1   **Temporal and spatial scales of correlation in marine phytoplankton communities**

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9   **Key Points**

10   - Correlation timescales in phytoplankton communities are longer in the subtropical gyres and shorter

11   in regions of strong circulation

12   - Spatial correlations in phytoplankton communities are strongly anisotropic along frontal zones and

13   boundary currents

14   - Ocean currents shape global patterns of temporal and spatial correlation scales in phytoplankton

15   communities

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18   **Abstract**

19   Ocean circulation shapes marine phytoplankton communities by setting environmental conditions and

20   dispersing organisms. In addition, processes acting on the water column (e.g., heat fluxes and mixing)

21   affect the community structure by modulating environmental variables that determine *in situ* growth and

22   loss rates. Understanding the scales over which phytoplankton communities vary in time and space is key

23   to elucidate the relative contributions of local processes and ocean circulation on phytoplankton

24   distributions. Using a global ocean ecosystem model, we quantify temporal and spatial correlation scales

25   for phytoplankton phenotypes with diverse functional traits and cell sizes. Through this analysis, we

26   address these questions: 1) Over what timescales do perturbations in phytoplankton populations persist?;

27   and 2) Over what distances are variations in phytoplankton populations synchronous? We find that

28   correlation timescales are short in regions of strong currents, such as the Gulf Stream and Antarctic

29   Circumpolar Current. Conversely, in the subtropical gyres, phytoplankton population anomalies persist

30   for relatively long periods. Spatial correlation length scales are elongated near ocean fronts and narrow

31   boundary currents, reflecting flow paths and frontal patterns. In contrast, we find nearly isotropic spatial

32   correlation fields where current speeds are small, or where mixing acts roughly equally in all directions.

33   Phytoplankton timescales and length scales also vary coherently with phytoplankton body size. In

34   addition to aiding understanding of phytoplankton population dynamics, our results provide global

35   insights to guide the design of biological ocean observing networks, and to better interpret data collected

36   at long-term monitoring stations.

37   **Plain Language Summary:**

38   Using a global model of the marine planktonic ecosystem, we quantify the temporal and spatial

39   correlation scales of diverse types of phytoplankton. The timescales reflect the persistence of anomalies in

40   time and the stability of the planktonic system. The spatial scales measure over what distances variations

41   in phytoplankton populations are synchronous. We find that timescales and length scales vary with cell

42   size, and that global patterns of correlation are shaped by ocean currents. These results provide valuable

43   insights for the design of ocean observing systems with a unique ecological perspective. We also discuss

44   how regional differences in phytoplankton community correlation scales are relevant to interpret data

45   collected at long-term monitoring stations.

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1 Introduction

50   Marine phytoplankton generate roughly half of the global net primary production [*Field et al.*,
51   1998]. Their community structure, including both size and taxonomic diversity, determines the local
52   transfer of energy to higher trophic levels, as well as carbon export to the deep ocean [*Legendre & Le*
53   *Fèvre*, 1995; *Richardson & Jackson*, 2007; *Smetacek*, 1999]. The mechanisms that shape the diversity of
54   phytoplankton communities are complex and vary among ocean regions and temporal scales [*Acevedo-*
55   *Trejos et al.*, 2015; *Barton et al.*, 2010; *Fuhrman et al.*, 2008; *de Vargas et al.*, 2015]. Quantifying the
56   temporal and spatial persistence of individual phytoplankton phenotypes and groups is essential for
57   disentangling the underlying controls of phytoplankton diversity globally. This task, however, is difficult
58   due to the continuous dispersal of phytoplankton communities and the sparseness of phytoplankton
59   observations even in the most sampled regions of the ocean. Here, we quantify global temporal and
60   spatial scales of correlation for a diverse set of modeled phytoplankton, spanning a broad range of cell
61   sizes and traits. Specifically, we analyze 24 years of output from the Massachusetts Institute of
62   Technology general circulation model (MITgcm), where a range of phytoplankton and their predators, as
63   well as ocean biogeochemical cycles of N, P, Si, and Fe, are embedded in a high-resolution (1/5º) ocean
64   state estimate. We address how temporal and spatial correlation structures vary across phytoplankton
65   phenotypes, and how these properties are affected by circulation and mixing in the ocean surface.
66   Additionally, we compare phytoplankton correlation scales to sea surface temperature and nitrate
67   correlation scales.

68   Several previous studies have analyzed the temporal and spatial scales of correlation of physical
69   and chemical ocean properties, such as sea surface temperature (SST), sea surface height (SSH) and
70   dissolved inorganic carbon (DIC), often with the purpose of informing the design of sampling strategies
71   and observing systems [e.g., *Kessler et al.*, 1996; *Kuragano & Kamachi,* 2000; *Mazloff et al.,* 2018]. For
72   example, *Kessler et al.* [1996] analyzed the scales of thermal variability in the equatorial Pacific using
73   SST and thermocline depth data from the Tropical Atmosphere-Ocean moored buoy array, concluding
74   that the distance between buoys needed to be reduced to adequately sample signals with periods between
75   1 – 2 months. *Kuranago and Kamachi* [2000] used global spatial correlation scales obtained from
76   altimeter data to design an optimal interpolation method that improved the correlation between
77   interpolated satellite altimeter data and *in situ* sea levels from tide gauges. Most recently, *Mazloff et al.*
78   [2018] addressed the needs for the biogeochemical-Argo floats observational networks in the Southern
79   Ocean by estimating spatial correlation scales for oceanic dissolved inorganic carbon, heat content, and

80 carbon and heat exchanges. Their results suggested that a minimum of 100 Argo floats are required to

81 monitor biogeochemical properties in the Southern Ocean [*Mazloff et al.*, 2018]. Correlation scales are

82 also necessary to improve optimal interpolation and data assimilation methods for operational forecasts

83 and evaluation of high resolution ocean models [*Gaillard et al.*, 2009; *Glover et al.*, 2018; *Ninove et al.*,

84 2016].

85   Ocean currents are likely to play a key role in setting spatial and temporal correlation scales in

86 biological and physical properties. For example, spatial correlations in surface chlorophyll have been

87 found to decrease faster along currents than in more quiescent regions [*Denman & Abbott,* 1994]. In

88 highly dynamic locations, power spectra of SST and chlorophyll are very similar, suggesting that

89 dispersal by strong ocean currents is more important than ecological interactions in determining

90 phytoplankton spatial patterns in these regions [*Denman & Abbot,* 1994]. At global scales, satellite

91 chlorophyll length scales and mixing scales have been shown to vary seasonally and temporally in

92 coherence with biological and physical factors such as upwelling and western boundary currents [*Doney

93 et al.,* 2003; *Glover et al.,* 2018].

94   Modelling studies also increasingly emphasize the role of physical dispersal in shaping the

95 diversity of planktonic ecosystems [*Adjou et al.,* 2012; *Barton et al.,* 2010; *Bracco et al.,* 2009; *Clayton et

96 al.,* 2013; *Lévy et al.,* 2014]. For example, systematically introducing dispersal by vertical mixing,

97 horizontal currents, and eddies increased phytoplankton diversity in an idealized model representing the

98 North Atlantic and the Gulf Stream [*Lévy et al.,* 2014]. At global scales, hot-spots of phytoplankton

99 diversity appear related to ocean areas with high eddy-kinetic energy [*Barton et al.,* 2010; *Clayton et al.,*

100 2013]. We follow-up on these modelling studies and investigate how the differences in the temporal and

101 spatial scales of correlation for different phenotypes relate to current speed and cell size. The results of

102 this study thus provide a framework to improve our understanding of the distribution of marine

103 phytoplankton phenotypes and traits in the ocean.

104   The goals of this study are: 1. to quantify and contrast patterns of temporal and spatial correlation

105 scales for a broad range of model phytoplankton phenotypes, functional groups, and cell sizes, and 2. to

106 discuss how these patterns vary across regions, and how dispersal by ocean currents and eddy activity

107 affects them. We investigate variations across cell size because it is often considered a master trait

108 constraining many physiological rates (e.g., growth rate and nutrient uptake kinetics), as well as predator-

109 prey interactions [e.g., *B. Hansen et al.*, 1994; *P. J. Hansen et al.*, 1997; *Marañón et al.*, 2013]. After a

110 brief description of the model and methodology, we organize this paper into two main sections. In the

111 first part of our study we estimate correlation timescales. In essence, we ask: how long do perturbations in

112   phytoplankton surface biomass persist? We hypothesize that phytoplankton populations that exhibit rapid

113   temporal changes will have short correlation timescales that may be linked to strong dispersal and mixing

114   of organisms or to high variability in environmental conditions imposed by either advection, mixing or

115   air-sea interactions. In contrast, regions with relatively sluggish circulation and relatively invariant

116   environmental conditions over time may have relatively long correlation timescales.

117   In the second part of our study we quantify the spatial patterns of correlation between each grid

118   point and the surrounding waters. We ask: over what distances are variations in surface phytoplankton

119   populations synchronous? We address this question in two-dimensions and discuss how the shape of the

120   spatial correlation field contains information about the processes and physical features driving the

121   correlation patterns. When the dispersal of phytoplankton is greater in one direction (i.e., anisotropic),

122   such as along ocean currents, we hypothesize that elongated phytoplankton spatial correlation fields will

123   resemble the physical features affecting it. In contrast, round correlation fields would develop when

124   mixing or environmental forcing is approximately equal in all directions (i.e., isotropic). We discuss our

125   results in the context of ocean dynamics and trait differences in phytoplankton groups, taking into

126   consideration the scales of correlation found in previous studies for physical variables known to affect

127   phytoplankton distributions.

128   **2 Model description**

129   We use output from a coupled physical-ecosystem model using the MITgcm

130   [https://doi.org/10.6075/J0BR8QJ1; *Jahn et al., 2019*]. The physical component of the model uses the

131   ECCO2 physical configuration with a resolution of 1/5º, permitting the formation of eddies and narrow

132   currents [*Menemenlis et al.,* 2008]. The ecosystem and biogeochemical components include a complex

133   plankton community model, and resolve the cycling of carbon, phosphorus, nitrogen, silica, iron, and

134   oxygen. The ecosystem model is based on *Dutkiewicz et al.,* [2015], and incorporates both functional and

135   size diversity of plankton (with parameterizations based on *Ward et al.,* [2012]), resolving a total of 51

136   plankton types (35 phytoplankton and 16 zooplankton). Phytoplankton are sub-classified into 6 functional

137   groups: prokaryotes, picoeukaryotes, coccolithophores, diazotrophs, diatoms, and mixotrophic

138   dinoflagellates (see supplemental Fig. S1). All groups are modeled with Monod kinetics with constant

139   C:N:P:Fe stoichiometry over time. Phytoplankton functional groups differ in nutrient requirements,

140   maximum growth rates, pigment composition, and palatability to predators. Phytoplankton cell sizes

141   increase logarithmically from 0.6 to 228 μm in diameter, with each functional group having a

142   characteristic range of sizes (Fig. S1). Cell size determines differences in maximum growth rates, grazing,

143   and sinking, as described in *Dutkiewicz et al.*, [2019]. Following observations, the smallest phytoplankton

144   (the prokaryotes and picoeukaryotes) have the lowest nutrient affinity, and the fastest growing are in the 3

145   μm cell size range [*Maraňon et al.,* 2013]. The zooplankton graze, using a Holling III function, on

146   plankton 5 to 15 times smaller than themselves, but with a preference for 10 times smaller than

147   themselves.

148   The model represents with fidelity the regional and seasonal patterns of total chlorophyll and the

149   distribution of chlorophyll concentrations between key size classes in the ocean surface (Fig. S2, S3).

150   Output from this model has been used in previous studies on community structure [*Benoiston et*

151   *al.,* 2017; *McParland & Levine,* 2019; *Tréguer et al.,* 2018]. Here we analyze 3-day means of biomass

152   output for each of the 35 phytoplankton phenotypes over 24 years (1992-2016). We analyze surface

153   (upper 10 m) averaged output from the physical and marine ecosystem model components. The 10 m

154   output are representative of the mixed layer. Our study is focused on the mixed layer community and do

155   not take into account the deeper "shade" communities such as in the deep Chl-a maximum. Additionally,

156   we use surface current speed and sea surface temperature (SST) model output to provide context and

157   discuss the correlation patterns obtained.

158   **3 Analysis of correlation scales**

159   We estimate temporal and spatial scales of correlation for each of the 35 phytoplankton types in

160   the model simulation, P_i (mmol N m^{-3}), (where $i = 1, \ldots, 35$), as well as for total phytoplankton

161   biomass $\sum_{i=1}^{35} P_i$ (mmol N m^{-3}). The temporal correlation analysis indicates how fast the phytoplankton

162   community at a particular location becomes uncorrelated with itself, while the spatial analysis shows how

163   far the phytoplankton community at a given point varies in synchrony with the communities in

164   surrounding locations.

165   In order to identify the effects of dispersal, we focus our analyses on the intra-annual variability

166   of phytoplankton biomass. For this reason, we first remove the seasonal and long-term fluctuations in the

167   series. We remove seasonality by subtracting daily climatological mean fields from the surface biomass

168   values. Additionally, we remove long-term fluctuations by subtracting a 90-day running average filter

169   from the series. The method effectively dampens interannual variability and other long-term oscillations

170   (Fig. S4). We refer to the resulting, derived data as biomass anomalies (P_i') and use these data to estimate

171   both the temporal and spatial correlation scales.

172   While we perform the analyses for all phenotypes, we highlight the differences between

173   phytoplankton functional groups by comparing organisms classified as gleaners and opportunists

174   throughout the results and discussion (Fig. S1). Gleaners and opportunists, also known as K- versus r-

175   strategists [*Kilham & Hecky,* 1988; *Mac Arthur & Wilson,* 1967], have strongly contrasting ecological

176   dynamics and impacts on food webs and biogeochemical cycles [*Dutkiewicz et al.,* 2009]. The gleaners

177   are phytoplankton types with relatively high nutrient affinity that typically dominate in oligotrophic

178   regions [*Edwards et al.,* 2012]. The gleaners here include the four smallest size classes simulated by the

179   model (i.e., prokaryotes and picoeukaryotes with ≤2μm spherical diameter). In order to compare the same

180   number of phenotypes, the opportunists are represented by the four smallest and most abundant size

181   classes of diatoms (3 -10 μm spherical diameter). The small diatoms can be considered opportunists

182   because of their high maximum specific growth rates relative to other phytoplankton [*Marañón et al.,*

183   2013]. Diatoms such as these typically dominate during seasonal blooms [e.g., *Bruland et al.,* 2001; *Klais*

184   *et al.,* 2011; *Leblanc et al.,* 2018]. In order to analyze the relationships between cell size and timescales

185   and spatial scales, we use a second classification to differentiate between small cells (≤3μm; 7

186   phenotypes) and large cells (>3μm; 28 phenotypes). Hence, the small phytoplankton group includes all

187   gleaners, the smallest of the opportunist diatoms, the smallest coccolithophore and the smallest

188   diazotroph. All other phenotypes are included in the large phytoplankton group (Fig. S1). This

189   classification is based both on typical allometric scaling for various phytoplankton rates [*e.g. Marañón et*

190   *al.,* 2013], and on the emergent properties of the present analysis (see sections 4.2.1 and 4.2.3).

191   Additionally, we estimated correlation scales for sea surface temperature (SST) and nitrate (NO_3)

192   in order to provide context about the physical and chemical drivers of phytoplankton growth. In the next

193   sections (sections 3.1 and 3.2), we describe the methods in terms of phytoplankton biomass only.

194   **3.1 Temporal correlation**

195   Temporal correlation scales for phytoplankton are estimated through the autocorrelation function

196   of the biomass anomalies. For each model grid point, we calculate the temporal autocorrelation function

197   ($r_i(\tau)$), where τ is the time lag in days. The autocorrelation function ($r_i(\tau)$) is:

198   $$r_i(\tau) = \frac{1}{(n-1)\sigma_i^2}\sum(P'_{i,t} - \bar{P}'_i)(P'_{i,t+\tau} - \bar{P}'_i)$$ (1)

199   where *t* refers to the model timestep in days, $\bar{P}'_i$ is the time mean of the biomass anomalies for each

200   phenotype *i*, σ_i^2 is the temporal variance of the biomass anomalies for each phenotype, and *n* is the

201   number of data points. In general, autocorrelation is high at shorter time lags and decreases with

202   increasing time. We define an autocorrelation decay timescale as the e-folding correlation timescale, τ_e,

203   which is the first lag (day) when the autocorrelation drops below $r_i(\tau_e) = e^{-1} \approx 0.37$. We call τ_e the

204 "correlation timescale" hereafter. E-folding timescales are a commonly used measure of decorrelation for

205 intra-seasonal or "short-term" timescales, such those considered in this study. This method differs from

206 integral timescales, which are the time lag at which the area under the autocorrelation function is

207 maximum [*Talley,* 2011]. In general, integral timescales tend to be longer than timescales estimated using

208 a fixed correlation threshold. We anticipate that locations where biomass anomalies are highly variable in

209 time will have short correlation timescales, whereas locations that are stable through time will have long

210 correlation timescales (Fig. 1a).

211 **3.2 Spatial correlation**

212 We next calculate the phytoplankton spatial scales of correlation from the biomass anomaly time

213 series. This analysis correlates time series of biomass anomaly at each grid point to that of surrounding

214 grid points to assess the similarity of population dynamics in space (e.g., Fig. 1b). We calculate the spatial

215 correlation field $r_i(h_x, h_y)$, where h_x and h_y are horizontal distances away from a grid point of interest k,

216 which has coordinates x_k, y_k. To simplify the notation, we drop the coordinates subscripts and define

217 $r_i(h_x, h_y) = r_i(h)$ as:

218 $$r_i(h) = \frac{\sum(P'_{i,k} - \overline{P'_{i,k}})(P'_{i,k+h} - \overline{P'_{i,k+h}})}{\sigma_{i,k}\sigma_{i,k+h}}, \qquad\qquad (2)$$

219 here $\sigma_{i,k}$ and $\sigma_{i,k+h}$ are the standard deviations of the biomass anomalies series of each phenotype i at the

220 grid point k, and at k plus a given distance $(k+h)$, respectively. We evaluate the correlation between the

221 central grid point and all grid points within 15º of latitude and longitude in order to avoid comparing

222 phytoplankton dynamics at distant locations, for example across ocean basins. For computational

223 efficiency, we do not calculate the length scales for every grid point, but central grid points are selected

224 once every 10 grid points (2° of latitude and longitude) globally. In regions with horizontal current speeds

225 higher than 20 cm s⁻¹, we calculate the length scales every 2 grid points (~0.4˚).

a. Correlation timescales diagram

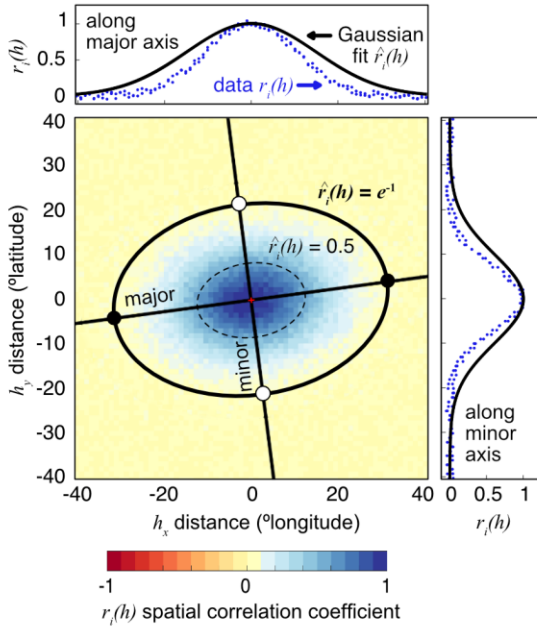**b. Correlation length scales diagram**

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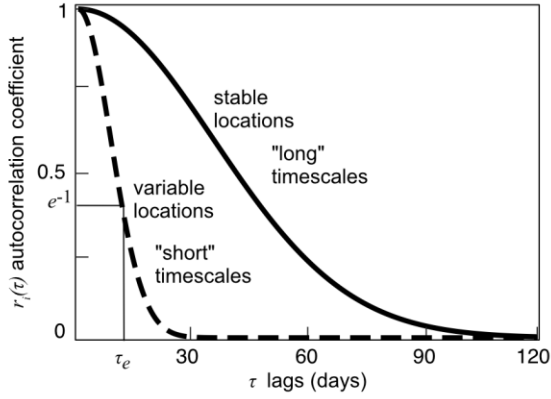
Figure 1. Diagrams of the methods used to estimate phytoplankton temporal and spatial scales of correlation. **a.** Idealized examples of the autocorrelation function for locations with long and short timescales. The timescale value, τ_e, is defined as the time lag when the autocorrelation function falls below e^{-1} and is marked for the short timescale example. **b.** Idealized example of spatial correlation field and its corresponding 2D Gaussian fit. The central grid point k is marked with red + marker. The major and minor axes length scales are defined using the e-folding contour ($\hat{r}_i(h) = e^{-1}$) of the fitted 2D-Gaussian (outer ellipse, bold black line). In this example, the major axis length is the distance between the two black dots located in the east – west direction, and the minor axis length is the distance between the two white dots located in the north – south direction. The inner ellipse (dashed black line) represents $\hat{r}_i(h) = 0.5$ for reference.

237   In general, points closer to the central grid point are highly correlated, whereas points further

238   away are less correlated (Fig. 1b). Correlation fields can be either isotropic or anisotropic. For example,

239   population dynamics along a zonal front could be correlated over a great distance of longitude, but

240   decorrelate rapidly with latitude. Along Western Boundary Currents, such as the Gulf Stream, spatial

241   correlation could be high in the direction of the current but low across the orthogonal axis of the current.

242   Alternatively, the correlation could be equal in all directions (isotropic). The shape of the correlation field

243   therefore contains information about the underlying processes that link adjacent ocean regions. Thus, to

244   approximate correlation length scales, we fit an ellipsoidal or two-dimensional Gaussian function $\hat{r}_i(h)$ to

245   the correlation field:

246    $$\ln(\hat{r}_i(h)) = -\left[\frac{[(x_{k+h}-x_k)\cos(\theta)+(y_{k+h}-y_k)\sin(\theta)]^2}{a^2} + \frac{[(x_{k+h}-x_k)\sin(\theta)+(y_{k+h}-y_k)\cos(\theta)]^2}{b^2}\right], (3)$$

247   where, x and y refer to the coordinates of the central grid point k (x_k, y_k) and its neighbors (x_{k+h}, y_{k+h}). The

248   parameters a and b are the two axes of the Gaussian fit to the correlation field, and θ is the angle. If a is

249   equal to b, the correlation field is isotropic or equal in all directions (i.e., a circle). If a and b are different,

250   the correlation field is anisotropic or elongated (i.e., an ellipse). The longest of the two axes is referred as

251   the major axis and the shortest as the minor axis. We use the major-to-minor axes aspect ratio ($AR =$

252    $\max(a,b)/\min(a,b)$) to determine whether the shape of the fitted ellipse is anisotropic or isotropic. The

253   angle θ is given as absolute values ranging from 0º to 180º, such that if θ is 0º or 180º it means that the

254   correlation field is elongated zonally or along lines of constant latitude. If θ is 90º the correlation field is

255   elongated along lines of constant longitude (i.e., meridionally elongated).

256   Parameters a, b and θ are estimated using a weighted least-squares fit to the Gaussian function,

257   where the weight $\omega = h^{-1}$ decreases the importance of fitting locations further away from the grid point

258   k. A priori parameter guesses (a^*, b^*, θ^*) are defined by finding the maximum zonal and meridional

259   distances from the center k to the contour $r_i(h) = 0.5$, and the corresponding angle. A priori values are

260   assigned a 90% uncertainty. This 2D Gaussian fit methodology is based on the approach used for spatial

261   scales of heat and carbon content in the Southern Ocean by *Mazloff et al.* [2018], where the importance of

262   *a priori* parameter guesses and uncertainty considerations is stressed. As spurious correlations may

263   develop at long distances, the a *priori* guess and weighting factors used in our application ensure that the

264   structure closest to the point of interest is influential in determining the shape of the ellipse. Various other

265   functions and models have been used to fit spatial correlation coefficients, however the 2D Gaussian

266   approach has been shown effective in determining physical length scales in the ocean [*Kuranago and*

267   *Kamachi,* 2000; *Mazloff et al.,* 2018]. The strength of our approach fitting a 2D Gaussian function to

268 spatial correlation fields is that it allows us to test in a systematic way across the oceans whether

269 correlation fields tend to be elongated or isotropic.

270 **4 Results**

271 **4.1 Model environment**

272   To contextualize the temporal and spatial correlation analysis, we first describe the simulated

273 global patterns of climatological mean phytoplankton biomass (mmol N m^{-3}), SST (ºC), ocean sea surface

274 current speed (cm s^{-1}), and eddy kinetic energy (cm^2 s^{-2}). Eddy kinetic energy, or the energy associated

275 with turbulent motions in the ocean, is calculated as $EKE = \frac{1}{2}\left(\overline{u'^2} + \overline{v'^2}\right)$, where (u', v') are horizontal

276 velocities anomalies from the climatological mean [e.g., *Richardson,* 1983]. While current speed is a

277 measure of the magnitude of ocean currents, EKE is a measure of the magnitude of variability in currents

278 through time.

279   The model captures global patterns of phytoplankton biomass: subtropical gyres exhibit low

280 annual average phytoplankton biomass, while high biomass exceeding 3 mmol N m^{-3} is found in the high

281 latitudes, equatorial regions, and major coastal upwelling areas (Fig. 2a). Strong gradients in annual

282 average sea surface temperature are apparent, for example crossing from the South Pacific subtropical

283 gyre into the Southern Ocean or from the subtropical to subpolar North Atlantic (Fig. 2b).

284   Simulated mean ocean current speeds and eddy kinetic energy (EKE) values in Fig. 2c,d agree

285 well with those estimated from global drifter data [*Lumpkin & Johnson,* 2013]). In the Southern Ocean,

286 the ACC shows both strong jets and regions of weaker current speed (Fig. 2c), and its position is bounded

287 by ocean fronts [*Lumpkin & Johnson,* 2013]. The Sub-Antarctic Front at ~55ºS corresponds to the

288 northern boundary, while the Southern Boundary Front (typically with SST < 0º) limits the ACC to the

289 south [*Carter et al.,* 2008]. The equatorial current systems are prominent in the Atlantic, Indian and

290 Pacific Oceans with mean speeds higher than 40 cm s^{-1} (Fig. 2c). The model resolution is eddy-permitting

291 and resolves regions of high current speeds and enhanced EKE associated with narrow western boundary

292 currents and their corresponding extensions (Fig. 2c,d). All major western boundary currents are

293 prominent in the climatological mean current speed: the Gulf Stream (GS), the Kuroshio Current (KC),

294 the Agulhas Current (AC), the Somali Current (SC) and the Brazil Current (BC). Correspondingly, EKE

295 is high in eddy dominated regions, as well as in the path of the North Brazil Current (NBC) and the

296 Brazil-Malvinas Confluence (BMC). EKE is also high in the equatorial currents due to the energy of

297 tropical instability waves [*Chelton et al.,* 2000; *Lumpkin & Johnson,* 2013]. The eastern North Pacific,

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eastern South Pacific, eastern South Atlantic, and western South Atlantic have low EKE and correspond to regions classified as "eddy deserts" [*Lumpkin & Johnson,* 2013].
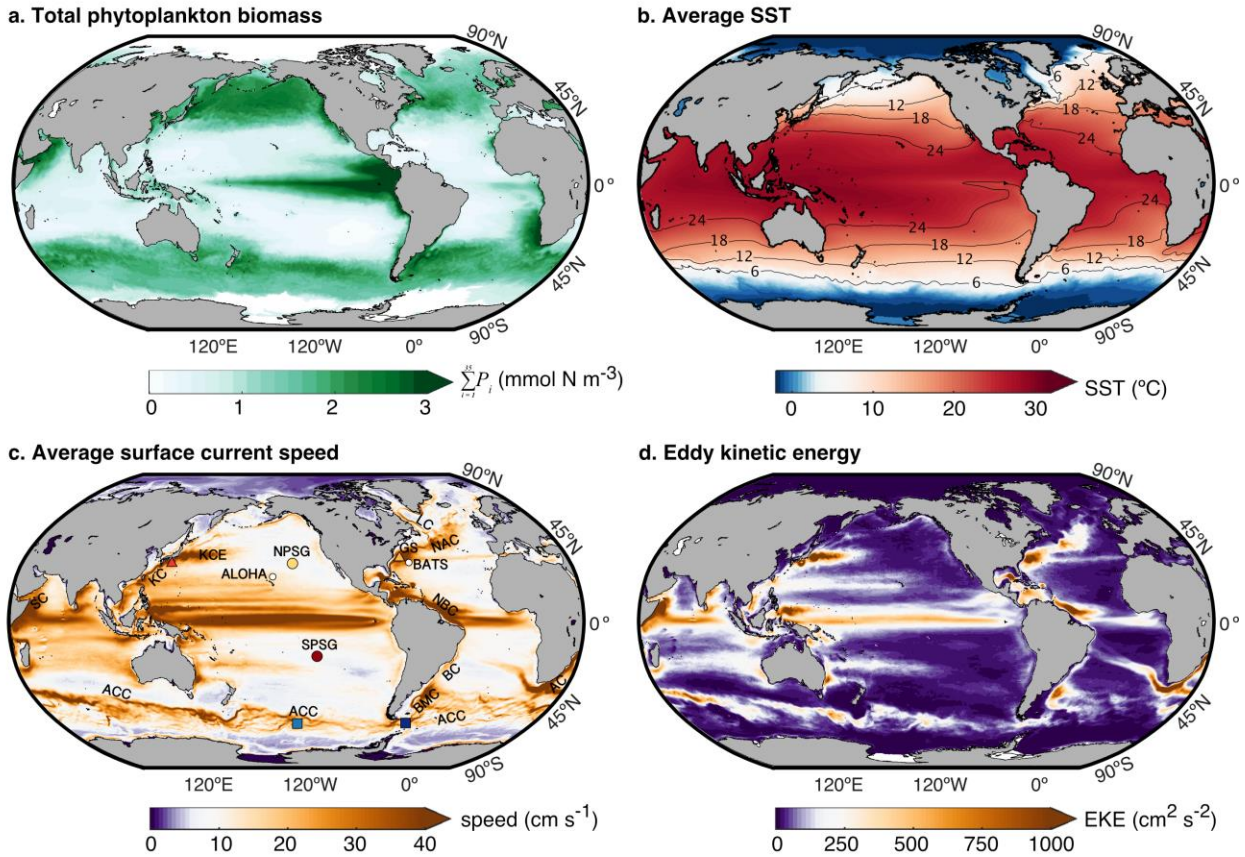

Figure 2. Model annual average: **a.** total surface phytoplankton biomass, **b.** sea surface temperature (SST), **c.** surface current speed, and **d.** eddy kinetic energy (*EKE*). Ocean currents and gyres discussed throughout the text are indicated in c.: *AC* – Agulhas Current*, ACC* – Antarctic Circumpolar Current, *BC* – Brazil Current, *BMC* – Brazil – Malvinas Confluence, *GS* – Gulf Stream, *KC* – Kuroshio Current, *KCE* – Kuroshio Current Extension, *LC* – Labrador Current, *NAC* - North Atlantic Current, *NBC* – North Brazil Current, *NPSG* – North Pacific Subtropical Gyre, *SC* – Somali Current, and *SPSG* – South Pacific Subtropical Gyre. Markers in c. indicate the location of illustrative regions also used in Fig. 6e. Long-term monitoring stations ALOHA (A Long-term Ocean Habitat Assessment) and BATS (Bermuda Atlantic Time Series), also referred to in Fig. 8f-g, are marked for reference.

In our analysis, we consider separately the four smallest phytoplankton types with the highest nutrient efficiencies ("gleaners") and the four fastest growing types (the four smallest diatoms, the "opportunists"). Gleaners dominate in the most oligotrophic parts of the ocean, while the opportunists

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313   dominate in the highly productive regions (Fig. 3a, b, S1). We also examine how cell size impacts our

314   results and differentiate "small" ($\geq 3\mu m$) and "large" ($> 3\mu m$) phytoplankton (Fig. 3c, d, S1). We

315   separate phytoplankton by size in this manner because the allometric scaling for specific growth rates has

316   a unimodal shape: it peaks for cells approximately $3\mu m$ in equivalent spherical diameter but decreases for

317   smaller and larger cells [*Dutkiewicz et al.*, 2019; *Marañón et al.*, 2013]. Much like for gleaners and

318   opportunists, small phytoplankton dominate in oligotrophic regions while larger phytoplankton dominate

319   in more seasonal and eutrophic regions (Fig. 3c, d).

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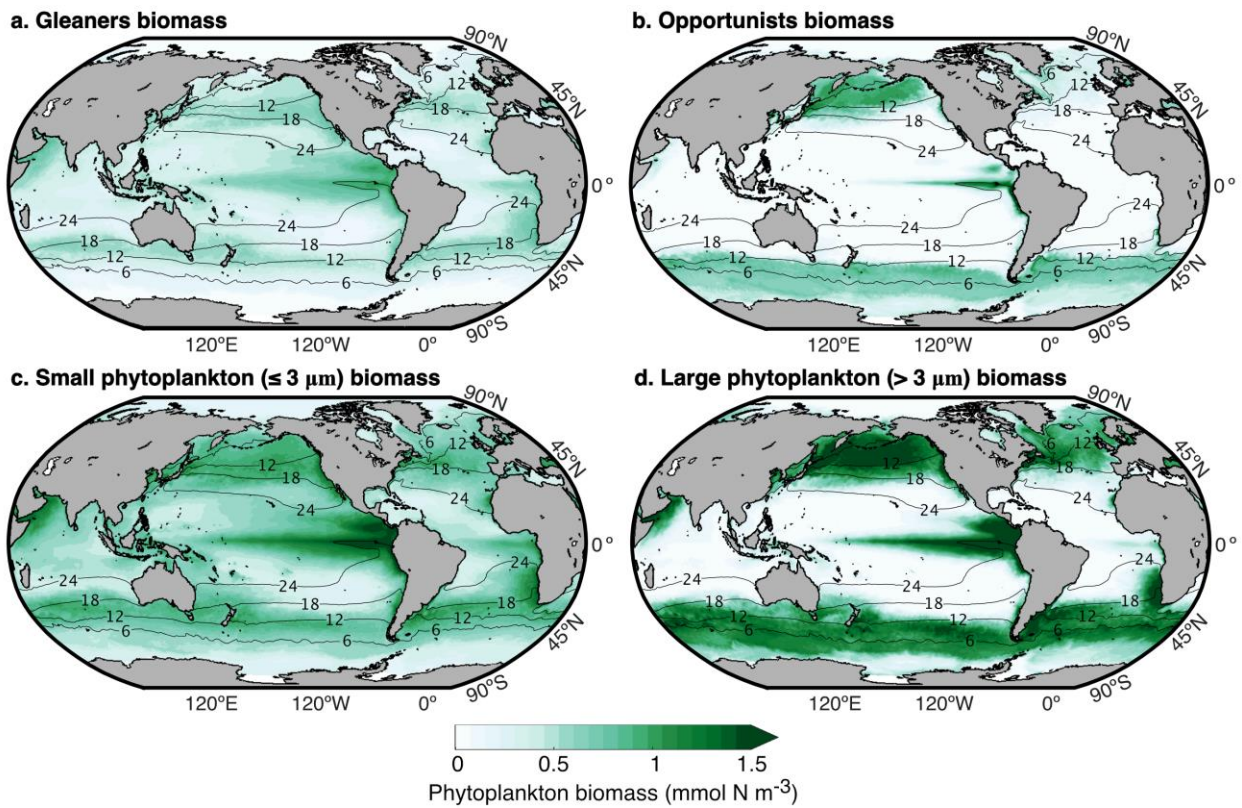
322   **Figure 3.** Model annual average: **a.** total gleaners phytoplankton biomass (i.e., the 2 prokaryotes and 2

323   picoeukaryotes with $\leq 2\mu m$ spherical diameter); **b.** total opportunists phytoplankton biomass (i.e., the 4

324   smallest diatoms with spherical diameter raging from 3 to 10 μm); **c.** small phytoplankton biomass

325   (including 2 prokaryotes, 2 picoeukaryotes, 1 coccolithophore, 1 diazotroph, and 1 diatom); and **d.** large

326   phytoplankton biomass (including 4 coccolithophore, 4 diazotrophs, 10 diatoms, and 10 mixotrophic

327   dinoflagellates). Contours indicate lines of constant SST (ºC; contours are the same as Fig. 2b).

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4.2 Phytoplankton correlation timescales (τ_e)

4.2.1 Correlation timescales and ocean dynamics

In general, the phytoplankton correlation timescales, or τ_e, estimated from biomass anomaly time series are shorter than 30 days. The median phytoplankton τ_e is 18.7, 19.5, and 18 days for gleaners, opportunists, and total biomass, respectively (Fig. 4). Correlation timescales of 30 days or shorter for gleaners, opportunists, and total biomass correspond to 85, 68.5, and 95.9% of ocean area, respectively. Correlation timescales of 15 days or shorter for gleaners, opportunists, and total biomass correspond to 37, 37, and 48.5% of the ocean area, respectively.
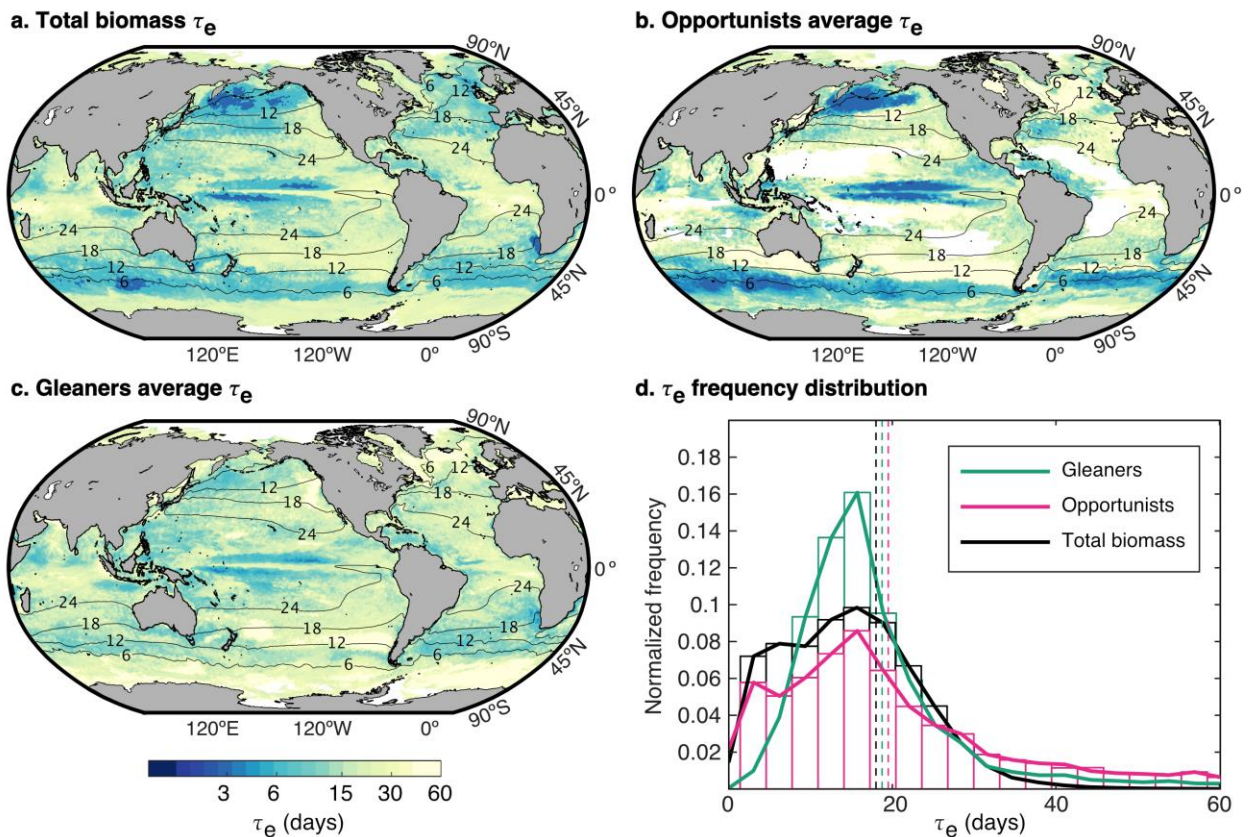
Figure 4. Correlation timescales, τ_e, estimated for **a.** total biomass **b.** opportunists, and **c.** gleaners. Gleaners include the four smallest size classes simulated by the model (i.e., prokaryotes and picoeukaryotes with $\leq 2\mu m$ spherical diameter), and opportunists include the four smallest size classes of diatoms (3 -10 μm spherical diameter). In the case of total biomass, the correlation timescale is calculated from total biomass anomaly time series. In the case of gleaners and opportunists, τ_e is estimated individually for each phenotype in the group and then

344 averaged. White regions indicate where total biomass is below 10^{-6} mmol N m^{-3} or group

345 biomass is below 1% of total biomass. Contours indicate lines of constant SST (ºC; contours are

346 the same as Fig. 2b). The color scale is logarithmic to improve visualization and comparison

347 between maps. **d.** The bars represent the area-weighted, normalized frequency distribution of

348 global correlation timescales for total biomass anomalies, gleaners and opportunists. Solid lines

349 represent the corresponding probability density functions. Vertical dashed lines represent the

350 median value for each group.

351 Here we differentiate and discuss regions of the ocean with short ($\tau_e \leq 15$ days) and long ($\tau_e > 15$

352 days) correlation scales. The overall spatial patterns in τ_e between gleaners, opportunists, and total

353 biomass are qualitatively similar. Short correlation timescales (<15 days) occur in the Southern Ocean

354 (Fig. 5b, d), Equatorial zones, and subpolar North Pacific (Fig. 4a-c), as well as in in Western Boundary

355 Currents such as the Gulf Stream (Fig. 5a, c). Short correlation timescales along the westward travelling

356 Equatorial Currents (located to the north and south of the equator) in the Pacific and Indian Oceans, and

357 to a lesser extend in the Atlantic, may be tied to variable currents [*Masumoto et al.,* 2005] and tropical

358 instability waves [*Han et al.,* 2008; *Kessler et al.,* 1996]*,* which generate high-frequency variations in the

359 environmental conditions in this region [*Han et al.,* 2008; *Kessler et al.,* 1996; *Lyman et al.,* 2005].

360 Correlation timescales are short in the Subpolar North Pacific but do not correspond closely to enhanced

361 currents or EKE (Fig. 2c, d). However, this short τ_e area coincides with the location of the Aleutian Low,

362 a zone of high storm frequency comprising the Subpolar North Pacific and Bering Sea [*Pickart et al.,*

363 2009]. Correlation timescales are also short in the Antarctic Circumpolar Current (ACC), but increase

364 both north and south of the ACC (Figs. 4a-c; 5b, d).

365 In contrast, longer phytoplankton τ_e (>15 days) occurs in subtropical regions and large parts of

366 the Southern Ocean, specifically north of the Subtropical Front at ~45ºS and south of the Sub-Antarctic

367 Front at ~55ºS, approximately denoted by the 6 and 12ºC contours, respectively [*Carter et al.,* 2008].

368 These areas of very long correlation timescale broadly, though not exclusively, coincide with regions of

369 low current speed and low EKE (Fig. 2c, d).

370 Compared with gleaners, opportunist phytoplankton exhibit stronger spatial gradients in

371 correlation timescales (compare Fig. 4b-c). Opportunists have both shorter and longer correlation

372 timescales than gleaners depending on the region (Fig. 4d). Regions of long correlation timescales for

373 opportunists are primarily found in the subtropical gyres and in polar zones, with portions of the

374 subtropical and subpolar regions having long τ_e of up to several months. The longest correlation

15

timescales for opportunists (exceeding 100 days) are found in the Subtropical Front at ~45ºS extending from the coast of Chile to the south of Australia (Fig. 4b, Fig. 5d), and the shortest timescales are found in the central Equatorial Pacific and Subpolar Pacific (Fig. 4b). In contrast, correlation timescales for gleaners are more uniform in space (Fig. 4c) and exhibit a slightly narrower distribution of timescales globally (Fig. 4d). The longest correlation timescales are found in the Subtropical Front at ~45ºS off the coast of Chile and east of the Weddell Sea area of the Southern Ocean up to 60ºE (Fig. 4c, Fig. 5e). The shortest timescales are found north of the equator in the central Pacific (Fig. 4c).
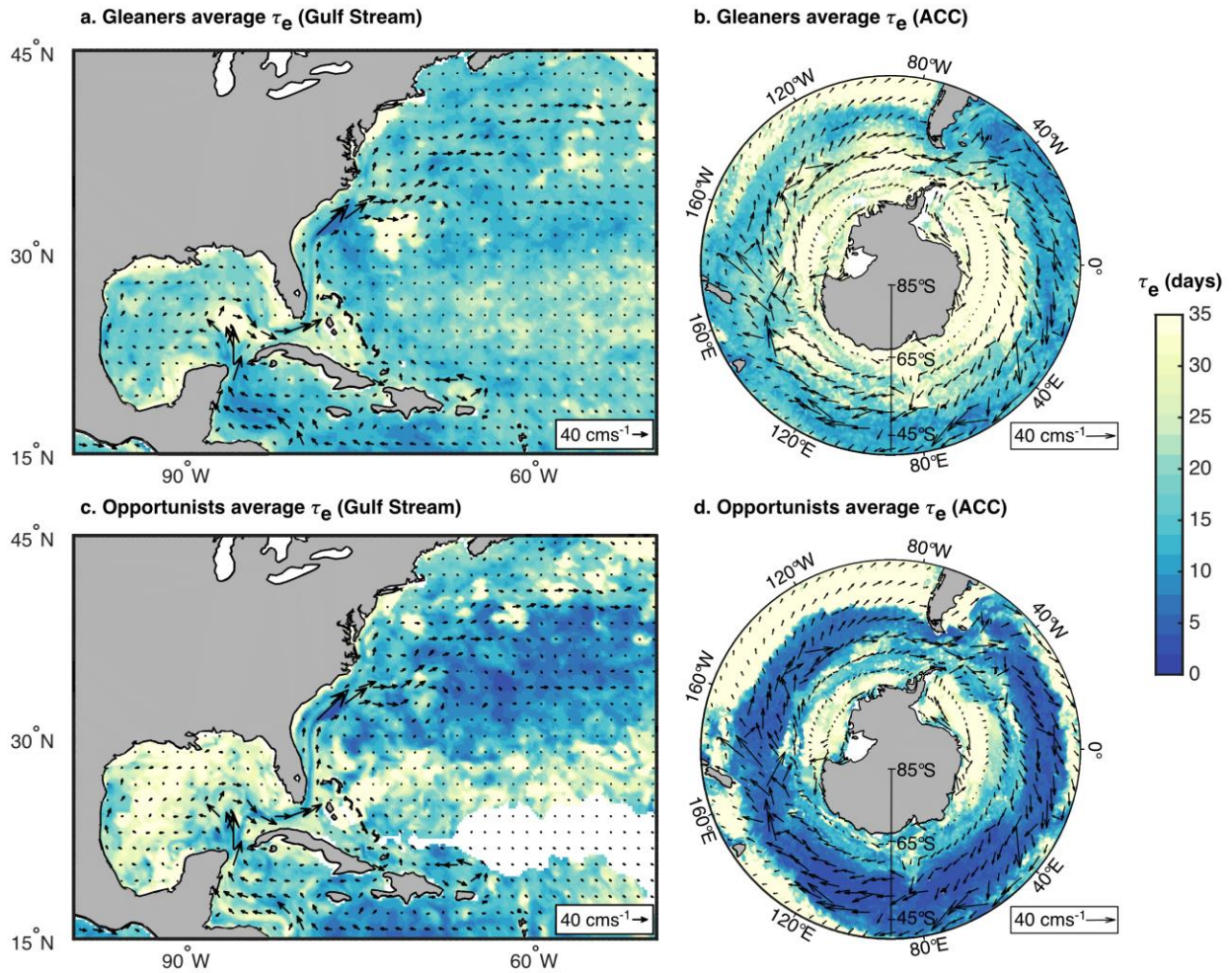
Figure 5. Regional examples of correlation timescales: **a.** Average τ_e estimated from biomass anomalies of gleaners in the Gulf Stream, and **b.** in the Antarctic Circumpolar Current (ACC) region. **c.** Average τ_e estimated from biomass anomalies of opportunists in the Gulf Stream, and **d.** in the ACC region. Current velocity vectors are indicated by black arrows and the color scale is truncated at 35 days to improve visualization. Low biomass areas (group biomass below 1% of total phytoplankton biomass) are masked in white.

389   Using the same methodology as we did for estimating phytoplankton correlation timescale (see
390   section 3), we estimated correlation timescales for SST and surface NO_3 using the MITgcm model output.
391   SST exhibits long timescales exceeding 45 days in subtropical and certain temperate latitudes, while polar
392   and tropical latitudes and areas of high kinetic energy show correlation timescales under 45 days and
393   down to 15 days (Fig. S5a). Long persistence of SST anomalies, typically 3-5 months, is known to occur
394   in the North Atlantic and North Pacific Oceans, as a consequence of the high heat capacity of the ocean
395   [*Deser et al.,* 2003; *Frankignoul,* 1985; *Frankignoul & Hasselmann,* 1977; *Kushnir et al.,* 2002]. Surface
396   NO_3 correlation timescales exhibit long timescales in subpolar latitudes and short timescales in the
397   tropical and subtropical oceans, as well as polar regions (Fig. S5b). In tropical and sub-tropical oceans,
398   phytoplankton growth quickly consumes any nitrate available (e.g., nutrients supplied by the passing of
399   eddies), yielding short NO_3 correlation timescales. In regions with deeper winter mixed layers and
400   seasonal limitation of phytoplankton growth by temperature or light, nutrients may accumulate, leading to
401   longer NO_3 correlation timescales. Our analysis also shows that, in most of the ocean, phytoplankton
402   timescales are shorter than the timescales of both SST and NO_3 (Fig. S5c, d). This contrast is consistent
403   with the different dominant timescales of the processes influencing each variable: days to weeks in the
404   case of phytoplankton growth and weeks to months in the case of SST, for example. In regions of high
405   EKE, such as the Gulf Stream, the differences between SST, NO_3 and phytoplankton correlation
406   timescales are relatively small, suggesting that in these regions the dynamics of biotic and environmental
407   conditions are tied to ocean mixing and currents (Fig. S5c, d).

408   In agreement with our estimates, chlorophyll correlation timescales in the North Atlantic Ocean
409   show shorter timescales than measured for the physical variables [*Boss et al.,* 2008]; while *Denman and*
410   *Abbot* [1994] found equal timescales for SST and chlorophyll in dynamic areas of the California Current
411   System region. As expected, we find a tighter relationship between nitrate timescales and phytoplankton
412   timescales, particularly within nutrient limited areas in the tropics and subtropics, where light is sufficient
413   to sustain phytoplankton growth year-round.

414   Overall, phytoplankton populations and total biomass in most of the ocean have relatively fast
415   decorrelation timescales, and opportunists and gleaners exhibit similar spatial patterns in correlation
416   timescales that differ in magnitude. Next, we examine more closely how these spatial patterns and
417   differences across gleaners and opportunists in correlation timescale may be linked to variations in current
418   speed in the ocean and cell sizes across phytoplankton types.

419   **4.2.2 Correlation timescales and current speed**

17

420   For both gleaners and opportunists, short correlation timescales (≤ 15 days) occur more

421   frequently where mean current speed is relatively high (Fig. 6a-d). Conversely, long correlation

422   timescales (>15 days) occur more frequently where mean current speed is relatively low (Fig. 6a-d). This

423   is more clearly represented in the marginal frequency distributions (Fig. 6c, d) by the larger area of blue

424   bars (short timescales) at current speeds >10 cm s^{-1}, in comparison to the area of red bars (long

425   timescales) for the same speeds. In areas of strong advection by currents, such as the Gulf Stream,

426   Kuroshio Current, or Antarctic Circumpolar Current, short correlation timescales of the phytoplankton

427   biomass anomalies may be due to dispersal of phytoplankton driven by advection, assuming upstream

428   phytoplankton communities are different from the location of interest. Similarly, short correlation

429   timescales of the phytoplankton community also occur where horizontal mixing due to mesoscale and

430   submesoscale processes facilitates dispersal, such as in Equatorial zones and Western Boundary Currents

431   [*Abernathey & Marshall,* 2013; *Cole et al.,* 2012].

432
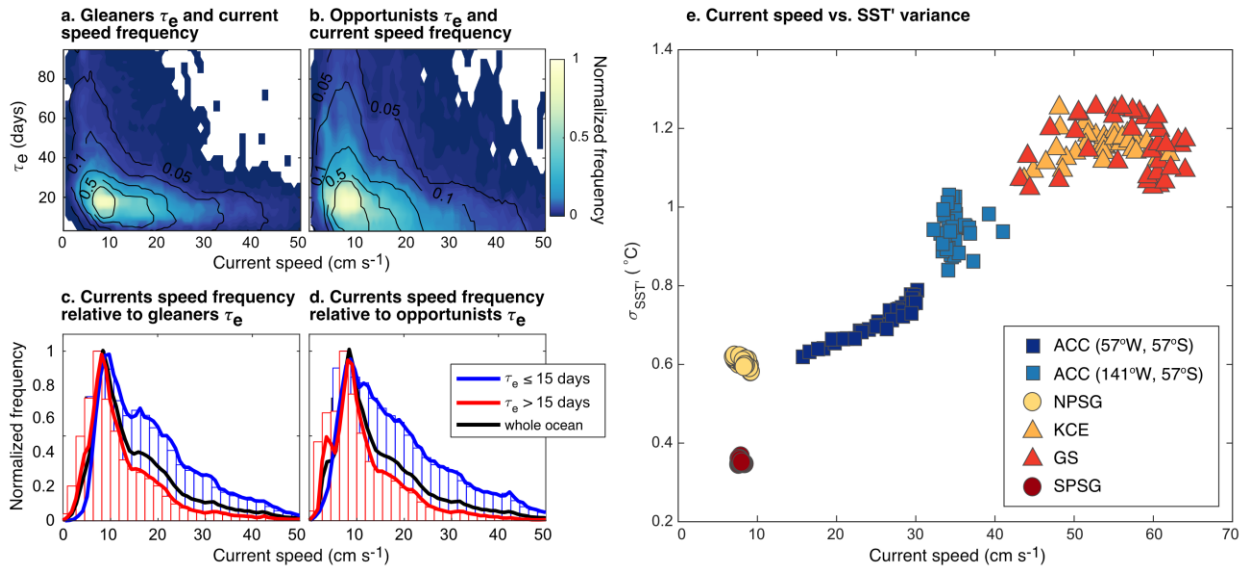
433   **Figure 6**. Relationship between current speed, timescales and environmental variability. Contour plots

434   show the bi-variate normalized frequency distribution of correlation timescales with respect to current

435   speed for **a.** gleaners and **b.** opportunists. Histograms show normalized frequency distributions of current

436   speed and their corresponding probability density functions summarized for areas with short timescales

437   (≤15 days) and long timescales (>15 days) for **c.** gleaners and **d.** opportunists. The probability density

438   functions for the whole ocean (black line) are shown for reference. The distribution of current speeds for

439   areas with short and long timescales are significantly different (Smirnoff-Kolmogorov test, p<0.01). In

440   plots a. to d., the frequency of grid points is area-weighted, and normalized to the maximum frequency in

441   the dataset. **e.** Relationship between current speed and variance in sea surface temperature anomalies at

442 six 1º by 1º illustrative regions: the Antarctic Circumpolar Current at the South Pacific and South Atlantic
443 portions (ACC at 141ºW, 57ºS and 57ºW, 57ºS, respectively), the North Pacific Subtropical Gyre
444 (NPSG), the South Pacific Subtropical Gyre (SPSG), the Kuroshio Current (KC) and the Gulf Stream
445 (GS). Regions are color coded and ordered from colder (dark blue) to warmest (dark red), according to
446 mean SST. The corresponding locations are indicated in Fig. 2c using the same markers.

447   In addition to the dispersal of organisms, both advection and mixing introduce environmental
448 variability, including temperature, nutrients, and light, which can influence phytoplankton growth.
449 Regions of stronger currents and EKE tend to have greater variability in SST anomalies [*Deser et al.,*
450 *2010*], for example. We find a similar pattern linking current speed to SST variance in our model (Fig.
451 6e). For 6 selected, illustrative regions, SST variance through time increases with mean current speed.
452 Thus, the increased variability in the environment through time is likely to promote shorter correlation
453 timescales within the phytoplankton populations. The present analysis in a Eulerian framework is,
454 however, unable to differentiate whether the correlation timescales are more closely tied to rapid transport
455 of organisms or intermittent favorable conditions for phytoplankton growth. We suggest that further study
456 using a Lagrangian perspective will be useful to separate the different effects.

457 **4.2.3 Correlation timescales and cell size**

458   In order to evaluate why gleaners and opportunists have different correlation timescales, we
459 consider the ecological importance of cell size. Cell size is a critical trait constraining important
460 physiological rates, such as the growth rate [e.g., *Marañón et al.,* 2013], and predator – prey interactions
461 [*B. Hansen et al.,* 1994; *P. J. Hansen et al.,* 1997]. We find that in vast ocean areas, large phytoplankton
462 tend to have longer correlation timescales, while small phytoplankton tend to have shorter correlation
463 timescales (Fig. 7b). In Fig. 7, red means that correlation timescale becomes shorter with increasing cell
464 size (negative relationship), while blue means that correlation timescale becomes longer with increasing
465 cell size (positive relationship). Because the number of species present in the community (here defined as
466 phytoplankton phenotypes with abundance larger than 1% the total biomass) varies in space (Fig. 7a), this
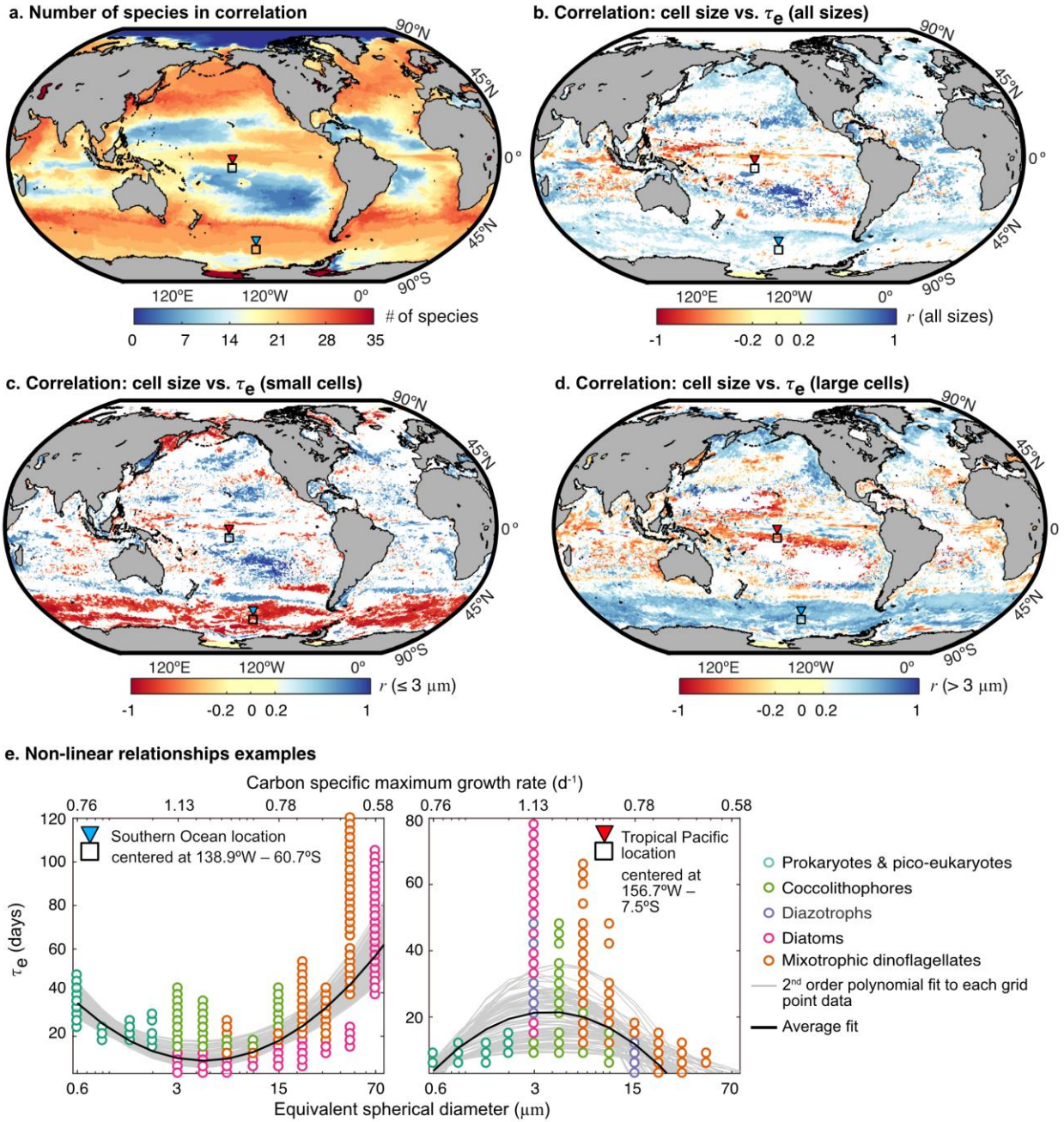467 pattern linking cell size to correlation timescale spans a different number of cell sizes or phenotypes by
468 location.

a. Number of species in correlation

b. Correlation: cell size vs. τ_e (all sizes)

# of species

r (all sizes)

c. Correlation: cell size vs. τ_e (small cells)

d. Correlation: cell size vs. τ_e (large cells)

r ($\leq$ 3 μm)

r (> 3 μm)

e. Non-linear relationships examples

Carbon specific maximum growth rate (d^{-1})

Southern Ocean location centered at 138.9°W – 60.7°S

Tropical Pacific location centered at 156.7°W – 7.5°S

○ Prokaryotes & pico-eukaryotes
○ Coccolithophores
○ Diazotrophs
○ Diatoms
○ Mixotrophic dinoflagellates
— 2nd order polynomial fit to each grid point data
— Average fit

τ_e (days)

Equivalent spherical diameter (μm)

Figure 7. Analysis of the relationships between timescales and cell size. **a.** Total number of phytoplankton phenotypes ("richness"), defined as the number of phytoplankton phenotypes with abundance larger than 1% the total biomass in each grid point. Relationship (Pearson correlation coefficient, r) between cell size and correlation timescales (τ_e) for **b.** all cell sizes, **c.** only phytoplankton smaller $\leq$ 3μm, and **d.** only larger phytoplankton >3μm. Note that cell size increases logarithmically (Fig. S1). White patches in **b-d** mask areas where linear correlations are non-significant (p>0.1). Areas marked with a square and an inverted triangle mark the locations used for **e.** two scatter plots with contrasting examples of relationships between cell size (bottom x-axes) and correlation timescales. For reference, the

20

478   top x-axes show the corresponding carbon specific maximum growth rates (d^{-1}), which peak at a cell size

479   of 3 μm. The scatter plots show the timescales of all phenotypes at grid points in an area of 1º by 1º

480   centered at 138.9ºW 60.7ºS and 156.7ºW 7.5ºS (Southern Ocean and Central Equatorial Pacific,

481   respectively. Different phenotypes are identified with different marker colors. We fit a second-order

482   polynomial function to the relationship between cell size and τ_e relationships at each grid point (gray

483   lines). The thick black line shows the average fit for all grid points.

484   We separated the connections between cell size and correlation timescale into two size classes:

485   the 7 phenotypes that are 3μm or smaller, and the 28 phenotypes larger than 3μm (Fig. 7c, d). Though

486   there are many regions with no significant relationship between cell size and correlation timescale (white

487   areas in Figs. 7b-d), small and large phytoplankton phenotypes exhibit contrasting relationships between

488   correlation timescale and cell size in some regions. In the Southern Ocean, correlation timescales are

489   shorter with increasing cell size for small phytoplankton (≤3μm; Fig. 7c), but longer with increasing cell

490   size for large phytoplankton (>3μm; Fig. 7d). In the Tropical Pacific, correlation timescale is longer with

491   increasing cell size for small phytoplankton (≤3μm; Fig. 7c), but is shorter with increasing cell size for

492   larger phytoplankton (>3μm; Fig. 7d). Upon further inspection, we find that the relationship between cell

493   size and correlation timescale for locations in the tropics is characterized by a "humpback" shape or

494   convex curve, whereas the relationship for locations in the Southern Ocean is characterized by a "U"

495   shape or concave curve (Fig. 7e).

496   The distinct and spatially coherent regional patterns in the relationship between cell size and

497   correlation timescales, particularly the concave and convex patterns with an inflection point occurring at

498   around 3-5μm (Fig. 7e), suggest an underlying ecological origin. Phytoplankton in the 3-5μm size range

499   have higher growth rates than both smaller and larger phytoplankton [e.g., *Marañón et al.,* 2013]*,* as

500   parameterized in our model. They also have relatively high nutrient and light affinities compared with

501   larger phytoplankton [e.g., *Edwards et al.,* 2012]. These opportunist phytoplankton are therefore well-

502   positioned to take advantage of pulses of nutrients, however scarce. In nutrient limited regions of the

503   ocean (such as the Tropical Pacific, Fig. 7e), we speculate that the relatively long correlation timescale of

504   opportunist phytoplankton may be caused by episodic blooms due to nutrient anomalies, for example

505   driven by the passage of eddies [*Chelton et al.,* 2011]. Microzooplankton predators ultimately graze down

506   the bloom, but returning to the pre-bloom biomass takes longer because the peak of the bloom of these

507   phenotypes is higher in magnitude. Phytoplankton larger and smaller than these fast-growing

508   opportunistic phytoplankton would not bloom to the same extent, either due to their higher nutrient

509 requirements or because they are grazed down more quickly than the blooming opportunist, thus yielding

510 faster decorrelation timescales.

511   In regions with generally high nutrient supply (such as the Southern Ocean, Fig. 7e), the

512 underlying dynamics may be different. The U-shaped pattern here may reflect three contrasting regimes

513 for very small (0.6 - 2 μm), small (3 - 5 μm), and large phytoplankton (>5 μm). The smallest

514 phytoplankton in this region have very low and relatively constant biomass (Fig. 3a). Small

515 phytoplankton (3 - 5 μm) have rapid growth timescales, but they are also grazed readily by

516 microzooplankton grazers, such that blooms do not persist for long. In contrast, large phytoplankton (>5

517 μm) have large microzooplankton grazers [e.g., *B. Hansen et al.,* 1994], which have lower specific

518 ingestion and growth rates than smaller microzooplankton [e.g., *P. J. Hansen et al.,* 1997], as captured in

519 the model parameterization [*Ward et al.,* 2012]. In habitats such as this, the growth of the largest

520 phytoplankton would be periodically decoupled from their grazers, allowing a positive biomass anomaly

521 to persist for a longer duration than for smaller phytoplankton. This may explain the very long correlation

522 timescales for the largest phytoplankton types. Thus, the concave and convex shaped patterns in Fig. 7e

523 may reflect the relative importance of distribution patterns (Fig. 3c, d), resource acquisition, growth traits,

524 and predator-prey imbalances in shaping the persistence of phytoplankton anomalies.

525 **4.3 Spatial scales of correlation**

526 **4.3.1 Length scales and ocean dynamics**

527   Over what distance do phytoplankton populations vary synchronously? To answer this, we

528 calculated the spatial correlation structure of phytoplankton populations on a point by point and

529 phenotype by phenotype basis with no time lags. A feature of our analysis is that we are able to quantify

530 the shape of the correlation fields, not just the correlation length scales. A key shape property is the aspect

531 ratio of spatial correlation structure. If the aspect ratio is equal to one, (AR=1), the spatial correlation is

532 roughly equal in all directions; we term this isotropic. Isotropic correlation structures may be due to

533 horizontal mixing homogenizing local properties, such as nutrient concentrations and temperature, or

534 equal dispersal of organisms in all directions. An elongated spatial correlation field has AR>1, possibly

535 indicating the presence of strong advection or persistent frontal zones (Fig. 8). We call this type of

536 correlation structure anisotropic.

537   In general, spatial correlation patterns are similar for gleaners and opportunists (Fig. 9a-d, S8).

538 The longest correlation scales (major axis) occur in the Subpolar oceans exceeding 2000 km (Fig. 8a, Fig.

539 9a, b), and coincide roughly with the position of strong frontal zones in both hemispheres, and with the

22

540   location of the ACC in the Southern Ocean (Fig. 2b-d). Major axis length scales range between 150 km

541   and 500 km in the Equatorial regions (Fig. 8c) and between 150 km and 250 km along boundary currents

542   (Fig. 8b, d). The shortest major axis scales, up to 100 km, occur in Subtropical regions (Fig. 8f) and in

543   some areas near Western Boundary Currents and their corresponding extensions (Fig. 9a, b). Previous

544   studies have suggested long correlation length scales in phytoplankton can be achieved via rapid

545   advection and turbulent mixing [*Lévy et al.,* 2014]; where as long correlation length scales in SST have

546   been also attributed to synoptic forcing over large distances leading to uniform conditions in the upper

547   ocean [*Hosoda and Kawamura,* 2005]. The minor axes are, by definition, shorter than the major axes

548   (Fig. 9c-d), but exhibit similar spatial patterns as for major axes correlation length. In general, the

549   orientation of the correlation structures aligns well with the direction of the mean flow (Fig. 8).

550

551   We find that, regardless of regional differences in correlation length scales, the spatial

552   correlations of phytoplankton biomass anomaly in the ocean is strongly anisotropic (Fig. 9e, f). The total

553   ocean area with isotropic correlation fields (AR=1) is very small and aspect ratios below 2:1 (AR<2)

554   represent only 8.5% and 12.3% of the global ocean for gleaners and opportunists, respectively. The

555   median AR for gleaners and opportunists is 2.9 and 3.1, respectively (Fig. S8). High aspect ratios ranging

556   between 2.5 to 5 occur along the Antarctic Circumpolar Current and Western Boundary Currents (Fig. 8a,

557   c, d). In some cases, the elongation of the correlation contours due to the presence of an ocean current is

558   most obvious near the core of the current, but decreases rapidly away from the center of the current (Fig.

559   8e). The effect of some of the narrow boundary currents is apparent in the Alguhas Current flowing

560   southward along the east coast of South Africa, the Kuroshio Current to the southwest of Japan, and the

561   Somali Current along the coast of Somalia and Oman in the western Indian Ocean (Fig. 9e, f). The most

562   elongated shapes (AR>6) are found within the Eastern Equatorial regions (Fig. 8c), and roughly

563   coinciding with major extratropical ocean fronts (Fig. 9e, f), including the Subpolar Front in the North

564   Pacific (approximately located between 40ºN and 45ºN, at isotherms ranging from 9ºC to 18ºC [*Yuan &*

565   *Talley,* 1996]), the Subpolar Front in the North Atlantic (which typically follows the NAC, and is

566   approximately located south of the 18ºC SST contour in Figs. 9e, f), and the region between the Southern

567   and Subantarctic Fronts in the Southern Ocean (typically defined by the 6 ºC and 12ºC SST contours),

568   including the Polar Front [*Carter et al.,* 2008]). The meridional length scales (i.e., minor axis, orthogonal

569   to the front) become shortened in the vicinity of the front, while zonal length scales are long. For

570   example, in the South Pacific sector of the ACC (Fig. 8a, Fig. 9), the average flow of the ACC is roughly

571   west to east and AR=4.
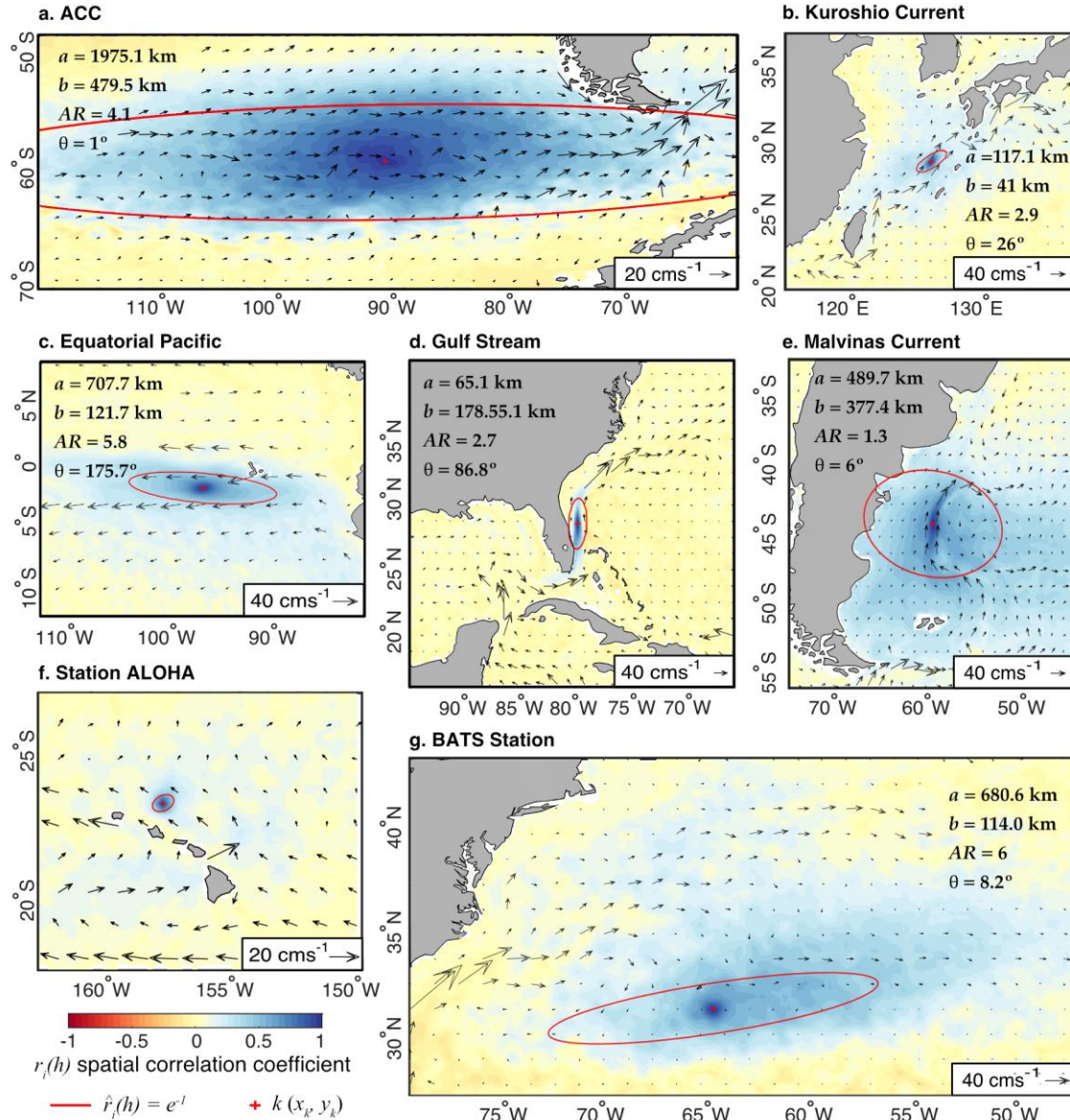
Figure 8. Spatial correlation structures (color background) and corresponding 2D Gaussian fit (red ellipse marking the $\hat{r} = e^{-1}$ contour) obtained from the biomass anomalies of the smallest gleaner in key regions of interest. The central grid point k for each spatial correlation structure is marked with a red + symbol. Mean velocity vectors are shown in black, emphasizing the elongation of correlation length scales along currents at **a.** the Antarctic Circumpolar Current, **b.** the Kuroshio Current, **c.** the eastern Equatorial Pacific, **d.** the Gulf Stream, and **e.** the Malvinas Current. Spatial correlation for the long-term observational stations ALOHA (A Long-term Oligotrophic Habitat Assessment) in Hawaii, and BATS (Bermuda Atlantic Time-series Study) are shown in **f.** and **g.**, respectively. Each spatial correlation structure details its corresponding axes length scales (a and b), the major-to-minor aspect ratio ($AR = max(a,b)/min(a,b)$), and the angle of orientation (θ).

583

a. Gleaners average major axis

b. Opportunists average major axis

c. Gleaners average minor axis

d. Opportunists average minor axis

e. Gleaners average AR (a/b)

f. Opportunists average AR (a/b)

g. Gleaners average θ (degrees)

h. Opportunists average θ (degrees)

584
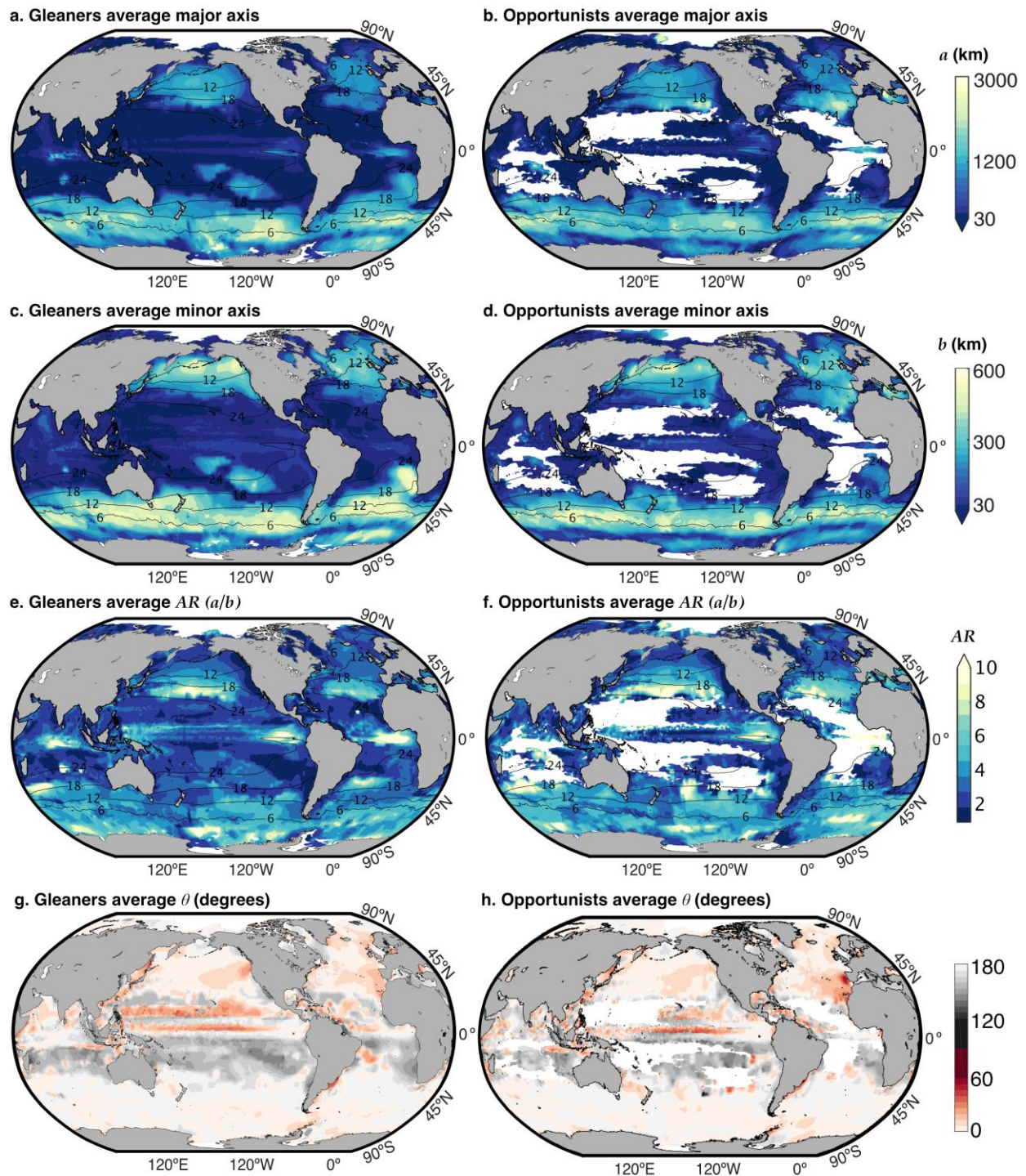
Figure 9. Spatial correlation scales of gleaners and opportunists: **a., b.** major axis, **c., d.** minor axis, **e., f.** major-to-minor aspect ratio, and **g. h.** angles. Angles are reported from 0º to 180º, such that meridional

587   patterns correspond to 90º and zonal patterns correspond to either 0º or 180º. White areas are where

588   opportunist biomass is very low (group biomass below 1% of total phytoplankton biomass).

589

590   Our estimates of phytoplankton population correlation length scales broadly agree with regional

591   length scales estimated from physical and chemical variables from the same model simulation (Fig. S6,

592   S7) and from previous studies [*Hosoda & Kawamura,* 2005; *Kessler et al.,* 1996; *Kuragano & Kamachi,*

593   2000; *Mazloff et al.,* 2018]. In general, phytoplankton and environmental correlation length scales are

594   long in the Southern Ocean and Equatorial Pacific, but shorter in western boundary currents and

595   subtropical regions. A recent analysis of satellite derived and simulated values of low-passed filtered

596   SSH, SST, heat and carbon content in the Southern Ocean suggests large correlation length scales on the

597   order of 500 km to 4000 km zonally and 500 and 1000 km meridionally [*Mazloff et al.,* 2018]. Zonal

598   length scales from *in situ* SST and thermocline depth in the Equatorial Pacific are about 10.2º (~1110 km)

599   and 11.6º (~1280 km), respectively [*Kessler et al.,* 1996]. In the Kuroshio region, the spatial correlation

600   analysis of satellite SST revealed correlation length scales between 78 and 230 km, with the smallest

601   length scales observed in the most dynamical regions [*Hosoda and Kawamura,* 2005]. In a global analysis

602   using TOPEX-POSEIDON SSH anomaly data from 1993 to 1996, *Kuragano and Kamachi* [2000] found

603   large zonal length scales in the Equatorial region (1300 – 2600 km), as well as in the Subpolar gyres (470

604   – 960 km) and small length scales in the Subtropical gyres and boundary currents (100 – 260 km).

605   Though we do not directly correlate the length scales of physical variables to the modeled phytoplankton

606   variables in this study, the available evidence suggests that the gradients in correlation length scales are

607   qualitatively similar.

608   **4.3.1 Length scales and current speed**

609   As with the earlier discussion of correlation timescales, we now consider how correlation length

610   scales may be tied to advection. In this case, the relationship between current speed and length scales or

611   aspect ratio is complicated due to the many possible drivers of spatial correlation structure.

612   Very large length scales and aspect ratio can occur in areas of the ocean with relatively low

613   average current speed, due to large-scale uniform synoptic forcing [e.g., *Hosoda and Kawamura,* 2005].

614   Turbulent mixing may also disperse and homogenize phytoplankton further away from the region of

615   direct influence of an ocean current. Western Boundary currents have elongated spatial correlation fields,

616   but their length scales are shorter and aspect ratios smaller. For example, the correlation structure in the

617   poleward flowing Gulf Stream (Fig. 8d) has a smaller aspect ratio than the zonal ACC (Figs 8a). This

618   suggests that when the direction of the correlation structure is across strong environmental and biomass

619   gradients, such as the Gulf Stream flowing northward from tropical to temperate waters (Fig. 8d),

620   synoptic atmospheric forcing and eddy activity along the current edges modify the major and minor axes.

621   This creates overall smaller and less elongated correlation structures than in zonal currents. The short

622   minor axis length scales in Western Boundary Currents also reflect the limited cross-jet exchange with the

623   adjacent water masses.

624
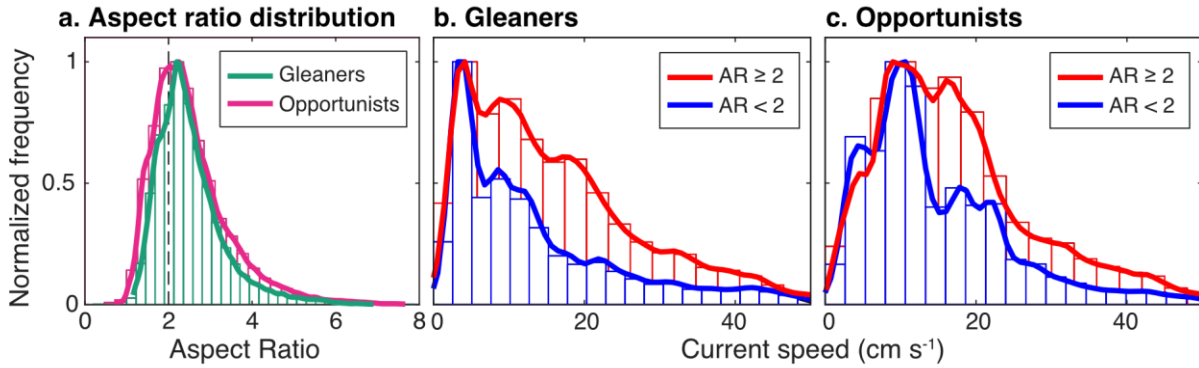
625   **Figure 10 a.** Frequency distribution of global aspect ratios from biomass anomalies of gleaners and

626   opportunists for areas with length scales below 300 km. The 25th percentile of both distributions is found

627   at approximately AR = 2 (black dashed line). This value is used as a threshold for the comparisons of

628   frequency distributions of current speed in areas with very elongated correlation structures (AR $\geq$ 2) and

629   more isotropic structures (AR < 2) for gleaners (**b.**) and opportunists (**c.**). Distributions of current speed

630   with AR $\geq$ 2 and AR < 2 are significantly different (Smirnoff-Kolmogorof test p < 0.01).

631   If we focus on only those regions with a major axis length scale less than 300 km (i.e., the

632   maximum length scales found at boundary currents), a clearer picture of the relationship between current

633   speed and the elongation of correlation structures emerges. This subset of the global ocean represents

634   51.2% and 59.7% of the ocean area for gleaners and opportunists, respectively. The subset includes

635   western boundary currents, coastal upwelling areas and subtropical gyres, but excludes the Southern

636   Ocean, open waters in the North Pacific, the central and eastern North Atlantic, and certain equatorial

637   areas. Differences in the distribution of AR for gleaners and opportunists are negligible for this subset

638   (Fig. 10a), as for the entire global results (Fig. S8). The median AR of the subset is 2.36 for gleaners and

639   2.34 for opportunists, and the first quartile is approximately AR = 2 for both types of phytoplankton (Fig.

640   10a). We use this threshold to distinguish regions with more or less anisotropic correlation structures.

641   Elongated correlation structures (AR > 2) are more common in regions of relatively high current speed,

642   and more isotropic correlations structures (AR < 2) are more common in regions of relatively low

643   currents speeds (Fig. 10b, c). Thus, we conclude that current speed is an important factor affecting the

644   spatial correlation of phytoplankton communities in this subset of the global ocean. Nevertheless, some

645   regions of strong advection have low aspect ratios. This effect occurs mainly in the eastward extensions

646   of Western Boundary Currents, which are ocean regions characterized by large numbers of eddies

647   [*Chelton et al.,* 2011]. In these eddy dominated areas, the dispersing effect of turbulent mixing in all

648   directions is a relatively important driver of tracer distributions compared with other regions of the ocean.

649
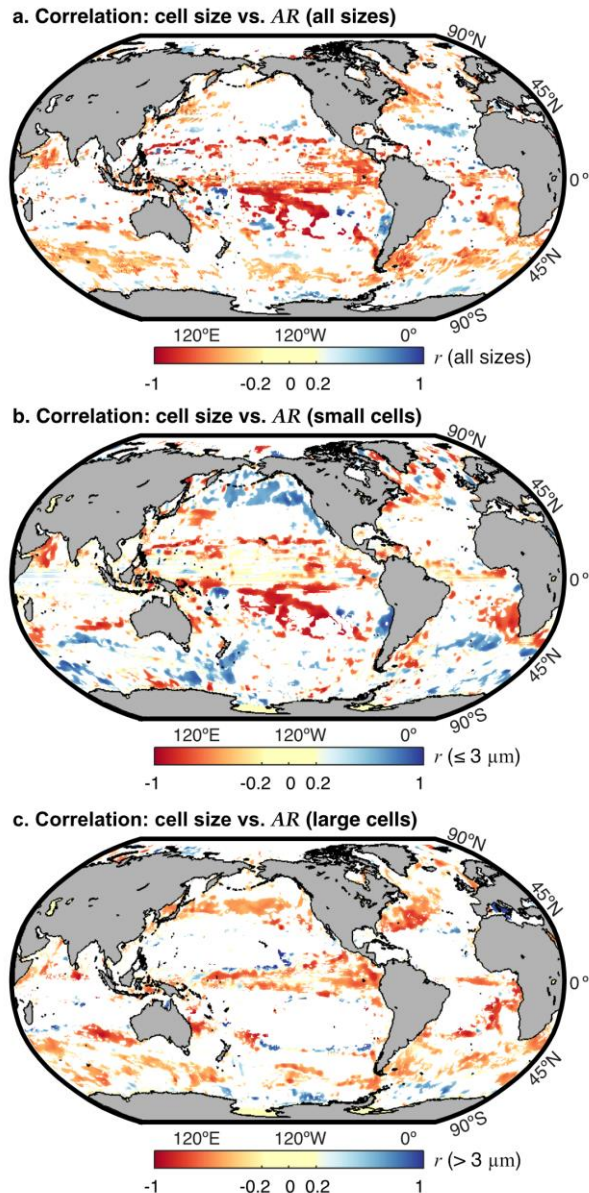
650   **Figure 11** Analysis of the relationship between cell size and aspect ratio (*AR*) derived from length scales

651   of correlation: **a.** Pearson correlation coefficient (*r*) of the relationship between cell size and *AR*

652   considering all significantly abundant phytoplankton phenotypes. The number of the significantly

653   abundant phytoplankton phenotypes used in the correlation is the same as Figure 7a.; **b.** Same analysis as

654   panel a., but considering only phenotypes ≤ 3μm; **c.** Same analysis as panel a., but considering only

655   phenotype > 3μm.

656   **4.3.2 Length scales and cell size**

657   Smaller phytoplankton have, on average, larger aspect ratios than larger phytoplankton cells (Fig.

658   11a; indicated by areas of negative correlation, in red, between aspect and cell size). The negative

659   relationship between cell size and aspect ratio is most apparent in the Southern Ocean, Western Boundary

660   Currents, and the Subtropics (Fig.11a). Similar spatial patterns are observed when we analyze large

661   phytoplankton (≥ 3μm; Fig. 11c), with mostly negative correlations between cell size and aspect ratio.

662   For small cells (< 3μm; Fig. 11b), correlations between aspect ratio and cell size are negative in the

663   tropics but more varied and equivocal elsewhere, likely due to the fewer number of taxa included in this

664   category. We speculate that the overall negative relationship between aspect ratio and cell size may be

665   related to the abundance of each phytoplankton phenotype, which generally decreases with size. Smaller

666   phytoplankton have larger populations and disperse greater distances than do larger phytoplankton

667   [*Villarino et al.,* 2018].

668   **5 Discussion and conclusions**

669   In this study, we estimated global timescales and spatial scales of correlation in a state-of-the-art

670   physical-ecosystem ocean model, with 35 simulated phytoplankton phenotypes covering a broad range of

671   phytoplankton functions and sizes. By calculating and using biomass anomalies, we focus on the intra-

672   annual variability of phytoplankton biomass, which is more likely to reflect the effects of dispersal. The

673   correlation timescale analysis provides information about the persistence of anomalies and the stability of

674   the planktonic system. The results from the spatial correlation analysis indicate the extent of regions in

675   the ocean which act in concert, driven by advection, mixing, synoptic events, or a mixture of all these

676   drivers.

677   Although the model we use here is unique in its ecosystem complexity, it still only represents a

678   few tens of phytoplankton phenotypes compared to the many thousands of species in the real ocean.

679   Additionally, the model resolution is about 18 km, which permits the development of eddies and narrow

680   currents, but does not capture sub-mesoscale processes. Sub-mesoscale processes are also likely to affect

681   both spatial and temporal timescales of phytoplankton communities, and understanding their impacts

682   would require investigating model output at much higher spatial resolution and temporal frequency.

683   Even though the model resolution is nominally 18 km, coastal regions and inland seas may not be
684   simulated with fidelity. Therefore we focus our interpretation of the results on the pelagic ocean.

685   The results presented in this study should be considered only as a first step in defining the
686   phytoplankton community correlation scales globally. Previous studies of biogeochemically important
687   scales in the oceans have been mostly limited to satellite chlorophyll [*Doney et al.,* 2003; *Fuentes et al.,*
688   2000; *Glover et al.,* 2018]. These previous studies have largely focused on quantifying the impact of
689   mesoscale features, and using different methodologies to overcome data gaps due to the presence of
690   clouds. In a different type of study, *Henson et al.,* [2016] used model output from Earth System Models to
691   explore the role of temporal and spatial scales on trend detection in several biogeochemical variables (e.g.
692   chlorophyll, primary production, pH), and their implications for Earth observing systems. In that study,
693   the footprint of existing and planned timeseries stations were obtained based on statistical similarity in
694   terms of means and variability for surrounding grid cells.

695   Here, for the first time, we instead look at timescales and spatial scales of correlation of
696   ecological variables, and we provide robust point by point evaluations of these scales. Our results can thus
697   provide information for any future observing system design from an ecologically relevant perspective. For
698   instance, we find that regions with fast currents are more likely to exhibit short correlation timescales
699   (Figs. 4-6). Conversely, in more quiescent regions such as the cores of the subtropical ocean gyres,
700   phytoplankton anomalies persist for long periods. As such, observing these different systems would
701   require different sampling strategies: much more frequent in the former than the latter. In the northern
702   hemisphere high latitudes, mesoscale currents and eddy activity imprint a signal of short timescales (Fig.
703   5 a, c), again suggesting that monitoring systems in these regions would require more frequent sampling.
704   Phytoplankton timescales are shorter than the timescales of physical and chemical variables that control
705   phytoplankton growth, such as temperature and nitrate. In the case of temperature, the large heat capacity
706   of the ocean imprints a general pattern of long timescales on the order of several months. In the case of
707   nitrate, the decoupling of timescales is prevalent at high latitudes where light, rather than nutrients, is a
708   seasonal limiting factor for phytoplankton growth.

709   Monitoring of different types of phytoplankton is also likely to be complicated. The relationships
710   between correlation timescales and cell size are noisy and complex, but exhibit spatial coherence globally
711   suggesting underlying dynamical or ecological origins. Overall, we find that larger phytoplankton tend to
712   have longer correlation timescales than smaller phytoplankton (Fig. 7b), but there are contrasting patterns
713   for the smallest (≤3μm) and largest (>3μm) phytoplankton, as well as by region (Fig. 7c-e). We separate
714   phytoplankton into these two size categories as observations suggest that there are contrasting patterns of

715   correlation between growth rates and size: growth rates increase with cell size in the smaller category and

716   decrease with size in the larger category [e.g. *Marañón et al.,* 2013]. This characteristic is also captured in

717   the model configuration and emergent in the correlation timescales results (Fig 7e). In the Southern

718   Ocean, correlation timescales decrease with increasing body size for small phytoplankton (negative

719   relationship), but increase with body size for larger phytoplankton (positive relationship). Conversely, in

720   the subtropics correlation timescales increase with body size for small phytoplankton (positive

721   relationship), but decrease with increasing body size for larger phytoplankton (negative relationship). The

722   relationships can be thus characterized by a "humpback" shape or convex curve in the subtropics, and by

723   a "U" shape or concave curve in the Southern Ocean (Fig. 7e). These patterns may reflect trade-offs

724   between resource acquisition and predation. In the model, the smaller category has nutrient affinity

725   decreasing with cell size [e.g., *Edwards et al.,* 2012], the growth rate increasing [*Marañón et al.,* 2013],

726   and the predators grazing rates decreasing [*Hansen et al.,* 1997]. In the larger size class, nutrient affinity,

727   grazing, and growth rate all decline with cell size [e.g., *Edwards et al.,* 2012; *B. Hansen et al.,* 1994;

728   *Marañón et al.,* 2013]. These factors lead to different regional distributions, with the smaller category

729   having a much more regionally uniform distribution (Fig. 3). Monitoring the differences in correlation

730   scales between phytoplankton phenotypes could therefore help us understand some of the major

731   controlling mechanisms across sizes. These differential relationships between cell size and correlation

732   timescales also imply that sampling frequency may need to be different for different phytoplankton

733   phenotypes.

734   Our study of correlation length scales provides a mechanism to understand how far (in distance) a

735   single station observation can provide insight into phytoplankton community dynamics. We find that the

736   global ocean is predominantly anisotropic (Fig. 9, S8). The strongest anisotropic features are zonal, along

737   the equatorial region, in the ACC, and along major ocean fronts. In such regions an observational site will

738   provide context and insight for extensive regions in the east-west direction, but less insight to the north

739   and south. Elongated spatial correlation fields also occur in Western Boundary Currents, but their length

740   scales are shorter because of strong eddy mixing, limited cross-jet exchange, and meridional variations in

741   local forcing, such as heat fluxes. Thus, biological measurements taken within a current jet provide

742   insight about processes along-flow, but very little information of across-current processes. More isotropic

743   correlation structures are present where current speeds are low and where eddies or recirculation disperse

744   phytoplankton equally in all directions. In contrast with correlation timescales (Fig. 7b-e), the correlation

745   length scales have a predominantly negative relationship with cell size across most areas of the ocean

746   (Fig. 11). This implies that resolving spatial dynamics of large cells requires denser spatial observations

747   than for small cells, and that this relationship does not vary strongly in space.

31

748   Our results could also be a starting place to explore implications for our existing observing

749   systems. For instance, the correlation timescales for total biomass at ALOHA and BATS are 13 and 6

750   days. Given that sampling at these stations is monthly, our results suggest that transient non-seasonal

751   changes to their phytoplankton communities are not adequately captured by the current sampling strategy

752   (though clearly seasonal and interannual variability are captured by these sites). The spatial correlation

753   structures and fitted ellipses we calculated for these locations (Fig. 8f, g) indicate the regions that will

754   have similar responses to what is seen at these timeseries sites. For a small prokaryote, the major axis

755   length scales we estimate for these two locations are 41.1 and 680.6 km. Thus, suggesting that any

756   variability seen at the timeseries may be relevant only over these spatial scales, and the stations do not

757   provide insight into the full subtropical gyres as is sometimes assumed.

758   This study thus offers a quantitative, global-scale estimation of the temporal and spatial scales of

759   correlation in phytoplankton communities, with a unique ecological perspective that cannot be obtained

760   with current observations alone. Its results provide unprecedented background information to explore

761   regional differences, as well as differences between sub-populations of the planktonic system.

762   Understanding the scales of correlation of different phytoplankton phenotypes can also influence our

763   understanding of global patterns of distribution of their predators, including zooplankton and larval fish.

764   As in the case of correlation scales from physical ocean variables, this information can potentially aid in

765   the design of biological ocean observing networks and monitoring campaigns by guiding decisions about

766   optimal sampling frequency and distance between monitoring stations in different regions. This is

767   particularly important as new biological sampling methods capable of acquiring massive amounts of data,

768   such as genomics and biogeochemical-Argo, become more widely used in the study of marine microbial

769   ecology.

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Figure 1.

a. Correlation timescales diagram
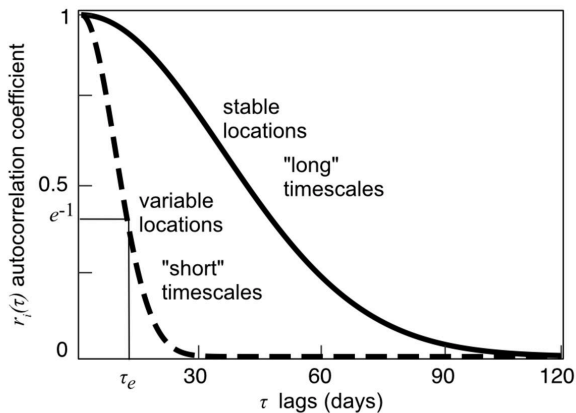
b. Correlation length scales diagram
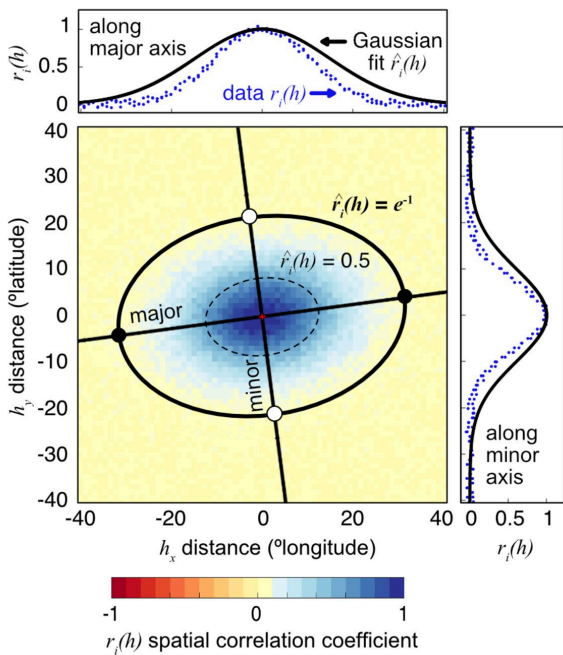
Figure 2.

a. Total phytoplankton biomass

$\sum_{i=1}^{n} P_i$ (mmol N m^{-3})

0   1   2   3

b. Average SST

SST (°C)

0   10   20   30
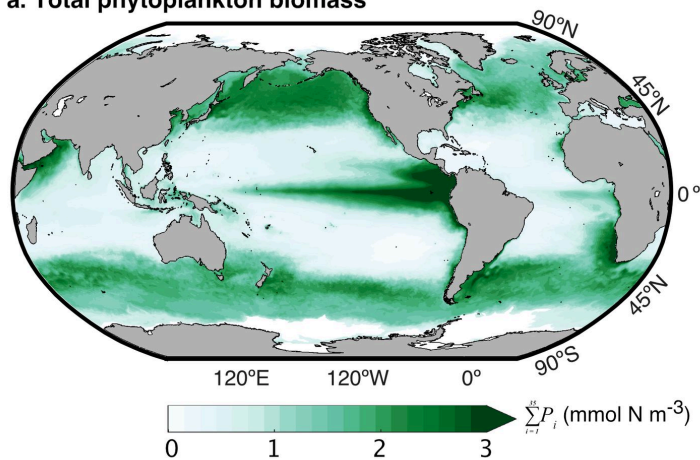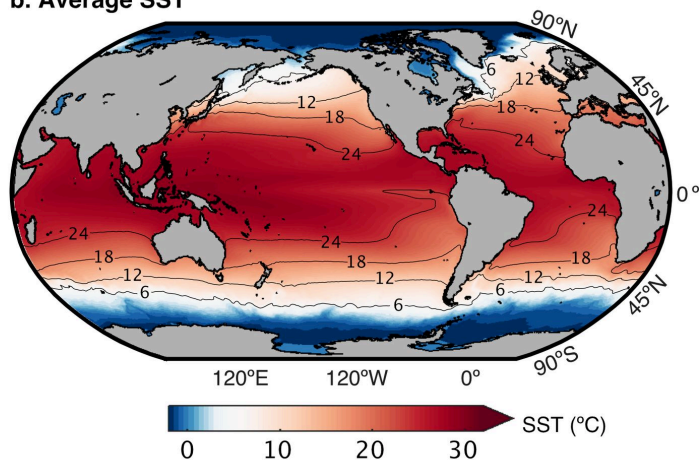
c. Average surface current speed

speed (cm s^{-1})

0   10   20   30   40

d. Eddy kinetic energy

EKE (cm^2 s^{-2})

0   250   500   750   1000
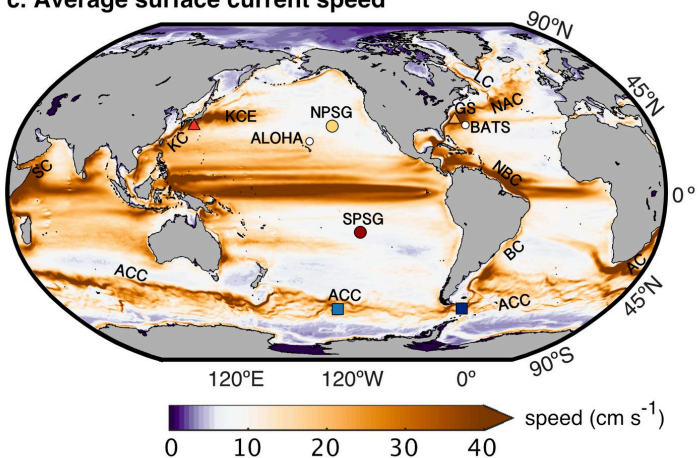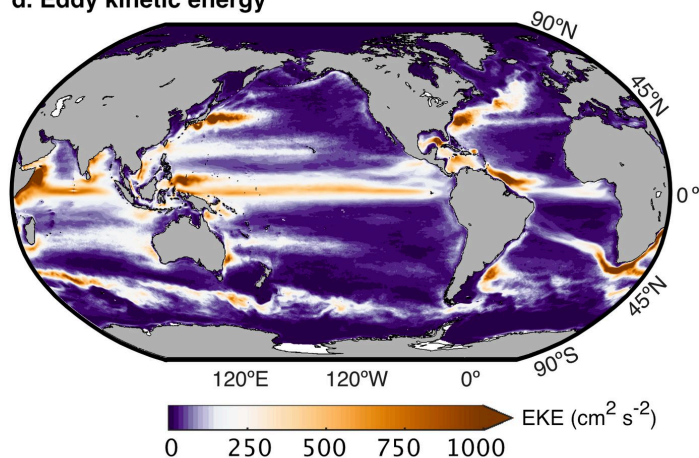
Figure 3.

a. Gleaners biomass
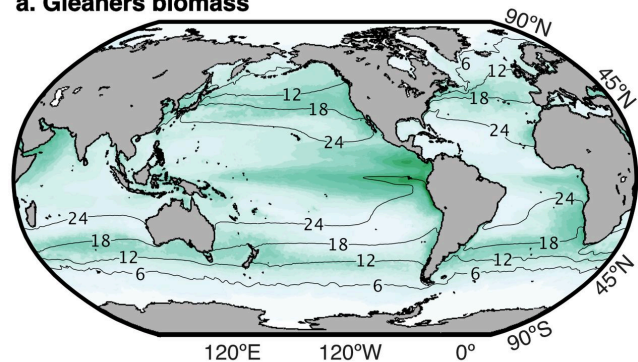
b. Opportunists biomass
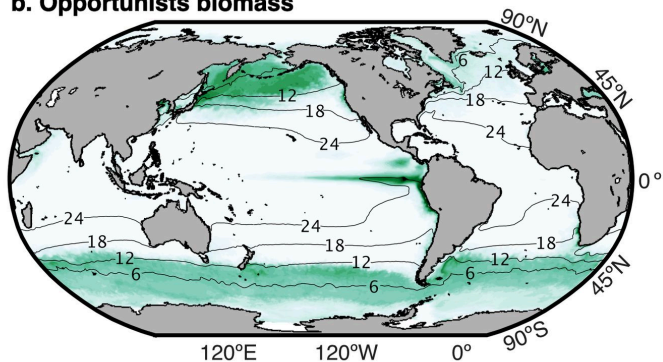
c. Small phytoplankton (≤ 3 μm) biomass

d. Large phytoplankton (> 3 μm) biomass

Phytoplankton biomass (mmol N m^{-3})
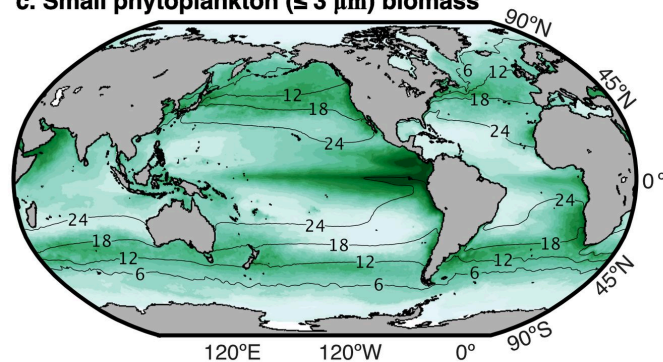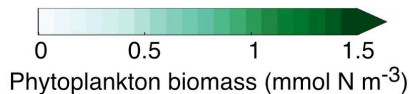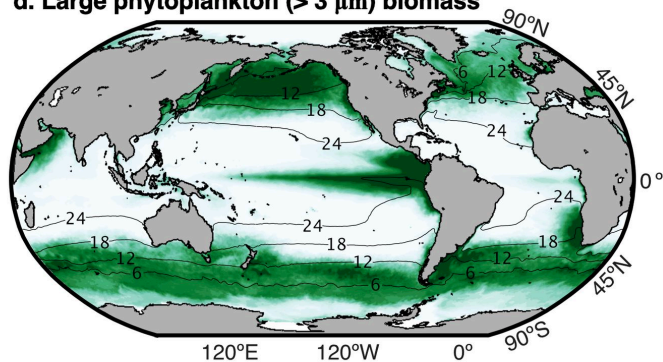
Figure 4.

a. Total biomass τ_e
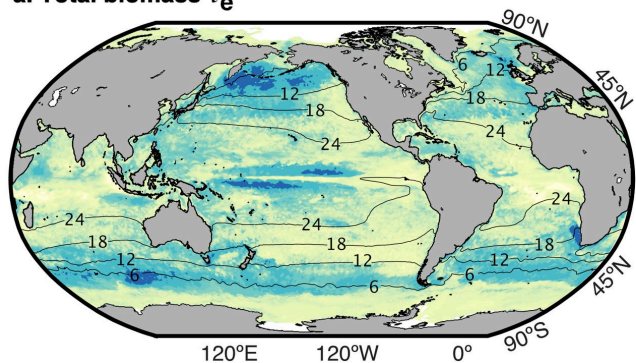
b. Opportunists average τ_e
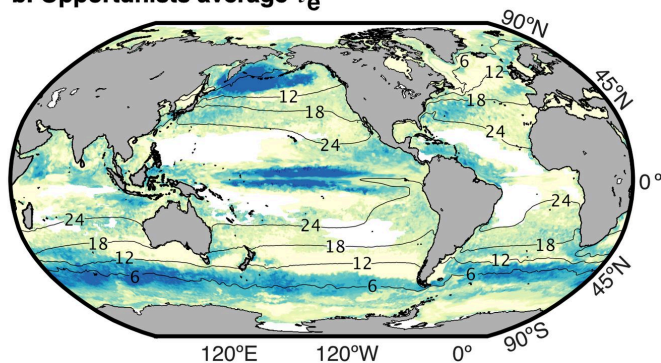
c. Gleaners average τ_e
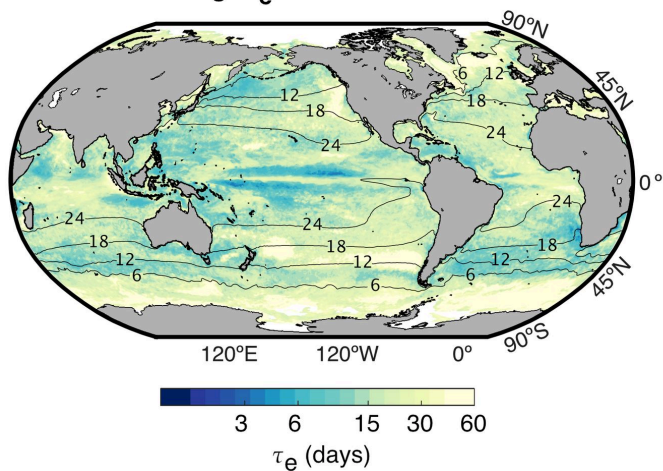
d. τ_e frequency distribution
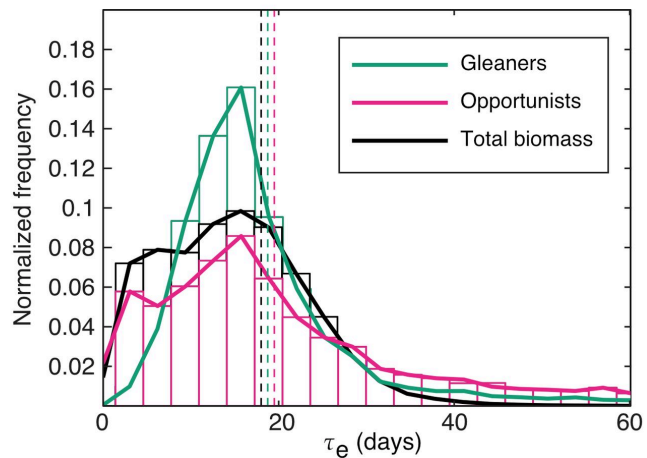
Figure 5.

a. Gleaners average τ_e (Gulf Stream)

b. Gleaners average τ_e (ACC)

c. Opportunists average τ_e (Gulf Stream)

d. Opportunists average τ_e (ACC)

τ_e (days)

Figure 6.

a. Gleaners τ_e and current speed frequency

b. Opportunists τ_e and current speed frequency

e. Current speed vs. SST' variance

c. Currents speed frequency relative to gleaners τ_e

d. Currents speed frequency relative to opportunists τ_e

τ_e (days)

Current speed (cm s^{-1})

Normalized frequency

Normalized frequency

$\sigma_{SST'}$ (°C)

- $\tau_e \leq 15$ days
- $\tau_e > 15$ days
- whole ocean

- ACC (57°W, 57°S)
- ACC (141°W, 57°S)
- NPSG
- KCE
- GS
- SPSG

Figure 7.

a. Number of species in correlation

90°N
45°N
0°
45°S
90°S

120°E   120°W   0°

# of species

0   7   14   21   28   35

b. Correlation: cell size vs. τ_e (all sizes)

90°N
45°N
0°
45°S
90°S

120°E   120°W   0°

r (all sizes)

-1   -0.2   0   0.2   1

c. Correlation: cell size vs. τ_e (small cells)

90°N
45°N
0°
45°S
90°S

120°E   120°W   0°

r ($\leq 3\ \mu m$)

-1   -0.2   0   0.2   1

d. Correlation: cell size vs. τ_e (large cells)

90°N
45°N
0°
45°S
90°S

120°E   120°W   0°

r ($> 3\ \mu m$)

-1   -0.2   0   0.2   1

e. Non-linear relationships examples

Carbon specific maximum growth rate (d^{-1})

0.76   1.13   0.78   0.58

▼ Southern Ocean location
□ centered at 138.9°W – 60.7°S

τ_e (days)

120
100
80
60
40
20

0.6   3   15   70

Equivalent spherical diameter (μm)

Carbon specific maximum growth rate (d^{-1})

0.76   1.13   0.78   0.58

▼ Tropical Pacific
□ location
centered at
156.7°W –
7.5°S

80
60
40
20

0.6   3   15   70

Equivalent spherical diameter (μm)

○ Prokaryotes & pico-eukaryotes
○ Coccolithophores
○ Diazotrophs
○ Diatoms
○ Mixotrophic dinoflagellates
— 2nd order polynomial fit to each grid
   point data
— Average fit

Figure 8.

a. ACC
$a = 1975.1$ km
$b = 479.5$ km
$AR = 4.1$
$\theta = 1°$

b. Kuroshio Current
$a = 117.1$ km
$b = 41$ km
$AR = 2.9$
$\theta = 26°$

c. Equatorial Pacific
$a = 707.7$ km
$b = 121.7$ km
$AR = 5.8$
$\theta = 175.7°$

d. Gulf Stream
$a = 65.1$ km
$b = 178.55.1$ km
$AR = 2.7$
$\theta = 86.8°$

e. Malvinas Current
$a = 489.7$ km
$b = 377.4$ km
$AR = 1.3$
$\theta = 6°$

f. Station ALOHA
$a = 41.1$ km
$b = 22.2$ km
$AR = 1.8$
$\theta = 14°$

g. BATS Station
$a = 680.6$ km
$b = 114.0$ km
$AR = 6$
$\theta = 8.2°$

$r_i(h)$ spatial correlation coefficient

—— $\hat{r}_i(h) = e^{-1}$ + $k\,(x_k, y_k)$

Figure 9.

a. Gleaners average major axis   **b. Opportunists average major axis** — a (km)

c. Gleaners average minor axis   **d. Opportunists average minor axis** — b (km)

e. Gleaners average AR (a/b)   **f. Opportunists average AR (a/b)** — AR

g. Gleaners average θ (degrees)   **h. Opportunists average θ (degrees)**

Figure 10.

a. Aspect ratio distribution

Normalized frequency

Gleaners
Opportunists

Aspect Ratio

b. Gleaners

AR ≥ 2
AR < 2

c. Opportunists

AR ≥ 2
AR < 2

Current speed (cm s^{-1})

Figure 11.

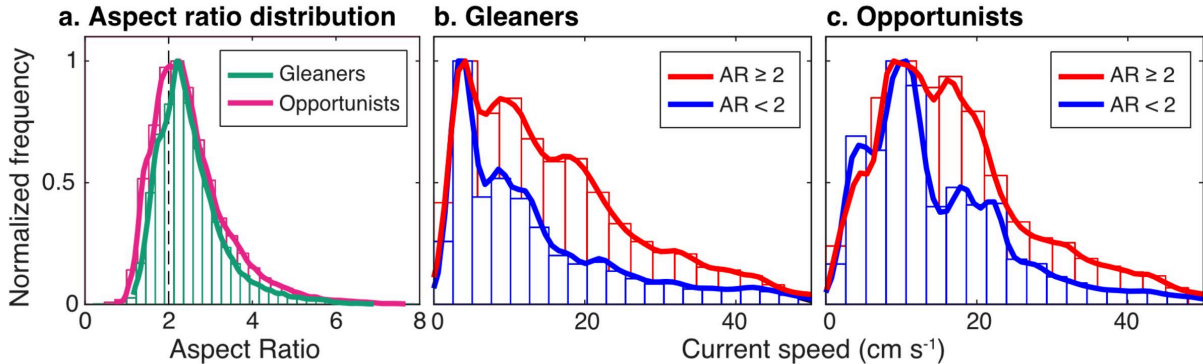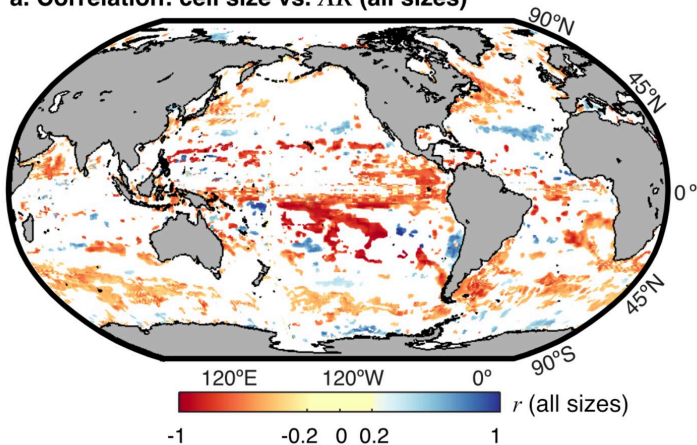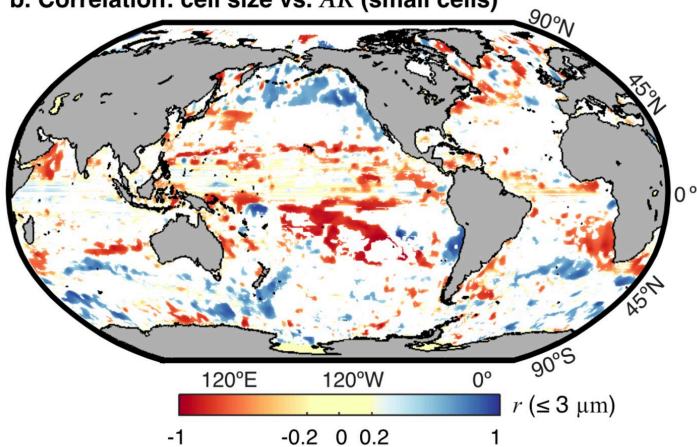**a. Correlation: cell size vs. *AR* (all sizes)**

b. Correlation: cell size vs. *AR* (small cells)

c. Correlation: cell size vs. *AR* (large cells)