

# Delay Optimization in Multi-UAV Edge Caching Networks: A Robust Mean Field Game

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**Abstract**—The data requirements of a large number of users incur significant delay to base stations/small base stations (BSs/SBSs) in edge caching networks (ECNs). Specially, in certain special scenarios, such as sports events, parades and nature disasters, it is a great challenge to deploy fixed BSs/SBSs. Thus, a kind of flexible replacement of BSs/SBSs, unmanned aerial vehicles (UAVs), are considered as the caching platform to serve users. Hence, this paper proposes a distributed delay optimization algorithm by using the massive UAVs to cache the request contents of users. The purpose of each UAV is to facilitate BSs/SBSs to minimize user delay in downloading content based on the popularity of content and the flight strategy. In addition, we consider the main disturbance caused by the atmospheric turbulence. For the control of large-scale UAVs, we take the robust mean field game theory to model this kind of caching and dynamic flight strategy problem, in which the atmospheric turbulence is described by the disturbance term in the drift function. The simulation results show that the proposed algorithm can reduce the download delay under the limited energy consumption and finally achieve the equilibrium.

**Index Terms**—edge caching networks, contents popularity, robust mean field game, ultra-dense networks

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## I. INTRODUCTION

THE amount of user data has exploded with the rapid development of 5G technology, which brings a great challenge to the traditional cellular networks [2]. Therefore, the ultra dense network (UDN) technology is proposed in order to solve this problem. Specifically, the UDN core idea is to deploy massive base stations/small base stations (BSs/SBSs) to increase the system capacity and mitigate the pressure on the traditional cellular networks. However, when a large number of BSs/SBSs simultaneously request contents from the core network, serious network congestion can be caused due to the limited backhaul capacity of the BSs/SBSs in the UDN [3]. Meanwhile, most of these requested contents are the repeated downloads of a large number of popular content (for example, popular video and audio), which can be averted by predicting and preloading.

The caching technology is a kind of method to predict and preload the popular contents, which becomes widely adopted as the storage equipment with the capacity increasing and the cost decreasing. Recently, the use of distributed caches at the edge of the network has become an effective solution, which can alleviate network congestion by mitigating backhaul congestion. Specifically, data can be transmitted to the requesters by using high-speed and low-cost mobile edge networks during off-peak hours, and then is stored during the off-peak hours [4]–[10]. With the file stored in advance, the BSs/SBSs can directly send the requested contents to the users (cache-hit user). Therefore, the BSs/SBSs are not required to download and transmit to the users through the backhaul links repeatedly, which reduces the pressure of the backhaul links and the response time to fetch the contents greatly.

In order to achieve edge caching with limited storage capacity, two issues should be considered: (i) what contents the SBS should cache, and (ii) how to cache. Specifically, the first issue means that each cache unit should cache the popular contents to increase the cache hit ratio. This usually requires estimating the short or the long term content requests of the users [11]–[13]. The other issue means the effective caching strategy should be optimized, which can also improve cache efficiency [14], [15]. These two issues are more challenging in 5G ultra-dense networks

because of the more intensive information interaction and more complex content distribution. As a potential solution, distributed caching is to cache the content file requested by the users to the BSs/SBSs, which can reduce the load of the backhaul link in the ultra-dense network. By jointly considering the content requirements the users in spatio and temporal as well as the interference management of a large number of BSs/SBSs, works [11]–[15] proposed the distributed cache control strategies under the ultra-dense edge cache networks.

However, in certain special situations (such as disasters, large events, etc.), the number of user devices have increased dramatically or moved constantly, which is a great challenge to deploy BSs/SBSs. Moreover, on one hand, with the temporal and spatial changes of data traffics, the networks are likely to be overloaded with handling bursty geographic traffic. On the other hand, the network traffics are wasted when the traffic is low. To overcome the above challenge, the unmanned aerial vehicles (UAVs) is a promising method to meet the download requirements of matching users by equipping the storage units and the transceivers [23]–[27]. Applying the aerial UAV small cells to the edge caching network (ECN), the performance can be improved by leveraging the low-cost, flexibility and ease of deployment of the UAV. However, the existing UAV caching strategies just model single or several UAVs, which cannot satisfy the scenario of a large number of users and interactions in the ultra-dense edge caching networks. Thus, a new method, mean-field game (MFG) with large-scale agents, is considered to solve this ultra-dense removable scenario [28].

In recent years, the applications of MFG in communication scenarios have been increasing rapidly [29]–[36]. This credit that a huge amount of users in the 5G network. In the ultra-dense network, due to the existence of a large number of agents, it brings the complexity of computing. The MFG is especially suitable for this dense network because the number of players can toward infinity. In this game, the competition between the common agent and all other agents is simplified to the competition with the mass (mean field). Moreover, it is distributed, and each player can make decisions only through the influence from the mass without controls or policies of other players. Therefore, the number of agents in the MFG is independent of the performance, which is suitable for ultra-dense scenarios, especially.

In summary, the flexibility and dynamic flight characteristics of the UAVs make the interaction of inter-UAVs particularly complicated, which is mainly reflected in the intricate interference between UAVs. Furthermore, the low latency and high reliability are the main challenges of UAV communications. Thus, this paper reduces the total delay of the system by adjusting the position of each UAV. There are two methods to decrease the download

delay: one is to improve the hit rate of content cache by describing the popular dynamics of contents; and the other approach is to increase the backhaul capacity by controlling the flight of UAVs to reduce the interference among internal UAVs, thereby reducing the delays. Thus, we formulate this flight control problem of the multi-UAVs as a stochastic differential game (SDG), where the popular dynamics of contents are described by Chinese Restaurant Process (CRP) and the Ornstein-Uhlenbeck (OU) process. In this game, each UAV can consider the states of other UAVs to determine the flight state of itself. Meanwhile, we consider the effect of the atmospheric turbulence, which is the vital factor in UAV flight. Then we formulate a robust MFG model to reduce the computational complexity, where the interference of inter-UAVs are simplified. In addition, we give the position distributions of all UAVs at the initial and the final time, which show the variation of the UAV mass. Besides, we study the average download delay with a specific energy consumption under the limited time, and finally obtain the equilibrium.

The main contributions of this paper are summarized as follows:

- We model a dynamic flight network with multiple UAVs in ECN. Meanwhile, considering the popularity of contents and the flight energy consumption, each UAV can dynamically adjust its space position to minimize the download delay of corresponding users.
- The problem of delay optimization is modeled as SDG, which contains the dynamic of UAVs flight and the contents popularity. In the part of contents managements, the CRP and the OU process are used to model the long-term changes and short-term dynamics of contents popularity, respectively.
- In this paper, the flight energy consumption of UAVs and the download delay of the corresponding users are constructed as cost functions. Specifically, the download delay is constituted by two stages: BSs/SBSs-UAVs links and UAVs-users links. And considering the simplification of this problem, the caching and flight strategy of UAVs is remodeled as the MFG framework.
- The robust performance is considered in the proposed framework, in which the atmospheric turbulence, a critical influence factor for UAV flying, is designed as the disturbance term in the drift function of stochastic differential equation (SDE). Therefore, the MFG framework is redesigned as the robust MFG framework, which contains the novel Hamilton-Jacobi-Bellman (HJB) and Fokker-Planck-Kolmogorov (FPK) equations.

The rest of this paper is organized as follows. Section II introduces the related works of this paper. In Section III, the system models including the basic scenario model, the caching model, the UAV flight dynamic model and

the air-to-ground (A2G) channel model are presented. The SDG and problem formulation are provided in Section IV. Section V investigates the robust MFG frameworks and calculates the equilibrium. Section VI shows the numerical results. The conclusions are summarized in Section VII.

## II. RELATED WORKS

The edge caching in ultra-dense networks has been a popular topic recent years [16]–[22]. In [16], the author studied the distributed caching problem of a dense wireless small cellular networks, where the goal of each SBS was to reduce the load on the capacity-limited backhaul link by controlling its own caching strategy. In [17], [18], game theory was used to solve the wireless cache problem. In [19] and [20], the authors proposed a cache algorithm based on random geometry to maximize the local cache gains of users. It is worth mentioning that these works utilized MFG theory to describe the dynamic characteristics of content popularity. Moreover, in [21], the authors investigated prediction of users mobility and the contents popularity in cache-enabled device-to-device (D2D) networks by using two potential recurrent neural network methods. In [22], the authors proposed a algorithm based on the asynchronous advantage actor-critic to minimize total transmission cost from the neighbors in caching networks. Meanwhile, they compared with other classical caching strategies. These policies solved the problem of contents management effectively without users high mobility and great density.

In addition, the UAVs are widely used in the edge caching networks. In [23], the authors proposed an air-ground integrated mobile edge network architecture and introduced the method of UAV-assisted edge caching and computation in the proposed architecture. However, [23] summarized these new conceptions, which were not illustrated in detail. In [24] and [25], the authors proposed a UAV-assisted secure transmission method based on interference alignment for ultra-dense small cell network caches, which can reduce the pressure on the backhaul link and ensure the secure transmission of the information. In [26], the authors investigated the problem of optimizing quality of experience (QoE) of the wireless devices by deploying cache-enabled UAVs in cloud wireless access networks, where the QoE of users is the measure of data transfer rate, delay and device type. In addition, they proposed a machine learning algorithm, which was based on the echo state networks to predict the distribution of each users content request and their mobility. However, this work only adapted several UAVs and did not consider the effects from the fronthaul link caused by a large number of UAVs. In [27], the author proposed an effective method to make UAVs can actively perform content caching in order to solve the problem of weak endurance of UAVs. Moreover, they discussed the trade-off between the file

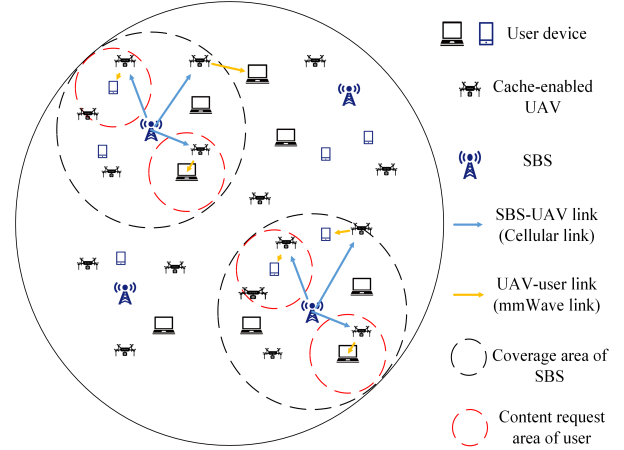


Fig. 1: The multi-UAVs in ECNs

caching cost and the file retrieval cost, which were the two stages of their proposed scheme. Similarly, the number of UAVs cannot satisfy the content demands of ultra-dense networks.

## III. SYSTEM MODEL

In this section, we present the system models including the basic scenario model, the caching model, the UAV flight dynamic model with disturbance and the A2G channel model. Firstly, we give the summary of the parameter symbols in Table I.

### A. Basic scenario model

According to the scenario, we consider an ultra-dense ECN. In the circular area  $A$  with a radius  $r_A$ ,  $U$  users are served by  $N$  UAVs. Meanwhile, there are  $K$  radio access stations in area  $A$ . These radio access stations can be WiFi or femtocell network access points, even a macrocell base stations. For simplicity, the radio access stations in our model are SBSs without loss of generality. Moreover, we assume that the user distribution density  $\lambda_u$  and UAV distribution density  $\lambda_n$  are much larger than the distribution density of SBS  $\lambda_k$ , i.e.  $\lambda_u \gg \lambda_k$ ,  $\lambda_n \gg \lambda_k$ . It is obvious that the number of UAVs and users can be expressed as  $N = \lambda_n \pi r_A^2$  and  $U = \lambda_u \pi r_A^2$ , respectively. Fig. 1 shows the distribution of these  $U$  users and  $N$  UAVs in the ultra-dense ECN, which follow independent homogeneous Poisson point processes (PPPs) [37].

In Fig. 1, the  $k$ th SBS ( $k \in \mathcal{K}$ ) has a coverage area  $b_k(c_k, r_k)$  with the coverage radius  $r_k$ , where  $c_k$  is the coordinate of SBS  $k$ . To simplify the model, we assume that the SBSs are uniformly distributed and can cover the entire area. In addition, within the area of content request  $b_u(c_u, r_u)$ , the matching UAV transmits the contents to the  $u$ th users, where  $r_u$  and  $c_u$  are the radius and the center of circle, respectively. In this area, the request radius represents the average distance of the signal

TABLE I: List of parameters

Parameter	Description	Parameter	Description
$N$	Number of UAVs	$\lambda_n$	Density of UAVs
$U$	Number of users	$\lambda_u$	Density of users
$K$	Number of SBSs	$\lambda_k$	Density of SBSs
$b_k$	Coverage area of SBS $k$	$b_u$	Content request area of user $u$
$b_i$	Coverage area of UAV $i$	$\sigma_1, \sigma_2$	Volatility
$M$	Number of contents	$W_{1t}, W_{2t}$	Wiener process
$m$	Number of contents stored on the UAV	$f_c$	Carrier frequency
$\mathbb{P}_{i,u}^{(1)\text{LoS}}, \mathbb{P}_{i,u}^{(1)\text{NLoS}}$	Probability of LoS/NLoS	$c$	Speed of light
$\Pr(T)$	Average probability of content request during time $T$	$a_i$	Atmospheric turbulence
$U^{(i)}$	Number of the user in $b_i$	$\gamma$	Disturbance attenuation
$X_j^{(i)}$	Probability of requesting content $j$ from UAV $i$	$n_{\text{LoS}}, n_{\text{NLoS}}$	Free space path loss exponent
$x_i, v_i$	Flight state/control of UAV $i$	$\varsigma_{\text{LoS}}, \varsigma_{\text{NLoS}}$	Gaussian random variables
$d_{i,u}^{(1)}, d_{k,i}^{(2)}$	Distance from UAV $i$ to user $u$ /SBS $k$ to UAV $i$	$\sigma_{i,u}^2, \sigma_{k,i}^2$	Noise power
$L_{i,u}^{(1)\text{LoS}}, L_{i,u}^{(1)\text{NLoS}}$	LoS/NLoS path loss from the UAV $i$ to user $u$	$C$	Size of each content
$L_{k,i}^{(2)\text{LoS}}, L_{k,i}^{(2)\text{NLoS}}$	LoS/NLoS path loss from the SBS $k$ to UAV $i$	$P_U, P_S$	Transmit power of UAVs/SBSs
$B_{i,u}^{(1)}, B_{k,i}^{(2)}$	Bandwidth of two links	$E_i$	Flight energy consumption
$\mathbb{P}_i^{\text{h}}, \mathbb{P}_i^{\text{m}}$	Probability of cache hit/miss	$D_i^{\text{h}}, D_i^{\text{m}}$	Delay of cache hit/miss

power larger than the noise power. For ease of exposition, we assume that all  $M$  contents are stored in each SBS, where the size of each content is equal to  $L$ . Moreover, each UAV can store  $m$  contents, and  $m < M$ .

In addition, we assume that during a period of time  $T$ ,  $U$  users more likely request the same set of  $M$  contents. This assumption is realistic because in practice the contents popularity update period of users is long, usually in days [27]. In general, UAV  $i$  downloads the contents for users from the SBS via a backhaul link with limited capacity. User  $u$  is associated with any UAV in the content requesting area, which has cached the requested content. If all the UAVs in the content request area do not cache the requested content, user  $u$  will connect with the nearest idle UAV, which needs to download the requested content from the matching SBS through the backhaul link.

### B. Caching model

In the ECN, the requirements of the users are changed according to the contents popularity. Here, the dynamic of the contents popularity is the spatial and temporal dynamic, because the contents popularity in different geographical regions is different. Therefore, in any region, the contents popularity dynamically changes with time. Besides, we assume that the  $i$ th UAV ( $i \in \mathcal{N}$ ) has a coverage area  $b_i(c_i, r_i)$ , where  $c_i$  and  $r_i$  represent the coordinate and the radius of UAV  $i$ , respectively. Meanwhile, this coverage area is the contents popularity searching area, called community. In these communities, there are  $U^{(i)}$  users with dynamic content requests, where  $U^{(i)}$  represents the number of users covered by the  $i$ th UAV. Specifically,  $U^{(i)}$  varies with the number of requesting users, but is

regarded as a constant in an optimization period. In each community, we divide the time dynamics of users content request probabilities (i.e., contents popularity) into long-term and short-term dynamics [20], [39]. Specifically, the long-term dynamic describes the long-term variation of the contents popularity, and the short-term dynamic describes the instantaneous variation of the contents popularity.

In this paper, we use the Chinese Restaurant Process (CRP) to describe the long-term changes in contents popularity [20]. In other words, CRP captures the independent random requests of the users at each UAV during each time period  $T$ , where the users and the contents can be viewed as the customers and the table in CRP, respectively. Therefore, following [40], we formulate the average probability of user  $u$  requests content  $j$  from UAV  $i$  during time period  $\varepsilon T$  ( $\varepsilon$  is a positive integer), which can be represented as

$$\Pr_{u,j}^{(i)}(\varepsilon T) = \begin{cases} \frac{U_j^{(i)}(\varepsilon T)}{U_c^{(i)}(\varepsilon T) + \kappa} & \text{for } j \in \mathbb{J}_{i+}, u=1, \dots, U, \forall i, \\ \frac{\kappa}{U_c^{(i)}(\varepsilon T) + \kappa} & \text{for } j \in \mathbb{J}_{i-} \end{cases} \quad (1)$$

where the  $U_j^{(i)}(\varepsilon T)$  represents the number of existing users requesting content  $j$  in the current time period  $\varepsilon T$  in  $b_i$ . The  $U_c^{(i)}(\varepsilon T) = u - 1$  explains the number of users in  $b_i$  who have requested the content prior to the requesting of user  $u$ .  $\mathbb{J}_{i+}$  and  $\mathbb{J}_{i-}$  are the set consisting of contents who have and have not requested at least once by users in  $b_i$ , respectively.  $\kappa$  is a constant in CRP indicating the skewness of the distribution of the popularity. As it increases, the distribution of contents popularity becomes more diverged. Here we assume that the inter-arrival time of content request is smaller than  $T$  and the number



of request is sufficiently large. Compared to the classic description model of contents popularity Zipf model, CRP can generate exchangeable UAV assignments [40].

For the short-term dynamics of contents popularity over a time period  $\varepsilon T$ , we use an Ornstein-Uhlenbeck (OU) process  $\{X(t) : t > 0\}$  of mean regression model to describe [41]. This process is a mean regression random process that is commonly used to describe contents popularity [19], [20] and [39]. The SDE of this process is as follows:

$$dX_j^{(i)}(t) = \lambda \left( \Pr_{u,j}^{(i)}(\varepsilon T) - X_j^{(i)}(t) \right) dt + \sigma_1 dW_{1t}, \quad (2)$$

where  $\lambda > 0$  denotes the mean reversion rate.  $X_j^{(i)}(t)$  is UAV  $i$ 's request probability of content  $j$  at time  $t \in T$ .  $\Pr_{u,j}^{(i)}(\varepsilon T)$  is the long-term average probability shown in (1).  $\sigma_1$  is the volatility and  $W_{1t}$  represents the Wiener process (also known as the Brownian motion, and satisfied  $dW_{1t}/dt = 0$  and  $d^2W_{1t} = dt$  [41]). It is precisely because of the randomness of the Wiener process  $W_{1t}$  that the request probability  $X_j^{(i)}(t)$  deviates from the long-term average value  $\Pr_{u,j}^{(i)}(\varepsilon T)$ .

### C. UAV flight dynamic model with atmospheric turbulence

The aim of this paper is to minimize the system delay of each UAV by adjusting its 3D position. Moreover, the atmospheric turbulence is one of important factors affecting the smoothness of UAV flight [42]. That means the disturbance from the atmospheric should be well described, instead of simply treated as background noise when designing the flight dynamic. Therefore, in this subsection, we consider the disturbance into the UAV flight dynamic to analyze the effects of atmospheric turbulence. Then, to describe the behavior of the UAVs flight, we formulate the dynamic equation of  $x_i(t)$ , where  $x_i(t)$  is the distance between the UAV  $i$  and the user served by UAV  $i$ . Specifically, in order to describe flight dynamic of the UAVs, the common UAV ( $i, i \in \mathcal{N}$ ) is also adopted. The SDE of UAVs flight dynamic can be represented as follows,

$$\begin{aligned} dx_i(t) &= (v_i(t) - a_i(t)) dt + \sigma_2 dW_{2t}, \\ x_i(t+1) &= x_i(t) + dx_i(t), \end{aligned} \quad (3)$$

where  $dx_i(t)$  and  $v_i(t)$  are the flight distance and the flight control of UAV  $i$ , respectively.  $a_i(t)$  represents the atmospheric turbulence, which determines the worst case risk neutral cost function of agent  $i$ .  $\sigma_2$  is the volatility and  $W_{2t}$  is the Brownian motion, which represents the influence from the size, weight and the wing area of the UAV.  $W_{1t}$  in (2) and the  $W_{2t}$  are independent of each other. The dynamic function in (3) describes the flight of general UAV  $i$ , where the flight state  $x_i(t)$  represents the distance of UAV  $i$  and user  $u$  who served by UAV  $i$ . Flight control  $v_i(t)$  is the flight velocity.

### D. A2G channel model

The position control of the cache-enabled UAV makes modeling the A2G channel important. In this paper, we mainly investigate the downlink from the SBSs to the UAVs and the downlink from the UAVs to the users. For the ground-to-air (G2A) links from the SBSs to the UAVs, we adapt the line-of-sight (LoS) and the non-line-of-sight (NLoS) links over the licensed band. For the A2G links from the UAVs to the users, we leverage the mmWave frequency spectrum because the high altitude of the UAVs can dramatically reduce the blocking effect of obstacles.

1) *UAV-user links*: For the closer mmWave propagation channel, the LoS and the NLoS path loss of UAV  $i$  which transmits a content to user  $u$  at time  $t$  can be represented as (in dB) [26], [43]:

$$L_{i,u}^{(1)\text{LoS}}(t) = L_F(d_0) + 10n_{\text{LoS}} \log \left( d_{i,u}^{(1)}(t) \right) + \varsigma_{\text{LoS}}, \quad (4a)$$

$$L_{i,u}^{(1)\text{NLoS}}(t) = L_F(d_0) + 10n_{\text{NLoS}} \log \left( d_{i,u}^{(1)}(t) \right) + \varsigma_{\text{NLoS}}, \quad (4b)$$

where

$$L_F(d_0) = 20 \log (4\pi f_c d_0 / c), \quad (4c)$$

in which  $n_{\text{LoS}}$  and  $n_{\text{NLoS}}$  represent free space path loss exponent of LoS and NLoS, respectively.  $d_{i,u}^{(1)}(t)$  is the distance between UAV  $i$  and user  $u$ .  $\varsigma_{\text{LoS}}$  and  $\varsigma_{\text{NLoS}}$  are the Gaussian random variables, which represent the shadowing random variables. And (4c) denotes the free space path loss, where  $f_c$ ,  $d_0$ , and  $c$  represent carrier frequency, the free space reference distance, and the speed of light, respectively. In addition, the probability of LoS is shown in [43]:

$$\mathbb{P}_{i,u}^{(1)\text{LoS}} = \frac{1}{1 + a \exp \left[ -b \left( \theta_{i,u}^{(1)} - a \right) \right]}, \quad (5)$$

where  $a$  and  $b$  indicate the environmental parameter depending on the height and the density of buildings.  $\theta_{i,u}^{(1)}$  represents the elevation angle. Similarly, the probability of NLoS is expressed as  $\mathbb{P}_{i,u}^{(1)\text{NLoS}} = 1 - \mathbb{P}_{i,u}^{(1)\text{LoS}}$ . Consequently, the average path loss can be described as:

$$\begin{aligned} \bar{L}_{i,u}^{(1)}(t) &= L_{i,u}^{(1)\text{LoS}}(t) \times \mathbb{P}_{i,u}^{(1)\text{LoS}}(t) \\ &\quad + L_{i,u}^{(1)\text{NLoS}}(t) \times \mathbb{P}_{i,u}^{(1)\text{NLoS}}(t). \end{aligned} \quad (6)$$

2) *SBS-UAV links*: In this model, the distance between SBSs and UAVs is not as close as the link of UAVs and users. Besides, there are more obstructions between the SBSs and the UAVs. Therefore, we adopt the cellular band to satisfy the reliability of the link and reduce the path loss. The LoS and the NLoS path loss of SBS  $k$  transmitting a content to UAV  $i$  at time  $t \in T$  can be respectively expressed as (in dB):

$$\begin{aligned} L_{k,i}^{(2)\text{LoS}}(t) &= d_{k,i}^{(2)}(t)^{-\alpha}, \\ L_{k,i}^{(2)\text{NLoS}}(t) &= \beta d_{k,i}^{(2)}(t)^{-\alpha}, \end{aligned} \quad (7)$$

where  $d_{k,i}^{(2)}(t)$  is the distance between SBS  $k$  and UAV  $i$ .  $\alpha$  represents the path loss exponent.  $\beta$  is a positive constant because the NLoS experiences more shadows and diffraction. Meanwhile, the probabilities of LoS and average path loss of SBS-UAV links are identically with those two probabilities in UAV-user links.

#### IV. PROBLEM FORMULATION AND ROBUST STOCHASTIC DIFFERENTIAL GAME

The goal of UAV  $i$  is to determine its flight policy, which can be represented as the flight speed vector  $u_i$  in order to minimize the cost of the system. Specifically, the cost function contains two main parts, the avionic energy consumption and the total delay of system. In addition, this minimizing problem is formulated as a dynamic SDG.

##### A. Cost function

The cost of total delay is determined by two parts. One is caused by the probability of the cache hit, and the other is the backhaul capacity. Moreover, we consider the effect of UAVs flight energy consumption.

1) *The cost of delay*: The cache hit probability  $\mathbb{P}_i^h(t)$  ( $\mathbb{P}_i^h(t) \in [0, 1]$ ), which belongs to UAV  $i$ , is designed as follows in accordance with (2),

$$\mathbb{P}_i^h(t) = \sum_{j=1}^m X_j^{(i)}(t), \quad (8)$$

where  $m$  represents the storage of each UAV. In this paper, we do not consider the optimization of cache content, which can be investigated in the future works. Thus, the probability of the cache miss can be expressed as  $\mathbb{P}_i^m(t) = 1 - \mathbb{P}_i^h(t)$ , which relates to the delay of the transmission. Specifically, if the request content  $j$  of user  $u$  has been cached by UAV  $i$ , it can directly transmit content  $j$  to user  $u$ , which is regarded as cache hit; otherwise, the situation is named as cache miss, where UAV  $i$  has to request content  $j$  from SBS  $k$  and then send it to user  $u$ .

Then the delay of cache hit is defined as

$$D_i^h(t) = C / R_{i,u}^{(1)}(t), \quad (9)$$

where  $R_{i,u}^{(1)}(t)$  represents the backhaul capacity among UAV  $i$  and user  $u$  which is defined as follows:

$$\begin{aligned} R_{i,u}^{(1)}(t) &= B_{i,u}^{(1)} \log_2 \left( 1 + \eta_{i,u}^{(1)}(t) \right) \\ &= B_{i,u}^{(1)} \log_2 \left( 1 + \frac{P_U - \bar{L}_{i,u}^{(1)}(t)}{I_i(t, \mathbf{u}_{-i}) + \sigma_{i,u}^2} \right), \end{aligned} \quad (10)$$

where  $B_{i,u}^{(1)}$  and  $\eta_{i,u}^{(1)}(t)$  are the bandwidth and signal-to-interference-plus-noise ratio (SINR), respectively.  $P_U$  is the transmit power with constant value.  $\sigma_{i,u}^2$  is the power of the white Gaussian noise.  $I_i(t, \mathbf{u}_{-i}) =$

$\sum_{l \in N, l \neq i} (P_U - \bar{L}_{l,u}^{(1)}(t))$  is the influence from other A2G links. Therefore, the cost of delay is given by:

$$D_i(t) = \mathbb{P}_i^h(t) \times D_i^h(t) + \mathbb{P}_i^m(t) \times D_i^m(t). \quad (11)$$

For simplicity, we ignore the delay of the request from UAV  $i$  to SBS  $k$ . Therefore, the cache miss  $D_i^m(t)$  in (11) is formulated as

$$D_i^m(t) = \frac{C}{R_{i,u}^{(1)}(t)} + \frac{C}{R_{k,i}^{(2)}(t)}, \quad (12)$$

where  $R_{k,i}^{(2)}(t)$  represents the backhaul capacity among the SBS  $k$  and the UAV  $i$ , which can be calculated by referring to the equation (10).

2) *The flight energy consumption*: In this part, the cost of the flight energy consumption is considered, which is formulated as [45]:

$$E_i(t) = v_i(t) \left( c_1 S^2 + \frac{c_2}{S^2} \right), \quad (13)$$

where  $c_1$  and  $c_2$  are the parameters according to the UAV wing area, weight and air density, etc.  $S$  is the speed of each UAV, and we assume it's a constant.  $v_i(t)$  denotes the distance that the UAV flight. In (13), these two items represent the air resistance and lift of UAV  $i$ , respectively. Then, combine the (11) and (13), the global cost function is constructed as

$$J_i(v_i(t), \mathbf{v}_{-i}(t)) = w_1 D_i(t) + w_2 E_i(t), \quad (14)$$

where  $\mathbf{v}_{-i}(t)$  is the control vector from other UAVs.  $w_1$  and  $w_2$  are the weights of  $D_i(t)$  and  $E_i(t)$ , respectively. Thus, the average cost of common agent  $i$  over time  $[0, T]$  can be obtained according to (14), which is formulated as

$$\begin{aligned} \mathcal{J}_i^\gamma(v_i, \mathbf{v}_{-i}, a_i) &= \mathbb{E} \left[ \int_0^T J_i(v_i(t), \mathbf{v}_{-i}(t)) dt \right. \\ &\quad \left. + J_i(T) - \int_0^T \gamma^2 a_i^2(t) dt \right], \end{aligned} \quad (15)$$

where  $J_i(T)$  represents the cost at the final time  $T$ .  $\gamma$  is the disturbance attenuation parameter, which satisfied the constrained as [45]:

$$\gamma^2 \geq \frac{\mathcal{J}_i(v_i, \mathbf{v}_{-i})}{a_i^2 + J_i(0)}, \quad (16)$$

where  $\mathcal{J}_i(u_i, \mathbf{u}_{-i})$  can be expressed as

$$\mathcal{J}_i(v_i, \mathbf{v}_{-i}) = \int_0^T J_i(v_i(t), \mathbf{v}_{-i}(t)) dt + J_i(T). \quad (17)$$

##### B. Robust Stochastic Differential Game and Problem Formulation

The control strategies for UAVs vary as time according to the dynamic equations in (2) and (3). The goal of each UAV is to find an equilibrium point in minimizing delay and minimizing energy consumption, which means that the UAV needs to reduce delay with as little energy consumption as possible. Thus, the process of minimizing

the delay and energy cost in (14) can be modeled as a SDG. So, the SDG is formulated as:

$$\left\{ \mathcal{N}, \mathcal{S}_j^{(i)}, \mathcal{U}_i, \mathcal{J}_i^\gamma \right\}.$$

The collection consists of four components:

- $\mathcal{N}$  is the number of agents (i.e. UAVs).
- $\mathcal{S}_j^{(i)}$  are the set of states including popularity state and flight state.
- $\mathcal{U}$  represents the control space of UAV  $i$ .
- $\mathcal{J}_i^\gamma$  is the cost function of UAV  $i$ .

Then we define the control problem as **P1**, where the UAV  $i$  minimizes the average cost by obtaining its own flight strategy  $v_i^*(t)$ . The state of UAV  $i$  with content  $j$  is represented as  $\mathbf{s}_j^{(i)}(t) = \{X_j^{(i)}(t), x_i(t)\}$ ,  $\forall i \in \mathcal{N}$ ,  $\forall j \in \mathcal{M}$ . **P1** is expressed as:

$$\mathbf{P1:} \quad V_i(t) = \inf_{v_i(t)} J_i^\gamma(v_i, \mathbf{v}_{-i}, a_i), t \in [0, T], \quad (18a)$$

subject to:

$$dX_j^{(i)}(t) = \lambda \left( \Pr_{u,j}^{(i)}(\varepsilon T) - X_j^{(i)}(t) \right) dt + \sigma_1 dW_{1t}, \quad (18b)$$

$$\begin{aligned} dx_i(t) &= (v_i(t) - a_i(t)) dt + \sigma_2 dW_{2t}, \\ x_i(t+1) &= x_i(t) + dx_i(t), \end{aligned} \quad (18c)$$

where  $V_i(t)$  represents the value function.

In order to find out the solution of **P1**,  $N$  coupled differential equations with the same form of the following HJB equation, need to be computed.

$$\partial_t V_i(t) + H(J_i, v_i, \mathbf{s}_j^{(i)}, a_i) = 0, \quad (19)$$

where the Hamiltonian  $H(J_i, v_i, \mathbf{s}_j^{(i)}, a_i)$  can be defined as

$$\begin{aligned} H(J_i, v_i, \mathbf{s}_j^{(i)}, a_i) &= \inf_{v_i(t)} [J_i(v_i(t), \mathbf{v}_{-i}(t)) - \gamma^2 a_i^2(t) \\ &+ \frac{\sigma_1^2}{2} \partial_{XX}^2 V_i(t) + \lambda (\Pr_{u,j}^{(i)} - X_j^{(i)}(t)) \partial_X V_i(t) \\ &+ \frac{\sigma_2^2}{2} \partial_{xx}^2 V_i(t) + (v_i(t) - a_i(t)) \partial_x V_i(t)]. \end{aligned} \quad (20)$$

The detail derivation process can be seen in [49]. Moreover, the existence of the Nash equilibrium (NE) for the differential game can be obtained as follows.

Firstly, all order derivatives of Hamiltonian  $H(J_i, v_i, \mathbf{s}_j^{(i)}, a_i)$  exist because of the continuity of the cost function  $J_i(v_i(t), \mathbf{v}_{-i}(t))$ . Therefore, Hamiltonian  $H(J_i, v_i, \mathbf{s}_j^{(i)}, a_i)$  is smooth, which means that exists a solution to the HJB equation.

We can obtain the unique joint solution  $V_i^*(t)$  by solving these  $N$  equations together, which indicates that **P1** reaches the NE. In practice, when  $N \geq 2$ , the computational complexity increases exponentially owing to the fact that the control strategy  $v_i$  for each agent is affect with the influence of all the others' control strategy  $\mathbf{v}_{-i}$ . In addition, the acquisition of other agent's strategic information requires a large number of information interaction,

which is almost impossible in UDNs. Therefore, MFG is considered to deal with this problem in the following section.

## V. ROBUST MEAN FIELD GAME AND EQUILIBRIUM

In this section, we construct the robust SDG as the robust MFG framework and obtain the equilibrium solution and its proof. The MFG describes mass behavior as a mean field term. Therefore, the interactions of individual agent and other agents are expressed as the interaction with mass, which reduces the complexity significantly. Specifically, the agent's interaction with the mean field can be characterized by satisfying the following assumptions.

### Assumption 1.

- 1) The number of agent  $N$  is large enough, even  $N \rightarrow \infty$ ;
- 2) The exchangeable of each agent is ensured;
- 3) There are finite mean filed interactions.

The mean field interactions  $I_i(t, \mathbf{u}_{-i}) = \sum_{l \in \mathcal{N}, l \neq i} (P_U - \bar{L}_{l,u}^{(1)}(t))$  in equation (10) should converge to a finite value according to the assumption 3), where  $P_U$  is a constant and  $\bar{L}_{l,u}^{(1)}(t)$  is the average path loss obtained from equation (6). When  $\bar{L}_{l,u}^{(1)}(t)$  is greater than a certain value, the interference  $(P_U - \bar{L}_{l,u}^{(1)}(t))$  of the  $l$ th agent can be negligible. So, the mean field interactions are finite, although  $N$  tends to infinity.

If the agents' control is constant by their index and determined only by their own states, then the agents in the SDG are said to be exchangeable or indistinguishable. It means that the index of replacement agents cannot change their control strategy. With this interchangeability, we can omit the index  $i$  and focus on an ordinary UAV.

Then the mean field term  $m(t, X_j(t), x(t))$  can be defined as the state density of the mass at time  $t$ . As a consequence, the empirical distribution of the mass can be given as follows,

$$M(t, X_j(t), x(t)) = \frac{1}{NM} \sum_{i=1}^N \sum_{j=1}^M \delta_{\{x_i(t), X_j(t)\}}, \quad (21)$$

where  $\delta$  represents the statistic function of the distribution of state. As the number of agents increases,  $M(t, X_j(t), x(t))$  will converge to  $m(t, X_j(t), x(t))$ . Accordingly, the dynamic of the mass can be described by the FPK equation,

$$\begin{aligned} \partial_t m(t, X_j(t), x(t)) &- \frac{\sigma_1^2}{2} \partial_{XX}^2 m(t, X_j(t), x(t)) - \\ &\frac{\sigma_2^2}{2} \partial_{xx}^2 m(t, X_j(t), x(t)) + \lambda (\Pr_j - X_j(t)) \partial_X m(t, X_j(t), x(t)) \\ &+ (v(t) - a(t)) \partial_x m(t, X_j(t), x(t)) = 0. \end{aligned} \quad (22)$$

In (22), index  $i$  has been omitted because **Assumption 1** is satisfied.

### Algorithm 1 Obtaining the MFE

- 1: Initialization:
- 2:  $m(0)$ : initial mean-field distribution;
- 3:  $X(0), x(0)$ : initial state;
- 4: Compute the optimal distribution of the mean field  $m^*(t, X, x)$  and the value  $V^*(t)$  by solving the equations of HJB (24) and FPK (22)
- 5: Repeat: Until the system obtain the MFE
- 6: Calculate the optimal control strategy  $v^*(t)$  by using Proposition 1
- 7: Compute the value of the state  $X_j(t)$  and  $x(t)$  by using the SDEs (2) and (3)

The optimal mass distribution  $m^*(t, X_j(t), x(t))$  can be obtained by solving the FPK equation in (22). Therefore, the interaction between agent  $i$  and the mass  $I_i(t, u_{-i})$  can be redefined as

$$I(t, m^*(t, X_j(t), x(t))) = \int_X \int_x P_U - m^*(t, X_j(t), x(t)) \bar{L}_{l,u}^{(1)}(t) dX dx. \quad (23)$$

That means we no longer need the policy information of other agents. Hence, each agent only need to joint solve two coupled equations, HJB equation (24) and FPK equation (22), which greatly reduces the complexity of calculations and interactions. HJB equation (19) can be redefined as follows,

$$\begin{aligned} \partial_t V(t) + \inf_{v(t)} [J(v(t), I(t, m^*(t, X_j(t), x(t)))) \\ - \gamma^2 a^2(t) + \lambda (\text{Pr}_j - X_j(t)) \partial_X V(t) + \frac{\sigma_1^2}{2} \partial_{XX}^2 V(t) \\ + \frac{\sigma_2^2}{2} \partial_{xx}^2 V(t) + (v(t) - a(t)) \partial_x V(t)] = 0. \end{aligned} \quad (24)$$

Therefore, we can obtain the  $V^*(t)$  and the  $m^*(t, X, x)$  by solving HJB equation (24) and FPK equation (22), respectively. And it can reach mean field equilibrium (MFE) when having solved these two partial differential equations. Then we define the MFE of this model as follows.

**Definition 1:** The MFE with the generic flight policy  $v^*(t)$  can be expressed as

$$\begin{aligned} J(v^*(t), m^*(t, X_j(t), x(t))) \leq \\ J(v(t), m^*(t, X_j(t), x(t))). \end{aligned} \quad (25)$$

Then the optimal policy  $v^*(t)$  can be obtained by utilizing the Karush-Khun-Tucker (KKT) conditions, which is given in **Proposition 1** as follows.

**Proposition 1:** The optimal flight policy  $v^*(t)$  of the generic agent is calculated by getting the critical point as:

$$\begin{aligned} \frac{\partial}{\partial v(t)} \left[ C / R_t^{(1)} (I(t, m^*(t, X, x))) \right] \\ + \left( c_1 S^2 + \frac{c_2}{S^2} \right) - \partial_x V^*(t) = 0. \end{aligned} \quad (26)$$

TABLE II: Parameters in numerical analysis

Parameter	Value	Parameter	Value
$\lambda_n$	0.5 UAV/km <sup>2</sup>	$n_{\text{LoS}}, n_{\text{NLoS}}$	2, 2.4
$\lambda_u$	0.4 UAV/km <sup>2</sup>	$f_c$	38 GHz
$C$	1 MB	$c$	$3 \times 10^8$ m/s
$\sigma_{i,u}^2, \sigma_{k,i}^2$	-95 dBm	$\varsigma_{\text{LoS}}, \varsigma_{\text{NLoS}}$	5.3, 5.27
$B_{i,u}^{(1)}, B_{k,i}^{(2)}$	1 MHz	$a, b$	11.9, 0.13
$\gamma$	3	$\alpha, \beta$	2, 100
$r_A$	10 UAV/km <sup>2</sup>	$P_S, P_U$	30dBm, 20dBm
$d_0$	5 m	$h_0$	1km

*Proof:* See Appendix A. ■

Thus, we can obtain the MFE of the flight policy when the initial state  $X(0), x(0)$  and initial mean-field distribution  $m(0)$  are given. The optimal flight algorithm is shown in **Algorithm 1**. Specifically, the value  $V(t)$  can be obtained by calculating the backward equation, HJB equation in (24) with the mean field  $m(t)$ , which is obtained by calculate the forward equation, FPK equation in (22). Thus, this iteration terminates as the MFE is acquired, and then the optimal control  $v^*(t)$  is obtained by using Proposition 1.

## VI. NUMERICAL RESULTS AND DISCUSSION

In this section, the numerical results show the superiority of the proposed algorithm. Firstly, we give the mainly parameters settings. Then, the numerical results are shown to verify the effectiveness of the proposed algorithm. In this paper, we assume that users are distributed in a circular area with a radius of  $r_A = 10\text{km}$ , and the area of the circle is recorded as  $A$ . In the total area  $A$ , the UAVs and the users are distributed in PPP, which means the initial state distribution of UAVs  $m_0$  is known. Considering the user's low speed and short optimization period, all users are regarded as fixed in each optimization period. Moreover, we assume that we have three SBSs normally distributed in the total area  $A$  to covering more areas, which is shown in Fig. 2.

### A. Parameter setting

For comparison, the parameter settings are shown in Table II. Besides, we set that the constant transmit power of SBSs and UAVs are  $P_S = 30\text{dBm}$  and  $P_U = 20\text{dBm}$ , respectively. The free-space reference distance  $d_0$  is 5m. The initial altitude  $h_0$  of each UAV is set to 1km. Then we give the numerical results and the analyses as follows.

### B. Distribution of the mass and the mean field

Firstly, we show the variation of the distribution of the  $N$  UAVs in the total area  $A$ . Fig. 2 and Fig. 3 show the initial and the final distribution of  $U$  users,  $N$  UAVs and  $K$  SBSs, respectively, which demonstrates the

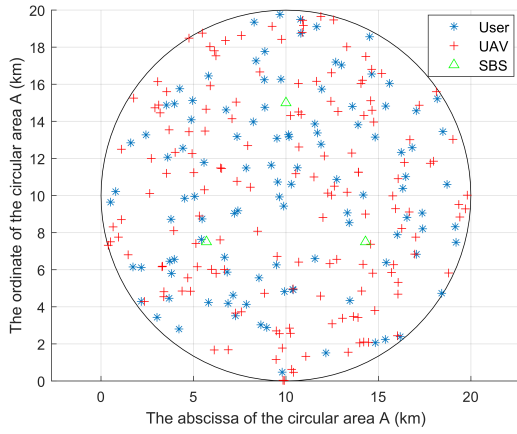


Fig. 2: The distribution of  $U$  users,  $N$  UAVs and  $K$  SBSs at  $t = 0$

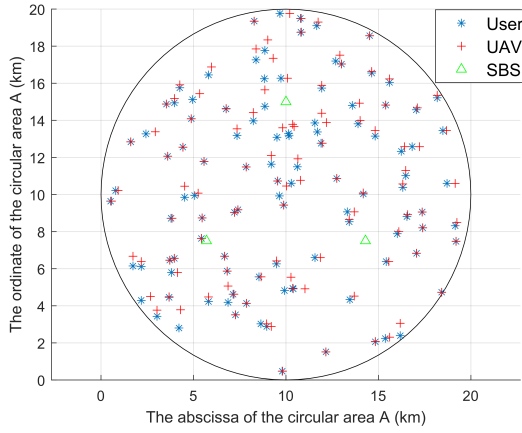


Fig. 3: The distribution of  $U$  users,  $N$  UAVs and  $K$  SBSs at  $t = 23$

flight changes of the mass. Specifically, Fig. 2 represents the total area  $A$  at time  $t = 0$ , which is a circular area with the radius as 10km. In this area  $A$ , there are three SBSs normally distributed, which are represented as the green triangles. Moreover, the blue stars and the red plus signs represent the  $U$  users and the  $N$  UAVs, respectively. At the initial time, the masses of UAVs and users are distributed randomly following the independent and homogeneous PPPs. To illustrate the evolution of the mass under the control obtained by **Algorithm 1**, we provide the distribution of the mass DSCs with the users and SBSs fixed at the final time  $t = 23$ , as shown in Fig. 3. In Fig. 3, each UAV has reached the optimal location to facilitate matching user downloading the contents. The optimality can be verified as follows.

As shown in Fig. 4, we can observe that the distribution of the mean field which is regarded to the time and the distances between the UAVs and matching users. Since

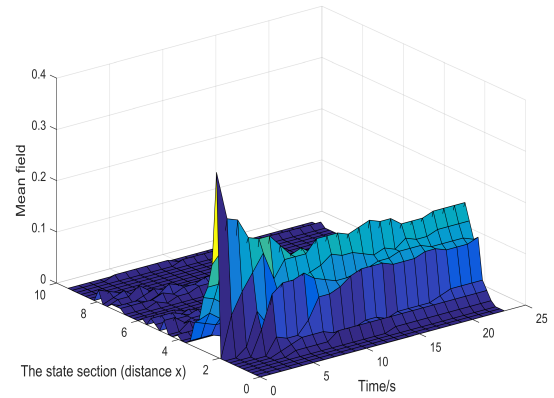


Fig. 4: The distribution of the mean field

the user's content request area is  $bu$ , where the users and the UAVs are initially randomly distributed. The distance between the UAVs and the matching users are between 2 km and 3 km. Therefore, a stable trend of the mean field over time can be observed.

### C. Performance analysis

In this paper, the optimization objective is the delay of the users downloading with the fewer flight energy consumption. Therefore, Fig. 5 and Fig. 6 demonstrate the average delay of all pairs of the UAVs-users and the average flight energy consumption of all alive UAVs, respectively. Specifically, the average download delay of all users based on proposed algorithm is demonstrated in Fig. 5, where the content downloaded by each UAV depends on the contents popularity of users in the covered area  $b_i$ . Meanwhile, the comparison between proposed algorithm and the other two flight manners of UAVs: hovering at initial position, approaching the matching users is implemented, which is shown as Fig. 5. The delay reduction of these two flight manners is obviously smaller than that of the proposed algorithm. In addition, it is unrealistic that UAVs can access users indefinitely. Moreover, we show the delay performance with the perfect information, which can be called the theoretical performance because each agent knows the information of others based on the local information. The red square curve in Fig. 5 shows the delay performance of the perfect information, which is similar to the proposed algorithm.

In Fig. 6, we give the average flight energy consumption of  $N$  UAVs over time, where the hovering energy consumption is considered as a constant disregarded in this paper. That means we only focus on the moving energy consumption of flight. Meanwhile, those three flight strategies and the perfect information which is mentioned above are also demonstrated. In Fig. 6, the flight energy consumption by using the proposed algorithm



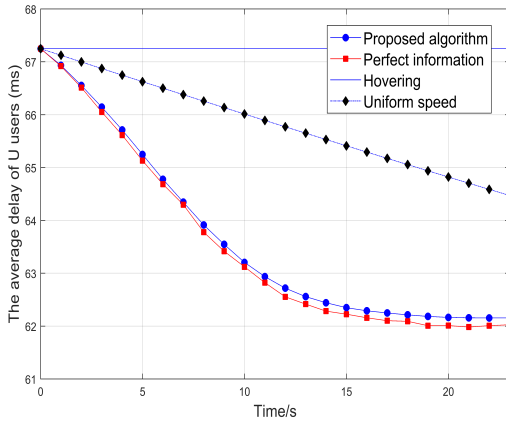


Fig. 5: The average delay of  $U$  users as the time varies

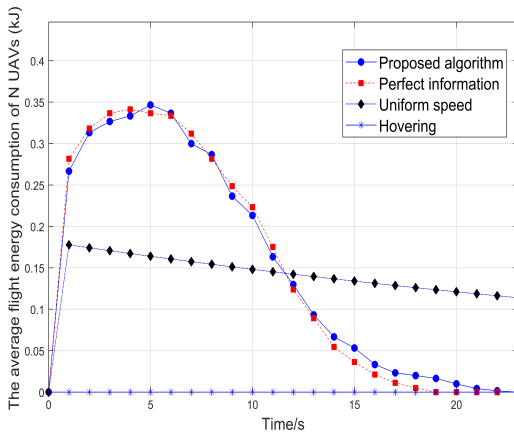


Fig. 6: The average flight energy consumption of  $N$  UAVs as the time varies

is represented by the blue circular curve. Moreover, each UAV find the its optimal position at the initial time, which results in the higher flight energy consumption. But after  $t = 5$ , the flight energy consumption of the proposed algorithm decreases gradually and gets lower than the uniform flight manner after  $t = 12$ , which is shown as the black diamond curve. Finally, those  $N$  UAVs arrive the optimal positions, which makes the average flight energy consumption converges to zero. Moreover, the red square curve show the flight energy consumption with the perfect information, which is similar with the proposed algorithm. In addition, although the lower flight energy consumption of the hovering flight manner, which is shown as the blue start curve, the delay performance is not improved as shown in Fig. 5.

Fig. 7 shows the average flight energy efficiency (EE) of the proposed algorithm and the uniform speed flight manner. Here, the flight EE is define as the delay reduction normalized by the flight energy consumption in an unit time. The blue and red curve in Fig. 7 represent the flight

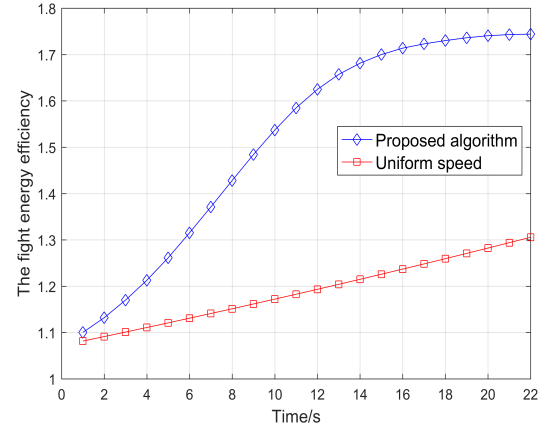


Fig. 7: The flight EE of  $N$  UAVs as the time varies

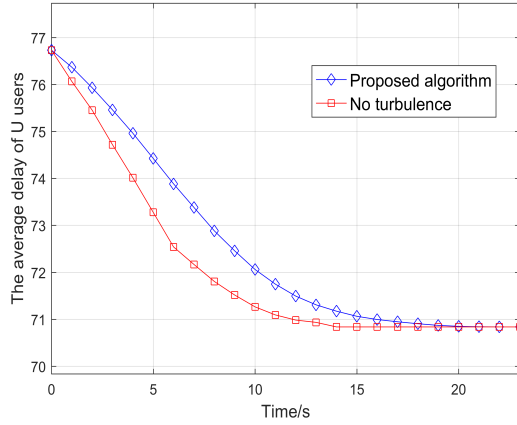
EE by using the proposed algorithm and the uniform speed flight manner, respectively. Clearly, the proposed algorithm has a higher flight EE, which means the proposed algorithm has the larger delay reduction with the similar energy consumption. In this numerical result, the flight EE at the initial time  $t = 0$  does not be shown due to the fact that the flight energy consumption at the initial time is zero. In summary, Fig. 5, Fig. 6, and Fig. 7 demonstrate the effectiveness of the proposed algorithm.

#### D. Robust performance analysis

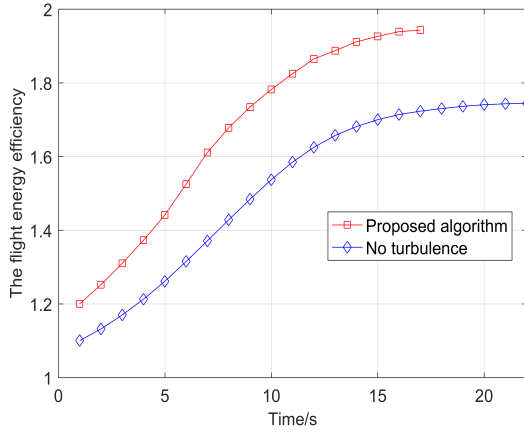
In this subsection, we verify the robust performance of the proposed algorithm by showing the comparison of the delay and the EE variations in the presence of the atmospheric turbulence or not. In this paper, we set the distribution of the atmospheric turbulence following the Gausssian. The numerical results in Figs. 8. (a) and (b) show the average delay and the EE changes, where two red square curves represent the condition without the atmospheric turbulence, and two blue rhombic curves are normal condition. We still can not give the EE at the time  $t = 0$  and after  $t = 17$  of the red square curve in Fig. 8 (b) because the energy consumption is zero at those time. We can see that the system can reach the MFE earlier without the atmospheric turbulence. However, when the system reaches the MFE with the atmospheric turbulence, the delay and the EE are almost the same as the performance without the atmospheric turbulence, which lie in the robust performance of the proposed algorithm.

## VII. CONCLUSION

This paper builds an ECN with a massive number of UAVs and investigates a delay optimization algorithm. The UAVs are considered as air cache units which can adjust the aerial position according to their own flight energy consumption and the popularity of downloaded content, thereby reducing the download delay of matching users.



(a) The comparison of the average delay



(b) The comparison of the EE

Fig. 8: The comparison of the robust performance

Meanwhile, we consider the effect of the atmospheric turbulence, which is the significant factor in UAV flight. Therefore, we formulate a robust MFG framework to solve this dynamic flight problem, where the atmospheric turbulence is described by the disturbance term in the drift function. The numerical results show the position variations of all UAVs. Furthermore, we show the average download delay of the ground users with the limited flight energy consumption of matching UAVs during  $t \in [0, T]$ . It can be seen that in a limited time, the flight energy consumption have reached the equilibrium and so do the average download delay. Compared with the other two flight strategies, the proposed algorithm can have a larger delay reduction, while consuming the same energy. In addition, we discuss the robust performance of the proposed method. With the atmospheric turbulence, the proposed method achieves the similar performance to the case without the atmospheric turbulence.

## APPENDIX

### A. Proof of Proposition 1

We firstly calculate the worst case of the disturbance by getting the critical point as

$$\begin{aligned} & \frac{\partial}{\partial a(t)} [J(v(t), I(t, m^*(t, X_j(t), x(t)))) - \gamma^2 a^2(t) \\ & + \frac{\sigma_2^2}{2} \partial_{xx}^2 v(t) + (x(t) - v(t) + a(t)) \partial_x V(t) \\ & + \frac{\sigma_1^2}{2} \partial_{XX}^2 V(t) + \lambda (\text{Pr}_j - X_j(t)) \partial_X V(t)] = 0. \end{aligned} \quad (27)$$

The strict convexity of the disturbance term  $a(t)$  and the smoothness of the drift function in the dynamic equations (18b) and (18c) make the unique solution  $a^*(t)$  of (27) as follows

$$a^*(t) = \frac{\partial_x V(t)}{2\gamma^2}, \quad (28)$$

where the value function  $V(t)$  satisfies the HJB equation (24). Then the worst case robust HJB (24) is given by

$$\begin{aligned} & \partial_t V(t) + \inf_{v(t)} [J(v(t), I(t, m^*(t, X_j(t), x(t)))) \\ & + \frac{\sigma_2^2}{2} \partial_{xx}^2 V(t) + (x(t) - v(t)) \partial_x V(t) + \frac{\partial_x^2 V(t)}{4\gamma^2} \\ & + \frac{\sigma_1^2}{2} \partial_{XX}^2 V(t) + \lambda (\text{Pr}_j - X_j(t)) \partial_X V(t)] = 0. \end{aligned} \quad (29)$$

Therefore, due to the convexity of the HJB equation for control  $u(t)$ , the unique optimal solution  $u^*(t)$  can be obtained in (26) by calculating the  $\partial \inf(\cdot) / \partial v(t) = 0$ ,  $\inf(\cdot)$  represents the part in (29).

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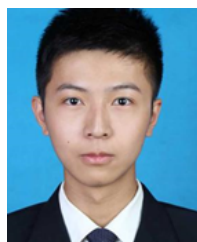


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