

1 Title: **An Initial Comparative Genomic Autopsy of Wasting Disease in Sea Stars**  
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3 Mobile device title: Genomic autopsy of wasting disease  
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25 asteroid idiopathic wasting syndrome (AIWS)

26 **Abstract**

27 Beginning in 2013, sea stars throughout the Eastern North Pacific were decimated by wasting  
28 disease, also known as ‘asteroid idiopathic wasting syndrome’ (AIWS) due to its elusive etiology.  
29 The geographic extent and taxonomic scale of AIWS meant events leading up to the outbreak  
30 were heterogeneous, multifaceted, and oftentimes unobserved; progression from morbidity to  
31 death was rapid, leaving few tell-tale symptoms. Here we take a forensic genomic approach to  
32 discover candidate genes that may help explain sea star wasting syndrome. We report the first  
33 genome and annotation for *P. ochraceus*, along with differential gene expression (DGE) analyses  
34 in four size classes, three tissue types, and in symptomatic and asymptomatic individuals. We  
35 integrate nucleotide polymorphisms associated with survivors of the wasting disease outbreak,  
36 DGE associated with temperature treatments in *P. ochraceus*, and DGE associated with wasting  
37 in another asteroid *Pycnopodia helianthoides*. In *P. ochraceus*, we find DGE across all tissues,  
38 among size classes, and between asymptomatic and symptomatic individuals; the strongest  
39 wasting-associated DGE signal is in pyloric caecum. We also find previously identified outlier  
40 loci co-occur with differentially expressed genes. In cross-species comparisons of symptomatic  
41 and asymptomatic individuals, consistent responses distinguish genes associated with invertebrate  
42 innate immunity and chemical defense, consistent with context-dependent stress responses,  
43 defensive apoptosis, and tissue degradation. Our analyses thus highlight genomic constituents  
44 that may link suspected environmental drivers (elevated temperature) with intrinsic differences  
45 among individuals (age/size, alleles associated with susceptibility) that elicit organismal  
46 responses (e.g. coelomocyte proliferation) and manifest as sea star wasting mass mortality.

47

48 **Introduction**

49 Wildlife mass mortality events are increasing in frequency, driven in part by human  
50 perturbations, emerging viral diseases, intensifying toxic algal blooms, increasing temperatures,  
51 or a combination of multiple stressors (Fey et al., 2015). In the marine environment, disease  
52 emergence may be accelerated by environmental change, as warming and acidification can  
53 suppress the host immune response (Alker, Smith, & Kim, 2001; R. W. Chapman et al., 2011;  
54 Harvell, Altizer, Cattadori, Harrington, & Weil, 2009) and favor the growth and spread of  
55 pathogens (R. W. Chapman et al., 2011; Harvell et al., 2009). Novel terrestrial microbes may be  
56 introduced to coastal waters where they encounter new hosts or change their pathogenicity (Alker  
57 et al., 2001; Burge et al., 2014; Harvell et al., 2009). Marine infectious diseases, whether novel or  
58 endemic, have caused mass mortality events (MMEs) in diverse taxa, including plants (Burge,  
59 Kim, Lyles, & Harvell, 2013; Short, Muehlstein, & Porter, 1987), invertebrates (Burge, Griffin,  
60 & Friedman, 2006; Gardner et al., 1995; Lessios, 2016) and vertebrates (Dubey et al., 2003) of  
61 commercial and ecological importance.

62 Some events contributed to the collapse of fisheries (Castro, Cobb, Gomez-Chiarri, &  
63 Tlusty, 2012) and ecosystems (Lessios, 2016); the MME of the keystone species *Pisaster*  
64 *ochraceus* associated with sea star wasting disease (SSWD) caused top-down trophic cascades in  
65 subtidal reefs (Schultz, Cloutier, & Côté, 2016) and altered zonation of the rocky intertidal  
66 community (Gravem & Morgan, 2017). Most of these MMEs occurred without forewarning;  
67 causes, mechanisms, and effects had to be inferred *post hoc* in the absence of information about  
68 pre-MME conditions. While our abilities to reconstruct likely factors from genomic signatures  
69 (De Wit, Rogers-Bennett, Kudela, & Palumbi, 2014) and infer longer-term decline of vulnerable

70 populations with genomic tools (Bay et al., 2018) are improving, we remain largely ignorant of  
71 genomic attributes that may elevate susceptibility or resilience to MMEs.

72 The 2013 outbreak of SSWD in the northeastern Pacific Ocean was remarkable for several  
73 reasons, including that both ecological and population genetic sampling were underway  
74 preceding the outbreak (Harley, Pankey, Wares, Grosberg, & Wonham, 2006; Miner et al., 2018;  
75 Schiebelhut, Puritz, & Dawson, 2018). This enabled documentation of the outbreak's greatest  
76 geographic extent (Eisenlord et al., 2016), highest mortality rates recorded for a non-commercial  
77 marine species—e.g. 67% (Eisenlord et al., 2016), 90% (Menge et al., 2016), 99% (Miner et al.,  
78 2018)—and diversity of sea star species affected (Eisenlord et al., 2016; Montecino-Latorre et al.,  
79 2016). Over 20 species of subtidal and intertidal asteroids were impacted (Hewson et al., 2014),  
80 of which some species, like *Pisaster ochraceus* and *Pycnopodia helianthoides*, are important  
81 predators in their communities (Duggins, 1983; Gravem & Morgan, 2017; Paine, 1974). Thus,  
82 mass mortality of sea stars precipitated cascading effects through intertidal and subtidal  
83 communities (Burt et al., 2018; Menge et al., 2016; Schultz et al., 2016).

84 Given the large geographic extent (Miner et al., 2018) and taxonomic scale (Eisenlord et  
85 al., 2016; Montecino-Latorre et al., 2016) of SSWD, events leading up to the outbreak were  
86 heterogeneous, multifaceted, and often unrecognized at the time (Miner et al., 2018). Moreover,  
87 the disease status of specimens in the field progresses rapidly from apparently healthy through  
88 morbid to dead, leaving few opportunities for diagnoses prior to, or in the presence of, visually  
89 identifiable symptoms (Miner et al., 2018), so clarifying evidence has been elusive. Proposed  
90 causes are diverse, including infection, injury, and environmental shifts (Harvell et al., 2019;  
91 Hewson et al., 2018). Differences in susceptibility also have been noted, possibly related to  
92 age/size (Eisenlord et al., 2016; Menge et al., 2016), temperature (Eisenlord et al., 2016; Harvell

93 et al., 2019; Kohl, McClure, & Miner, 2016), habitat (Menge et al., 2016), region (Miner et al.,  
94 2018), and genotypic variation (Schiebelhut et al., 2018; Wares & Schiebelhut, 2016), or more  
95 speculatively salinity (Bates, Hilton, & Harley, 2009). Nonetheless, causes and effects remain  
96 obscure, and SSWD has recently been rebranded as asteroid idiopathic wasting syndrome  
97 (AIWS) due to its elusive etiology (Hewson et al., 2018).

98         Despite coincidence of the outbreak of wasting (i.e. SSWD/AIWS) with formation of a  
99 northeastern Pacific ‘warm blob’ (Bond, Cronin, Freeland, & Mantua, 2015), the role of  
100 temperature in disease onset and intensification is ambiguous (Hewson et al., 2018; Miner et al.,  
101 2018), suggesting that wasting might be caused by a combination of environmental (Hewson et  
102 al., 2018; Miner et al., 2018) and biological factors (Menge et al., 2016). Exploration of  
103 differential gene expression in *P. ochraceus* under thermal stress suggested heritable variation in  
104 transcriptional response to elevated temperature (Chandler & Wares, 2017). Viral inoculation  
105 experiments with a sea star associated densovirus (SSaDV) found symptoms of wasting after  
106 exposure and showed wasting to be transmissible in the sunflower star, *Pycnopodia helianthoides*  
107 (Hewson et al., 2014). However, SSaDV was also found in asymptomatic individuals (Hewson et  
108 al., 2018, 2014), and subsequent inoculation experiments failed to induce wasting symptoms in  
109 species other than *P. helianthoides* (Hewson et al., 2018). Analyses of symptomatic and  
110 asymptomatic *P. helianthoides* found differential gene expression in genes associated with  
111 immune response, nervous system processes (Fuess et al., 2015), tissue disintegration  
112 (Gudenkauf & Hewson, 2015), tissue remodeling (Fuess et al., 2015), programmed cell death,  
113 and metabolic function (Gudenkauf & Hewson, 2015).

114         In association with the high rates of mortality associated with wasting, analyses of single-  
115 nucleotide polymorphism (SNP) data from *P. ochraceus* populations along the north-central coast

116 of California identified consistent genotypic differences between adult *P. ochraceus* before  
117 versus after the 2013 wasting outbreak (Schiebelhut et al., 2018). Changes in allele frequency  
118 after the mass mortality were largely consistent across locations, and between life stages,  
119 suggesting that wasting acted as a strong selective event (Schiebelhut et al., 2018).

120 To address some of the remaining knowledge gaps between observations of mortality and  
121 genomic responses, we here present (i) a high-quality reference genome and (ii) new gene  
122 expression data from multiple life stages, tissue types, and disease statuses of the ochre sea star  
123 *Pisaster ochraceus*. We then (iii) compare these data to other published gene expression studies,  
124 including a second sea star *P. helianthoides*, and interrogate the genome for clues on the etiology  
125 of wasting. Our goal is to discover genomic signals that could tie-together the somewhat eclectic  
126 ecological and experimental results available in the literature. Particularly, we follow four lines of  
127 enquiry to attempt to reconstruct plausible scenarios leading to wasting. We first narrow down  
128 how gene expression differs between tissue types, ages (i.e. sizes), and disease status. Second, we  
129 explore the extent to which expression responses to disease overlap with expression responses to  
130 one proposed cause: temperature. We then ask whether expression differences implicate specific  
131 immune pathways or are concordant with responses to wasting across species. Finally, we ask  
132 which, if any, of the implicated gene regions also showed allele frequency shifts in wasting-  
133 survivors, possibly indicating a link between mutations in coding/noncoding sequence and gene  
134 expression. Identification of genomic elements that respond in common across species or  
135 conditions should provide clues to the causes and conditions that increase the risk of wasting  
136 (SSWD/AIWS).

137

138 **Materials and Methods**

139 *Genome sample collection, sequencing, and assembly*

140 An asymptomatic *Pisaster ochraceus* of 49 mm radius (arm-tip to disc-center; sample ID:  
141 M0D055189C) was collected from the intertidal zone at Hopkins Marine Station on 12 November  
142 2016, and taken alive to Dovetail Genomics (Santa Cruz, CA, USA) where tube feet were  
143 amputated and frozen immediately in liquid nitrogen. DNA extraction, library construction  
144 (paired-end, Chicago, HiC), Illumina sequencing, and *de novo* assembly followed Dovetail  
145 Genomics standard approaches (J. A. Chapman et al., 2011; Lieberman-Aiden et al., 2009;  
146 Putnam et al., 2016). Sequences were deposited in the Genome database of the National Center  
147 for Biotechnology Information (NCBI; BioProject PRJNA532896, SUB5448653). See SI  
148 Appendix for details. To assess completeness of the assembly, we conducted a BUSCO v. 2.0.1  
149 search against the set of metazoan orthologous genes (Simão, Waterhouse, Ioannidis,  
150 Kriventseva, & Zdobnov, 2015).

151 *Transcriptome sequencing*

152 Tissue biopsies—of tube feet, pyloric caecum, and dermis—were taken from 17  
153 individuals: 15 collected at McClures Beach and two from Lifeboat House in Point Reyes  
154 National Seashore (SI Appendix, Table S1) on the 19th and 21st August 2017, respectively, and  
155 preserved in RNAlater® in the field, refrigerated overnight, and stored at -20°C until extraction.  
156 RNA was extracted with the Qiagen RNeasy Mini Kit. A Retsch mixer mill (MM 301) was used  
157 for 30 seconds for tissue disruption and homogenization. Fifty RNA libraries were generated (SI  
158 Appendix, Table S1) with Illumina TruSeq RNA Library Prep Kit v2 and sequenced 2 x 150  
159 paired-end on one lane of an Illumina HiSeq4000 with 2% Phi X at the Vincent J. Coates  
160 Genomics Sequencing Laboratory at the University of California, Berkeley. Raw sequences were

161 deposited in the Sequence Read Archive (SRA) of the National Center for Biotechnology  
162 Information (NCBI; add SRA accession number).

163

164

165 *Genome annotation*

166 Repetitive regions of the genome assembly were identified and softmasked using  
167 RepeatMasker (<http://www.repeatmasker.org>) to align the genome to the RepBase repository  
168 (RepeatMasker Edition 20170127; <https://www.girinst.org/server/RepBase>) (Bao, Kojima, &  
169 Kohany, 2015) using *Drosophila* and *Strongylocentrotus purpuratus* as the species options. As an  
170 alternative, the genome was also soft-masked using NCBI WindowMasker (Morgulis, Gertz,  
171 Schäffer, & Agarwala, 2005). The RepeatMasker masking with *S. purpuratus* was used to aid  
172 annotation of protein coding genes.

173 Gene models were generated with BRAKER v 2.1.0 (Hoff, Lange, Lomsadze,  
174 Borodovsky, & Stanke, 2015; Hoff, Lomsadze, Borodovsky, & Stanke, 2019), a pipeline that  
175 combines the gene prediction tools GeneMark-ET v. 4.3.2 (Lomsadze, Burns, & Borodovsky,  
176 2014) and AUGUSTUS v. 3.3 (Stanke, Diekhans, Baertsch, & Haussler, 2008) for predicting  
177 genes in novel genomes with alignments of RNA-Seq reads as extrinsic evidence. The 50 RNA  
178 libraries were supplemented with paired-end RNA libraries from Chandler and Wares (Chandler  
179 & Wares, 2017) prepared from individuals with distinct genotypic backgrounds  
180 (SAMN06141149, SAMN06141151) to capture a full range of transcript data. In short, RNA-Seq  
181 libraries were aligned to the soft masked genome using GSnap v. 2017-11-15 (Wu, Reeder,  
182 Lawrence, Becker, & Brauer, 2016), and BRAKER was run on the soft masked genome with  
183 information from spliced alignments (for code, see Data Accessibility section). A track data hub

184 for annotation visualization with the UCSC Genome Browser (Kent et al., 2002) was generated  
185 using MakeHub (Hoff, 2019). The software faCount (UCSC) was used to count base composition  
186 in each scaffold. Percentages of gaps—regions that were not sequenced or gaps included during  
187 scaffolding—were calculated from these data (faCount) by dividing the number of Ns in each  
188 chromosome-sized scaffold by the total number of bases in the scaffold. GC content in  
189 contiguous windows of 500 nt was calculated using the GC function in the SeqinR package  
190 (Charif & Lobry, 2007; Coghlann, 2011) and a logic function in Excel to count the regions with  
191 >60% of bases being C+G.

192 We used NCBI Blastp v. 2.2.31+ (Altschul, Gish, Miller, Myers, & Lipman, 1990;  
193 Camacho et al., 2009) to annotate the predicted gene models by searching invertebrate protein  
194 sequences from the SwissProt protein database version 2018\_02 (Uniprot Consortium, 2014;  
195 “UniProt: The universal protein knowledgebase,” 2016). The most representative annotation from  
196 UNIPROT ID was taken as the ID with lowest e-value, unless there was >1 lowest match in  
197 which case we favored the UNIPROT ID from the closest relative. The table of the corresponding  
198 ID numbers was downloaded to add gene ontology (GO; Ashburner et al., 2000; The Gene  
199 Ontology Consortium, 2016)(GO v. 2018\_02) terms to the annotation (Dataset S1) (for code, see  
200 Data Accessibility section). GO terms were then grouped by categories using GO\_slim and, given  
201 our interest in disease, the immune system gene classes, with CateGORizer (Hu, Bao, & Reecy,  
202 2008)—a GO term classification counter—and redundant parent terms were summarized with  
203 REViGO (Supek, Bosnjak, Skunca, & Smuc, 2011).

204 *Mitochondrial genome*

205 Raw reads generated for the whole genome assembly (section *Chicago library*  
206 *preparation and sequencing* in SI Appendix) were aligned to the annotated mitochondrial

207 genome of the confamilial *Asterias amurensis* (NC\_006665; (Matsubara et al., 2005)) to generate  
208 a whole mitochondrial assembly. A subsample of  $3 \times 10^7$  reads were assembled using the mapping  
209 function with “high sensitivity” parameters in Geneious 10.1.2, adjusted to accept reads with 25%  
210 mismatch. Annotations were assessed manually from the alignment of this assembly with the *A.*  
211 *amurensis* mitogenome, and via submission to MITOS (Bernt et al., 2013). Additional  
212 annotation, including the location of the mitochondrial control region and adjustments to the  
213 computationally estimated ORF of *ND2*, came from pairwise alignment with the mitochondrial  
214 genome of *Acanthaster planci* (Yasuda et al., 2006).

215 *Differential gene expression*

216 We added a second lane of Illumina 2 x 150 paired-end HiSeq4000 sequencing with 2%  
217 Phi X for the previously mentioned 50 RNA libraries (see *Transcriptome sequencing* section) to  
218 increase depth and coverage of transcripts for differential gene expression (DGE) analyses.  
219 Libraries represented individuals that were asymptomatic or symptomatic for SSWD (e.g. Fig. 1)  
220 and of different size classes—a proxy for age: small (radius 13.0–17.1 mm), medium (43–67  
221 mm), large (89–123 mm), and extra-large (165–212 mm)—for each of three tissue types (tube  
222 feet, dermis, and pyloric caecum) (SI Appendix, Table S1). Raw sequences were again deposited  
223 in the NCBI SRA (accession no. SRPXXXXXX). Trim Galore v. 0.4.4\_dev was used to trim  
224 adapters, low quality bases (<Q20), and reads less than 20bp.

225 Genestack v. 0.72.1 (<https://genestack.com/>) was used for analyses of trimmed sequences.  
226 Trimmed paired-end reads were subsampled to 5 million reads (smallest library size) and aligned  
227 to the *Pisaster ochraceus* nuclear genome using TopHat2 v. 2.0.13 (Kim et al., 2013) allowing 2  
228 mismatches per read and yielding mean 2,599,568 mapped reads per library (range: 2,313,438–  
229 2,969,060). (See SI Appendix Supplementary Methods and Results for alternative mapping

230 approach). For the mitochondria, trimmed R1 reads were aligned to the mitochondrial genome  
231 using Bowtie2 v. 2.2.3 (Langmead, Trapnell, Pop, & Salzberg, 2009) allowing 3 mismatches per  
232 read; reads were then subsampled to the smallest mapped library (0.213 million reads) using  
233 seqtk v. 1.0 (<https://github.com/lh3/seqtk.git>), yielding 0.213 million mapped reads per library.  
234 Raw coverage in genes<sup>1</sup> was quantified using SAMtools v. 0.1.19 (Li et al., 2009). Differential  
235 gene expression was tested using EdgeR (McCarthy, Chen, & Smyth, 2012) for sequences  
236 aligned to the nuclear genome and mitochondrial genome separately. A DGE analysis was first  
237 conducted to evaluate differential expression between tissue types. Subsequent analyses were  
238 conducted separately for each tissue type to assess differential expression between size classes  
239 and between symptomatic and asymptomatic individuals. Each size class (i.e. small, medium,  
240 large, or x-large) was compared to the mean of all other size classes. An additional analysis was  
241 conducted comparing just the small individuals directly to the x-large individuals to reveal  
242 potential DGE that might be masked by variation in intermediate size classes. Genes were filtered  
243 at a minimum threshold of mean 1 count per million (CPM). Significance was assessed at a false  
244 discovery rate (FDR) < 0.01 (unless otherwise noted); power to detect DGE will be influenced by  
245 the number of reads in libraries. Given the small sample sizes of individuals included in the  
246 asymptomatic (n = 2) compared to symptomatic (n = 2) analyses (SI Appendix, Table S1), a  
247 minimum criterion of CPM > 1 in a minimum of two libraries per asymptomatic and/or  
248 symptomatic categories was used. Gene enrichment analyses were performed in DAVID for the

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<sup>1</sup> Gene models were defined, for complete genes, as beginning at the start codon and ending at the stop codon. For incomplete genes, gene models were recognized as spanning from the 5' to 3' sequence boundaries within the gene or, if partially incomplete, then between the gene boundary (start/stop codon) and matching sequence boundary.

249 annotated differentially expressed genes (Huang, Sherman, & Lempicki, 2008, 2009) using the  
250 list of *Pisaster ochraceus* annotated genes as background.

251 *Reanalysis of DGE experiments*

252 Raw sequences from Chandler and Wares' (Chandler & Wares, 2017) *P. ochraceus*  
253 temperature experiment—in which tube feet were sampled from 10 individuals acclimated to  
254 ambient ocean temperature and resampled after 8 days at +3°C—were obtained from the  
255 Sequence Read Archive (SRA) at NCBI (SRP095092). Forward sequences were reprocessed and  
256 analyzed following the method described above in the section *Differential gene expression*. Each  
257 filtered RNA library was subsampled to 22.5 million reads (the smallest library) and then mapped  
258 to the nuclear genome, yielding mean 16,325,675 mapped reads (range: 15,830,301–16,754,055).  
259 For the mitochondria, all forward reads were mapped to the mitochondrial genome and then  
260 filtered to the smallest mapped library at 1.15 million reads. A DGE analysis contrasted between  
261 individuals at ambient ocean temperature (~12°C with diurnal variation, lab acclimated for 2  
262 days, 't0') and +3°C (tissue collected after 8 days of treatment, 't3'). Genes were filtered at a  
263 minimum threshold of 1 CPM. Significant difference between the t0 and t3 groups was assessed  
264 at a false discovery rate (FDR) < 0.1. Because Chandler and Wares (2017) biopsied the same 10  
265 individuals before and after heat treatment, we also conducted a two-tailed paired *t* test (*p* < 0.05  
266 following Benjamini-Hochberg adjustment for multiple tests;  
267 <https://www.sdmproject.com/utilities/?show=FDR>) contrasting time-points within individuals to  
268 identify other potential genes that might be differentially expressed under temperature stress but  
269 not detected by comparing just the two groups. We filtered these results further by conducting a  
270  $\chi^2$  goodness-of-fit test (*p* < 0.05) to focus on genes that had consistent directional change in  
271 expression between t0 and t3 across individuals (i.e. when  $\geq 9$  of 10 individuals agreed).

272 For mitochondrial analyses, raw sequences from Fuess et al. (Fuess et al., 2015) *P.*  
273 *helianthoides* symptomatic versus asymptomatic experiment—in which coelomocytes were  
274 sampled from 3 individuals (with wasting symptoms) after being treated with virus-sized  
275 homogenate taken from wasting *P. helianthoides* and 3 control stars were treated with heat-killed  
276 homogenate (and showed no signs of wasting)—were obtained from the NCBI SRA  
277 (SRP051104). Forward sequences were reprocessed and aligned to the *P. ochraceus*  
278 mitochondrial genome and analyzed following the method described above in the section  
279 *Differential gene expression*. Each filtered and mapped RNA library was subsampled to 60,900  
280 mapped reads (the fewest number of mapped reads of any individual). A DGE analysis contrasted  
281 symptomatic and asymptomatic individuals using a minimum threshold of 1 CPM and FDR <  
282 0.1. Raw reads from Gudenkauf & Hewson (2015) did not yield enough read depth to assess  
283 mitochondrial DGE in *P. helianthoides*.

284 *Mapping and comparison of genomic and transcriptomic variation*

285 We used the annotated *Pisaster ochraceus* genome and DGE analyses conducted in this  
286 study to map and compare findings from other asteroid studies, including: (1) RAD-Seq loci  
287 putatively under selection by wasting (Schiebelhut et al., 2018), (2) genes differentially expressed  
288 between *Pycnopodia helianthoides* symptomatic and asymptomatic for wasting (Fuess et al.,  
289 2015; Gudenkauf & Hewson, 2015), and (3) genes differentially expressed in *P. ochraceus*  
290 undergoing temperature and/or related stress in aquarium experiments (Chandler & Wares, 2017).  
291 Particularly, we aimed to infer chromosomes or regions of chromosomes that may be of  
292 heightened interest for understanding the causes and effects of SSWD, as follows. (1) To evaluate  
293 potential function of the top RAD-Seq loci discriminating pre- and post- AIWS (Schiebelhut et  
294 al., 2018), the position of each locus in relation to the gene models was inspected visually, and

295 the transcripts that showed differential expression between individuals asymptomatic and  
296 symptomatic for AIWS were noted as falling inside or outside of the gene model region. To  
297 assess mitochondrial allele frequency changes between pre- (n = 153) and post-wasting adults (n  
298 = 126) and recruits (n = 158) we aligned RAD-Seq reads from Schiebelhut et al. (Schiebelhut et  
299 al., 2018) (NCBI SRA SRP136569) to the *P. ochraceus* mito-genome; 8 individuals were  
300 dropped for low read count and an additional 3 dropped for ambiguous base calls. (2) To evaluate  
301 gene position and function, RNA-Seq reads from coelomocytes and dermis punches of  
302 *Pycnopodia helianthoides* symptomatic and asymptomatic for AIWS (Fuess et al., 2015;  
303 Gudenkauf & Hewson, 2015) were mapped to the *Pisaster ochraceus* genome with BWA-MEM  
304 v. 0.7.15 (Li & Durbin, 2009), sorted with SAMtools v. 1.4.1 (Li et al., 2009), merged and  
305 converted to a bed file with BEDTools v. 2.23.0 (Quinlan & Hall, 2010). Finally, (3) transcripts  
306 differentially expressed in *P. ochraceus* at ocean ambient and +3°C temperature treatments from  
307 the re-analysis of Chandler and Wares (Chandler & Wares, 2017) (see section *Temperature*  
308 *treatment comparisons*) were compared to the other DGE analyses in this study. The mapped  
309 positions and/or functions of loci from all studies were compared to identify shared patterns  
310 across datasets and so infer loci that may be important in the etiology of AIWS in *P. ochraceus*  
311 and possibly also other sea stars. The R package ggbio (Yin, Cook, & Lawrence, 2012) was used  
312 to visualize these results in concentric circular maps to highlight the positions of loci implicated  
313 to be common components in the etiology of wasting disease.

314 In the absence of any general theoretical expectations for the number of loci and their  
315 effect sizes influencing complex phenotypes such as disease susceptibility (in sea stars), we  
316 modelled the probability of a specific locus occurring in more than one analysis using a simple  
317 probability series. For this purpose, we estimated the probability of a locus being implicated in a

318 single analysis as the ‘number of DGE loci / gene count’ for that individual analysis. The  
319 probability of the same locus being implicated by multiple analyses is then the product of the  
320 individual probabilities; the probabilities for all possible combinations of 1–5 analyses are  
321 provided in Dataset S9. Furthermore, the probability that the locus is differentially expressed in  
322 the same direction is  $0.5^n$ , where n is number of analyses considered. We also conducted a  
323 Fisher’s Exact Test in R, corrected for multiple tests using the Benjamini-Hochberg procedure  
324 (<https://www.sdmproject.com/utilities/?show=FDR>), to calculate the probability of overlap  
325 between genes found to be differentially expressed between *P. ochraceus* symptomatic and  
326 asymptomatic for sea star wasting disease and other studies — i.e. *P. ochraceus* size, temperature  
327 treatment, ddRAD outliers, and *Pycnopodia helianthoides* disease status.

328 Non-random distribution of RAD-Seq and DGE loci—using super-scaffold length and the  
329 length adjusted by the number of Ns in the scaffolds (Fig. 1) as null models for predicted  
330 distributions—was tested using a  $\chi^2$  test. The outcomes did not differ qualitatively so only results  
331 relative to the adjusted lengths are reported.

332

### 333 **Results**

#### 334 *Genome*

335 Shotgun sequencing generated 551 million paired-end reads (totaling 78 Gbp) which were  
336 assembled *de novo* into a draft assembly, to which Chicago library sequences were added to  
337 produce scaffolds up to 13 Mb (N50 = 8.8 kb, total genome size = 366.6 Mb). Dovetail HiC  
338 library sequences were aligned and scaffolded, and gaps filled with shotgun sequences to create  
339 22 super scaffolds of approximately chromosome size (N50= 21 Mb) and a total genome size of  
340 401.95 Mb (Fig. 1). The total percent of genome in gaps was 13.23% (range 10.0–20.5% per

341 chromosome-size scaffold). GC content of 500 nt windows ranged from 1.6% to 92.6% (mean  
342 39.1%, median 38.9%; SI Appendix, Fig. S1) with 860 regions having GC content >60%.

343 A BUSCO comparison before masking indicated the *P. ochraceus* genome had 88.1%  
344 genes complete, 9.6% genes fragmented, and 2.3% genes missing (SI Appendix, Fig. S2). 24% of  
345 the genome was masked as areas of high repeat content first with WindowMasker (Morgulis et  
346 al., 2005). RepeatMasker (Chen, 2004) with *Drosophila* and *S. purpuratus* as species option,  
347 identified 1.35% of the masked genome as simple repeats and 0.38% as transposable elements,  
348 mostly Non-LTR SINE2 repeats.

349 21,777 genes were predicted for the *Pisaster ochraceus* genome using the BRAKER  
350 pipeline. 98% of the gene models were found in the 22 super scaffolds; the number of gene  
351 models was correlated with super-scaffold size ( $R^2 = 0.804$ ). Only 7,861 (36%) had significant  
352 hits (e-value < 0.00001) to the non-redundant invertebrate protein sequences from the SwissProt  
353 protein database (UniProt Consortium, 2016) (Dataset S2) and 7,694 (35%) gene models matched  
354 one or more (up to 145) GO terms, totaling 853,637 GO terms (Dataset S2). The GO terms  
355 mapped to 108 (of 110) immune class ancestors terms (CateGORizer); the main fractions were  
356 metabolism (39%), stress response (13%), and protein metabolism (11%); the classes ‘stress  
357 response’, ‘response to external stimulus’, response to ‘abiotic stimulus’, ‘apoptosis’, and  
358 ‘response to biotic stimuli’ also were in the top ten most numerous classes, with the  
359 ‘mitochondrion’ class 12<sup>th</sup>, the ‘immunology, immune response’ class is 13<sup>th</sup>, and ‘defense  
360 response to bacteria’ is 24<sup>th</sup> (Dataset S3a, SI Appendix, Fig. S3). Twenty-one genes matched  
361 Toll-like receptors and Leucine-rich repeat-containing proteins (Dataset S3b), fifteen of these  
362 clustered within the Toll signaling pathway (Dataset S3c). Genes associated with the regulation  
363 of innate immune response (n=34), stress response (n=37) and humoral response (n=42) were

364 identified (Dataset S3c). Some gene models were also annotated as retrovirus-related Pol  
365 polyproteins from LRT retrotransposons (Dataset S3d), which were most abundant in  
366 chromosome 12 (34 gene models).

367

368 *Mitochondrial genome*

369 The full mitochondrial genome (NCBI accession MH713001) was recovered from the  
370 unassembled sequences. The mitochondrial genome is 16,376 bp in length, with all recognized  
371 protein, ribosomal, and tRNA genes (SI Appendix, Fig. S4).

372

373 *Differential gene expression*

374 *Tissue comparisons* — Most differential gene expression (FDR < 0.01) was identified when  
375 comparing different tissue types (SI Appendix, Fig. S5). Approximately 5,000 genes were  
376 differentially expressed among tissues: 5,481 in pyloric caecum (of which 2,160 were annotated),  
377 5,046 in tube feet (2,092 annotated), and 4,584 in the dermis (1,954 annotated) (SI Appendix,  
378 Fig. S6). Due to this large difference in expression, other targeted differential expression analyses  
379 were done separately for each tissue type.

380 *Size comparisons* — Gene expression analyses comparing size classes (i.e. small, medium, large,  
381 and x-large versus all others, and smallest versus x-largest) revealed 261 nuclear genes were  
382 differentially expressed (FDR < 0.01) among size classes in the pyloric caecum, 295 in the  
383 dermis, and 414 in the tube feet (Fig. 2; Dataset S4; SI Appendix, Fig. S7). The majority of these  
384 genes were differentially expressed in small individuals versus other size classes—88% of genes  
385 in pyloric caecum, 62% in dermis, and 90% in tube feet. Restricting the analysis to the 3 smallest  
386 (radius 13.0–17.1 mm) and 3 x-largest (165–212 mm) individuals resulted in 177 genes

387 differentially expressed in the pyloric caecum (72 of which were annotated), 75 genes in the  
388 dermis (20 annotated), and 227 in the tube feet (90 annotated) (Fig. 2, Dataset S5). When  
389 comparing smallest to x-largest individuals we find upregulation in genes associated with  
390 hemolymph coagulation (in the dermis), lipid biosynthesis (pyloric caecum; fold enrichment =  
391 6.4,  $p < 0.001$ , FDR =  $7 \times 10^{-4}$ ; Dataset S12), lipid metabolism (pyloric caecum; fold enrichment =  
392 5.1,  $p < 0.001$ , FDR =  $2 \times 10^{-5}$ ; Dataset S12) and metabolism (dermis and tube feet) (SI Appendix,  
393 Fig. S8A). Most processes were upregulated in the dermis of small stars, including those related  
394 to development, ovarian (fold enrichment = 9.7,  $p = 0.03$ , FDR = 0.34; Dataset S12) and  
395 metabolic processes (fold enrichment = 1.4,  $p = 0.03$ , FDR = 0.38; Dataset S12) (SI Appendix,  
396 Fig. S8A). In the tube feet, cell differentiation (fold enrichment = 4.7,  $p = 0.05$ , FDR = 0.54;  
397 Dataset S12), vesicle-mediated transport, actin capping, and thermotaxis were upregulated in  
398 small stars (SI Appendix, Fig. S8A).

399 In analyses of the mitochondria, DGE analyses revealed higher expression of *tRNA-Gln*  
400 (in pyloric caecum) and *tRNAs-Asp*, *Asn*, and *Met* (in tube feet) of small individuals relative to  
401 other size classes, while *16S L-rRNA*, *ATP6*, *COX2* were downregulated in small individuals  
402 relative to other size classes. In the dermis of medium individuals, *16S L-rRNA* was upregulated  
403 relative to other groups, while *16S L-rRNA* in the tube feet of x-large individuals was upregulated  
404 relative to small individuals. Medium individuals also had increased expression of *ND4L* in their  
405 dermis relative to other size classes.

406 *Symptomatic vs. asymptomatic comparisons* — Nuclear genes differentially expressed between  
407 symptomatic and asymptomatic samples were found in the pyloric caecum (581 genes, 257  
408 annotated), dermis (259 genes, 94 annotated) and tube feet (49 genes, 23 annotated) (Fig. 3;  
409 Dataset S6).

410 In the pyloric caecum, 346 genes (148 annotated) were upregulated in the symptomatic  
411 individuals, while 235 genes (109 annotated) were downregulated (Fig. 3; Dataset S6a). 35 of the  
412 genes differentially expressed between symptomatic-asymptomatic individuals were associated  
413 with immune or stress response (Dataset S6a, Dataset S3c)—such as genes involved in hemocyte  
414 proliferation (*TL5A*, *TL5B*), agglutination and phagocytosis (*SRCR1*)—and an additional four  
415 involved in programmed cell death (fold enrichment = 1.6,  $p = 0.09$ ; Dataset S12)—e.g. apoptosis  
416 (*CASP1*) and programmed cell death (e.g. *CED1*) (Fig. 4, Dataset S3c). Toll-like genes putatively  
417 involved in the Toll signaling pathway (fold enrichment = 9.5,  $p = 0.04$ , FDR = 0.46; Dataset  
418 S12) were upregulated in the symptomatic individuals (Dataset S3c). Enriched GO terms also  
419 included lipid metabolism (fold enrichment = 1.6,  $p = 7 \times 10^{-4}$ ; Dataset S12), GDP-fucose  
420 biosynthesis, catabolism and metabolism (steroid: fold enrichment = 4.6,  $p = 0.003$ , FDR = 0.05;  
421 monosaccharide: fold enrichment = 4.5,  $p = 0.02$ , FDR = 0.31; Dataset S12). Additionally, ten  
422 genes of the Cytochrome P450 family (*CP10* = g2103; *CP18A* = g17638, g6090, g6091; *CP2L1* =  
423 g7145, g9883; *CP4D2* = g12454, g12447; *CP9E2* = g4034; *C524A* = g18059) were differentially  
424 expressed in the pyloric caecum of the symptomatic samples (Dataset S6a).

425 In the dermis, 166 genes were upregulated in the symptomatic samples (58 annotated) and  
426 93 genes were downregulated (36 annotated) (SI Appendix Fig. S8B, Dataset S6b). 14  
427 differentially expressed genes were associated with defense response (fold enrichment = 3.8,  $p =$   
428 0.04, FDR = 0.48; Dataset S12), wound healing (response to wounding: fold enrichment = 6.6,  $p =$   
429 0.02, FDR = 0.28; Dataset S12), apoptosis (*CASP1*) and clotting processes (Dataset S3c;  
430 Dataset S6b). As in the pyloric caecum, genes involved in the regulation of immune response  
431 (immune system processes: fold enrichment = 2.7,  $p = 0.04$ , FDR = 0.51; Dataset S12) and the  
432 Toll signaling pathway were upregulated in symptomatic individuals (Dataset S3c). Genes

433 associated with antimicrobial humoral response and hemocyte proliferation (*TL5A*, *TL5B*, *LEC6*)  
434 were also downregulated in the dermis of the symptomatic individuals (Dataset S3c). Genes  
435 associated with wound healing were upregulated in the symptomatic samples, however, *Cadherin*  
436 *96Ca* which is involved in positive regulation of wound healing was downregulated. Enriched  
437 GO terms for negative regulation of innate immune response (fold enrichment = 6.27,  $p = 0.01$ ,  
438 FDR = 0.11; Dataset S3c; Fig. 4) and recognition of apoptotic cells were upregulated in the  
439 symptomatic samples (SI Appendix, Fig. S8B).

440 In the tube feet, 37 genes were upregulated in the symptomatic samples (19 annotated)  
441 and 12 genes were downregulated (4 annotated) (Fig. 3; Dataset S6c). Genes expressed in tube  
442 feet were mainly associated with muscular function (fold enrichment = 15.7,  $p = 0.01$ , FDR =  
443 0.17) and cell adhesion (Fig. 4; SI Appendix, Fig. S8B), but two genes associated with immune  
444 response *TL5A* and *NOXC* were upregulated in symptomatic samples (Dataset S6c).

445 Individuals symptomatic for AIWS ( $n = 2$ ), in DGE analyses of the mitochondria, had  
446 higher expression (FDR  $< 0.01$ ) of *12S s-rRNA* in all three tissue types independently—dermis  
447 (fold change of 3.3, FDR  $< 10^{-20}$ ), pyloric caecum (fold change of 20.6, FDR =  $10^{-5}$ ), and tube  
448 feet (fold change of 2.6, FDR =  $4 \times 10^{-4}$ )—relative to asymptomatic stars ( $n = 2$ ). In the dermis,  
449 *tRNA-Asn* and *16S L-rRNA* mitochondrial genes were also upregulated in symptomatic, relative to  
450 asymptomatic stars (FDR = 0.009 and 0.063, respectively).

451 *Reanalysis of DGE experiments* — Genes differentially expressed between the tube feet of sea  
452 stars sampled at ambient ocean temperature and after heat treatment ( $+3^\circ\text{C}$ ) included 39  
453 identified by the DGE analysis in EdgeR (FDR  $< 0.1$ ) and 137 identified by the paired *t* test  
454 followed by filtering for similar directional change in  $\geq 9$  of 10 individuals ( $\chi^2 \geq 6.40$ ,  $\text{df} = 1$ ,  $N =$   
455 10,  $p < 0.05$ ); 59 of the 137 were significant after Benjamini-Hochberg adjustment  $p_{0.05}$ . 35 loci

456 were recovered by both analyses. The EdgeR analysis (FDR < 0.1) comparing the t0 group to the  
457 t3 group also recovered four transcripts that did not overlap with the paired *t* test, three of which  
458 were unannotated (g4748, g10034 and g20429) and one annotated (g12264)—the heat shock  
459 protein *Hsp90*. In total, 73 loci were identified as being significantly differentially expressed by  
460 one or both of these approaches.

461 In DGE analyses of the mitochondria, individuals after heat treatment (n = 10) had lower  
462 expression (FDR < 0.1) of ATP Synthase subunit 6 (*ATP6*) and NADH dehydrogenase subunits 2  
463 and 5 (*ND2*, *ND5*) and increased expression (FDR < 0.1) of *16S L-rRNA* and *tRNA-Asp* relative  
464 to t0 (SI Appendix, Fig. S9).

465 In mitochondrial analyses of coelomocytes in *P. helianthoides*, no differential expression  
466 was found between symptomatic (n = 3) and asymptomatic individuals (n = 3).

467 *Mapping and comparison of genomic and transcriptomic variation*

468 Comparisons of multiple studies—RAD-Seq, DGE analyses of *P. ochraceus* by disease  
469 status, size, and temperature treatment, and *P. helianthoides* by disease status—revealed shared  
470 and distinct genomic responses (Fig. 5; Dataset S7). Of the top 100 RAD-Seq loci identified in  
471 Schiebelhut et al. (2018) discriminating pre- and post-AIWS mortality populations, 99 mapped to  
472 the 22 chromosomes in the *P. ochraceus* genome (Fig. 5); 58 aligned to predicted gene models  
473 (Dataset S8), 25 of which were annotated. Five loci mapped to genes that were differentially  
474 expressed between *P. ochraceus* symptomatic and asymptomatic for SSWD (FDR < 0.01) (SI  
475 Appendix, Fig. S10; Fig. 5): hypothetical protein *vilA* (g3886), mitochondrial putative  
476 tricarboxylate transport protein *K11H3.3* (g17130), GTP-binding protein *drn-1* (g21294), and  
477 unannotated g868 were all upregulated in symptomatic individuals, while unannotated g7633 was  
478 downregulated. *vilA* also was upregulated in the coelomocytes of SSWD-symptomatic *P.*

479 *helianthoides* (Fuess et al., 2015) as well as overlapping with one of the three RAD-Seq loci  
480 identified in the more conservative BayeScan analysis as discriminating pre- and post-SSWD *P.*  
481 *ochraceus* (Schiebelhut et al., 2018). Four additional discriminant RAD-Seq loci (Schiebelhut et  
482 al., 2018) mapped to genes upregulated in SSWD-symptomatic *P. helianthoides* (Fuess et al.,  
483 2015): E3 ubiquitin-protein ligase *Nedd-4* (g14570), muscle M-line assembly protein *unc-89*  
484 (g19459), Rho GTPase-activating protein 92B *RhoGAP92B* (g20941), and one unannotated gene  
485 (g20271) (Dataset S7b). One discriminant RAD-Seq locus mapped to homeobox protein *Lhx3*  
486 (g19194), a gene upregulated in the tube feet of small individuals (Dataset S7b).

487       Thirty-three transcripts upregulated in SSWD-symptomatic individuals in Gudenkauf &  
488 Hewson (2015) mapped to *P. ochraceus* gene models (SI Appendix, Table S3; Dataset S7a), of  
489 which six were also differentially upregulated in SSWD-symptomatic *P. ochraceus*: lateral  
490 signaling target protein 2 *lst2* (g18551), frizzled *Dvir|fz* (g3737), and four unannotated gene  
491 models (g3818, g6204, g14951, and g18769) (Dataset S7c,d).

492       Immune and neurological DGE responses of *Pycnopodia helianthoides* to treatment with a  
493 viral sized homogenate (Fuess et al., 2015) yielded 1387 differentially expressed transcripts that  
494 mapped to the *P. ochraceus* gene models (Fig. 5; Dataset S7a). There was considerable overlap  
495 between DGE of symptomatic *P. ochraceus* and symptomatic *P. helianthoides*—112 of 129  
496 genes (86.8%) that overlapped between the studies shared similar differential expression (SI  
497 Appendix, Table S3; Dataset S7c,d)

498       DGE responses to heat treatment of *P. ochraceus* (Chandler & Wares, 2017) yielded 73  
499 differentially expressed transcripts that mapped to the *P. ochraceus* genome (Fig. 5; Dataset S7a).  
500 Of these, 6 transcripts overlapped with genes differentially expressed between symptomatic and  
501 asymptomatic *P. ochraceus* (Dataset S7c,d). Three of these genes were upregulated in both heat-

502 treated and AIWS-symptomatic sea stars—Vitellogenin-6 (*vit-6*; g13155), and two unannotated  
503 genes (g10034, g12499). Three other genes were downregulated—*Hyalin* (g2770), Spectrin beta  
504 chain (*beta-Spec*; g9020), and one unannotated (g13988).

505 Comparison of DGE in symptomatic/asymptomatic *P. ochraceus* and size classes reveal  
506 12.6% (114/902) of genes from the size analysis overlap with genes differentially expressed in  
507 SSWD-symptomatic individuals, and of those 59% (67/114) share up/down-regulation in smaller  
508 (relative to larger individuals) with symptomatic (relative to asymptomatic) individuals (SI  
509 Appendix, Table S3; Dataset S7a). A subset of 9 of these genes overlap with two or more other  
510 studies (Fig. 5A; Dataset S7d), four of which show similar regulation in smaller individuals and  
511 symptomatic *P. ochraceus* and *P. helianthoides*—upregulation in Dopamine transporter (*DAT*,  
512 g12628) and Myosin heavy chain (*MYS*, g21191), downregulation in Dehydrogenase/reductase  
513 SDR family member 4 (*dhrs-4*, g2110) and WW domain containing oxidoreductase (*Wwox*,  
514 g21298)—and one that is downregulated in smaller individuals, symptomatic *P. ochraceus*, and  
515 temperature-treated *P. ochraceus* (Spectrin beta chain (*beta-Spec*; g9020)). Four genes show the  
516 opposite pattern—Ets at 98B (*Ets98B*, g7334) and Fibrinogen-like protein A (*FIBA*, g9029) are  
517 downregulated in smaller individuals, but upregulated in symptomatic *P. ochraceus* and *P.*  
518 *helianthoides*, while Putative fatty acid elongation protein 3(*elo-3*, g5517) and Organic cation  
519 transporter 1(*oct-1*, g9945) are upregulated in smaller individuals, but downregulated in  
520 symptomatic *P. ochraceus* and *P. helianthoides* (Dataset S7d). An additional 8 transcripts  
521 differentially expressed between size classes were also differentially expressed in heat-treated *P.*  
522 *ochraceus* (Dataset S7b), with shared upregulation in smaller (versus larger) and heat-treated  
523 individuals of Actin (*ACT1*, g11029) and (g4110, no annotation), and shared downregulation in  
524 alpha Spectrin (*alpha-Spec*, g9023). Four of the eight transcripts were downregulated in smaller

525 individuals, but upregulated in heat-treated *P. ochraceus*—N-acetylglucosamine-6-phosphate  
526 deacetylase (*CG17065*, g16112) and 3 unannotated genes (g7624, g14020, g19130)—while one  
527 unannotated gene (g14019) was upregulated in smaller individuals, but downregulated in heat-  
528 treated *P. ochraceus*.

529 In addition to the nuclear analysis, we compared mitochondrial responses and found *16S*  
530 *L-rRNA* had increased expression in both temperature treated *P. ochraceus* (SI Appendix, Fig.  
531 S9) and AIWS-symptomatic *P. ochraceus* (Fig. 5B). We also identified a synonymous  
532 substitution in *ND5* in 3.2% (n = 4 of 125) of surviving adults and 2.5% (n = 4 of 154) of  
533 juveniles that was undetected in the pre-wasting population of *P. ochraceus* and this gene was  
534 downregulated in temperature treated stars.

535 The probability of a specific locus being implicated in multiple analyses ranges from  
536 0.0039 in 2 analyses, through ~0.0007–0.0001 in 3 analyses, to ~0.00003–0.00001 in 4 analyses.  
537 The probability that these implicated loci also have the same direction of differential gene  
538 expression is even smaller at 10<sup>-4</sup> in 2 analyses, through 10<sup>-5</sup> in 3 analyses, to 10<sup>-6</sup>–10<sup>-7</sup> in 4  
539 analyses. A Fisher’s Exact Test, corrected for multiple tests using the Benjamini-Hochberg  
540 procedure, supports overlap in genetic mechanisms associated with wasting disease in *P.*  
541 *ochraceus* and those associated with *P. ochraceus* size (*p* < 10<sup>-15</sup>) and *P. helianthoides* disease (*p*  
542 = 0.002, *p* < 10<sup>-15</sup>); Fisher’s Exact Test is marginally non-significant for overlap between *P.*  
543 *ochraceus* disease-associated differentially expressed genes (*p* = 0.06) and temperature-  
544 associated differentially expressed genes or disease-associated ddRAD loci (*p* = 0.06).

545 The outlier loci described above were distributed across all or almost all chromosomes.  
546 The top 100 RAD-Seq loci of Schiebelhut et al. (2018) were randomly distributed among  
547 chromosomes ( $\chi^2 = 26.00$ , df = 21, N = 99, *p* = 0.205), as were the RNA-seq loci differentially

548 expressed between symptomatic and asymptomatic individuals ( $\chi^2 = 32.39$ , df = 21, N = 802,  $p =$   
549 0.053). However, RNA-seq loci differentially expressed after heat treatment were non-randomly  
550 distributed, with a notable cluster of 8 loci on the fourth shortest Chromosome 19 ( $\chi^2 = 35.57$ , df  
551 = 21, N = 73,  $p = 0.024$ ), as were loci that were differentially expressed by size, which were  
552 disproportionately numerous on chromosomes 16 and 18–20 ( $\chi^2 = 84.30$ , df = 21, N = 897,  $p < 10^{-$   
553  $8$ }).

554

## 555 **Discussion**

556 For the first time since the 2013 outbreak of sea star wasting disease (SSWD)—one of the largest  
557 marine mass mortality events on record—we are able to integrate data from field observations,  
558 field collections, and laboratory experiments on multiple species within the common framework  
559 of a high quality reference genome. This physical genetic map links a suspected environmental  
560 driver (elevated temperature) and intrinsic differences among individuals (age/size, genotype) and  
561 genes underlying organismal responses (e.g. immune response [*TL5A*, *TL5B*], phagocytosis  
562 [*SRCR1*], cell death and wound healing [*CASP1*], apoptosis [*WWOX*], muscle contraction [*MYS*],  
563 heat shock protein [*HSP71*]) with presentation of SSWD. These results help define what was  
564 becoming known as asteroid idiopathic wasting syndrome (AIWS; (Hewson et al., 2018)) due to  
565 its previously elusive etiology. We do not claim to circumscribe the entire set of factors involved  
566 in SSWD, but to have identified important candidate genes and to have described an approach—  
567 an autopsy guided by genomic analyses—for better understanding causes and mechanisms of  
568 MMEs. Through a set of logical steps, our preliminary exploration suggests several candidate  
569 genetic mechanisms for further investigation of the relationship between the sea star wasting

570 outbreak and large scale environmental change are merited (see also Fey et al., (2015); Harvell et  
571 al., (2019)).

572 The genome and annotation of *Pisaster ochraceus* is the first for Order Forcipulatida, a  
573 diverse and ecologically notable clade of sea stars (Mah & Blake, 2012). The assembly is ~90%  
574 complete with 22 super-scaffolds, matching the haploid number of chromosomes estimated by  
575 karyotyping (Saotome & Komatsu, 2002). At ~402 Mb, the *P. ochraceus* genome tends toward  
576 the middle of the size-spectrum for most animals and deuterostomes (Canapa, Barucca, Biscotti,  
577 Forconi, & Olmo, 2015; Elliott & Gregory, 2015). The modest size of the *P. ochraceus* genome  
578 suggests relatively few transposable elements (Elliott & Gregory, 2015). Its GC content (mean  
579 ~39.1%) is marginally higher than that of *S. purpuratus* (~36.9%; (Sodergren et al., 2006)), and  
580 the 0.1% of 500 nt windows with >60% CG content may be interesting to investigate in the  
581 context of methylation status and potential CpG islands proximate to genes implicated in wasting.  
582 The estimated number of genes in *P. ochraceus* is marginally higher than predicted for a genome  
583 of this size (Elliott & Gregory, 2015). The potential conservation suggested here—of  
584 chromosome number, genome size, and gene number within the clade—merits further  
585 investigation, as does genome architecture, in the context of wasting as an asteroid zoonosis.

586 Our new analyses of differential gene expression in *P. ochraceus* reveal differences  
587 among tissues, among sizes, and between symptomatic versus asymptomatic individuals. The  
588 greatest differences reflect the distinct expression needed during development to generate  
589 different tissues (Ralston & Shaw, 2008) and then to deliver their complementary functions.  
590 These functions have recently become of particular interest given the putative involvement of  
591 densoviruses in wasting (Hewson et al., 2014; but see Hewson et al., 2018), that the microbiome  
592 of sea stars appears to be anatomically partitioned (Jackson, Pepe-Ranney, Debenport, Buckley,

593 & Hewson, 2018), and that tissues may display different prevalence of viruses within and  
594 between species (Hewson et al., 2018). The pyloric caecum shows the greatest number of  
595 transcripts being differentially expressed between symptomatic and asymptomatic *P. ochraceus*  
596 (Fig. 3; Fig. 4; SI Appendix, Fig. S8B); the cause is unclear but may help explain the prior  
597 association between SSaDV and wasting: elevated transcription could indicate hyperplasia, and  
598 densovirus are favored by rapidly dividing cells (Tijssen, Pénzes, Yu, Pham, & Bergoin, 2016).  
599 The dermis, where lesions occur most prominently, also has a high degree of differential  
600 expression between symptomatic and asymptomatic *P. ochraceus*. Less affected, though not  
601 unaffected, are the tube feet, consistent with field observations that even very sick sea stars still  
602 attach to rocks and separated arms walk. Likewise, differential gene expression within tissues  
603 between sizes (Fig. 2), common in many organisms, is also consistent with field observations that  
604 differences in susceptibility are possibly related to age/size (Eisenlord et al., 2016; Menge et al.,  
605 2016).

606 Differential gene expression within tissues between symptomatic versus asymptomatic  
607 individuals occurs in both mitochondrial and nuclear genes. One mitochondrial locus (*12S r-*  
608 *rRNA*) was upregulated in all three tissue types in symptomatic *P. ochraceus* (relative to  
609 asymptomatic individuals). The 12S ribosomal region intriguingly is a focus of recent studies  
610 examining the role of mitochondria in (human) systemic disease and apoptosis (Raimundo et al.,  
611 2012; Shadel, 2008). This may be meaningful for understanding wasting and its linkage with  
612 apoptosis (Fig. 4; Dataset S6; Dataset S7d; Dataset S8; (Fuess et al., 2015; Gudenkauf &  
613 Hewson, 2015)). One mitochondrial gene (*16S L-rRNA*) was upregulated in symptomatic and  
614 heat-treated *P. ochraceus*. Heat-treated stars showed upregulation of another mitochondrial gene  
615 (*tRNA-Asp*) and downregulation of three mitochondrial genes (*ATP6*, *ND2*, *ND5*), relative to stars

616 kept at ambient ocean temperature (SI Appendix, Fig. S9). The *ND* subunits appear relevant  
617 because decreased activity in the mitochondrial oxidative phosphorylation (OXPHOS) electron  
618 transport chain leads to increased production of reactive oxygen species (ROS) (Kamogashira,  
619 Fujimoto, & Yamasoba, 2015), which can lead to increased apoptotic cell death (Zapico &  
620 Ubelaker, 2013) and contribute to mitochondrial permeabilization, triggering caspase-induced  
621 apoptosis (Oberst, Bender, & Green, 2008; Tait & Green, 2010). Commensurately, DGE analysis  
622 of the nuclear genome evinced caspase activation in the symptomatic stars: *Caspase-1*, which is  
623 involved in the activation cascade of caspases responsible for apoptosis execution, was elevated  
624 in the symptomatic samples, both in the pyloric caecum and dermis. Intriguingly, in humans,  
625 *Caspase-1* also regulates the response to DNA viruses upon inflammasome activation (Wang et  
626 al., 2017). Other nuclear genes that were differentially expressed in all tissues in the  
627 symptomatic–asymptomatic comparison included those associated with immune defense, cell  
628 adhesion and wound healing. Humoral (*TLS-5*) and cellular (*SRCR*) components of the asteroid  
629 innate immune system (Hibino et al., 2006; Ramírez-Gómez & García-Arrarás, 2010) were  
630 represented in the differentially expressed genes in the symptomatic versus asymptomatic  
631 comparisons. In symptomatic individuals genes associated with activation of the immune  
632 response (*KPEL*, g15346; *CED1*, g19731) were upregulated; for coelomocyte proliferation,  
633 different transcripts of *SRCR1* were upregulated (g2511, g12941) or downregulated (g234,  
634 g6871), and agglutination agents downregulated (*TLS-5*, g14108; *LEC6*, g2945) in different  
635 tissues of symptomatic samples. *SRCR* genes are expressed specifically in the coelomocytes  
636 (Pancer, 2000), cells that are abundant and diverse in echinoderms, with roles in chemotaxis,  
637 clotting, phagocytosis and encapsulation (Ramírez-Gómez & García-Arrarás, 2010). Due to their  
638 multiple functions, it is unsurprising that different *SRCR1* genes have different expression

639 patterns. The downregulation of lectins (*TLs-5* and *LEC6*) in the pyloric caecum and dermis of  
640 symptomatic stars, suggests wasting is not of bacterial origin: *TLs-5* [*TL5A* (g14108) and *TL5B*  
641 (g18833)] are lectins involved in innate immunity and antimicrobial activity functioning in non-  
642 self recognition (Gokudan et al., 1999) and strong agglutinating response to bacteria (Gokudan et  
643 al., 1999). Additionally, we found differential gene expression between symptomatic and  
644 asymptomatic *P. ochraceus* at loci putatively involved in selection (Fig. S10) associated with  
645 SSWD (Schiebelhut et al., 2018), including upregulation of GTP-binding protein (*drn-1*, g21294)  
646 in individuals symptomatic for SSWD, suggesting there could be a link between genotypic  
647 variation and gene expression associated with SSWD.

648 We acknowledge our survey of currently available genomic data may miss loci of weaker  
649 effect, loci in the unassembled 13% of the genome, and loci not involved in multiple pathways,  
650 that influence SSWD. Additionally, *cis*-regulatory evolution can play an important role in gene  
651 expression that may be important in adaptation (Lasky et al., 2014), although our current analyses  
652 do not address this explicitly. Nonetheless, our approach highlights candidate loci that provide a  
653 common thread tying together the ecological observations reported to date. Statistically, some of  
654 these candidates may be false positives; however, the probability that any particular locus is  
655 implicated as a candidate locus purely by chance is small ( $p = \sim 10^{-4} - 10^{-7}$ ; Fisher's Exact Test,  $p =$   
656  $0.06 - p < 10^{-15}$ ) and so we consider the highlighted loci to be of considerable interest.

657 One of the most intriguing aspects of the 2013 outbreak of sea star wasting disease has  
658 been its wide zoonotic impact and yet apparently few consistent responses among species in  
659 subsequent studies (e.g., see Hewson et al. (2018) and Miner et al. (2018)). Our synthesis of prior  
660 datasets (Chandler & Wares, 2017; Fuess et al., 2015; Gudenkauf & Hewson, 2015; Schiebelhut  
661 et al., 2018) enabled by the common reference of the *P. ochraceus* genome however begins to

662 suggest some potential commonalities (Fig. 5), although we do not consider the role of complex  
663 interactions among stressors, which may manifest in unintuitive ways that do not necessarily  
664 result in shared differential expression of the same genes. However, we do find evidence linking  
665 DGE responses to temperature treatments with DGE in symptomatic (versus asymptomatic)  
666 individuals. Additionally, we find a synonymous substitution in mitochondrial *ND5* in adult and  
667 juvenile survivors that was undetected in pre-wasting populations. All sea stars exposed to  
668 increased temperature showed a decrease in *ND5* expression (Fig. 5, SI Appendix, Fig. S9)  
669 relative to stars at ambient ocean temperature. And while synonymous (Goymer, 2007) mutations  
670 in *ND5* can lead to oxidative phosphorylation disease (Blok et al., 2007), there are many  
671 polymorphisms in mtDNA, including in the control region, that could affect transcription. These  
672 results are particularly interesting as recent long-term coast-wide analyses have suggested a link  
673 between temperature and the wasting disease outbreak of 2013 (Eisenlord et al., 2016; Harvell et  
674 al., 2019; Kohl et al., 2016). Nonetheless, regional stressors may vary (Hewson et al., 2018), and  
675 whether wasting is a direct response to temperature stress or is part of a general stress response  
676 that increases disease risk, is still unknown (Miner et al., 2018). That the differentially expressed  
677 genes for temperature treated stars are distributed across all 22 chromosomes and the 6 genes that  
678 overlap with symptomatic versus asymptomatic DGE are distributed across 6 different  
679 chromosomes (Dataset S7d), does suggest that the sea star response is a general response (i.e. not  
680 attributable to a single gene or few genes), fitting its innate-only immune system. The potential  
681 conservation of genomic attributes and organismal responses may therefore indicate underlying  
682 similarities that could help explain the susceptibility of over 20 species of subtidal and intertidal  
683 asteroids to wasting (Hewson et al., 2014). To resolve this question, controlled laboratory

684 experiments will be needed, along with expansion of the comparative genomic approach to  
685 include many more asteroid species with different reported susceptibilities to wasting.

686 The temporal rapidity and geographic heterogeneity of the 2013 sea star wasting disease  
687 outbreak made it difficult to narrow down the range of factors, causes, and mechanisms involved  
688 at the time. Similar to other multi-taxon pandemics (e.g. “white nose” syndrome in bats, chytrid  
689 fungi for amphibians, morbillivirus in dolphins) SSWD was elusive in the early stages of study  
690 (Hewson et al., 2018; Miner et al., 2018), which is a concern as mass mortalities are increasing in  
691 frequency with global change in some taxa (Fey et al., 2015; Tracy et al., 2019) and require more  
692 rapid assessment. Given the central role of asteroid predators in community ecology (Gravem &  
693 Morgan, 2017; Menge, 1983; Paine, 1974), as the focal organisms in the 2013 wasting pandemic,  
694 and wasting’s intermittent recurrence (albeit with different intensity and taxonomic breadth) we  
695 desperately need to better understand risk factors. While our abilities to reconstruct potential  
696 agents from genomic signatures (De Wit et al., 2014) and infer longer-term decline of vulnerable  
697 populations with genomic tools (Bay et al., 2018) are improving, we often remain ignorant of  
698 genomic attributes that may elevate susceptibility or resilience to MMEs. Our approach—  
699 retrospectively comparing “omic” changes across taxa, using the *Pisaster* genome to arrange  
700 expression and other data associated with disease status and other phenotypes, i.e. genomic  
701 autopsy—could form a model for approaching other emerging diseases. This approach can help  
702 us understand genomic attributes that may shape—sometimes very differently, as in *Pisaster* and  
703 *Pycnopodia*—organismal, population, species, and ecosystem responses to, and in the aftermath  
704 of, marine MMEs (Burge et al., 2016; Lafferty & Hofmann, 2016).

705

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959 **Data Accessibility Statement**

960 The *Pisaster ochraceus* nuclear genome has been deposited in the Genome database of the  
961 National Center for Biotechnology Information (NCBI; BioProject PRJNA532896,  
962 SUB5448653) and the UCSC Genome browser (<http://genome.ucsc.edu>); the mitochondrial  
963 genome is deposited with the NCBI (accession MH713001). RNA-Seq reads are deposited at the  
964 NCBI sequence read archive (accession no. SRP#####) and the [GFF3 genome annotation file](#)  
965 and [commented code](#) are deposited in DRYAD (<https://doi.org/10.6071/M3ND50>).

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967 **Supplementary Information**

968 Appendix Supplementary methods, figures, and tables

969 [Dataset S1](#) *P. ochraceus* gene model BLAST and Gene Ontology results

970 [Dataset S2](#) *P. ochraceus* annotation

971 [Dataset S3](#) *P. ochraceus* immune related genes

972 [Dataset S4](#) *P. ochraceus* DGE by size – each size class versus all others

973 [Dataset S5](#) *P. ochraceus* DGE by size – smallest versus x-largest

974 [Dataset S6](#) *P. ochraceus* DGE SSWD-symptomatic versus asymptomatic

975 [Dataset S7](#) Overlap between studies of *P. ochraceus* and *P. helianthoides*

976 [Dataset S8](#) *P. ochraceus* RAD-seq SSWD-discriminant loci overlap with gene models

977 [Dataset S9](#) Probabilities a single locus is implicated in multiple analyses

978 [Dataset S10](#) Mapped read counts per library for *P. ochraceus* and *P. helianthoides* analyses

979 [Dataset S11](#) Comparison of two mapping and subsampling approaches for nuclear DNA

980 [Dataset S12](#) Enrichment scores and functional annotations from DAVID

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983 **Authors Contributions**

984 M.ND. and L.M.S. conceived and designed the study with D.V.R. and J.P.W., all implemented  
985 the research; K.J.H. annotated the genome; D.V.R. and L.M.S. performed analyses, with some  
986 assistance from J.P.W. and M.ND.; D.V.R. / L.M.S., M.ND., J.P.W., and K.J.H. wrote and edited  
987 the manuscript.

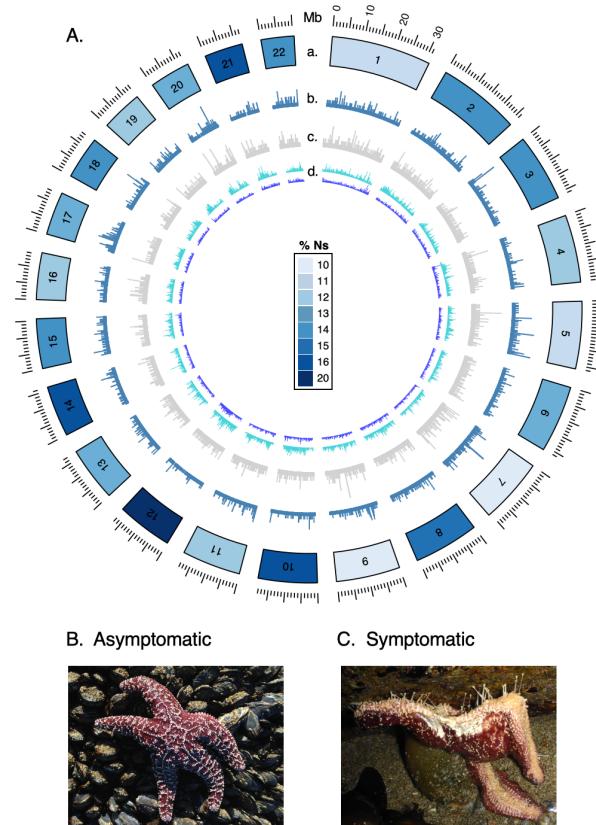
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989 The authors declare no conflict of interest.

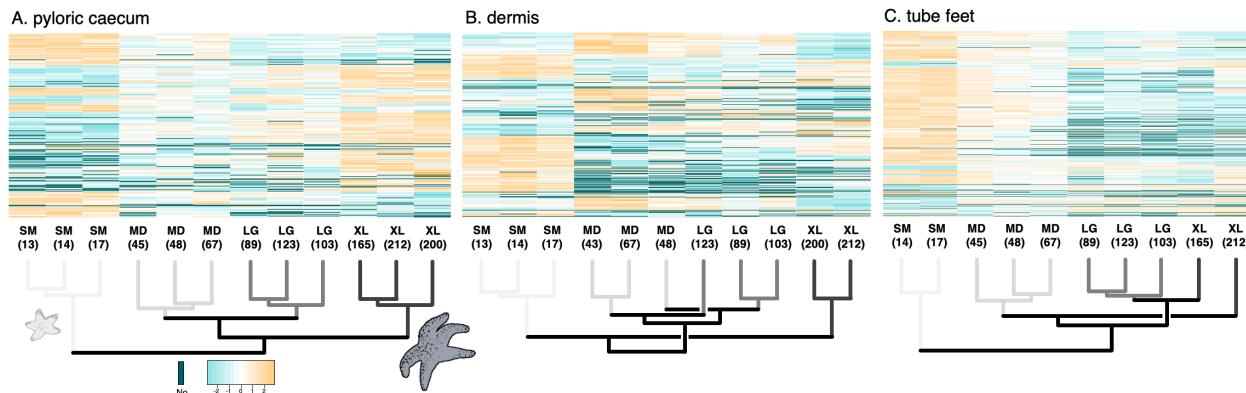
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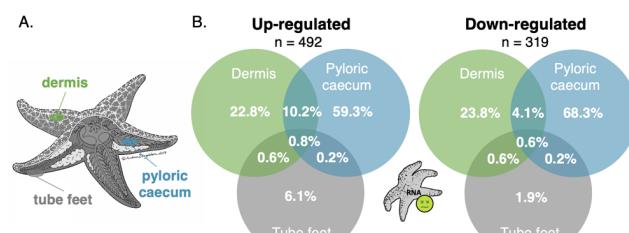
## Figures



995  
996 **Figure 1** Genomic analysis of wasting in asymptomatic and symptomatic *Pisaster ochraceus*.  
997 (A) Schematic representation of the *P. ochraceus* genome. (a) Chromosome ideogram where  
998 colors represent the percentage of the super-scaffold comprised of Ns. (b) Occurrence of  
999 annotated gene models, range 1–9, and (c) occurrence of gene models without annotations, range  
1000 1–7. (d) Frequency histogram showing the proportion of 500 nt regions within contiguous 250  
1001 KB segments in which %GC content is more extreme than 2 standard deviations from the  
1002 genome-wide mean: upper tail (outer track), range = 0–0.100; lower tail (inner track), range = 0–  
1003 0.074 (SI Appendix, Fig. S1). *Pisaster ochraceus* (B) asymptomatic and (C) symptomatic for  
1004 SSWD; note lesions, loss of turgor, and twisted arm, yet continued adhesion to surface with tube  
1005 feet.



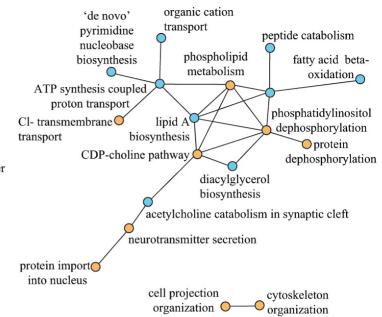
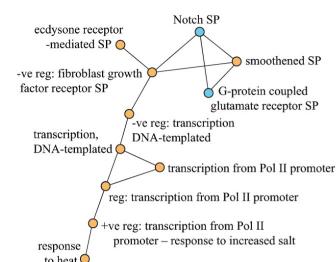
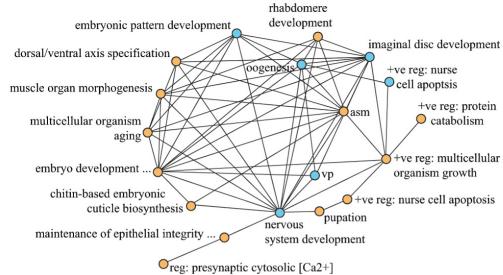
1011  
1012 **Figure 2** Differential gene expression between size-classes within tissue types. Heat maps of  
1013 genes differentially expressed (FDR < 0.01) in at least one size class—small (SM), medium  
1014 (MD), large (LG), and x-large (XL) (numbers represent radius in mm)—relative to the mean of  
1015 all other sizes, or in SM versus XL. Heatmaps generated for each tissue type separately: (A)  
1016 pyloric caecum, 261 genes, (B) dermis, 295 genes, and (C) tube feet, 414 genes. Colors represent  
1017 log-normalized gene expression converted to Z-score. Each row corresponds to a gene, dark-teal  
1018 cells correspond to NaN values. Dendrogram reflects clustering by Euclidean distance.



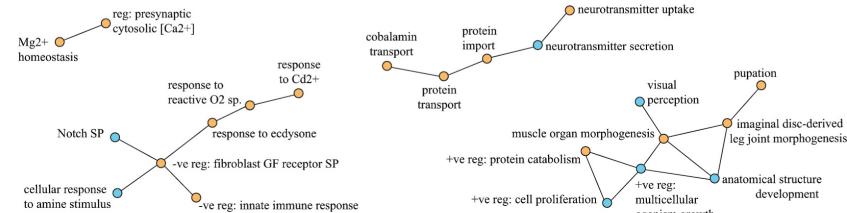
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1028 **Figure 3** Summary of all differentially expressed mitochondrial and nuclear transcripts by tissue  
1029 in comparisons of asymptomatic and symptomatic sea stars. (A) Anatomical illustration of *P.*  
1030 *ochraceus*, highlighting tissue types used in this study. (B) Venn diagrams of genes up-regulated  
1031 (left) and down-regulated (right) in symptomatic (relative to asymptomatic) ochre sea stars. n =  
1032 number of differentially expressed genes between symptomatic and asymptomatic individuals.

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#### Pyloric caecum

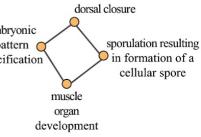


#### Dermis

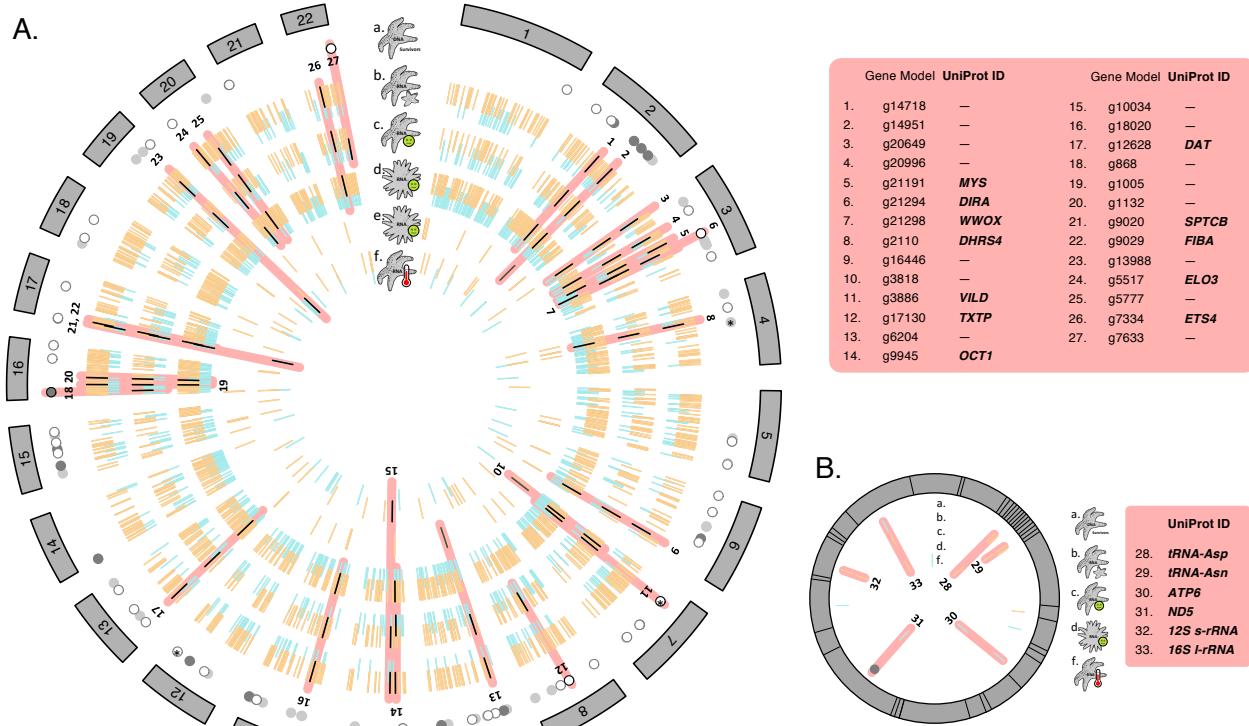


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#### Tube feet



**Figure 4** Associations among gene ontology (GO) terms for genes that are differentially expressed in asymptomatic versus symptomatic *Pisaster ochraceus*, organized by tissue type. Orange indicates an upregulated gene, blue a downregulated gene. Edges connect similar GO terms but do not imply co-regulation. GO terms may be specific to the organism in which the gene was identified and thus imply a related function in sea stars (e.g. pupation may represent metamorphosis). The network includes nuclear genes only, with links to mitochondrial function implied in several cases. Abbreviations: apoptosis = apoptotic process; asm = anatomical structure morphogenesis; GF = growth factor; Pol II = RNA polymerase II; SP = signaling pathway; vp = visual perception, +ve reg = positive regulation, -ve reg = negative regulation.



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1057 **Figure 5** Comparisons of genomic and transcriptomic studies mapped to the *Pisaster ochraceus*  
1058 nuclear and mitochondrial genomes. (A) Nuclear datasets, from the outside inwards: (a) position  
1059 of the top discriminating RAD-Seq loci in *P. ochraceus* (solid = exons, open = introns, light  
1060 shading = outside of gene model; n=99), \* indicates the three BayeScan outlier loci identified by  
1061 Schiebelhut et al. (Schiebelhut et al., 2018); (b) genes differentially expressed in smaller, relative  
1062 to larger, *P. ochraceus* (FDR < 0.01, see Fig. 2 and Dataset S7a for full description of DGE by  
1063 all size classes; (c) genes differentially expressed between symptomatic and asymptomatic *P.*  
1064 *ochraceus* (FDR < 0.01); (d) genes differentially expressed between symptomatic and  
1065 asymptomatic *P. helianthoides* in Fuess et al. (FDR < 0.01; Fuess et al. (2015)); (e) genes  
1066 differentially expressed between symptomatic and asymptomatic *P. helianthoides* in Gudenkauf  
1067 & Hewson (FDR < 0.01; Gudenkauf & Hewson (2015)); (f) genes differentially expressed  
1068 between ambient ocean temperature and elevated temperature (+3°C) in *P. ochraceus* (FDR <  
1069 0.1; Chandler & Wares (2017)). (B) Mitochondrial datasets, from the outside inwards; icons  
1070 correspond to those in panel A; DGE at FDR < 0.1. In both nuclear and mitochondrial datasets,  
1071 orange = upregulated, blue = downregulated; black marks and salmon shading highlight nuclear  
1072 loci recovered in ≥3 analyses (≥2 for mitochondria), or that overlapped between discriminant loci  
1073 from Schiebelhut et al. (Schiebelhut et al., 2018) and track c. Numbered bars correspond to  
1074 Dataset S7d.