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A necessary and sufficient condition for stability of a class of planar nonlinear systems[☆]Yunlei Zou ^{a,b,*}, Chunjiang Qian ^b, Shuaipeng He ^b^a School of Mathematical Sciences, Yangzhou University, Yangzhou, Jiangsu, 225002, PR China^b Department of Electrical and Computer Engineering, The University of Texas at San Antonio, San Antonio, TX 78249, USA

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ABSTRACT

In this paper, the stability problem of a class of planar nonlinear systems is investigated. Motivated by the Routh–Hurwitz stability criterion for planar linear systems, a necessary and sufficient condition for stability of a class of planar nonlinear systems is established. To prove the necessity, a new method called particular solution method is provided to justify instability. In addition, a sufficient condition for existence of oscillations is introduced. The necessary and sufficient condition can provide a simple way to design controllers by adjusting control parameters for some planar nonlinear systems.

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1. Introduction

In this paper, we analyze stability problem of a class of planar nonlinear systems described by

$$\begin{cases} \dot{x}_1 = c_1x_1 + c_2x_2^p, \\ \dot{x}_2 = c_3x_1^{1/p} + c_4x_2, \end{cases} \quad (1)$$

where $x = (x_1 \ x_2)^\top \in \mathbb{R}^2$ is state vector, p is a positive odd number, and $c_1, c_2, c_3, c_4 \in \mathbb{R}$ are constants. As $p = 1$, system (1) becomes a linear system $\dot{x}_1 = c_1x_1 + c_2x_2$, $\dot{x}_2 = c_3x_1 + c_4x_2$. It is well known that planar linear system $\dot{x} = Ax$ is asymptotically stable if and only if its characteristic polynomial $\det|\lambda I - A|$ is Hurwitz, that is c_1, c_2, c_3, c_4 satisfy $c_1 + c_4 < 0$ and $c_1c_4 > c_2c_3$ (Routh–Hurwitz stability criterion, Hurwitz, 1964; Routh, 1877). For the planar nonlinear system (1) with $p > 1$, a natural question is that if it has a result similar to the Routh–Hurwitz stability criterion.

Planar nonlinear systems are a kind of dynamical systems where the information propagation occurs in two independent directions (Dayawansa, Martin, & Knowles, 1990). Such systems are widely used to describe various practical and physical systems,

like circuit analysis, image processing, seismographic data transmission, multidimensional digital filtering and thermal processes, etc. (Shtessel, Shkolnikov, & Levant, 2007). Stability problem is one of the most active topics in nonlinear control theory (Hahn, 1967). It is crucial as a step in achieving many other control problems such as output regulation, optimal control, disturbance decoupling and attenuation, etc. (Khalil & Grizzle, 2002).

Over the past few decades, a great number of interesting results have been obtained for stability of nonlinear systems (Li & Wu, 2016; Li, Yang, & Song, 2019; Ooba, 2012; Sontag & Sussmann, 1980; Wu, Yang, Shi, & Su, 2015), and many techniques, such as center manifold theory (Aeyels, 1985), the idea of zero dynamics (Byrnes & Isidori, 1991), homogeneous domination approach (Qian & Lin, 2006; Zhu & Qian, 2018), etc., have been proposed. Specially, by using sum of squares (SOS) techniques, Chesi (2013) provided an exact linear matrix inequality (LMI) condition for robust asymptotic stability of uncertain systems. In Aylward, Parrilo, and Slotine (2008), the robust stability properties of uncertain nonlinear systems with polynomial or rational dynamics were analyzed with convexity-based methods. In Lacerda and Seiler (2017), a systematic procedure was presented to investigate robust stability of uncertain systems in polytopic domains. However, due to lack of constructive applied approaches (Qian & Lin, 2001), there are few references to investigate necessary and sufficient conditions for stability of nonlinear systems.

For the following planar nonlinear system with input

$$\dot{x}_1 = x_1 - x_2^3, \quad \dot{x}_2 = u, \quad (2)$$

Bacciotti (1992) showed that there is no continuously differentiable state feedback controller stabilizing system (2). Kawski

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(1989) designed a controller $u = -ax_2 + bx_1^{1/3}$ and proved that system (2) is asymptotically stable if $b > a > 1$. It is easy to see that the system (2) with $u = -ax_2 + bx_1^{1/3}$ is a special case of (1). If there exists a necessary and sufficient condition for stability of system (1), then we can easily solve stabilization problem for system (2). Thus, it is meaningful to investigate necessary and sufficient conditions for stability of system (1).

Motivated by the Routh–Hurwitz stability criterion for planar linear control, we propose a necessary and sufficient condition for stability of system (1), that is system (1) is asymptotically stable if and only if c_1, c_2, c_3 and c_4 satisfy $c_1 + c_4 < 0$ and $c_1 c_4^p > c_2 c_3^p$. We prove the necessary and sufficient condition with particular solution method and Lyapunov method. This paper is organized as follows: In Section 2, we give a number of basic concepts. The main results are presented in Section 3, where a necessary and sufficient condition for stability is given, the problem of oscillation detection is addressed, and a sufficient condition for oscillation existence is formulated. Additionally, the application of stability condition in state-feedback control design is analyzed, and three examples are given. Concluding remarks are given in Section 4.

2. Preliminaries

This section presents some fundamental theorems and some useful lemmas which will play important roles in obtaining the main results of this paper.

Theorem 1 (Lyapunov Stability Theorem, Lyapunov (1992)). *Given a nonlinear system*

$$\dot{x} = f(x), x \in \mathbb{R}^n, \quad (3)$$

where $f(x)$ is Lipschitz continuous and $f(0) = 0$. System (3) is asymptotically stable, if there exists a continuously differentiable function $V(x)$ such that $V(x)$ is positive definite and $\dot{V}(x) := \frac{\partial V(x)}{\partial x} f(x) < 0$ for all $x \neq 0$.

Theorem 2 (LaSalle's Invariance Theorem, LaSalle (1960)). *The nonlinear system (3) is asymptotically stable, if there exists a continuously differentiable function $V(x)$ such that $V(x)$ is positive definite, $\dot{V}(x) := \frac{\partial V(x)}{\partial x} f(x) \leq 0$, and the only solution of $\dot{x} = f(x)$ and $\dot{V}(x) = 0$ is $x = 0$.*

Theorem 3 (Chetaev Instability Theorem, Chetaev (1961)). *The $x = 0$ is an unstable equilibrium point of (3), if there exists a continuously differentiable function $V(x)$ such that: (i) the origin is a boundary point of the set $G = \{x \in \mathbb{R}^n | V(x) > 0\}$, (ii) there exists a neighborhood U of the $x = 0$ such that $\dot{V}(x) > 0$ for any $x \in U \cap G$.*

Lemma 4 (Du, Qian, Li, & Chu, 2019, Qian, 2002). *For any positive integers m, n and real-valued function $\gamma(x, y) > 0$, the inequality $|x^m y^n| \leq \frac{m}{m+n} \gamma(x, y) |x|^{m+n} + \frac{n}{m+n} \gamma(x, y) |y|^{m+n}$ holds.*

Lemma 5. *Consider a continuously differentiable function*

$$V(x) = \frac{p}{p+1} x_1^{\frac{p+1}{p}} + ax_1 x_2 + \frac{b}{p+1} x_2^{p+1}, \quad (4)$$

where $x = (x_1 \ x_2)^\top \in \mathbb{R}^2$, $b > 0$ and p is a positive odd number. If $a^{p+1} < b$, then $V(x)$ is positive definite.

Proof. Since $a^{p+1} < b$, $\varepsilon := 1 - \frac{|a|}{b^{1/(p+1)}}$ is a positive number satisfying $\varepsilon \in (0, 1)$. Noting $|a| = b^{1/(p+1)}(1 - \varepsilon)$, it follows from Lemma 4 that

$$\begin{aligned} |ax_1 x_2| &= \left| \left(x_1^{1/p} (1 - \varepsilon)^{1/(p+1)} \right)^p \left((b(1 - \varepsilon))^{1/(p+1)} x_2 \right) \right| \\ &\leq \frac{p}{p+1} |x_1^{1/p}|^{p+1} (1 - \varepsilon) + \frac{1}{p+1} |x_2|^{p+1} b(1 - \varepsilon). \end{aligned}$$

Since p is a positive odd number, the above inequality yields

$$ax_1 x_2 \geq -\frac{p(1 - \varepsilon)^{\frac{p+1}{p}}}{p+1} x_1^{\frac{p+1}{p}} - \frac{b(1 - \varepsilon)^{\frac{p+1}{p}}}{p+1} x_2^{\frac{p+1}{p}}. \quad (5)$$

Substituting (5) into (4), we have

$$\begin{aligned} V(x) &\geq \frac{p}{p+1} x_1^{\frac{p+1}{p}} - \frac{p(1 - \varepsilon)^{\frac{p+1}{p}}}{p+1} x_1^{\frac{p+1}{p}} - \frac{b(1 - \varepsilon)^{\frac{p+1}{p}}}{p+1} x_2^{\frac{p+1}{p}} + \frac{b}{p+1} x_2^{p+1} \\ &= \frac{p\varepsilon^{\frac{p+1}{p}}}{p+1} x_1^{\frac{p+1}{p}} + \frac{b\varepsilon}{p+1} x_2^{\frac{p+1}{p}}, \end{aligned}$$

which implies that $V(x) > 0$ for all $x \neq 0$. Therefore, $V(x)$ is positive definite. \square

Lemma 6. *The nonlinear system (1) has a particular solution if there is a solution to $f(\lambda) = 0$ where*

$$f(\lambda) = \left(\frac{\lambda}{p} - c_4 \right)^p (\lambda - c_1) - c_2 c_3^p. \quad (6)$$

If $f(\lambda) = 0$ has non-negative solutions, there must exist a particular solution to system (1) which is not asymptotically stable.

Proof. When $(c_2, c_3) = (0, 0)$, it is clear that (6) has solutions $\lambda_1 = c_1$ and $\lambda_2 = c_4 p$, and system (1) has a particular solution described as $x_1(t) = e^{c_1 t} x_1(0)$, $x_2(t) = e^{c_4 t} x_2(0)$. In addition, if one of λ_1 or λ_2 is non-negative, the above solution is not asymptotically stable.

When $(c_2, c_3) \neq (0, 0)$, without loss of generality, we assume $c_2 \neq 0$. Assume λ^* is a solution to $f(\lambda) = 0$. Then (1) has the following explicit solution

$$\begin{cases} x_1(t) = e^{\lambda^* t} x_1(0) \\ x_2(t) = \left(\frac{\lambda^* - c_1}{c_2} \right)^{1/p} e^{\frac{\lambda^* t}{p}} x_1^{1/p}(0) \end{cases} \quad (7)$$

for any $x_1(0)$. First, it can be verified that (7) satisfies $\dot{x}_1 = \lambda^* e^{\lambda^* t} x_1(0) = c_1 x_1 + c_2 x_2^p$, which means that (7) satisfies the first equation of (1). By $f(\lambda^*) = 0$, i.e., $\left(\frac{\lambda^*}{p} - c_4 \right)^p (\lambda^* - c_1) - c_2 c_3^p = 0$, we have

$$\left(\frac{\lambda^*}{p} - c_4 \right) \left(\frac{\lambda^* - c_1}{c_2} \right)^{1/p} - c_3 = 0. \quad (8)$$

It follows from (7) and (8) that

$$\begin{aligned} \dot{x}_2 &= \frac{\lambda^*}{p} \left(\frac{\lambda^* - c_1}{c_2} \right)^{1/p} e^{\frac{\lambda^* t}{p}} x_1^{1/p}(0) \\ &= \left(c_4 \left(\frac{\lambda^* - c_1}{c_2} \right)^{1/p} + c_3 \right) e^{\frac{\lambda^* t}{p}} x_1^{1/p}(0) \\ &= c_3 \left(e^{\lambda^* t} x_1(0) \right)^{1/p} + c_4 \left(\frac{\lambda^* - c_1}{c_2} \right)^{1/p} e^{\frac{\lambda^* t}{p}} x_1^{1/p}(0) \\ &= c_3 x_1^{1/p} + c_4 x_2, \end{aligned}$$

which means that (7) also satisfies the second equation of (1). Therefore, (7) is a particular solution of (1). In addition, if λ^* is non-negative, by the construction the solution (7) is not asymptotically stable. \square

Remark 7. If (6) is replaced by

$$f(\lambda) = (\lambda - c_4)^p (p\lambda - c_1) - c_2 c_3^p, \quad (9)$$

the result presented in Lemma 6 also holds. Thus, we can also use the solutions of (9) to solve particular solutions for system (1).

It is clear that system (1) becomes a planar linear system when $p = 1$, and (6) is its characteristic polynomial. Here, we call (6) p -order characteristic polynomial of the planar nonlinear system

(1). **Lemma 6** implies that we can construct particular solutions for system (1) based on the solutions of p -order characteristic polynomial, and then justify instability of system (1).

3. Main results

In this section, we shall give the main results of this paper. We first analyze a necessary and sufficient condition for stability of system (1).

Theorem 8. *The nonlinear system (1) is asymptotically stable if and only if c_1, c_2, c_3 and c_4 satisfy $c_1 + c_4 < 0$ and $c_1 c_4^p > c_2 c_3^p$.*

Proof. (sufficiency) The sufficient proof is broken into two cases.

Case 1: $c_1 c_4 \geq 0$. Since $c_1 + c_4 < 0$, then $c_1 \leq 0, c_4 \leq 0$ and $(c_1, c_4) \neq (0, 0)$. Without loss of generality, assume $c_4 < 0$.

Choose the Lyapunov function $V(x) = \frac{p}{p+1} x_1^{\frac{p+1}{p}} + \frac{b}{p+1} x_2^{\frac{p+1}{p}}$, $b > 0$, which is positive definite. The time derivative of $V(x)$ along with the trajectory of (1) is

$$\begin{aligned} \dot{V}(x) &= c_1 x_1^{\frac{p+1}{p}} + (c_2 + b c_3) x_1^{1/p} x_2^p + b c_4 x_2^{\frac{p+1}{p}} \\ &= \frac{(p+1) b c_4}{p} \left(\frac{p x_2^{\frac{p+1}{p}}}{p+1} + \frac{p(c_2 + b c_3)}{(p+1) b c_4} x_2^p x_1^{1/p} + \frac{p c_1 x_1^{\frac{p+1}{p}}}{(p+1) b c_4} \right). \end{aligned}$$

If $c_2 c_3 = 0$, we can choose b such that $\left(\frac{p(c_2 + b c_3)}{(p+1) b c_4} \right)^{p+1} < \frac{p c_1}{b c_4}$ due to $c_1 c_4^p > c_2 c_3^p = 0$. If $c_2 c_3 > 0$, set $b = p c_2 / c_3$, then $\left(\frac{p(c_2 + b c_3)}{(p+1) b c_4} \right)^{p+1} = \left(\frac{c_3}{c_4} \right)^{p+1} < \frac{c_1 c_3}{c_2 c_4} = \frac{p c_1}{b c_4}$ since $c_1 c_4^p > c_2 c_3^p > 0$. By **Lemma 5**, we have $\dot{V}(x) < 0$. By **Theorem 1**, system (1) is asymptotically stable.

If $c_2 c_3 < 0$, set $b = -p c_2 / c_3$, then $\dot{V}(x) = c_1 x_1^{\frac{p+1}{p}} - \frac{c_2 c_4}{c_3} x_2^{\frac{p+1}{p}}$. Since $c_1 \leq 0$ and $c_4 < 0$, then $\dot{V}(x) \leq 0$. By **Theorem 2**, system (1) is asymptotically stable.

Case 2: $c_1 c_4 < 0$. Since $c_2 c_3^p < c_1 c_4^p < 0$, then $c_2 c_3 < 0$. Denote $a = \frac{(p+1) c_1 c_4}{c_3 (p c_1 - c_4)}$ and $b = -\frac{c_2}{c_3}$. Consider the Lyapunov function

$$V(x) = \frac{p}{p+1} x_1^{\frac{p+1}{p}} + a x_1 x_2 + \frac{b}{p+1} x_2^{\frac{p+1}{p}}.$$

By **Lemma 5**, $V(x)$ is positive definite. The time derivative of $V(x)$ along with the trajectory of (1) is

$$\begin{aligned} \dot{V}(x) &= (c_1 + a c_3) x_1^{\frac{p+1}{p}} + (c_1 + c_4) a x_1 x_2 + (c_2 a + c_4 b) x_2^{\frac{p+1}{p}} \\ &= \frac{c_1 (c_1 + c_4) (p+1)}{p c_1 - c_4} \left(\frac{p x_1^{\frac{p+1}{p}}}{p+1} + \frac{c_4 x_1 x_2}{c_3} + \frac{c_4 c_2 x_2^{\frac{p+1}{p}}}{c_1 c_3 (p+1)} \right). \end{aligned}$$

Since $\left(\frac{c_4}{c_3} \right)^{p+1} < \frac{c_2 c_4}{c_1 c_3}$, by **Lemma 5**, we have $\dot{V}(x) < 0$. By Lyapunov Stability **Theorem 1**, system (1) is asymptotically stable.

(Necessity) We just need to prove that when $c_1 + c_4 \geq 0$ or $c_1 c_4^p \leq c_2 c_3^p$, system (1) is not asymptotically stable. This part is mainly based on **Lemma 6** and is broken into three cases.

Case 1. $c_1 c_4^p \leq c_2 c_3^p$. By (6), we have $f(0) = c_1 c_4^p - c_2 c_3^p \leq 0$. Since $f(\lambda)$ is continuous and tends to positive infinity as λ goes to positive infinity, $f(\lambda) = 0$ must have a non-negative solution. By **Lemma 6**, system (1) has a particular solution which is not asymptotically stable, that is the planar system (1) is not asymptotically stable.

Case 2. $c_1 + c_4 \geq 0$ and $c_2 c_3^p \geq 0$. By (6), we have

$$f'(\lambda) = \left(\frac{\lambda}{p} - c_4 \right)^{p-1} \left(\left(1 + \frac{1}{p} \right) \lambda - (c_1 + c_4) \right),$$

which implies $f(\lambda)$ has one extreme point $\lambda = \frac{p(c_1 + c_4)}{p+1}$. Substituting the extreme point into (6) yields

$$f\left(\frac{p(c_1 + c_4)}{p+1}\right) = -\left(\frac{c_1 - p c_4}{p+1}\right)^{p+1} - c_2 c_3^p \leq 0.$$

Since $c_1 + c_4 \geq 0, f(\lambda) = 0$ must have a non-negative solution. By **Lemma 6**, the planar system (1) is not asymptotically stable. **Case 3.** $c_1 + c_4 \geq 0$ and $c_2 c_3^p < 0$. Since (1) is not asymptotically stable when $c_1 c_4 \leq c_2 c_3$, we assume $c_1 c_4^p > c_2 c_3^p$. Choose the Lyapunov function

$$V(x) = \frac{p}{p+1} x_1^{\frac{p+1}{p}} - a x_1 x_2 + \frac{b}{p+1} x_2^{\frac{p+1}{p}}. \quad (10)$$

The time derivative of $V(x)$ is

$$\begin{aligned} \dot{V}(x) &= (c_1 - a c_3) x_1^{\frac{p+1}{p}} - (c_1 + c_4) a x_1 x_2 + (c_4 b - c_2 a) x_2^{\frac{p+1}{p}} \\ &\quad + (c_2 + b c_3) x_1^{1/p} x_2^p. \end{aligned}$$

Set $a = \frac{c_1 - p c_4}{(p+1) c_3}$ and $b = -\frac{c_2}{c_3} > 0$, then $c_1 - a c_3 = \frac{(c_1 + c_4)p}{p+1}$ and $c_4 b - c_2 a = \frac{(c_1 + c_4)b}{p+1}$. Thus $\dot{V}(x) = (c_1 + c_4)V(x)$. If $c_1 + c_4 > 0$, then $\dot{V}(x) > 0$ when $V(x) > 0$. By **Theorem 3**, system (1) is unstable. If $c_1 + c_4 = 0$, then $\dot{V}(x) = 0$ and $V(x) > 0$ due to $a^{p+1} = -\frac{c_1 c_4^p}{c_3^{p+1}} < -\frac{c_2}{c_3} = b$. By **Theorem 2**, system (1) is not asymptotically stable. \square

The necessary proof of **Theorem 8** implies that we can use particular solutions of system (1) to justify instability. We call this method particular solution method. This method can be used to simply and intuitively determine instability of some systems. For example, we can use the particular solution method to show that the following system

$$\dot{x}_1 = c_1 x_1^3 + c_2 x_2^3, \quad \dot{x}_2 = c_3 x_1^3 + c_4 x_2^3 c_1 \quad (11)$$

is not asymptotically stable when $c_1 c_4 \leq c_2 c_3$. System (11) is a general form of $\dot{x}_1 = -0.1 x_1^3 + 2 x_2^3, \dot{x}_2 = -2 x_1^3 - 0.1 x_2^3$, which was studied in the recent works (Chen, Rubanova, Bettencourt, & Duvenaud, 2018, <https://github.com/rtqichen/torchdiffeq/tree/master/exam-ples>) on neural ODE.

The work (Efimov & Perruquetti, 2010) proposed that there existed an invariant set between unstable subset and stable subset which contained oscillating trajectory. **Theorem 8** implies that, under the condition $c_1 c_4^p > c_2 c_3^p$, system (1) is unstable when $c_1 + c_4 > 0$ and it is asymptotically stable when $c_1 + c_4 < 0$. For the case of $c_1 c_4^p > c_2 c_3^p$ and $c_1 + c_4 = 0$, we have $\dot{V}(x) = 0$ and $V(x) > 0$ where $V(x)$ is defined in (10). Hence, we have the following result.

Proposition 9. *The solution of the planar system (1) is an oscillation if $c_1 c_4^p > c_2 c_3^p$ and $c_1 + c_4 = 0$.*

Oscillations are very common phenomenon in practice. Yakubovich and Tomberg (1989) introduced an important and useful concept to study oscillations. The proposed conditions for oscillations in the sense of Yakubovich are based on existence of two Lyapunov functions (Efimov & Fradkov, 2009). The first Lyapunov function ensures local instability of the origin, while the second Lyapunov function provides global boundedness for system trajectories. Here, based on the particular solution method and Lyapunov method, a sufficient condition for oscillation existence of system (1) is formulated.

In what follows, we demonstrate the application of stability condition in state-feedback control design. Consider a class of planar nonlinear systems described by

$$\begin{cases} \dot{x}_1 = c_1 x_1 + c_2 x_2^p, \\ \dot{x}_2 = u, \end{cases} \quad (12)$$

where $x = (x_1 \ x_2)^\top \in \mathbb{R}^2$ is state vector, p is a positive odd number, and $c_1, c_2 \in \mathbb{R}$ are constants. The stabilization problem of system (12) was first studied by Kawski (1989). Here, we have the following result, which can be directly derived from **Theorem 8** and **Proposition 9**.

Theorem 10. The controller $u = k_1 x_1^{1/p} + k_2 x_2$, where k_1 and k_2 satisfy $c_1 + k_2 < 0$ and $c_1 k_2^p > c_2 k_1^p$, globally stabilizes system (12). Moreover, if k_1 and k_2 satisfy $c_1 k_2^p > c_2 k_1^p$ and $c_1 + k_2 = 0$, the solution of system (12) is an oscillation.

Next, we give three examples to illustrate the usefulness of Theorem 10.

Example 11. Consider the system

$$\begin{cases} \dot{x}_1 = \theta_1 x_1 + \theta_2 x_2^3, \\ \dot{x}_2 = u, \end{cases} \quad (13)$$

where θ_1, θ_2 are unknown positive parameters with known bounds. Assume $a_1 \leq \theta_1 \leq b_1$, $a_2 \leq \theta_2 \leq b_2$, where a_1, b_1, a_2 and b_2 are known constants. By Theorem 10, we can design a controller as follows

$$u = -k_1 x_1^{1/3} - k_2 x_2, \quad (14)$$

where the control gains k_1 and k_2 satisfy $\theta_1 - k_2 < 0$ and $\theta_1(-k_2)^3 > \theta_2(-k_1)^3$, that is $k_2 > \theta_1$ and $k_1 > (\theta_1 k_2^3 / \theta_2)^{1/3}$. The bound conditions of θ_1 and θ_2 yield $\max(\theta_1) = b_1$ and $\max\left(\left(\frac{\theta_1 k_2^3}{\theta_2}\right)^{1/3}\right) = \left(\frac{b_1 k_2^3}{a_2}\right)^{1/3}$. Let

$$k_2 > b_1, \quad k_1 > \left(\frac{b_1 k_2^3}{a_2}\right)^{1/3}. \quad (15)$$

The controller (14) with k_1 and k_2 satisfying (15) stabilizes system (13).

Example 12. Reconsider the stabilization problem of system (2). By Theorem 10, we can design a controller $u = -ax_2 + bx_1^{1/3}$ with a and b satisfy $1-a < 0$, $(-a)^3 > -b^3$, that is $b > a > 1$, which is in accord with the result given in Kawski (1989). Specifically, system (2) with $u = -ax_2 + bx_1^{1/3}$ is an oscillator when $a = 1$ and $b > 1$. For instance, when $a = 3/2$ and $b = 2$, the trajectory of the system (2) with $u = -ax_2 + bx_1^{1/3}$ is graphed in Fig. 1. When $a = 1$ and $b = 2$, the solution of the system (2) with $u = -ax_2 + bx_1^{1/3}$ is oscillating, which is shown in Fig. 2.

Example 13. Consider a second-order dynamic model of a reduced-order boiler system in thermal power plants (Su, Qian, & Shen, 2016), described by

$$\begin{cases} \dot{x}_1 = \text{sign}(x_2)|x_2|^{1.031}, \\ \dot{x}_2 = x_2 + f(u). \end{cases} \quad (16)$$

By Theorem 10, we design controllers as follows

$$f(u) = -k_1 \text{sign}(x_1)|x_1|^{1/1.031} - k_2 x_2. \quad (17)$$

Substituting (17) into (16), we have

$$\begin{cases} \dot{x}_1 = \text{sign}(x_2)|x_2|^{1.031}, \\ \dot{x}_2 = -k_1 \text{sign}(x_1)|x_1|^{1/1.031} - (k_2 - 1)x_2. \end{cases} \quad (18)$$

By Theorem 8, system (18) is stable when $k_2 - 1 > 0$ and $k_1 > 0$, that is $k_1 > 0$ and $k_2 > 1$. For instance, as $k_1 = 1$ and $k_2 = 2$, the nonlinear system (18) is asymptotically stable and the solution is graphed in Fig. 3.

4. Conclusion

In this paper, we study stability problem for a class of planar nonlinear systems. A necessary and sufficient condition for stability is put forward. A new method called particular solution method is developed to justify instability. It is worth pointing out that the particular solution method proposed in this paper can also be exploited to justify instability of more general planar

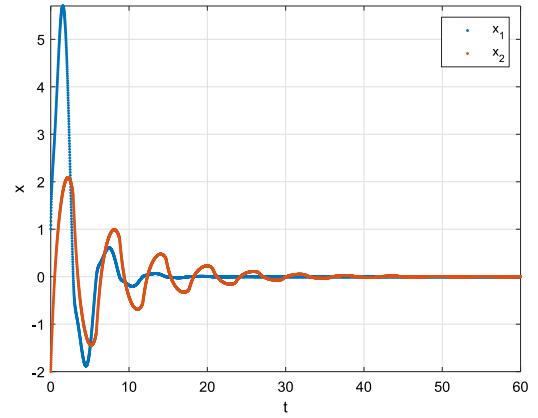


Fig. 1. Trajectory of the system (2) with $u = -\frac{3}{2}x_2 + 2x_1^{1/3}$ and $x(0) = (1 - 2)^\top$.

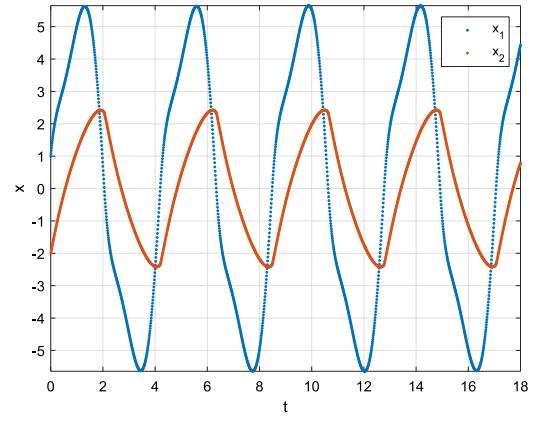


Fig. 2. Oscillating solution for the system (2) with $u = -x_2 + 2x_1^{1/3}$ and $x(0) = (1 - 2)^\top$.

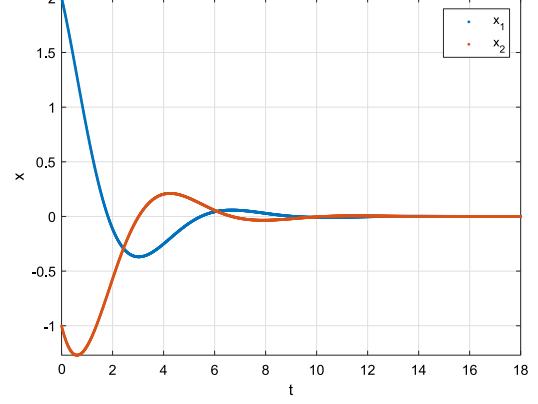


Fig. 3. Solution to the system (18) with $(k_1, k_2) = (1, 2)$ and $x(0) = (2 - 1)^\top$.

nonlinear systems. In addition, the analysis method for stability of the planar nonlinear system (1) can be used to study stability of high order nonlinear systems. The necessary and sufficient condition for stability of high order nonlinear systems is currently under investigation.

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