Persistent increases in nighttime heat stress from urban expansion despite heat island mitigation

Kangning Huang^{1,2*}, Xuhui Lee¹, Brian Stone Jr.², Jason Knievel², Michelle L. Bell¹, and Karen C. Seto¹

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- 1. School of the Environment, Yale University, New Haven, CT, USA.
- 2. Research Application Laboratory, National Center for Atmospheric Research, Boulder, CO, USA.
- School of City and Regional Planning, Georgia Institute of Technology, Atlanta, GA,
 USA.
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13 Corresponding author: Kangning Huang (<u>kangning.huang@yale.edu; knhuang@ucar.edu</u>)

14 Abstract

15 Urban areas generally have higher near-surface air temperature and lower air humidity than rural areas. Little is known about how heat stress, the combined effect of high air temperature and 16 17 high humidity on human physiology, will be affected by future urban land expansion. Here we 18 use a mesoscale numerical weather prediction model to examine the effects of urban land 19 expansion from 2000 to 2050 on heat stress (measured as wet-bulb globe temperature, WBGT) 20 in the urban areas of China, India, and Nigeria, which are projected to account for one-third of 21 global urban population growth through 2050. Our results show that urban expansion slightly 22 reduces heat stress during the day ($\sim 0.2^{\circ}$ C) but substantially intensifies it at night, by $\sim 1^{\circ}$ C on 23 average and by up to 2–3°C in five mega-urban regions (MURs). These effects exist with or 24 without climate change induced by rising concentrations of greenhouse gases (GHGs). Installing 25 cool roofs—an urban heat island mitigation measures—can reduce the daytime WBGT by 0.5-26 1°C, partially offsetting the heat stress conditions caused by GHG-induced climate change. 27 However, even with cool roofs, the nighttime WBGTs are higher by 0.3–0.9°C over the whole 28 countries studied, and by $1-2^{\circ}$ C in the MURs under the urban expansion scenario, compared to 29 the situation in which urban areas remain unchanged. These results show that future urban 30 expansion and heat island mitigation can result in potential daytime benefits but also persistent

31 nighttime risks.

32 1 Introduction

33 Human bodies cannot effectively cool off through sweating if air temperature and 34 humidity are high, and therefore both variables need to be accounted for in assessing the heat 35 stress on human (Sherwood & Huber, 2010). However, despite a growing body of literature on 36 how both variables are affected by climate change induced by greenhouse gases (GHGs) 37 (Ahmadalipour & Moradkhani, 2018; Fischer & Knutti, 2013; Im et al., 2017; Kang & Eltahir, 38 2018; J. Li et al., 2018; Matthews et al., 2017; Pal & Eltahir, 2016; Sherwood & Huber, 2010), 39 existing studies on the climatic effects of future urban land expansion primarily focus on rising 40 air temperature (L. Chen & Frauenfeld, 2016; Georgescu et al., 2014; K. Huang et al., 2019; 41 Krayenhoff et al., 2018) but largely overlook changes in humidity. Under humid conditions, 42 while the removal of vegetation by urban expansion raises air temperature by reducing 43 evaporative cooling (Oke, 1982), the reduction of evapotranspiration (ET) also diminishes

44 humidity (Adebayo, 1991; Luo & Lau, 2019; Um et al., 2007) (Figure 1). These warming and

- 45 drying effects also exist in arid climates, although they as not as pronounced—and sometime
- inverted—because the ET from native arid/semi-arid vegetation is generally weaker than that
 from urban green space. In humid climates, previous studies showed that humidity is lower in
- 47 non urban green space. In numic chinates, previous studies showed that numicity is lower in 48 existing urban areas compared to the surrounding rural areas (Ackerman, 1987; D. O. Lee,
- 49 1991), and that the process of urban land expansion can further reduce humidity (Adebayo, 1991;
- 50 Luo & Lau, 2019). What remains unknown, however, is whether the two opposing processes—
- 51 warming and drying—associated with future urban expansion will combine to intensify or to
- 52 weaken heat stress. This question is important in the context of the world's rapidly growing
- urban population, which is anticipated to reach 6.7 billion by 2050.
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55 Here we combine the Weather Research and Forecasting (WRF) regional numerical 56 weather prediction (NWP) model (Miao & Chen, 2014; Skamarock et al., 2008) and the spatially 57 explicit projections of urban land expansion through 2050 by (K. Huang et al., 2019), to 58 investigate the effects of urban expansion on heat stress intensity. Our index for heat stress is a 59 modified wet-bulb globe temperature (WBGT) at 2m above ground level. Compared to other 60 heat stress indices, heatwaves defined using WBGT have stronger correlations with health 61 impacts (Heo & Bell, 2018). We choose the entire countries of China, India, and Nigeria as the 62 study regions, because one-third of global urban population growth in the next three decades is 63 projected to occur there (UN DESA, 2014), and urban land areas there will expand by 40 million 64 hectares, which is about 27% of the world's total urban land expansion (K. Huang et al., 2019). 65 Heat stress in these regions is projected to rise to dangerous levels due to GHG-induced climate change (Ahmadalipour & Moradkhani, 2018; Im et al., 2017; Kang & Eltahir, 2018), but we do 66 not know if the expected enormous urban expansion will further intensify the heat stress. To 67 examine the possible nonlinear interaction (Krayenhoff et al., 2018) between global climate 68 69 change and urban expansion, we force the WRF simulations with two sets of background climate 70 conditions: a historical climate in 2000, and a projected climate in 2050 under the representative 71 concentration pathway (RCP) 8.5 scenario (Bruyere et al., 2015; Vuuren et al., 2011). The effects 72 of two infrastructure-based urban heat island mitigations—green and cool roofs installments— 73 are evaluated in additional simulations, to explore a larger range of possible heat stress outcomes 74 in urban areas. By exploring these scenarios, we aim to answer the question of how urban land 75 expansion and heat island mitigation will contribute to changing future heat stress.

76 2 Methods

77 2.1 Heat stress calculation.

Our metric for heat stress is a modified wet-bulb globe temperature (WBGT) at 2m above
 ground level (Dunne et al., 2013):

$$WBGT = 0.7 \times T_w + 0.3 \times T_g$$

80 wherein T_g is black globe temperature and T_w is natural wet-bulb temperature. Modifying 81 WBGT by ignoring direct sunlight and wind, T_g can be approximated by dry air temperature T_a 82 at 2m. Wet-bulb temperature T_w at 2m can be calculated by solving the equation below (X. Lee, 83 2018; Monteith & Unsworth, 2013):

$e = e_s(T_w) - \gamma(T_a - T_w)$

84 wherein *e* is water vapor pressure, $e_s(*)$ is the saturated (i.e. equilibrium) water vapor 85 pressure as a function of temperature, and γ is the psychrometer constant that depends on air 86 pressure and temperature. A numerical method provided in the R package Bigleaf (version 0.6.5) 87 is used to solve T_w (Knauer et al., 2018). Hereafter we omit "modified" when referring to 88 WBGT.

We choose WBGT as the metric for heat stress because it is widely accepted and used
(d'Ambrosio Alfano et al., 2014; Blazejczyk et al., 2012). WBGT is used in the fields of
industrial hygiene (Lucas et al., 2014), the military (Patel et al., 2013), and sporting (Brocherie &
Millet, 2015). More importantly, a recent study comparing different heat stress metrics showed
that WBGT is the best indicator of heat-related health impacts, such as hospitalization and heat
disorders during heatwaves (Heo et al., 2019).

95 2.2 Atmospheric modeling.

96 To simulate the effects of urban land expansion on both air temperature and humidity, we 97 use the advanced research version of the weather research and forecasting model (WRF, version 98 3.8) coupled with a single-layer urban canopy module (UCM) (D. Li et al., 2014; Miao & Chen, 99 2014; Skamarock et al., 2008). As a mesoscale physical-based NWP model, WRF can examine 100 the effects of land cover / land use changes—conversion to urban areas from others—on both air 101 temperature and humidity. To provide the initial and boundary conditions for WRF, we use the 102 global bias-corrected climate model output from the Community Earth System Model (CESM), 103 with historical and future GHG concentration (Bruyere et al., 2015). The future climate 104 conditions are based on the Representative Concentration Pathway (RCP) 8.5, which will result 105 from the business-as-usual, fossil-fueled economic development. To account for inter-annual 106 fluctuations, we run the simulations for five summers: 1999-2004 for current climate, and 2049-107 2054 for future climate. When analyzing the hourly outputs from WRF, we categorize all hours 108 with positive incoming shortwave radiation as daytime, and those with zero shortwave radiation 109 as nighttime. By using incoming shortwave radiation rather than fixed hours to categorize diurnal 110 hours, we account for the different summer daytime lengths across different latitudes. The WRF 111 modeling domains over China, India, and Nigeria are shown in Figure 2.

112 2.3 Urban land expansion and heat island mitigation.

113 Future urban areas are obtained from a spatially explicit probabilistic projections of urban 114 expansion by 2050 (K. Huang et al., 2019). The urban expansion in the Shared Socioeconomic 115 Pathway 5 (fossil-fueled development) scenario is used, in order to match with the high emission 116 RCP8.5 climate change scenario. Following (L. Chen & Frauenfeld, 2016), we assume locations 117 with >75% likelihood to be urbanized by 2050 as urban areas. Following previous studies on the 118 climatic impacts of large-scale urban land expansion (L. Chen & Frauenfeld, 2016; Georgescu et 119 al., 2014; Kravenhoff et al., 2018), we run the model at 25 km horizontal grid spacing. We use 120 the global databases of urban extent and characteristics provided by (Jackson et al., 2010) to set 121 up the urban areas' parameters, such as impervious/pervious surfaces ratios, building heights, 122 street widths, heat capacity, and surface albedo. The key urban parameters of the study countries 123 are listed in Table S1. Among the study countries, urban areas in China have the highest height-124 to-width ratio (1.8), resulting in deeper urban canyons and absorbing of more outgoing long125 wave radiation compared urban areas in the other two countries. Urban areas in India have the 126 lowest roof albedo, which leads to absorption of more incoming short-wave radiation. Compared 127 to those in India, urban areas in China and Nigeria have higher thermal conductivity, which can 128 increase daytime heat storage and thus stronger nighttime heat release.

129 We follow (Georgescu et al., 2014) to set up the cool roofs adaptation scenario as the 130 albedo of building rooftops being raised to 0.8. Albedo of building walls and pavements are kept 131 the same in the albedo adaptation scenario, because glare from highly-reflective walls and pavements can cause visibility problems for drivers and pedestrians (Stone, 2012). The green 132 133 roofs adaptation option is readily available in WRF-v3.8, which includes an UCM that enables 134 modeling vegetation irrigation in urban canyons. Both cool and green roofs adaptations assume a 135 100% deployment on all available roof tops. Although these adaptations scenarios may not be 136 realistic in terms of implementation, we aim to examine the system boundaries of the urban-137 climate-adaptation interactions regarding heat stress. Installing green or cool roofs can reduce 138 building energy consumption and thus the anthropogenic heat (AH) released in urban areas. 139 However, this feedback between adaptations and AH is not captured here, because the current 140 version of WRF-UCM does not allow simultaneously simulating building energy use and green 141 roof adaptation (J. Yang, Wang, Chen, et al., 2015). Instead, following (L. Chen & Frauenfeld, 142 2016; Georgescu et al., 2014), we implement a simple diurnal profile of anthropogenic heating 143 (Figure S2). This implementation of AH may underestimate warming from urban expansion as 144 indoor cooling systems are installed in more buildings, and it may underestimate cooling from urban adaptations as green or cool roofs reduce energy used by indoor cooling. Moreover, this 145 implementation also ignores the spatial heterogeneity of anthropogenic heating, as shown in (F. 146 147 Chen et al., 2016; B. Yang et al., 2019). To incorporate spatial heterogenous AH in WRF 148 simulations, the input land use data need to include the spatial distributions of urban areas with 149 various densities, which are not available in the urban expansion forecasts (K. Huang et al., 150 2019). The spatially uniform AH profiles used here may lead to underestimation of warming in 151 the denser areas near city centers.

152 When presenting the modeling results of changing WBGT in Figure 3, we categorize urban areas into humid and arid. Instead of using the conventional aridity index (the ratio of 153 precipitation to potential evapotranspiration), here we use the difference in summertime average 154 155 evapotranspiration (ET) before and after urban land expansion to distinguish humid or arid urban 156 areas. If the average ET declines after urban expansion, urban area is removing humidity on an 157 originally humid landscape; on the contrary, if ET increases after urban expansion, urban area is introducing more humidity via urban greenspace that evaporate and transpire more vapor than 158 159 the native arid vegetation. We use this definition because it can better reflect the different roles 160 urban land expansion play under different climate conditions. The geographic distributions of 161 humid and arid urban areas are shown in Figure 2. When presenting the results across geographies (Figure 4) and on the temperature-humidity-plane (Figure 5), we overlay them on 162 163 the present Köppen climate zones provided in (Beck et al., 2018). With the labels of Köppen 164 climate zones, we can show which MURs are located in the transition zones (e.g. Beijing and 165 Delhi) and show the differences among various types of humid urban areas.

166 2.4 Model validation.

167 To validate the model's capabilities in simulating the diurnal variations of air 168 temperature, humidity, and heat stress, we compare the simulated results with the observations 169 obtained from the integrated surface database (ISD) from the National Oceanic and Atmospheric 170 Administration (Smith et al., 2011). ISD provides hourly meteorological observations with 171 global coverage. In total, 404 ISD stations in China, 408 in India, and 40 in Nigeria are used in 172 the validation. The validation shows that the simulated values agree with the observed ones sufficiently well for air temperature (Figure S4; $R^2=0.74$), relative humidity (Figure S5; 173 $R^2=0.56$), and wet-bulb temperature (Figure S6; $R^2=0.82$). To better evaluate the model's 174 performance in urban areas, the correlation coefficients (R^2, s) shown here are calculated with 175 176 grid cell samples weighted by their fraction of urban land.

177 **3 Results**

178 Our results show that in 2050 urban land expansion and heat island mitigation have 179 diurnally different impacts on WBGT in the urban areas of the three countries. Urban expansion 180 slightly reduces WBGT in the daytime, by 0.1–0.3°C, but substantially increases WBGT at 181 night, by 0.5°C in India, and more than 1°C in China and Nigeria (Figure 3-a). Compared to 182 India, the stronger nighttime urban warming in China and Nigeria is caused by the higher 183 thermal conductivity of the building materials, which increases heat storage during daytime and 184 releases more heat at night. Both urban heat island mitigation measures-installation of green 185 and cool roofs-can reduce heat stress, although the latter is more effective than the former. The 186 combination of urban land expansion and heat island mitigation leads to a total reduction in 187 daytime WBGT by 1.2°C in China, and 0.6–0.7°C in India and Nigeria. At night, however, 188 neither mitigation measures can fully counteract the heat stress increases from urban expansion; 189 the net effect is an increase of 0.2–0.3°C in the nighttime WBGT in China and India and of 190 $\sim 0.9^{\circ}$ C in Nigeria. If we restrict the analysis to urban areas in the arid regions, we find that urban 191 land expansion has little effect on WBGT both during the daytime (<0.1°C) and nighttime 192 (<0.3°C), and urban heat island mitigation measures are only half as effective as those in the

- 193 humid regions (Figure 3-b).
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195 The most severe increases in nighttime urban heat stress are concentrated in several 196 population centers that already face substantial challenges in climate change adaptation. 197 Although nighttime WBGT increases by less than 1°C in most urban areas from 2000 to 2050, the increase is much larger, by $2-3^{\circ}$ C, in five hotspot mega-urban regions (MURs; Figure 4): A) 198 199 Beijing-Tianjin-Hebei, located in the transition zone between cold and arid climates of northern 200 China; B) Yangtze-River-Delta, in the temperate climate of eastern China, in the lower reaches 201 of Yangtze River; C) Chengdu-Chongqing, in the temperate climate of southern China, in the 202 middle reaches of Yangtze River; D) Delhi Metropolitan Area, in the transition zone between 203 arid and temperate climates of northwestern India; and E) Port Harcourt, in the tropical coast of 204 southern Nigeria. Even if cool roofs are installed, 1-1.5°C nighttime warming will remain in 205 Yangtze-River Delta, Chengdu-Chongqing, and Delhi Metropolitan Area, and up to 2°C in 206 Beijing-Tianjin-Hebei and Port Harcourt.

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208Owing to their various geographic conditions, these hotspot MURs will face different209challenges in coping with the increasing urban heat stress. Beijing-Tianjin-Hebei and Delhi210Metropolitan Area, two MURs located in the transition zones toward arid climates, face more

211 severe water shortage due to accelerated dryland expansion (J. Huang et al., 2016) and depleted

212 groundwater (J. Chen et al., 2014; Feng et al., 2013). Although the water-demanding green roofs 213 are less effective as shown here, water resources are still needed to support street trees, which 214 can mitigate heat stress by providing shade (Coutts et al., 2016)—a cooling strategy that is not 215 included in our analysis. In Beijing-Tianjin-Hebei, the more effective cool roofs installment can 216 lead to lower temperature in winter, increasing the cold-related health risks (Gasparrini et al., 217 2015) and building energy consumption (J. Yang, Wang, & Kaloush, 2015). The other three 218 MURs (Yangtze-River Delta, Chengdu-Chongqing and Port Harcourt) rarely have water 219 shortages or cold winters, but will experience more extreme heat events due to GHG-induced 220 climate change. Annual heatwave days in the middle and lower reaches of Yangtze River, which 221 includes Yangtze-River Delta and Chengdu-Chongqing, will increase from less than 10 now to 222 ~80 by the end of 21st century, under the RCP8.5 scenario (Guo et al., 2017). Under this high 223 emission scenario, heat stressed days in western Africa, which includes the Port Harcourt MUR, 224 will increase from ~ 50 now to ~ 200 by the 2090s (Rohat et al., 2019). The persistent increases in 225 nighttime urban heat stress shown here will likely further exacerbate the heat-related risks in 226 these three MURs. Since previous studies showed that socioeconomic factors also affect 227 residents' vulnerabilities to heat risks (Hu et al., 2017, 2019), we further distinguish the heat 228 stress-related challenges in these MURs by comparing their income-levels by 2050. Table 2 229 shows the average GDP per capita by 2050 in the five MURs, calculated from the spatially 230 gridded forecasts of population and GDP by (Murakami & Yamagata, 2019) (Figure S3). With GDP per capita higher than 30,000 (USD 2005), urban residents in the three MURs in China are 231 232 less vulnerable to increasing heat stress. With GDP per capita of ~15,000 in Delhi Metropolitan 233 Area and ~10,000 in Port Harcourt, urban residents in these two MURs will have higher 234 challenges in heat stress adaptations. In these two MURs, government assistance in mitigating 235 urban heat and providing heat shelters will be more critical. Moreover, given that cool roofs are 236 less expensive than green roofs to install (Estrada et al., 2017), this adaptation should be given 237 higher priority for the low-income households in these two MURs. Although green roofs are less 238 effective in mitigating heat stress and are more expensive, they can provide ecological benefits 239 (Williams et al., 2014) that may be needed in Port Harcourt, whose future expansion will 240 threaten the biodiversity hotspot of Western African Forests (Myers et al., 2000; Seto et al., 241 2012).

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243 To illuminate the mechanisms of the diurnally differentiated results shown above, Figure 244 5 disentangles the effects of urban land expansion (a–b) and heat island mitigation (c–d) on air 245 temperature (x-axis) and relative humidity (y-axis), under the future climate conditions (2049– 246 2055, RCP8.5). In these plots, each arrow indicates the mean change from 2000 to 2050 of urban 247 areas located in a Köppen climate zone, and dashed lines are contours of WBGT. Urban land 248 expansion raises daytime air temperature but reduces relative humidity in all climate zones 249 except for arid hot desert climate (BWh), as indicated by the arrows pointing toward the lower-250 right directions (Figure 5-a). The arrows in the temperature-humidity-plane are mostly parallel 251 to the contour lines of WBGT, meaning that the drying effects of urban expansion largely offset 252 its warming effects and the result is little change in the daytime WBGT. During nighttime 253 (Figure 5-b), however, most arrows cross the contour lines to the right, or in other words 254 warming overwhelms drying, which results in WBGT increases. This diurnal asymmetry in heat 255 stress changes is mainly due to the diurnally asymmetric effects of urban expansion on warming 256 and drying. Table 1 shows that, in most climate zones, urban expansion raises air temperature 257 more during nighttime than daytime, but it reduces specific humidity more during daytime than

258 nighttime. The nighttime increase in air temperature is stronger because the replacement of rural

landscape with urban area shifts daytime sensible and latent heat fluxes to heat storage, which is

260 released at nighttime. The daytime decrease in specific humidity is stronger because the 261 replacement of vegetated landscape with impervious urban area significantly reduces ET during

262 daytime: whereas at night, the drying effect is weaker since there is little ET to be reduced.

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264 Urban heat island mitigation measures (Figure 5 c & d) reduce air temperature but 265 increase humidity, which is not surprising for green roof installment whose evaporative cooling 266 adds extra moisture into the atmosphere. What may seem counterintuitive is that cool roof 267 installment, which reduces surface solar radiation absorption by increasing albedo, also raises 268 humidity. This drying effect of cool roofs can be explained by the evolution of atmospheric 269 boundary layer (ABL) (X. Lee, 2018). In this cool-roof scenario, less solar radiation energy is 270 available to drive convection and as a result the ABL is shallower (Epstein et al., 2017), 271 concentrating in a smaller volume the water vapor that originates at the ground, thereby 272 increasing humidity.

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To determine whether the diurnal and geographic variations presented above will be 274 275 affected by global climate change, we repeat the model calculation with two sets of boundary 276 conditions (invariant urban areas versus urban expansion scenario) and two GHG scenarios (one 277 in current climate and another in future climate under RCP 8.5), for a total of four permutations. 278 Figure S1 shows the changes in WBGT due to urban expansion under current (1999-2004; y-279 axis) and future (2049–2054, RCP 8.5; x-axis) climate conditions. Under both climate 280 conditions, urban expansion results in daytime cooling (left panel) and nighttime warming (right 281 panel) for 68.2% and 70.5% of urban areas in 2050, respectively. Across geographic locations, WBGT changes under the two climate conditions are strongly correlated ($R^2 = 0.85$ in daytime 282 283 and 0.91 in nighttime). These results suggest that nonlinear interactions between urban expansion 284 and GHG emission are nearly negligible and that the overall effect can be estimated by linearly 285 adding the effects from urban expansion and the effects from GHG emission. These results may 286 seem contradictory to a recent study that showed nonlinear interactive effects on surface air 287 temperature between urban expansion and GHG emission (Krayenhoff et al., 2018). This 288 apparent contradiction arises mostly from the fact that the WBGT change has accounted for both 289 temperature change and humidity change. The interaction between GHG emission and urban 290 expansion can be defined as the difference of urban expansion-induced changes under future and 291 current climate conditions. We quantified the interactive effects on air temperature (T_a) , relative 292 humidity (RH), and WBGT, during the daytime (Table S1) and nighttime (Table S2), in seven 293 climate zones where the majority of future urban areas will be located. The interactive effects on 294 T_a and RH are the opposite, resulting in little change in WBGT, in urban areas in the tropical 295 savannah (Aw), arid (BSh & BSk), humid subtropical (Cwa) and continental (Dwb) climates, 296 accounting for about 76% of all urban areas in 2050 of our study regions. Our analysis suggests 297 that, for a large portion of future urban areas, it is possible to superimpose the effects on heat 298 stress from urbane expansion presented here on those from climate change under other GHG 299 scenarios, when projecting future heat stress on urban residents.

300 4 Discussions

Using atmospheric modeling and urban expansion projections and by combining air temperature and humidity predictions, we reveal a diurnal pattern of future urban heat stress that has been missing in existing literature. Our results show that urban expansion increases heat stress (quantified as modified WBGT in this paper) much more at night than during the day. Our results also show that urban heat island mitigation measures have the potential to reduce daytime heat stress but bring little change to nighttime stress (Figure 3).

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308 Increasing urban heat stress can amplify health risks and energy consumptions. The 309 persistent nighttime urban warming revealed herein suggests heat mitigation measures need to 310 prioritize residential buildings, where most urban dwellers spend the night. There is evidence 311 that, compared to daytime, exposure to nighttime extreme heat contributes more to mortality 312 risks, especially in urban areas (Laaidi et al., 2011; Murage et al., 2017). In less extreme 313 conditions, hotter nights nevertheless still disrupt sleep patterns (Obradovich et al., 2017), 314 leading to physiological and psychological harms. While most of the heat-health effects studies 315 are based on only air temperature, there is emerging empirical evidence (Heo et al., 2019; Heo & 316 Bell, 2018) showing that heat stress indices that account for humidity can better explain the 317 health outcomes. However, these heat-related health impact studies focus either on nighttime air 318 temperature or daytime heat stress, providing limited understanding on the quantitative 319 relationship between nighttime heat stress and health. Further studies on the health impacts of 320 nighttime heat stress are needed, in the manner of this study, in order to better understand how 321 urban expansion might threaten human health.

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323 One of the most effective ways to reduce heat stress is by cooling indoor spaces. 324 However, according to a recent projection by International Energy Agency (IEA, 2018) that 325 includes both temperature and humidity, energy demands for indoor cooling will increase 326 threefold from 2,020 terawatt-hours (TWh) in 2016 to 6,200 TWh in 2050, accounting for 30% 327 of the global building electricity use. This IEA projection incorporates future population and 328 economic growth, and a 1°C GHG-induced global warming, but ignores the warming caused by 329 urban expansion. Without considering urban expansion-warming, it is projected that about 70% 330 of the increase in global cooling energy use will come from the residential sector, and that China 331 and India will account for more than half of the global expansion in the capacity of residential indoor cooling. The urban expansion-induced nighttime WBGT increases associated with urban 332 333 expansion showed here imply an underestimation in the IEA cooling energy use projection that 334 only considers GHG emissions. Since most urban residents spend the nights at home, our results 335 expansion-induced nighttime warming of WBGT in China and India indicate that future cooling 336 energy use will be substantially higher than the existing projection. According to the assumption 337 that a 1°C warming corresponds to 25% increase in cooling loads used in the IEA projection, our 338 result of ~1°C nighttime WBGT warming in China and India suggests that the energy used for 339 cooling their cities at night will probably be higher by about a quarter. Since indoor cooling also 340 intensifies UHI by releasing waste heat, future modeling research accounting for the interactions 341 between heat stress, urban expansion, and indoor cooling is still needed to reliably quantify 342 future urban expansion's impact on energy use.

344 The potential impacts on human health and energy use discussed above demonstrate the 345 needs to mitigate future increase in nighttime heat stress caused by urban expansion. However, 346 our results show that infrastructure-based urban heat island (UHI) mitigation measures-347 installing green/cool roofs-can increase relative humidity via increasing surface evaporation 348 (green roofs) or suppressing the atmospheric boundary layer (cool roofs), making those forms of 349 mitigation less effective in reducing WBGT (Figure 3 and Figure 5). Our analysis suggests that 350 even if green/cool roofs are deployed at a large-scale, these UHI mitigation measures can only 351 reduce about half of the urban expansion-induced increase in nighttime heat stress. Mitigating 352 the persistent nighttime heat stress in the rapidly expanding Asian and African cities will require multi-disciplinary solutions beyond the green/cool roofs often examined in atmospheric studies 353 354 (Georgescu et al., 2014; Stone et al., 2014; Zhao et al., 2017). One possible complementary 355 strategy against nighttime urban heat stress is improving insulation of residential buildings. Since 356 cool roofs have been shown to reduce indoor heat stress by 26–46% (Zinzi & Agnoli, 2012), 357 improved building insulation can prevent the persistent half of outdoor WBGT increase from compromising indoor thermal comfort. Because the ~ 0.4 million km² of future urban areas in 358 359 China, India and Nigeria do not exist now and will be built in the next decades (K. Huang et al., 360 2019), establishing regulations on cool roofs and residential building insulation can generate potential benefits of heat stress mitigation for ~8 million additional urban residents in these 361 362 countries by 2050 (UN DESA, 2014).

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364 Our results show that the combination of urban land expansion and heat island mitigation has the potential during daytime to reduce heat stress, providing an opportunity to delay un-365 inhabitable climate conditions. Due to global climate change under the RCP 8.5 scenario, by the 366 end of 21st century, daily maximum heat stress in northeastern South Asia and eastern China will 367 368 approach or exceed the critical threshold of 35°C wet-bulb temperature, beyond which human 369 bodies cannot sufficiently cool off by sweating (Im et al., 2017; Kang & Eltahir, 2018). Because 370 extreme heat stress usually occurs in the afternoon (C. Raymond et al., 2017), our results 371 regarding daytime urban cooling, suggest that urban expansion by 2050, with cool roofs installed, can potentially delay the exceedance of critical heat stress thresholds, allowing extra 372 373 time for GHG emission mitigation or strategic retreat from those un-inhabitable regions. 374 However, since observed heat stress extremes are mainly driven by horizontal transport of 375 moisture (C. Raymond et al., 2017; Colin Raymond et al., 2020), it is unclear whether the local 376 drying effects of urban expansion are strong enough to counteract moisture advection. Further 377 modeling studies to investigate the interaction between urban expansion and moisture transport 378 are still needed to understand the potential benefits of avoiding exceedance of heat stress 379 thresholds. In less extreme conditions, WBGT higher than 25°C, albeit not lethal, can lead to 380 labor capacity loss in outdoor environments. Even if GHG-induced global warming is limited to 381 a 2°C change from pre-industrial level, global labor capacity loss, especially in low- to mid-382 latitudes, will more than double from 10% now to 25% by 2050 due to rising heat stress (Dunne 383 et al., 2013). Our result of ~1°C reduction in daytime WBGT from combining urban expansion 384 and adaptation shows the potential to alleviate labor productivity loss in urban areas. Improving 385 outdoor labor productivity will be critical in maintaining the gray urban infrastructures, such as 386 pavements and power supplies, whose degradation has been projected to accelerate with global 387 climate change (Forzieri et al., 2018; Underwood et al., 2017).

389 One important caveat of our analysis is the inadequate representation of shade and wind 390 in our quantification of heat stress and atmospheric modeling. In addition to air temperature and 391 humidity, thermal comfort is also affected by the direct solar radiation on human bodies, which 392 can be reduced by shading (Harlan et al., 2006). Our analysis cannot adequately address the 393 shading effects for two reasons. First, shading depends on various urban form factors, such as 394 building heights, street widths, and tree canyons. Accounting for variations in urban forms 395 requires analyses that are beyond the scope of this paper—the effects of adding new urban areas 396 with similar structures to the existing ones. Second, the tree-canopy representation in the WRF 397 model does not adequately capture the cooling effects from shading (Wang et al., 2016). To 398 address this caveat, multiple scenarios of various urban forms and an improved urban-canyon-399 tree-canopy model need to be included in future studies. Nonetheless, considering the shading 400 effects, it is reasonable to speculate that urban forms with narrower streets and more street trees 401 have the potential to further reduce daytime heat stress.

402 **5** Conclusions

403 The climate is changing and the world is urbanizing rapidly. Both processes can 404 potentially increase heat stress in urban areas, yet the effects of urban expansion remain unclear. 405 Our results, from simulating the effects of urban expansion on both temperature and humidity, 406 reveal a new diurnal pattern of changing heat stress. During daytime conditions, urban land 407 expansion and heat island mitigation provide the opportunity to delay extreme heat conditions 408 caused by climate change. During nighttime conditions, the persistent warming at night can 409 exacerbate increases in health risks and energy consumption, which requires complementary 410 measures like combining green/cool roofs with improved building insulation.

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418 Data Availability Statement

- 419 The results of nighttime WBGT increases are available for download at figshare
- 420 (<u>https://figshare.com/s/9b991f83366a29c45e09</u> and
- 421 <u>https://figshare.com/s/0d61755f759d40d5bc6f</u>). Full WRF outputs are not deposited on public
- 422 data repository due to the large file sizes. These outputs are available upon request from the
- 423 correspondence author K. Huang. Other data needed to run the simulations include: the spatially
 424 explicit, probabilistic forecasts of global urban land expansion by 2050
- 425 (https://doi.org/10.6084/m9.figshare.7897010) and the NCAR CESM Global Bias-Corrected
- 426 CMIP5 Output to Support WRF/MPAS Research (<u>https://rda.ucar.edu/datasets/ds316.1/</u>).

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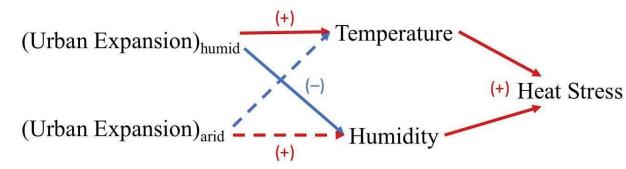


Figure 1 Mechanisms of urban expansion affecting heat stress, in humid and arid climates.
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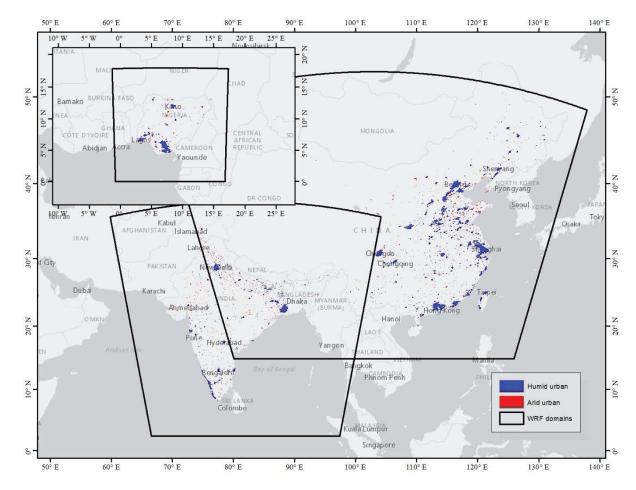
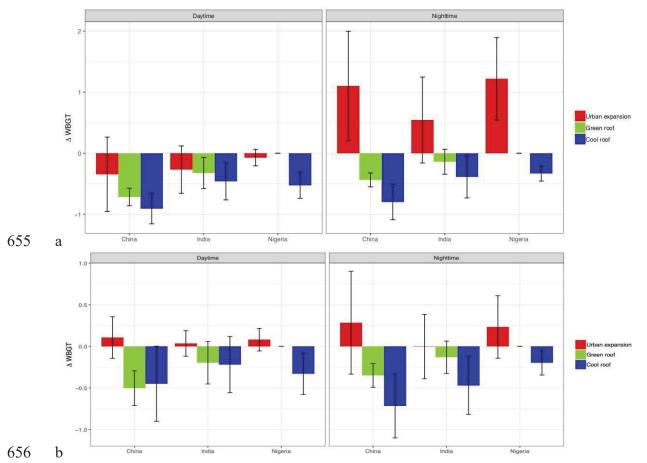




Figure 2 The domains for the Weather Research and Forecasting (WRF) simulations and the geographic distributions of humid and arid urban areas in China, India, and Nigeria. 653



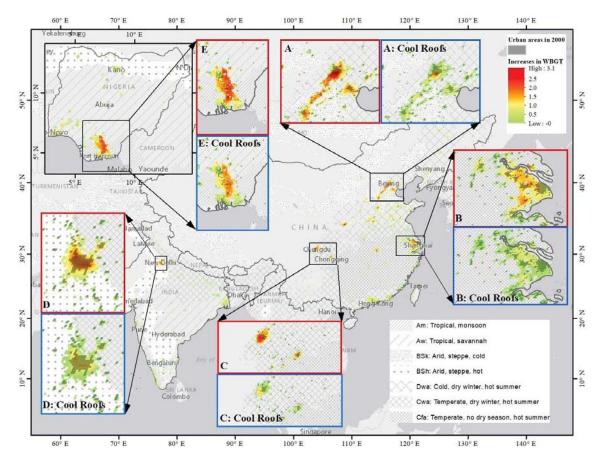
657 Figure 3 Summer average changes in wet-bulb globe temperature (WBGT) in humid (a) and arid

658 (b) urban lands, due to urban land expansion and heat island mitigations. Urban heat island

659 mitigation measures include installing green and cool roofs, and the changes are calculated using

660 urban land expansion scenario as the baseline. Error bars represent one standard deviation from

661 the regional means. 662



664

Figure 4 Geographic distributions of changes in nighttime wet-bulb globe temperature (WBGT)

666 due to urban expansion. Enlarged maps with red frames show five mega urban regions

667 with >2°C warming: A) Beijing-Tianjin-Hebei, B) Yangtze River Delta, C) Chengdu-

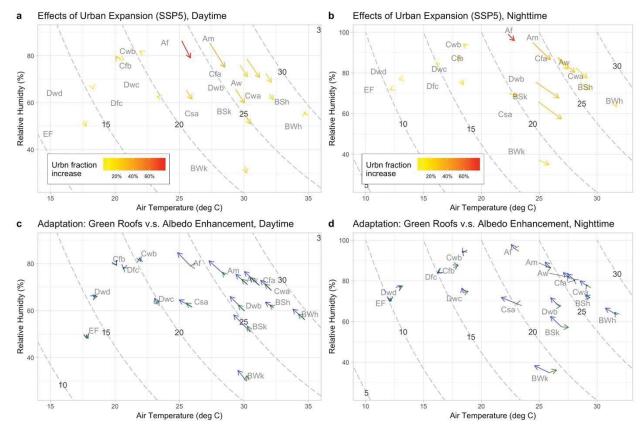
668 Chongqing, D) Delhi Metropolitan Area, and E) Port Harcourt, and those with blue frames show

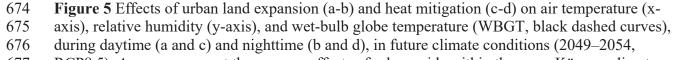
the respective warming with installment of cool roofs. (Base map credits: ESRI, HERE, Garmin,

670 © OpenStreetMap contributors, and the GIS user community.)



673





677 RCP8.5). Arrows represent the average effects of urban grids within the same Köppen climate 678 zones⁴⁹: in a-b heads and tails are conditions of before and after land urban expansion, and in c-d 679 heads and tails are without and with urban heat island mitigation measures. In c-d, green arrows

680 represent the effects of installing green roofs and blue ones represent those of cool roofs. Köppen

- 681 climates notations: A-tropical, B-arid, C-temperate, D-cold, W: desert, S: steppe, h: hot, k: cold,
- m-monsoon, w-dry winter, s-dry summer, f-no dry season, a-hot summer, b-warm summer, c-cold summer.

Table 1 Changes in air temperature and specific humidity due to urban expansion during

	Air temperature (°C)		Specific humidity (g/kg)		Percentage
					of total
					urban area
Climate zone	Daytime	Nighttime	Daytime	Nighttime	in 2050
Am: Tropical, monsoon	1.30	1.72	-1.25	0.00	7%
Aw: Tropical, savannah	0.58	0.91	-0.65	-0.12	23%
BSh: Arid, steppe, hot	0.33	0.87	-0.46	-0.08	8%
BSk: Arid, steppe, cold	0.47	2.13	-0.73	-0.04	5%
Cfa: Temperate, no dry	1.02	1.55	-1.08	-0.03	14%
season, hot summer	1.02	1.55	-1.08	-0.03	1470
Cwa: Temperate, dry	0.45	1.02	-0.57	-0.06	26%
winter, hot summer					2070
Dwb: Cold, dry winter,	0.76	2.15	-6.73	0.14	14%
warm summer 0.70		2.13	-0.73	0.14	14/0

685 daytime and nighttime, in different climate zones.

Table 2 Average GDP per capita (PPP, USD 2005/year) in the five mega-urban regions (MURs).

MUR	GDP per capita (PPP, USD 2005)
Beijing-Tianjin-Hebei	33,024
Yangtze-River-Delta	42,843
Chengdu-Chongqing	31,592
Delhi Metropolitan Area	15,577
Port Harcourt	10,187

Figure 1.

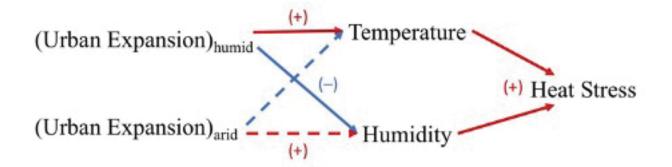


Figure 2.

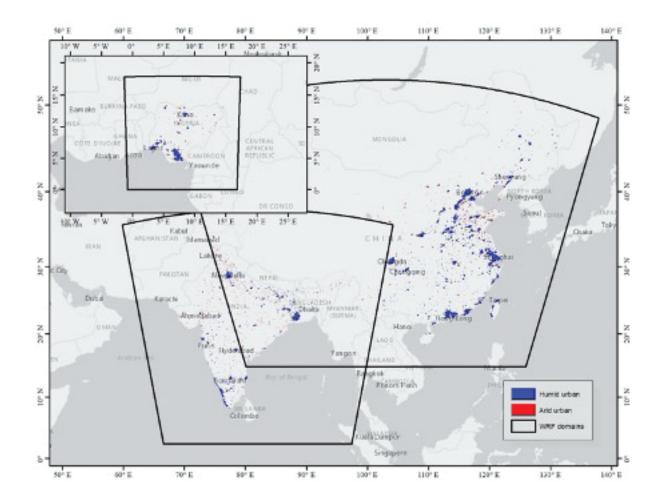


Figure 4.

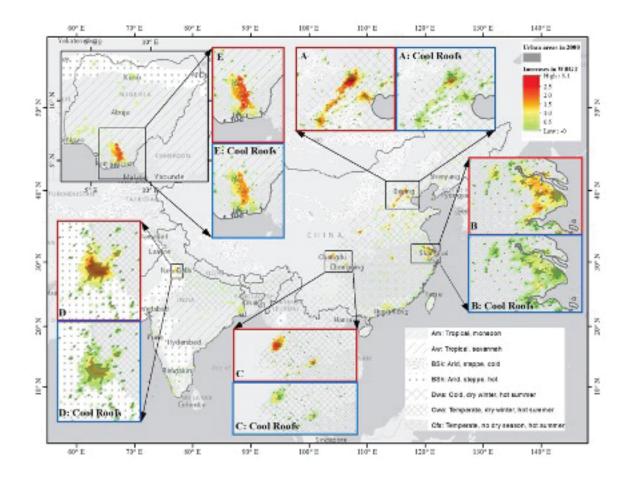


Figure 5.

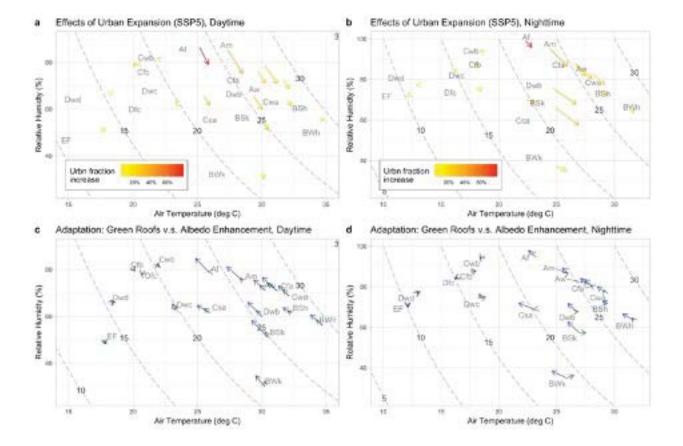


Figure 3.

