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7 Tin Oxynitride-based Ferroelectric Semiconductors
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11 for Solar Energy Conversion Applications
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ABSTRACT: Lead-halide perovskites have emerged as a promising class of semiconductors; however they suffer from issues related to lead-toxicity and instability. We report results of a first-principles-based design of heavy-metal-based oxynitrides as alternatives to lead-halide perovskites. We have used density-functional-theory calculations to search a vast composition space of ABO_2N and $ABON_2$ compounds, where B is a *p*-block cation, and A is an alkaline, alkali-earth, rare-earth or transition metal cation, and identify 10 new ABO_2N oxynitride semiconductors that we expect to be formable. Specifically, we discover a new family of ferroelectric semiconductors with $A^{3+}SnO_2N$ stoichiometry ($A = Y, Eu, La, In, \text{ and } Sc$) in the $LuMnO_3$ -type structure, which combine the strong bonding of metal oxides with the low carrier effective mass and small, tunable band gaps of the lead-halide perovskites. These tin oxynitrides have predicted direct band gaps ranging from 1.6 – 3.3 eV, and a sizeable electric polarization up to 17 $\mu\text{C}/\text{cm}^2$, which is predicted to be switchable by an external electric field through a non-polar phase. With their unique combination of polarization, low carrier effective mass and band gaps spanning the entire visible spectrum, we expect $ASnO_2N$ ferroelectric semiconductors will find useful applications as photovoltaics, photocatalysts, and for optoelectronics.

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3 Lead-halide perovskites, such as methylammonium lead triiodide ($\text{CH}_3\text{NH}_3\text{PbI}_3$), have emerged
4 as promising, high-performance semiconductors for optoelectronics and photovoltaics
5 applications. Solar cells with lead-halide perovskite absorbers have surpassed power conversion
6 efficiency of 25%.¹ These perovskites have tunable band gaps and high optical absorbance to
7 efficiently utilize the incoming solar radiation.² They possess long charge carrier lifetimes and
8 large carrier diffusion lengths that enables effective separation of the photogenerated electrons and
9 holes in solar cells.³⁻⁵ They exhibit high defect tolerance,⁶⁻⁹ wherein these semiconductors are able
10 to retain the electronic properties of their pristine form even in the presence of common defects.⁹⁻
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12 There are also reports on the presence of ferroelectric order in some lead-halide perovskites.¹¹⁻
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14 In addition to the low effective mass of charge carriers in these perovskites,¹⁵ and their large
15 dielectric constant,¹⁶ another factor that can enhance carrier separation is the presence of
16 ferroelectric domains wherein the in-built electric field can facilitate transport of the electrons and
17 holes to opposite domain boundaries.¹⁷ Ordered domain structures can further provide pathways
18 for efficient carrier extraction at the surface of the absorber layer.¹⁸ However, the extent to which
19 ferroelectricity enhances charge separation in $\text{CH}_3\text{NH}_3\text{PbI}_3$ is under significant debate as there are
20 conflicting reports with some suggesting $\text{CH}_3\text{NH}_3\text{PbI}_3$ to be ferroelectric¹¹⁻¹⁴ while others implying
21 it to be non-ferroelectric,¹⁹⁻²¹ or even antiferroelectric.²² Polar ordering in $\text{CH}_3\text{NH}_3\text{PbI}_3$ is, at best,
22 weak as it originates from the orientation of the rotating and vibrating polar organic cations
23 (CH_3NH_3^+) and their weak interaction with the neighboring halide ions through hydrogen bonds.^{18,}
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Despite their superior performance, $\text{CH}_3\text{NH}_3\text{PbI}_3$ and other organic lead-halide perovskites are
plagued with environmental and thermodynamic instability,²⁴⁻²⁵ which combined with the highly
toxic nature of lead, makes them less prospective for widespread commercialization.²⁶⁻²⁷ Hence,

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3 there is ongoing search for stable, lead-free perovskites that can emulate the performance of lead-
4 halide perovskite-based semiconductors.^{3, 28-33} Such searches, for the most part, have been
5 restricted to perovskite halides of heavier *p*-block cations such as Ge, Sn, and Bi. The band edges
6 of such compounds are made up of spatially delocalized *s* or *p* states which combine with the three-
7 dimensional corner connectivity of the perovskite framework to create dispersive bands with low
8 carrier effective masses.^{9, 15, 34} However, these lead-free halides also suffer from long-term stability
9 issues on exposure to ambient air, temperature and light.³² Their low stability can be attributed to
10 the strength of the metal-halide bond, which is weaker than metal-oxide or -nitride bonds.³⁵ In the
11 context of stability, Bi-based oxide double perovskites,³⁶ such as KBaTeBiO_6 ,³³ have been recently
12 shown as a promising composition space to search for lead-free replacements. However, none of
13 the proposed alternatives have been able to replicate the increase in efficiency that lead-halide
14 perovskite-based solar cells have undergone. The ideal replacement should display similar direct
15 band gap, carrier effective masses, and absorbance as $\text{CH}_3\text{NH}_3\text{PbI}_3$ with added stability. The
16 performance of such semiconductors could be further enhanced if they display robust polarization.
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19 In this work, we have used a first-principles computational approach to discover a new family
20 of stable, Sn-based oxynitride ferroelectric semiconductors as potential replacements for lead-
21 halide perovskites. Using density-functional-theory (DFT) calculations, we search a vast
22 composition space of $AB\text{O}_2\text{N}$ and $AB\text{ON}_2$ stoichiometries, where *B* is a *p*-block cation, and *A* is an
23 alkaline, alkali-earth, rare-earth or transition metal cation, and identify 10 new $AB\text{O}_2\text{N}$ oxynitride
24 compositions that we expect to be formable. Of these, the $ASn\text{O}_2\text{N}$ family of five members (*A* =
25 Y, Eu, La, In, and Sc) stands out for its promising electronic properties. We find YSnO_2N and
26 EuSnO_2N to be thermodynamically stable being on the convex hull of competing phases, and three
27 others $ASn\text{O}_2\text{N}$ (*A* = La, In, Sc) have a formation enthalpy <94 meV/atom from the hull, within
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3 the typical range of formability for oxides and nitrides.³⁷ These Sn-oxynitrides adopt the LuMnO₃
4 structure,³⁸ in which a concerted motion of the *A*-site cations couples with polyhedral tilting³⁹ to
5 create a sizeable spontaneous polarization between 7 – 17 $\mu\text{C}/\text{cm}^2$. We predict that these *ASnO₂N*
6 materials to have band gaps ranging from 1.6 to 3.3 eV, with a dispersive conduction band leading
7 to low electron effective masses of $(0.1 - 0.3)m_0$ (where m_0 is the mass of a free electron), values
8 comparable to CH₃NH₃PbI₃.¹⁵ Specifically, we predict InSnO₂N — that has a direct band gap of
9 1.6 eV, a spontaneous polarization of 9.9 $\mu\text{C}/\text{cm}^2$ and good formability (50 meV/atom above the
10 convex hull) — to be a promising candidate for solar cell absorbers. With their unique combination
11 of properties and band gaps spanning the entire visible spectrum, we expect *ASnO₂N* ferroelectric
12 semiconductors will find useful applications as photovoltaics, photocatalysts, and for
13 optoelectronics.
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16 Our interest in oxynitrides stems from their potential to combine many of the favorable aspects
17 of both halides and oxides. The poor stability of the heavy-metal halide perovskites could be
18 overcome by replacing the halogen with oxygen or nitrogen, since metal oxides and nitrides are
19 much more strongly bonded. However, the large electronegativity of oxygen results in a low
20 valence band maximum (VBM),⁴⁰ and the resulting materials have large band gaps, making them
21 sub-optimal for solar energy harvesting. It has been shown that the band gap of oxide ceramics
22 can be reduced by alloying with chalcogens or nitrogen.⁴¹⁻⁴³ Nitrogen is a good choice for this role
23 as it forms strong bonds with the cations and is known to raise the VBM.⁴⁴⁻⁴⁵ For instance, while
24 the oxide perovskite CaNbO₃ shows a large band gap of 4.03 eV,⁴⁶ its isostructural oxynitride
25 counterpart, CaNbO₂N, shows a band gap of 2.1 eV.⁴⁵ Introducing a second anion does require us
26 to account for the effects of anion ordering, since this can influence both the energetic stability and
27 the band gap of oxynitrides.⁴⁷⁻⁴⁸ Perovskites nearly always prefer *cis*-type anion ordering around
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the octahedral cation,⁴⁹ but the equivalent design rules for other structural polymorphs remain largely unknown.

The selection of the *B*-site cation also requires careful consideration. Previous works on ABO_2N oxynitrides have mainly focused on transition-metal cations at the *B*-site, especially those that prefer oxidation states of +4 and higher such as Ta^{5+} , Nb^{5+} , and Hf^{4+} .⁵⁰⁻⁵¹ These transition metal oxynitrides have proven useful for photocatalysis, but their localized *d*-states at the band edge reduce their electron and hole mobility. Because we want to find good semiconducting materials with dispersive bands and band gap in the visible region, we move beyond the known oxynitrides to focus on *p*-block cations at the *B*-site.

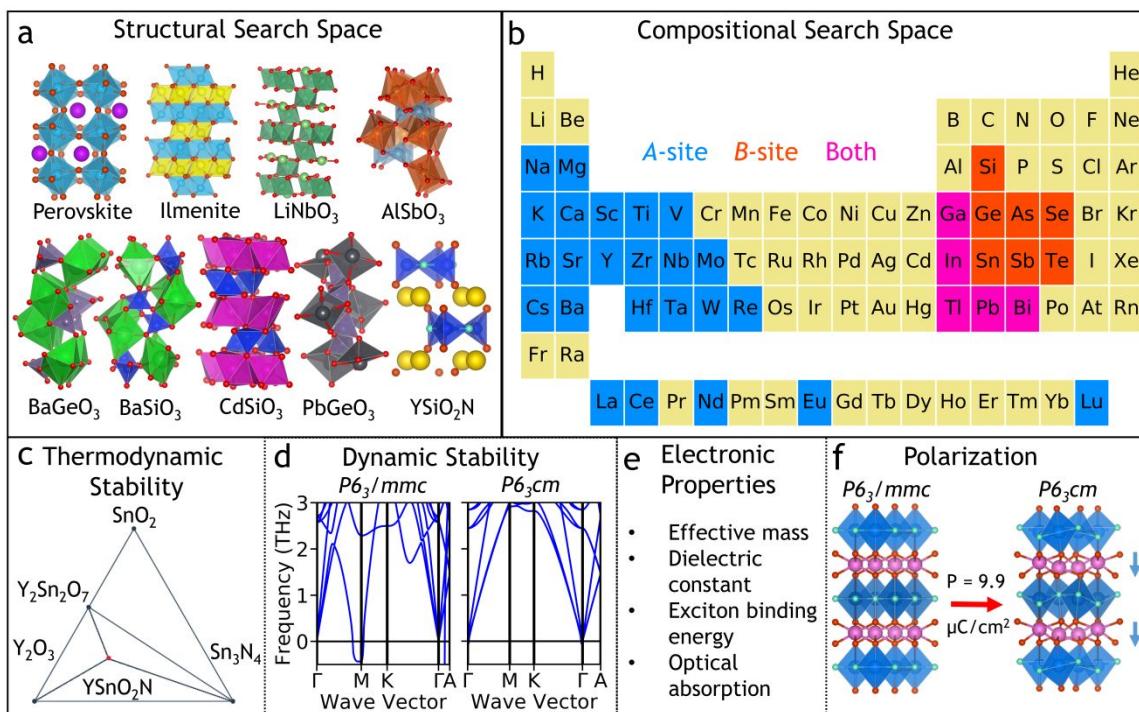


Figure 1. A schematic of the search strategy employed in this work to discover oxynitride semiconductors. **a.** We substituted common oxide and oxynitride structural prototypes with the *A*- and *B*-site cations shown on the Periodic Table in **b**. **c.** All new materials were checked for thermodynamic stability or metastability versus known phases in the same composition space. **d.** We used mode analysis and phonon calculations to demonstrate the potential ferroelectricity

of the stable $ASnO_2N$ family of compounds. Finally, we calculated their **e.** electronic properties and **f.** spontaneous polarization.

Our search strategy for finding heavy-metal oxynitrides is illustrated in **Figure 1** (see methods section and Supporting Information S1 for additional details). We choose *A*-site cations from the left side of the Periodic Table, while *B* is a *p*-block cation, and we require that each composition be charge-balanced in common oxidation states of the cations. The charge-balance requirement restricts the number of ABO_2N and $ABON_2$ compositions to 130 and 76, respectively. We then construct each composition in different structural prototypes observed in ABO_3 oxides, including the perovskite-, $LiNbO_3$ -, $FeTiO_3$ -, $BaSiO_3$ -, $CdSiO_3$ -, $AlSbO_3$ -, $GePbO_3$ -, $BaGeO_3$ -, and $YSiO_2N$ -structure types, as shown in Figure 1a. Then we calculate the new oxynitride's thermodynamic stability after structural relaxation. We do this by calculating the formation enthalpy of the most stable ABO_2N structure with respect to the convex hull of most stable reactants, which can be either elements or compounds, as found in the Materials Project database.⁵² For example, the most favorable decomposition reaction for $InSnO_2N$ is



which releases 50 meV per atom of $InSnO_2N$, so $InSnO_2N$ is 50 meV/atom above the convex hull. The Materials Project entry for N_2 accounts for its entropy in the gas phase, but the entropies of the solid phases are small enough to neglect here. If the decomposition were endothermic, the material would be on the convex hull of the phase diagram.

This first step reveals that all the calculated $ABON_2$ materials are >140 meV/atom above the convex hull. While, they are within the formability limits of 90% of known binary nitrides (195 meV/atom), they are above that of known binary oxides (94 meV/atom),³⁷ so they are not considered further. We find that ABO_2N compounds, with *B* chosen from the *p*-block elements, do

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3 not typically adopt the common perovskite structure as their ground state. We tested many
4 oxynitride perovskites having different octahedral tilt patterns and found them all to be >100
5 meV/atom above the convex hull (See Section S2 in Supporting Information). A prior analysis of
6 Goldschmidt's tolerance factor and other empirical design rules had suggested that YSnO_2N and
7 LaSnO_2N were formable in the perovskite structure,⁵³ although first-principles calculations
8 indicated that LaSnO_2N was 155 meV/atom above the convex hull.⁵⁴⁻⁵⁵ We find that the smaller
9 B -site cations, such as Si^{4+} (Shannon radii⁵⁶ of 0.4 Å VI-coordinate), As^{5+} (0.46 Å), or Ge^{4+} (0.53
10 Å), favor tetrahedral coordination such as in YSiO_2N , while the larger Sn^{4+} cation (0.69 Å) is more
11 stable in a 5-coordinate trigonal bipyramidal coordination. We find that four- and five-coordinate
12 polyhedra are stabilized in these oxynitrides by anion ordering due to the higher site potential of
13 the equatorial site, which we discuss further in Supporting Information Section S4.⁵⁷⁻⁶⁰ This
14 property of the covalent *p*-block oxynitrides contrasts with the more ionic octahedrally coordinated
15 perovskites, which have similar coordination environments for all of their anions, limiting the
16 stabilization which can be obtained through anion ordering. We propose that future searches for
17 quaternary covalent oxynitrides should focus on structural polymorphs with cations in tetrahedral
18 coordination, and two or more drastically different anion environments to maintain anion ordering.
19 Examples of such structures include inverse spinel and various types of silicate structures; in fact,
20 several anion-ordered quaternary oxynitride silicates are already known in addition to YSiO_2N .⁶¹⁻
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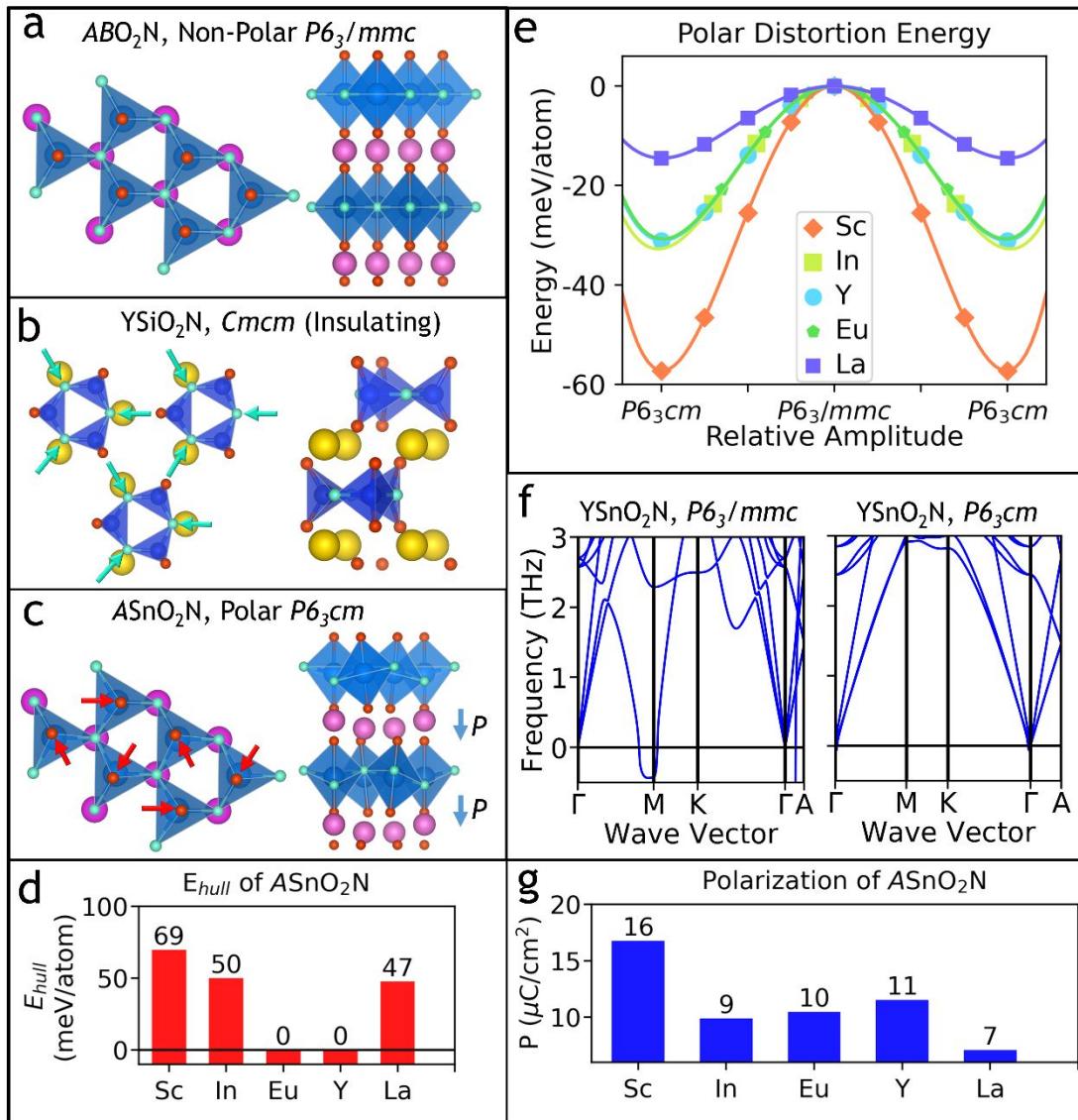


Figure 2. Structure, stability and polarization of ABO_2N . **a.** The centrosymmetric $P6_3/mmc$ structure of ABO_2N , with no polyhedral tilting. **b.** The polar and insulating $Cmcm$ structure adopted by $YSiO_2N$,⁶⁰ and other ABO_2N with small B cations. The arrows illustrate the creation of isolated rings as the B -site coordination contracts. **c.** The polar $P6_3cm$ structure, which is the ground state of $ASnO_2N$ ($A=Sc, In, Eu, Y, La$). The arrows illustrate the polyhedral tilting mode that creates a polarization along the c -axis. **d.** Convex-hull energies of $ASnO_2N$ with $A = Sc, In, Eu, Y$, and La plotted in order of increasing Shannon ionic radius. **e.** The ferroelectric double-well potential for $ASnO_2N$ as they are switched through the centrosymmetric $P6_3/mmc$ phase. **f.** The calculated phonon spectrum for $YSnO_2N$, in the unstable centrosymmetric $P6_3/mmc$ phase (left) with negative phonon frequencies, and the stable polar $P6_3cm$ phase (right) with strictly positive phonon frequencies. **g.** The calculated spontaneous polarization of $ASnO_2N$ along the c -axis.

While there are many possible structures with 4- or 5-coordination of the cations, the existence of anion-ordered YSiO_2N makes a group of three related structure types especially likely to be stable for $AB\text{O}_2\text{N}$ compounds investigated here.⁶⁰ These structures, and their symmetry relations, are illustrated in **Figure 2**. The high-symmetry 5-coordinate $P6_3/mmc$ structure in Figure 2a has a two-dimensional network of corner-sharing trigonal bipyramids, and is known in a few materials such as high-pressure InGaO_3 .⁶³ None of the $AB\text{O}_2\text{N}$ compositions we have tested have a $P6_3/mmc$ ground state, however. We find that reducing the size of the *B*-site cation contracts the coordination environment to tetrahedral, breaking the bonding network into the isolated rings as shown in Figure 2b, and observed in YSiO_2N .⁶⁰ The YSiO_2N -type structure has been represented with the 30-atom centrosymmetric *Cmcm* unit cell in Figure 2a. While stacking patterns along the *c*-axis can reduce symmetry to polar $P6_122$,⁶⁴ both the ring structures have wide band gaps and flat bands, which is a consequence of the breaking in polyhedral connectivity.^{15,65} We find that all $AB\text{O}_2\text{N}$ compounds with *B* = Si, Ge, and As adopt this structure type, with YSiO_2N , EuGeO_2N , LaGeO_2N , BaAsO_2N , CaAsO_2N , and SrAsO_2N having a formation enthalpy within (0 – 94) meV/atom above the convex hull, and are expected to be formable according to the metastability criterion defined above. As these YSiO_2N -type materials are found to be wide-band-gap insulators with PBE band gaps > 2.5 eV and have flat bands (see Supporting Information S5 for the example band structure of YGeO_2N), we do not investigate them further.

Keeping the *B*-site fixed to Sn^{4+} , but reducing the *A*-site radius, introduces polyhedral tilting to reduce the coordination number of *A* from 8 to 7, as observed in hexagonal manganite multiferroics.³⁹ The tilting of the trigonal bipyramids, as shown in Figure 2c, removes the center of inversion symmetry located at the *A*-site, lowering the symmetry to polar $P6_3cm$,³⁹ and the *A*-

site cations displace along the *c*-axis. The unit cell triples to include six *A* cations, of which four displace along one direction, and two along the opposite direction, creating a sizeable polarization of $\sim 10 \mu\text{C}/\text{cm}^2$. This transition, which is driven by the size mismatch of the two cations, is known as a geometric ferroelectric transition, in contrast to ferroelectrics driven by lone-pair stereochemistry, such as BiFeO_3 , or by second-order Jahn-Teller distortions observed in compounds with d^0 cations, such as BaTiO_3 .⁶⁶⁻⁶⁸ We find two compounds with the $P6_3mc$ structure, EuSnO_2N and YSnO_2N , are on the convex hull and are expected to be stable against decomposition. We also find InSnO_2N , LaSnO_2N , and ScSnO_2N are within 94 meV/atom of the hull, as shown in Figure 2d. While the formability of these three oxynitrides is not guaranteed, they are close enough to the convex hull for non-equilibrium synthesis techniques to have a good chance of success.⁶⁹⁻⁷⁰ Therefore, we calculate their properties along with those of the two oxynitrides which are on the convex hull. Creation of a single $\text{O}^{2-}/\text{N}^{3-}$ antisite pair in InSnO_2N costs 1.14 eV, indicating a strict anion ordering, which contrasts with the partial order of the octahedral perovskite oxynitrides.⁷¹ Due to their stability, polarization, and beneficial electronic properties, these compounds are the most promising result of our search, and we focus on them for the remainder of this article.

Having identified a set of stable and polar tin oxynitrides, we now look at the ferroelectric transition in more detail. We plot the energy difference between the non-polar $P6_3/mmc$ and polar $P6_3mc$ structures in Figure 2e, because this value can be used to approximate the maximum size of the energy barrier for ferroelectric switching. For comparison, isostructural YMnO_3 has a calculated barrier of 21 meV/atom,⁵² and an experimental ferroelectric transition temperature $T_C = 914 \text{ K}$.⁷² The comparable barrier size of 15-30 meV/atom for $A=\text{In, Y, Eu, La}$ leads us to predict the polarization of these $ASnO_2\text{N}$ to be robust and yet switchable. ScSnO_2N , at 57 meV/atom, may

also be switchable, if the dielectric breakdown field is large. As an additional check, we have calculated the phonon modes of the $P6_3/mmc$ and $P6_3mc$ structures of YSnO_2N ; the negative frequencies of $P6_3/mmc$ in Figure 2f indicate that the ferroelectric mode is soft, while the polar $P6_3mc$ has no soft modes and is dynamically stable. Finally, we have calculated the polarization of the five stable or metastable tin oxynitrides, which ranges from 7.1 to 16.8 $\mu\text{C}/\text{cm}^2$, and we show the results in Figure 2g. As polar materials, the $ASnO_2\text{N}$ family also have relatively large static dielectric constants $\epsilon \approx 17$, which is beneficial for screening charged defects.⁸

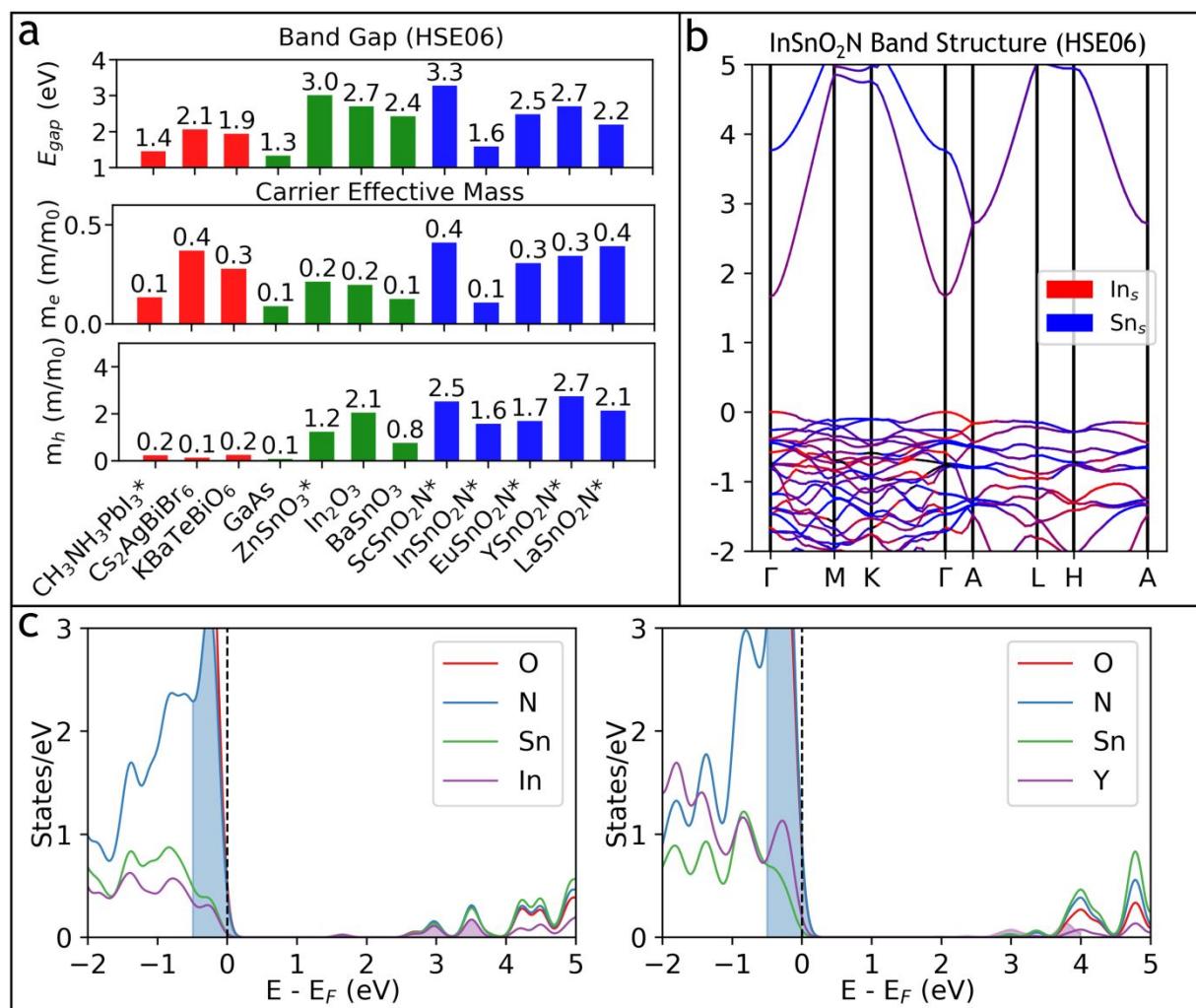


Figure 3. Electronic properties of $ASnO_2\text{N}$ compounds. **a.** Top: HSE06 band gap values for $ASnO_2\text{N}$ (blue) compounds with $A = \text{Sc}, \text{In}, \text{Eu}, \text{Y}, \text{La}$, compared to literature values^{33, 73-75} for several other n -type semiconductors (green) and perovskites (red). Middle: The effective mass (m/m_0) for the same compounds. Bottom: The carrier density (m/m_0) for the same compounds.

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3 electron masses for the same materials, calculated with the PBE functional.⁵² Bottom: the
4 effective hole masses. Polar materials are marked with an asterisk *. **b.** The electronic band
5 structure of $ASnO_2N$, calculated using the HSE06 functional. The red and blue colors show the
6 relative contributions of In s -states and Sn s -states, respectively. **c.** The HSE06 density of
7 electronic states for $ASnO_2N$ (left) and $YSnO_2N$ (right), projected onto the orbitals of individual
8 atoms. The VBM nitrogen states and CBM In/Y states are shaded.
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11 Since the $ASnO_2N$ compounds are stable and polar, we calculate their band gaps with the more
12 accurate HSE06 functional,⁷⁶ to investigate their promise for solar energy harvesting applications.
13 We find that changing the A -site also allows the band gap to be tuned from 1.6 to 3.3 eV, spanning
14 the entire visible spectrum and approaching the gap of $CH_3NH_3PbI_3$, which has a band gap of 1.55
15 eV,⁷⁷ close to the ideal for photovoltaic absorbers. We show the calculated HSE06 band gaps of
16 $ASnO_2N$ in **Figure 3a**, compared with several other known perovskite photovoltaic absorbers and
17 n -type semiconductors, which serve as benchmarks. Their band gaps also compare favorably for
18 solar energy harvesting to Sn-based perovskites: $BaSnO_3$ and $SrSnO_3$, which have indirect
19 theoretical (HSE06) band gaps of 2.43 eV and 3.50 eV,⁷⁸ respectively, and experimentally
20 observed gaps >3 eV.⁷⁹
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23 We also calculate the full electronic band structures, using the PBE functional due to
24 computational constraints. Projecting the electronic bands onto atomic orbitals reveals that the
25 VBM of ABO_2N is composed of both O and N states, as expected. The CBM, meanwhile, is highly
26 dispersive with contributions from the s -orbitals of all four atoms, indicating that the bonding is
27 covalent, with electrons partially transferred from cation to anion.
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30 $ASnO_2N$ has a small band gap of 1.6 eV, compared to the 2.7 eV gap of $YSnO_2N$, and the effect
31 cannot be attributed to polyhedral tilting reducing the degree of covalent orbital overlap. Y^{3+} cation
32 is only 0.10 Å larger than In^{3+} , which leads to smaller tilting of the Sn-based octahedra in $YSnO_2N$
33 compared to $ASnO_2N$, and so by this metric alone $ASnO_2N$ ought to have a larger gap. The small
34 gap of $ASnO_2N$ is instead caused by the high electronegativity of In (1.78) compared with Y
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(1.22),⁸⁰ which increases the strength of the covalent In-O and In-N interactions. Specifically, the In *s*-orbitals lie only 2.15 eV above those of Sn, compared to 5.93 eV for Y,⁸¹ indicating that the conduction band will have a substantial In *s* character as well as Sn *s*. Examination of the atom-projected density of states obtained with the HSE06 functional, as shown in Figure 3c, shows a large contribution of In *s*-states to the conduction band edge. We further examine the atomic contribution to the CBM in YSnO₂N and InSnO₂N. Indium and tin atoms contribute 30% and 24%, respectively, to the CBM (at Γ -point) in InSnO₂N, whereas Y and Sn contribute 8% and 32%, respectively, to the CBM in YSnO₂N. So, we conclude that the contribution from the low-lying In states significantly lowers the CBM of InSnO₂N. InSnO₂N also has a direct band gap, compared to the indirect gaps of the other four tin oxynitrides, which are <0.2 eV smaller than their direct gaps. We have confirmed the direct nature of the band gap by re-calculating InSnO₂N's band structure using HSE06, which we show in Figure 3b.

Photovoltaic applications require good charge carrier mobility to reduce non-radiative recombination losses and efficiently transport the photo-generated carriers to the electrodes. To estimate the carrier mobility, we have calculated the effective mass of electrons and holes in *ASnO₂N* oxynitrides from their band structure obtained using PBE. The effective mass of their carriers are shown in Figure 3a along with that of other promising semiconductors, such as CH₃NH₃PbI₃, lead-free double perovskites Cs₂AgBiO₆ and KBaTeBiO₆,³³ GaAs, In₂O₃, and Sn-based semiconductors BaSnO₃ and ZnSnO₃.^{52, 82} All five oxynitrides have very light electrons, with InSnO₂N having $m_e = 0.11m_0$. This compares favorably to the calculated m_e of CH₃NH₃PbI₃ (0.14 m_0), Cs₂AgBiO₆ (0.37 m_0), and KBaTeBiO₆ (0.28 m_0). The holes are heavier as is typical for most metal oxides, with InSnO₂N having holes with an effective mass of 1.58 m_0 . Oxide and nitride semiconductors are inherently *n*-type doped due to native anion vacancies. Therefore, the

extremely low effective mass of the electrons in $ASnO_2N$ oxynitrides is expected to make them promising semiconductors.

We have also investigated the formation of polarons in $InSnO_2N$, both for electrons and holes, as described in the Computational Methods section. We do not find a stable electron polaron, but we do observe a hole polaron localized on O with a binding energy of 100 meV. This value is small compared to Ga_2O_3 (~1 eV),⁸³ an emerging semiconductor for example, most likely due to the moderate values of hole-effective mass, 1.4 m_0 , and ionic component of dielectric screening, $\epsilon_{ion} = 9.48$.⁸⁴ However, like most oxides and nitrides, we expect $InSnO_2N$ to be an *n*-type semiconductor, with holes — that can be trapped as polarons — being the minority carriers. Furthermore, the presence of built-in electric field due to the ferroelectric nature of $InSnO_2N$ is expected to extenuate the need for *p-n* junctions to separate carriers.

Furthermore, for solar cell applications, a smaller excitonic binding energy is desirable, such that the photogenerated electron-hole pairs can be separated without recombination. The light electrons and high dielectric constant suggest that excitons can be separated easily if $InSnO_2N$ is used as a light absorber. We calculate the exciton binding energy (E_{bind}) using the Wannier-Mott model⁸⁵:

$$E_{bind} = \frac{m_e^* m_h^*}{(m_e^* + m_h^*) m_0 \epsilon_{stat}^2} R_H, \quad (2)$$

where m_e^* and m_h^* are the electron and hole effective masses, respectively, R_H is the Rydberg constant (13.6 eV), m_0 is the free electron mass, and ϵ_{stat} is the static dielectric constant calculated to be 18 using PBE for $InSnO_2N$. We obtain $E_{bind} = 5.1$ meV from the out-of-plane effective masses and dielectric constant for $InSnO_2N$ (using in-plane values changes E_{bind} to 7.1 meV). This value is much less than the thermal energy ~27 meV at room temperature, so we predict that excitons will separate easily, which is another similarity between $InSnO_2N$ and $MAPbI_3$. While the

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3 calculated and measured values of E_{bind} for MAPbI_3 span two orders of magnitude depending on
4 the methods used, most of the reported values cluster in the region from 10 to 50 meV.⁸⁶
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7 In addition to the fast exciton separation, we expect the photovoltaic performance of InSnO_2N -
8 based solar cells to be further enhanced by the bulk photovoltaic effect (BPVE),⁸⁷ wherein its lack
9 of inversion symmetry and sizeable spontaneous polarization can generate a large photovoltage.
10 BPVE is dominated by the shift current mechanism, in which the asymmetric potential in polar
11 materials leads to continuous excitation of electrons and holes under illumination to quasiparticle
12 coherent states having intrinsic momenta, that in turn result in a large photocurrent.⁸⁸⁻⁸⁹ The shift
13 current can be further enhanced by controlling the geometry of domains and domain walls in
14 ferroelectrics.⁹⁰⁻⁹¹ The formation of Schottky barriers at the ferroelectric absorber/electrode
15 interfaces,⁹² can also improve the photovoltaic efficiency. However, existing ferroelectric
16 materials based on transition metal compounds, such as PbTiO_3 and BiFeO_3 have wide band gaps,
17 and cannot efficiently utilize the solar spectrum. To increase the absorbance and enhance BPVE
18 of ferroelectrics, numerous attempts have been made to reduce their band gap. Alloying different
19 transition metals and controlling their topological order, such as in $\text{BiFe}_{1-x}\text{Co}_x\text{O}_6$, $[\text{KNbO}_3]_{1-x}$
20 $[\text{BaNi}_{0.5}\text{Nb}_{0.5}\text{O}_3]$, and BiFeCrO_6 is a popular method, and can lead to ferroelectric oxides with
21 band gap as small as 1.4 eV;⁹³⁻⁹⁵ however, these strategies have led to only small improvements in
22 photoconversion efficiency. First-principles and model calculations of the shift current have
23 attributed this modest improvements of BPVE in transition-metal-based ferroelectrics to the
24 localized nature of their band edges that are comprised of *d*-states.⁸⁸ It has been predicted that
25 BPVE can be significantly improved by using ferroelectric materials having delocalized *s* or *p*
26 states at the band edges and an optimal band gap \sim 1.6 eV to maximize the absorption of the solar
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3 spectrum.⁸⁸ Based on these, we predict the new tin oxynitrides reported here could be well-suited
4 to harness BPVE and lead to high photoconversion efficiencies.
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7 In summary, our first-principles search has revealed the stability of a family of *ASnO₂N*
8 compounds which adopt the polar *LuMnO₃* structure. By incorporating the *p*-block element Sn
9 into a quaternary oxynitride, we obtain good electronic properties with a highly dispersive
10 conduction band and mobile conduction electrons, without sacrificing stability. We further predict
11 that the band gap in these new tin oxynitrides can be tuned across the entire visible spectrum by
12 varying the *A*-site cation. The combination of delocalized *s*-states at the conduction band edge,
13 polar symmetry and band gap tunability, makes them attractive platforms to harness BPVE and
14 make high-efficiency solar cells with photovoltages beyond the band gap. Our initial results of
15 antisite disorder between In and Sn in *InSnO₂N* shows that while these defect pairs can form easily
16 — having a formation energy of 0.20 eV — they don't result in any mid-gap states that can act as
17 non-radiative recombination centers. Detailed calculation of the formation energy of charged
18 defects will be necessary to gain a more complete understanding of the *ASnO₂N* family, as well as
19 experimental study. It is undesirable to have defects with charge transition levels located deep in
20 the band gap, as these can act as traps to promote non-radiative recombination. Prior studies have
21 shown that defect tolerance is enhanced in materials having delocalized states at the band edges
22 and high dielectric constants, although they are not sufficient descriptors.⁹⁶⁻⁹⁷ While the *p*-states
23 from O and N forming the VBM are localized, the *s*-states from In and Sn at the CBM are
24 dispersive. *InSnO₂N* is also predicted to have a moderately high dielectric constant $\epsilon = 18$.
25 However, detailed calculations of the formation energy of various point defects combined with
26 experimental studies into their defect tolerance will be necessary to gain a more complete
27 understanding of the *ASnO₂N* family of ferroelectric semiconductors. The ability to substitute
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cations at the *A*-site and control the stoichiometry of the O/N anions could also enable doping for various applications. Overall, we expect these ASnO_2N oxynitrides will enable several promising applications in solar energy harvesting through efficient solar cells and photocatalysts, and for visible-light optoelectronics.

COMPUTATIONAL METHODS

Materials screening: We have calculated the energy and electronic ground state of 206 $\text{ABO}_{3-x}\text{N}_x$ compositions in approximately 1,500 total relaxed structures, using DFT calculations as implemented in the Vienna Ab initio Simulation Package (VASP)⁹⁸⁻⁹⁹ according to the parameters of the Materials Project.⁵² This involves the use of the PBE functional¹⁰⁰ with a plane-wave cutoff of 520 eV and a *k*-point density of 1000 per reciprocal atom. Most calculations used the tetrahedron method of Brillouin zone integration,¹⁰¹ but a few could not be converged this way and were recalculated with a Gaussian smearing of < 0.1 eV. All of these calculations were set up in an initial ferromagnetic configuration, but the magnetic moments were free to relax. Calculations were set up and run using the Pymatgen and Atomate libraries,¹⁰²⁻¹⁰³ and the convex hull energies were calculated relative to the other phases in the Materials Project database. We provide in Supporting Information S1 a detailed discussion of which cation compositions and structural polymorphs we searched in this study.

Dielectric and piezoelectric properties: We calculated the dielectric and piezoelectric tensors, including both electronic and ionic contributions, using density functional perturbation theory¹⁰⁴ (LEPSILON = .TRUE., LPEAD = .TRUE.) and a *k*-points grid of 9×5×5 points. To increase accuracy, we turned off real-space evaluation of projection operators (LREAL = .FALSE.) and increased the plane-wave energy cutoff to 600 eV. Spontaneous polarization was calculated

according to the Berry phase method,¹⁰⁵ with the polarization branch between $P6_3/mmc$ and $P6_3cm$ fit using three intermediate images interpolated with ISODISTORT.¹⁰⁶ Phonon spectra were calculated using the Pymatgen interface to the Phonopy software, and we used a $2\times2\times1$ supercell with 120 atoms.¹⁰⁷

Electronic and optical properties: We tested the effect of spin-orbit coupling for heavy elements such as La and Sn, but found that it was not required for an accurate band structure (see Supporting Information S6). We calculated the HSE06 band gaps using a plane wave cutoff of 500 eV and a $4\times4\times2$ Γ -centered k -points grid, down-sampled by a factor of two for the exact exchange potential (NKRED = 2). We calculated the charge carrier effective masses using points along the band path that were separated by 0.005 \AA^{-1} , starting at the high-symmetry point which constitutes CBM or VBM (except for ScSnO_2N , where the VBM occurs between two high-symmetry points). We fit a parabolic dispersion relation by minimizing the sum of the errors between the parabola and the actual eigenvalue at each point.

We tested for tendency for polaron formation by using a 130-atom supercell of InSnO_2N . For references, we calculated the energy of a relaxed supercell with the symmetry of bulk InSnO_2N imposed, but one electron added or removed. Then we broke the symmetry around a single atom by displacing its neighbors, and relaxed the cell again. We repeated this process with a separate supercell calculation for each type of atom in the structure, that is, eight calculations, four atom types in two different charge states. The stability of a polaron is shown if the symmetry-broken supercell relaxes to a lower energy than the high-symmetry reference.

ASSOCIATED CONTENT

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3 **Supporting Information:** The Supporting Information is available free of charge on the [ACS](#)
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5 [Publications website](#) at DOI:
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8 Structural polymorphs used for screening ABO_2N and $ABON_2$ compounds; $ASnO_2N$ perovskites
9 with different anion-ordering and octahedral tilting; $ASbO_2N$ structure; site potentials in $InSnO_2N$;
10 electronic band structure of ABO_2N with rings of B-centered tetrahedra; effect of spin-orbit
11 coupling; cation-site disorder in $InSnO_2N$; structural information of $InSnO_2N$.
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Conflicts of interest: The authors declare no competing financial interest.

ACKNOWLEDGMENTS

This work was supported by the National Science Foundation (NSF) grant number DMR-1806147. This work used computational resources of the Extreme Science and Engineering Discovery Environment (XSEDE), which is supported by NSF grant number ACI-1548562.

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TOC GRAPHICS

