



Thiophene-derivatized pyridine-biscarboxamide as a sensitizer for Ln^{III} luminescence and $^1\text{O}_2$ generation

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ABSTRACT

Lanthanide ion ($\text{Ln}^{\text{III}} = \text{Gd}^{\text{III}}, \text{Eu}^{\text{III}}, \text{Nd}^{\text{III}}, \text{Er}^{\text{III}}, \text{and Yb}^{\text{III}}$) complexes of 4-thiophen-3-yl-pyridine-2,6-dicarboxamide (1Tcbx) were isolated and their photophysical properties explored. In acetonitrile, 1Tcbx sensitizes the visible and NIR-emitting Ln^{III} ($\text{Ln}^{\text{III}} = \text{Eu}^{\text{III}}, \text{Yb}^{\text{III}}, \text{Nd}^{\text{III}}, \text{and Er}^{\text{III}}$) ions. Quantum yields of Ln^{III} sensitization are $\phi^{\text{Eu}} = 25\%$, $\phi^{\text{Yb}} = 0.42\%$, $\phi^{\text{Nd}} = 0.19\%$, and $\phi^{\text{Er}} = 0.01\%$. These luminescent complexes generate singlet oxygen ($^1\text{O}_2$) as well, which is monitored through its phosphorescence at 1270 nm. For the Nd^{III} complex, the formation of $^1\text{O}_2$ was masked by the intense $^4\text{F}_{3/2} \rightarrow ^4\text{I}_{13/2}$ transition. The efficiency of $^1\text{O}_2$ generation ($\phi_{1\text{O}_2}$) was quantified for $[\text{Gd}(\text{1Tcbx})_3]^{3+}$ at 12%.

1. Introduction

Luminescent lanthanide (Ln^{III}) complexes are interesting for applications in sensing and imaging [1–15]. The spectroscopic properties of Ln^{III} , namely long excited-state lifetimes and sharp emission bands, arise from 4f–4f transitions and enable the use of time-gated detection for improved signal-to-noise ratio. Due to the low molar absorptivity of these electronic transitions ($\epsilon < 10 \text{ M}^{-1} \text{ cm}^{-1}$), direct excitation of the Ln^{III} is inefficient. Therefore, organic chromophores are used as sensitizer in a process called the *antenna effect*. This process, shown in Fig. 1, begins with the population of the ligand excited singlet state, ^1S , upon light irradiation ($h\nu$). Subsequent intersystem crossing (ISC) populates a triplet state, ^3T , a long-lived state that can energy transfer (ET) to the emissive excited state of the Ln^{III} ion (*f). Ln^{III} -centered luminescence returns the metal ion to the ground state (f) [16]. While it is generally accepted that the main pathway of sensitization is through the ^3T state, concurrent or alternative sensitization through ^1S is reported as well [17,18]. In addition, sensitization through charge transfer states (CT) has gained increasing attention [19].

Targeted functionalization of the antennas can be useful to tune Ln^{III} complexes for specific properties, and thus, allows for blending additional functionality with the unique characteristics of Ln^{III} luminescence. For example, a Tb^{III} complex derivatized with a borate group is a poor sensitizer of Tb^{III} luminescence, yet upon reaction with H_2O_2 generates a phenol capable of transferring energy in a turn-on luminescence process useful to sense the peroxide species *in situ* [20]. A

hetero- Ln^{III} coordination polymer with bridging 5-benzene-1,4-dicarboxylato groups exhibits white light luminescence with increased thermal stability [21].

More recently, Ln^{III} luminescent molecules have been described that generate cytotoxic singlet oxygen ($^1\text{O}_2$). As shown in Fig. 1, $^1\text{O}_2$ can be formed upon energy transfer between the ^3T state and triplet oxygen ($^3\text{O}_2$). Due to the role of the ^3T state in the sensitization of Ln^{III} -centered emission and $^1\text{O}_2$ generation, these two pathways are concurrent and will influence each other. Some examples of $^1\text{O}_2$ generating luminescent Ln^{III} complexes feature ligands functionalized with pyrene [22], porphyrin [23], polycyclic aromatic compounds [24], quinoline [25], 1,4,7-triazacyclonane [26], nitrogen-containing heterocycles [27], and hypocrelin B [28]. Our group has described a naphthalimide-based photosensitizer; its resulting complexes are capable of either visible (Eu^{III}) or near-infrared (NIR) luminescence (Yb^{III} or Nd^{III}), in addition to generating $^1\text{O}_2$ [29]. We have also recently described a 2,2':5',2''-terthiophene-based antenna for Ln^{III} emission and $^1\text{O}_2$ generation, 3Tcbx. We demonstrated that this ligand is capable of sensitizing the NIR luminescence of Yb^{III} , Nd^{III} , and Er^{III} and of wavelength-dependent $^1\text{O}_2$ generation, due to the involvement of higher energy excited states on the ligand [30]. Further, our group has described oligothiophene-based water-soluble complexes that sensitize visible (Eu^{III}) and NIR luminescence (Yb^{III} , Nd^{III}) and are phototoxic to HeLa cells [31]. Although these examples do demonstrate Ln^{III} luminescence and $^1\text{O}_2$ generation capability, in many cases the efficiencies of these processes are low, and sensitization of Ln^{III} luminescence is weak in the presence of $^3\text{O}_2$ [24].

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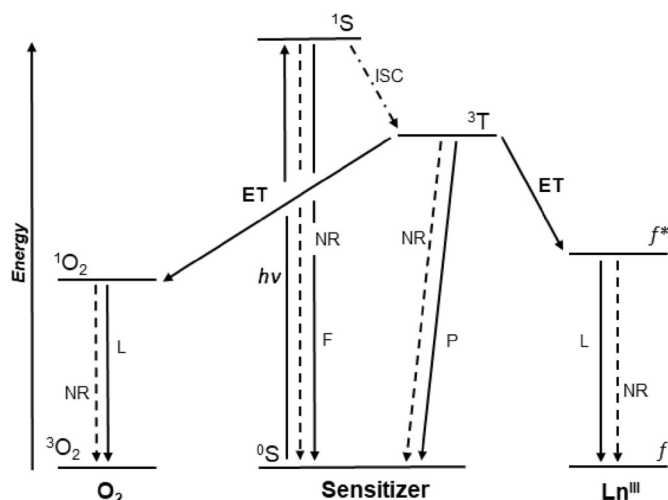


Fig. 1. Energy level diagram illustrating the energy transfer (ET) processes for Ln^{III} ion sensitization and $^1\text{O}_2$ generation. Energy $h\nu$ is absorbed by the ligand and populates a singlet excited state (^1S). A triplet excited state (^3T) is populated after intersystem crossing (ISC). ^3T can transfer energy to the emissive f^* excited state, which decays by luminescence (L) to the ground state. In the presence of $^3\text{O}_2$, the ^3T can lead to $^1\text{O}_2$ generation as well. Nonradiative (NR) (dash-dot lines) pathways can lead to quenching of excited states. Other possible radiative processes are fluorescence (F) and phosphorescence (P). Energy levels are not drawn to scale.

To better control our ability to generate $^1\text{O}_2$ efficiently, without sacrificing the benefit of Ln^{III} luminescence, it is advantageous to expand our knowledge of other $^1\text{O}_2$ generating functional groups.

Oligothiophene-based compounds, such as 2,2':5',2''-terthiophene, have been studied for their antibiotic [32], insecticidal [33–36], antifungal [37,38], and antiviral [39–41] properties, which occur as a result of the compounds' ability to generate $^1\text{O}_2$. Thiophene and methylthiophene can generate $^1\text{O}_2$ as well, as verified by *ab initio* calculations [42]. Our group has isolated thiophene-based Ln^{III} chelators [30,43–45], some of which show promising Ln^{III} luminescence properties, with efficiencies for Eu^{III} and Tb^{III} emission of 76% and 59%, respectively [46]. We have thus isolated a similar Ln^{III} chelator functionalized with thiophene, 1Tcbx, and its Ln^{III} ($\text{Ln}^{\text{III}} = \text{Eu}^{\text{III}}$, Gd^{III} , Nd^{III} , Yb^{III} , or Er^{III}) complexes, to assess their ability to concurrently luminesce and generate $^1\text{O}_2$. We observed $^1\text{O}_2$ generation and sensitized Ln^{III} luminescence in the visible with $[\text{Eu}(\text{1Tcbx})_3]^{3+}$ and the NIR with $[\text{Yb}(\text{1Tcbx})_3]^{3+}$, $[\text{Nd}(\text{1Tcbx})_3]^{3+}$, and $[\text{Er}(\text{1Tcbx})_3]^{3+}$.

2. Experimental section

2.1. Materials and methods

All commercially obtained reagents were of analytical grade and were used as received. Solvents were dried and purified by standard methods unless otherwise noted. All synthetic steps were completed under N_2 unless otherwise specified.

Nuclear Magnetic Resonance (NMR) spectroscopy. NMR spectra were recorded on Varian 400 and 500 MHz spectrometers at $25.0 \pm 0.1^\circ\text{C}$ with chemical shifts reported (δ , ppm) against tetramethylsilane (TMS, 0.00 ppm).

Mass spectrometry. Electrospray ionization mass spectra (ESI-MS) were collected in positive ion mode on a Waters Micromass ZQ quadrupole in the low-resolution mode for 1Tcbx and on an Agilent model G6230A with a QTOF analyzer in the high-resolution mode for the respective metal complexes. The samples were prepared by diluting the compounds in acetonitrile solutions to a concentration of ~ 0.5 mg/mL and passing through a 0.2 mm microfilter.

Absorption spectroscopy. Absorption spectra were measured on a

Perkin Elmer Lambda 35 spectrometer equipped with deuterium and tungsten halogen lamps (Perkin Elmer) and a concave grating with 1053 lines/mm. They were collected using a scan speed of 480 nm/min in the range of 225–600 nm with a photodiode detector. All spectra were background corrected, using solvent as the blank. Spectra of 1Tcbx and its complexes were collected at $25.0 \pm 0.1^\circ\text{C}$.

Excitation and emission spectroscopy and lifetime measurements. Emission and excitation spectra of 1Tcbx and its Ln^{III} complexes were obtained at $25.0 \pm 0.1^\circ\text{C}$ in a Fluorolog-3 fluorimeter (Horiba FL3-22-iHR550), with a 1200 grooves/mm excitation monochromator with gratings blazed at 330 nm and 1200 grooves/mm or a 600 grooves/mm emission monochromator with gratings blazed at 500 nm or 1000 nm for the UV-Vis or NIR range, respectively. An ozone-free xenon lamp of 450 W (Ushio) was used as the radiation source. The excitation spectra were measured between 250 and 600 nm. The emission spectra were measured in the range of 350–800 nm using a Hamamatsu 928 P detector and in the range of 800–1600 nm using a Hamamatsu 5509-73 detector cooled with liquid N_2 . All excitation and emission spectra were corrected for instrumental function. The emission decay curves were obtained using a TCSPC system and a Xe pulsed lamp as excitation source.

2.2. Synthesis of 1Tcbx

4-Bromo-N,N,N',N'-tetraethyl-2,6-pyridinedicarboxamide (1). This compound was synthesized following a modified literature procedure [47]. PBr_3 (3.3 mL, 35 mmol) was added dropwise to a solution of Br_2 (1.8 mL, 35 mmol) in petroleum ether (50 mL) at 0°C . The solvent was decanted after complete precipitation of PBr_5 , which was used without further purification. Chelidamic acid monohydrate (2.0 g, 10 mmol) was added to the PBr_5 , and the melt was heated to 85°C for 12 h. Once cooled to room temperature (RT), dry dichloromethane (DCM, 40 mL) was added and stirred until dissolved. Next, the reaction was cooled to 0°C , and diethylamine (5.0 mL, 48 mmol) was added dropwise. After stirring at 0°C for 1 h, the reaction mixture was warmed to 45°C for 1.5 h. The reaction was cooled to RT, and quenched with saturated NaHCO_3 (50 mL). The desired product was extracted with DCM (3×15 mL), washed with water (3×50 mL), and dried over anhydrous Na_2SO_4 . After filtration, the remaining solvent was removed under reduced pressure and the final product was recrystallized as a white solid from *n*-hexanes. Yield: 2.8 g (79%). ^1H NMR (CDCl_3 , 400 MHz) δ (ppm): 1.15 (t, $J = 7.1$ Hz, 6H), 1.24 (t, $J = 7.1$ Hz, 6H), 3.33 (q, $J = 7.0$ Hz, 4H), 3.55 (q, $J = 7.0$ Hz, 4H), 7.79 (s, 1H).

2-Thiopheneboronic acid (2). This compound was synthesized following a modified literature procedure [48]. 2-Bromothiophene (1.0 mL, 3.6 mmol) was dissolved in tetrahydrofuran (THF, 20 mL) and cooled to -78°C . *N*-butyllithium (4.0 mL, 4.8 mmol, 1.2 M in hexane) and triisopropyl borate (1.1 mL, 4.8 mmol) were added sequentially to the reaction. After stirring for 4 h, the reaction was quenched with 1 M HCl (20 mL) and extracted with DCM (3×15 mL). The combined organic layers were washed with water (3×10 mL) and brine (3×10 mL). DCM was removed under reduced pressure and the final product was recrystallized from 1:1 hexane:benzene. Yield: 330 mg (71%) – white solid. ^1H NMR ($\text{DMSO}-d_6$, 400 MHz) δ (ppm): 7.16 (m, $J = 4.7$, 3.4 Hz, 1H), 7.67 (d, $J = 4.7$ Hz, 1H), 7.73 (d, $J = 4.7$ Hz, 1H), 8.16 (s, 2H).

4-(2-Thienyl)-N,N,N',N'-tetraethyl-2,6-pyridinedicarboxamide (1Tcbx). Compounds 1 (170 mg, 0.48 mmol), 2 (60 mg, 0.48 mmol), K_2CO_3 (230 mg, 1.15 mmol), and $[\text{Pd}(\text{PPh}_3)_4]$ (40 mg, 0.04 mmol) were dissolved in *N,N*-dimethylformamide (DMF) (10 mL) and heated at 80°C for 22 h. The mixture was quenched with water and extracted with CHCl_3 (3×35 mL). The organic layers were combined, washed with water (3×50 mL) and brine (3×50 mL), dried over anhydrous MgSO_4 , and filtered. The solvent was removed under reduced pressure and the resulting product was purified on a flash silica column using ethyl acetate as the eluent. Yield: 140 mg (81%) – white solid. ^1H NMR (400 MHz, CDCl_3) δ (ppm): 1.16 (t, $J = 7.1$ Hz, 6H), 1.27 (t, $J = 7.1$ Hz, 6H), 3.37 (q,

$J = 7.1$ Hz, 4H), 3.57 (q , $J = 7.1$ Hz, 4H), 7.13 (m , $J = 5.1$, 3.7 Hz, 1H), 7.45 (dd , $J = 3.7$, 1.1 Hz, 1H), 7.57 (dd , $J = 3.7$, 1.1 Hz, 1H), 7.79 (s , 2H). ^{13}C NMR (100 MHz, CDCl_3) δ (ppm): 12.80, 14.28, 40.20, 43.29, 119.66, 126.22, 128.03, 128.57, 140.24, 143.60, 154.33, 168.00. ESI-MS: $[\text{M}+\text{H}]^+$ m/z : 360 (exp.) 360 (calc.).

2.3. Speciation studies

The speciation of the complexes of 1Tcbx was determined through UV–Vis absorption spectroscopy in spectrophotometric grade acetonitrile at 25.0 ± 0.1 °C. Solutions of 1Tcbx and $\text{Ln}(\text{NO}_3)_3$ ($\text{Ln} = \text{Eu}^{\text{III}}$, Nd^{III} , or Yb^{III}) with a wide range of stoichiometric ratios were prepared, and their absorption spectra measured. The stability constants were refined using HypSpec2014 [49]. The speciation diagrams were generated using HySS [50].

2.4. Synthesis of $[\text{Ln}(\text{1Tcbx})_3]^{3+}$

All metal complexes were prepared by mixing one equivalent of dry $\text{Ln}(\text{NO}_3)_3$ ($\text{Ln}^{\text{III}} = \text{Eu}^{\text{III}}$, Yb^{III} , Nd^{III} , Gd^{III} or Er^{III}) with 3 equivalents of 1Tcbx in acetonitrile and refluxing for 16 h. The solvent was removed under reduced pressure. The resulting white solids were dried under reduced pressure.

$[\text{Eu}(\text{1Tcbx})_3(\text{NO}_3)_2]^+$ ESI-MS: m/z : 1354.4045 (calc) 1354.4461 (exp); Yield: 95%

$[\text{Yb}(\text{1Tcbx})_3(\text{NO}_3)_2]^+$ ESI-MS: m/z : 1375.4142 (calc) 1375.4798 (exp); Yield: 94%

$[\text{Nd}(\text{1Tcbx})_3(\text{NO}_3)_2]^+$ ESI-MS: m/z : 1343.3830 (calc) 1343.4001 (exp); Yield: 97%

$[\text{Er}(\text{1Tcbx})_3(\text{NO}_3)_2]^+$ ESI-MS: m/z : 1369.4046 (calc) 1369.4210 (exp); Yield: 92%

$[\text{Gd}(\text{1Tcbx})_3(\text{NO}_3)_2]^+$ ESI-MS: m/z : 1359.3996 (calc) 1359.4634 (exp). Yield: 92%

2.5. X-ray crystallography

Crystal data, data collection, and refinement details for 1Tcbx are given below. A suitable crystal was mounted on a glass fiber and placed in the low-temperature nitrogen stream of a Bruker SMART CCD area detector diffractometer. A full sphere of data was collected using a graphite-monochromated Mo-K α radiation source ($\lambda = 0.71073$ Å). Multi-scan absorption corrections were applied using SADABS [51]. The structure was solved by direct methods and refined by least-square methods on F^2 [52] using the SHELXTL [52] programming package. All non-hydrogen atoms were refined anisotropically, and the hydrogen atoms were added geometrically, and their parameters constrained to the parent site. The amide group with nitrogen atom N3 was successfully modeled disordered over two positions, with the largest component at 56.4% occupancy.

CCDC 1984323 contains the supplementary crystallographic data of the molecular structures obtained for this paper and can be obtained free of charge via www.ccdc.cam.ac.uk/conts/retrieving.html (or from the Cambridge Crystallographic Data Centre, 12 Union Rd. Cambridge CB2 1EZ, UK; fax: +44-1223-336033; or deposit@ccdc.cam.ac.uk).

2.6. Photophysical characterization

The ligand's ^1S and ^3T energies were obtained at ~ 77 K by deconvoluting the fluorescence and phosphorescence spectra of its gadolinium complex into their Franck-Condon progression and are reported as the 0–0 transition [53]. Spectra of the ligand and its complexes were collected at 25.0 ± 0.1 °C using concentrations between 4.0×10^{-5} M and 7.5×10^{-4} M. The formation of $^1\text{O}_2$ was monitored by its phosphorescence at 1270 nm.

Emission efficiency measurements. The efficiency of sensitized emission ϕ^{Ln} , the fluorescence efficiency ϕ^{F} , and efficiency of $^1\text{O}_2$ generation

$\phi_{1\text{O}_2}$ were determined by the dilution method using Equation (1) [54].

$$\phi_x = \frac{\text{Grad}_x}{\text{Grad}_{\text{std}}} \times \frac{n_x^2}{n_{\text{std}}^2} \times \frac{I_{\text{std}}}{I_x} \phi_{\text{std}} \quad (1)$$

Grad is the slope of the plot of the emission area as a function of the absorbance, n is the refractive index of the solvent, I is the intensity of the excitation source at the excitation wavelength used and ϕ is the quantum yield for sample, x , and standard, std . All data are the average of at least three independent measurements.

Standards for emission quantum yield measurements were quinine sulfate ($\phi^{\text{F}} = 55\%$, 5×10^{-6} M in aqueous 0.5 M H_2SO_4) [55], $\text{Cs}_3[\text{Eu}(\text{dpa})_3]$ ($\phi^{\text{Eu}} = 24\%$, 7.5×10^{-5} M in aqueous TRIS/HCl buffer (0.1 M, pH ~ 7.4) [56,57], $[\text{Yb}(\text{tta})_3(\text{H}_2\text{O})_2]$ ($\phi^{\text{Yb}} = 0.12\%$, 1×10^{-4} M in air-saturated toluene) [58], and 2,2':5',2''-terthiophene ($\phi_{1\text{O}_2} = 74\%$, 1×10^{-4} M in air-saturated acetonitrile) [59] for ligand and Gd^{III} fluorescence, Eu^{III} luminescence, NIR luminescence (Yb^{III} , Nd^{III} and Er^{III}), and $^1\text{O}_2$ emission, respectively. The excitation wavelength for both sample and standard were chosen to ensure a linear relationship between the intensity of emitted light and the concentration of the absorbing/emitting species ($A \leq 0.05$).

The intrinsic quantum yield $\phi_{\text{Eu}}^{\text{Eu}}$ was determined using equation (2).

$$\phi_{\text{Eu}}^{\text{Eu}} = \frac{A_{\text{rad}}}{A_{\text{tot}}} \quad (2)$$

A_{tot} is the total emission rate ($A_{\text{tot}} = k_{\text{R}} + k_{\text{NR}} = 1/\tau_{\text{exp}}$), k_{R} is the radiative rate constant, k_{NR} is the non-radiative decay constant, τ_{exp} is the observed excited state lifetime, and A_{rad} is the radiative emission rate, determined using equation (3).

$$A_{\text{rad}} = A_{\text{MD},0} \times n^3 \left(\frac{I_{\text{tot}}}{I_{\text{MD}}} \right) \quad (3)$$

I_{tot} and I_{MD} are the total integrated emission spectrum and the area of the $^5\text{D}_0 \rightarrow ^7\text{F}_1$ transition, respectively, and $A_{\text{MD},0}$ is Einstein coefficient of spontaneous emission ($A_{\text{MD},0} = 14.65 \text{ s}^{-1}$) [60].

The sensitization efficiency (η_{sens}) was determined using equation (4) [61].

$$\eta_{\text{sens}} = \frac{\phi_{\text{Eu}}^{\text{Eu}}}{\phi_{\text{Eu}}^{\text{Eu}}} \quad (4)$$

3. Results and discussion

3.1. Synthesis and characterization

1Tcbx was synthesized by Suzuki-Miyaura cross-coupling using 4-bromopyridine-2,6-dicarboxamide and 2-thienylboronic acid following modified literature procedures (Fig. S1, supplementary information) [47,62]. After purification, 1Tcbx was recovered in 81% yield as a white powder and was characterized using standard spectroscopic techniques (Fig. S2 – S4). In acetonitrile, 1Tcbx shows an absorption maximum at 305 nm (Fig. S5), with a molar absorptivity (ϵ) of $2.1 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$. This is similar to other aryl-functionalized thiophene-based compounds [63,64].

1Tcbx crystallized in the P-1 space group as a racemic twin with unit cell parameters $a = 7.07$ Å, $b = 10.15$ Å, $c = 13.88$ Å, $V = 954$ Å 3 , $\alpha = 94.28^\circ$, $\beta = 97.74^\circ$, and $\gamma = 103.33^\circ$. Crystallographic details are summarized in Table S1, and selected bond distances and angles are available in Table S2. The compound's structure, shown in Fig. 2, consists of a pyridine ring with biscalboxamide functional groups at the 2- and 6-positions and a thiophene at the 4- position. The torsion angle between the thiophene and pyridine rings (C7–C8–C9–S1) is $9.36(16)^\circ$. This angle is similar to what has been reported for 2-thienyl groups in range 3.37° – 9.43° [65–68]. We do not observe thiophene-related disorder due to the free rotation between thiophene and bound aryl rings, despite what is often reported in other thiophene-containing molecules [43,44,

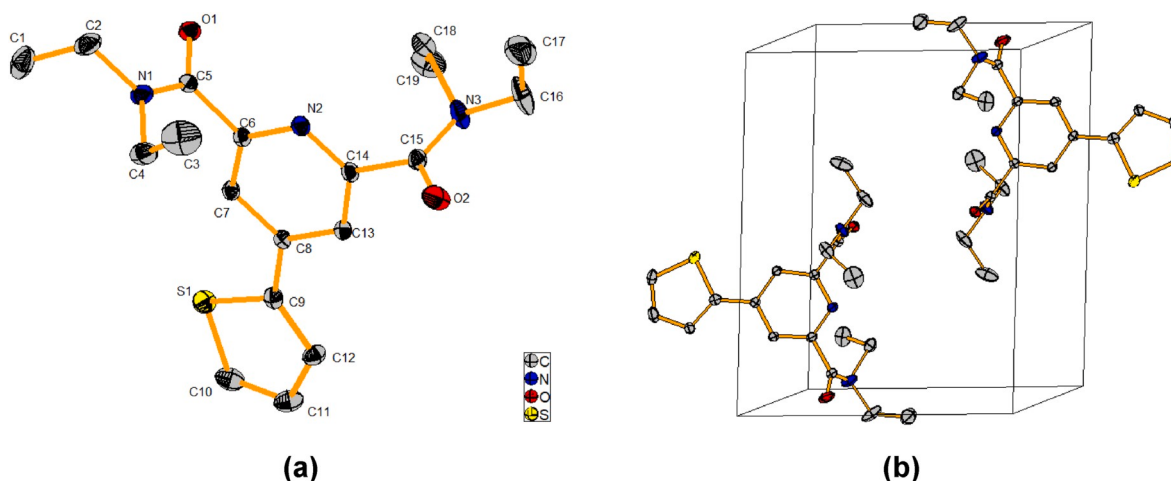


Fig. 2. (a) Thermal ellipsoid plot of 1Tcbx. Ellipsoids are shown at the 50% probability level. (b) Packing structure of 1Tcbx with unit cell edges (black). Hydrogen atoms are omitted for clarity.

69].

3.2. Solution speciation

The stoichiometry of the complexes in solution was determined through absorption titration of the Eu^{III} , Nd^{III} , and Yb^{III} nitrate salts (Figs. S6a, S7a, and S8a) with 1Tcbx. Stability constants are in the ranges 8.6–9.06 ($\log \beta_1$), 16.76–17.1 ($\log \beta_2$), and 24.0–24.8 ($\log \beta_3$) (Table S3). These values are similar to those reported for Ln^{III} complexes with the N,N,N',N' -tetraethylpyridine-2,6-dicarboxamide chelator, which are in the range 7.3–8.5 for $\log \beta_1$, 13.8–16.0 for $\log \beta_2$, and 21.0–22.9 for $\log \beta_3$ [70]. Speciation diagrams for complexes of the Ln^{III} ions mentioned above (Figs. S6b, S7b, and S8b) indicate that the 3:1 ligand-to-metal stoichiometry, $[\text{Ln}(\text{1Tcbx})_3]^{3+}$, is dominant in solution at the appropriate concentrations. This corresponds to a Ln^{III} ion solely surrounded by three ligands, for a coordination number of 9, as seen for complexes based on the same chelator [70–72].

Therefore, for spectroscopic characterization, Ln^{III} ion complexes were synthesized by reacting 3 equivalents of 1Tcbx with 1 equivalent of the respective $\text{Ln}(\text{NO}_3)_3$ salt ($\text{Ln} = \text{Eu}^{\text{III}}$, Nd^{III} , Gd^{III} , Er^{III} , and Yb^{III}) in acetonitrile and used as is. The concentrations were chosen to prevent dissociation which might occur in more dilute solutions [71,72]. After filtration and solvent evaporation, the salts $[\text{Ln}(\text{1Tcbx})_3](\text{NO}_3)_3$ were obtained as white powders in 92–97% yield and their formation was confirmed by high resolution mass spectrometry (Figs. S9–13).

3.3. Determination of singlet and triplet states of 1Tcbx

Time-resolved emission spectroscopy (Fig. S14a), from which the fluorescence (Fig. S14b) and phosphorescence (Fig. S14c) spectra of $[\text{Gd}(\text{1Tcbx})_3]^{3+}$ were obtained, were used to experimentally determine the ligand ^1S and ^3T excited states [53], which are involved in generating

$^1\text{O}_2$ and sensitizing Ln^{III} luminescence. Deconvolution of the fluorescence and phosphorescence spectra into their Franck-Condon progression allows us to estimate the ^1S and ^3T excited states to be 29,520 cm^{-1} and 23,100 cm^{-1} , respectively (Table 1, Fig. S14). Because the ^3T excited energy state is higher in energy than the $^5\text{D}_0$ excited state of Eu^{III} ($\sim 17,300 \text{ cm}^{-1}$) and NIR-emitting Ln^{III} ions ($^4\text{F}_{3/2}$ of Nd^{III} is $\sim 11,600 \text{ cm}^{-1}$, $^4\text{F}_{5/2}$ of Yb^{III} is $\sim 10,300 \text{ cm}^{-1}$, and $^4\text{I}_{13/2}$ of Er^{III} is $\sim 6,500 \text{ cm}^{-1}$), we can expect 1Tcbx to sensitize the luminescence of these ions [73,74].

3.4. Absorption and luminescence properties of the complexes

Similar to the absorption behavior of 1Tcbx, the absorption spectra of the metal complexes show a maximum absorption at $\sim 305 \text{ nm}$, with a distinct shoulder around 340 nm. The latter can be attributed to an intraligand CT state that arises upon coordination of the ligand to the metal ions (Fig. S15) [75–77].

When excited at 300 nm, 1Tcbx fluoresces with a maximum at 355 nm (Fig. S5) and a fluorescence quantum yield (ϕ^F) of 1.0% (Table 1), which compares well with other thiophene-functionalized molecules [64,78–82]. The fluorescence efficiency of $[\text{Gd}(\text{1Tcbx})_3]^{3+}$ is the same as 1Tcbx ($\phi^F = 1\%$) (Table 1, Fig. S156). Although we did not observe an increase in fluorescence intensity upon complexation to Gd^{III} ion, we do observe a 75 nm red shift in the emission maximum. This is consistent with planarization of 1Tcbx upon coordination to Gd^{III} , and increased contribution of the CT state and of phosphorescence due to improved ISC [83] to the emission spectrum. This CT contribution is decreased when the spectrum is obtained at low temperature, as shown in Fig. S17, where the shift in emission maximum is attributed to increased contribution from the ^1S state [84].

Characteristic metal-centered emission is observed for all Ln^{III} complexes when excited at 300 nm, the complex absorption maximum (Fig. 3); Yb^{III} , Nd^{III} , and Er^{III} emit in the NIR region and the Eu^{III} complex

Table 1

Quantum yields of fluorescence (ϕ^F), quantum yields of sensitized emission (ϕ^{Ln}), and luminescence lifetimes (τ^{Ln}) of $[\text{Ln}(\text{1Tcbx})_3]^{3+}$, singlet (^1S) and triplet (^3T) state energies, intrinsic quantum yield ($\phi^{\text{Eu}}_{\text{Eu}}$) and sensitization efficiency (η_{sens}) for the Eu^{III} complex.

Compound	λ_{max} (nm)	ϵ ($\text{M}^{-1}\text{cm}^{-1}$)	ϕ^F/τ^{Ln} (%) ^a	^1S (cm^{-1}) ^b	^3T (cm^{-1}) ^b	τ^{Ln}	$\phi^{\text{Eu}}_{\text{Eu}}$ (%)	η_{sens} (%)
1Tcbx	305	2.1×10^4	1 ± 0					
$[\text{Gd}(\text{1Tcbx})_3]^{3+}$	306	2.0×10^4	1 ± 1	$29,520 \pm 100$	$23,100 \pm 230$			
$[\text{Eu}(\text{1Tcbx})_3]^{3+}$	305	2.3×10^4	25 ± 2			$1.11 \pm 0.03 \text{ ms}$	47	53
$[\text{Nd}(\text{1Tcbx})_3]^{3+}$	304	1.9×10^4	0.19 ± 0.04			$3.5 \pm 0.3 \mu\text{s}$		
$[\text{Yb}(\text{1Tcbx})_3]^{3+}$	307	2.0×10^4	0.42 ± 0.05			$18.9 \pm 0.3 \mu\text{s}$		
$[\text{Er}(\text{1Tcbx})_3]^{3+}$	305	2.0×10^4	0.01 ± 0.00			–		

^a Measured at $25.0 \pm 0.1^\circ\text{C}$.

^b Measured at 77 K and reported as the 0–0 transition, [complex] = $1 \times 10^{-4} \text{ M}$.

emits in the visible region (Fig. 2, Fig. S19). The emission spectrum of $[\text{Eu}(\text{1Tcbx})_3]^{3+}$ displays the characteristic $^5\text{D}_0 \rightarrow ^7\text{F}_J$ ($J = 0-4$) transitions. The $^5\text{D}_0 \rightarrow ^7\text{F}_2$ transition is a single peak, yet despite the lack of fine structure, the presence of the $^5\text{D}_0 \rightarrow ^7\text{F}_0$ transition suggests a pseudo- D_3 symmetry. This is consistent with other reported dipicolinate-based complexes with a 3:1 stoichiometry [10,29]. As indicated by the excitation spectra for the three complexes (Fig. S18), the maximum excitation at 332–335 nm is consistent with sensitization primarily through the CT state [85], contrary to what is generally observed for other emissive Ln^{III} complexes. The quantum yield of sensitized emission (φ^{Eu}) for $[\text{Eu}(\text{1Tcbx})_3]^{3+}$ is 25%, the emission decay lifetime (τ^{Eu}) is 1.11 ms (Table 1), and the decay curve could be fit to a single exponential (Fig. S20). From these data, the intrinsic quantum yield ($\varphi^{\text{Eu}}_{\text{Eu}}$) and the overall sensitization efficiency (η_{sens}) for $[\text{Eu}(\text{1Tcbx})_3]^{3+}$ were calculated as 47% and 53%, respectively (Table 1). These values are comparable to similar dipicolinate-based luminescent Eu^{III} complexes [10,56,86]. Although the emission efficiency is lower than the ones determined for the thienyl-derivatized pybox sensitizer [46], we attribute this decrease to the simultaneous generation of $^1\text{O}_2$. Nonetheless, φ^{Eu} is among the highest for molecules that show Eu^{III} luminescence and generate $^1\text{O}_2$, for which efficiencies in the range 0.3–38% have been reported [27,29,87]. They are comparable to reported values for similar complexes [29,61], and are significantly higher than what we observed for the complexes with the $^1\text{O}_2$ -generating naphthalimide derivatives [29]. This indicates that 1Tcbx is a moderately good sensitizer and shields the metal ion from vibrational quenching [16].

For $[\text{Nd}(\text{1Tcbx})_3]^{3+}$ we observe the typical $^4\text{F}_{3/2} \rightarrow ^4\text{I}_J$ ($J = 9/2-13/2$) transitions with the most intense transition at 1053 nm, for $[\text{Yb}(\text{1Tcbx})_3]^{3+}$ we observe the typical transition $^2\text{F}_{5/2} \rightarrow ^2\text{F}_{7/2}$ at 976 nm, and for $[\text{Er}(\text{1Tcbx})_3]^{3+}$ we observe the $^4\text{I}_{13/2} \rightarrow ^4\text{I}_{15/2}$ transition at 1513 nm (Fig. 3). Quantum yields of NIR luminescence were determined for all three complexes and are $\varphi^{\text{Yb}} = 0.42\%$, $\varphi^{\text{Nd}} = 0.19\%$, and $\varphi^{\text{Er}} = 0.01\%$ (Table 1). These values are comparable to those of other NIR-emitting Yb^{III} and Nd^{III} complexes [30,88–93]. Emission decay lifetimes (τ) were measured for the Yb^{III} and Nd^{III} derivatives and are 18.9 μs and 3.5 μs , respectively, which are comparable as well to lifetimes of other NIR-emitting complexes [94,95]. Both emission decay curves were fit to a single exponential (Figs. S21 and S22), similar to what is observed for the Eu^{III} species, which is consistent with the presence of a single emissive species in solution.

3.5. $^1\text{O}_2$ generation

$^1\text{O}_2$ emission was observed for all metal complexes, except [Nd

$(\text{1Tcbx})_3]^{3+}$, as evidenced by the characteristic phosphorescence band at ~ 1270 nm (Fig. 4). In the case of the Nd^{III} species, we observe instead the onset of the Nd^{III} transition ($^4\text{F}_{3/2} \rightarrow ^4\text{I}_{13/2}$), which overlaps in this region. The intensity of $^1\text{O}_2$ phosphorescence is lower when comparing the luminescent compounds of Eu^{III} , Nd^{III} , Yb^{III} , and Er^{III} to the Gd^{III} analog, which does not luminesce, consistent with the competition between Ln^{III} sensitization and $^1\text{O}_2$ generation, as both processes involve the triplet excited state ^3T . We have observed a similar decrease with other complexes studied in our group [29,30], as have others [24]. The $\varphi_{1\text{O}_2}$ of the Gd^{III} complex is $12 \pm 7\%$ and is similar to efficiencies of naturally occurring photosensitizers based on psoralen [96], quinoline [97], phthalocyanine [98], or oligothiophenes [30] in the same solvent. We were unable to quantify $\varphi_{1\text{O}_2}$ for the other Ln^{III} complexes and 1Tcbx, due to the low intensity of the $^1\text{O}_2$ phosphorescence band at the concentrations needed for the dilution method.

4. Conclusions

A thiophene-functionalized photosensitizer, 1Tcbx, was synthesized, and its Ln^{III} ion complexes were isolated, all of which resulted in compounds with dual functionality. They display metal-centered luminescence and the added capability of generating $^1\text{O}_2$, which is a reactive oxygen species known for its toxicity. We demonstrate here the ability to combine $^1\text{O}_2$ generators with enhanced luminescence properties, which shows promise for the development of more efficient luminescent complexes with the ability to simultaneously generate $^1\text{O}_2$.

The triplet (^3T) state of 1Tcbx is well-matched to sensitize the visible and NIR-emitting Ln^{III} ions (Eu^{III} – visible; Yb^{III} , Nd^{III} , and Er^{III} – NIR). In addition, formation of an intraligand CT state, which is primarily responsible for the sensitization of Ln^{III} emission, was observed. Ln^{III} luminescence efficiencies were as high as 25% for the Eu^{III} analog. This value is one of the highest quantum yields reported for Eu^{III} complexes that also generate $^1\text{O}_2$. φ^{Ln} for the NIR emitters are 0.42% for the Yb^{III} complex, 0.15% for the Nd^{III} complex, and 0.01% for the Er^{III} complex. The efficiency of $^1\text{O}_2$ generation was determined for $[\text{Gd}(\text{1Tcbx})_3]^{3+}$ to be $\varphi_{1\text{O}_2} = 12\%$. We attribute this high efficiency the heavy atom effect and the inability of the low-lying ^3T state to transfer energy to Gd^{III} . All other complexes generate $^1\text{O}_2$, yet the phosphorescence emission at 1270 nm is too weak to allow us to determine the efficiency of this process, as the ^3T state of 1Tcbx is involved in the concurrent sensitization of the metal-centered emission. The findings presented here show that functionalization of an antenna with a substituent known to generate $^1\text{O}_2$, such as thiophene, offers a new approach to conserving

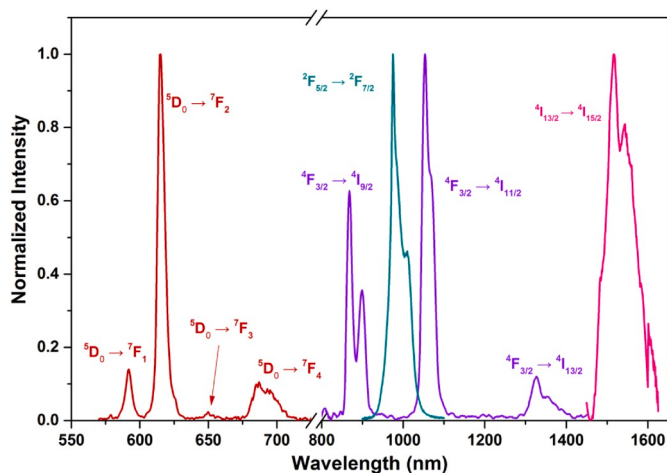


Fig. 3. Emission spectra of the $[\text{Ln}(\text{1Tcbx})_3]^{3+}$ complexes ($\text{Ln} = \text{Eu}^{\text{III}}$ (red), Nd^{III} (purple), Yb^{III} (teal) and Er^{III} (pink)) in acetonitrile at 25.0 ± 0.1 °C ($\lambda_{\text{exc}} = 300$ nm).

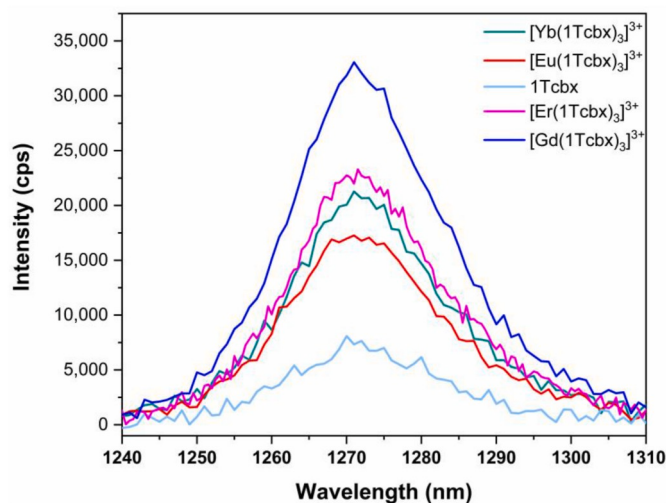


Fig. 4. $^1\text{O}_2$ emission spectra of 1Tcbx (light blue) and metal complexes ($\text{Ln}^{\text{III}} = \text{Eu}^{\text{III}}$ (red), Gd^{III} (dark blue), Er^{III} (pink), and Yb^{III} (teal)) in acetonitrile at 25.0 ± 0.01 °C. [compound] = 5×10^{-4} M, ($\lambda_{\text{exc}} = 335$ nm).

luminescence efficiency while also adding $^1\text{O}_2$ generating capability.

Author statement

The first author (KRJ) performed most of the experiments and interpreted, with the support of the corresponding author (AdBD) the data collected. The second author (MAGN) synthesized the ligand for the first time and did limited ligand characterization. The corresponding author guided the development of the research project, and supervised the experimental work and writing of the manuscript.

Declaration of competing interest

There are no conflicts of interest to declare.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jlumin.2020.117309>.

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