

1 **Top-down and bottom-up controls on microeukaryotic diversity (i.e. amplicon analyses of  
2 SAR lineages) and function (i.e. metatranscriptome analyses) assessed in microcosm  
3 experiments.**

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21 **Running title:** microeukaryotic diversity and function

23 **Keywords:** protists, phytoplankton bloom, copepods, gene expression, nanoplankton,  
24 microplankton.

26 **Abstract**

27 The availability of high-throughput sequencing (HTS) has transformed our understanding of the  
28 diversity of microbial eukaryotes (i.e. protists) across diverse habitats. Yet relating this  
29 biodiversity to function remains a challenge, particularly in the context of microbial food webs.  
30 Here we perform a set of microcosm experiments to evaluate the impact of changing predator  
31 and prey concentrations on a marine protist community, focusing on SAR (Stramenopila,  
32 Alveolata, and Rhizaria) lineages. We combine an estimate of taxonomic diversity through  
33 analysis of SSU-rDNA amplicons with metatranscriptomics, a proxy for function. We assess  
34 changes in a community sampled from New England waters with varying concentrations of  
35 predators (copepods) and prey (phytoplankton less than 15 $\mu$ m in size). The greatest impact  
36 observed is on the diversity and function of the small plankton (2-10 $\mu$ m) community in the  
37 presence of high prey abundance (i.e. bloom conditions). Many SAR taxa in the nanosized  
38 fraction decrease with increasing phytoplankton abundance, while ciliates (from both nano- and  
39 microsized fraction) increase. A large number of transcripts and function estimates in the  
40 nanoplankton are downregulated during our simulated phytoplankton bloom. We also find  
41 evidence of an interaction between increasing phytoplankton and copepods on the microsized  
42 planktonic community, consistent with the hypothesis that phytoplankton and copepods exert  
43 bottom-up control and top-down control on the microsized protists, respectively. Together our  
44 analyses suggest community function (i.e. diversity of gene families) remains relatively stable,  
45 while the function at the species level (i.e. transcript diversity within gene families) show a  
46 substantial reduction of function under bloom conditions. Our study demonstrated that  
47 interactions within plankton food webs are complex, and that the relationships between diversity  
48 and function for marine microeukaryotes remain poorly understood.

49  
50 **Contribution to the field**

51 The number of publications on plankton diversity has increased dramatically over the last  
52 decade, but gaps remain in our understanding of why there is such great diversity, and how it  
53 relates planktonic food webs. Our study analyzes community turnover within the eukaryotic  
54 microbial communities in response to changing food resources (phytoplankton) and predators  
55 (copepods). Using microcosms to assess the impact of these trophic pressures on ciliates and  
56 other microbial eukaryotes, we characterize biodiversity through amplicon high-throughput  
57 sequencing of SAR (Stramenopila, Alveolata, and Rhizaria) clade and function through  
58 metatranscriptomics. One of the main results of our study is the surprising and strong impact of  
59 simulated phytoplankton bloom on nanosize (2-10 $\mu$ m) eukaryotes, and the absence of substantial  
60 change among microplankton (10-80 $\mu$ m). Our analyses also reveal a reduction of expression and  
61 diversity of cytoskeletal genes for nanosize eukaryotes and an increase of photosynthesis with  
62 high predation pressure. We also believe that the methods we developed will be of interest to  
63 community ecologists as well as evolutionary biologists.

## 1 Introduction

65 Microbes dominate biodiversity and are responsible for key ecosystem functions. They  
66 can function as heterotrophs, autotrophs or mixotrophs, and they can be free-living, parasitic  
67 (detrimental for host) or symbiotic (beneficial for host). Thus, microbes have critical roles for  
68 other organisms including humans (e.g. microbiome including gut flora, human health), other  
69 animals (e.g. coral), and plants (e.g. mycorrhiza). While we know that microbes are important,  
70 we still have only limited knowledge about eukaryotic microbes and their functions (e.g., what  
71 are the main factors driving their diversity). This contrasts to the many tools that have been  
72 developed and used to explore the diversity and function of bacteria. Here, we use some of these  
73 tools, including metatranscriptomics, and amplicon analyses, to look at the marine planktonic  
74 food web.

75 In marine food webs, the importance of microbes for transfer of energy from bacteria to  
76 higher trophic levels is well-established (reviewed in Azam et al., 1983; Edgcomb, 2016;  
77 Fenchel, 1988; Menden-Deuer and Kiørboe, 2016; Worden et al., 2015). Many recent studies  
78 have characterized the marine plankton diversity on a large scale (e.g. Tara Ocean for plankton  
79 in the photic zone and Malaspina for the aphotic zone and others; de Vargas et al., 2015; Irigoien  
80 et al., 2004; Pernice et al., 2016) and show a geographical distribution related to oceanic basins.  
81 Other studies have looked at patterns on a smaller scale (from meter to kilometer; e.g.  
82 Grattepanche et al., 2014, 2016b, 2016a; Mousing et al., 2016) and find that distance alone does  
83 not explain the patterns. One of the likely reasons for the mismatch is because these studies  
84 assess different processes: studies at smaller scales tend to be more directly impacted by species-  
85 specific interactions and functions expressed by each member of the community, while the larger  
86 scale studies are designed to look at overall ecosystem processes such as the impact of climate  
87 change.

88 Other studies have looked at species-specific relationships between prey and predators,  
89 such as between microzooplankton and phytoplankton or between copepods and  
90 microzooplankton. These studies show that microzooplankton such as ciliates and dinoflagellates  
91 are efficient grazers of phytoplankton of small size (<10  $\mu\text{m}$  for ciliates and <100  $\mu\text{m}$  for  
92 dinoflagellates; e.g. Calbet, 2008; Grattepanche et al., 2011b; Martínez et al., 2017; Schoener  
93 and McManus, 2017). Microzooplankton have been described as a better food source for  
94 copepods than phytoplankton (Berk et al., 1977; Calbet and Saiz, 2005). The fact that  
95 microzooplankton are strongly controlled by copepods (and other mesozooplankton) is a possible  
96 explanations for phytoplankton spring blooms as preferential grazing of microzooplankton by  
97 copepods may allow dramatic increase in phytoplankton abundance (e.g. dilution-recoupling  
98 hypothesis; Behrenfeld, 2010; Irigoien et al., 2005; Kuhn et al., 2015).

99 The impact of phytoplankton on microheterotrophs and other parts of the community has  
100 been studied particularly during bloom events (Grattepanche et al., 2011a; Monchy et al., 2012;  
101 Rosetta and McManus, 2003). Based on these analyses, microheterotrophs are assumed to be the  
102 primary consumer of phytoplankton of small size, with bacteria playing a negligible role (García-  
103 Martín et al., 2017). While still in discussion, the phytoplankton spring blooms have been  
104 assumed to be linked to an excess in nutrients at the end of the winter (remineralization by  
105 bacteria and upwelling), combined with a lack of control by predators including heterotrophic  
106 protists and higher trophic levels. On the other hand, microheterotrophs are efficient grazers  
107 when blooms start to decline (Archer et al., 2000; Laws et al., 1988). As such, questions remain  
108 concerning how the community and function change in relation to increasing phytoplankton  
109 abundance.

110 Metatranscriptomics has mainly been deployed to study bacterial functions such as the  
111 microbiomes of organisms as diverse as humans (Franzosa et al., 2014) and termites (Tartar et  
112 al., 2009), or in marine systems (Gifford et al., 2011) and in response to environmental changes  
113 such as oil spills (Mason et al., 2012; Rivers et al., 2013). The studies looking at eukaryotic  
114 metatranscriptome are rare. One reason is the paucity of databases of eukaryotic gene functions;  
115 current databases contain mainly bacterial functions or functions related to diseases, which result  
116 in the majority of the environmental eukaryotic transcripts not being annotated (sometimes more  
117 than 80% of the transcripts cannot be annotated; Cooper et al., 2014; Damon et al., 2012;  
118 Lesniewski et al., 2012). To study eukaryotic metatranscriptomes, given the paucity in available  
119 microbial eukaryote genomes (reviewed in del Campo et al., 2014), authors have focused on  
120 clades with reference genomes such as diatoms (Alexander et al., 2015) or fungi (Bailly et al.,  
121 2007).

122 Here, we use a microcosm approach and high-throughput molecular tools, combining  
123 amplicon and metatranscriptomic analyses, to assess the impact of phytoplankton (prey) and  
124 copepods (predators) on the rest of the planktonic community. To study protist diversity, we use  
125 primers designed to amplify the SAR (Stramenopila, Alveolata, Rhizaria; Grattepanche et al.,  
126 2018; Sisson et al., 2018) community as this includes many major marine clades such as  
127 dinoflagellates, diatoms, and ciliates. We added phytoplankton (i.e. prey) at three concentrations  
128 ( $5 \times 10^2$ ,  $5 \times 10^3$  and  $5 \times 10^4$  cell. mL<sup>-1</sup>, which mimics marine bloom conditions) and copepods (i.e.  
129 predators) at two abundances (5 and 10 copepod. L<sup>-1</sup>). We predict that the microsized  
130 heterotrophic plankton (microheterotrophs thereafter) will consume added phytoplankton,  
131 resulting an increase of their contribution, and that copepods will regulate this increase. One part  
132 of the community generally ignored in the phytoplankton-microheterotrophs-copepods link are the  
133 nanosized plankton. We therefore specifically address the impact on small plankton (2-10 $\mu$ m)  
134 and expect (1) strong competition as we add phytoplankton and (2) absence of impact of  
135 copepods as nanosized plankton are too small to be captured.

## 136 2 Materials and methods

### 137 2.1 Starting materials: community, phytoplankton, copepods

138 The experiments conducted here used a community sample of microbes sampled in the open  
139 water of the Long Island sound in front of University of Connecticut's Avery Point campus  
140 (41.30°N, 72.06°W) on 15 March 2016. We collected *in situ* water in four 20L carboys, filtering  
141 first through an 80 $\mu$ m mesh to remove predators and other large organisms, enabling us to focus  
142 on the protist community.

143 To perform our microcosm experiment, we used four cultures: three phytoplankton (prey)  
144 cultures *Tetraselmis chui*, *Isochrysis galbana*, and *Phaeodactylum tricornutum*; and a culture of  
145 *Acartia tonsa* (copepod, predators). The species of phytoplankton used are common in  
146 aquaculture application, represented various size, shape, pigment composition and lineages, and  
147 has been used successfully in the past (Grattepanche et al., 2019; McManus et al., 2004, 2012)..  
148 All phytoplankton were from the culture collection of the National Marine Fisheries Service  
149 Laboratory in Milford CT (USA) and were grown in F/2 medium prior to experiments. The  
150 copepods were picked from cultures maintained at the University of Connecticut Department of  
151 Marine Sciences. In order to achieve the final concentration of phytoplankton for our microcosm  
152 experiment, we concentrated the combined phytoplankton by centrifugation at 3,9g to create a

153 stock concentration of  $5 \times 10^7$  cells.  $\text{mL}^{-1}$  with the three algae at the same order of magnitude (*P. tricornutum* was added at 1/5 of the concentration of the two other species).

## 155 2.2 Experimental set-up

156 We used dialysis tubing to perform our microcosm experiment and incubated samples in a sea  
157 table with circulating water from collections sites to minimize impact of isolation, following the  
158 approach of Grattepanche et al. (2019). The dialysis tubing is composed of a cellulose membrane  
159 that is pervious to molecules  $<12,000$  molecular weight (product D9402, Sigma), allowing for  
160 exchange of nutrients during incubation in sea tables. Each microcosm (tied-off dialysis tubing)  
161 contained one liter and was floated in a sea table with continuous *in situ* seawater circulation as  
162 recommended by Capriulo (1982).

163 To test impact of varying levels of predators and food resources we pooled our four water  
164 samples together, and then divided back into four carboys of 20L to which we added: 1) nothing  
165 (control; p0), phytoplankton at 2)  $5 \times 10^2$  cell.  $\text{mL}^{-1}$  (p1), 3)  $5 \times 10^3$  cell.  $\text{mL}^{-1}$  (p2), and 4)  $5 \times 10^4$   
166 cell.  $\text{mL}^{-1}$  (p3) in final concentration. For each of the carboys, we filled 9 microcosms with 1L of  
167 the seawater with (or without) phytoplankton added. For each of the phytoplankton abundance  
168 conditions, including controls, we added zero (z0), 5 (z1) or 10 copepods (z2) to triplicate  
169 microcosm for each treatment (3 sets of dialysis tubing per phytoplankton/zooplankton  
170 combination). Based on insights from a preliminary study (Grattepanche et al., 2019), the  
171 microcosms were incubated for 3 days and then the total contents of each bag were collected for  
172 nucleic acids extractions. We used a three-day incubation to minimize cascade effect and  
173 maximize nano- and microsized plankton impact based on insights from Grattepanche et al.  
174 (2019). We also collected duplicates of the initial *in situ* water sample such as the *in situ* sample  
175 plus high phytoplankton abundance added (t0p3) at the beginning of the experiment.

## 176 2.3 Sampling and nucleic acids extraction

177 We isolated organisms of whole content of each dialysis bag on polycarbonate filters after size-  
178 selection, cut filters in two and isolated DNA and RNA using appropriate kits. Prior to isolation  
179 of nucleic acids, each sample passed through serial filtration at  $80 \mu\text{m}$  (to remove predators and  
180 larger organisms to focus on small protists and avoid some PCR inhibitors) and collected on  
181  $10 \mu\text{m}$  (i.e. microsized fraction) and  $2 \mu\text{m}$  (i.e. nanosized fraction). The filters do not exactly line  
182 up with current definitions of nano- and microplankton as some have argued picoplankton are up  
183 to  $3 \mu\text{m}$  in diameter (Vaulot et al., 2008) while nanoplankton can include species up to  $20 \mu\text{m}$   
184 (Sieburth et al., 1978). We use the terms ‘microsize’ and ‘nanosize’ as the bulk of the lineages  
185 caught on our filters likely fall in these sizes classes, though the variation in the filters, the  
186 differential flexibility of species and the irregularity of some body plans all confound the  
187 efficiency of filtering.

188 For the nucleic acid extraction, we cut the resulting filters in half, storing one half for DNA  
189 (DNA prep buffer; 100mM NaCl, Tris-EDTA at pH 8, and 0.5% of SDS) and the other half for  
190 RNA (RLT lysis buffer [Qiagen, Germany] plus beta mercaptoethanol). DNA and RNA were  
191 extracted using Zymo Research soil extraction kit (Zymo Research, CA) and Qiagen RNeasy  
192 (Qiagen, Germany) kits following the manufacturer's instruction. For the RNA prep, residual  
193 DNA was removed using the Turbo DNA-free kit (Invitrogen, CA). This approach (both  
194 filtration and extraction) was tested on previous samples and resulted in good DNA/RNA quality  
195 (Grattepanche et al., 2019; Sisson et al., 2018; Tucker et al., 2017).

196      **2.4 Amplicon sequencing for community composition**

197      The amplicon analyses followed protocols from Grattepanche et al. (2016b) and Sisson et al.  
198      (2018). In sum, we used a primer set specific of the SSU-rDNA gene of the SAR lineages, which  
199      amplified a 150 bp fragment of the hypervariable region V3, to amplify DNAs extracted from  
200      filters. As discussed in Grattepanche et al. (2019), these primers amplify almost all known SAR  
201      lineages, excepted the highly divergent Foraminifera, which are also removed by our  
202      prescreening on 80 $\mu$ m). The amplification was done with the Q5 polymerase enzyme (NEB,  
203      MA) following manufacture's protocol. PCR products were cleaned using Agencourt AMPure  
204      XP beads (Beckam Coulter, CA) and sent to University of Rhode Island Genomics and  
205      Sequencing Center for sequencing on an Illumina MiSeq sequencer (2x150 cycles). This resulted  
206      in a dataset of 7,207,909 reads. The raw reads are available from NCBI under the BioProject  
207      PRJNA550423 and the Sequence Read Archive SRP212194.

208      The Amplicon dataset was analyzed using a pipeline combining third party tools and  
209      custom python scripts (Grattepanche et al., 2019; Sisson et al., 2018). We first generated paired-  
210      end reads using Paired-End reAd mergeR (Zhang et al., 2013), refined the sequences (removed  
211      unpaired sequences; sequences without primers), created an OTU library using SWARM (v  
212      2.1.9; Mahe et al., 2015), refined the OTUs (removed chimeric OTUs, outgroup OTUs, and  
213      OTUs with less than 10 reads), and then assigned taxonomy by tree using a curated SAR SSU-  
214      rDNA gene database. The final step corresponds to the rarefaction i.e., subsampling a fixed  
215      number of reads for each sample to enable comparison. This resulted in a total of 1,148 OTUs  
216      corresponding to 3,400,000 rarefied reads (50,000 reads per sample).

217      To compare the effect of experimental conditions on diversity (i.e. taxonomy), only the  
218      OTUs present in two replicates of the same treatment and the same size fraction, and with more  
219      than 5 reads were kept. The reported number of reads for each treatment and size corresponds to  
220      the average of the read number among the three replicates, resulting in a total of 277 OTUs. We  
221      used this set of OTUs to assess changes between the control and the treatments.

222      **2.5 Metatranscriptome for analyses of community function**

223      To characterize expressed eukaryotic genes (i.e., those with polyA tails) we constructed mRNA  
224      libraries using TruSeq Stranded mRNA sample prep (Illumina, CA) following manufacturer  
225      protocol. In summary, we isolated RNA using oligo-dT beads, fragmented the transcripts and  
226      synthesized first and second strands of DNA (cDNA). The 3' end of the fragments was  
227      adenylated to avoid ligation to another fragment, the adapters were ligated, and the fragments  
228      were amplified using specific PCR (see TruSeq protocol). DNA purifications were performed  
229      between each of these steps using Agencourt(r) AMPure XP beads (Beckam Coulter, CA). The  
230      remainder of the protocol was performed at the University of Maryland, Baltimore Institute for  
231      Genome Sciences. This included library quantification and quality checking with an Agilent  
232      Technologies 2100 Bioanalyzer, pooling, and sequencing with an Illumina HiSeq 4000. We used  
233      a similar depth of sequencing for all our samples. While this depth of sequencing does not allow  
234      to access the lowly expressed transcripts, the use of similar depth of sequencing across samples  
235      allowed us to compare these different samples. This resulted in a dataset of 783,472,526 reads,  
236      which are available from NCBI under the BioProject PRJNA550423 and the Sequence Read  
237      Archive SRP212194.

238      The metatranscriptome libraries were assembled using rnaSPAdes (version 3.10.1 with  
239      default parameters; Bankevich et al., 2012). We assembled the libraries in 3 ways: (1) each  
240      library independently (replicate set), (2) each triplicate by size fraction (nano- and microsized

241 fractions, separately; treatment set); and (3) all libraries together in order to create a reference  
242 database for read number and RPKM calculation (Reads Per Kilobase Million = Reads Per  
243 Kilobase of transcript, per Million mapped reads, which is a proxy for gene expression).

244 As the reference genomes of microbial eukaryotes are still rare (del Campo et al., 2014),  
245 we look at the pattern of conserved gene families using both PhyloToL (Cerón-Romero et al.,  
246 2019), which relies on the classification of gene families determined in OrthoMCL (Chen et al.,  
247 2006; Li et al., 2003). The resulting 1,485,323 transcripts were then refined using the "gene  
248 family assessment for taxa" part of the PhyloTOL pipeline (Cerón-Romero et al., 2018, 2019;  
249 Maurer-Alcalá et al., 2018). In sum, we removed transcripts smaller than 200bp (77,230  
250 transcripts smaller than 200 bp), ribosomal DNA (5,699 transcripts matching rDNA gene  
251 references) and bacterial (38,305 transcripts matching reference bacterial genomes)  
252 contamination, bin the remaining sequences in orthologous groups (hereafter OG; proxy for gene  
253 families) using OrthoMCL (Chen et al., 2006; Li et al., 2003) as reference (990,632 transcripts  
254 did not match our reference gene families).

255 At this stage, the dataset was composed of 373,457 transcripts. We consider only the  
256 transcripts matching an OG with an E-value cutoff of  $1e^{-50}$  and a coverage of 10 (coverage as  
257 calculated by rnaSPAdes; 18,599 transcripts were discarded). This results in a reference dataset  
258 composed of 86,951 transcripts representing 5,258 conserved eukaryotic gene families (i.e. OGs  
259 from OrthoMCL; Chen et al., 2006) plus a large number of lineages-specific genes. We then  
260 decided to remove transcripts from the phytoplankton we added. For this purpose, we isolated  
261 transcripts from the added phytoplankton by removing transcripts absent from the *in situ* samples  
262 without added phytoplankton (t0) from the *in situ* sample plus high phytoplankton abundance  
263 (t0p3). By this way, we identified 5,159 transcripts from the phytoplankton we added. We also  
264 removed the transcripts that were 10 times more expressed in t0p3 than in t0, leading to an  
265 additional 158 transcripts. Of the original reference transcripts (generated by combining mRNAs  
266 from all treatments), we removed 5,317 transcripts that represent genes expressed by the  
267 phytoplankton added resulting in a finally reference dataset of 81,634 transcripts. We then use  
268 BLAST2Go to assign Gene Ontology (GO; Conesa et al., 2005) and eggNOG (Huerta-Cepas et  
269 al., 2018) to assign Clusters of Orthologous Groups (COG; Tatusov et al., 2000) and KEGG  
270 (Kyoto Encyclopedia of Genes and Genomes) orthology (KO; Kanehisa et al., 2015).

271 To assess the gene expression for the two sets (replicate and treatment sets), we mapped  
272 back the reads of each metatranscriptome to the refined reference (all libraries pooled together  
273 and refine using PhyloTOL) using Seal (Sequence Expression AnaLyzer, version 35.92)  
274 implemented in BBmap (Bushnell, 2016). In short, each read is mapped to our reference using a  
275 Kmer of 31, and the count is transformed in Reads Per Kilobase Million (RPKM) to take in  
276 account the depth of sequencing for each sample. This software and a custom python script  
277 produce a table with the RPKM (read number or gene expression) of each reference transcript  
278 present in each of our samples (similar to an 'OTU table').

## 280 2.6 Statistics

281 To assess the effect of each treatment on the community composition, we used the Unifrac  
282 dissimilarity index (Hamady et al., 2009; Lozupone et al., 2011) and Principal Coordinates  
283 Analysis (PCoA). The analyses were performed in R using the Phyloseq (McMurdie and  
284 Holmes, 2013) and vegan packages (Oksanen et al., 2007, 2016). We tested the significance of  
285 the PCoA axis and factor (phytoplankton or predators) using envfit implemented in vegan, in  
286 which the data are randomly permuted 999 times (total of 1,000 datasets) and the random data

287 are compared to the data generated through a fitted regression model. Under the null hypothesis  
288 of no relationship between the ordination "axis" scores and the environmental variable, the  
289 observed  $R^2$  value should be a value among the permuted  $R^2$  values. However, if the observed  $R^2$   
290 is extreme compared to the permutation distribution of  $R^2$  then we can reject the null hypothesis.  
291 The proportion of times a randomized  $R^2$  from the distribution is equal to or greater than the  
292 observed  $R^2$  is a value known as the permutation p value. The same analysis is performed for the  
293 Metatranscriptomics data using Canberra dissimilarity index (Lance and Williams, 1967).

294 Differential gene expression was calculated as (1) difference of read number between  
295 control and treatment or (2) ratio of RPKM between control and treatment. If the ratio was above  
296 1, the transcript was upregulated in the treatment compared to the control. If the ratio was below  
297 1, the transcript was downregulated, and we inverted the ratio (e.g. a ratio of 0.5 becomes -2). To  
298 be conservative, we only consider up/downregulation when the ratio was larger (or smaller) than  
299 2 (or -2 for downregulation). In addition, we also assessed the OTUs and transcripts significantly  
300 up- or down-regulated (log2 fold change with  $p < 0.05$ ) using DESeq2 package (Love et al.,  
301 2014). The transcripts significantly up- and downregulated assessed with DESeq2 matched with  
302 our estimates.

### 303 3 Results

#### 304 3.1 Top-down and bottom-up impact on community composition

305 The diversity of SAR lineages remained relatively constant despite increasing predation pressure  
306 and food availability (Figure 1, Supplementary Figure S1). Because the three replicates were  
307 overall similar (Supplementary Figure S1), we pooled replicates to evaluate the impact of  
308 treatment and considered only the OTUs (i.e. species) as described in the methods section. We  
309 observed that abundant OTUs (i.e. OTUs with more than 10 reads) varied between 63 and 125  
310 OTUs (Figure 1a), and up to 239 OTUs when including the rare OTUs (Figure 2). The richness  
311 of abundant OTUs showed no clear pattern in response to phytoplankton abundance or copepods  
312 density (Supplementary Figure S1). In other words, the perturbations (adding prey and/or  
313 predators) did not have a strong impact on the overall diversity of SAR lineages.

314 While the overall diversity did not change within our microcosm, the community  
315 composition did respond to the various treatments (i.e. food availability and predation pressure),  
316 and size. Overall, up to 10% of the nanosized OTUs significantly decreased in read number with  
317 increasing phytoplankton abundance, and up to 5% of the OTUs significantly increased in read  
318 number with increasing predation pressure (copepods, Figure 2). By comparison, the microsized  
319 plankton community showed a more neutral/stochastic response, with no clear relation with  
320 increasing phytoplankton and/or copepods (Figure 2). We also observed that 81% of the  
321 community variability is explained by differences between the nanosized and microsized  
322 plankton ( $R^2=0.7894$ ,  $p < 0.0001$ ; Supplementary Figure S2).

323 Among the nanosized community, the contribution of ciliates relative to stramenopiles  
324 increased with increasing phytoplankton (i.e. p0z0 vs. p1z0 vs. p2z0 vs. p3z0 showed an  
325 increasing contribution of ciliates; Figure 1a and b). The pattern among microsized species was  
326 more complex. For instance, ciliates, especially within Spiroticchea, increased with increasing  
327 phytoplankton in the absence of predators (Figure 1). However, this effect appears buffered by  
328 the increasing copepods as the ciliate contribution was almost constant when incubated with high  
329 predation pressure (i.e. 10 copepods.  $L^{-1}$ ) regardless of the concentration of phytoplankton  
330 (Figure 1). This suggests that while microsized ciliates responded to the increase of food, the

331 copepods were able to keep them at a constant abundance. By comparison, we only observed a  
332 slight impact of copepods on nanociliates (Figure 1). In addition, we observed a slight decrease  
333 of phytoplankton (mainly Dictyochophyceae and Coscinodiscophyceae; Figure 1b) with  
334 increasing phytoplankton and a more mixed response with increasing copepods (Figure 1,  
335 Supplementary Material).

336 Only a few OTUs, our proxy for species, responded repeatedly to all treatments. The  
337 control (no copepod and no phytoplankton added [p0z0]), the high predation pressure (high  
338 copepod abundance and no phytoplankton added [p0z2]) and the phytoplankton bloom  
339 incubations (high phytoplankton abundance and no copepods added [p3z0]) shared a quarter of  
340 the total OTUs (56 of the 212 OTUs observed in p0z0, in p3z0 and in p0z2 ; Supplementary  
341 Figure S3). The dominant OTUs did not show clear response to our treatment (see  
342 Supplementary Material; Supplementary Figure S2). A few OTUs increased or decreased  
343 significantly with food availability including OTU302 (closely related to the stramenopile  
344 *Rhizochromulina*) and OTU74 (likely an oligotrich ciliates) or with both food availability and  
345 predation pressure such as OTU94 (closely related to the euglyphid *Cyphoderia major*;  
346 Supplementary Figure S4). In the same way, only a third of the OTUs are specific to a size  
347 fraction and treatment (70 OTUs; Supplementary Figure S3), and these OTUs represented less  
348 than 1% of the community based on read number.

349 To assess changes in the overall community, we used principal coordinate analysis  
350 (PCoA) with UniFrac index, which considers phylogenetic relationship among OTUs. Overall,  
351 these analyses indicate that predators impacted the microsized SAR community while the  
352 phytoplankton changed the nanosized SAR community. Adding copepods significantly  
353 impacted the microsized community (phytoplankton  $R^2=0.3689$ ,  $p=0.2238$ ; copepods  $R^2=0.3726$ ,  
354  $p < 0.05$  by envfit test, Figures 2B and 2D), while phytoplankton addition had a significant  
355 impact on nanoplankton (phytoplankton  $R^2=0.6446$   $p < 0.05$ ; copepods  $R^2=0.2976$   $p=0.1638$ ;  
356 Figures 2A and 2C). Looking at lower taxonomic levels, we observed that Ciliophora,  
357 Stramenopila and within Stramenopila, Dictyochophyceae in the nanosized fraction showed a  
358 significant response to phytoplankton treatments, while none of the microsized fraction showed  
359 significant response with increasing phytoplankton (Table 1). The copepods seemed to have only  
360 impacted the Bacillariophyceae from both nano- and microsized fractions (Table 1).

### 361 3.2 Community function by metatranscriptomics

362 Our metatranscriptomics analysis focused on a dataset composed of 81,634 transcripts that match  
363 conserved eukaryotic gene families, and represent 13,029 GO terms, 5,176 conserved eukaryotic  
364 gene families, and 3,699 KO terms. We evaluated the impact of our treatment on the community  
365 function using the number of conserved gene families (GFs) and transcripts at three levels of  
366 expression: present, expressed and highly expressed ( $>0$ ,  $>10$  and  $>1,000$  as gene expression  
367 estimated by RPKM, respectively). The nano- and microsized fractions are composed of a  
368 similar number of expressed transcripts (40,667 and 41,369 transcripts, respectively) with half  
369 shared in both sizes (21,940 transcripts; see Supplementary Material).

370 Differential expression profiles showed a clear difference between the two size fractions,  
371 and more intriguingly, a large number of transcripts are downregulated in the nanosized  
372 community incubated with phytoplankton at bloom conditions (Figure 4). The nanosized  
373 plankton showed up to 7 times more downregulated transcripts when incubated in phytoplankton  
374 bloom conditions (Figure 4). In addition to transcript number, we also evaluated the impact of  
375 our treatments on the community function using three metrics: the number of conserved gene  
376 families (GFs), Gene Ontology (GO), and KEGG orthology (KO) terms associated with up- or

377 down-regulated transcripts (Figure 2 and Supplementary Figure S5). Using all of these  
378 measures, we again saw a substantial change in nanosized plankton functions (up to 70% of  
379 transcripts and conserved gene families are downregulated in bloom conditions; Figures 2, 4 and  
380 Supplementary Figure S5), while the impact on microsized plankton is less marked (Figures 2  
381 and 4 and Supplementary Figure S5).

382 In comparison to the impact of prey (i.e. phytoplankton), the impact of predators on  
383 function is in agreement with our expectations: negligible for the nanosized fraction and leading  
384 to an increase in upregulated function in the microsized fraction (Figures 2 and 4; Supplementary  
385 Figure S5). Looking in detail at the interactions between phytoplankton bloom and high  
386 predation pressure, the increase in copepods density tends to increase the proportion of  
387 differentially expressed transcripts (Figures 2 and 4; Supplementary Figure S5). The copepods  
388 also increased the number of upregulated functions in the microsized plankton (Figure 2;  
389 Supplementary Figure S5). For both sizes, the copepods tend to reduce the proportion of  
390 downregulated transcripts and GFs during the phytoplankton bloom incubations (Figures 4 and  
391 2; Supplementary Figure S5). In other words, the impact of copepod counterbalanced the effect  
392 of phytoplankton bloom on the community function and *vice-versa*.

393 Overall patterns of transcripts assessed by PCoA with a Canberra distance metric show  
394 the same pattern as the SAR amplicon sequencing, i.e. samples group firstly by size fraction  
395 (nano- and microsized) and then by phytoplankton abundance for the nanosized community  
396 (35% of the variance expression; Figure 3). The expression within the microsized community is  
397 similarly impacted by phytoplankton and copepods (18% vs 16% of the variance, Figure 3). This  
398 again suggests that while the nanosized plankton is primarily impacted by the change in  
399 phytoplankton abundance, the microsized plankton expression profile is a result of interactions  
400 between phytoplankton and copepod abundances.

401 To further assess community function, we assigned transcripts into functional categories  
402 using COG (Cluster of Orthologous Gene). One third of the gene expression (as measured by  
403 RPKM) and one fifth of the number of transcripts are involved in translation (category COG J).  
404 Another 40% of the transcript expression and transcript number is represented by transcripts  
405 from post-translational modification and protein turnover (COG O), unknown function (COG S),  
406 cytoskeleton (COG Z), energy production and conversion (COG C) and carbohydrate  
407 metabolism and transport (COG G). The COG S includes transcripts related to Fucoxanthin  
408 Chlorophyll a/c (55% of the reads for this study), Chlorophyll a/b binding proteins (6% of the  
409 read) by similarity.

410 To evaluate the main functions impacted during our experiments, we pooled the  
411 transcripts by COGs and estimate the differential expression in each treatment relative to the  
412 control. We observed a downregulation in cytoskeleton mRNA (COG Z in Figure 5) and a slight  
413 upregulation in mRNAs involved in translation in the nanosized communities incubated in  
414 phytoplankton bloom condition (COG J in Figure 5). The microsized community incubated with  
415 many copepods experienced an upregulation of transcripts of “unknown” function (mainly  
416 transcripts related to Fucoxanthin chlorophyll a/c by similarity; COG S in Figure 5) and a  
417 downregulation of transcripts involved in translation (COG J in Figure 5). The other treatments  
418 showed only slight differences and no pattern was discernable between COG and incubation  
419 condition.

### 420 3.3 Function and taxonomy

421 Phylogenomic analyses indicate that transcripts strongly impacted in our microcosm are from  
422 SAR lineages and other phytoplankton (e.g. chlorophyte, cryptophyte, haptophyte). We

423 generated phylogenies for eleven exemplar genes that showed high diversity and/or high  
424 expression (e.g. three ribosomal proteins,  $\alpha$  and  $\beta$  tubulins, actin, HSP70, chlorophyll a/b binding  
425 proteins, and glyceraldehyde 3-phosphate dehydrogenase; Supplementary Figure S6). For each  
426 of these genes, SAR represents almost 70% of the transcripts; Stramenopila, Alveolata and  
427 Rhizaria representing approximately 45%, 40%, and 10% of the highly expressed transcripts,  
428 respectively. Other algae (e.g. chlorophytes, haptophytes, cryptophytes) comprise ~25% of the  
429 highly expressed transcripts and Opisthokonta, Amoebozoa and Excavata are represented by less  
430 than 6% of the highly expressed genes (Supplementary Figure S6).

431 While ciliates clearly responded to increased phytoplankton in amplicon analyses (Figure  
432 1), our taxonomic analyses of some gene families did not find evidence of their impact on the  
433 community function. Ciliates contributed up to 30% to some genes including eEF1 $\alpha$   
434 (Supplementary Figure S6). However, ciliates did not show any strong response to treatments for  
435 this set of genes except a more important contribution to the actin expression in our  
436 phytoplankton bloom treatment (Supplementary Figure S6). Other cytoskeleton proteins, such as  
437  $\alpha$ -tubulin and  $\beta$ -tubulin, did not show this pattern. We looked at other genes involved in  
438 phagosome formation such as ARP complex and RAC as a proxy of phagotrophy (Yutin et al.,  
439 2009) and the protein identify by Burns et al (2018), but again we did not observe a clear pattern  
440 across treatments (Supplementary Figure S7). While this is surprising, it is important to  
441 remember that many transcripts (almost 1 million) are not considered here as they are not  
442 annotated in databases and instead might represent lineage-specific genes. In other words, the  
443 impact of ciliates on the community may be through lineage-specific genes that lack annotated  
444 homologs in current databases.

#### 445 4 Discussion

446 Contrary to our expectation that increasing phytoplankton would have the greatest impact  
447 on the microsized heterotrophs (i.e. the potential predators of added phytoplankton), the  
448 nanosized community showed the greatest response to bloom levels: we observed an increase of  
449 ciliate community members with increasing phytoplankton abundance (Figure 1) and a decrease  
450 in community function (i.e. transcript number and expression levels) among the nanosized  
451 plankton incubated in bloom condition (Figure 4). The microsized community did respond as  
452 predicted, ciliates increased with increasing phytoplankton abundance (i.e. bottom-up control)  
453 and the microsized plankton were impacted by increasing copepods (i.e. top-down control). We  
454 also demonstrate the power of combining amplicon and metatranscriptomics in microcosm  
455 experiments to characterize changes in microeukaryotic communities in response to  
456 environmental changes.

##### 457 4.1 Bloom of phytoplankton and impact on other small plankton

458 The nanosized plankton (i.e. 2-10  $\mu$ m) response to increasing phytoplankton (three species  
459 ranging in size from 4-15  $\mu$ m) was unexpected. We added small sized phytoplankton expecting  
460 to see an impact on their predators within the microsized (i.e. 10-80  $\mu$ m) heterotrophs and saw a  
461 small increase of microsized ciliates with increasing phytoplankton (Figure 1). In other *in situ*  
462 studies, microheterotroph biomass increased with phytoplankton and nanoplankton were  
463 responding to bacteria (Grattepance et al., 2011a; Irigoien et al., 2005). So, we did not expect to  
464 observe an increase of nanosized lineages, assessed by SAR amplicon analyses, incubated in  
465 bloom conditions (Figure 1). This suggests that either nanosized lineages (i.e. ciliates) were able  
466 to consume prey of the same size or that their response is indirect. For example, it is possible that

467 phytoplankton exudate caused increased growth of bacteria, which are in turn grazed by  
468 nanosized ciliates (i.e. more food, more abundant) (Fenchel, 1987). Another possibility is that  
469 nanosized ciliate predators fed on the same size phytoplankton, and therefore relieved predation  
470 pressure on these small ciliates (i.e. less predators, more abundant).

471 We evaluate the possibility of lineage-specific changes in predation among nanosized  
472 community members by looking at genes involved in phagocytosis. While we observed an  
473 upregulation of actin for the nanosized ciliates in bloom condition (Supplementary Figure S6),  
474 we did not see an increase in expression for phagotrophic genes such as the ARP complex and  
475 RAC gene family identified by Yutin et al (2009) and a longer list of genes identified in two  
476 other studies (Burns et al., 2018; McKie-Krisberg et al., 2018; Supplementary Figure S7). These  
477 analyses are consistent with an indirect response of nanosized ciliates rather than an increase in  
478 grazing in response to added phytoplankton. However, this conclusion needs to be taken with  
479 caution as the mechanism of phagotrophy for microbial eukaryotes is still poorly understood  
480 (Yutin et al., 2009) and many lineage-specific gene families are likely missing from our database  
481 (Cerón-Romero et al., 2019).

#### 482 **4.2 Phytoplankton blooms reduce community function**

483 The transcript number and expression levels for communities incubated in the bloom conditions  
484 strongly decreased for the nanosized fraction (Figure 4 and Supplementary Figure S5). A  
485 decrease of functional diversity is expected when just a few species dominate the ecosystem (i.e.  
486 in bloom conditions), but the observed impact on nanosized and not on the microsized plankton  
487 is surprising. One possibility is that changes in ecological niche (abiotic, and biotic factors) in  
488 the phytoplankton bloom conditions might have selected for only a subset of the nanosized  
489 species present at the beginning of incubation, resulting in a decrease of expressed function. A  
490 decrease of diversity and function has been documented for bacterioplankton (Teeling et al.,  
491 2012; Wemheuer et al., 2014), but not yet for eukaryotes.

492 We did see patterns in changes of genes related to photosynthesis and stress. For  
493 example, we saw a reduction expression of transcripts related to photosynthesis (COG S, Figure  
494 5; Chlorophyll a/b binding protein; Supplementary Figure S6). In addition, stress-related  
495 proteins (e.g. HPS90, HSP70), particularly of phytoplankton groups (e.g. Archaeplastida,  
496 Supplementary Figure S6), did increased with increased phytoplankton. This suggests a stress  
497 among phytoplankton in response to bloom conditions and is consistent with the observed  
498 decrease of SAR phytoplankton (mainly Dictyochophyceae and Coscinodiscophyceae) observed  
499 with increasing phytoplankton (Figure 1).

500 The addition of varying levels of phytoplankton impacted the diversity and function of  
501 both the nanoplankton and, to a lesser extent, the microsized plankton. As expected for bloom  
502 conditions, we observed an amplification of changes in both up- and downregulated transcripts  
503 with increasing phytoplankton (Figure 2). However, none of these genes are directly related to  
504 phytoplankton blooms based on findings in previous studies (e.g. proteins involved in nutrient  
505 metabolism or carbohydrate metabolism; Zhang et al., 2019). We hypothesize that the change in  
506 community function is related to changes in metabolism during the extreme conditions generated  
507 by the bloom.

#### 508 **4.3 Phytoplankton bloom is enhanced by copepods**

509 The composition (i.e. OTUs) of the microsized community was impacted by top-down control  
510 but not the functions of the microsized community. We had expected microsized ciliates to  
511 increase with phytoplankton concentration as we selected three phytoplankton species

512 (*Tetraselmis chui*, *Isochrysis galbana*, and *Phaeodactylum tricornutum*) considered to be ‘good’  
513 food resources for ciliates (Christaki and Van Wambeke, 1995; McManus et al., 2012; Schoener  
514 and McManus, 2012; Stoecker et al., 1988; Verity and Villareal, 1986). Instead, we only  
515 observed top-down control of ciliates by copepods, as ciliates represent a superior food resource  
516 for copepods and may be selectively grazed (Calbet and Saiz, 2005). Our data are a direct  
517 illustration that copepod grazing on microheterotrophs (i.e. ciliates) reduced top-down controls,  
518 allowing phytoplankton to increase (Behrenfeld, 2010; Irigoien et al., 2005; Kuhn et al., 2015).  
519 While this has been hypothesized from microscopic observations of *in situ* samples (Irigoien et  
520 al., 2005; Leising et al., 2005), here we showed, in our closed system, that ciliates were not able  
521 to control the amplitude of a phytoplankton bloom because of the predation pressure from  
522 zooplankton (copepods).

523 Copepods did have a significant impact on microsized phytoplankton community  
524 function as photosynthetic activities (e.g. chlorophyll a/b binding proteins) increased with  
525 increasing copepod abundance (Figure 5; Supplementary Figure S6). Copepods have been  
526 reported to release dissolved organic carbon and inorganic nutrients through sloppy feeding and  
527 fecal pellets (Saba et al., 2011), which may increase phytoplankton production. Together these  
528 factors (increase in nutrients and release of predation pressure by feeding on microciliates) may  
529 explain the functional changes in microsized community members observed across our  
530 experiments.

## 531 **5 Conclusions**

532 This work combines experimental microcosms and ‘omics approaches (both amplicon and  
533 metatranscriptomics) to reveal: (1) phytoplankton blooms strongly decrease gene expression  
534 within the nanosized community; and (2) copepods control microsized heterotrophs when  
535 phytoplankton abundance is low, reducing predation pressure on phytoplankton. We  
536 acknowledge a major caveat in interpreting function within these communities: of the 1.5 million  
537 transcripts, we were able to assign taxonomy and function to less than 25% because of the lack  
538 of knowledge about eukaryotic microorganisms. Hence, we anticipate that future studies will be  
539 able to further refine insights on functional responses through analyses of specific genes.  
540 Nevertheless, the strong decrease of transcript diversity showed the impact of phytoplankton  
541 blooms and zooplankton grazing at the species level, while the overall community functions (i.e.  
542 number of GFs, KO, and GO) remained unchanged. This illustrates the resilience of the  
543 community in maintaining ecosystem functions. Finally, these analyses show the power of  
544 combining amplicon and metatranscriptomics approaches to better understand processes driving  
545 microeukaryotic diversity and function in marine systems.

## 546 **6 Conflict of Interest Statement**

547 The authors declare that the research was conducted in the absence of any commercial or  
548 financial relationships that could be construed as a potential conflict of interest.

## 549 **7 Author contributions**

550 Conceived and designed the experiments: JDG LAK. Performed the experiments: JDG.  
551 Analyzed the data: JDG LAK. Wrote the paper: JDG LAK.

552      **8 Acknowledgements**

553      We thank the University of Rhode Island Genomics and Sequencing and the IGS Genome  
554      Resource Center at the University of Maryland for MiSeq and HiSeq4000 sequencing; the  
555      National Marine Fisheries Service Lab (NOAA) in Milford CT, for algal cultures, and the Dam  
556      lab at UCONN for copepods. We also thank George B. McManus (University of Connecticut)  
557      for the help with the experimental setup. This work was supported by the National Science  
558      Foundation [OCE-1436003 and DEB-1541511 to LAK], Blakeslee funds at Smith College.  
559

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778

## 10 Tables

779

780 **Table 1.** Correlation analyses show a significant impact of phytoplankton on nanosized  
 781 community, while Copepods impacted microsized plankton, and the Bacillariophyceae  
 782 (significant correlation are in bold: \* p < 0.05; \*\* p < 0.01):

783

	phytoplankton		Copepods	
	nanosized	microsized	nanosized	microsized
SAR	<b>0.65*</b>	0.37	0.3	<b>0.37*</b>
Alveolata	0.46	0.29	0.36	0.33
Ciliophora	<b>0.62*</b>	0.25	0.19	0.16
Stramenopila	<b>0.60*</b>	0.37	0.21	0.27
Bacillariophyceae	0.15	0.17	<b>0.54**</b>	<b>0.70**</b>
Dictyochophyceae	<b>0.71**</b>	0.45	0.16	0.27

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787      **11 Figure legends**

788  
789      **Figure 1** Comparison of community composition based on amplicon analyses (a, b) across  
790 treatments within our microcosms. a) reads and abundant OTUs (>10 reads) distributions of  
791 SAR (Stramenopila, Alveolata, and Rhizaria) lineages within each treatment show a dominance  
792 in different stramenopiles lineages by size fraction (Dictyochophyceae in the nanosize and  
793 Bacillaryophyceae in the microsize) and ciliates in both sizes and . b) and increase of spirotrich  
794 ciliates with phytoplankton bloom. For all panels, the letter ‘p’ in the sample label represent the  
795 abundance of phytoplankton added: none for p0 [white] ,  $5.10^2$  cell. mL<sup>-1</sup> for p1 [yellow-green];  
796  $5.10^3$  cell. mL<sup>-1</sup> for p2 [light green], and  $5.10^4$  cell. mL<sup>-1</sup> in final concentration for p3 [green].  
797 The letter ‘z’ in the label represent the number of copepods added: none for z0 [white], 5 per liter  
798 for z1 [light brown] and 10 per liter for z2 [dark brown]. For example, p0z0 has no copepods and  
799 no phytoplankton added and represents our control; p3z0 was incubated without copepods but in  
800 phytoplankton bloom condition.

801  
802      **Figure 2** Proportion of OTUs, transcripts, and conserved gene families (GFs) significantly  
803 increasing (up) or decreasing (down) in response to the incubation conditions show a large  
804 proportion of transcripts and GFs downregulated (up to 70% of the transcripts and GFs) in the  
805 nanoplankton with phytoplankton bloom treatment. OTU, transcripts and GFs were identified  
806 with DESeq2 (Love et al., 2014). The number represent the total number of OTUs, transcripts,  
807 and GFs in the samples.

808  
809      **Figure 3** Principal coordinates analysis by size fractions of the impact of phytoplankton and  
810 copepods abundance on community composition (i.e. OTUs) and on function (i.e. transcripts)  
811 show the strongest impact of phytoplankton added on nanosized community. An exception is the  
812 microsize community composition, which shows an absence of clear response to the abundance  
813 of the phytoplankton we added. UniFrac dissimilarity index was used for the OTUs and the  
814 Canberra dissimilarity index for the metatranscriptome data.

815  
816      **Figure 4** Heatmap of differential gene expression for each of the 81,634 transcripts matching  
817 gene families (rows) across treatments (columns) shows highest downregulation in nanosized  
818 communities in phytoplankton bloom conditions. The clustering of the samples is based on  
819 Euclidean distance and WardD algorithm. The bar graph at the bottom show the number of  
820 transcripts up or down-regulated (absolute difference to the control higher than log2). See Figure  
821 for details about sample labels.

822  
823      **Figure 5** Heatmap of differential gene expression of the transcripts grouped by Clusters of  
824 Orthologous Groups (COG) indicates downregulation of transcript expression related to  
825 translation (GOG J) in the microsized plankton incubated with copepods, while nanosized  
826 plankton show a downregulation of cytoskeleton (COG Z) function incubated with  
827 phytoplankton bloom. The microsized plankton show an upregulation of protein with unknown  
828 function (COG S, almost all involved in fucoxanthin chlorophyll a/c pathway in our data). The  
829 clustering of the samples is based on Euclidean distance and WardD algorithm. See Figure 1 for  
830 details about sample labels.