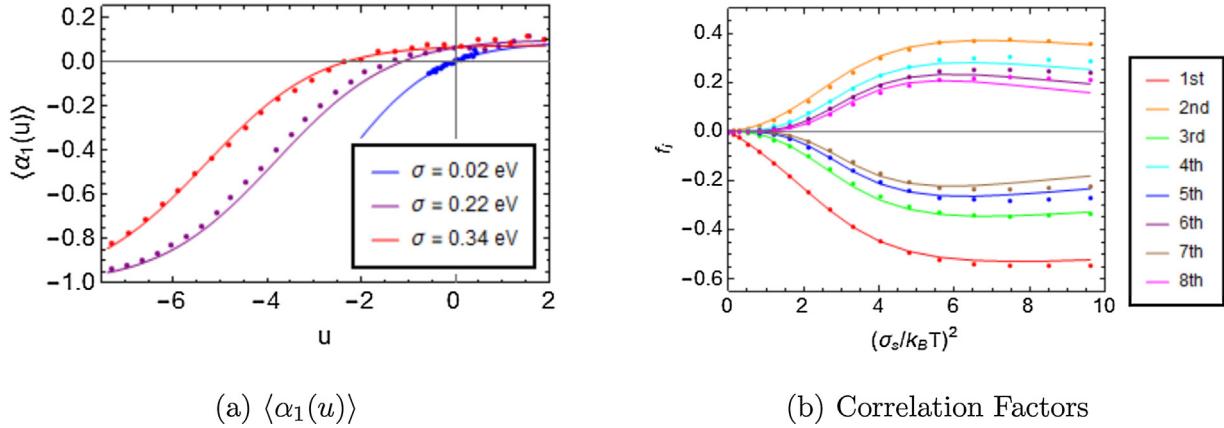
Instead, we introduce approximate probability distributions for the summation of rates, defined using the variable  $r_k = \sum_{j=1}^k \exp(-v_j)$ , where  $v_j$  is a random variable drawn from a truncated normal distribution.  $r_k$  is now a random variable, defined as the sum of rates over  $k$  barriers, with a probability distribution  $\rho_k(r_k)$ . The determination of the distributions,  $\rho_k$ , is similar to the Fenton-Wilkinson approximation [70] and is described in Supplementary Information . Equipped with the distribution functions,  $\rho_k$ , we can now simplify the computation of the average residence time  $\langle \tau \rangle$ . The residence time, for site  $i$ , can be re-written as  $v \tau = (e^{-u} + r_{z-1})^{-1}$  and the average residence time can simply be computed as a two-fold integral over the random variables  $u$  and  $r_{z-1}$ , multiplied by the appropriate distribution functions  $P_c$  and  $\rho_{z-1}$ , respectively. Therefore, the Arrhenius part,  $\mathbb{A}_{RB}$ , can be written in terms of a two-fold integral as:

$$\mathbb{A}_{RB} = [v \langle \tau \rangle]^{-1} = \left[ \int \int (e^{-u} + r_{z-1})^{-1} P_c(u, \mu, \sigma) \rho_{z-1}(r_{z-1}) du dr_{z-1} \right]^{-1}, \quad (13)$$

which can be evaluated numerically. As mentioned above, the barrier that the vacancy most recently hopped is drawn from the  $P_c$  distribution (Fig. 5). As  $P_c$  favors smaller barriers, the average rate in the RB model will be higher than that for a uniform distribution. This also implies correlations between subsequent hops - if the vacancy hops over a particularly short barrier, it is more likely to hop backwards in the direction opposite the previous hop.

## 5.3. Computing the correlation factor between hops

The  $j$ th correlation factor  $f_j = \langle \vec{v}_i \cdot \vec{v}_{i+j} \rangle$  is the average cosine between a given hop  $i$  and  $j$  hops subsequent. If the hops are completely uncorrelated, then  $f_j = 0$ . In a vein similar to the derivation of the  $\mathbb{A}_{RB}$ , we will suppose that a vacancy reaches site  $i$  by hopping over a barrier  $u$ . Let us also denote the barrier over a hop in the same direction as the previous jump as  $v$ . Then the average



**Fig. 6.** a) Marginal distribution  $\langle \alpha_1(u) \rangle$  as a function of previously-hopped barrier  $u$  for different values of  $\sigma_s$ , compared with measurements from KMC (points). b) The correlation factors  $f_i$  in the random-barrier model.

cosine for the next hop from site  $i$  is given by:

$$\alpha_1(u, v, r_{z-2}) = \frac{e^{-v} - e^{-u}}{e^{-v} + e^{-u} + r_{z-2}}, \quad (14)$$

where  $r_{z-2}$  is the sum of the rate terms of the remaining barriers, i.e.  $r_{z-2} = \sum_{j=1}^{z-2} e^{-v_j}$ . This expression can be rationalized as follows: (i) the  $e^{-u}$  term reflects the possibility that the vacancy hops backwards over the same barrier  $u$  (corresponding to a cosine of -1), (ii) the  $e^{-v}$  term corresponds to a hop in the same direction as the previous hop (corresponding to a cosine of 1), and on average, the cosine terms of all other hops cancel out in the numerator. (iii) In the denominator, we have the summation of the probabilities of all possible jumps, given by  $e^{-u}$ ,  $e^{-v}$  and  $r_{z-2}$  (for the remaining  $z-2$  jumps). As discussed in Supplementary Information,  $r_{z-2}$  is a random-variable whose distribution is given by the function  $\rho_{z-2}$ .

The first correlation factor  $f_1$  is then obtained by integrating over the distributions  $u$ ,  $v$  and  $r_{z-2}$  as:

$$f_1 = \iiint \mathbb{P}(u, v, r_{z-2}, x) \alpha_1(u, v, r_{z-2}) du dv dr, \quad (15)$$

where

$$\mathbb{P}(u, v, r_{z-2}, x) = P_c(u, x) P_1(v, x) \rho_{z-2}(r_{z-2}, x). \quad (16)$$

These integrals can be easily evaluated using numerical integration techniques. In Fig. 6(a), we plot the marginal distribution  $\langle \alpha_1(u) \rangle$  defined as:

$$\langle \alpha_1(u) \rangle = \int \int P_1(v, x) \rho_{z-2}(r_{z-2}, x) \alpha_1(u, v, r_{z-2}) dv dr, \quad (17)$$

Shown in Fig. 6(a), is a close match between  $\langle \alpha_1(u) \rangle$  and the KMC simulations for three different values of  $\sigma_s$ . Note the convergence of  $\langle \alpha_1(u) \rangle$  to -1 as  $u$  decreases. For smaller barriers  $u$ , it is very highly likely that the vacancy will jump back over that small barrier. This results in an angle of  $180^\circ$  between subsequent hops and the average cosine will converge to -1.

For higher order correlations  $f_i$  (i.e., the correlation between a hop and the  $i$ th subsequent hop), one must determine corresponding  $\alpha_i$  terms (see Supplementary Information 3). The first eight correlation factors ( $f_1, f_2, \dots, f_8$ ) are plotted in Fig. 6b, compared directly with measurements from KMC simulations. The theory is nearly an exact match to the simulations, except for the high-order correlation factors at large  $x$ . This is discussed further in Supplementary Information. The effect of correlation factors on the diffusion coefficient, up to the  $n$ th correlation factor, is given by

$$\mathbb{F} = 1 + 2\langle \nu_i \cdot \nu_{i+j} \rangle = 1 + \frac{2}{n} \sum_j^n (n-j+1) f_j. \quad (18)$$

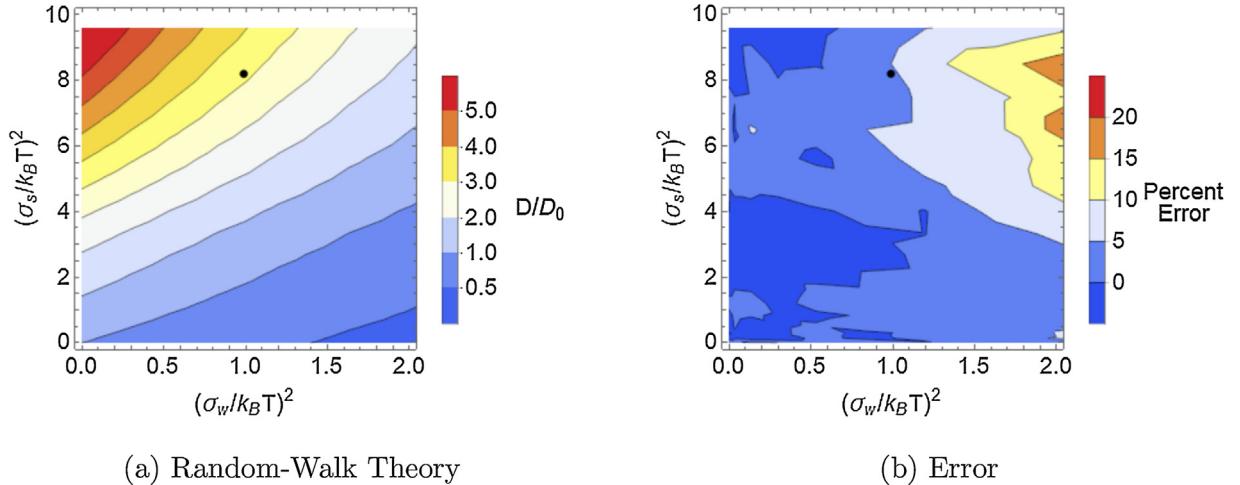
This is a modification of the common random walker solution with correlated hops for finite sums [60]; if, for example, we consider up to the 8th correlation factor, then we sample from an initial hop up to 9 hops in the future. This means that 8 instances of  $f_1$  count toward the average, 7 instances of  $f_2$ , etc. We note here that it is common in the theory of correlated random walks to approximate  $\mathbb{F} = (1 + f_1)/(1 - f_1)$  [60]; this is only valid if every state is equivalent, such that  $f_j = f_1^j$ . That is not true in this case, as evidenced by Fig. 6.

## 6. Results and discussion

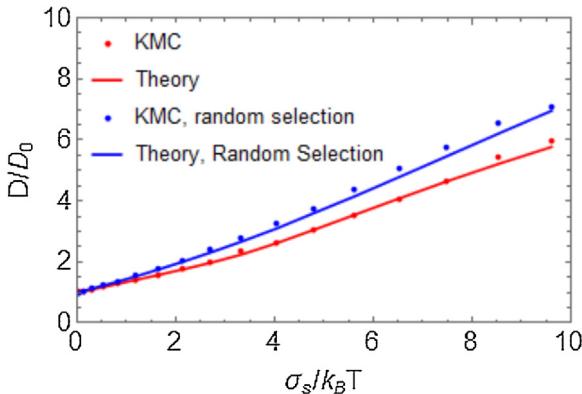
The analytical diffusivity (the ratio  $D/D_\infty$ , where  $D_\infty$  is the diffusivity when  $\sigma_w = \sigma_s = 0$ ) is plotted as a function of  $(\sigma_w/k_B T)^2$  and  $(\sigma_s/k_B T)^2$  in Fig. 7a, and the error between the theory and KMC is given in Fig. 7b. The theory and KMC produce nearly identical results, except when both  $\sigma_w$  and  $\sigma_s$  are large. This is because while the random barrier model does not allow the  $s$  component of transition energy to be less than  $\mu_w$ , it does not account for the effect of particularly deep wells, which could allow stable configurations where  $s < \mu_w$  as long as  $s > w$ . This is, however, only a problem when  $\sigma_w$  and/or  $\sigma_s$  are much greater than measured for the CoNiFeCrMn HEA.

These plots show that vacancy diffusivity can either be retarded ( $D/D_\infty \sim 0.5$  if  $\sigma_w = k_B T \sqrt{2}$  and  $\sigma_s = k_B T$ ) or accelerated ( $D/D_\infty \sim 2$  if  $\sigma_w = k_B T$  and  $\sigma_s = \sqrt{5}k_B T$ ) depending on the widths of the distributions of site energies ( $w$ ) and transition-state energies ( $s$ ). This captures the full breadth of behaviors observed in more recent experimental results, while perhaps explaining the assumed universality of sluggish diffusion in MPEAs. The KMC simulations and theoretical model presented here provide insight to the relationship between the energy landscape and the resulting transport properties. In the most general terms, a large  $\sigma_s$  (or  $\sigma_+$ ) yields enhanced diffusion, while a large  $\sigma_w$  (or  $\sigma_-$ ) produces sluggish diffusion. Diffusion constants may be difficult to measure directly, but the method presented here, to compute  $E_+$  and  $E_-$  distributions, is amenable to automated survey that can be used to probe the high-dimensional compositional space of MPEAs.

The model also allows us to directly quantify the influence of correlations between hops and barrier selection on diffusion in a random energy landscape. Fig. 8 shows a comparison between KMC and Theory for the pure random barrier model and a simplified model. In the simplified KMC, all transitions from a given state are given equal probability  $1/z$ , irrespective of the barrier energy, at each time step. In the simplified theory, we equate the probability of hopped barriers to the system-wide distribution of transition state energies, i.e.  $P_c = P_1$ . Under these conditions, the averaging



**Fig. 7.** a)  $D/D_0$  as calculated via analytical theory with mean barrier  $\mu = \mu_s - \mu_w = 0.81$  eV and temperature 1273 K. b) Percent error between analytical theory and KMC.  $D$  is the diffusion constant, while  $D_0$  is the constant for a landscape with uniform barriers of height  $\mu$ . The black circle denotes  $\sigma_w = 0.11$  eV and  $\sigma_s = 0.30$  eV, corresponding to the  $E_+$  and  $E_-$  distributions measured for the CoNiFeCrMn MPEA, as measured by NEB.



**Fig. 8.** Diffusion constant for theory and KMC (red) for a pure RB model, compared with a model in which all transitions have equal probability (blue). For these calculations,  $\mu = 0.81$  eV. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

over all chosen vacancy hops (Eq. (7)) can be computed by simply averaging over the entire energy landscape, making it more similar to the Effective Medium approximation common in existing literature [19,20,42,45,47,48]. The two cases are very similar due to the competing effects of preferential small-barrier selection and hop correlation. For example, when  $\sigma_s = 0.34$  eV,  $\Gamma$  is approximately  $\sim 2.3$  times larger than in the equal-probability case but the correlation factor  $\mathbb{F} \approx 0.3$ . Therefore, the difference between the two scenarios (as shown in Fig. 8) is not very large. However, this is not guaranteed for different temperatures and mean barriers. It is important to identify the correct theory for modeling the random energy landscape if we wish to accurately model diffusion in disordered materials.

We note this theory, like the KMC simulations, assumes that all barriers are randomly generated unless the vacancy follows a closed path that preserves the positions of each atomic species. Irrespective of the assumptions that went into this KMC and theoretical model, it is clear that the random barrier/trap character of the energy landscape can profoundly influence diffusion, potentially altering the effective diffusion constant by at least an order of magnitude; these details are necessary to predict diffusion behaviors in disordered systems and must be considered in future models. To summarize, we have developed a theoretical model that sheds

light on two important aspects that are currently missing in the analysis of diffusion in multi-component alloy systems:

- 1. The explicit treatment of both the well- and saddle-point energies:** The randomness in migration barriers is generally invoked in the MPEA community as a qualitative measure to justify sluggish diffusion. However, we show that the distributions of **both** the well- and the saddle-point energies influence diffusion. For example, in a recent study involving the diffusion of interstitial atoms in a binary Ni-Fe solid solution, Osetsky et al. [61] show that the tracer diffusion coefficient can be reduced by tuning the local defect energy (i.e. the well-energy). These results can be understood from our model as the contribution of the random-trap component to diffusivity.
- 2. Discrete vs. continuous energy distributions:** Most continuum theoretical models, that utilize mean-field theories and Onsager relationships, consider a discrete set of barriers (referred to as multi-frequency models) to evaluate solute diffusivities in alloy systems [35,37,38,62–64]. While these models have shown remarkable success in simplified systems (either dilute or binary alloys), we show that the width of the distributions also plays an important role in determining transport coefficients. As far as the authors are aware, this is the first study quantifying the role of the distribution widths,  $\sigma$ , on diffusion in solid-solution alloys.

The KMC model and the random-walk theory developed in this article incorporate enough complexity to be useful to predict trends in diffusivity of complex solid solutions. However, these models are also meant to be simple enough (i.e. they depend only on the distributions of saddle-points and well energies that can be measured and predicted for MPEAs) to be useful for designing novel multi-component alloy systems. That said, our models can be augmented to consider the effect of species-specific kinetics; for example, one may consider changing the concentration of elements that exchange more easily with a vacancy than others. In our KMC and the random walk models, we draw the energies from a single distribution (e.g. corresponding to Fig. 2(a)). However, as shown in Fig. 2(b), the distributions depend on the element with which the vacancy exchanges its position. This will introduce effects that are specific to the chemical species and, if considered, will allow for an understanding of the variation in diffusivities with concentration. For example, in a recent study, Osetsky et al. [65] computed diffusion coefficients in binary Ni-Fe alloys and showed that increasing the concentration, up-to a certain

extent, of the faster species (Fe) decreases the overall atomic diffusion. The composition dependence has a minimum near the site percolation threshold, corresponding to  $\sim 20$  at.%Fe. For the alloy we investigated in this article, Mn atoms have low barrier for vacancy migration (as shown in Fig. 2(b)) and it has also been shown that Mn containing alloys exhibit sluggish diffusion [22]. Qualitatively, this rather surprising observation can be understood as a result of increased correlation effect on diffusion - if a vacancy exchanges with an easily-migrating atom (e.g. with Fe in the Ni-Fe alloy [65] or with Mn in alloys investigated in Ref. [22]), it can swap back with that atom, similar to the correlations investigated in this article. This will effectively result in traps up to a certain concentration of the faster species, after which, a percolation of low-barriers will lead to increase in the diffusion coefficient.

To gain a more quantitative understanding of such effects, we could extend our KMC model to explicitly include the chemical effects by simply drawing from a different  $w$  and  $s$  distributions, depending on the migrating species. By tracking a specific atom instead of the vacancy, the atom-specific self-diffusion coefficients can be computed as a means to understand experimental observations. Modifications to the random-walker model, so as to include species-specific kinetics and concentration dependence, would be non-trivial. One strategy would be to extend the existing Onsager-type continuum and multi-frequency models [35,37,38,62–64]. A model recently developed by Vaks et al. [36] is promising. It independently considers saddle point and well energies and predicts diffusivity as a function of concentration. However, only mean-values are used in this model and it has only been validated for binary alloys, where we expect the distributions to be narrower and more discrete due to the smaller configuration space. These models can be extended by considering species-specific distributions for site- and saddle-point energies, as was done for vacancy diffusivity in this article.

## 7. Conclusions

The set of experimentally synthesized alloys cover only a small region of the high-dimensional compositional space that has been identified as thermodynamically plausible for MPEAs [66,67]. To sample this high-dimensional space in an efficient manner and to design novel MPEAs with targeted properties, the need for new theoretical and computational tools has been highlighted [68]. In this current study, we focused on developing analytical tools for predicting diffusion kinetics, which are time consuming to measure either using experiments or atomistic simulations. For diffusivity in MPEAs, the computational tools require a foundation of a) characterization of the kinetic barriers to diffusion, b) a means of simulating diffusion in a model system faithful to the observed energy landscape, and c) a theoretical framework for understanding how the underlying energy landscape relates to diffusion.

In this article, we presented a complete cross-section of diffusion in rough energy landscapes, where the disorder is representative of solid-solution MPEAs. We developed a flexible KMC protocol where the distributions of the disordered well and the saddle-point energies can be independently controlled. To better understand the KMC results and to develop predictive models, we presented a theoretical framework for vacancy diffusivity in disordered energy landscapes. While the statistics used in the KMC simulations are informed by the direct computation of the migration barriers for a CoNiFeCrMn EAM potential, the developed theory spans a wide-range of distributions that one may observe in generic MPEAs. Therefore, for a given alloy system, equipped with knowledge of the well and saddle-point energy distributions, simulations and theoretical results provided in this article can be used to predict transport properties. The theory itself lends a simple intuition regarding transport; wider distributions of saddle-point en-

ergies enhance diffusion while wider distributions of well depths stifle diffusion. If these distributions can be connected to alloy chemistry and compositions, this can serve to aid the development of designer MPEAs with optimized transport properties.

This statistical investigation of vacancy diffusion provides a building block for future navigational tools in the vast MPEA sea. In the near term, it should also serve as a guide for what computational tools currently in development must do correctly. The potential that we used [53] is a laudable effort - a necessary step for the atomistic modeling of these complex alloys. However, for understanding vacancy diffusivity, it is insufficient to match just the vacancy migration barriers. The present work suggests that these random landscapes can indeed produce trap environments that produce sluggish diffusion (as has been recently reaffirmed [69]), but that this is not the full picture. Holding the distribution of barriers steady, one can change the diffusion constant by orders of magnitude. MPEA potentials, mean field theories, and other methods cannot be relied upon for diffusion-related problems if they do not capture the full nature of the energy landscape.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Supplementary material

Supplementary material associated with this article can be found, in the online version, at doi:[10.1016/j.actamat.2020.06.022](https://doi.org/10.1016/j.actamat.2020.06.022)

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