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8   **Author names and affiliations:**

9   Scott D. Cine1<sup>1,2</sup>

10   Daniel A. Hahn<sup>3</sup>

11   Akito Y. Kawahara<sup>2</sup>

12   <sup>1</sup>Department of Biology, University of Florida, Gainesville, FL 32611 USA

13   <sup>2</sup>Florida Museum of Natural History, University of Florida, Gainesville, FL 32611 USA

14   <sup>3</sup>Department of Entomology & Nematology, University of Florida, Gainesville, FL 32611 USA

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16   **Highlights:**

- 17       • Predation risk stimulates the octopamine-adipokinetic hormone (OAH) axis in insects –  
18       an analogue to the vertebrate hypothalamo-pituitary-adrenal (HPA) axis in vertebrates.
- 19       • Studies should account for taxon- and sensory-specific differences in prey responses
- 20       • The insect stress response to predation mirrors that observed in vertebrates
- 21       • A physiological network perspective helps compare responses across insect predator-prey  
22       systems
- 23       • Best practices for studying predator-induced stress in insects are presented

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25 Corresponding Author:

26 Scott D. Cinel

27 Department of Biology

28 University of Florida

29 220 Bartram Hall

30 P.O. Box 118525

31 Gainesville, FL 32611-8525

32 Tel.: +1 708 502 5161; E-Mail: [cinel1@ufl.edu](mailto:cinel1@ufl.edu)

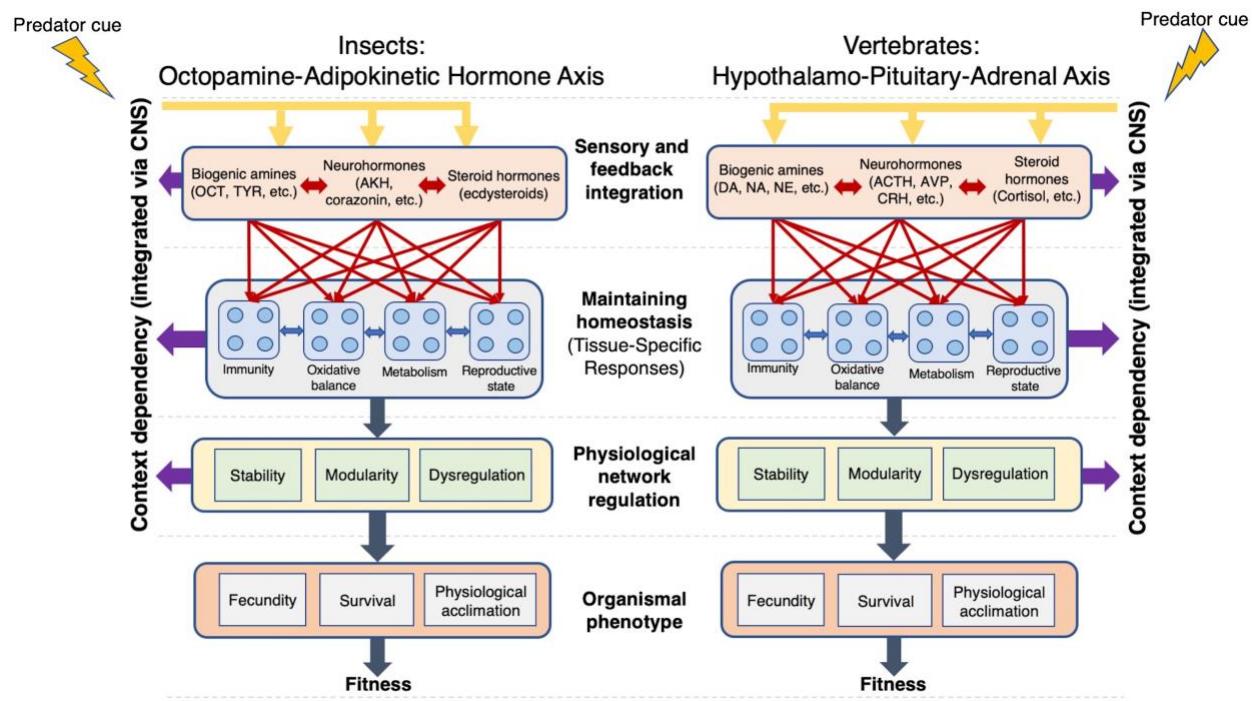
33 **Abstract**

34 Predators can induce extreme stress and profound physiological responses in prey. Insects  
35 are the most dominant animal group on Earth and serve as prey for many different predators.  
36 Although insects have an extraordinary diversity of anti-predator behavioral and physiological  
37 responses, predator-induced stress has not been studied extensively in insects, especially at the  
38 molecular level. Here, we review the existing literature on physiological predator-induced stress  
39 responses in insects and compare what is known about insect stress to vertebrate stress systems.  
40 We conclude that many unrelated insects share a baseline pathway of predator-induced stress  
41 responses that we refer to as the octopamine-adipokinetic hormone (OAH) axis. We also present  
42 best practices for studying predator-induced stress responses in prey insects. We encourage  
43 investigators to compare neurophysiological responses to predator-related stress at the organismal,  
44 neurohormonal, tissue, and cellular levels within and across taxonomic groups. Studying stress-  
45 response variation between ecological contexts and across taxonomic levels will enable the field  
46 to build a holistic understanding of, and distinction between, taxon- and stimulus-specific  
47 responses relative to universal stress responses.

48

49 **Keywords:** adipokinetic hormone; predator-prey; neurohormone; octopamine; cellular effector

50 **Graphical Abstract**



51

52 Note: Conceptual framework in graphical abstract adapted from Cohen et al. (2012).

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65 **Introduction**

66 Over the last century, major advances in animal behavior and physiology have been made  
67 through research on predator-prey interactions. In particular, predator-prey research has  
68 contributed significantly to behavioral and landscape ecology, leading to the recognition that  
69 nonlethal, indirect interactions between predators and prey can influence prey demography and  
70 community interactions as much as direct mortality (Laundré et al., 2014). Specifically, predators  
71 are capable of influencing prey optimal foraging patterns, behavioral tactics within game theory  
72 strategies (Gross, 1996), and habitat use, among many other phenomena (Brown et al., 1999;  
73 Laundre et al., 2010). Studies on predator and prey physiology have made it possible to quantify  
74 the state of at-risk animal populations or species using molecular evidence, and the field of  
75 conservation resource management has recently incorporated approaches to measuring the strength  
76 of apex predation in a given habitat using parameters of prey physiology alone (Ferrer and Zimmer,  
77 2013; Leroux et al., 2012; Sheriff and Thaler, 2014). Laboratory studies, primarily involving  
78 vertebrates, have teased apart physiological mechanisms and downstream responses of predator-  
79 related auditory, olfactory, visual, and tactile cues (Clinchy et al., 2013; Sabet et al., 2015; Adamo  
80 et al., 2013; Miller et al., 2014). When prey encounter a hunting predator, a stress response initiates  
81 many downstream changes. Key molecular pathways that regulate cellular stress responses to  
82 predator stimuli initiate typical organismal stress responses, such as increased respiration and  
83 modified behavior. Due to their well-studied nature, vertebrate predator-prey systems provide a  
84 template for understanding the neurohormonal signaling, physiology, life history, and behavior of  
85 insect prey (Stenzel-Poore et al. 1992).

86 Insects dominate Earth with more than 5 million species (Stork et al., 2015), and they serve  
87 as primary prey for many predators. However, molecular mechanisms of predation-related stress

88 in insects are poorly studied (Adamo, 2017; Farooqui, 2012; Huisng and Flik, 2005). It is now  
89 thought that an ancestral stress-response system shared among animals predates the  
90 protostome/deuterostome divergence (Huisng and Flik, 2005; Misof et al., 2014; Roeder, 2005),  
91 which suggests that there may be similarities in the insect and vertebrate systems found today.  
92 Despite this shared inheritance, insects exhibit an extraordinary diversity of life histories, niches,  
93 and morphologies that have resulted in the evolution of distinct, sensory-specific molecular signal  
94 transduction mechanisms tied to particular sensory structures (Benton et al., 2009; Rimal and Lee,  
95 2018). Due to this profound diversity among insects, recent advances in molecular sequencing and  
96 knockdown applications have demonstrated the need to account for taxon-, sensory-, and tissue-  
97 specific divergences in molecular physiology when analyzing predator-induced insect stress  
98 responses, even within a single insect family (Lam et al., 2013).

99 A first step toward understanding insect stress responses is to contrast them with the well-  
100 studied vertebrate stress response model. Although substantial advances have been made (Adamo  
101 and Baker, 2011; Kodrík et al., 2015) in comparison to vertebrates, the molecular pathways and  
102 downstream effectors that play a role in predator-induced stress responses of insects are under-  
103 studied or unknown. This review synthesizes the literature on physiological predator-induced  
104 stress responses in insects from sensory perception and neurohormonal signaling through  
105 organismal responses. We highlight some of the model insect species that have been studied, note  
106 the challenges of conducting integrative studies of stress responses given the great diversity of  
107 insects and their stress responses, and recommend future directions that may help disentangle these  
108 complicated predator-induced responses of insect prey.

109

110 **A comparison of invertebrate and vertebrate stress physiology**

111 Comparative studies in stress biology can reveal striking homologies, instances of  
112 convergent evolution, and stark differences in the way animal species cope with biotic and abiotic  
113 disturbances. The vertebrate hypothalamo-pituitary-adrenal (HPA) axis is one of the most well-  
114 studied, stress-induced physiological frameworks in biology (Harris and Carr, 2016). Study of the  
115 HPA axis has broadened our understanding of diverse physiological responses and  
116 neurobehavioral disorders, including post-traumatic stress disorder in humans (Clinchy et al.,  
117 2013; Roszkowski et al., 2016; Zanette and Clinchy, 2017) and stimulus habituation in laboratory  
118 rats and mice (Takahashi et al., 2005). The HPA axis is comprised of a series of neurohormonal  
119 cascades that cause neurosecretory and endocrine cells to release chemicals, including  
120 neurotransmitters, neuropeptides, and protein hormones, into the bloodstream during times of  
121 stress and bodily exertion (e.g. during predator-prey interactions; Fleshner et al., 2004; Fig. 1).

122 Stress-related hormones induce a variety of often species-specific physiological responses  
123 throughout the body, such as the transport of energy-rich molecules to target tissues (Harris and  
124 Carr, 2016), increases in heart rate and respiration (Zollinger et al., 2011), and behavioral  
125 modifications (Breviglieri et al., 2013), all of which can impact fitness (Bonier et al., 2009).  
126 Although the HPA axis and its effects on vertebrate cellular- and organ-level physiology in the  
127 context of predation risk are well-studied, a similarly well-defined model describing the stress-  
128 induced regulation of cellular responses in insects and other arthropods remains nascent.

129 Over the past several decades, organismal (Hack, 1997; Keiser and Mondor, 2013),  
130 transcriptional (Aruda et al., 2011; Cinel and Taylor, 2019), and cellular effector (Even et al., 2012;  
131 Slos and Stoks, 2008; Van Dievel et al., 2016) responses have been reported in insects both during  
132 and after exposure to predators. However, the specific molecules, cell types, and tissues involved  
133 in predator-induced stress responses in insect prey, as well as similarities among insect systems

134 have not been extensively reported. In particular, taxonomic and experimental biases associated  
135 with the entomological literature may contribute to our limited knowledge in this field.  
136 Octopamine (OCT) and adipokinetic hormones (AKH) represent some of the best-studied  
137 neurohormones in insects, and several lines of evidence indicate parallels between the OCT-AKH  
138 axis and the vertebrate HPA axis. We discuss the functions and diversity of OCT- and AKH-  
139 induced responses and compare them to the vertebrate HPA axis in detail in the following sections.  
140

#### 141 **Neuroendocrine pathways and molecular messengers in stressed insects**

142 To contend with diverse and dynamic conditions, insects possess a suite of neurohormonal  
143 cascades that adjust organismal physiology during times of exertion. Most research on insect  
144 stress-responsive neurohormonal signaling has focused on the biogenic monoamines OCT and  
145 tyramine (Davenport and Evans, 1984; Farooqui, 2012; Jones et al., 2011; Roeder, 2005), as well  
146 as a family of small neuropeptides known as AKHs (Adamo, 2017a; Even et al., 2012; Gäde, 2009;  
147 Kodrík et al., 2015; Orchard et al., 1993). OCT, a neurogenic relative of the vertebrate  
148 neurotransmitter norepinephrine (Verlinden et al., 2010), is thought to be the “first responder”  
149 during acute stress reactions in insects and acts as a neurohormone, neuromodulator, and  
150 neurotransmitter (Farooqui, 2007; Orchard, 1981). Notably, OCT and AKH are also intimately  
151 involved in the insect immune response. OCT and AKH are often measured at high hemolymph  
152 concentrations following a lesion, bacterial infection, and even parasitism (Adamo, 2017, 2012).  
153 Some researchers hypothesize that an ancestral molecular component of the stress and immune  
154 responses predating the vertebrate/invertebrate divergence has since been modified into  
155 octopamine in invertebrates and norepinephrine in vertebrates (Adamo, 2014; Farooqui, 2012;  
156 Ottaviani and Franceschi, 1996; Roeder, 2005). Although not predating the protostome-

157 deuterostome split, Li et al. (2016) also provide evidence that AKH and its associated G-protein  
158 coupled receptors emerged in ancestral Lophotrochozoans around 550 Mya and show that AKH is  
159 an ancient, well-conserved component of invertebrate neurophysiology.

160       Recent work has focused on explaining how seemingly maladaptive physiological  
161 responses, particularly to prolonged stressors, in vertebrates and invertebrates could have evolved  
162 under natural selection. Adamo (2017) proposes a context-dependent tradeoff between the  
163 metabolically costly insect stress and immune responses, both of which are at least partially  
164 activated by OCT and AKH signaling. Both OCT and AKH trigger the fat body to release lipids  
165 into the hemolymph, where they are then loaded onto lipoproteins, molecules that also can play a  
166 functional role during immune surveillance (Adamo, 2017). Adamo (2017) also notes the sharing,  
167 reconfiguration, and borrowing of several other molecular resources among the extra- and intra-  
168 cellular signaling cascades and cellular effectors induced by both the immune and stress systems.  
169 Finally, Adamo (2017) argues that this shared function of one molecular resource, specifically  
170 Apolipophorin III (ApoLpIII), between two physiological contexts explains why reduced  
171 antioxidant activity, cell-specific metabolic exhaustion, and immune inhibition commonly co-  
172 occur during periods of chronic stress.

173       OCT acts as a neurohormone, neuromodulator, and neurotransmitter in both vertebrates  
174 and invertebrates (Farooqui, 2007; Orchard, 1981). OCT's range of roles portends the breadth of  
175 physiological responses it modifies, and its effects often vary spatially and temporally throughout  
176 the nervous system and responsive tissues. Peripherally, OCT acts as a neuromodulator, often  
177 increasing the excitability of neurons innervating the flight muscles and most sensory structures.  
178 Notably, OCT also acts within the central nervous system (CNS) and affects arousal, motivation,  
179 learning, sensory habituation, thermoregulation, social behaviors, and hygienic behaviors

180 (Armstrong and Robertson, 2006; Farooqui, 2012; Roeder, 2005). For instance, OCT modulates  
181 the response of two locust species (*Locusta migratoria* [Orthoptera: Acrididae] and *Schistocerca*  
182 *gregaria* [Orthoptera: Acrididae]) experiencing intraspecific crowding and induces a shift from  
183 developing into a solitary morph into a gregarious morph (Morton and Evans, 1983; Verlinden et  
184 al., 2010). OCT concentrations spike in the hemolymph of crickets (*Gryllus texensis* [Orthoptera:  
185 Gryllidae]) following discrete exposure to mock predators and associated fleeing behavior (Adamo  
186 et al., 2013; Adamo and Baker, 2011). Frequent exposure to a brief blow of air directed at a  
187 cricket's cerci also leads to increased OCT concentrations in its hemolymph, although direct  
188 exposure to a mantid predator does not induce an OCT increase (Adamo and McKee, 2017). Non-  
189 stressed crickets injected with OCT exhibit increased cover-searching and evasive behaviors  
190 compared to those experiencing sham injections without OCT (Adamo and McKee, 2017).  
191 Overall, OCT is involved in myriad responses to environmental cues, and stressors represent a  
192 category of cues that often illicit a strong release of OCT.

193 Following the release of OCT from octopaminergic dorsal and ventral unpaired median  
194 neurons situated near the subesophageal, thoracic, and abdominal ganglia of the CNS, OCT  
195 binding to receptors on the neurosecretory corpus cardiacum causes stored AKH to be released  
196 into the hemolymph (Kodrík et al., 2015). The release of AKH is conceptually analogous to the  
197 release of cortisol from the adrenal gland in the vertebrate HPA axis (Adamo, 2017). AKH has  
198 long been known to mobilize lipid reserves from the insect fat body to provide energy to flight  
199 muscles during periods of prolonged flight (David et al., 1985; Luo et al., 2014; Ziegler et al.,  
200 2011). AKH is also known to act as a potent antioxidant when circulating in the insect's  
201 hemolymph (Bednářová et al., 2013; Kodrík and Socha, 2005). Bednářová et al. (2013) find that  
202 circulating AKH and protein carbonyl levels (i.e. an indicator of damage from oxidative stress)

203 increase in the firebug *Pyrrhocoris apterus* (Heteroptera: Pyrrhocoridae) when injected with  
204 hydrogen peroxide. Co-injecting AKH and hydrogen peroxide reduced protein carbonyl levels and  
205 increased survivorship to control levels, possibly indicating AKHs function as an antioxidant or  
206 its role in stimulating the function of other antioxidants (Bednářová et al., 2013). AKH also appears  
207 to interact with OCT because octopaminergic neurons throughout the central and peripheral  
208 nervous systems are equipped with membrane-bound AKH receptors that allow a neuromodulatory  
209 response to hemolymph concentrations of AKH (Adamo, 2017; Farooqui, 2012; Wicher, 2005).

210 To identify where and when OCT and AKH receptors are acting during a stress response,  
211 one must first understand how each receptor functions. Membrane-bound OCT receptors are  
212 classified into two main types based on sequence similarity to vertebrate adrenergic receptors: 1)  
213 alpha-adrenergic-like receptors (OCT $\alpha$ -R), and 2) beta-adrenergic-like receptors (OCT $\beta$ -R; Evans  
214 and Maqueira, 2005; Farooqui, 2012). OCT $\beta$ -Rs are further divided into three sub-types (Evans  
215 and Maqueira, 2005; Farooqui, 2012; Roeder, 2005). Both OCT $\alpha$ -Rs and OCT $\beta$ -Rs are activated  
216 upon binding with OCT and initiate two non-mutually exclusive, tissue-specific intracellular  
217 secondary signaling pathways: 1) cyclic adenosine mono-phosphate (cAMP)/protein kinase A  
218 (PKA), and 2) intracellular calcium ( $Ca^{2+}$ )/inositol-1,4,5-triphosphate (IP<sub>3</sub>)/diacylglycerol/PKC  
219 (Farooqui, 2007; Roeder, 2005). For instance, upon binding with OCT, OCT $\alpha$ -Rs stimulate the  
220 concentration-dependent activity of both the intracellular  $Ca^{2+}$  and cAMP signaling cascades  
221 (Huang et al., 2012; Roeder, 2005). In the  $Ca^{2+}$  signaling cascade, for instance, G-protein signaling  
222 first activates the phospholipase C-enabled hydrolysis of phosphatidylinositol 4,5-biphosphate into  
223 IP<sub>3</sub> and diacylglycerol. IP<sub>3</sub> then acts on the endoplasmic reticulum to open  $Ca^{2+}$  ion channels and  
224 causes an influx of  $Ca^{2+}$  into the cytoplasm. In the final step of the pathway, diacylglycerol and  
225  $Ca^{2+}$  activate protein kinase C (PKC), a family of intracellular signaling proteins common to all

226 eukaryotic cells that functions in phosphorylating numerous proteins, including transcription  
227 factors, ultimately leading to transcriptional regulation of stress-associated genes (Altman and  
228 Kong, 2016). On the other hand, OCT $\beta$ -R activation induces adenylyl cyclase and the production  
229 of intracellular cAMP and PKA (Balfanz et al., 2005; Bischof and Enan, 2004; Grohmann et al.,  
230 2003; Han et al., 1998). These OCT-induced secondary messenger systems are known to act in a  
231 variety of contexts including learning (Schwaerzel et al. 2003), hyperglycaemia (Fields and  
232 Woodring, 1991), and phagocytosis (Baines and Downer, 1994; Table 2).

233         Although numerous insect-specific PKC isoforms exist and their expression is often cell-  
234 type- and function-specific (Shieh et al., 2002), recent studies have begun to describe which PKCs  
235 function in specific organs within insects. For instance, PKC $\alpha$  is known to specifically act in a  
236 Ca $^{2+}$ -dependent manner to phosphorylate histones and other transcription regulators, such as  
237 methyl DNA-binding proteins, in the *Bombyx mori* brain (Uno et al., 2006). Another PKC subtype  
238 known as atypical PKC is necessary for long-term memory maintenance in mouse hippocampal  
239 neurons (Jalil et al., 2015) and its activity can rescue *rudish*-mutant *Drosophila* (Diptera:  
240 Drosophilidae) from associated memory defects (Shieh et al., 2002), suggesting that PKC-  
241 signaling may be important for learning in predator-induced responses. When trying to understand  
242 specific cascades of the insect predator-stress response, it is useful to be able to predict which  
243 specific PKC isoform is expected to be activated in the tissue and experimental context under study  
244 because PKCs are primary post-transcriptional modifiers with specific isoforms tied to discrete  
245 roles in transcriptional modification that are restricted to specific tissues.

246         Although some of the core cellular stress-induced secondary messengers that exist in  
247 insects are well-known, no clear pattern is discernible regarding the identification of tissues and/or  
248 taxa that may utilize the cAMP or Ca $^{2+}$  pathway preferentially over the other (Table 2). To

249 efficiently target these pathways for study or manipulation, for instance via gene editing or targeted  
250 pharmacology, and to validate that such manipulations have an influence on whole-organism  
251 performance, it is key to identify which secondary messaging pathway(s) is acting in the context  
252 under study. With few prior sub-organismal studies of insect secondary messenger signaling  
253 systems in the context of predator stress, there is a critical knowledge gap likely due to the cost-  
254 prohibitive molecular assays and expertise required. To overcome these limitations, we  
255 recommend that future attempts to discern which insect tissues respond to stressful conditions,  
256 particularly in the context of predation risk, should simultaneously monitor cAMP and  $\text{Ca}^{2+}$  ion  
257 levels in discrete tissues sampled at multiple time intervals before, during, and following stressor  
258 exposure to disentangle these cell-signaling responses so their respective roles may be  
259 characterized. Furthermore, we suggest that researchers assess the gene expression, protein  
260 localization, and activity of the two octopamine receptor sub-types and PKC isoforms within the  
261 context of predator-induced stress to elucidate the responsible molecular mechanisms. The highly  
262 conserved nature of the cAMP and  $\text{Ca}^{2+}$  signaling pathways in stress responses suggests that the  
263 biochemical and cellular mechanisms induced by insect predator stress mirror those more  
264 commonly studied in vertebrates and may comprise an evolutionarily conserved, ‘generalized’  
265 component of the stress response (Fig. 1). Nevertheless, it is critical to know where, when, and  
266 how both hormonal and cell-signaling pathways are tied to specific stress-induced responses  
267 throughout the insect body, and this knowledge is currently sorely lacking.

268

#### 269 **An overview of stress-responsive insect neurohormones**

270         Although the OCT and AKH-signaling systems are traditionally considered simple relative  
271 to vertebrate stress-response pathways, the diversity of other hormones, neuromodulators, and

downstream physiological effectors induced by the insect stress response implies a high degree of complexity. OCT and AKH exhibit a variety of functions, including the immediate release of neurotransmitters, the induction of extra- and intra-cellular signaling, and the prolonged, often neurally-modulated production and release of other hormones from the paired neurosecretory glands (corpora cardiaca and corpora allata). Even et al. (2012) review the diverse molecular, physiological, and behavioral stress-induced responses that have been reported in honey bees. Honey bee brains exhibit changes in OCT, dopamine, and serotonin concentrations that are correlated with physical stress, age, diel period, seasonality, and can vary among source colonies (Harris and Woodring, 1992). In fruit flies and honey bees, AKH modulates the physiological stress responses to temperature and oxidative damage by initiating intracellular signaling cascades affecting the transcription of antioxidants (Bednářová et al., 2015) and protein chaperones, including heat shock proteins (Elekonich, 2009; Hranitz et al., 2010; Voth and Jakob, 2017). Other signaling hormones that pleiotropically induce physiological responses to stress in insects include juvenile hormone (Chang and Hsu, 2004), a putative cortico-releasing hormone-binding protein (Liu et al. 2011), diuretic hormone-I (Coast, 2006), corazonin (Boerjan et al., 2010; Veenstra, 2009), allatostatin-A (Veenstra, 2009), glucagon-like peptides (Kodrík et al., 2015), and insulin-like peptides (Corona et al., 2007). Glucagon-like peptides and corazonin are both implicated in the activation of antioxidant defenses during periods of oxidative stress in insects, though each is also implicated in broad physiological processes including metabolism and ecdysis, respectively (Kodrík et al., 2015). The potential role of ecdysteroids in the adult stress response is especially of note. In the past 20 years, ecdysteroids, mainly 20-hydroxyecdysone, have been observed to be a primary molecular response to changes in the environment, including nutrient shortage (Terashima et al., 2005), aggressive social interaction (Ishimoto and Kitamoto, 2011), and thermal stress

295 (Hirashima et al., 2000) in *Drosophila*. Indeed, ecdysteroids and the majority of hormones  
296 discussed here act pleiotropically, stimulating many different physiological responses. Notably,  
297 the peptide corazonin was originally implicated in mediating cardiac rhythms in cockroaches  
298 (Veenstra, 1989), but researchers have since reported its function in locust gregarization,  
299 melanization, diel rhythms, and lipid metabolism following nutrient and thermal stress (Boerjan et  
300 al., 2010). In fact, the amino acid sequence of the corazonin family of peptides is remarkably  
301 similar to that of the AKH family, a pattern that suggests a possible ancestral function of corazonin  
302 in priming diel and seasonal stress-responsive physiological systems (Boerjan et al., 2010).

303 Adamo (2017) proposed a model to explain how a subset of stress-related signaling  
304 molecules and gene products could be co-opted from other physiological systems to promote  
305 homeostasis during periods of stressor exposure. Adamo (2017) provides evidence that there is  
306 substantial overlap in the molecular components induced by predator stress and the molecular  
307 components induced by immune challenge. She particularly points out that both predator-stress  
308 and immune challenge use biogenic amines (e.g. OCT) and small neuropeptides (e.g. AKH)  
309 released from neurosecretory glands into the hemolymph to enhance organismal performance  
310 while promoting overall physiological homeostasis. The overlap in components likely accounts  
311 for the well-documented trade-off between stress and immune functions through the sharing of  
312 scarce molecular resources vital to both responses. One particularly well-studied molecule  
313 hypothesized to drive this stress/immune trade-off in insects is apolipophorin III (ApoLpIII), a  
314 lipoprotein monomer that is well-known for its role in pathogen recognition pathways (Niere et  
315 al., 2001). Following stressor-activated secondary signaling cascades, ApoLpIII in the hemolymph  
316 undergoes a conformational change and binds with the apolipophorin I and II complex (Adamo et  
317 al., 2008; Adamo, 2017). This conformational change allows diacylglycerol to bind to the

318 complex, forming low density lipophorin, which then transports diacylglycerol from fat body lipid  
319 stores through the hemolymph to targeted organs to provide metabolic fuel (Weers and Ryan,  
320 2006; Fig. 2). Illustrating the importance of ApoLpIII, Adamo et al. (2008) find that injecting  
321 flight-stressed crickets with AKH reduces free ApoLpIII hemolymph concentrations as it becomes  
322 bound with ApoLpI, II, and diacylglycerol, leading to immunosuppression. A similar drop in  
323 ApoLpIII concentration might be expected during anti-predator stress responses due to co-option  
324 away from its constitutive role in pathogen recognition towards its role in transporting lipids  
325 (Adamo, 2017b; Adamo et al., 2008; Noh et al., 2014). To our knowledge, ApoLpI, II, III and  
326 diacylglycerol hemolymph concentrations have not been directly measured in insects under  
327 predation risk, but this is certainly a rich avenue of future research. The molecules comprising this  
328 stress/immune system trade-off in insects are known to act during physiological stress responses  
329 related to nutrition (Adamo et al., 2017; Davenport and Evans, 1984; Siegert, 1988), temperature  
330 (Taszlow and Wojda, 2015), pathogen infection (Adamo, 2017), and predator exposure (Boonstra,  
331 2013; Hawlena et al., 2011; McPeek et al., 2001; Van Dievel et al., 2016). In fact, the appearance  
332 of OCT and AKH receptors on the extracellular membranes of both efferent sensory neurons (Lam  
333 et al., 2013; MacDermid and Fullard, 1998) and immune-induced hemocytes further suggest an  
334 ancestral signaling relationship between these two systems (Adamo, 2017).

335 Indeed, animals across phyla can modulate immune function during interactions with  
336 predators (Adamo, 2012), implying that the ability to optimize stress and immune responses  
337 according to transient needs has been conserved for over 400 million years (Adamo, 2017). The  
338 deep evolutionary history of animal stress responses must be acknowledged and integrated into  
339 future studies of comparative evolutionary physiology. Such an approach will pave the way for an  
340 integrative understanding of how nonlethal, predator-induced stress can scale to affect population

341 demography and fitness in natural and anthropogenic systems. Demonstrating the influence of  
342 predators not only on direct mortality, but also the stress physiology and organismal performance  
343 of pest insects, could also aid in the development of predator-based approaches to sustainable  
344 agriculture. For instance, fifth instar *Manduca sexta* (Lepidoptera: Sphingidae) larvae that were  
345 pinched with forceps 8 times over the course of 30 sec repeatedly for three hours exhibited reduced  
346 mass gain, delayed development, increased hemolymph octopamine levels, reduced hemolymph  
347 glutathione (an antioxidant discussed below) levels, and increased antimicrobial peptide *attacin-1*  
348 expression (Adamo et al., 2017). Clearly, frequent exposure to a mock predator can have marked  
349 indirect effects on both the physiology of exposed adults and even offspring performance, with  
350 promising results for biological control strategies based on the ecology of fear, because *Manduca*  
351 *sexta* is commonly used to model processes in agricultural pest moths, such as *Helicoverpa* or  
352 *Spodoptera*. A thorough understanding of predator-induced stress physiology in insects could also  
353 help avoid extinctions. Endangered insect species, particularly those displaced by an invasive  
354 predator, may experience population declines in excess of what direct mortality predicts. Similarly,  
355 greater understanding of the underlying physiology of the ecology of fear may provide insights  
356 into the performance of beneficial insects, from pollinators to biological control agents. Studies of  
357 insect sensory processing and downstream neurophysiological stress can further be applied to more  
358 immediately practical pursuits, including the production of targeted insecticides and developing  
359 translational models of human sensory diseases (Albert and Göpfert, 2015; Senthilan et al., 2012;  
360 Song et al., 2001) and other neural disorders (Clinchy et al., 2011; Pandey and Nichols, 2011).

361

362 **An integrated metabolic-, immune-, and predator-induced stress response in insects**

363 Both acute and chronic predator-induced stressors are prevalent in nature (Boonstra, 2013;  
364 Clinchy et al., 2013) and both broadly impact the behavior, physiology, life history, and fitness of  
365 many insects (Adamo, 2017; Hawlena et al., 2011; Rodríguez and Greenfield, 2004; Zha et al.,  
366 2013). To date, most studies of insect physiology under predation risk have focused on energetics  
367 and whole-organism responses, as opposed to studying signaling pathways and their  
368 accompanying cellular and biochemical responses. For example, in two damselfly species,  
369 *Enallagma aspersum* (Odonata: Coenagrionidae) and *Ischnura verticalis* (Odonata:  
370 Coenagrionidae), circulating hemolymph concentrations of glucose and glycogen were unchanged  
371 in the presence of predators, though triglycerides were decreased in *Enallagma* but not *Ischnura*,  
372 while total protein levels decreased in *Ischnura* but not *Enallagma* (McPeek et al., 2001; Stoks et  
373 al., 2005). However, in a study that did investigate sub-organismal molecular responses to  
374 predation stress, Slos and Stoks (2008) found that the abundance and activities of cellular effectors,  
375 including heat shock protein, Hsp70, and the antioxidant enzyme, catalase, were enhanced under  
376 predation risk in *Enallagma cyathigerum*, another damselfly species. These same cellular effectors  
377 have previously been found to be involved in many stress responses, from heat to desiccation  
378 among others, nominating them to be part of a generalized stress response system in insects  
379 (Hermes-Lima and Zenteno-Savín, 2002).

380 With the knowledge that molecular resources are shared between the generalized stress and  
381 immune responses in insects, one might hypothesize that the same molecules observed in  
382 nutritionally, oxidatively, or immunologically stressed insects would also play a role in predator-  
383 stressed insects. One such molecular response involves glutathione, a major antioxidant found in  
384 insects (Clark et al., 2010) that acts through its capacity for neutralizing free radicals and reactive  
385 oxygen species. However, high hemolymph concentrations of glutathione also prevent the reactive

386 products of phenoloxidase, a key line of immune defense, from acting as a pathogen suppressor  
387 (González-Santoyo and Córdoba-Aguilar, 2012). For example, Stahlschmidt et al. (2015) find that  
388 female crickets (*G. texensis*) experimentally infected with a bacterium display a positive  
389 correlation in hemolymph glutathione concentration and fecundity. Further, the ratio of glutathione  
390 to prophenoloxidase circulating in the hemolymph is unaffected by food or immune challenge,  
391 indicating a tight balance between the free radical-producing effect of prophenoloxidase activity  
392 and its mitigation by glutathione (Stahlschmidt et al., 2015). By explicitly correlating fast-acting  
393 stress signaling cascades, such as octopamine and AKH signaling, with downstream cellular-level  
394 effector activity, one can build mechanistic hypotheses regarding specific signaling systems and  
395 the discrete sets of responsive effector molecules activated as part of a specialized stress response  
396 vs. general stress responses. Kodrík et al. (2015) and Farooqui (2012) review the functions of AKH  
397 and OCT signaling in insect stress responses, respectively, and show that both affect tissue-specific  
398 enzyme activity and transcriptional regulation by acting through distinct secondary messengers  
399 (Table 2). Although great strides have been made in model organisms like *Drosophila* with  
400 projects like FlyAtlas (Chintapalli et al., 2007) and ModEncode (Celniker et al., 2009), research is  
401 now needed that can discern the specific tissues and cell types expressing one or more of the OCT  
402 and/or AKH receptor subtypes and their discrete impacts on tissue and organismal physiology in  
403 non-model organisms across different ecological contexts (i.e. acute vs. chronic stressors) to  
404 advance a mechanistic understanding of cellular-, transcriptional-, effector-, and organismal-level  
405 responses to predation risk, as well as ultimately population- and community-level responses due  
406 to the ecology of fear. As one of the few experimental examples spanning these scales from sub-  
407 organismal to whole-organism responses while also comparing acute and chronic stressors,  
408 crickets (*G. texensis*) experiencing chronic immune challenge every 3 days shift molecular

409 resources towards oxidative stress tolerance relative to immune resistance, whereas immune  
410 resistance is highly activated during acute immune challenges (Stahlschmidt et al., 2015).  
411 Exposure to acute and chronic predation risk often induces complementary, yet distinct,  
412 physiological responses, and pinpointing where, when, and what molecular interactions are  
413 involved in each remains a key area of study in the field of insect stress.

414

#### 415 **Sensory and taxonomic biases of studies on predator-induced stress across insects**

416 Insects are well-known for their array of sensory mechanisms, including several forms of  
417 lineage-specific sensory receptors. For instance, female *Ormia ochracea* [Diptera: Tachinidae]  
418 flies use specialized, paired tympanal organs to localize singing *Gryllus* crickets, which they then  
419 parasitize (Robert et al., 1992). Each receptor type is correlated with a spectrum of behavioral  
420 responses that is induced upon the perception of environmental cues, although multi-modal  
421 sensory integration can lead to diverse, non-normative reactions. Notably, *Drosophila* respond  
422 with two distinct behavioral repertoires depending on the speed and size of a visual stimulus (Wu  
423 et al., 2016). Each behavioral response is represented neurophysiologically by activity in distinct  
424 neurons within the same stimulus-encoding layers of the optic lobe (Klapoetke et al., 2017). For  
425 example, a looming object, one that is moving towards the subject, activates a distinct lobula  
426 columnar and neuron sub-type in the *Drosophila* eye that innervate the giant fiber escape circuit  
427 and leads explicitly to a prescribed turning and escape behavior (von Reyn et al., 2017; Wu et al.,  
428 2016). These prescribed behavioral regimes and specific underlying neural responses have allowed  
429 neuroscientists to map the discrete activation of sensory-processing neuron sub-types sensitive to  
430 specific cues, including looming-sensitive neurons, that encode similar behavioral escape  
431 responses in all animals studied thus far (Peek and Card, 2016). This field of research reveals the

432 deep homology or convergence of these neural responses to a predator-related visual cue and  
433 emphasizes the need for more detailed comparisons between the sensory systems studied, the  
434 methods used to activate specific neural sub-types, and the distinct parts of the brain where higher-  
435 order processing of these stimuli occurs among diverse evolutionary lineages.

436 Despite significant technological advancements to describe neural responses to stressors,  
437 the methodology employed in studies of molecular stress responses remains somewhat limited.  
438 Current molecular assessments of stress in insects, which primarily use *Drosophila melanogaster*  
439 as a model, employ whole-body or whole-tissue sample extractions that can bias the resulting  
440 observations and their interpretation. Compared to vertebrates, the relatively simple insect nervous  
441 system provides an opportunity to compare stress responses in fine-scale studies of predation-  
442 related neurophysiology through the use of microdissections, single-cell analytic technologies,  
443 optogenetic labeling, and elegant histological techniques. Along with technological advancements  
444 in measuring biochemical stress responses, a foundational knowledge of predator and prey  
445 contexts is required to understand how nonlethal effects of predation risk influence an organism's  
446 physiology. Among these considerations are predator and prey life histories, the sensory modalities  
447 involved in predator-prey interactions, and both the acute and chronic neurophysiological  
448 responses that are expected to occur. Due to body size constraints on microdissection and the  
449 prohibitive amount of tissue required for effective molecular sequencing studies, deconstructing a  
450 physiological stress response into sensory perception, lower- and higher-order processing, and  
451 local compared to systemic responses is currently limited in non-model insects. Yet, it is only a  
452 matter of time before such approaches are translated to novel, non-model species by building on  
453 the genetic tools developed for studying neurophysiological stress in *Drosophila*. Many insect  
454 predator-prey interactions are studied at the behavioral and organismal levels, yet the stimuli used

455 among different studies are often not directly comparable. For example, mechanically shaking an  
456 insect is often assumed to be a proxy for a predation-related cue, though little empirical evidence  
457 supports this assumption (Evans et al., 2012). Other studies have relied on more ecologically  
458 relevant and quantifiable stimuli, including predator kairomones (Van Dievel et al., 2016; Heads,  
459 1985), auditory exposure to predator sounds (Huang et al., 2003; Minoli et al., 2012; Zha et al.,  
460 2013), visual cues (Combes et al., 2012; De La Flor et al., 2017; Kacsoh et al., 2015), or allowing  
461 direct interaction between predator and prey by rendering predators harmless (Persons et al., 2001;  
462 Schmidt-Entling and Siegenthaler, 2009; Snyder and Wise, 2000). The variety and context-  
463 dependence of cues used throughout the literature makes comparisons between studies  
464 challenging. Additionally, outcomes of many predator-prey interactions are often context-  
465 dependent, with strong influences of resource availability (both for predator and prey), conspecific  
466 cues, and transient organismal physiology impacting anti-predator behavior in both vertebrates  
467 (Clinchy et al., 2004; Drakeley et al., 2015; Figueira and Lyman, 2007; Katwaroo-Andersen et al.,  
468 2016; Oliveira et al., 2017; Pike et al., 2010) and invertebrates (Charalabidis et al., 2017; Dittmann  
469 and Schausberger, 2017; Elliott et al., 2017; Jones and Dornhaus, 2011; Laws and Joern, 2015;  
470 Stahlschmidt et al., 2014; Stahlschmidt and Adamo, 2015).

471 Another roadblock to synthetic understanding is that the literature on predator-induced  
472 stress in insects is scattered across highly divergent orders (i.e. Orthoptera [Goosey, 1982; Morton  
473 and Evans, 1983; Verlinden et al., 2010]), Diptera [Kaufmann et al., 2009; Rahman et al., 2013],  
474 Lepidoptera [MacDermid and Fullard, 1998; Pfuhl et al., 2015], and Odonata [McPeek et al., 2001;  
475 Slos and Stoks, 2008; Van Dievel et al., 2016]). Over hundreds of millions of years, these taxa  
476 have diverged to produce the myriad sensory receptor organs and associated behavioral responses  
477 to environmental stimuli for which each clade is now well-known (Lozano-Fernandez et al., 2016;

478 Misof et al., 2014; Table 1, Fig. 3). In one example, Crespo (2011) reviews the chemosensory  
479 structures, relevant neural circuitry, and associated olfaction-induced behavioral responses among  
480 a majority of aquatic insect orders and emphasizes the extreme diversity seen in neural structure  
481 and organization, even within the life cycle of a single holometabolous species. Crespo (2011)  
482 cites the common misassumption that Odonata are solely visual navigators. Although odonates  
483 were originally thought to have little, if any, olfactory sense due to their lack of glomerular  
484 antennal lobes like those found in Neoptera, odonate nymphs are now recognized to use olfactory  
485 kairomones for predator avoidance (Crespo 2011). As a result, Crespo (2011) recommends  
486 studying both organismal life history and the functional arrangement of sensory neuron  
487 connections among specific neuropils to accurately assess neurophysiological responses from the  
488 moment of cue perception to the activity of downstream physiological effectors.

489 In the context of predation risk, the modalities of insect visual and olfactory  
490 neurophysiology have been relatively well-studied (Borst, 2009; Crespo, 2011; Gabbiani et al.,  
491 1999; Hatsopoulos et al., 1995). Other sensory modalities in insects, such as hearing, are only now  
492 becoming recognized for their influence on prey physiological responses to predation, despite a  
493 rich neuroethological history (Hoy et al., 1989; Hoy and Robert, 1996; Ratcliffe et al., 2011). These  
494 advances in insect sensory ecology represent exciting opportunities to compare neural structure,  
495 organization, complexity, and function when individuals are exposed to stimuli with different  
496 sensory modalities (e.g. auditory vs. visual), both within a single species and across diverse  
497 evolutionary lineages. Ancestral proprioceptors and chordotonal receptors have been co-opted as  
498 tympanal organs in many insect orders, and have independently evolved in at least 20 locations  
499 throughout the basic insect body plan (Göpfert and Hennig, 2016). Though neurophysiological  
500 data on auditory neurons and processing in insects is limited, a few studies have described the

501 neural architecture of auditory perception in noctuid moths (Lepidoptera: Noctuidae; MacDermid  
502 and Fullard, 1998; Pfuhl et al., 2015; Roeder, 1966a; ter Hofstede et al., 2011). The afferent  
503 auditory neurons of the noctuid tympanal organ extend into the fused meso- and metathoracic  
504 (pterothoracic) ganglia before a single ascending interneuron transmits a signal to the ventrolateral  
505 protocerebrum (Pfuhl et al., 2015; ter Hofstede et al., 2011). Another notable auditory receptor is  
506 the near-field responsive Johnston's organ that is situated on the insect antenna and is innervated  
507 by afferent neurons extending first to the antennal lobes before reaching higher-level processing  
508 centers (Caldwell and Eberl, 2002). Although its function in predator detection is debated, the  
509 Johnston organ is intimately involved in mating and social interaction in many insects and thus  
510 may also provide sensory information pertaining to potentially stressful conditions, such as  
511 antagonistic social interactions. To parse the intermediary processes that may modulate responses  
512 by particular auditory neural pathways, recent work has established that long-term exposure to bat  
513 calls over the course of 8 hours induces several transcripts encoding components of G-protein-  
514 coupled receptor activation,  $\text{Ca}^{2+}$  ion release, a heat shock protein, and mitochondrial metabolism  
515 that are differentially regulated in the whole brain tissues of male *Spodoptera frugiperda*  
516 (Lepidoptera: Noctuidae; Cinel and Taylor, 2019). Continued advances in molecular sequencing  
517 and the characterization of non-model insects will likely bring many understudied insect predator-  
518 prey interactions to the forefront of sensory and stress physiology research.

519         Although some types of stress responses in insects have been well-investigated (e.g.,  
520 thermal stress as reviewed by King and MacRae, 2015; Overgaard and MacMillan, 2017), in most  
521 cases, the discrete sensory processing, signaling, and regulatory events associated with  
522 transcriptional responses to predator-induced stress are not yet known, making it difficult to  
523 accurately compare stress responses without bias. Variation in physiological stress responses

524 between divergent arthropod classes is perhaps unsurprising, yet even closely related insects  
525 appear to utilize functionally distinct physiological pathways to promote homeostasis in the face  
526 of stressors (Bednářová et al., 2013; Gäde et al., 2008; Gog et al., 2014; Kaufmann et al., 2009;  
527 Salim et al., 2017; Zhang et al., 2008). For example, predator cues have been shown to induce  
528 oxidative stress in damselflies, but the antioxidant effectors associated with these responses in  
529 stressed insects can be distinct across sensory contexts. Specifically, Janssens and Stoks (2013)  
530 found that concentrations of the antioxidant enzyme superoxide dismutase, but not the antioxidant  
531 enzyme catalase, decreased in the damselfly *Enallagma cyathigerum* (Odonata: Coenagrionidae)  
532 larvae following exposure to just a larger damselfly predator. In contrast, Slos and Stoks (2008)  
533 reported in *E. cyathigerum* a decrease in catalase concentrations, but not superoxide dismutase,  
534 following simultaneous visual and chemosensory exposure to cannibalistic conspecifics,  
535 conspecific alarm pheromones, and a fish predator simultaneously. This example of a single  
536 species altering the concentration of complementary antioxidant effectors in opposite ways under  
537 different predator stress contexts drives home the point that responses to ostensibly similar  
538 stressors may have distinct perceptual and molecular bases.

539 Like many other animals, insects likely display context-dependent physiological responses  
540 that might be driven, for instance, through genetic heritable variation, epigenetic inheritance, or  
541 early life exposure (Adamo et al., 2013; Bell and Sih, 2007; Kain and McCoy, 2016; Mcghee et  
542 al., 2012; Watts et al., 2014). The freshwater snail *Physa acuta* (Heterobranchia: Physidae)  
543 increases anti-predator hiding behavior and decreases fecundity in response to predation risk  
544 imposed by *Procambarus* crayfish (Decapoda: Cambaridae). Yet, these responses occur in some  
545 individuals and not others, particularly under intermediate and stochastic levels of predator cue  
546 exposure (Kain and McCoy, 2016). These interactions exhibit personality-driven behavioral and

547 physiological differences observed during similar predator-prey and antagonistic social  
548 interactions in animals from carpenter ants (d’Ettorre et al., 2017; Hawlena et al., 2011; Rose et  
549 al., 2017) to stickleback fish (Blake and Gabor, 2014; Fürtbauer et al., 2015; Mcghee et al., 2012).  
550 However, there is still a lack of rigorous experimental evidence that demonstrates inter-individual  
551 variation in response to predation while accounting for sensory and environmental context in  
552 insects.

553

#### 554 **A standardized approach to studying predator-induced stress in insects**

555 An integrative approach that incorporates context- and taxon-dependent differences in  
556 stress responses is needed to properly characterize spatiotemporal, sub-organismal molecular  
557 responses to predation-related stressors in insects. A framework describing the sequence of events  
558 induced by predator cue perception is helpful for conceptualizing the molecular mechanisms  
559 associated with predator-induced stress and to inform proper timing of sampling for making  
560 inferences about particular components of predator exposure responses. The sequence of events  
561 that occur upon exposure to a predator-cue includes cue detection, signal transduction, systemic  
562 biogenic amine and neuropeptide release, transcriptional regulation, post-transcriptional  
563 modifications, and effector activity, though the order of these processes is not necessarily linear  
564 (Fig. 4).

565 Stemming from systems biology, a physiological regulatory network perspective examines  
566 the interrelatedness of individual physiological components and the numerous molecular pathways  
567 that include each component as part of a larger organismal homeostatic system (Adamo, 2017;  
568 Cohen et al., 2012). A physiological regulatory network approach involves studying how  
569 physiological components interact at one level of a network and how those interactions influence

570 physiological components at lower and higher levels of organization. For instance, a physiological  
571 regulatory network approach might include the simultaneous measurement of stress and immune  
572 responses as part of a larger homeostatic defense system (Adamo, 2017; Cohen et al., 2012).  
573 Utilizing this physiological regulatory network perspective can help demystify seemingly  
574 incongruent patterns in a given system's response to a stressor by looking for possible interactions  
575 with other integrated physiological systems. In the context of this review, a physiological  
576 regulatory network perspective could represent a mechanistic understanding of how the stress,  
577 immunity, and metabolic systems, for instance, influence each other in response to predation stress.  
578 This shift towards a physiological regulatory network perspective has contributed to several  
579 discoveries of tightly integrated biochemical resource allocation pathways, including the  
580 aforementioned immune/predator-induced stress trade-off (Adamo, 2017a; Adamo et al., 2017)  
581 and immune resistance/oxidative damage trade-off (Stahlschmidt et al., 2015). Specifically,  
582 Adamo (2017) uses a physiological regulatory network perspective to frame trade-offs between  
583 the allocation of ApoLpIII to stress and immune functions and explain how these integrated  
584 systems work in concert to prime immune function in an animal's current ecological context by  
585 sharing and borrowing molecular effectors between their respective response pathways. Adamo  
586 (2017) cites the increase in antimicrobial protein expression many insects display following  
587 mechanical stress, but not infection, as a possible reconfiguration of the immune system to  
588 compensate for changes elsewhere in the network, such as the co-option of ApoLpIII from its role  
589 in immunity towards lipid transport. Additionally, studies of prey physiology should confirm that  
590 a whole-organismal response, i.e. a change in fitness or fitness-related performance parameters,  
591 tied to the sub-organismal physiological parameters under study indeed occurs. Too often, sub-

592 organismal molecular and cellular studies are separated from important organismal performance  
593 parameters.

594 Despite recent advances in understanding how the stress and immune systems integrate  
595 within the context of a generalized stress response, a broad, network-level approach to measuring  
596 OCT, AKH, and associated transcriptional and regulatory activities following exposure to predator  
597 stimuli is still lacking (see Adamo, 2017). In insects, sensory modalities are thought to be  
598 integrated through the transmission of peripheral stimuli to processing centers of the proto-, deuto-  
599 , and trito-cerebral segments of the brain (Farris, 2005). However, the segmented, distributed  
600 ganglia comprising the insect CNS apart from the brain likely contain many other non-cerebral,  
601 multi-modal processing centers. Determining the discrete location of sensory modality processing  
602 in the CNS of specific insect clades would provide additional evidence for pinpointing  
603 neurosecretory tissues to which molecular and neural manipulation experiments can be effectively  
604 applied. The discrete localization of nervous transmission within the insect CNS also helps ensure  
605 that the genes and proteins identified as functionally relevant are indeed related to the stressor  
606 under investigation (Evans, 2015).

607 Once a researcher has identified a tractable predator-prey system, we recommend the use  
608 of a general 5-step protocol to holistically assess the effects of predation-related stress on insect  
609 prey (Fig. 5). First, one must **establish the ecological relevance** of the predator/cue exposure  
610 used and explicitly calibrate exposure to detect effects of stimulus over- and under-exposure  
611 relative to the natural stimulus frequency. One should consider the distinction between acute and  
612 chronic exposure to a particular predator cue and attempt to emulate one or the other based on the  
613 question of interest. For instance, seemingly small differences in a cue can have dramatic  
614 influences on prey physiology and behavior. Studies of eared moths and their responses to

615 ultrasound provide a valuable example of how the use of a proxy cue, one similar yet usually of  
616 questionable ecological relevance, can have a strong influence on experimental outcome.  
617 Specifically, many studies have used synthetic ultrasonic signals to recreate a bat call-like stimulus  
618 (Svensson et al., 2003; Zha et al., 2013), but these stimuli often lack various qualities of the real  
619 stimuli they are mimicking and can produce quite different neural responses in the receiving  
620 individual. Until a comparative study of the influence of both bat-like ultrasound and recorded bat  
621 calls on moth behavior and physiology is conducted, the ecological relevance of these studies  
622 remain in question. Next, one should **establish the effect size** of predator/cue exposure on  
623 organismal behavior, life history, physiology, and ultimately fitness. For instance, by  
624 simultaneously measuring a prey species' baseline or control response, the response to predator  
625 exposure, and the response to a benign cue, one can then parse the effect of predator exposure *per*  
626 *se* from any effect that may simply be due to sensory stimulation alone. Once the effect size has  
627 been established, and is hopefully substantial, the next step is to **identify complementary**  
628 **functional hypotheses** based on the neurophysiology of cue and/or risk perception (i.e. sensory  
629 modality, transient versus persistent cues, length and frequency of exposure), make an assessment  
630 of biochemical and cellular signaling molecules expected to be involved in the neurophysiology  
631 of cue perception (i.e. OCT/AKH hemolymph titers, ligand receptors, cAMP,  $\text{Ca}^{2+}$ , PKA, PKB,  
632 PKC,  $\text{IP}_3$ , FoxO), and make an assessment of stress-activated physiological effector molecules  
633 known to act in the same or closely related taxa (i.e. neuromodulators, diacylglycerol, HSPs,  
634 antioxidants, ApoLpI, II, III). Previous studies and published hypothesized molecular pathways  
635 provide a rich source of information from which specific predictions of the molecules involved  
636 during a given prey insect's response to a predator can be constructed. Building on the knowledge  
637 accrued during steps 1 – 3 (above), one can **leverage informational and resource needs** with

638 available technologies, resources, and expertise. Specifically, we recommend considering data  
639 interpretability, with an emphasis on the need for RNA versus protein-level evidence, sample  
640 preparation and analysis costs, and instrumental access. Specialized kits, instrumentation, and  
641 expertise are often required to conduct molecular assays, with standardized RNA and DNA  
642 extraction and sample preparation kits readily available compared to the highly customized nature  
643 of mass spectrometry and high-performance liquid chromatography. Finally, access to specialized  
644 analytical tools should also be considered, such as utilizing high-performance computing  
645 resources, scripting languages, and RNA-Seq or proteomic databases and software. Finally, one  
646 should **plan for further validation** of mechanisms nominated with genetic loss-of-function/gain-  
647 of-function, field-based, behavioral, neurophysiological, and other experimental approaches. Once  
648 a well-defined correlation between biochemical, transcriptional, or physiological responses and  
649 predation risk has been established, further experimental validation must be used to demonstrate  
650 the influence of predation risk on the organismal phenotype, or even population and community  
651 demographics, within an ecologically relevant framework. Studies demonstrating the heritability  
652 of predator-induced stress responses, loss-of-function studies using nerve cell ablation, or genetic  
653 knock-out techniques that show a clear lack of response, and comparative studies of predator  
654 stress-induced life history changes operating in natural conditions are among the most promising  
655 avenues for future research.

656

## 657 **Conclusions**

658 Many molecular and physiological responses to stressors have been documented from  
659 insects during and after predator interactions. A few molecules, namely AKH, OCT, and several  
660 antioxidants, are shared between metabolic-, immune-, and predator-induced stress responses. We

661 suggest that the octopamine-adipokinetic hormone (OAH) stress response in insects is analogous  
662 to the well-described vertebrate HPA axis. We emphasize the need to account for taxon- and  
663 sensory-specific differences among study organisms and the methodologies used when comparing  
664 results. Additional research is needed to effectively characterize neurohormonal, secondary  
665 messenger, transcriptional, and effector molecule pathways, to describe how these pathways  
666 influence stress physiology over the short and long terms, and to explore the use of functional  
667 genomic methods for pinpointing the role of specific genes and their products within a given  
668 species' physiological and behavioral stress response. We suggest that some particularly fruitful  
669 research may be to: (1) parse the roles of specific OCT receptor sub-types in context-specific stress  
670 responses, (2) determine the specific transcriptional modifications made and effector molecules  
671 produced across an insect's tissues during and after interactions with predators, with special care  
672 spent to select time points appropriate to the processes involved, and (3) establish hypothesized  
673 physiological regulatory networks for predator-induced stress responses in insects specifically.  
674 Extensions of knowing which OCT-receptor sub-type, if any, is responsible for eliciting predator-  
675 induced stress responses in insects may allow the targeted knockdown of predator-responsive  
676 physiological pathways for use in future pest management applications. Next, knowing where and  
677 when stress-induced transcriptional modifications are being made and effector molecules are  
678 active during an exposure to a predator can help build a mechanistic understanding of how tissues  
679 and molecules interact throughout the entire organism. Finally, establishing a hypothesized  
680 physiological regulatory network for predator-induced stress in insects would provide many  
681 benefits, including guidance for understanding stress physiological data from wild insect  
682 populations of conservation concern or beneficial insects, from pollinators to biological-control  
683 agents, that may be targeted by native or non-native predators.

684         Advances in transcriptome sequencing of non-model insects has made it feasible to attain  
685 tissue-, or even single-cell-, specific descriptions of gene expression before, during, and after an  
686 interaction with a predator. By correlating anti-predator behavioral regimes with stress-induced  
687 neurohormonal signaling, tissue-specific gene expression, and downstream effector activity, one  
688 may be able to assess the diversity of physiological responses observed in stressed insects. We  
689 suggest that the evasive flight maneuvers and production of jamming ultrasound in response to bat  
690 calls reported in several ultrasound-sensitive lepidopteran clades are examples of easily inducible  
691 behavioral regimes that can be paired with tissue-specific and time-series molecular techniques  
692 within different stress contexts (Barber and Kawahara, 2013; Corcoran et al., 2009; Kawahara and  
693 Barber, 2015; Roeder, 1966; Werner, 1981; Yack and Fullard, 2000). These suggested  
694 investigations and others will allow the field to build a holistic understanding of how individual  
695 components of physiological regulatory networks interact to enable appropriate physiological  
696 changes to stressors that can affect prey species from the sub-cellular to the organismal scales and  
697 beyond, with repercussions for population and even community-level processes (Fig. 6).

698         A replicable and reliable approach to measuring stress-induced molecular responses is  
699 needed to better understand the physiology of prey insects. First, studies of predator-induced stress  
700 should incorporate a wide range of molecular responses when describing or hypothesizing about  
701 physiological and biochemical pathways to allow us to uncover lineage- and sensory-specific  
702 responses. For example, by explicitly measuring neurohormone levels, receptor protein activity,  
703 secondary messenger activity, transcriptional regulation, and effector protein/molecule activity  
704 within the context of predator exposure, one can build a well-defined network of interacting  
705 molecular components. Future investigations describing the interactions of metabolic-, immune-,  
706 and predator-related stressors on the molecular pathways we review here will eventually yield an

707 intricate description of how these integrated systems function across ecological contexts, ranging  
708 from starvation to predator pressure. Second, it is crucial that comparative investigations of  
709 neurophysiological stress responses examine similarities not only between phyla (Adamo, 2017;  
710 Bednářová et al., 2013) but also between closely related species (Gade et al., 2008; Lam et al.,  
711 2013; Papaefthimiou and Theophilidis, 2011). Such an approach will enable a holistic  
712 understanding of the similarities and differences between taxon-specific, sensory-specific, and  
713 universal stress responses. Novel technologies, such as transcriptome sequencing from the level of  
714 single cells to organs, proteome-wide mass spectrometry, and gene editing tools provide the means  
715 necessary to measure and perturb entire physiological regulatory networks simultaneously. Yet  
716 these approaches also have limitations. For instance, the indirect relationship between mRNA  
717 expression, alternative splicing, posttranslational protein modifications, and protein activity  
718 (Evans, 2015) continues to limit the interpretation of transcriptomic measurements. Technical  
719 limitations will surely diminish as robust genomic, transcriptomic, and proteomic resources  
720 continue to develop that allow for the inexpensive, practical identification and quantitative  
721 measurement of active proteins, peptides, and their up- and down-stream derivatives from diverse  
722 insect taxa, tissues, developmental stages, and predation contexts.

723 The best-practice guidelines provided here can help nominate molecular constituents of  
724 predator-induced stress in insects to explore how these molecules and pathways are evolutionarily  
725 related. Specifically, we emphasize the importance of ecological context in designing stress-  
726 response assays as well as identification of lineage-specific and shared molecular pathways  
727 involved in physiological acclimation to predator presence and the application of these findings  
728 towards the understanding of human disease, ecophysiological research, conservation, and  
729 sustainable pest management.

730

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## Tables and Figures

Table 1. List of studies investigating the behavioral, physiological, and molecular responses of insects to predation-related stress, with emphasis on the type of predator-prey interaction tested, sensory modalities involved, responses measured, and reported molecular pathways and genes involved.

Summary of Molecular, Physiological, and Behavioral Responses of Insects to Predator-Induced Stress						
Order	Species studied	Predator or cue used	Sense(s) involved	Physiological or other response(s)	Hypothesized or known pathway(s) and gene(s) involved	Reference(s)
Coleoptera	<i>Leptinotarsa decemlineata</i>	<i>Podisus maculiventris</i>	olfactory, tactile, visual	reduced feeding	-	Hermann and Thaler (2014)
Coleoptera	<i>Cicindela marutha</i>	simulated bat ultrasound	auditory	behavioral change and induction of auditory defenses	-	Yager and Spangler (1997)
Diptera, Trichoptera	<i>Chironomus riparius</i> , <i>Sericostoma vittatum</i>	<i>Salmo trutta</i>	olfactory	impaired growth and delayed adult emergence	-	Pestana et al. (2009)
Diptera	<i>Drosophila melanogaster</i>	<i>Leptopilina heterotoma</i> , <i>Plexippus paykulli</i> , <i>Phylloovates chlorophaeae</i> , mock predator	tactile, visual	reduced oviposition, increased exploratory behavior, transmission of responses to naïve flies	<i>drice</i> , <i>Dcp-1</i> , <i>Orb2</i> , <i>Adf1</i> , <i>dnc</i> , <i>rut</i> , <i>FMR1</i> , <i>amn</i> , <i>wg</i> , <i>EWG</i>	Kacsoh et al. (2015); de la Flor et al. (2017)
Hemiptera	<i>Acyrthosiphon pisum</i>	(E)-β-farnesene (alarm pheromone)	olfactory	altered feeding locations	-	Keiser and Mondor (2013)
Hymenoptera	<i>Apis mellifera</i>	shaking, alarm pheromone exposure, pinching leg, electric shock	tactile, olfactory, visual	increased aggression, elevated levels of biogenic amines and antioxidants	OCT- and AKH-induced regulation of downstream gene expression	Evans et al. (2012)

Lepidoptera	<i>Amphipyra pyramidoides, Caenurgina erechtea, Feltia jaculifera, Phlogophora periculosa, Lymantria dispar, Ennomos magnaria</i>	simulated bat ultrasound	auditory	reduced flight activity	-	Fullard et al. (2003)
Lepidoptera	<i>Pygarctia roseicapitis, Cisthene martini</i>	direct exposure to bats	auditory, tactile, visual	behavioral change, induction of auditory defenses, increase in survival	-	Dowdy and Conner (2016)
Lepidoptera	<i>Ostrinia nubilalis, Pseudaletia unipuncta</i>	simulated bat ultrasound	auditory	reduced and interrupted mating behaviors	-	Acharya and McNeil (1998)
Lepidoptera	<i>Helicoverpa armigera, Plodia interpunctella</i>	simulated bat ultrasound	auditory	increase and decrease in fecundity, respectively	-	Zha et al. (2013); Huang and Subramanyam (2004)
Lepidoptera	<i>Bertholdia trigona, Cycnia tenera</i>	simulated bat ultrasound and direct exposure to bats	auditory, tactile, visual	behavioral change and induction of auditory defenses	-	Corcoran et al. (2013); Ratcliffe et al. (2011)
Lepidoptera	several sphingid spp.	simulated bat ultrasound and direct exposure to bats	auditory, tactile, visual	behavioral change and induction of auditory defenses	-	Kawahara and Barber (2015)
Lepidoptera	<i>Galleria mellonella</i>	-	tactile; shaken in hands	increased hemocyte density, elevated <i>galiomicin</i> and <i>inducible metalloproteinase inhibitor</i> transcription, elevated apolipophorin, arylphorin, and prophenoloxidase expression	immune priming	Brown et al. (2014); Mowlds et al. (2008)
Mantodea	several hymenopid, mantid and empusid spp.	direct exposure to bats	auditory, tactile, visual	behavioral change and induction of auditory defenses	-	Triblehorn and Yager (2001)

Odonata	<i>Enallagma cyathigerum, E. aspersum, Ischnura verticalis</i>	<i>Anax imperator, Gasterosteus aculeatus</i>	olfactory, tactile, visual, other*	reduced growth rate, reduced feeding, increase metabolism, reduced nitrogen-rich protein content, reduced carbon-rich fat and sugar content (whole body), increased antioxidant and reactive oxygen species activity	glyconeogenesis, reduction in catalase levels	Janssens and Stoks (2013); McPeek et al. (2001); Slos and Stoks (2008); Stoks et al. (2005); Van Dievel et al. (2016)
Odonata	<i>Coenagrion puella, Ischnura elegans</i>	<i>Gasterosteus aculeatus, Pungitius pungitius</i>	olfactory, tactile, visual, other*	reduced activity	-	Convey (1988)
Odonata	<i>Enallagma ebrium, Ischnura verticalis</i>	<i>Lepomis gibbosus</i>	olfactory, tactile, visual, other*	reduced feeding	-	Baker and Dixon (1986)
Odonata	<i>Leucorrhinia intacta</i>	<i>Anax junius, Lepomis macrochirus</i>	olfactory, tactile, visual, other*	increase in immune encapsulation, induction of abdominal spines	immune priming	Duong and McCauley (2016); McCauley et al. (2011)
Orthoptera	<i>Gryllus texensis</i>	perception of predation risk, exposure to mock predator	auditory, olfactory, tactile, visual, other*	altered oviposition site selection, increased OCT hemolymph levels, decreased feeding and metabolism	OCT-mediated metabolic responses	Adamo and Baker (2011); Stahlschmidt and Adamo (2013)
Orthoptera	<i>Melanoplus femur-rubrum</i>	<i>Hogna rabida, Pisaurina brevipes, P. mira, Phidippus clarus, Tibellus maritimus, T. oblongus</i>	auditory, olfactory, tactile, visual, other*	feeding, activity, and habitat use	-	Miller et al. (2014); Hawlena et al. (2011)
Orthoptera	<i>Locusta migratoria, Schistocerca gregaria</i>	induction of flight activity, handling	tactile, visual	lipid mobilization	AKH-mediated signaling and induction of glycogen and DAG transport in hemolymph	Orchard et al. (1981); Van der Horst and Rodenburg (2010)

Abbreviations: *Adh1* (*Adh transcription factor 1*); AKH (adipokinetic hormones); *amn* (*amnion associated transmembrane protein*); DAG (diacylglycerol); *Dcp-1* (*death caspase-1*); *drice* (*death related ICE-like caspase*); *dnc* (*dunce*); *EWG* (*erect wing*); *FMR1* (*fragile X mental retardation 1*); OCT (octopamine); *Orb2* (*translational regulator Orb2*); *rut* (*rutabaga*); *wg* (*wingless*)

Note: Studies in which prey were exposed directly to predators are marked with a ‘\*’.

Table 2. List of studies assessing intracellular secondary messenger activity following octopamine exposure/binding in insects and other invertebrates, including details on the species studied, the tissue or approach utilized, any physiological response observed, and whether the cAMP/PKA or  $\text{Ca}^{2+}/\text{PKC}$  signaling pathways were induced (X), not affected (-), or not assessed.

Induced secondary messenger pathway		Species	Order	Tissue and/ or approach	Physiological and/or behavioral response(s)	Reference(s)
cAMP/PKA	calcium/PKC					
X	X	<i>Periplaneta americana</i>	Blattodea	hemolymph; cloned in COS-7 and HEK-293 cells	-	Bischof and Enan (2004); Gole et al. (1987)
not assessed	X	<i>Periplaneta americana</i>	Blattodea	activity of calcium-signaling inferred by proxy through $\text{IP}_3$ activity in cultured <i>P. americana</i> cells	increased phagocytosis	Baines and Downer (1994)
X	not assessed	<i>Periplaneta americana</i>	Blattodea	thoracic ganglia, <i>in vivo</i> and intact preparations	-	Nathanson and Greengard (1973)
X	X	<i>Drosophila melanogaster</i>	Diptera	<i>Drosophila melanogaster</i> -cloned receptors expressed in cultured HEK-293 cells	-	Balfanz et al. (2005)
X	X	<i>Drosophila melanogaster</i>	Diptera	<i>Drosophila melanogaster</i> -cloned receptors expressed in cultured Drosophila S2, CHO, and HEK-293 cells	-	Han et al. (1998); Robb et al. (1994)
X	-	<i>Drosophila melanogaster</i>	Diptera	<i>Drosophila melanogaster</i> -cloned receptors from head or body expressed in cultured CHO-K1 cells	-	Maqueira et al. (2005)
X	not assessed	<i>Drosophila melanogaster</i>	Diptera	brain (mushroom body Kenyon cells) expression of AmOA1 receptor in cultured HEK-293 cells	appetitive learning	Schwaerzel et al. (2003) Grohmann et al. (2003); Farooqui 2007, (2012)
X	X	<i>Apis mellifera</i>	Hymenoptera		-	

X	not assessed	<i>Apis mellifera</i>	Hymenoptera	whole-brain homogenate	-	Balfanz et al. (2014)
not assessed	X	<i>Apis mellifera</i>	Hymenoptera	ectopic exposure of whole-brain tissue to octopamine	variably effects odorant stimulation in neurons	Rein et al. (2013)
X	X	<i>Bombyx mori</i>	Lepidoptera	<i>Bombyx mori</i> -cloned receptors derived from nerve tissue expressed in cultured HEK-293 cells	-	Ohtani et al. (2006)
X	not assessed	<i>Bombyx mori</i>	Lepidoptera	<i>Bombyx mori</i> -cloned receptors expressed in cultured HEK-293 cells	-	Ohta et al. (2004)
X	not assessed	<i>Spodoptera frugiperda</i>	Lepidoptera	expression in Sf9 (pupal ovarian) cell line cultures	-	Orr et al. (1992)
X	not assessed	<i>Manduca sexta</i>	Lepidoptera	injection of octopamine into heart tissue	increased heart rate	Prier et al. (1994)
not assessed	X	<i>Malacosoma disstria</i>	Lepidoptera	extracted hemolymph cell culture	-	Jahagirdar et al. (1987)
X	not assessed	<i>Locusta migratoria</i>	Orthoptera	isolated fat body and air sac cells	air sac cells displayed 40 fold increase in cAMP levels while fat body showed no response	Zeng et al. (1996)
X	not assessed	<i>Locusta migratoria</i>	Orthoptera	hemolymph and fat body homogenates	-	Orchard et al. (1982); Orchard et al. (1993); Wang et al. (1990)
X	not assessed	<i>Acheta domesticus</i>	Orthoptera	hemolymph from prothoracic legs (cAMP response inferred from phosphorylase activity)	hyperglycaemia and hyperlypaemia	Fields and Woodring (1991)
X	not assessed	<i>Aplysia spp.</i>	Anaspidea (Mollusca: Gastropoda)	sensory neuron-derived <i>Aplysia</i> octopamine receptors cloned and expressed in HEK-293 cells	membrane depolarization, increase in membrane excitability, action potential broadening, increased neurotransmitter release	Chang et al. (2000)

X	X	<i>Lymnaea stagnalis</i>	Lymnaeoidea (Mollusca: Gastropoda)	expression of OCT receptor in HEK-293 cell culture (calcium secondary messenger activity inferred from IP <sub>3</sub> presence)	-	Gerhardt et al. (1997)
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Abbreviations: cAMP (cyclic adenosine monophosphate); PKA (protein kinase A); PKC (protein kinase C); OCT (octopamine); HEK (human embryonic kidney); IP<sub>3</sub> (inositol-1,4,5-triphosphate); Sf9 (*Spodoptera frugiperda* cell line 9); AmOA1 (*Apis mellifera* octopamine receptor 1); CHO (Chinese hamster ovary

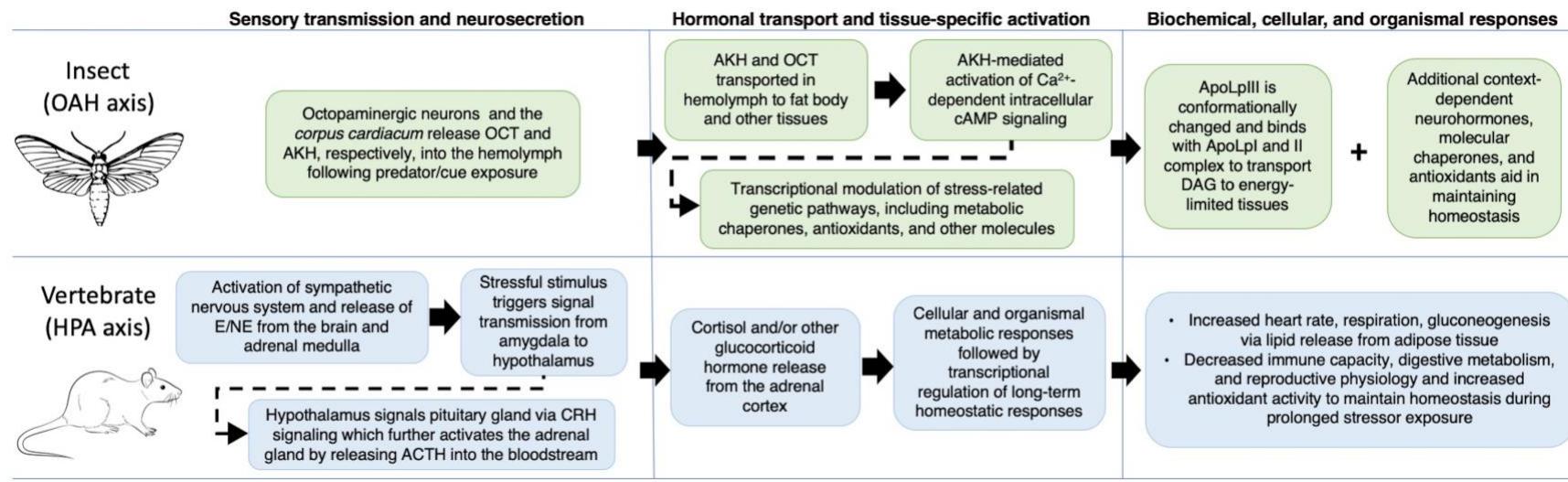


Figure 1. Biochemical and cellular stress responses in insects (top), here denoted as ‘octopamine-adipokinetic hormone axis,’ and the analogous hypothalamo-pituitary-adrenal axis (bottom) in vertebrates. Abbreviations: ACTH = adrenocorticotropic hormone, AKH = adipokinetic hormones, ApoLp = apolipoporphin,  $\text{Ca}^{2+}$  = calcium ion, cAMP = cyclic adenosine monophosphate, CRH = corticotropin-releasing hormone, DAG = diacylglycerol, E = epinephrine, HPA = hypothalamo-pituitary-adrenal axis, NE = norepinephrine, OAH = octopamine-adipokinetic hormone axis, OCT = octopamine.

\*2-column fitting artwork

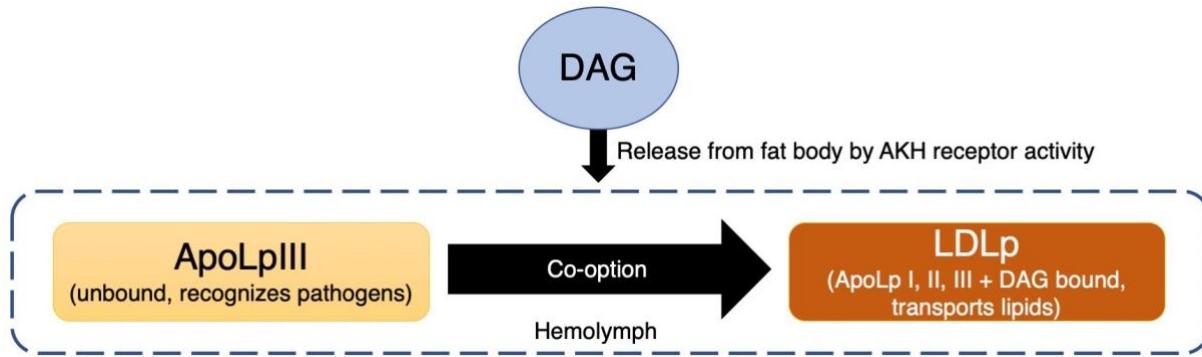


Figure 2. Conceptual diagram showing the co-option of apolipoprotein III (ApoLpIII) away from immunorecognition activity towards lipid transport as a component of the low-density lipoprotein (LDLp) complex upon release of diacylglycerol (DAG) from the fat body into the hemolymph. Adapted from Adamo (2017a).

\*1-column fitting artwork

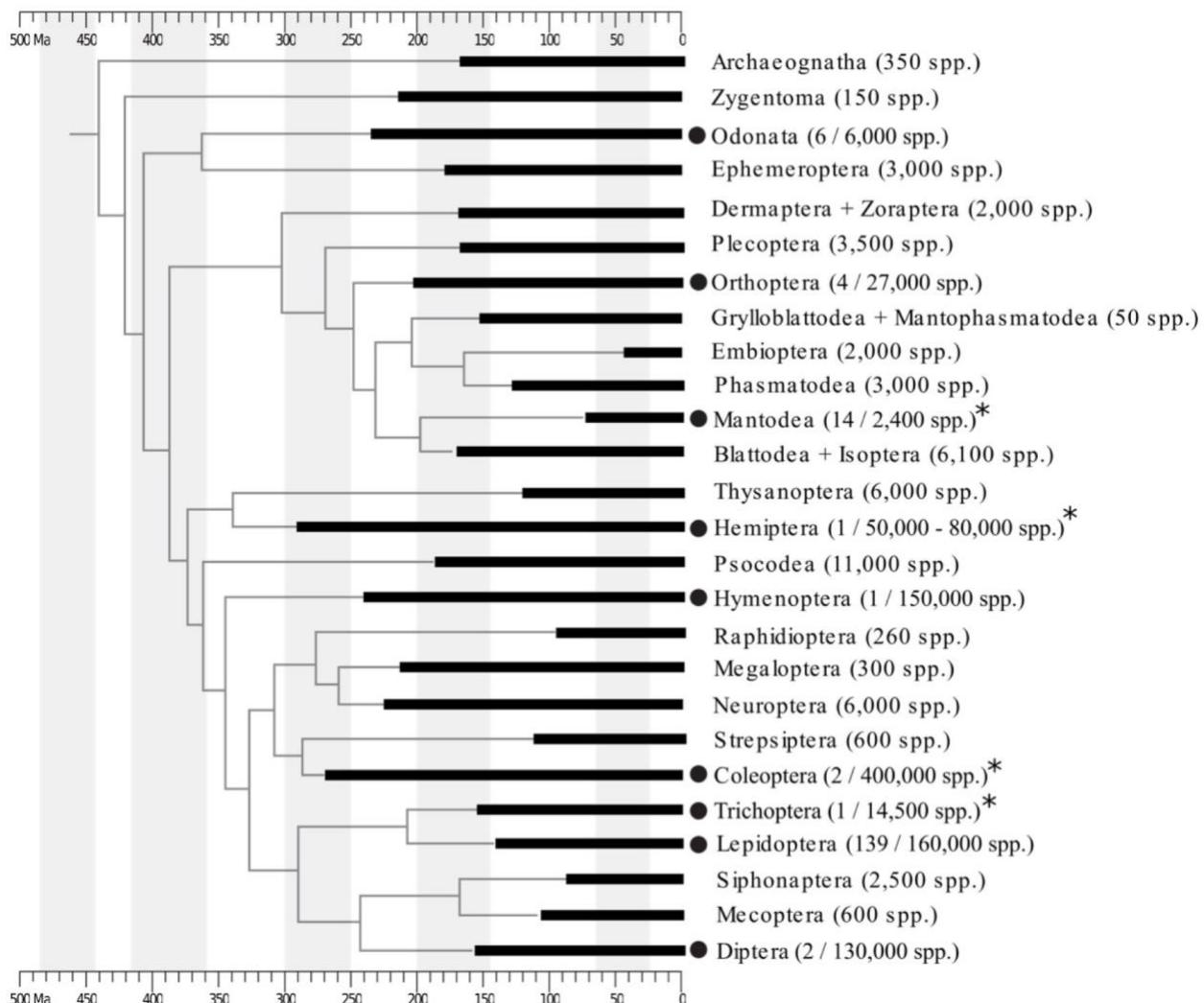


Figure 3. Evolution of insects and their taxonomic diversity in the context of studies on predator-induced stress. Tree based on Misof et al. (2014). Thick lines indicate the length of time over which that order is thought to have proliferated; circles at branch tips indicate orders in which studies of predation-related stress have been conducted, with \* indicating orders that have been studied for whole-organism responses only. Numbers in parentheses indicate the number of species studied for predator-induced stress relative to known or estimated ordinal diversity.

\*2-column fitting artwork

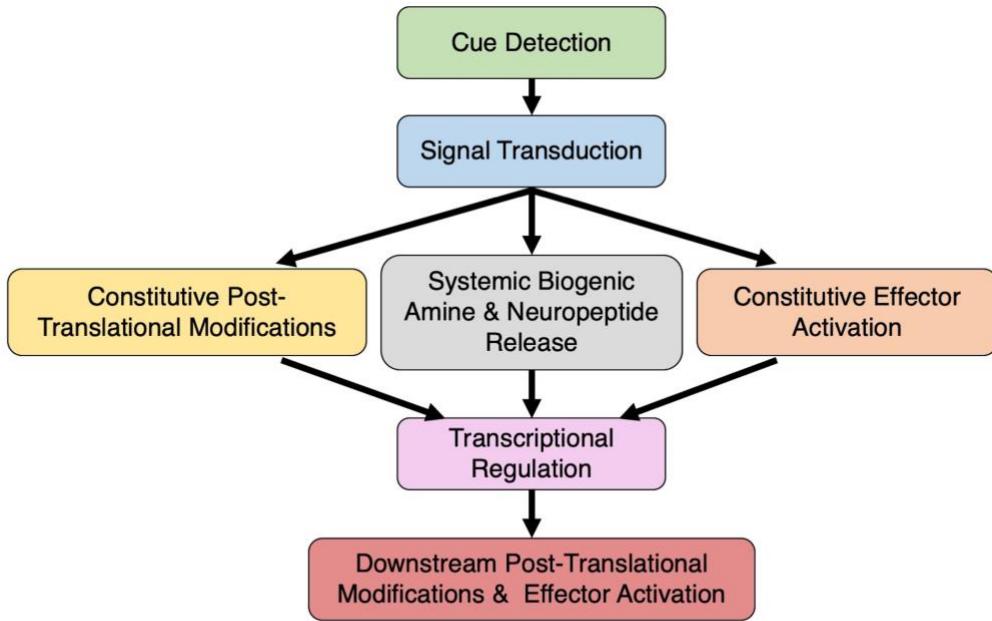


Figure 4. Flow chart describing the sequence of physiological events that occur when a prey insect perceives the presence of a predator cue.

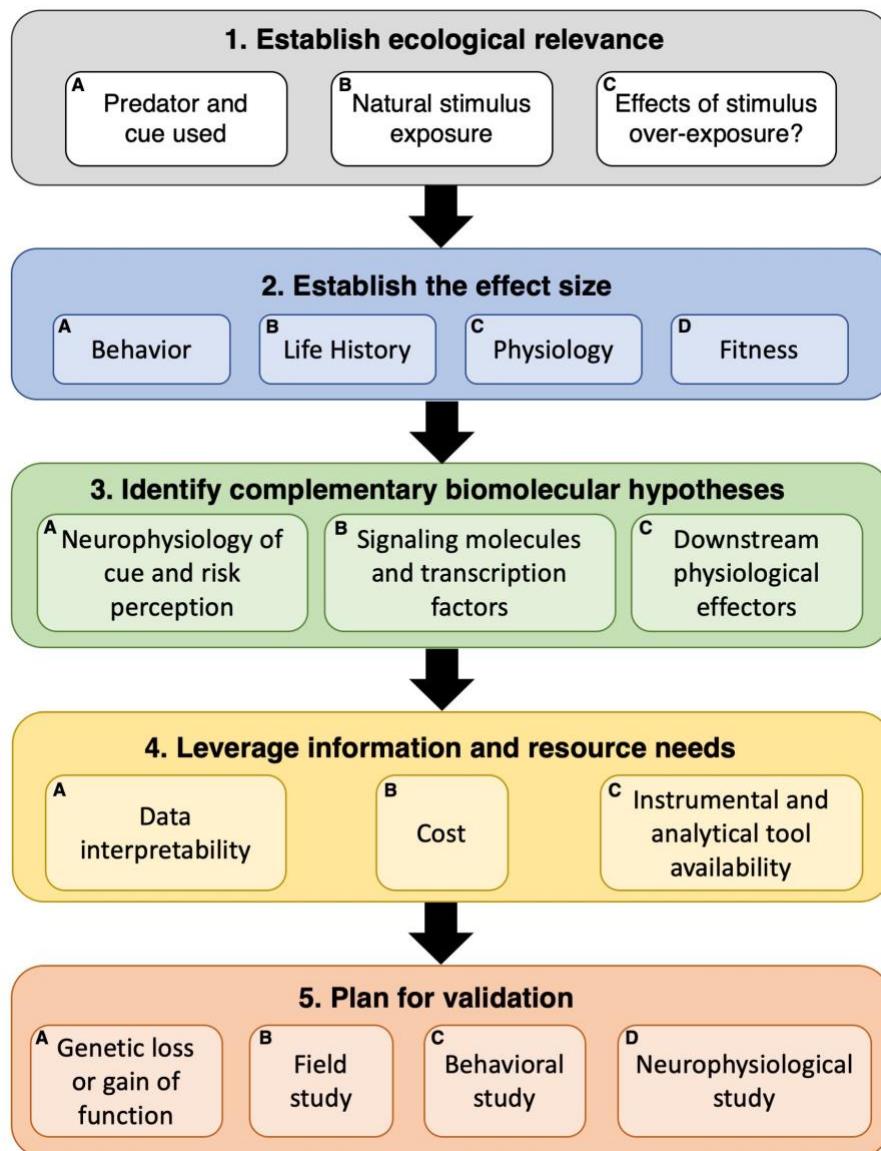


Figure 5. Protocol for studying predator-induced stress in insects, including: 1) establishing the ecological relevance of A) the predator and cue used, B) comparing experimental exposures with natural stimuli frequency, and C) accounting for the possible effects of stimulus over- or under-exposure relative to the natural stimulus frequency; 2) establishing the effect size of exposure in terms of A) behavior, B) life history, C) physiology, and/or D) fitness; 3) identifying complementary mechanistic hypotheses regarding A) the neurophysiology of cue and risk perception, B) hypothesized signaling molecules and transcription factors involved, and C) downstream physiological effector molecules; 4) leveraging information and resource needs, such as A) data interpretability, B) cost, and C) instrumental and analytical tool availability; and 5) planning for further experimental validation via A) genetic loss or gain of function experiments, B) field studies, C) behavioral studies, and/or D) neurophysiological studies.

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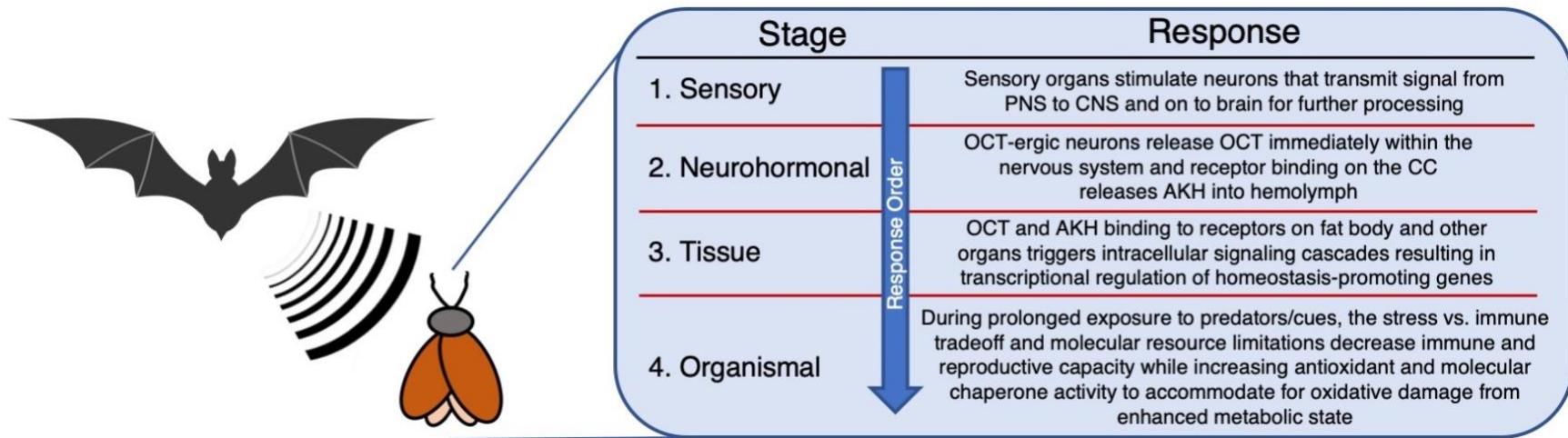


Figure 6. Hypothesized biochemical and cellular stress responses to predation risk/cue perception in a generalized insect. Abbreviations: AKH = adipokinetic hormone, CC = corpora cardiaca, CNS = central nervous system, OCT = octopamine, PNS = peripheral nervous system.

\*2-column fitting artwork