

PAPER

# Dynamic catch-bonding generates the large stall forces of cytoplasmic dynein

To cite this article: Christopher M Johnson *et al* 2020 *Phys. Biol.* **17** 046004

View the [article online](#) for updates and enhancements.



**IOP | ebooks™**

Bringing together innovative digital publishing with leading authors from the global scientific community.

Start exploring the collection—download the first chapter of every title for free.



## PAPER

## Dynamic catch-bonding generates the large stall forces of cytoplasmic dynein

Christopher M Johnson<sup>1</sup> , J Daniel Fenn<sup>2</sup> , Anthony Brown<sup>2</sup> and P Jung<sup>1,3,4,5</sup> <sup>1</sup> Department of Physics and Astronomy, Ohio University, Athens, OH 45701, United States of America<sup>2</sup> Department of Neuroscience, Ohio State University, Columbus, OH 43210, United States of America<sup>3</sup> Quantitative Biology Institute, Ohio University, Athens, OH 45701, United States of America<sup>4</sup> Neuroscience Program, Ohio University, Athens, OH 45701, United States of America<sup>5</sup> Address for correspondence. Department of Physics and Astronomy, Ohio University, Clippinger Laboratory 39 University Terrace, Athens, OH 45701, United States of AmericaE-mail: [jungp@ohio.edu](mailto:jungp@ohio.edu)**Keywords:** cytoplasmic dynein, mathematical modeling, intracellular transport

## Abstract

Cytoplasmic dynein is an important molecular motor involved in the transport of vesicular and macromolecular cargo along microtubules in cells, often in conjunction with kinesin motors. Dynein is larger and more complex than kinesin and the mechanism and regulation of its movement is currently the subject of intense research. While it was believed for a long time that dynein motors are relatively weak in terms of the force they can generate, recent studies have shown that interactions with regulatory proteins confer large stall forces comparable to those of kinesin. This paper reports on a theoretical study which suggests that these large stall forces may be the result of an emergent, ATP-dependent, bistability resulting in a dynamic catch-bonding behavior that can cause the motor to switch between high and low load-force states.

## 1. Introduction

Cytoplasmic dynein is a motor protein that hauls cargoes of various kinds along microtubule tracks within cells (for recent reviews, see [1, 2]). These cargoes, which are vital for cellular function, include membranous organelles, cytoskeletal polymers, and other macromolecular complexes. The force generated by the motor is coupled to ATP hydrolysis, rendering cytoplasmic dynein a mechano-chemical enzyme. In comparison to kinesin, cytoplasmic dynein is a much larger and more complex motor. The dynein motor domain is made up of six AAA+ domains (AAA1–6) arranged in a ring. Domains AAA1–4 bind ATP and domains AAA1, 3 and 4 can catalyze hydrolysis of their bound ATP [2, 3]. There is general consensus that AAA1 is the primary site for ATP hydrolysis and that AAA2–4, the secondary binding sites, assume a more regulatory role [4].

Using optical trapping of polystyrene beads coated with purified cytoplasmic dynein, Mallik *et al* [5] reported that this motor has a variable step size that can be 8, 16, 24, or 32 nm, which represent integral multiples of the 8 nm periodicity of the tubulin dimers in the microtubule polymer. At low loads,

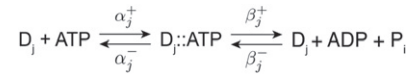
i.e.  $< 0.4$  pN, dynein took mostly larger steps in the range of 24 – 32 nm. At intermediate loads, i.e.  $0.4 - 0.8$  pN, this was reduced to 16 nm steps. At high loads, i.e.  $> 0.8$  pN, dynein took mostly 8 nm steps. At loads of about 1 pN, the motor stalled. Thus, Mallik *et al* concluded that dynein has the ability to change its stepping behavior in response to load, which implied that its mechano-chemical cycle possessed a molecular gear mechanism.

To gain conceptual and quantitative understanding of the observed stepping mechanism, Singh *et al* [6] proposed a mathematical model that can mimic the stepping behavior reported by Mallik *et al* [5]. Singh *et al* hypothesized that hydrolysis occurs at the primary AAA binding site (AAA1) and that the number of ATPs bound to the secondary AAA binding sites (AAA2–AAA4) regulates the step size. ATP binding was assumed to be cooperative with a binding affinity that decreased as the occupancy of the secondary AAA binding sites increased. By further assuming that the binding affinities of the secondary sites were all load-dependent, the average step size of dynein varied under different loading constraints and was consistent with step size and stall force data available at the time in [5]. Specifically, the step size

distribution reported in [5] for low ATP concentrations of  $2\ \mu\text{M}$  was reproduced well by the model (compare figure 5(a) in [6] and figure 4 in [5]). Also, the transitions observed in [5] at saturating levels of ATP, from predominantly large step sizes at low load forces to predominantly 8 nm step sizes at high load forces, was predicted qualitatively by the model in [6] for physiological ATP concentrations. Gao [7] also proposed a model that can explain the force dependence of dynein's step size by decoupling the ATP hydrolysis cycle from the physical translocation of the motor.

In subsequent experimental studies, Toba *et al* [8] measured the stall force of dynein to be much larger than that reported by Mallik *et al* [5]. Specifically, Toba *et al* reported that dynein took 8 nm steps at load forces larger than 1 pN and was able to generate forces up to 7 pN before stalling, similar to the step size and stall force of kinesin. The authors attributed this discrepancy to improvements in their bead-coating method and the temporal resolution of their optical trap. In addition, Walter *et al* [9] used a three-bead 'dumbbell' optical trap configuration to show that dynein exhibited 8 nm steps under saturating ATP levels and generated forces of up to  $\approx 5$  pN, comparable to the findings of Toba *et al* [8]. Walter *et al* [9] also reported that the dynein stall force decreased to about 1 pN at a lower ATP concentration of  $50\ \mu\text{M}$  (comparable to findings in [5]), but still took only 8 nm steps. More recently, broad distributions of dynein load forces ranging from approximately 1 – 5 pN have been reported by Belyy *et al* [10, 11].

Consistently high load forces of 4.5 – 7 pN have also been reported for yeast dynein [12, 13]. This appears to be due to a unique structural feature of the yeast motor as it lacks the C-terminal 'cap' domain that is present in mammalian dynein [14]. However, it remains unclear what accounts for the broad range of stall forces of mammalian dynein and how this is related to ATP concentration as observed in [8]. In this paper we address these questions through computational modeling. The manuscript is organized into four sections. First, in the 'Model description' section we describe the model and define its kinetic parameters. Second, in the 'Model calibration and testing' section we show that the predicted kinetic behavior of our dynein model is consistent with experimental data on the force–velocity relationship, run lengths, stall times, and the dependence of the step size on the load force and ATP concentration. Third, in the 'Results' section we show that, at forces below the stall force, the model exhibits an emergent behavior which we term *dynamic catch-bonding*, and we explore the effect of this behavior on motor stepping and load-sharing. Finally, in the 'Discussion' section we summarize our findings and discuss the mechanism and implications of dynamic



**Figure 1.** Kinetic scheme for the dynein motor model. The dynein motor is considered to exist in four conformational states  $j = 1, 2, 3, 4$ , associated with step sizes of 32 nm, 24 nm, 16 nm, and 8 nm, respectively. Stepping of the motor in each state is coupled tightly to ATP hydrolysis. ATP binds to the dynein motor  $D_j$  with rate  $\alpha_j^+$ . In the ATP-bound state ( $D_j : \text{ATP}$ ), the ATP can either be hydrolyzed with rate  $\beta_j^+$  or it can dissociate with rate  $\alpha_j^-$ . Backward stepping is modeled as reverse catalysis with the rate constant  $\beta_j^-$ . Note that  $\beta_j^+$  technically includes both hydrolysis and release of the hydrolysis products, but for simplicity we refer to it as the hydrolysis rate constant in the present study.

catch-bonding in the context of other models and studies of dynein function.

## 2. Model description

### 2.1. Motor stepping

To develop a model for cytoplasmic dynein kinetics that accounts for the frequent backward stepping of the motor ( $\sim 20\%$  of steps for high [ATP] [15, 16]), we use a phenomenological method analogous to the kinesin-1 motor model of Kolomeisky and Fisher [17] where the motor stepping is coupled to ATP hydrolysis with appropriate matched rate constants. The model considers that the dynein motor has four conformational states ( $j = 1, 2, 3, 4$ ) associated with step sizes of  $\Delta_1 = 32$  nm,  $\Delta_2 = 24$  nm,  $\Delta_3 = 16$  nm, and  $\Delta_4 = 8$  nm, respectively. In figure 1, we show the kinetics of ATP hydrolysis for these conformational states. Note that the scheme depicts reversible ATP hydrolysis, which is necessary in this model in order for the motor to stall at a certain force [18, 19].

Following Michaelis–Menten, ATP binds dynein with the rate  $[\text{ATP}]\alpha_j^+$  where  $a$  denotes the ATP concentration (which we assume to be constant). Once ATP is bound, the dynein motor and ATP form a complex  $D_j : \text{ATP}$  which can either dissociate with rates  $\alpha_j^-$ , or lead to catalysis and forward stepping with step size  $\Delta_j$ . We model backward stepping of the motor with step size  $-\Delta_j$  through a reverse catalysis rate  $\beta_j^-$ . At steady-state, the forward and backward stepping rates ( $r_j^+$  and  $r_j^-$ , respectively) in the four conformational states ( $j = 1, 2, 3, 4$ ) are then given by

$$r_j^+ = \beta_j^+ \frac{a}{a + K_j}, \quad r_j^- = \beta_j^- \frac{K_j}{a + K_j}, \quad (1)$$

with  $K_j$  given by

$$K_j = \frac{\alpha_j^- + \beta_j^+}{\alpha_j^+ + \beta_j^-/a}, \quad (2)$$

where  $a$  is the ATP concentration. If we ignore the backward stepping rate  $\beta_j^-$ , the quantity  $K_j$  is

equivalent to the Michaelis constant in the standard Michaelis–Menten model. The maximum forward and backward stepping rates  $r_j^+$  and  $r_j^-$ , are given by the catalysis rates  $\beta_j^+$  and  $\beta_j^-$ , respectively. If the ATP concentration  $a$  matches  $K_j$ , i.e.  $a = (\alpha_j^- + \beta_j^+ - \beta_j^-) / \alpha_j^+$ , the forward and backward stepping rates are half their maximum stepping rates, respectively.

The average velocity of the motor is obtained by summing over all step sizes, i.e.

$$\bar{v} = \sum_{j=1}^4 \Delta_j (r_j^+ - r_j^-). \quad (3)$$

## 2.2. Detachment kinetics

Just like kinesin motors, dynein motors can detach from their microtubule tracks resulting in finite average run lengths and run times. Dynein's detachment kinetics were studied by Kunwar *et al* [20] and Nicholas *et al* [21]. Kunwar *et al* [20] reported that the detachment rate increases exponentially with load force below a stall force that does not exceed 1 pN. For load forces above the stall force, the detachment rate decreases towards a limit value, exhibiting the characteristics of a catch-bond. We cannot adopt these experimentally determined detachment kinetics for our model, since our model predicts stall forces ranging from 1 pN to several pN, as reported in the more recent literature [8–11]. Nicholas *et al* [21] report anisotropic detachment forces with larger slip-bond type detachment forces of about 2 pN in the direction opposing the motor movement. We neglect the directionality of the detachment forces because in our study the load forces oppose the motor movement most of the time. We use a simple slip-bond type exponential detachment rate for all load forces and all four conformational states and tune this detachment rate to the experimental measurements. Detachment rates depend on the ATP concentration and the load force. The rates are dominated by the load force if ATP concentrations are in the millimolar range [22] because at these concentrations ATP is bound most of the time. During the time that ATP is attached, the rate of motor detachment is given by the Arrhenius equation, i.e.

$$r_{\text{det}} = r_0 \exp\left(\frac{\delta_d F}{k_B T}\right), \quad (4)$$

where  $F$  is the load force,  $k_B$  is Boltzmann's constant, and  $T$  is the temperature. The prefactor  $r_0$  is constrained by the average run length, measured in a cargo-motor assay as described below, and the force constant  $\delta_d$  is constrained by the average stall time (for a single motor) in an optical trap experiment (data taken from [23]). This approach is different from the one used in [22], where a state-independent detachment rate was calculated using a phenomenological expression for the detachment probability in

conjunction with the average dwell time in the ATP-bound states.

## 2.3. Step size

Next, we consider the step size distribution and average step size of the motor. The rate of steps of *absolute* size  $\Delta_j$  (forward or backward) in conformational state  $j$  is given by  $r_j = r_j^+ + r_j^-$ , resulting in the following expression for the normalized probability distribution of absolute step sizes

$$p(\Delta_j) = \frac{r_j}{\sum_{i=1}^4 r_i}, \quad j = 1, 2, 3, 4 \quad (5)$$

with step sizes of  $\Delta_1 = 32$  nm,  $\Delta_2 = 24$  nm,  $\Delta_3 = 16$  nm and  $\Delta_4 = 8$  nm, and an average step size of

$$\bar{\Delta} = \sum_{j=1}^4 \Delta_j p(\Delta_j). \quad (6)$$

In [24], dynein step size was measured based on plateau values of the displacement, but only forward steps were counted. Thus, for the specific case of comparisons with that study we analyze only forward steps in our model, resulting in the following expression for the step size distribution  $p^+(\Delta_j)$

$$p^+(\Delta_j) = \frac{r_j^+}{\sum_{i=1}^4 r_i^+}, \quad (7)$$

where the superscript  $+$  denotes the forward stepping direction, with an average forward step size as defined in equation (6).

## 2.4. Load dependence of the kinetic rates

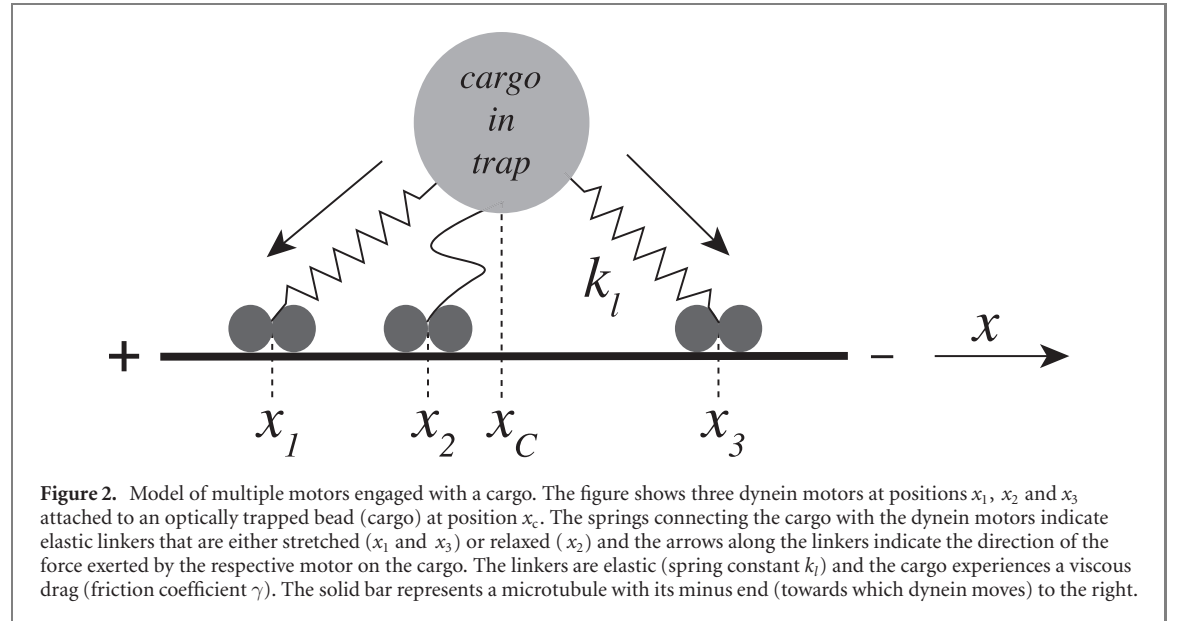
To account for the load dependence of the motility of the dynein motor, the kinetic rate constants of ATP binding and hydrolysis were considered to depend on the load  $F$  in accordance with

$$\begin{aligned} \alpha_j^+ &= \alpha_j^+(0) \exp\left(-\frac{\delta_{\alpha,j} F}{k_B T}\right) \\ \beta_j^+ &= \beta_j^+(0) \exp\left(-\frac{\delta_{\beta,j} F}{k_B T}\right). \end{aligned} \quad (8)$$

This approach utilizes an energy landscape model, where the energetic barriers of the processes depend on the load forces. For positive values of the constant  $\delta_{\beta,j}$ , the ATP hydrolysis rates decrease with increasing load force, resulting in smaller velocities, while for negative values the opposite occurs. Similarly, for positive values of  $\delta_{\alpha,j}$ , the ATP binding rates decrease with increasing load force, also leading to smaller velocities. These individual dependencies of the ATP hydrolysis and binding rates on the load force are necessary to accommodate both the measured force dependence of the step sizes of the dynein motor and the force–velocity relation. The parameter values that we identified to reproduce most experimental data on cytoplasmic dynein (see below) are listed in table 1.

**Table 1.** Rate constants chosen for the dynein model.

| Parameter                                     | Description                           | State $j=1$        | State $j=2$        | State $j=3$        | State $j=4$        |
|-----------------------------------------------|---------------------------------------|--------------------|--------------------|--------------------|--------------------|
| $\alpha^+$ ( $\text{M}^{-1}\text{sec}^{-1}$ ) | ATP binding rate                      | $8.00 \times 10^6$ | $1.33 \times 10^5$ | $2.00 \times 10^5$ | $4.00 \times 10^5$ |
| $\delta_\alpha$ (nm)                          | ATP binding force constant            | 2.0                | 6.0                | 4.0                | 2.5                |
| $\alpha^-$ ( $\text{sec}^{-1}$ )              | ATP dissociation rate                 | 50                 | 200                | 175                | 150                |
| $\beta^+$ ( $\text{sec}^{-1}$ )               | Catalysis rate                        | 32                 | 20                 | 8                  | 5                  |
| $\delta_\beta^+$ (nm)                         | ATP hydrolysis force constant         | 12                 | 5                  | 1.0                | -5.5               |
| $\beta^-$ ( $\text{sec}^{-1}$ )               | Inverse catalysis rate                | 0.0                | 5.8                | 2.3                | 0.4                |
| $\delta_\beta^-$ (nm)                         | Inverse ATP hydrolysis force constant | 0.0                | 0                  | -1                 | -1                 |
| $\Delta$ (nm)                                 | Step size                             | 32                 | 24                 | 16                 | 8                  |



## 2.5. Motor-cargo assays

The interaction of dynein and kinesin motors with microtubules is often studied with assays consisting of beads attached to molecular motors, e.g. in an optical trap. We mimic such an arrangement with the following simple mechanical model (see figure 2).  $N$  dynein motors are attached to a rigid cargo (bead) through flexible elastic linkers with spring constant  $k_l$ . The cargo is either free to move or is bound to the optical trap with spring constant  $k_t$ , which pulls the cargo in the opposite direction to the dynein motors. Note that the motors on a given cargo are mechanically coupled to each other indirectly via these elastic motor-cargo linkages, and therefore can influence each other's kinetics (e.g. [25, 26]). The positive  $x$ -direction corresponds in our model to the minus-end of the microtubule (i.e. the direction of dynein movement). The movement of the cargo is assumed to be damped with a friction constant  $\gamma$ , which depends on the size of the cargo. Assuming that inertial forces can be neglected, the equation of motion for the cargo is given by

$$\gamma \dot{x}_c = \sum_{i=1}^N F_i - k_t x_c, \quad (9)$$

where  $F_{i=1 \dots N}$  represent the forces of the dynein motors ( $i = 1, 2, \dots, N$ ) acting on the cargo,  $x_c$  represents the location of the cargo along the microtubule, and  $k_t x_c$  represents the force of the trap on the cargo. The force  $F_i$  of each dynein motor on the cargo depends on whether that motor is leading or lagging with respect to the position of the cargo. If the motor at position  $x_i$  is ahead of the cargo at position  $x_c$  and if this distance exceeds the resting length  $l$  of the linker, then the linker is stretched and the corresponding force in the positive  $x$ -direction is given by  $F_i = k_l(x_i - x_c - l)$ . If the motor at position  $x_i$  is located less than a distance  $l$  from the cargo at position  $x_c$ , it behaves more like a floppy spring with a weak entropic elasticity, e.g.  $F_i = 0.01 k_l(x_i - x_c)$ . If the motor at position  $x_i$  is lagging behind the cargo at position  $x_c$  and if this distance exceeds the resting length  $l$  of the linker, the corresponding force of that motor on the cargo is similarly given by  $F_i = k_l(x_i - x_c + l)$  in the negative direction. In cases when the cargo is moving freely, i.e. without a trap, the term  $k_t x_c$  on the right-hand side of equation (9) vanishes. The above expressions refer to the forces  $F_i$  acting on the cargo; according to



Newton's third law, we consider the force acting on the motor to be equal in magnitude but opposite in direction.

To model dynein behavior in motor-cargo assays, we must also take account of the frictional drag experienced by the cargo. According to Stokes' law, the friction coefficient  $\gamma$  for a spherical cargo with radius  $R$  is given by  $\gamma = 6\pi\mu R$  where  $\mu$  is the dynamic viscosity. To simulate cytoplasm, we assign  $\mu$  the value of 5 centipoise, i.e. 0.005 kg/(ms), which is 5 times the viscosity of water [27]. With a spherical cargo diameter of 1  $\mu\text{m}$ , this leads to a friction coefficient of  $\gamma = 0.5 \times 10^{-7} \text{kg/s}$ . If we express the forces in units of pN, displacements in units of nm, time in units of s, and trap stiffness  $k_t$  in units of pN/nm, we obtain a dimensionless equation of motion with a dimensionless friction coefficient of  $\gamma = 5 \times 10^{-5}$  which absorbs all conversion factors.

The Langevin equation for the motion of the cargo driven by  $N$  dynein motors in the presence of thermal noise then reads

$$\dot{x}_c(t) = \frac{1}{\gamma} \left( \sum_{i=1}^N F_i(x_1, x_2, \dots, x_N, x_c) - k_t x_c \right) + \xi(t), \quad (10)$$

where  $x_i$  denotes the position of the motor  $i$ , and  $\xi(t)$  denotes zero-mean white Gaussian thermal noise acting on the cargo (see e.g. [28]), i.e.

$$\langle \xi(t) \rangle = 0, \langle \xi(t) \xi(t') \rangle = \frac{2 \times 10^{-3} k_B T}{\gamma} \delta(t - t'). \quad (11)$$

Here, the dimensionless thermal energy  $k_B T$  is measured in units of pN  $\times$  nm and has the value of 4.14 at room temperature. This Langevin equation is solved numerically using a first order solver [28], i.e.

$$\begin{aligned} x_c(t + \delta t) = & x_c(t) \\ & + \frac{\delta t}{\gamma} \left( \sum_{i=1}^N F_i(x_1, x_2, \dots, x_N, x_c) - k_t x_c \right) \\ & + \sqrt{\frac{2 \times 10^{-3} k_B T}{\gamma} \delta t} G(1), \end{aligned} \quad (12)$$

where  $G(1)$  denotes a random number drawn from a Gaussian distribution with unit variance, and  $\delta t$  is the time interval during which we consider the change of cargo position. The Langevin equation is solved with a time step of less than 1  $\mu\text{s}$  in conjunction with Markov modeling of the motor kinetics, i.e. when a motor makes a step it assumes a new position independent of its previous movements. For cargo movement *in vivo*, the force exerted on the cargo by dynein can be opposed by motors such as kinesin. We can represent this opposing force as an additional load

force  $F_c$  on the cargo, equivalent to an optical trap, and replace the force of the trap on the cargo, i.e.  $-k_t x_c$ , with the load force  $F_c$ .

Simulation of the Langevin equation above is cumbersome but can be simplified by tracking only the states where the cargo is in mechanical equilibrium. This is possible because the time that it takes for the cargo to relax to equilibrium after the stepping of the motor, i.e.  $\tau \approx k_t/\gamma \approx 1 \text{ms}$ , is shorter than the average time between motor steps, determined approximately by the inverse of the maximum catalysis rates (see table 1). When one or more motors step to a new position  $x_i$  along the track, we subsequently determine the new cargo position  $x_c$  at which the total force on the cargo vanishes, i.e.

$$\sum_{i=1}^N F_i(x_1, x_2, \dots, x_N, x_c) + F_l = 0, \quad (13)$$

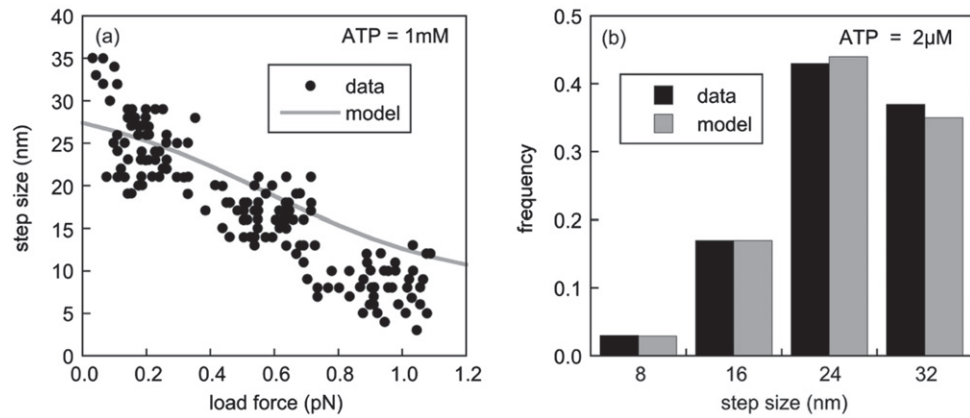
and then the calculation is repeated for each subsequent step.

### 3. Model testing and calibration

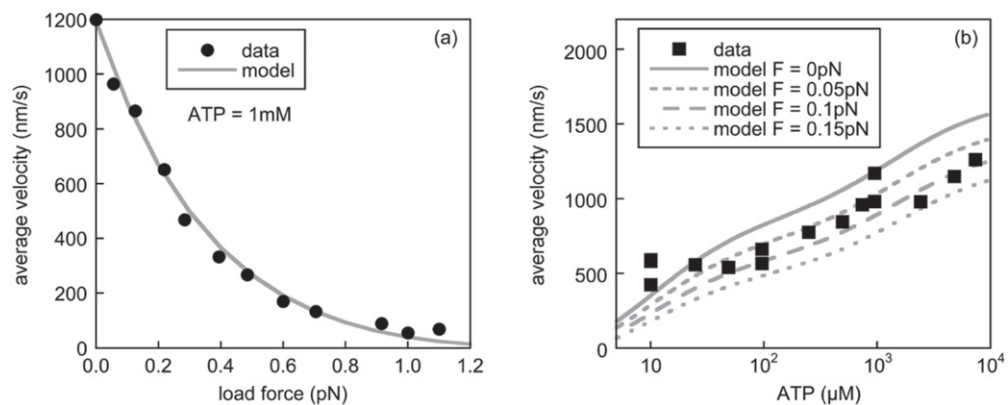
In this section we show that the predicted kinetic behavior of our dynein model is consistent with observations on the force-velocity relationship, run lengths, stall times, and the dependence of the step size on the load force and ATP concentration. All the comparisons of the model with experimental data shown below were obtained using the same set of kinetic rate constants, which were selected to yield the best fit overall.

#### 3.1. Motor stepping

In figure 3(a), we show the load dependence of the average step size for our dynein model compared to the experimental data reported in [24] at an ATP concentration of 1 mM. Experimentally, the step sizes and the corresponding forces plotted here were obtained with an *in vivo* optical trap setup with latex bead phagosomes, analyzing forward steps of the cargo only and measuring the step size while increasing the force on the motors until they stalled and detached. Hence, we use equation (7) to model step sizes. Consistent with the experimental data, the model predicts that the average step size of the motor decreases with increasing applied load. In our model this reduction in average step size is determined by the differential load-dependence of the ATP binding and catalysis rates in the four conformational states associated with the four step sizes. At low load forces (0.1 pN), the ATP catalysis occurs most frequently in conformational state  $j = 1$ , resulting in predominantly 32 nm step sizes, as seen in [24]. With increasing load, the larger value of the force constant  $\delta_\beta^+$  results in a rapid decrease in the catalytic rate in the conformational state  $j = 1$ , which is associated



**Figure 3.** Dynein stepping behavior under various applied loads. In panel (a), we compare the experimentally obtained step sizes versus load force [24] (circles) with the average step sizes obtained with our model at an ATP concentration of 1 mM (solid grey line). The normalized load force in [24] is renormalized here to a stall force of 1.2 pN, which is the stall force of our model at this ATP concentration. As only steps in the minus direction (the preferred direction of the dynein motor) were analyzed in the experiments, we used equation (7) for these simulations. Data points were extracted from the published paper using the GraphClick software package [30]. As in the experimental data, the motor in the model takes predominantly large steps of 32 nm and 24 nm at low load and predominantly small steps of 8 nm at high load, though the quantitative agreement for larger forces is not as good as for small forces. In panel (b), we compare the predicted distribution (grey bars) of the absolute step sizes (positive and negative) at a low ATP concentration (2  $\mu$ M) with published data for this ATP concentration [5] (black bars).



**Figure 4.** Dynein velocity under various applied loads and [ATP]. In panel (a), we compare the predicted dynein force–velocity relationship (solid grey line) with the experimental data of [24] (circles) at a 1 mM ATP concentration. The force–velocity curve was scaled according to the values in table S1 in the supplementary data of [23] for a single dynein motor moving a bead along a microtubule *in vitro*. In panel (b), we compare the predicted average velocity of the motor as a function of the ATP concentration for load forces of 0 pN, 0.05 pN, 0.1 pN, and 0.15 pN (solid and dashed grey lines), with experimental data for minus-end directed motion from figure 4(c) in [31] (squares), reflecting retrograde movement of the dynein obtained using TIRF assays under ‘minimal load’. The experimental data points were extracted using the GraphClick software package [30].

with the largest step size (see equation (8)). ATP catalysis in the other conformational states associated with smaller step sizes then carry an increasing relative weight, resulting in a reduction in the average step size. At load forces of about 1 pN the motor takes predominantly 8 nm steps. Note that in order to model a transition from predominantly 32 nm steps at low load to predominantly 8 nm steps at high load, and still comply with the observed motor speeds shown in figure 4, we had to choose a negative force constant  $\delta_{\beta}^{+}(4) = -5.5$  nm for the force dependence of the catalysis rate in the conformational state associated with the 8 nm step, which implies an increase in the catalysis rate with increasing load force.

In [5] a step size distribution was reported for dynein at the very low ATP concentration of 2  $\mu$ M. It was obtained using optical trapping interferometry in conjunction with a bead motility assay, which is the method used in [29] to determine the step sizes of kinesin motors. This method is blind to the directionality of the steps and hence, we compare the resampled distribution of observed step sizes with the step size distribution predicted by equation (5), which considers forward and backward steps (see figure 3(b)). Note that the step sizes predicted by our model agree well with reported data for very low ATP concentrations.

One caveat of using the force-dependent step sizes of [24] is that they were obtained *in vivo*

using optical trapping of latex bead phagosomes and the precise number of attached motors was not known. Those authors normalized the measured force on the cargo to the stall force, which was found to scale linearly with the number of motors in experiments *in vitro*, to calculate the force on each motor. We used this normalized data to calibrate our single-motor model. Load force step size relations for single yeast dynein motors *in vitro* reported by Gennerich *et al* [12] (disregarding the non-advancing stepping mode described in that study) are consistent with the estimates for mammalian dynein *in vivo* in [24] in that the step size for load forces larger than 1 pN is approximately constant at about 8 nm [12]. Gennerich *et al* [12] did not report step sizes for forces less than 1 pN.

The stepping frequency is characterized by the dwell time, i.e. the time interval between two subsequent steps. We determined the dwell time in our model by simulating the motion of cargo attached to a single dynein motor using the formalism in equation (10). With no load acting on the cargo, we obtain an exponential distribution of dwell times for an ATP concentration of 1 mM, with an average dwell time of 24 ms (not shown). This compares favorably with the experimental data of Toba *et al* [8], who reported an exponential distribution of dwell times with an average dwell time of 27 ms.

### 3.2. Force versus velocity

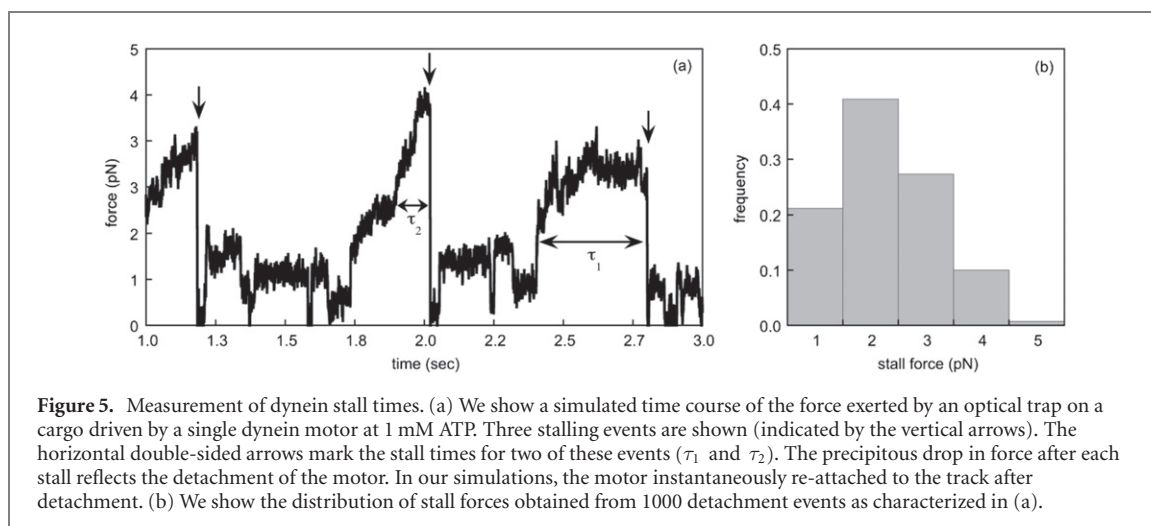
In figure 4(a), we compare the force–velocity relationship predicted by the model with experimental data obtained from measurements in an optical trap [24] at an ATP concentration of 1 mM and we find excellent agreement. As discussed in [24], the curve starts with a large negative slope associated with a rapid decline of the velocity for small changes in applied load, unlike the force–velocity relationship of kinesin which starts with a small negative slope. In our model, the cause of this rapid decline is two-fold: first, the decrease in the ATP binding rate and the hydrolysis rate with increasing load in the conformational state  $j = 1$  associated with a step size of 32 nm; and second, the increasing relative weight of ATP hydrolysis and stepping in the other conformational states, associated with smaller step sizes. In figure 4(b), we compare the predicted dependence of the average velocity on ATP concentrations at small load forces of 0 pN, 0.05 pN, 0.1 pN, and 0.15 pN in our model with experimental data obtained for purified dynein–dynactin complexes at ‘minimal’ load [31]. In agreement with the experimental data, the velocity of the motor increases with increasing ATP concentration in a biphasic manner and displays good overall agreement, with no saturation in velocity up to an ATP concentration of about 10 mM ( $10^4 \mu\text{M}$ ) (see [31]). This suggests, as in [10], that catalysis occurs at different rates depending on the ATP concentration, consistent with our model.

### 3.3. Detachment kinetics and stall forces

To determine the two parameters  $r_0$  and  $\delta_d$  in the expression equation (4) for the detachment rate when ATP is bound, we simulated two different experiments. First, to determine  $r_0 = r_{\text{det}}(F = 0)$ , which is the detachment rate at zero force, we simulated a cargo attached to a motor moving along a track with no force except viscous drag (i.e. equation (12) with  $k_t = 0$ ). The constant  $r_0$  was chosen such that the average run length, i.e. the distance moved before detachment averaged over thousands of runs at an ATP concentration of 1 mM, matched the  $1.8 \mu\text{m}$  run length for dynein-coated beads moved by one, two and three dynein motors as reported in the supplement to [23]. This resulted in the value of  $r_0 = 0.2/\text{s}$ . Second, to determine the force constant  $\delta_d$  we simulated an optical trap experiment with a trap stiffness of  $k_t = 0.05 \text{ pN/nm}$  (see figure 5) using this value of  $r_0$ . For each stall event, we defined the stall time as the time interval between the time  $t_1$ , when the force on the motor prior to detachment exceeded half the stall force of  $f_s$ , and the time  $t_2$ , when the motor detached at the stall force  $f_s$ , consistent with the definition used in [24]. We sampled thousands of stall times to obtain an average stall time  $\tau$  and then used equation (12) to match the 0.2 s average motor stall time reported in the supplement to [23]. This resulted in the value of  $\delta_d = 4.5 \text{ nm}$ . We ignored premature detachments at forces less than 1 pN.

To test the assumption of exponential detachment kinetics governed by equation (4) in our model, we simulated the run length and the stall times of a cargo with two or three dynein motors attached. We used the constants  $r_0$  and  $\delta_d$  calibrated to run length and stall time measurements for a single motor (see previous paragraph) and compared the results with the corresponding experimental data in [23], without any further adjustment of the model parameters. For zero load force on the cargo, our prediction for the average run length with two dynein motors was  $8.3 \mu\text{m}$  at an ATP concentration of 1 mM, which agrees well with the experimental measurement of  $8 \mu\text{m}$ . For three dynein motors we obtained an average run length of about  $30 \mu\text{m}$ , which is consistent with the experimental measurement of  $> 15 \mu\text{m}$ . This agreement confirms again the prefactor  $r_0$  of the expression for the detachment rate because the exponential factor in the detachment rates vanishes in the absence of a load. In simulations of an optical trap experiment with multiple dynein motors attached, we obtained an average stall time of 0.4 s for two motors, and 0.6 s for three motors. This compares with experimental measurements of  $0.4 \pm 0.2 \text{ s}$  for two motors and  $0.6 \pm 0.4 \text{ s}$  for three motors, as reported in [23]. The reattachment rates of motors (on-track rates) have not been measured experimentally, so we used the value of 5/s reported in [20].





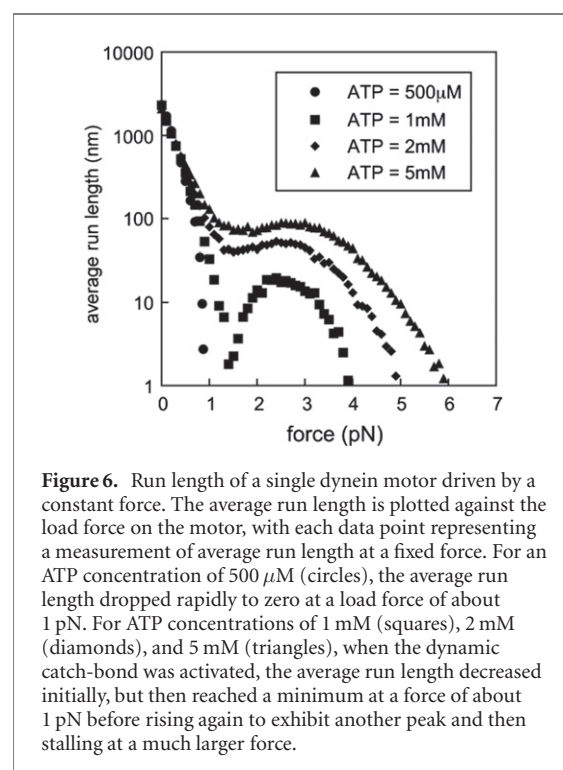
**Figure 5.** Measurement of dynein stall times. (a) We show a simulated time course of the force exerted by an optical trap on a cargo driven by a single dynein motor at 1 mM ATP. Three stalling events are shown (indicated by the vertical arrows). The horizontal double-sided arrows mark the stall times for two of these events ( $\tau_1$  and  $\tau_2$ ). The precipitous drop in force after each stall reflects the detachment of the motor. In our simulations, the motor instantaneously re-attached to the track after detachment. (b) We show the distribution of stall forces obtained from 1000 detachment events as characterized in (a).

## 4. Results

### 4.1. Dynein exhibits dynamic catch-bonding

As described above, in order to mimic the transition from large motor steps of 16, 24, or 32 nm at small load forces to small motor steps of mostly 8 nm at large forces, we had to choose a negative force constant for the force dependence of the ATP catalysis rate when the motor is in the conformation associated with 8 nm steps. This resulted in an increase in the catalysis rate with increasing force. We show here that this also resulted in an increase in the run length of the motor at sufficiently high ATP concentrations and larger load forces, thereby mimicking a catch-bond between the dynein motor and the microtubule track. A catch-bond is a bond that gets tighter with increasing force (for a recent review see [32]). Such bonds are normally characterized by an increase in the lifetime of the bond with increasing force. Catch-bonds were initially reported for cell adhesion molecules [33] but have also been demonstrated in the binding of dynein motors to microtubules at load forces beyond the stall force ('super-stall' forces). They have also been proposed to explain the relationship between stall force and stall time for cargoes driven by a team of dynein motors [20, 24]. However, in contrast to those studies, the catch-bond behavior described here occurs at forces below the stall force and is ATP-dependent. To distinguish the catch-bond behavior described here from those studies, we refer to it as 'dynamic catch-bonding'.

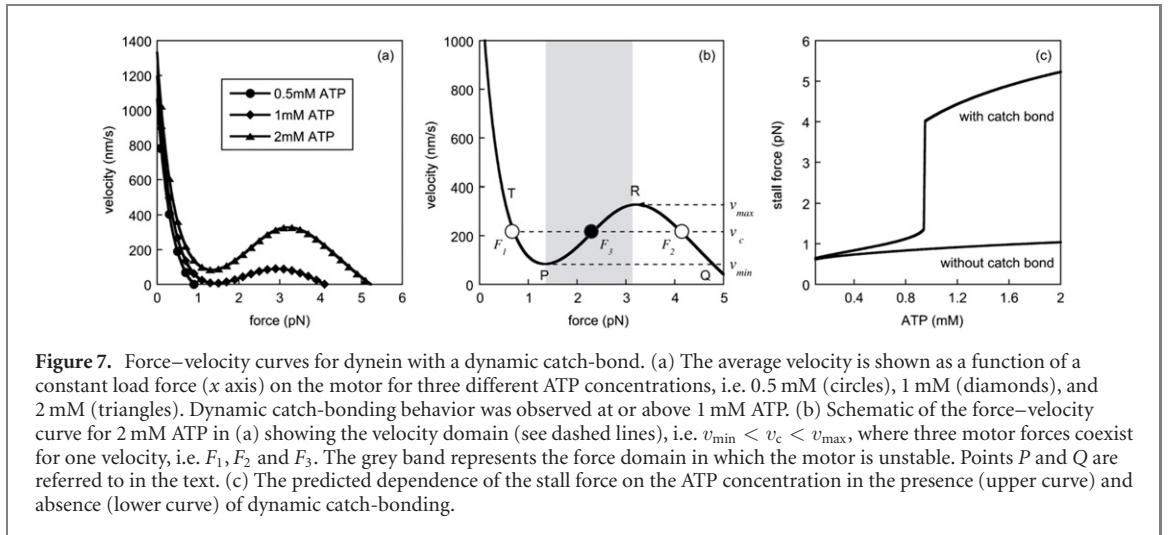
With our calibrated dynein motor model, we simulated the average run length of a single dynein motor (without cargo) as a function of a fixed load force. The results are shown in figure 6. At low ATP concentrations, i.e. below about 1 mM, the run length decreased rapidly with increasing load force until a force was reached at which the run length vanished (circles in figure 6), i.e. the motor stalled. The dependence of the run length on the load force was not very sensitive to ATP concentration at low load forces, but



**Figure 6.** Run length of a single dynein motor driven by a constant force. The average run length is plotted against the load force on the motor, with each data point representing a measurement of average run length at a fixed force. For an ATP concentration of 500  $\mu$ M (circles), the average run length dropped rapidly to zero at a load force of about 1 pN. For ATP concentrations of 1 mM (squares), 2 mM (diamonds), and 5 mM (triangles), when the dynamic catch-bond was activated, the average run length decreased initially, but then reached a minimum at a force of about 1 pN before rising again to exhibit another peak and then stalling at a much larger force.

this changed radically for larger load forces at ATP concentrations of 1 mM or more. At these higher ATP concentrations, the force at which the motor stalled, i.e. the stall force, was 4 pN or more. This can be interpreted as an increased affinity of the dynein motor for the microtubule track, i.e. a tightening of the bond to the microtubule, allowing it to withstand much larger forces before detaching. The origin of this behavior within our model is the increased ATP catalysis rate in the conformational state  $j = 4$  associated with a step size of 8 nm, which reduces the time during which detachment can occur, and thus results in a lower detachment probability.

This catch-bonding behavior was also evident in the force-velocity relationship (see figures 7(a) and (b)). This relationship was obtained in two



different ways, either by utilizing the explicit formula in equation (3), which did not consider detachment, or by stochastic simulations of a single motor, where we recorded the duration and distance of each run (run time and run length, respectively) and then calculated the ratio of these two quantities. In both cases, the load force was held constant. The results using both approaches were identical. At ATP concentrations of about 1 mM or higher, the velocity first decreased with increasing load force until it reached a minimum, and then it increased again between load forces of between about 1.5 pN and 3 pN to exhibit another peak before it vanished at a much larger force. As observed above for the average run length (see figure 6), the force at which the velocity vanished, i.e. the stall force, was dependent on the ATP concentration. Analysis of this relationship revealed an abrupt and discontinuous transition from a stall force of approximately 1 pN to one of more than 4 pN at an ATP concentration of about 1 mM (see figure 7(c)).

Note that the velocity increase of the motor with increasing load force in figures 7(a) and (b) is due to the increased ATP catalysis rate in the conformational state of the motor associated with a step size of 8 nm, and the fact that this step size is dominant at load forces of 1 pN and above. In the next section, we show that in general, i.e. not specific to this model, such a positive slope in the force–velocity relationship has a profound consequence for the dynamics of the motor as it generates a dynamic instability.

#### 4.2. Dynamic catch-bonding can result in mechanical instabilities

In experimental studies, the force–velocity relationship of a cargo attached to a motor is determined by optically trapping the cargo and measuring the velocity at what is effectively a fixed force (calibrated as displacement in the trap). Because of force balance the sum of the forces acting on the cargo (motors pulling forward and trap pulling back) vanishes most of the

time, and thus the fixed force applied to the cargo constrains the force on the motor, i.e. the force on the motor is equal in magnitude to the force on the cargo.

We suggest here an alternate experimental paradigm in which one measures the forces that motors exert on the cargo when the cargo is moved with a fixed velocity. In this experimental regime, the force on the motor is unconstrained and adjusts itself dynamically to the controlled velocity of the cargo. Using a generic motor model (not specific for dynein) with a force–velocity relationship  $v(F)$  where the velocity increases with load in a certain force domain (indicated in gray in figure 7(b)), we show below that such an experimental paradigm can directly reveal a dynamic instability.

The motor is considered to be attached to a cargo by a linker with a resting length  $l$  and a stiffness  $k_l = 0.07$  pN/nm (adapted from [34]), and the cargo is moved by the motor towards the minus-end of the microtubule. By an external feedback mechanism, the velocity of the cargo  $v_c$  is kept constant at a value less than the velocity of the motor at zero load. Denoting load forces in the plus-end direction (i.e. opposite to the direction of motion) with a positive sign, the load force on the motor is given by  $F_m = k_l(x_m - x_c - l)$ , where  $x_m$  is the position of the motor and  $x_c$  the position of the cargo. Starting the cargo at  $x_c(0) = 0$  and the motor at  $x_m(0) = l$ , and making a change of variables,  $y_m(t) \equiv x_m(t) - l$ , the force exerted on the motor is given by  $F_m = k_l(y_m(t) - v_c t)$ . Denoting the force–velocity relationship of the motor by  $v(F_m) = v_0 g(F_m)$ , where  $v_0$  is the velocity of the motor at zero load and the function  $g(0) = 1$ , the equation of motion for the position of the motor  $y_m$ , is then given by

$$v_m = \dot{y}_m(t) = v_0 g(k_l(y_m(t) - v_c t)), \quad (14)$$

where the dot denotes a derivative with respect to time. At steady state, the motor runs at the same velocity as the cargo, i.e.  $v_m = v_c$ , but the position is shifted

by a distance  $\delta$ , which corresponds to the length of the stretched linker under load, i.e.  $y_m^{ss}(t) = v_c t + \delta$  or  $x_m^{ss}(t) = v_c t + l + \delta$ . This gives rise to the equation for the shift  $\delta$  and the force  $F_m$  on the motor, i.e.

$$v_c = v_0 g(k_l \delta), F_m = k_l \delta. \quad (15)$$

For ATP concentrations lower than about 1 mM, the force–velocity relationship is single-valued, i.e. for one given cargo velocity  $v_c$ , there is one value of the force  $F_m$  and hence one value for  $\delta$  (see figure 7(a)). For ATP concentrations greater than about 1 mM, however, the force–velocity relationship is S-shaped, i.e. for cargo velocities in the range  $v_{\min} < v_c < v_{\max}$ , there are three possible values of the force, i.e.  $F_1, F_2$  and  $F_3$  (see figure 7(b)) and correspondingly three values of the shifts,  $\delta_1, \delta_2$  and  $\delta_3$ . The largest value of  $\delta$ , i.e.  $\delta_2$ , indicates the largest force  $F_m = k_l \delta_2$  on the motor (when the linker is stretched the most) and the smallest value of  $\delta$ , i.e.  $\delta = \delta_1$ , indicates the smallest force  $F_m = k_l \delta_1$  on the motor (when the linker is stretched the least).

We show below that in an experimental setup, only the smallest and the largest forces,  $F_1$  and  $F_3$ , will be measured because the steady-state with the intermediate force  $F_2$  is dynamically unstable. The stability of the three states is tested by first rewriting the equation of motion for  $y_m(t)$  (equation (14)) in terms of the force  $F_m(t)$  on the motor, i.e.

$$\dot{F}_m(t) = k_l (v_0 g(F_m) - v_c), \quad (16)$$

where the dot indicates a derivative with respect to time. The steady-state values of the force are then determined by the relation  $g(F_m^{ss}) = v_c/v_0$ , consistent with equation (15). Subjecting the force to a small perturbation  $\delta F$ , i.e.  $F_m = F_m^{ss} + \delta F$ , the linearized equation of motion for the small perturbation reads

$$\delta \dot{F} = v_0 k_l g'(F_m^{ss}) \delta F. \quad (17)$$

In the gray shaded domain in figure 7(b), the slope  $v'(F_m^{ss})$  of the force–velocity relationship  $v(F_m^{ss})$ , and therefore the slope  $g'(F_m^{ss})$  of  $g(F_m^{ss})$ , is positive, resulting in an increase in the small perturbation  $\delta F$ , which renders that domain unstable. Everywhere else along the force–velocity relation, the slope  $g'(F_m^{ss})$  is negative-valued and the perturbations decline with time, rendering the system stable against perturbations.

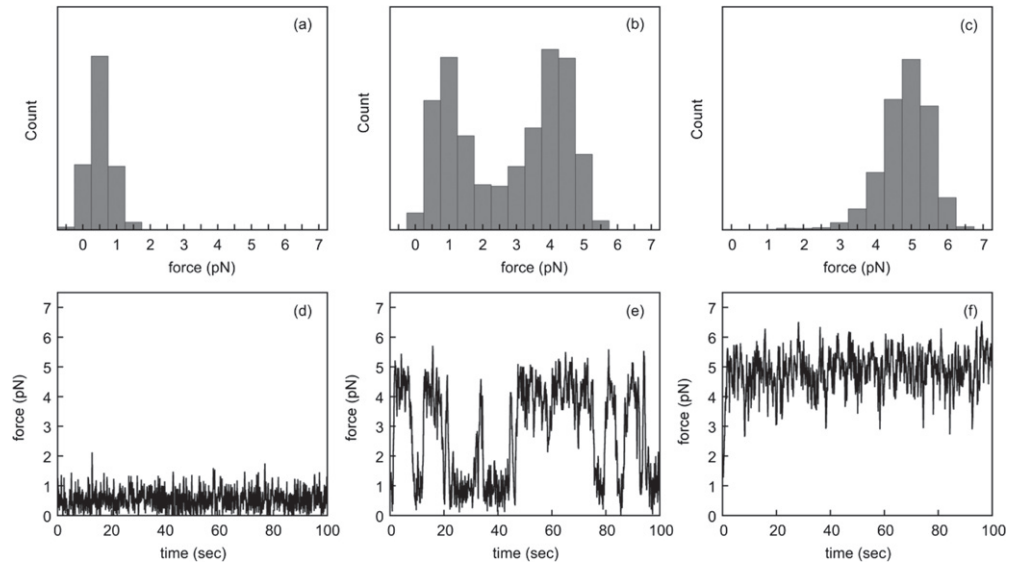
At a cargo velocity of  $v_0$ , i.e. the speed of the motor at zero load, the resulting force on the motor vanishes in the average. Based on the force–velocity relationship in figure 7(b), it can be seen that decreasing the cargo velocity is predicted to result in an increase of the load force (along the  $T - F_1 - P$  branch) until the cargo velocity reaches  $v_{\min}$  (point  $P$  in figure 7(b)). A further decrease in the cargo velocity below  $v_{\min}$  is then predicted to result in a discontinuous jump from point  $P$  to point  $Q$ , i.e. in a sudden increase of the force on the motor caused by a small change in

the velocity. For further decreases in cargo velocity beyond point  $Q$ , the force on the motor is expected to increase further until the motor stalls. Assuming the motor is not detached, a subsequent increase in the cargo velocity is predicted to result in a decrease in the force on the motor, this time following the  $Q - F_2 - R$  branch of the force–velocity curve shown in figure 7(b). A further increase in the cargo velocity beyond  $v_{\max}$ , will result in a discontinuous transition from  $R$  to  $T$  associated with a switch back to a significantly smaller force on the motor. The difference between the cargo velocities (control parameter) at the switching points  $Q$  and  $R$  indicates dynamic hysteresis, stabilizing the low and high-load states of the motor.

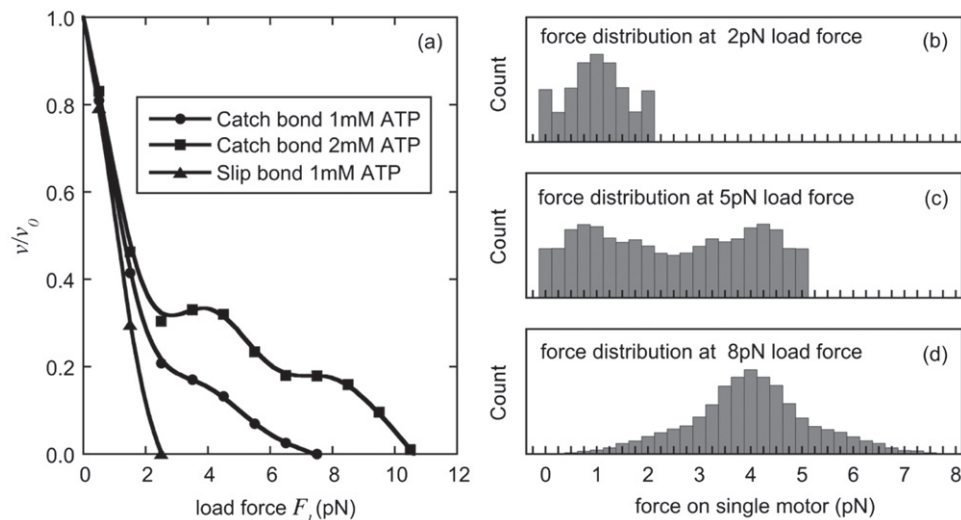
### 4.3. Effect of dynamic catch-bonding on motor stepping

The discussion above was based on a generic force–velocity relation. We now return to our specific dynein model to explore how dynamic catch-bonding manifests itself in the stepping of the dynein motor, while still exploring the proposed experimental paradigm in which the cargo is moved at constant velocity  $v_c$ . Since stochastic stepping results in fluctuations of motor velocity and load force, the motor forces are distributed statistically. For cargo velocities in the domain  $v_{\min} < v_c < v_{\max}$  (see figure 7(b)), we expect to find a wider distribution of forces due to stochastic switching between the two stable forces  $F_1$  and  $F_2$ . Where there is one force associated with one velocity, for example for  $v_c < v_{\min}$ , we expect a narrower distribution of the load forces.

Starting with a cargo at position  $x_c = 0$ , its position at later times will be  $x_c(t) = v_c t$ . The motor steps stochastically, and the position of the motor  $x_m(t)$  and the cargo  $x_c(t)$  results in a force on the motor  $F_m$  as described above in equation (9). In figure 8 we show the predicted force distributions and sample traces of the force on the motor versus time at an ATP concentration of 2 mM when the cargo is moving at a fixed and controlled velocity  $v_c$  (see triangle symbols in figure 7(a)). For a larger linker stiffness, the distribution of forces in the high and low-load states was so broad as to merge together at all cargo velocities examined, hiding the instability (not shown). To demonstrate switching between the high and low-load states, we used a reduced linker stiffness of  $k_l = 0.02$  pN/nm and suppressed detachment. For a cargo velocity of  $v_c = 500$  nm/s (about half the velocity of the motor at zero load), the forces acting on the motor were distributed unimodally, ranging from 0 pN to 1.5 pN with an average of about 0.5 pN (see figure 8(a)). This is consistent with a single-valued force–velocity relation, i.e. one in which there was only one force (approximately 0.5 pN) associated with that cargo velocity. The corresponding sample force trace in figure 8(d) shows the force fluctuating around 0.5 pN. For a cargo



**Figure 8.** Force distribution on single dynein motors constrained to move at a fixed velocity. We show the frequency distributions of forces acting on a single motor in an assay where a cargo with one dynein motor attached is moved towards the minus end of the microtubule at fixed velocities of 500 nm/s (a), 200 nm/s (b) and 50 nm/s (c), all at an ATP concentration of 2 mM. Panels (d), (e) and (f) show traces of the forces plotted versus time to show the predicted force fluctuations. To demonstrate the switching between the high and low-load states as shown in figure 8, we used a reduced linker stiffness of  $k_l = 0.02$  pN/nm and suppressed detachment. For higher linker stiffness  $k_l$ , the force exhibits larger and more frequent fluctuations since a single step of the motor adds a load of  $k_l \Delta x$ , broadening the two peaks of the bimodal force distribution in (b) such that they cannot be resolved, and eliminating the sustained intervals of high and low forces in the force traces (not shown).



**Figure 9.** Cargo velocity and load-sharing for a team of two dynein motors permanently attached to their microtubule track. In panel (a), the average velocity of a cargo driven by a team of two catch-bonding dynein motors is shown as a function of the load force at ATP concentrations of 1 mM (circles) and 2 mM (squares), and in the absence of catch-bonding ('slip-bond'), i.e. for the positive value of  $\delta_\beta^+ (8 \text{ nm}) = 5.5 \text{ nm}$  (see table 1), at 1 mM ATP (triangles). To prevent motor detachment in these simulations, we set the detachment rate  $r_{\text{det}} = 0$  (equation (4)). Panels (b)–(d) show the frequency distributions of the forces acting on the two individual catch-bonding motors for cargo forces of 2 pN (b), 5 pN (c), and 8 pN (d) at 2 mM ATP. The distributions are truncated at the load force  $F_l$  because the force on an individual motor cannot exceed the load force on the cargo.

velocity of  $v_c = 200 \text{ nm/s}$ , which is in the domain  $v_{\min} < v_c < v_{\max}$  where the force–velocity relationship is multi-valued (see figure 7(b)), the force distribution exhibited two maxima at approximately 1 pN and 4 pN (see figure 8(b)), corresponding to the forces  $F_{m1}$  and  $F_{m2}$  in figure 7(b), and a minimum between them at approximately 2.5 pN, corresponding to the force  $F_3$  where the motor is in the dynamically unstable domain shown in gray in

figure 7(b). This dynamic instability is evident in the sample force trace in figure 8(e), in which the motor is seen to switch stochastically between a high-load state, where it maintains a force of about 4 pN, and a low-load state where it maintains a force of only about 1 pN. The two states are sustained by the hysteretic nature of the dynamics described above. The transitions between the two states are triggered by a large force fluctuation caused by the stochasticity of the



motor stepping. For the cargo velocity of  $v_c = 50$  nm/s, which is in the domain  $v_c < v_{\min}$ , the forces were distributed around about 5 pN (see figure 8(c)) and the force traces showed a sustained large force (see figure 8(f)).

#### 4.4. Effect of dynamic catch-bonding on motor stepping and load-sharing by teams of dynein motors

Since cargoes often bind multiple dynein and kinesin motors, we now explore the consequences of dynamic catch-bonding and the resulting dynamic instabilities for cargo transported by two dynein motors pulling against a load force  $F_l$ , which could, for example, represent the force generated by opposing kinesin motors. This is a common situation in vesicular cargo transport, and the experimental paradigm developed above can probe the effectiveness with which dynein motors can overcome such opposing forces. We focus first on the motor–motor coordination in a team of two catch-bonding dynein motors while inhibiting motor detachment from the microtubule track. This initial treatment therefore applies only to the duration of time when both motors are attached to the track. We subsequently consider the case in which microtubule detachment kinetics are incorporated.

After a motor executes a step, the cargo will rapidly approach a new position at which it is in force balance, i.e.  $F_{m1} + F_{m2} = F_l$ , where  $F_{m1}$  and  $F_{m2}$  are the equilibrium forces of the two dynein motors on the cargo. Thus, most of the time the forces on these motors are constrained by this force balance. Since the two motors are identical, all combinations of forces  $F_{m1}$  and  $F_{m2}$  where  $F_{m1} + F_{m2} = F_l$  have the same probability, resulting in load forces distributed symmetrically about the average, which is half of the load force, i.e.  $F_l/2$ . In our simulations, we tracked the position  $x_c$  of the cargo and the corresponding forces  $F_{m1}$  and  $F_{m2}$  with time. Unlike in the case of only one motor, where the force on the motor matches the load force at equilibrium, here only the sum of the forces on the two motors must match the load force  $F_l$ , and the individual forces may not. The computed force–velocity curves of the cargo are shown in figure 9(a) for ATP concentrations of 1 mM and 2 mM as well as for the case of an alternate motor that does not exhibit dynamic catch-bonding (implemented by using an ATP hydrolysis rate that decreases with increasing load in the conformational state associated with the step size of 8 nm). We normalized the velocities ( $v$ ) to the velocity under zero load ( $v_0$ ) so that all force–velocity curves started at unity. In the absence of dynamic catch-bonding (slip-bond, 1 mM ATP), the simulations predicted a rapid decline in the velocity with increasing force and a collective stall force for the dynein team of just above 2 pN, approximately twice the stall force of a single dynein motor in our model (see figure 7(a)). In the presence

of dynamic catch-bonding, we observed a biphasic decrease in the cargo velocity with substantially greater stall forces of about 8 pN for the team of two motors at 1 mM ATP, and of about 10 pN at 2 mM ATP (see figure 9(a)), again approximately twice the stall force of a single dynein motor. Remarkably, along the plateaus of the force–velocity curves for two catch-bonding dynein motors at 2 mM ATP, the velocity of the cargo was not reduced as the load force increased, reflecting an increased capability of the two motors to withstand opposing forces.

The coordination of the motors and their load-sharing capability in our model depends on the load force. For example, at a load force of 5 pN, perfect load-sharing would result in a force of 2.5 pN on each motor. However, from figure 7 we can see that a single motor in our model is unstable at a force between about 1.5 pN and 3 pN at 2 mM ATP, and as a consequence for a team of two dynein motors, one switches into the high-load state and the other switches into the low-load state but the sum of the two forces still equals 5 pN. The frequency distribution of forces on a single motor is therefore bimodal with peaks at about 0.75 pN and 4.25 pN (see figure 9(c)). This means that if one of the motors holds a force of 0.75 pN, the other force will be held at 4.25 pN due to the force balancing. For load forces below 3 pN or above 6 pN, the motors with half-load forces of less than 1.5 pN or more than 3 pN are stable, and the force distributions peak at about the half of the load force  $F_l$  (see figure 9(b) for  $F_l = 2$  pN, and figure 9(d) for  $F_l = 8$  pN). Thus, when the average load per motor is in the range where the motors are unstable, the motors are frequently in an all-or-nothing state in which one of the motors essentially carries all the load, as long as the total load force remains below the single-motor stall force (i.e. about 5 pN for an ATP concentration of 2 mM). In contrast, at high load (i.e. about 8 pN; see figure 9(d)), the motors share load much better, and the force distribution becomes unimodal.

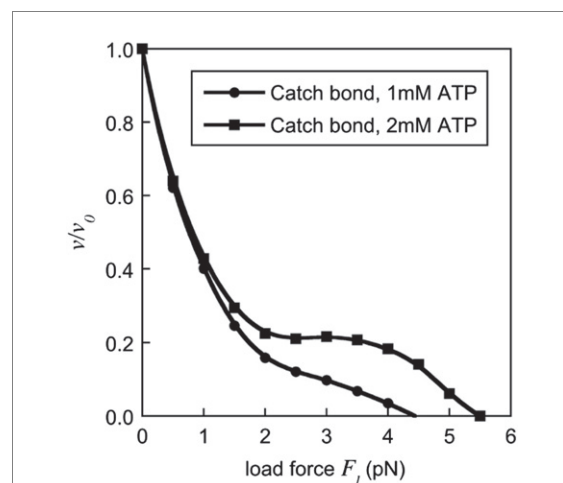
Since the sum of the forces of the two motors on the cargo is balanced by the constant cargo force, perfect load-sharing occurs if both motors carry half of the load force acting on the cargo, i.e.  $\kappa_1 \equiv F_{m1}/(F_{m1} + F_{m2}) = F_{m2}/(F_{m1} + F_{m2}) \equiv \kappa_2 = 0.5$ , where  $\kappa_1$  and  $\kappa_2$  are the fraction of the force carried by motors 1 and 2, respectively. Consequently, we characterized load-sharing as the fraction of time where  $0.45 < \kappa < 0.55$ . In the above simulations, load-sharing was about 10% at small load forces (0.5 pN) and then increased slowly to 16% at a load force of 2 pN. For load forces between 2.5 pN and 7 pN, where the motors are unstable under equal load, load-sharing declined to below 10%. For high load forces (between 7 pN and 10 pN) load-sharing increased substantially to up to about 40%. From this we conclude that the high load forces generated through dynamic catch-bonding appear



to be crucial in overcoming opposing forces that are near the stall force.

The force–velocity relationship in figure 9(a) exhibits two plateaus, the first one starting at a force of about 2.5 pN the second one starting at a force of about 6.5 pN. For load forces on the cargo below 2.5 pN, the load is shared relatively well between the two motors. This can be seen in the distribution of forces acting on a single motor at a 2 pN total load force on the cargo in figure 9(b), where there is a peak at 1 pN, i.e. half the total load on the cargo. Starting at a total load force of 2 – 2.5 pN, load-sharing declines, and most of the time a significant fraction of the total load is carried by a single motor (see figure 9(c)). Thus, when the total load just exceeds about 2.5 pN, a single motor will carry a significant fraction of that force most of the time. However, the velocity of a single motor at loads between 2.5 pN and 3.2 pN increases (see figure 7(b)), resulting in a slight increase of the cargo velocity, i.e. the first plateau in figure 9(a). Increasing the total load force on the cargo further, the velocity of a single motor decreases (see figure 7(a)), resulting in a decline of the cargo velocity beyond 4 pN (see figure 9(a)). At 6–7 pN, load-sharing between the two motors has improved so that each motor carries (on average) only about half the load, i.e. 3–3.5 pN, which is near the peak of the force–velocity relationship for a single motor. Hence, further increases in the force result in only a small change in the velocity, giving rise to the second plateau.

The simulations above neglected detachment of motors in order to focus on motor-motor coordination. To explore how motor detachment and re-attachment affected our predictions of dynamic catch-bonding for a team of two dynein motors, we simulated a cargo attached to two motors that was subjected to an opposing load force  $F_l$  while also allowing each motor to detach and reattach with the kinetics described above (see figure 10). The velocity of the cargo was defined as the ratio of the average run length (distance traveled before both motors detached) and the average run time (time elapsed before both motors detached) and is shown in figure 10 as a function of the load force for ATP concentrations of 1 mM (circles) and 2 mM (squares). The plateau in the force–velocity curve, characteristic of the dynamic catch-bond behavior, was also present when motor detachments were allowed. However, the force distribution onto the two motors was different. If one motor detached, the entire load force  $F_l$  on the cargo was borne by a single motor. Therefore, the all-or-nothing states observed in the absence of detachment were more frequent, and we saw dominant peaks of the force distribution at zero force and full load force (not shown). Significantly, but as predicted, the stall forces were smaller in the case of detachment (for example, compare the



**Figure 10.** Force–velocity relationship for a team of two dynein motors with microtubule detachment. The average velocity of a cargo driven by a team of two catch-bonding dynein motors is shown as a function of the load force at ATP concentrations of 1 mM (circles) and 2 mM (squares) under conditions where the motors are allowed to detach and reattach to the microtubule track.

stall force of about 8 pN at 1 mM ATP in figure 9 with about 4.5 pN in figure 10).

## 5. Discussion

We have proposed a mathematical model which accounts for the large range of observed stall forces of cytoplasmic dynein [10, 11]. The model was constrained to published experimental data on the stepping behavior [5], the force–velocity relationship [24], and the ATP dependence of the velocity [31]. The model was scrutinized successfully by comparing predicted run lengths and stall times for cargo driven by multiple motors with published experimental data obtained using an optical trap [23]. A key assumption of the model is that differential ATP hydrolysis rates in four different conformational states of the motor account for the frequency and load-dependence of the observed step sizes of 32 nm, 24 nm, 16 nm, and 8 nm. In order to reproduce the transition from predominantly large step sizes of 24 nm and 32 nm to predominantly small step sizes of 8 nm, as well as the force–velocity relationship, we proposed that the ATP hydrolysis rate in the conformational state corresponding to 8 nm steps increases with increasing load force. While this is contrary to the conventional assumption that ATP hydrolysis rates decrease with increasing load force, there is no reason *a priori* why this could not be the case. Mechanistically, it could arise if a structural change in the motor domain induced by load were to change the conformation of the ATP binding site in such a way as to favor ATP hydrolysis.

The proposed force-dependence of the catalysis rate in our model has important consequences for dynein's behavior. Specifically, in a simulated opti-

cal trap experiment with a cargo attached to a single dynein motor, our model predicts stall forces broadly distributed between less than 1 pN and about 4 pN for 1 mM ATP (see figure 5) and larger stall forces for higher ATP concentrations, consistent with findings reported in earlier experimental studies [10, 11]. In our model, these large stall forces are associated with small step sizes of predominantly 8 nm, consistent with the observations in [8] (see figure 5(c) therein). This is a direct consequence of the ATP-dependent dynamic instability of the motor, which results in stochastic switching of the motor between alternating and persistent high-load and low-load states (e.g. figures 6(c) and 7).

To test directly for the predicted dynamic instability and the existence of sustained low-load and high-load states, we proposed a novel experimental paradigm in which the motor is attached to a cargo and the cargo is moved with a constant velocity along the microtubule track. In this way, the forces on the motor are not constrained through force balance and can vary widely. If the motor switches between high and low force states, this would result in a broad statistical distribution of forces on the motor, or equivalently, the forces of the motor exerted on the cargo. In contrast, if the cargo is driven by a constant load force, the force on the motor would be constrained by force balance and switching between high and low force states would not be observed, resulting in a narrow statistical distribution of forces. We propose that such an experimental paradigm would reveal features of these motors that otherwise could not be observed.

Another prediction of our model is that beyond a critical ATP concentration the run lengths of dynein motors will increase for larger load forces, although detachment rates do not, mimicking a greater affinity to the microtubule tracks (see figure 6). This predicted behavior is a consequence of the ATP-dependent increase of the velocity of the motor with increasing load described above (see figure 7), since the increased velocity results in a greater displacement and hence a greater run length. We have coined this phenomenon *dynamic* catch-bonding in order to contrast it with the catch-bond mechanisms in [20, 24, 35], which we shall refer to as *static* catch-bonding. These static catch-bonds differ from dynamic catch-bonds in that the detachment rate of the motor to the microtubule at super-stall forces decreases with increasing load force.

The effects of static dynein catch-bonds on cargo transport by teams of dynein motors has been modeled by Nair *et al* [36], but the scope and focus of that work was quite different from our effort reported here. Those authors modeled the transport of cargo by teams of dynein motors governed by a phenomenological equation, in which the instantaneous velocity of the cargo declined linearly with the load force. The load force was considered to be inversely proportional to the number of engaged motors, which, in

turn, depended on the rates of motor detachment and re-attachment to the microtubule tracks. The detachment rate of the motors increased with load below the stall force, which was assumed to be approximately 1 pN, but then decreased with load for super-stall forces between 1 pN and 10 pN, describing the static catch-bond behavior observed in [24]. At load forces above 10 pN, the detachment rate again decreased with increasing load. The focus of that study was how the detachment and re-attachment rates of multiple motors regulated the cargo velocity as a function of the load. While both the static and dynamic catch-bonding models generate force–velocity relations that include intervals where the cargo velocity increases with increasing load force, in the dynamic catch-bonding model this is an emergent phenomenon that results from the increase in the catalysis rate with load force at 8 nm steps. Importantly, the force-dependent increase in the ATP catalysis rate in the model was chosen specifically to reproduce the correct force-dependent stepping behavior and we found that the catch-bonding mechanism arose as a consequence of that assumption.

Another modeling study, Takshak *et al* [37], expanded on the model of Singh *et al* [6] by incorporating the same static catch-bond detachment kinetics, mostly focused on velocity, run length, and run time of a cargo driven by multiple dynein motors. The predicted average run length decreased with increasing load at high ATP concentrations, which is different from our predictions where the run length can increase with increasing load force at high ATP concentrations. Run times, defined as the time before the cargo detached from its track, were almost constant for large load forces due to the static catch-bond of the dynein motors, which is consistent with our model. Most importantly, as in [36] the model of Takshak *et al* [37] was tuned to stall forces of about 1 pN for a single motor and did not address the observation of larger single-motor stall forces.

In summary, we have proposed a new model for the kinetics of dynein motors that is constrained by experimentally determined step sizes, force–velocity relations, and detachment kinetics. The dynamic catch-bond behavior of this model is emergent and ATP-dependent, and increases the stall force of a single motor significantly through a dynamic instability, explaining the broad range of stall forces reported in [10, 11]. The model generates three new testable predictions: (1) stochastic switching between high and low load-carrying states within a certain velocity domain, (2) plateaus in the force–velocity relationship of a cargo driven by more than one motor at high ATP concentrations, and (3) increases in the run-lengths of single motors with increasing force at high ATP concentrations. We have also proposed an experimental protocol that could test the predicted instability.

## Acknowledgments

This project was funded by collaborative NSF Grants IOS 1656784 and IOS 1656785 to A B and P J and by NIH R01 Grant NS 038526 to A B. The authors declare that they have no competing financial interests.

## ORCID iDs

Christopher M Johnson  <https://orcid.org/0000-0002-1244-5926>

J Daniel Fenn  <https://orcid.org/0000-0002-4253-6159>

Anthony Brown  <https://orcid.org/0000-0003-2295-2929>

P Jung  <https://orcid.org/0000-0002-1100-9946>

## References

- [1] Reck-Peterson S L and Vale R D 2012 Motile properties of cytoplasmic dynein *Handbook of Dynein* ed K Hirose and L A Amos (Singapore: Pan Stanford Publishing)
- [2] Cianfrocco M A, DeSantis M E, Leschziner A E and Reck-Peterson S L 2015 Mechanism and regulation of cytoplasmic dynein *Annu. Rev. Cell Dev. Biol.* **31** 83–108
- [3] Bhabha G, Johnson G T, Schroeder C M and Vale R D 2016 How dynein moves along microtubules *Trends Biochem. Sci.* **41** 94–105
- [4] Schmidt H 2015 Dynein motors: how AAA+ ring opening and closing coordinates microtubule binding and linker movement *Bioessays* **37** 532–43
- [5] Mallik R, Carter B C, Lex S A, King S J and Gross S P 2004 Cytoplasmic dynein functions as a gear in response to load *Nature* **427** 649–52
- [6] Singh M P, Mallik R, Gross S P, Yu C C and Carlo M 2005 Monte Carlo modeling of single-molecule cytoplasmic dynein *Proc. Natl Acad. Sci. USA* **102** 12059–64
- [7] Gao Y Q 2006 A simple theoretical model explains dynein's response to load *Biophys. J.* **90** 811–21
- [8] Toba S, Watanabe T M, Yamaguchi-Okimoto L, Toyoshima Y Y and Higuchi H 2006 Overlapping hand-over-hand mechanism of single molecular motility of cytoplasmic dynein *Proc. Natl Acad. Sci. USA* **103** 5741–5
- [9] Walter W J, Brenner B and Steffen W 2010 Cytoplasmic dynein is not a conventional processive motor *J. Struct. Biol.* **170** 266–9
- [10] Belyy V, Hendel N L, Chien A and Yildiz A 2014 Cytoplasmic dynein transports cargos via load-sharing between the heads *Nat. Commun.* **5** 5544
- [11] Belyy B, Schlager M A, Foster H, Reimer A E, Carter A P and Yildiz A 2016 The mammalian dynein–dynactin complex is a strong opponent to kinesin in a tug-of-war competition *Nat. Cell Biol.* **18** 1018–24
- [12] Gennerich A, Carter A P, Reck-Peterson S L and Vale R D 2007 Force-induced bidirectional stepping of cytoplasmic dynein *Cell* **131** 952–65
- [13] Cho C, Reck-Peterson S L and Vale R D 2008 Regulatory ATPase sites of cytoplasmic dynein affect processivity and force generation *J. Biol. Chem.* **283** 25839–45
- [14] Nicholas M P, Höök P, Brenner S, Wynne C L, Vallee R B and Gennerich A 2015 Control of cytoplasmic dynein force production and processivity by its C-terminal domain *Nat. Commun.* **6** 6206
- [15] DeWitt M A, Chang A Y, Combs P A and Yildiz A 2012 Cytoplasmic dynein moves through uncoordinated stepping of the AAA+ ring domains *Science* **335** 221–5
- [16] Reck-Peterson S L, Yildiz A, Carter A P, Gennerich A, Zhang N and Vale R D 2006 Single-molecule analysis of dynein processivity and stepping behavior *Cell* **126** 335–48
- [17] Fisher M E and Kolomeisky A B 2001 Simple mechanochemistry describes the dynamics of kinesin molecules *Proc. Natl Acad. Sci. USA* **97** 748–53
- [18] Bier M and Cao F J 2011 How occasional backstepping can speed up a processive motor protein *Biosystems* **103** 355–9
- [19] Tsygankov D, Serohijos A W R, Dokholyan N V and Elston T C 2011 A physical model reveals the mechanochemistry responsible for dynein's processive motion *Biophys. J.* **101** 144–50
- [20] Kunwar A *et al* 2011 Mechanical stochastic tug-of-war models cannot explain bidirectional lipid-droplet transport *Proc. Natl Acad. Sci. USA* **108** 18960–5
- [21] Nicholas M P, Berger F, Rao L, Brenner S, Cho C and Gennerich A 2015 Cytoplasmic dynein regulates its attachment to microtubules via nucleotide state-switched mechanosensing at multiple AAA domains *Proc. Natl Acad. Sci. USA* **112** 6371–6
- [22] Kunwar A, Vershinin M, Xu J and Gross S P 2008 Stepping, strain gating, and an unexpected force–velocity curve for multiple-motor-based transport *Curr. Biol.* **18** 1173–83
- [23] Soppina V, Rai A K, Ramaiya A J, Barak P and Mallik R 2009 Tug-of-war between dissimilar teams of microtubule motors regulates transport and fission of endosomes *Proc. Natl Acad. Sci. USA* **106** 19381–6
- [24] Rai A K, Rai A, Ramaiya A J, Jha R and Mallik R 2013 Molecular adaptations allow dynein to generate large collective forces inside cells *Cell* **152** 172–82
- [25] Berger F, Keller C, Klumpp S and Lipowsky R 2012 Distinct transport regimes for two elastically coupled molecular motors *Phys. Rev. Lett.* **108** 208101
- [26] Mehmet U C and Lipowsky R 2017 Tug-of-war between two elastically coupled molecular motors: a case study on force generation and force balance *Soft Matter* **13** 328–44
- [27] Haak R A, Kleinhans F W and Ochs S 1976 The viscosity of mammalian nerve axoplasm measured by electron spin resonance *J. Physiol.* **263** 115–37
- [28] Risken H 1983 *The Fokker–Planck Equation* (Berlin: Springer)
- [29] Svoboda K, Schmidt C F, Schnapp B J and Block S M 1993 Direct observation of kinesin stepping by optical trapping interferometry *Nature* **365** 721–7
- [30] Boyle M A, Samaha A L, Rodewald A M and Hoffmann A N 2013 Evaluation of the reliability and validity of GraphClick as a data extraction program *Comput. Hum. Behav.* **29** 1023–7
- [31] Ross J L, Wallace K, Shuman H, Goldman Y E and Holzbaur E L F 2006 Processive bidirectional motion of dynein–dynactin complexes *in vitro* *Nat. Cell Biol.* **8** 562–70
- [32] Thomas W E, Vogel V and Sokurenko E 2008 Biophysics of catch bonds *Annu. Rev. Biophys.* **37** 399–416
- [33] Doggett T A, Girdhar G, Lawshe A, Schmidtke D W, Laurenzi I J, Diamond S L and Diacovo T G 2002 Selectin-like kinetics and biomechanics promote rapid platelet adhesion in flow: the GPIb $\alpha$ –vWF tether bond  $\alpha$ -vWF tether bond *Biophys. J.* **83** 194–205
- [34] Burgess S A, Walker M L, Sakakibara H, Knight P J and Oiwa K 2003 Dynein structure and power stroke *Nature* **421** 715–8
- [35] Leidel C, Longoria R A, Gutierrez F M and Shubeita G T 2012 Measuring molecular motor forces *in vivo*: implications for tug-of-war models of bidirectional transport *Biophys. J.* **103** 492–500
- [36] Nair A, Chandel S, Mitra M K, Muhuri A and Chaudhuri 2016 Effect of catch bonding on transport of cellular cargo by dynein motors *Phys. Rev. E* **94** 032403
- [37] Takshak A, Roy T, Tandaiya P and Kunwar A 2017 Effect of fuel concentration and force on collective transport by a team of dynein motors *Protein Sci.* **26** 186–97