



## Knee Acoustic Emissions as a Digital Biomarker of Disease Status in Juvenile Idiopathic Arthritis

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Juvenile Idiopathic Arthritis. Front. Digit. Health 2:571839. doi: 10.3389/fdgth.2020.571839 In this paper, we quantify the joint acoustic emissions (JAEs) from the knees of children with juvenile idiopathic arthritis (JIA) and support their use as a novel biomarker of the disease. JIA is the most common rheumatic disease of childhood; it has a highly variable presentation, and few reliable biomarkers which makes diagnosis and personalization of care difficult. The knee is the most commonly affected joint with hallmark synovitis and inflammation that can extend to damage the underlying cartilage and bone. During movement of the knee, internal friction creates JAEs that can be non-invasively measured. We hypothesize that these JAEs contain clinically relevant information that could be used for the diagnosis and personalization of treatment of JIA. In this study, we record and compare the JAEs from 25 patients with JIA-10 of whom were recorded a second time 3-6 months later-and 18 healthy age- and sex-matched controls. We compute signal features from each of those record cycles of flexion/extension and train a logistic regression classification model. The model classified each cycle as having JIA or being healthy with 84.4% accuracy using leave-one-subject-out cross validation (LOSO-CV). When assessing the full JAE recording of a subject (which contained at least 8 cycles of flexion/extension), a majority vote of the cycle labels accurately classified the subjects as having JIA or being healthy 100% of the time. Using the output probabilities of a JIA class as a basis for a joint health score and test it on the follow-up patient recordings. In all 10 of our 6-week follow-up recordings, the score accurately tracked with successful treatment of the condition. Our proposed JAE-based classification model of JIA presents a compelling case for incorporating this novel joint health assessment technique into the clinical work-up and monitoring of JIA.

## **ONE SENTENCE SUMMARY**

The sounds a knee makes when it moves can be used to diagnose and track the severity of disease in children with juvenile idiopathic arthritis.

Keywords: wearable sensors, machine learning, juvenile idiopathic arthiritis, acoustic sensing, signal processing

## **III5 INTRODUCTION**

116 Juvenile idiopathic arthritis (JIA) describes a heterogeneous 117 group of arthritides that present in children. JIA encompasses 118 all forms of arthritis that begin before a patient is 16 years 119 old, lasts for at least 6 weeks, and are of an unknown 120 origin. It is a leading cause of disability and the most 121 common chronic rheumatic disease of childhood with a 122 prevalence of 150 cases per 100,000 (1). It is an autoimmune 123 disorder with a complex etiology thought to be related to a 124 combination of pre-disposing genetic factors and environmental 125 influence (2, 3). 126

The heterogeneity of presentation sometimes makes 127 diagnosing JIA difficult. This difficulty is exacerbated by 128 the lack of conclusive, diagnostic laboratory tests. Diagnosis 129 currently relies on taking a thorough history, physical exam, 130 and several laboratory and imaging studies (4). Once diagnosed, 131 to select the most suitable treatment for JIA, the disease 132 should be classified into its subtype. JIA is divided into 133 seven subtypes based on laboratory and clinically observed 134 features (5, 6). To determine the most appropriate subtype, 135 and thus the most effective therapy, an extensive workup 136 must be performed on each patient. This is a time and 137 resource intensive process. These workups include the history 138 and physical exam, as well as a full blood exam. Imaging 139 studies are also commonly used to grade the disease. After 140 diagnosis, the goal is to enable the child to resume normal 141 childhood activities with normal growth and development (4). 142 Managing JIA requires a combination of pharmacological 143 interventions, physical and occupational therapy, and 144 psychosocial support. The pharmacological treatment may 145 involve corticosteroids, non-steroidal anti-inflammatory 146 drugs (NSAIDS), or disease-modifying anti-rheumatic drugs 147 (DMARDs) including biological response modifiers (7-9). 148 This treatment protocol is largely reactive with decisions made 149 based on subjective and qualitative measures of response 150 to therapy. 151

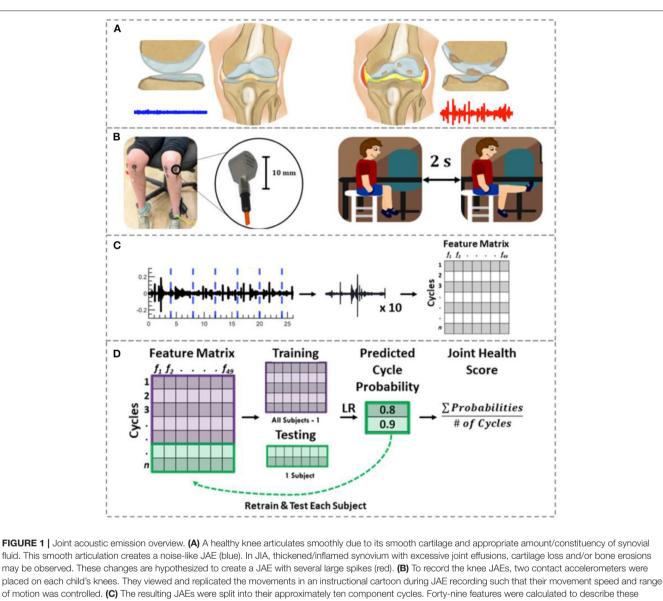
Early diagnosis with effective treatment is necessary for 152 preventing the long-term sequela of JIA (4). However, JIA's 153 highly variable presentation, symptomatology and course make 154 diagnosis and selection of the most suitable treatment difficult. 155 Pediatric rheumatologists are most well-suited for diagnosing 156 and treating JIA; however, there is currently a severe shortage 157 of pediatric rheumatologists. As of 2019, there are fewer than 158 400 board-certified and practicing pediatric rheumatologists 159 in the United States. This shortage contributes to only one 160 in four children with JIA being able to regularly see a 161 pediatric rheumatologist (10, 11). To address the difficulty of 162 diagnosis, subjectivity of treatment, and severe lack of access to 163 pediatric rheumatologists, more research must be performed in 164 to develop objective biomarkers of JIA. A suitable biomarker 165 could help more effectively diagnose patients, identify risk 166 profiles, and predict/track an individual's response to treatment. 167 Additionally, the development of such a biomarker could 168 allow for more effective translation of the many genetic and 169 immunological mechanistic studies of the disease to further 170 171

improve clinical outcomes. Ideally, this biomarker would also be readily measurable with affordable technologies, so that JIA could be easily diagnosed and monitored by non-specialist healthcare workers.

The use of acoustics-recording the sounds that the joints 176 make during movement-could provide a basis for developing 177 such a biomarker (12). These sounds, or joint acoustic emissions 178 (JAEs), can be readily measured on the surface of the skin and 179 have shown promise in diagnosing joint pathologies and injuries. 180 Most existing research into JAEs has focused on developing 181 diagnostic techniques to differentiate "healthy" vs. "unhealthy" 182 joints (13, 14). In one study, osteoarthritic knees were found 183 to produce more frequent, louder, and longer duration acoustic 184 emissions when compared against healthy knees (15). In the 185 case of a chronic condition-such as JIA-JAEs could serve 186 as a means of not only diagnosing but also longitudinally 187 monitoring the conditioning. If JAEs show a correlation with 188 disease status in JIA, they could regularly be measured to help 189 personalize the management of JIA. Until recently, longitudinal 190 assessment using JAEs in healthcare was not feasible due 191 to a lack of technologies for recording JAEs outside of a 192 laboratory or clinical setting. However, the development and 193 application of piezoelectric accelerometers to JAE assessment has 194 substantially advanced the field. This type of sensor is sensitive to 195 physical vibrations (such as those seen on the skin during joint 196 articulation), but does not substantially record external noises 197 (16). JAE assessment technologies if properly applied to JIA, 198 could lead to earlier diagnosis, improved and personalized care, 199 and could serve as an objective measure in the next generation of 200 clinical trials. 201

In this paper, we explore the potential of using JAE analysis 202 to diagnose and longitudinally track JIA. In this work, JAEs 203 were recorded from the knees - one of the most commonly 204 affected joints in JIA (17, 18). Our team recently showed 205 that by damaging the meniscus in a cadaver model of the 206 knee, the resulting JAEs were substantially altered (19). In 207 the case of JIA, affected joints are characterized by persistent 208 joint swelling caused by an accumulation of synovial fluid 209 and thickening of the synovial lining (3) (Figure 1A). We 210 hypothesize that these pathologic changes in the knee will 211 similarly alter JAE profile of the knee. If that hypothesis is 212 supported, the JAEs of the knee could then be correlated with 213 disease status. 214

To test this hypothesis, first, we built a custom hardware 215 and software setup for recording JAEs and designed a novel 216 signal analysis algorithm that windows the JAE recording based 217 on the cycles of flexion/extension (Figures 1B-D). We placed 218 two piezoelectric accelerometers medial and lateral to the distal 219 patellar tendon, and an inertial measurement unit (IMU) around 220 the ankle. With the hardware in place, the subject performs 10 221 flexion/extension cycles. The JAEs from the knees of two groups 222 of children are recorded: one group had active JIA and the 223 other was an age- and sex-matched healthy control group. To 224 assess the effectiveness of JAEs for tracking therapeutic efficacy 225 and changes in disease status, we also recorded the JAEs from 226 the children with JIA 6 weeks after successful treatment. Our 227



of motion was controlled. (C) The resulting JAEs were split into their approximately ten component cycles. Forty-nine features were calculated to describe these cycles. The features, subject numbers, and clinically determined disease status were fit to a feature matrix. (D) Using logistic regression and LOSO-CV, the probability of each cycle belonging to JIA were calculated. The average of those cycle probabilities is used as a "joint health score" to indicate the severity of JIA. If the majority of cycles for a given subject had a probability of JIA  $\geq$  0.5, that subject was classified as having JIA.

proposed algorithm, powered by logistic regression, analyses 49 signal features (summarized in **Supplementary Table 1**) of each individual cycle of flexion/extension and outputs the probability that a cycle belongs to a patient with JIA. This output probability forms the basis for our proposed JIA digital biomarker. Finally, we assess the importance of each signal feature in the algorithm as well as the accuracy and generalizability of the model using leave-one-subject-out cross-validation (LOSO-CV).

## RESULTS

### 283 Qualitative Comparison of Knee JAEs

The JAEs were recorded from the knees of two groups of children. One group had actively inflamed knees with either

newly diagnosed or poorly controlled JIA as diagnosed by their treating pediatric rheumatologist; the other group was composed of age- and sex-matched health controls with no JIA or known injuries to the knee. There are several notable differences in the time-domain patterns of the JAEs between these groups. The 18 healthy controls had no noticeable peaks in their audio signals and upon listening the recorded JAEs resembled white noise (Figure 2A). The 25 subjects with JIA consistently exhibited periodic, high-energy clicks in each flexion-extension cycle. These "clicks" have a spike-like appearance in the time-domain plot which correspond to the high power content in the higher frequency components in spectrogram (Figure 2B). Ten of these patients with JIA had a second recording after 6 weeks of treatment as prescribed by their treating pediatric 

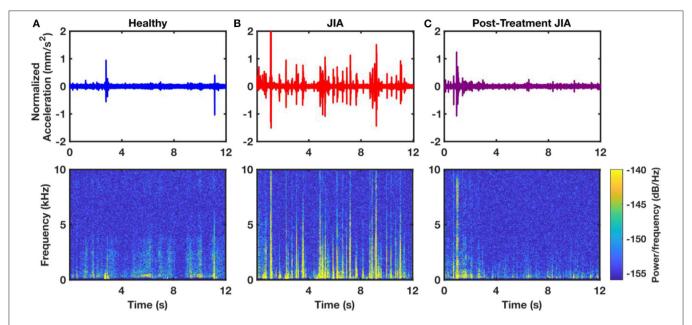


FIGURE 2 | Representative time-domain and spectrogram plots of JAEs from a sample healthy control (A), a subject with active JIA (B), and that same subject after 6-weeks of successful treatment (C). The 12 s of the JAEs represent approximately 4 flexion/extension cycles. Spectrogram of the subject with JIA contains more high power and high frequency components compared to that of healthy and post-treatment subjects.

rheumatologist. The JAEs of this follow-up group showed a large reduction in the amplitude and frequency of the clicks noted during their actively inflamed stage (**Figure 2C**). The posttreatment JAEs more closely resembled the healthy controls both in the time-domain and spectrogram plots of the JAEs as well as in audibly listening to the recordings. A representative subject's JAE recording from each of these groups is presented in **Figure 2**.

## Knee Audio Score Classification

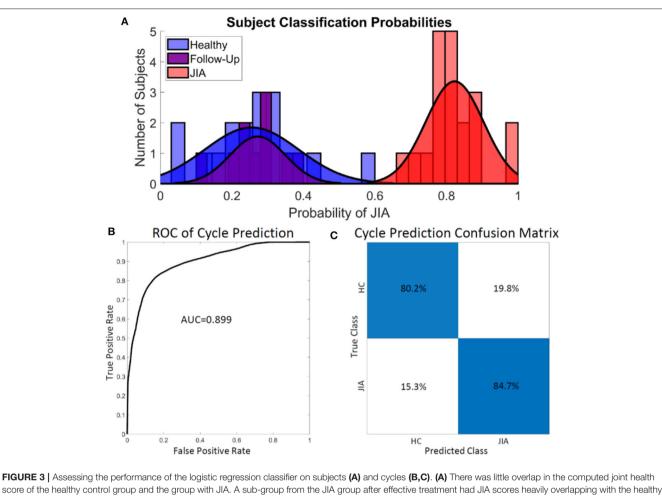
The knee audio score for each subject was defined as the probability of a cycle belonging to a subject with JIA. In this manner, a knee score of 0 indicates 0 probability of having JIA, and a score of 1 indicates an actively inflamed joint with JIA. A threshold was set at a score of 0.5 to delineate the classification of the two groups. A threshold cutoff of 0.5 was chosen heuristically but could theoretically be changed to place an emphasis on sensitivity vs. specificity as desired. Subjects' joint scores were calculated by averaging all the computed cycle probabilities of each individual subject's flexion/extension cycles. The subjectlevel joint scores are presented as a histogram in Figure 3A. Notice the heavy overlap between the healthy (blue) and posttreatment, follow-up subjects (purple). This was expected based on the success of the treatment as reported by the treating pediatric rheumatologist. The JIA distribution is centered around a score of 0.82 with clean separation from the other two distributions. The overall cycle-based logistic regression analysis had an accuracy of 82.7% for classifying individual cycles. The receiver operating characteristic (ROC) curve and confusion matrix are presented in Figures 3B,C. The ROC curve had an area under the curve (AUC) of 0.899. The cycle classification had a specificity of 80.4%, a sensitivity of 84.5%, an error rate of 

20.1%, a positive predictive value (PPV) of 84.7%, and a negative predictive value (NPV) of 90.2%.

# Feature Importance Ranking and Model Performance

Logistic regression is a binary classification algorithm that finds the best hyperplane in the feature space which separates the two classes: healthy and JIA (20). The absolute values of the individual feature weights describing that hyperplane are used to quantify the impact that each feature has on the model and thus its importance. Figure 4A shows the relative importance of the top 20 features used in computing the knee health score. Of note, the majority of these features for classifying the two classes are in the spectral domain which agrees with the results from our earlier pilot work on the topic (12). 

Next, the number of features and cycles were varied to quantify the change in accuracy that the inclusion of each consecutively less important feature and each recorded cycle had on the classification accuracy of each subject. The output of this testing is visualized as an accuracy heatmap in Figure 4B where the color represents the average accuracy from testing on each subject in the dataset using LOSO-CV using the depicted number of features and cycles of movement. At the bottom left of this plot is the accuracy of the model when only trained on the most important feature-the mean spectral spread-and tested on just one randomly selected cycle of flexion/extension from the subject. All permutations of possible cycle selection were performed and averaged to yield the accuracy under these conditions. In the case of just one cycle and one feature, the average cycle classification accuracy was only 11.1%. Ascending along the y-axis, one feature is consecutively added based on 



control group at follow-up. (B,C) The logistic regression model overall classified the individual cycles accurately 82.7% of the time. The model achieved adequately high sensitivity (84.5%) and specificity (80.4%). HC, healthy control. 

its relative importance, such that at the top left corner of the heatmap the model has been trained on the top 20 most important features. Still, when tested with only one cycle from a subject, the accuracy remains low at 25.0%. From left to right, the algorithm is tested on an increasing number of cycles recorded from a subject. The model has an accuracy of 42.8% in the bottom right corner, where it was trained on just the mean spectral spread and tested using all recorded cycles of a subject from all four microphones. The algorithm had the highest accuracy of 80.6% when trained on the top 20 most important features and tested using all recorded cycles. This is slightly <82.7% observed in Figure 3. This discrepancy is because the model in Figure 3 had the added benefit to the classification of all 49 features (Supplementary Table 1), not only the top 20 most important. 

## Knee Audio Score's Longitudinal Health **Tracking Capability**

The knee audio scores were calculated for 10 of the subjects with JIA before and after 3-6 months of treatment. At first visit, these subjects were either newly diagnosed with JIA, or having 

a resurgent flare of arthritis. Their treatments were prescribed according to the current clinical standards by their treating pediatric rheumatologist and were recorded but not controlled for in this study. Every subject at follow-up reported a reduction in symptoms and the treating physician reported an overall improvement of the arthritis. In Figure 5, the calculated joint health scores are shown before and after treatment for this cohort. The average joint health score at initial visit was 0.84  $\pm$ 0.08. At follow-up, the scores dropped to an average of 0.19  $\pm$ 0.09. This drop in joint health scores is statistically significant with a *p*-value =  $5.3 \times 10^{-8}$  (tstat = 16.4, 9° of freedom), when tested with a one-tailed *t*-test. The scores from individual subjects are represented with dashed lines in Figure 5 and in all cases mirror the clinical assessment of their improvement. 

## DISCUSSION

There is a compelling need for the development of a non-invasively measurable biomarker that can both diagnose and track the status of affected joints in JIA. JIA is a chronic, 

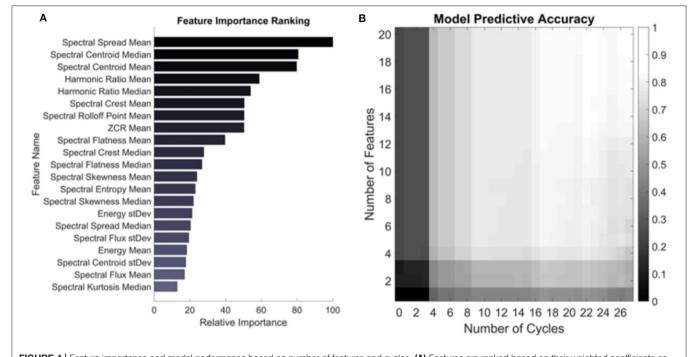


FIGURE 4 | Feature importance and model performance based on number of features and cycles. (A) Features are ranked based on their weighted coefficients as output by the trained logistic regression model. The most important feature was the mean spectral spread. (B) The model was trained on a feature set containing just one and up to 20 of the top features and the accuracy was assessed based on including those features and number of cycles recorded from a subject. The colors represent the average accuracy across all subjects for all permutations of cycle selection for a given set of testing parameters. The maximum accuracy of 80.6% is seen in the top right corner when trained on the 20 most important features and tested on all cycles of a given subject.

autoimmune disease of childhood with a highly variable presentation, an etiology linked to genetics and environment, and a complex treatment strategy (9). Assuming a child is properly diagnosed, determining which treatment regimen will work best for them is largely reactive. A certain course of treatment is prescribed and adjusted based on patient-reported feedback and infrequent clinical assessments. In this work, we explore the impact that JAE monitoring could have on the diagnosis and treatment of JIA. If JAEs were found to contain clinically relevant information, they could potentially be used as an initial screening tool by primary care medical professionals - reducing the burden on the healthcare system of unnecessary referrals to specialists. Furthermore, this could help diagnose patients earlier, which may prevent the long-term sequelae of JIA (17). After diagnosis, if joint sounds were found to closely track with treatment efficacy and joint health longitudinally, they could be used as an objective biomarker to decide or even predict the most effective course of treatment. This would reduce the burden of frequent JIA flare-ups on patients and allow for a tightening of the treatment feedback loop leading to overall better management of the condition.

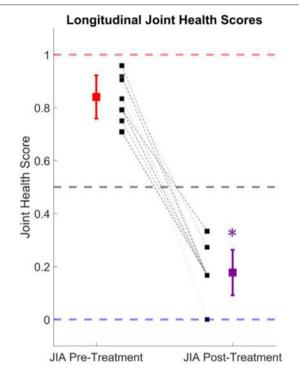
In this study, the effects of JIA on the JAEs produced by articulation of the knee were explored. The study population was made up of 43 subjects, 25 of whom had JIA, and 10 of these 25 subjects had repeat recordings 6 weeks after the initial visit. The JAEs from a pediatric population with JIA of this size have never before been compiled and analyzed. These JAEs were 

first compared qualitatively to better visualize the differences in the recordings as seen in Supplementary Table 1. It was noted that there are characteristic high frequency clicks in the JAEs of subjects with JIA, that fade away with successful treatment and are not present in matched healthy controls' JAEs. More work is needed to determine the precise mechanistic origin of these high frequency clicks, but we hypothesized that they occur due to increased internal friction in the joint, caused by the characteristic inflammation of the synovial membrane, breakdown of cartilage, and reduced joint space in JIA (3, 21). Of note, similar clicks are apparent in the case of acute injury as was recently discovered by our work in a cadaver model of knee injury (19) and a similar study in an injured athlete model (22). Rather than relying strictly on one or even a few characteristics of these JAEs as was done in previous work, in this study we attempt to more thoroughly quantify the differences between the recorded JAEs. We do this by splitting the joint sound recordings from each subject into their component flexion/extension cycles. On each cycle, 49 features (from the spectral and time-domain) were calculated to describe the observed JAEs. These features and cycles were organized into a feature matrix which was used to train a machine learning, classification model using logistic regression. This technique should provide a more exhaustive analysis of the features of the JAEs, and overall be more generalizable than past efforts to interpret JAEs. The results of this model are described below. 

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**FIGURE 5** | Longitudinal joint health score tracking. The average joint health score, which describes the probability of having JIA, dropped from  $0.84 \pm 0.08$  to  $0.19 \pm 0.09$  after successful treatment of the condition in 10 subjects. The individual subject scores are denoted by the black squares and dashed lines. The mean and standard deviation of the actively inflamed subjects with JIA is shown in red, and the purple marker indicates the mean and standard deviation at follow-up. This drop in joint health score was statistically significant (p < 0.001).

## Knee Audio Score Classification

Logistic Regression and linear discriminant analysis are two of the most widely used statistical methods for analyzing categorical outcome variables. Because logistic regression is more flexible and robust than linear discriminant analysis when considering the assumptions made about underlying data, it is commonly used in medical data binary classification tasks (23). When compared against more complex machine learning models, the modeling parameters in logistic regression are generally easier to interpret rather than a "black-box" approach. This flexibility, robustness, and interpretability should encourage more widespread acceptance of the conclusions provided in this work by the medical research community (20). Logistic regression is a binary classification algorithm that attempts to find the best hyperplane in k-dimensional space for separating the two classes (e.g., healthy and JIA), while minimizing logistic loss (20). 735

In our application, the logistic regression outputs the probability that a given test cycle belongs to the healthy or JIA class. We have also proposed that the output JIA probability could be used as a basis for quantifying knee joint health. In this paradigm, a probability of 0 indicates a healthy knee with no signs of JIA, whereas a score of 1 indicates a knee clearly affected

with JIA. The classification accuracy of the model is presented 742 in B. First, the subject-level classification histogram showed clear 743 separation of the joint health scores when the 0.5 classification 744 threshold was applied to the output probabilities (Figure 3A). 745 This finding helps support the idea that knee JAEs could be 746 used as part of the screening and diagnosis of JIA. The accuracy 747 of labeling each cycle is then quantified to better understand 748 the performance of the logistic regression model (Figures 3B,C). 749 The overall accuracy of the cycle labeling was 82.7%, which 750 corresponds to a sensitivity of 84.5% and a specificity of 80.4%. 751 As discussed, JIA is challenging to diagnose not only due to the 752 highly variable nature of the condition and presentation, but also 753 because of the shortage of pediatric rheumatologists who are 754 specially trained to identify the disease. One potential use of JAE-755 based assessment in JIA is to allow for better screening of the 756 condition by healthcare providers that are less trained to identify 757 it. JAE based assessment is entirely non-invasive and achievable 758 with affordable hardware. The high sensitivity of this technique 759 means that few false positive test results will occur. The technique 760 may be slow to be adopted for final diagnosis, but in the near-761 future JAEs could at least be used as a preliminary screening tool 762 that gates whether a patient should pursue a specialist consult for 763 further diagnostic workup (i.e., point-of-care screening). 764

# Feature Importance Ranking and Model Performance

To understand the effects of feature selection and length of 769 recording on JIA JAE assessment, we presented our findings 770 on which signal features are most important for the algorithm, 771 and how it performs with less cycles to classify using a subset 772 of features. In our model, there were 49 features describing 773 each cycle of movement from each subject. A feature weights 774 vector of length 49 was output from the model describing the 775 hyperplane that best separates the JIA from healthy labeled cycles. 776 The absolute values of the individual feature weights were used 777 to quantify the importance of a given feature for the model. 778 The relative importance of the top 20 features in the algorithm 779 are presented Figure 4A. Each subject had two microphones 780 on each of their knees recording the JAEs during 10 cycles 781 of flexion/extension at a rate of 1 cycle every 4s. These four 782 audio files are subdivided into the individual cycles of movement 783 based on the simultaneously recorded motion data captured by 784 the inertial measurement unit (IMU) attached to the subjects' 785 ankles. The resulting data structure thus had approximately 40 786 segments of data describing one subject's movement. Figure 4 787 graphically depicts the results of varying the number of those 788 segments included in the testing dataset. Each square in Figure 4 789 describes the average accuracy when each subject was tested 790 with the described parameters as a part of LOSO-CV on the 791 trained model. Along the y-axis, features were sequentially added 792 in order of descending importance, such that at the bottom of 793 the plot, only the most important feature-the mean spectral 794 spread-was used to classify the cycles. Upon ascending the 795 y-axis, each of the 20 features as described in Figure 4A are 796 consecutively included in training the logistic regression model. 797 This figure thus depicts the impact that feature selection has on

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the accuracy of the classification. There is a clear benefit on the 799 accuracy of the model by including more features, and this should 800 help with the generalization of the model to novel data. In the 801 past, attempts have been made to describe knee JAEs using only 802 one or a few different signal features (14, 24, 25). These attempts 803 generally have success on a small data set, but when applied to 804 a data set of this size were suboptimal when compared to the 805 accuracy of the model proposed in this work. 806

The impact of the length of the JAE recording is also 807 demonstrated in Figure 4B from left to right. Each step to the 808 right includes an additional, and randomly selected, flexion-809 extension cycle, and the color of the square indicates the accuracy 810 of classifying a subject with that many cycles. On the left, we test 811 the model with only one cycle recorded from one microphone 812 on each subject. On the far right, every cycle recorded for every 813 microphone is used to test any given subject. The impact is 814 similar to increasing the number of features in the trained model 815 - as the number of cycles increases the classification accuracy 816 similarly increases. Note that there is some possible redundancy 817 in having two microphones recording the JAEs from each knee. 818 In this case, the impact of having similar recordings in two of 819 the microphones can be noted by the relative plateau of the 820 accuracies around the 18th recorded cycle (accuracy is no longer 821 substantially increasing with each added cycle). Overall, this 822 analysis demonstrates the impact that the feature selection and 823 length of JAE recording has on the accuracy of the model. In 824 our case, the accuracy was at its lowest with one feature and one 825 cycle at 11.1% and achieved a high of 80.6% with the top 20 most 826 important features and every recorded cycle from a subject. This 827 analysis also demonstrates why past approaches have had only 828 limited success in generalizing their findings. If only a subset of 829 these features were used to describe JAEs, the accuracy would 830 significantly diminish. Many features are needed to fully describe 831 the nature of these sounds and separate the differences between 832 populations. Later work comparing a different clinical scenario, 833 or a larger dataset may find that a different feature is more 834 important for delineating two study groups, but the approach 835 applied in this paper should hopefully provide guiding influence 836 on future assessments of JAEs. 837

## **Longitudinal Joint Health Tracking**

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To discover if knee JAEs had the potential for quantifying 840 joint health longitudinally, 10 subjects with JIA had their JAEs 841 recorded during an active flare-up of the condition and 3-6 842 months later at their follow-up visit. In this particular cohort, 843 every subject showed clinical improvement and reported a 844 lessening of symptoms. To calculate these subjects' knee scores, 845 the logistic regression model was trained on all subjects not in 846 847 this cohort. The recordings before and after treatment were tested 848 on the trained model and the knee audio scores computed as described in section Knee audio score classification using logistic 849 regression. The hypothesis was that as a child's knees healed from 850 effective treatment, their knee scores would decrease from the 851 JIA range (0.5-1.0) toward the healthy range (0.0-0.5). In all 852 853 subjects, this hypothesis was shown to be valid. There was a statistically significant drop in the average scores of 0.65, or a 854 77.4% improvement in the joint health score. This closely tracked 855

with the reported clinical workup of the subjects indicating that joint health scores based on JAEs may be clinically applicable for not only diagnosing JIA (as discussed in section Knee audio score classification), but also monitoring the condition over time.

In this study, these 10 patients represent a subset of the 860 overall JIA population and before claiming how consistently joint 861 sounds track with knee health status in an individual the sample 862 size of those studied should be further increased. However, these 863 findings represent the first time that a population large enough to 864 adequately power a study of children with JIA has been assessed 865 longitudinally. The close correlation between the change in joint 866 sounds and the observed clinical status supports further research 867 into this relationship. Overall, this study represents an early, but 868 important step toward understanding the nature of JAEs. The 869 strong separation of the classes alongside the close tracking of 870 disease activity make it clear that JAEs contain clinically relevant 871 information. This information if properly leveraged could 1 day 872 enable better more personalized treatment of JIA. 873

## Limitations and Steps to Clinical Adoption

JIA is a chronic condition that affects multiple joints in the 876 body. The knee is one of the most commonly affected joints 877 and made for a viable target for this attempt at analyzing 878 JAEs. To better understand the clinical utility of this sensing 879 modality, JAEs should be studied in other commonly affected 880 joints in JIA. Additionally, the sensitivity of this method should 881 be compared against the performance of the current clinical 882 standard procedure for diagnosing and staging the condition, 883 as well as against other modalities such as magnetic resonance 884 imaging or ultrasound, which typically are time consuming and 885 expensive. Treatment of JIA seeks to reduce the frequency of 886 acute, symptomatic flare-ups, and to ultimately achieve clinical 887 remission. In this study, the treatments our subjects underwent 888 were not controlled for due to the small sample size. In the 889 future, the effectiveness of therapy should be quantified using a 890 prospective study design. Additionally, in this cohort all subjects 891 improved with treatment and we observed a corresponding 892 drop in the joint health score. Since no patients got worse at 893 follow-up, we were unable to discover if JAE assessment could 894 track worsening of the condition. The sensitivity of joint sounds 895 for detecting not only different severities of the condition but 896 also the course of the condition should also be assessed. JAEs 897 would be significant clinically if they were able to determine 898 the difference between an acutely inflamed joint and a more 899 chronic, undiagnosed state. Determining that duration of disease 900 activity would help with selecting the ideal treatment for a 901 patient. Classifying subjects into the different subtypes of JIA and 902 delineating joint sounds caused by JIA vs. all other causes would 903 also offer clinical merit. This study was performed on a fairly 904 large sample size of subjects to date, and enrollment is ongoing 905 to support future work. Increasing the number of subjects would 906 better support the generalizability as well as mitigate possible 907 overfitting of the discussed results. Overall, in this paper we 908 present JAEs as a novel technique for analyzing the health of 909 a joint in JIA. The findings in this paper present significant 910 clinical merit to this type of analysis, but there is still much to 911 be discovered. 912

## 913 MATERIALS AND METHODS

# <sup>914</sup> Human Subject Protocol and Subject <sup>915</sup> Demographics

The study was conducted under a protocol approved by 917 the Georgia Institute of Technology and Emory University 918 Institutional Review Boards. Forty-three subjects participated in 919 this study after completing a written informed consent. Twenty-920 five of the subjects were diagnosed with JIA by a pediatric 921 rheumatologist and 18 of the subjects were healthy controls with 922 no history of JIA or acute knee injuries. The group with JIA 923 consisted of 20 females and five males (12.2  $\pm$  3.1 years old, 924 BMI 20.1  $\pm$  4.1 kg/m<sup>2</sup>). The healthy control group consisted of 925 15 females and three males (12.9  $\pm$  2.7 years old, BMI 22.3  $\pm$ 926 2.8 kg/m<sup>2</sup>) with no history of joint disease, surgery or significant 927 joint injury. To capture longitudinal changes in the knee JAEs 928 during the course of treatment, data were acquired from 10 929 of the subjects (1 male, 9 female,  $12.5 \pm 3.3$  years old, BMI 930  $20.8 \pm 3.5 \text{ kg/m}^2$ ) with JIA a second time, 3–6 months after 931 initial measurements (follow-up group). Note, that JIA is more 932 prevalent in females with estimates ranging from 65-78% of all 933 cases occurring in females, thus the demographics of this study 934 were selected accordingly to match this distribution as closely as 935 possible (26, 27). 936

The data acquisition set up for each subject is shown in 937 Figure 1B. To record the sounds produced by the joints, two 938 uniaxial analog accelerometers (3225F7, Dytran Instruments 939 Inc. Chatsworth, CA) were attached 2 cm medial and lateral 940 to the distal patellar tendon using double-sided adhesive pads 941 (Rycote Microphone Windshields Ltd, Stroud, Gloucestershire, 942 GL5 1RN, United Kingdom) on both knees. These professional-943 grade pads tightly coupled the accelerometer to the subject's knee. 944 This accelerometer has a broad bandwidth (2 Hz–10 kHz), high 945 sensitivity (100 mV/g), low noise floor (700  $\mu$ grms), miniature 946 size and low weight (1 gram). This accelerometer placement 947 location has been shown to allow for the of capture high-fidelity 948 signals capable of differentiating meniscus injury status in an JAE 949 cadaver model (19). 950

To record the knee JAEs, each subject performed 10 unloaded 951 knee flexion/extension exercises, while seated on a height-952 adjustable stool to prevent foot contact with the ground. The 953 subjects repeated the movement as seen on an instructional 954 cartoon that encouraged a cycle to be completed every 4s 955 through the full range of motion (RoM) of each subject. The 956 signals from the accelerometer were sampled at 100 kHz and 957 recorded using a data acquisition module (USB-4432, National 958 Instruments Corporation, Austin, TX). An inertial measurement 959 unit (IMU) attached around the ankle of the subject recorded 960 synchronous positional data during JAE recording at 50 Hz to 961 allow for analysis on a cycle-by-cycle basis, as well as to ensure 962 the subject maintained an appropriate speed and RoM. The 963 ideal speed and angles to move through have previously been 964 explored using a cadaver model of JAEs (19). The exercise and 965 recording protocol were repeated for both knees for all subjects. 966 The recorded signals were analyzed using Matlab (MathWorks, 967 Natick, MA). 968

## Signal Processing and Feature Extraction

The IAEs were analyzed in the time and frequency domains. 971 Figure 2 shows a representative plot of the time domain signal 972 after bandpass filtering from one subject with JIA, that subject's 973 JAEs at their 3-months follow-up visit, and a healthy, matched 974 control's JAE recording. It is notable that the number of spikes 975 in the time domain of the patient with JIA went down with 976 effective treatment as seen at follow-up to more closely resemble 977 the JAE recording from the healthy control. The JAEs from these 978 subjects have high bandwidth frequency content as expected 979 from earlier pilot work (24, 28, 29). Figure 1C graphically depicts 980 the signal analysis workflow for knee JAEs. The signals are pre-981 processed using a digital finite impulse response (FIR) band-pass 982 filter with 250 Hz-10 kHz bandwidth. The bandwidth employed 983 in this filtering is based on prior work: at the low end, the 984 cutoff of 250 Hz is selected to reduce low frequency artifacts and 985 muscle sounds (<100 Hz) while preserving the sub kHz friction-986 generated components of the sounds; at the high end, the cutoff 987 of 10 kHz is selected to remove high frequency artifacts while 988 still preserving the kHz range of frequencies responsible for the 989 acoustic emissions that are observed from the joint. To segment 990 the JAE data into individual flexion/extension cycles, an FIR low-991 pass filter (5 Hz) is applied to the raw JAE signals to visualize 992 the movement of the knee through its RoM. This motion data 993 is compared against the synchronized IMU data and the proper 994 indices for the beginning and end of each flexion/extension 995 cycle were selected. These individual cycles were separated and 996 subdivided into 400 ms long frames. This frame (or window) 997 length was selected to provide sufficient width to capture lower 998 frequency information while still providing multiple frames 999 per flexion/extension cycle. A total of 49 signal features are 1000 extracted from each frame for each microphone, comprising 1001 features that-in our group's prior work, and in audio processing 1002 and classification work in other domains-have been found to 1003 contain salient information. Feature descriptions are available 1004 in Supplementary Table 1. The 10 frames corresponding to 1005 one cycle are averaged to give 49 descriptors of each cycle 1006 of flexion/extension. This process was repeated for all four 1007 microphones - two on each knee. These feature sets were stored 1008 in the row-matrix, X. The rows of X each represent a single 1009 cycle of movement as recorded from each microphone, and the 1010 columns represent each of the 49 features extracted. The matrix 1011 X was standardized to zero mean and unit variance by subtracting 1012 the mean of each column and dividing by its standard deviation 1013 (see Feature Matrix in Figure 1C). 1014

The features extracted can be categorized into two groups: 1015 either time domain or spectral features. The time domain features 1016 include the zero-crossing rate (ZCR), energy, root-mean-square 1017 (RMS) amplitude, and entropy. The frequency characteristics of 1018 the joint sounds are described by the spectral features including 1019 the spectral centroid, spectral flux, spectral density, spectral 1020 roll-off, spectral spread, and spectral entropy (A full list of 1021 the features is available in Supplementary Table 1.) The mean, 1022 standard deviation, and coefficient of variance are all computed 1023 for the set of 400 ms windows on each cycle to better classify these 1024 features. This approach using these particular features to classify 1025

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joint JAEs is based on the appearance and sound of the signals, 1027 and their selection was supported by previous pilot work on this 1028 topic (12, 30). 1029

#### 1030 Knee Audio Score Classification Using 1031 Logistic Regression 1032

With the data appropriately organized, we trained a logistic 1033 regression classification model. Logistic regression is a common 1034 statistical machine learning technique for binary classification 1035 problems (e.g., healthy vs. JIA). At the core of this algorithm is 1036 the logistic function, which was originally developed by ecologists 1037 to describe population growth - it is a sigmoidal curve that rises 1038 1039 quickly and levels off at a given environment's carrying capacity (31, 32). The algorithm uses this function to map any real number 1040 input to a value between 0 and 1. 1041

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### Logistic Function

In logistic regression, the input values  $(x_1 \dots x_n)$  are combined linearly to predict an output value (y) using weighted coefficients 1048  $(b_0 \dots b_n)$ . However, unlike linear regression, in logistic 1049 regression the output values being predicted are binary (0 or 1, 1050 or in our case healthy or JIA). The logistic regression equation thus takes on the following format: 1052

 $\frac{1}{(1+e^{-1})}$ 

$$y = \frac{e^{b_0 + b_1 x_1 + \dots + b_n x_n}}{1 + e^{(b_0 + b_1 x_1 + \dots + b_n x_n)}}$$
(2)

(1)

### Logistic Regression Mapping Function

Where y is the predicted output,  $b_0$  is the intercept,  $b_1$  – 1058  $b_n$  are the coefficients for the input feature values  $(x_1 - x_n)$ . 1059 In our use case, x corresponds to a row of matrix X, which 1060 contains the values of each of the 49 computed signal features 1061 for an individual cycle from one accelerometer. Once trained, 1062 each column of the input matrix X (i.e., each feature) has an 1063 associated coefficient learned through training  $(b_1 - b_n)$ . The 1064 vector of  $b_1 - b_n$  is stored in the coefficient vector ( $\beta$ ).  $\beta$  is found 1065 using a maximum-likelihood estimation (MLE), specifically the 1066 quasi-Newton method, that minimizes the error of the predicted 1067 probabilities (20, 33, 34). 1068

The predicted output (y in Equation 2) is the probability that a 1069 given input belongs to the default class, selected in our case as JIA. 1070 These probability predictions are transformed into binary values 1071 (0 or 1) in order to create the final probability-based predicted 1072 label for each feature using the threshold in Equation 3. 1073

If 
$$mean(p(\mathbf{x})) \le 0.5$$
,  $y = Healthy$   
If  $mean(p(\mathbf{x})) > 0.5$ ,  $y = JIA$  (3)

#### Threshold for Healthy Control vs. JIA Classification 1078

As mentioned, each cycle of flexing/extension (each row of *X*) is 1079 classified on as a 0 or 1, with 0 representing a healthy, unaffected 1080 knee and 1 representing a knee with active JIA. To calculate 1081 this score, we train a logistic regression classification model. All 1082 rows for a subject can be removed from X to leave behind X'1083

and X<sub>subject</sub>. Each row in these matrices corresponded to one 1084 accelerometer's output for one cycle of movement. Each subject 1085 had two accelerometers on each leg and was asked to perform 1086 10 cycles of flexion/extension. The average number of rows in 1087 these submatrices was  $36 \pm 3$  rows, with the average number 1088 of rows per accelerometer being  $8 \pm 1$  rows. If the majority of 1089 the predicted labels for an individual row were classified as 0, 1090 the cycle was labeled as healthy. If the majority of the predicted 1091 labels were predicted as 1, the cycle was considered to be JIA. 1092 In this way, the median of the predicted labels of each row 1093 determines the classification of that cycle of that microphone. 1094 The median of the rows in any given  $X_{subject}$  is taken to be the 1095 subject classification. If the majority of the rows was predicted 1096 to be 1's, the subject was labeled as having JIA. Inversely, if the 1097 majority of rows was predicted as 0's, the subject was labeled 1098 as healthy. 1099

The logistic regression model's performance was assessed 1100 using LOSO-CV (35). In each fold of this validation, the logistic 1101 regression classifier was trained using the data in X' with one 1102 subject omitted -  $X_{subject}$ . The trained model then classified the 1103 signal of the excluded subject's knee JAEs. During LOSO-CV, 1104 the matrix X' was standardized after the removal of  $X_{\text{subject}}$ . 1105 The mean and standard deviation of X' were then subtracted 1106 and divided, respectively, from the columns in  $X_{subject}$ . By doing 1107 this, the calculated features for  $X_{\text{subject}}$  were not prematurely 1108 included in the standardization of X. The model estimates 1109 the probability of JIA for each row (cycle) in  $X_{subject}$ . These 1110 probabilities were stored in the vector,  $p_{predicted}$ . The overall 1111 subject's audio scores were calculated by averaging the contents 1112 of  $p_{predicted}$  (Figure 1D). The 0.5 threshold was applied to this 1113 average probability to assign the predicted label of healthy (0) 1114 or JIA (1). The cross-validation was completed by calculating 1115 knee audio scores for all 43 subjects, excluding one subject per 1116 fold. The follow-up recordings were not included in this model 1117 accuracy calculation because the treating physician stated they 1118 were along a spectrum of convalescence, and thus their ground 1119 truth label was unknown. The generalizability of the model is 1120 assessed by calculating the accuracy of our algorithm in labeling 1121 each cycle, as well as in labeling each. 1122

The average probabilities were used not only for predicting 1123 labels, but also as an indicator of knee health. In this way, as 1124 the average probability of a subject trends toward 0, the signal 1125 more greatly resembles a healthy knee. For subjects with JIA that 1126 have follow-up recordings, this process was repeated to calculate 1127 the change in the probability of JIA between the first recording 1128 and second. Importantly, the follow-up recordings are never used 1129 as part of the training set, since at the time of recording those 1130 subjects the ground-truth of their disease status is unknown. 1131

## Feature Importance Ranking

The relative weighting of each of the features in the model 1134 needs to be explored to understand which features most 1135 relate to differentiating JAEs from patients with JIA compared 1136 to healthy controls. To quantify the importance of each 1137 feature, the standardized data from every subject with JIA 1138 (excluding the follow-up data due to it lacking a ground 1139 truth classification) is used to train the classifier. The resulting 1140

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model is used to generate relative feature importance scores. 1141 In this case, no testing set is required to quantify feature 1142 importance since we are not assessing the generalizability 1143 of the model. In the case of logistic regression, the model 1144 computes a coefficient for each input feature that describes the k-1145 dimensional hyperplane that best separates the two input classes. 1146 When the input matrix X is standardized to zero mean and 1147 unity variance, the absolute value of each of the coefficients 1148 output from the model can be directly compared to assess 1149 relative importance to the model. In this way, a coefficient 1150 with a large absolute value has a larger effect on the model 1151 than one with a smaller absolute value. All 49 features are 1152 ranked in order from most to least important as seen in 1153 Figure 4A. 1154

#### 1155 Effect of Number of Features and Cycles of 1156 Movement on Model Performance 1157

After ranking the 49 features, we further assessed the impact on 1158 the accuracy of the model's predictive capabilities by training the 1159 model on one to forty-nine features in order of their relative 1160 importance. We first trained a model on only the most important 1161 feature, and assessed the accuracy of the model as detailed above 1162 using LOSO-CV. Next, we iteratively added each new feature 1163 in order of descending relative importance to observe how 1164 that accuracy improved with the addition of each new feature. 1165 We simultaneously assessed the importance of the number of 1166 1167 flexion/extension cycles by testing each iteration of the model on a subset of all of the cycles. For example, we first trained 1168 the model on the most important feature, and tested the model 1169 using one cycle from the subject left out, next two cycles, then 1170 three cycles, all the way up to the full number of recorded 1171 cycles. In doing so, we calculated how the model responded for 1172 each feature input and for each additional cycle of movement 1173 1174 input. Of note, when choosing the subsets of cycles to test we iteratively tested up to 1,000 unique permutations on any given 1175 sized subset of cycles and the average of those cycles was reported. 1176 A heatmap of these results was generated and can be seen in 1177 Figure 4B. 1178

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## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Emory University School of Medicine Institutional Review Board Georgia Institute of Technology Institutional Review Board. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## AUTHOR CONTRIBUTIONS

DW served as the project lead and was involved in every part of its design, execution, analysis, and reporting. JZ provided his machine learning expertise and helped design the JAE algorithm. SG helped organize the data and performed the IMU assessment. TG and LP were lead clinical coordinators that helped devise an appropriate protocol for consenting, assenting, and recording JAEs in a clinical setting. OI served as the principal investigator for the project, and was integral in the funding, managing, planning, and execution of all aspects. SP closely collaborated 1221 with OI and sponsored this project: specifically, SP provided 1222 access to patients, clinic space, and medical expertise on the 1223 current state of JIA management. All authors contributed to the 1224 article and approved the submitted version. 1225

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1339 **Conflict of Interest:** The authors declare that the research was conducted in the 1340 absence of any commercial or financial relationships that could be construed as a potential conflict of interest. 1341

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